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1 **The effect of tackler technique on head injury assessment risk in elite rugby**  
2 **union**

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22 **ABSTRACT**

23 **Purpose:** To use match video evidence of tackles in elite level rugby union in order to identify  
24 tackler proficiency characteristics, for both Lower Body and Upper Body Tackles, that result  
25 in Head Injury Assessments (HIA) for the tackler.

26 **Methods:** A review of international rugby union matches (2013-2017) and Pro 12/ERC  
27 Champions Cup matches (2014-2017) from a professional rugby union club was conducted.  
28 HIA (n=74) and non-HIA tackles (n=233) were categorised as either front-on or side-on Upper  
29 Body or Lower Body Tackles and scored for tackling proficiency characteristics. A Chi-Square  
30 test ( $p < 0.05$ ) and Phi and Cramer's V were calculated to compare HIA and non-HIA tackling  
31 proficiency characteristics.

32 **Results:** In both front- and side-on Upper Body and Lower Body Tackles, "head up and  
33 forward/face up" and "head placement on correct side of ball carrier" were identified as having  
34 a lower propensity to result in a HIA for the tackler. For both front-on and side-on Upper Body  
35 Tackles, "identify/track ball carrier onto shoulder" and "shortening steps" were identified.  
36 Additionally, "Straight back, centre of gravity forward of support base" and "Identify/track ball  
37 carrier onto shoulder" were identified for front-on and side-on Lower Body Tackles  
38 respectively.

39 **Conclusion:** This study identified tackle characteristics that had a lower propensity to result in  
40 a HIA for the tackler in both front-on and side-on Upper Body and Lower Body Tackles.

41 **Key Words:** Concussion, Head Impact, Tackling, Injury Prevention

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## 45 INTRODUCTION

46 Tackling is a dynamic and integral part of rugby union with some players making over 30  
47 tackles per game (9). Tackling is the most common cause of contact in the game (11) as well  
48 as the main cause of injury and concussion (4, 5, 12, 31, 38). Concussion has been defined as  
49 “a complex pathophysiological process affecting the brain, induced by traumatic  
50 biomechanical forces” (29). The incidence of concussion in rugby union is high (8.9/1000  
51 player hours) (8) and growing (8, 32). Proficient tackle technique is important for safe  
52 participation in rugby union (20, 21), and poor tackle technique is reportedly a risk factor for  
53 injury (6, 23, 38).

54 Analysis of match video evidence has been used to identify injury risk factors in rugby union  
55 (6, 10, 31) as well as head impact and concussion risk (12, 23, 24, 38). Video analysis  
56 techniques have also been used for analysing concussion injuries in rugby league (18), ice  
57 hockey (25) and soccer (2). One early rugby union study used match video evidence to identify  
58 the nature of injury by examining injury type and location (40). This study also looked at the  
59 occurrence of tackle characteristics (e.g. leg drive, wrap arms) and tackle type (e.g. smother,  
60 shoulder charge) during tackle-related injuries. A recent study (38) on direct head impacts in  
61 rugby reported that tackles account for 60% of direct head impacts in elite level rugby union.  
62 The study also categorised legal tackles as either Upper Body or Lower Body Tackles. An  
63 Upper Body Tackle (UBT) was defined by the tackler’s intended initial contact being above  
64 the ball carrier’s hip (38) while a Lower Body Tackle (LBT) was defined as the tackler’s  
65 intended initial contact being at or below the ball carrier’s hip. The study also demonstrated  
66 that tacklers were at most risk of sustaining a direct head impact, and hence concussion, and  
67 that the risk of sustaining a direct head impact is greater during an Upper Body Tackle versus  
68 a Lower Body Tackle.

69 In conducting an in-depth video analysis on South African youth level rugby union players,  
70 tackle technique characteristics associated with general injury causation and prevention were  
71 identified by Burger et al (6). As a result, technical based criteria were created for ball carrier  
72 and tackler proficiency in front- and side-on tackles based on studies that examined tackling  
73 proficiency in collision sports (15-17, 22) as well as tackle technique guidelines from the South  
74 African governing body for rugby union (39). The criteria were then appraised by a group of  
75 rugby union coaches, medical personnel and sport scientists and a detailed list of technical  
76 criteria for both ball carrier and tackler front- and side-on tackles was proposed (6). However,  
77 they focussed on general injury for tackles in a youth level rugby union competition, even  
78 though the mechanism of injury in terms of the inciting event is not the same for all types of  
79 injury (3). It is possible that specific tackling characteristics are linked to concussion injury  
80 prevention for the tackler for Upper Body and Lower Body Tackles, but the details of these are  
81 unknown.

82 Accordingly, the aim of this study was to use match video evidence of tackles in elite level  
83 rugby union to identify a number of tackler characteristics, for both Lower Body and Upper  
84 Body Tackles, that result in Head Injury Assessments (HIA) for the tackler. The HIA was  
85 introduced in 2012 by World Rugby as the pitch side assessment process for concussion  
86 injuries (13) and has previously been described in detail (14). In brief, the aim of the HIA is to  
87 create a standardised tool for the medical assessment of concussion injuries in rugby and to  
88 improve patient education (28). A player enters the HIA protocol by displaying on-field signs  
89 and symptoms of concussion and is subsequently removed from play (28). The HIA assesses a  
90 range of concussive symptoms including both immediate and delayed memory difficulties,  
91 cognitive ability, balance and player discomfort (28). In the HIA, if a player's score is positive,  
92 they are removed from play and must follow the return-to-play protocol (26). Therefore, a  
93 reduction in tackle-related HIAs would have a strong influence on concussion injury reduction.

94 The approach for this study was undertaken utilising tackle based technical criteria lists created  
95 by Burger et al. (2016) (6) to develop and implement technical based concussion prevention  
96 strategies for tackling.

## 97 **METHODS**

98 **Research design and data collection.** A qualitative observational case-control study  
99 design was used to identify specific tackler characteristics (Table 1-4) associated with HIA and  
100 non-HIA tackles in men's professional rugby union using video evidence. A tackle was defined  
101 as "when the ball-carrier was contacted (hit and/or held) by an opponent without reference to  
102 whether the ball-carrier went to ground" (31). A HIA tackle was defined as when a tackler  
103 received a direct/indirect head impact in the tackle and was subsequently removed from play  
104 for a Head Injury Assessment (HIA) and did not return to play for the remainder of the game.  
105 The data was freely available online and no medical data was obtained/reported in this study.  
106 Hence, ethical permission was not required similar to other rugby union video analysis studies  
107 on head impacts (38) and knee injuries (30). A non-HIA tackle was defined as when a player  
108 did not receive an injury/head impact in the tackle and was not removed from play for the  
109 remainder of the game.

110 To provide non-HIA cases as a control cohort, the tackle technique data from Tierney et al.  
111 (33) was utilised. In brief, this data consists of tackles from three randomly selected games  
112 involving an Irish professional club team from the 2014/15 Champions Cup. The study looked  
113 at the effect of player time-in-game on tackle technique deterioration. Therefore, only the  
114 tackles involving tacklers who remained on the field for the duration of the game were  
115 analysed. This also ensured only non-HIA/injured players were included in the control cohort.  
116 As a result of this approach, a total of 92 Upper Body Tackles and 30 Lower Body Tackles for

117 front-on tackles and 75 Upper Body Tackles and 36 Lower Body Tackles for side-on tackles  
118 were analysed as control cases.

119 In order to obtain video evidence of tackle-related HIA cases, all Pro 12 and European Rugby  
120 Champions Cup games from 2014-2017 of the same Irish professional rugby club team were  
121 reviewed. However, this approach resulted in a low HIA sample size (n=19). In order to  
122 increase this sample size, additional video data was collected by retrospectively reviewing  
123 international test rugby union matches. This subset was compiled of all matches from the RBS  
124 6 Nations 2014-2017, Guinness Autumn Test Series 2013-2016, Rugby World Cup warm-up  
125 games 2015 (Home nation games only), the Rugby World Cup 2015 (all games) and the British  
126 and Irish Lions Tour 2017. Based on these two approaches, a total of 74 HIA cases were  
127 identified (19 Upper Body and 19 Lower Body for front-on tackles and 23 Upper Body and 13  
128 Lower Body for side-on tackles). This video data was obtained from freely available online  
129 resources. Although a HIA can occur from an impact to the body (28), a direct head impact  
130 was identified in every video.

131 **Technical proficiency criteria.** The tackler technique characteristics are based on the  
132 work of Burger et al. (2016) (6) who developed technical criteria for tackler proficiency in  
133 front-on and side-on tackles. Any tackles that were initiated outside the ball carrier's estimated  
134 peripheral vision were considered side-on tackles (6, 19).

135 Two reviewers (a Senior Sports Physiotherapist and a Biomechanist) analysed each video  
136 together. Any cases involving uncertainty between reviewers were resolved by a discussion  
137 until a consensus was reached. The videos were analysed using Sports Code (Version 8)  
138 enabling a frame-by-frame viewing of the tackle. Reviewers could watch the clips as many  
139 times as necessary. A minimum of two camera view videos (25 fps) were available for each  
140 tackle. The tackle was split into three main phases (22); pre-contact (0.5 s preceding contact),

141 contact (first instance of contact) and post-contact with the technical proficiency characteristics  
142 assigned to these phases. A player was scored either 1 or 0 for each technical proficiency  
143 characteristic depending on whether or not they exhibited that particular characteristic.

144 **Statistical Analysis.** All statistics were calculated using SPSS (IBM SPSS Statistics for  
145 Windows, Version 22.0. Armonk, NY: IBM Corp.). For each tackler proficiency characteristic,  
146 Pearson's Chi-Square and Phi and Cramer's V calculations were conducted (1). Statistical  
147 significance was set at  $p < 0.05$ . A Phi and Cramer's V value less than 0.1, between 0.1 and less  
148 than 0.3, between 0.3 and less than 0.5 and 0.5 or greater were considered indicative of a trivial,  
149 small, moderate and large Effect Sizes (ES) respectively (7).

150 **Reliability.** Fifteen front-on and fifteen side-on tackles (including HIA and non-HIA cases)  
151 were randomly selected using a random number generator (<http://www.random.org/>). The two  
152 reviewers then conducted the analysis on these 30 cases, for each tackler proficiency  
153 characteristic, at least one week after conducting the initial set of cases. Intra-rater reliability  
154 was then assessed using Cohen's Kappa (K). To assess for inter-rater reliability, an external  
155 reviewer (ex-player) conducted the analysis on the same 30 cases using the same protocol as  
156 the two main reviewers. Similarly, inter-rater reliability was then assessed using Cohen's  
157 Kappa (K). A Cohen's Kappa value greater than 0.8 indicates almost perfect agreement (27).  
158 For front-on tackles, a Cohen's Kappa value of 0.867 and 0.859 were calculated for intra- and  
159 inter-rater reliability, respectively. For side-on tackles, a Cohen's Kappa value of 0.960 and  
160 0.861 were calculated for intra-rater reliability and inter-rater reliability, respectively.

## 161 **RESULTS**

162 **Upper Body Tackles.** For front-on Upper Body Tackles (Table 1), the main tackle phase  
163 that influenced HIA causation for the tackler was the pre-contact phase of the tackle. The



164 tackler characteristics “identify/track ball carrier onto shoulder” ( $p<0.01$ , ES=Moderate), “head  
165 up and forward/face up” ( $p<0.01$ ; ES=Large) and “shortening steps” ( $p<0.01$ ; ES=Small) all  
166 had a lower propensity to result in a HIA for the tackler. In the contact phase, “head placement  
167 on correct side of ball carrier” ( $p<0.01$ ; ES=Large) had a lower propensity to result in a HIA  
168 for the tackler. This was also the case for “arm usage (punch forward and wrap i.e. hit-and-  
169 stick)” ( $p<0.01$ ; ES=Moderate) in the post-contact phase.

170 Similarly, for side-on Upper Body Tackles (Table 2), “identify/track ball carrier onto shoulder”  
171 ( $p<0.01$ , ES=Moderate), “head up and forward/face up” ( $p<0.01$ ; ES=Large) and “shortening  
172 steps” ( $p<0.01$ ; ES=Moderate) all had a lower propensity to result in a HIA for the tackler in  
173 the pre-contact phase of the tackle. This was similar for “head placement on correct side/behind  
174 ball carrier” ( $p<0.01$ ; ES=Large) in the contact phase. Differences were observed on the “arm  
175 usage (punch forward and wrap i.e. hit-and-stick)” and “pull ball carrier with arms to ground”  
176 (both  $p<0.01$ ; ES=Large) between HIA and non-HIA cases in the post-contact phase of the  
177 tackle. In 35% ( $n=8$ ) of side-on Upper Body Tackles, it was another tackler from the same team  
178 that impacted the tackler’s head who received the HIA. This was due to both team mates  
179 tackling the same ball carrier. In one case, both tacklers received HIAs.

180

TABLE 1

181

Tackler Upper Body Tackle front-on proficiency results for HIA and non-HIA tackles (includes %

182

occurrence, p values, Phi and Cramer's V and interpretations).

	HIA (n=19)	Non-HIA (n=92)	p value	Phi and Cramer's V	Interpretation
<b>Pre-contact</b>					
Identify/track ball carrier onto shoulder	11 (58%)	89 (97%)	<0.01	0.49	Moderate
Body position - Upright to low	7 (37%)	29 (32%)	0.65	0.04	Trivial
Straight back, centre of gravity forward of support base	5 (26%)	27 (29%)	0.79	0.03	Trivial
Square to ball carrier	14 (74%)	81 (88%)	0.11	0.15	Small
Boxer stance (elbows close, hands up)	8 (42%)	58 (63%)	0.09	0.16	Small
Head up and forward/face up	11 (58%)	90 (98%)	<0.01	0.53	Large
Shortening steps	4 (21%)	56 (61%)	<0.01	0.29	Small
Approach from front/oblique	19 (100%)	91 (99%)	0.65	0.04	Trivial
<b>Contact</b>					
Explosiveness on contact	5 (26%)	16 (17%)	0.37	0.09	Trivial
Contact with shoulder opposite leading	8 (42%)	49 (53%)	0.38	0.17	Small
Head placement on correct side of ball carrier	3 (16%)	86 (94%)	<0.01	0.73	Large
<b>Post-contact</b>					
Shoulder usage (drive into contact)	2 (11%)	23 (25%)	0.17	0.13	Small
Arm usage (punch forward and wrap i.e. hit-and-stick)	4 (21%)	56 (61%)	<0.01	0.30	Moderate
Leg drive on contact	0 (0%)	9 (10%)	0.16	0.14	Small
Release ball carrier and compete for possession	0 (0%)	15 (16%)	0.06	0.18	Small

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184

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TABLE 2

186

Tackler Upper Body Tackle side-on proficiency results for HIA and non-HIA tackles (includes %

187

occurrence, p values, Phi and Cramer's V and interpretations).

	HIA (n=23)	Non-HIA (n=75)	p value	Phi and Cramer's V	Interpretation
<b>Pre-contact</b>					
Identify/track ball carrier onto shoulder	14 (61%)	73 (97%)	<0.01	0.49	Moderate
Body position - Upright to low	2 (9%)	9 (12%)	0.66	0.04	Trivial
Straight back, centre of gravity forward of support base	1 (4%)	8 (10%)	0.36	0.09	Trivial
Head up and forward/face up	16 (70%)	75(100%)	<0.01	0.50	Large
Shortening steps	2 (9%)	38 (51%)	<0.01	0.36	Moderate
<b>Contact</b>					
Explosiveness on contact	3 (13%)	5 (7%)	0.33	0.10	Small
Head placement on correct side/behind ball carrier	9 (39%)	74 (99%)	<0.01	0.70	Large
<b>Post-contact</b>					
Shoulder usage (drive into contact)	3 (13%)	6 (8%)	0.46	0.07	Trivial
Arm usage (punch forward and wrap i.e. hit-and-stick)	5 (22%)	60 (80%)	<0.01	0.52	Large
Pull ball carrier with arms to ground	5 (22%)	60 (80%)	<0.01	0.52	Large
Release ball carrier and compete for possession	0 (0%)	8 (11%)	0.10	0.17	Small

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**Lower Body Tackles.** For front-on Lower Body Tackles (Table 3), “straight back, centre

191

of gravity forward of support base” (p=0.04; ES=Small), “head up and forward/face up”

192

(p&lt;0.01; ES=Large) and “head placement on correct side of ball carrier” (p&lt;0.01; ES=Large)

193 all had a lower propensity to result in a HIA for the tackler. Differences were observed on “arm  
194 usage (punch forward and wrap i.e. hit-and-stick)” ( $p < 0.01$ ; ES=Moderate) between HIA and  
195 non-HIA cases in the post-contact phase of the tackle.

196 For side-on Lower Body Tackles (Table 4), “identify/track ball carrier onto shoulder” ( $p < 0.01$ ;  
197 ES=Moderate), “head up and forward/face up” ( $p < 0.01$ ; ES=Large) and “head placement on  
198 correct side/behind ball carrier” ( $p < 0.01$ ; ES=Large) all had a lower propensity to result in a  
199 HIA for the tackler. Differences were observed on the “arm usage (punch forward and wrap  
200 i.e. hit-and-stick)” ( $p = 0.02$ ; ES=Moderate) and “pull ball carrier with arms to ground” ( $p = 0.01$ ;  
201 ES=Moderate) between HIA and non-HIA cases in the post-contact phase of the tackle. In one  
202 side-on Lower Body Tackle, it was another tackler from the same team that impacted the  
203 tackler’s head who received the HIA. This was due to both team mates tackling the same ball  
204 carrier.

205

TABLE 3

206

Tackler Lower Body Tackle front-on proficiency results for HIA and non-HIA tackles (includes %

207

occurrence, p values, Phi and Cramer's V and interpretations).

	HIA (n=19)	Non-HIA (n=30)	p value	Phi and Cramer's V	Interpretation
<b>Pre-contact</b>					
Identify/track ball carrier onto shoulder	18 (95%)	28 (93%)	0.84	0.03	Trivial
Body position - Upright to low	18 (95%)	27 (90%)	0.56	0.08	Trivial
Straight back, centre of gravity forward of support base	3 (16%)	13 (43%)	<b>0.04</b>	0.29	Small
Square to ball carrier	13 (68%)	26 (87%)	0.12	0.22	Small
Boxer stance (elbows close, hands up)	8 (42%)	17 (57%)	0.32	0.14	Small
Head up and forward/face up	2 (11%)	25 (83%)	<b>&lt;0.01</b>	0.71	Large
Shortening steps	5 (26%)	13 (43%)	0.23	0.17	Small
Approach from front/oblique	19 (100%)	30 (100%)	1.00	0.00	Trivial
<b>Contact</b>					
Explosiveness on contact	1 (5%)	6 (20%)	0.15	0.20	Small
Contact with shoulder opposite leading	11 (58%)	23 (77%)	0.17	0.20	Small
Head placement on correct side of ball carrier	2 (11%)	28 (93%)	<b>&lt;0.01</b>	0.83	Large
Contact in centre of gravity	9 (47%)	19 (63%)	0.27	0.16	Small
<b>Post-contact</b>					
Shoulder usage (drive into contact)	1 (5%)	8 (27%)	0.06	0.27	Small
Arm usage (punch forward and wrap i.e. hit-and-stick)	4 (21%)	20 (67%)	<b>&lt;0.01</b>	0.45	Moderate
Leg drive on contact	1 (5%)	6 (20%)	0.15	0.21	Small
Release ball carrier and compete for possession	0 (0%)	1 (3%)	0.42	0.12	Small

208

209

TABLE 4

210

Tackler Lower Body Tackle side-on proficiency results for HIA and non-HIA tackles (includes %

211

occurrence, p values, Phi and Cramer's V and interpretations).

	HIA (n=13)	Non-HIA (n=36)	p value	Phi and Cramer's V	Interpretation
<b>Pre-contact</b>					
Identify/track ball carrier onto shoulder	8 (62%)	35 (97%)	<0.01	0.48	Moderate
Body position - Upright to low	11 (85%)	30 (83%)	0.92	0.02	Trivial
Straight back, centre of gravity forward of support base	3 (23%)	15 (42%)	0.23	0.17	Small
Head up and forward/face up	3 (23%)	33 (92%)	<0.01	0.69	Large
Shortening steps	5 (39%)	15 (42%)	0.84	0.03	Trivial
<b>Contact</b>					
Explosiveness on contact	2 (15%)	4 (11%)	0.69	0.06	Trivial
Head placement on correct side/behind ball carrier	5 (39%)	32 (89%)	<0.01	0.52	Large
Contact in centre of gravity	6 (46%)	17 (47%)	0.95	0.01	Trivial
<b>Post-contact</b>					
Shoulder usage (drive into contact)	2 (15%)	9 (25%)	0.48	0.10	Small
Arm usage (punch forward and wrap i.e. hit-and-stick)	4 (31%)	25 (69%)	0.02	0.35	Moderate
Pull ball carrier with arms to ground	5 (39%)	28 (78%)	0.01	0.37	Moderate
Release ball carrier and compete for possession	0 (0%)	2 (6%)	0.39	0.12	Small

212

213

214 **DISCUSSION**

215 This study used match video evidence to identify tackle characteristics that have a lower  
216 propensity to result in a HIA for the tackler. In contrast to Burger et al. (2016) (6), a number  
217 of specific tackler proficiency variables were identified as having a lower propensity to result  
218 in a HIA for the tackler, especially “identify/track ball carrier onto shoulder”, “head up and  
219 forward/face up”, “straight back, centre of gravity forward of support base” and “head  
220 placement on correct side of ball carrier”. The results from this study provide an evidence-base  
221 to assist elite level coaches to develop and implement concussion prevention strategies for  
222 tacklers.

223 **Upper Body Tackles.** When a tackler did not identify/track the ball carrier onto their  
224 shoulder, they generally placed their head in line with the ball carrier’s trajectory which  
225 increased the risk of the tackler’s head being impacted. An ability to exhibit the characteristic  
226 “head up and forward/face up” resulted in the tackler being able to track the ball carrier’s  
227 motion and be aware of their surrounding environment. Thus, the tacklers susceptibility to  
228 receiving a head impact was reduced, particularly if the ball carrier exhibited an evasive  
229 manoeuvre or fend.

230 When “shortening steps” was not exhibited, the tackler generally planted his feet during the  
231 pre- contact phase of the tackle. This finding is consistent with Tierney et al. (38), who reported  
232 that foot planting was a risk factor for head impact causation. Tacklers exhibiting “shortening  
233 steps” ensured their feet remained active and afforded them time to orientate themselves  
234 properly as well as adapt to changes in the ball carrier’s motion/trajectory. It has also been  
235 reported that ‘shortening steps’ reduces general injury risk for the tackler in front-on tackles  
236 (6) as well as increases the tackler’s likelihood of dominating the tackle (34).

237 Post-contact tackling characteristics such as “arm usage (punch forward and wrap i.e. hit-and-  
238 stick)” and “pull ball carrier with arms to ground” both exhibited differences between HIA and

239 non-HIA cases. However, head impacts in all the cases recorded occurred before the post-  
240 contact phase of the tackle. Therefore, instead of these tackling characteristics being identified  
241 as lowering the propensity to result in a head impact, they may be more an indicator for sideline  
242 medical staff that a head impact has potentially occurred. This is also the case for Lower Body  
243 Tackles.

244 For 35% of side-on Upper Body Tackles HIA cases and one side-on Lower Body Tackle HIA  
245 case, it was another tackler from the same team that impacted the tackler's head who received  
246 the HIA. This was due to both team mates tackling the same ball carrier. This indicates the  
247 importance of environmental awareness and effective communication between tacklers when  
248 engaging in a tackle with two tacklers. In terms of tackler characteristics, the same principles  
249 can be applied as with a single tackler case e.g. exhibiting "shortening steps" may have afforded  
250 the impacted player time to orientate themselves properly and avoid the head impact.

251 **Lower Body Tackles.** For front-on Lower Body Tackles the "straight back, centre of  
252 gravity forward of support base" had a lower propensity to result in a HIA for the tackler.  
253 Further analysis identified that in 95% of front-on Lower Body Tackle HIA cases where the  
254 tackler did not exhibit "straight back, centre of gravity forward of support base" the tackler's  
255 head was facing down (i.e. not exhibiting the "head up and forward/face up" characteristic).  
256 Thus, the tackler may have been unaware of the ball carriers' oncoming motion and their  
257 surrounding environment. This increased the susceptibility of a HIA related head impact as it  
258 made the tackler unable to prepare for the impending contact. In 69% of Lower Body Tackle  
259 front-on HIA cases, placing the tackler's centre of gravity behind their support base meant that  
260 the tackler's weight was transmitted through their heels, resulting in foot planting and the  
261 aforementioned breakdown in tackle proficiency.



262 For side-on Lower Body Tackles, an inability to “identify/track the ball carrier onto the  
263 shoulder” had a higher propensity to result in a HIA for the tackler. In 15% of Lower Body  
264 Tackle side-on HIA cases, the tackler, instead of tracking the ball carrier onto their shoulder,  
265 dove in front of the oncoming ball carrier with their head facing downward, making no attempt  
266 to use the shoulders.

267 **The dynamic and open nature of the tackle.** The tackle is a dynamic and open phase  
268 of play and this must be appreciated when analysing tackling characteristics (6, 19). It is  
269 possible that certain proficiency characteristics may have influenced other proficiency  
270 characteristics. For example, failure to exhibit the “straight back, centre of gravity forward of  
271 support base” may have affected the tackler’s ability to exhibit the “head up and forward/face  
272 up”. In some tackle scenarios, poor tackle proficiency was due to a defensive error. The tackler  
273 was forced to perform a tackle as a result of a teammate’s missed tackle or incorrect positioning  
274 in the defensive line. In these circumstances, the tackler may not have identified the ball carrier  
275 in a timely fashion having focused their attention on another opposing player. Thus, this would  
276 have prevented them from reacting to the ball carrier’s motion and executing a technically  
277 proficient tackle. This not only highlights the importance of correct tackle technique but on-  
278 field communication and also of having a clearly defined defensive system in place where  
279 players have well defined roles and responsibilities.

280 The judgement made by the tackler arises in a dynamic situation in which the ball carrier can  
281 adjust his running speed and direction. Part of the skill of the ball carrier is to be unpredictable  
282 ensuring that the tackler does not make an effective tackle. Further research should examine  
283 ball carrier characteristics (6, 33, 34) which may have a higher propensity to result in a HIA  
284 for the tackler as well as the biomechanics of head injuries (35-37).

285 **Study limitations.** This study utilised a definition based on a player being removed for a  
286 Head Injury Assessment and subsequently not returning to the field of play. Although this is a  
287 strong indication of concussion, it is not fully robust for concussion diagnosis. Access to player  
288 medical notes would have clarified this. This study only identified HIA's as a result of a direct  
289 head impact however it is possible that a HIA can occur from a non-direct head impact (28).  
290 Although the HIA sample size was larger than the injury sample size utilised by Burger et al.  
291 (6), the study would have benefited from a larger HIA sample size. For the control cases, only  
292 three games were selected and only one team was utilised, meaning the results could be team  
293 specific. This study analysed elite club level rugby union games however the results may be  
294 applicable to both youth and amateur level rugby union as well as other contact sports such as  
295 American Football. Further research is needed to clarify this. Nonetheless the findings from  
296 this study can be utilised for a baseline of injury prevention techniques.

297

298

## 299 **CONCLUSION**

300 Analysis of match video evidence from elite level Rugby Union games shows that there are  
301 tackle proficiency characteristics which are more likely to result in a HIA for the tackler. In  
302 both front- and side-on Upper Body and Lower Body Tackles, "head up and forward/face up"  
303 and "head placement on correct side of ball carrier" were identified as having a lower  
304 propensity to result in a HIA for the tackler. Additionally, "identify/track ball carrier onto  
305 shoulder" and "shortening steps" were identified as having a lower propensity for HIA  
306 causation with both front- and side-on Upper Body Tackles. The "Straight back, centre of  
307 gravity forward of support base" and "Identify/track ball carrier onto shoulder" were identified  
308 as having a lower propensity for HIA causation with front- and side-on Lower Body Tackles,

309 respectively. These results provide evidence based data for coaches to develop and implement  
310 technical based HIA prevention strategies for tackling.

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