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Title: **A role for the OsHKT 2;1 sodium transporter in potassium use efficiency in rice.**

Running Title: Genome-wide association study of potassium use efficiency

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2 tables

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With 5 and 2 supplementary tables and figures, respectively.

## **Highlight**

Genome-wide association studies were used to analyse potassium use efficiency in rice. Novel associations were found along with a role for sodium replacement via the OsHKT2;1 sodium transporter.

1    **Abstract**

2    Increasing the potassium use efficiency (KUE) of crops is important for agricultural sus-  
3    tainability. However, a greater understanding of this complex trait is required to develop  
4    new, high KUE cultivars. To this end, a genome-wide association study (GWAS) was  
5    applied to diverse rice (*Oryza sativa* L.) genotypes grown under potassium stressed and  
6    replete conditions. Using high stringency criteria, the genetic architecture of KUE was  
7    uncovered, together with the breadth of physiological responses to low-potassium  
8    stress. Specifically, 3 quantitative trait loci (QTLs) were identified, which contained over  
9    90 candidate genes. Of these, the sodium transporter gene *OsHKT2;1* emerged as a  
10   key factor that impacts on KUE based on (i) the correlation between shoot  $\text{Na}^+$  and  
11   KUE, and (ii) higher levels of *HKT2;1* expression in high KUE lines.

12  
13   **Key Words**

14   Fertiliser use, GWAS, *HKT2;1*, potassium, potassium use efficiency, rice, sustainable  
15   agriculture, sodium.

16  
17   **Abbreviations**

18   GWAS: genome-wide association study

19   KUE: potassium use efficiency

20   QTLs: Quantitative trait loci

21   SNP: single nucleotide polymorphism

22

23

24

25

## 26 **Introduction**

27  $K^+$  is the most abundant cation in most plants. It is an essential cofactor for many en-  
28 zymes and has a dominant role in turgor provision and water homeostasis (Maathuis,  
29 2009). The large amounts of  $K^+$  that are required by plants is typically sustained by ap-  
30 plication of  $K^+$  fertiliser in agronomic contexts. Global demand for potassium fertilisers is  
31 currently over 30 million tonnes annually and steadily increasing (FAO, 2017). And  
32 though there are ample  $K^+$  reserves, production and application of  $K^+$  fertiliser has im-  
33 portant environmental influence: Potash fertilisers contribute to agricultural energy use  
34 and greenhouse gas emissions (Brentup and Pallière, 2008; Camargo *et al.*, 2013). In  
35 2016, over 95% of potash was produced in the northern hemisphere (USGS, 2017), ex-  
36 acerbating deleterious environmental consequences through transportation-related  
37 emissions. Agriculture is also implicated in adding to atmospheric  $K^+$  deposition (Allen *et*  
38 *al.*, 2010). Taken together, judicious use of potash fertilisers clearly forms an important  
39 part of future sustainable agriculture.

40 At the same time, deficiency for potassium in agricultural soils is widespread and rapidly  
41 increasing in areas such as the Australian wheat belt and Chinese rice paddies (Röm-  
42 held and Kirkby, 2010). Under-fertilisation sometimes results from agricultural malprac-  
43 tice, but is more commonly due to economic considerations, with the cost of  $K^+$  fertiliser  
44 purchase and application proving insurmountable. A sustainable solution to mitigate the  
45 economic and environmental consequences of growing  $K^+$  demand, while meeting food  
46 demand, is to develop crops with higher potassium use efficiency (KUE).

47 In order to increase crop KUE, knowledge of its genetic underpinnings is important to  
48 inform targeted improvement. Studies have been conducted with a range of species and  
49 have led to the identification of quantitative trait loci (QTLs) associated with plant re-  
50 sponses to potassium deficiency (*e.g.* Wu *et al.*, 1998; Prinzenberg *et al.*, 2010; Kong *et*  
51 *al.*, 2013; Zhao *et al.*, 2014). Similarly, transcriptomics studies (*e.g.* Armengaud *et al.*,  
52 2004; Wang *et al.*, 2012) in low  $K^+$  conditions point to genes that encode membrane  
53 proteins involved in transport and other proteins for transcriptional regulation. Genes for  
54 such proteins can therefore be seen as putative targets for crop improvements (Shin,

55 2014; Wang and Wu, 2015), but a more complete understanding of the genetic under-  
56 pinnings of KUE is still required.

57 In rice, QTLs for several traits, including potassium uptake and tissue potassium con-  
58 centration in salt- and non-stressed plants, have been reported (Koyama *et al.*, 2001;  
59 Lin *et al.*, 2004; Garcia-Oliveria *et al.*, 2009). Furthermore, QTLs in the context of po-  
60 tassium deficiency have been published (Wu *et al.*, 1998; Miyamoto *et al.*, 2012; Fang  
61 *et al.*, 2015), although little overlap in the identified regions was apparent. However,  
62 both Miyamoto *et al.* (2012) and Fang *et al.* (2015) described associations in a large (~7  
63 Mb) QTL on chromosome 6 that were linked with shoot sodium, potassium, and calcium  
64 concentrations.

65 The detection of QTLs and genes related to agriculturally important traits in rice has  
66 been aided in recent years by genome-wide association studies (GWAS) which typically  
67 yield much higher resolution than conventional QTL mapping approaches. Studies have  
68 examined abiotic stresses such as aluminium (Famoso *et al.*, 2014) and salt (Kumar *et*  
69 *al.*, 2015; Campbell *et al.*, 2017; Patishtan *et al.*, 2017) and were able to detect novel  
70 loci as well as gene candidates. However, the response of rice to potassium deficiency  
71 has yet to be examined using GWAS. In this study, the genetic architecture of low po-  
72 tassium stress was explored using the Rice Diversity Panel 1 (Zhao *et al.*, 2011;  
73 Eizenga *et al.*, 2014) and in doing so, novel QTLs were detected as well as some which  
74 co-localised with those in the prior literature. From this, putative targets for crop im-  
75 provement were proposed.

## 76 **Materials and Methods**

### 77 ***Plant Growth and Germplasm***

78 Five seeds from each of 324 rice (*Oryza sativa*) cultivars (see Supplementary Table 1  
79 for a full list of accessions) were germinated in sand flooded with distilled water for two  
80 weeks prior to transfer to hydroponic treatments. Seedlings were placed in 9 L boxes  
81 which contained a nutrient solution adapted from Yoshida *et al.* (1976) which consisted  
82 of: (in mM) 1.4 NH<sub>4</sub>NO<sub>3</sub>, 0.3 NaH<sub>2</sub>PO<sub>4</sub>, 1 CaCl<sub>2</sub>, 1.6 MgSO<sub>4</sub>·7H<sub>2</sub>O, and 0.2 Na<sub>2</sub>O<sub>3</sub>Si and  
83 (in μM) 9.5 MnCl<sub>2</sub>, 0.07 (NH<sub>4</sub>)<sub>6</sub>Mo<sub>7</sub>O<sub>24</sub>, 18 H<sub>3</sub>BO<sub>3</sub>, 0.15 ZnSO<sub>4</sub>, 0.16 CuSO<sub>4</sub>, 71 citric

84 acid monohydrate. Potassium was added as KCl to a final concentration of 0.1 (low K<sup>+</sup>  
85 or LK treatment) or 1 mM (high K<sup>+</sup> or HK treatment). Nutrient solutions were changed  
86 weekly. One seedling from each cultivar was placed in each treatment and growth trials  
87 were replicated five times. Plants were grown in a glasshouse for four weeks (or as indi-  
88 cated in the text) with 12 hour day and night periods with temperatures of 32 and 28 °C  
89 in the day and night respectively. The relative humidity was maintained between 50 and  
90 60%. For detailed growth experiments on IR64, plants were grown as described above  
91 in the presence of 0.01, 0.1, 0.5, 1 or 5 mM K<sup>+</sup> (added as KCl) and a total amount of 3  
92 mM Na<sup>+</sup>.

### 93 ***Tissue Cation Analysis***

94 Sampled plants were separated into roots and shoots, and their fresh weights were re-  
95 corded before being oven dried at 80 °C for three days. Tissues were then re-weighed  
96 before potassium and sodium concentrations were determined after extraction in 20 mM  
97 CaCl<sub>2</sub> for 24 hours. Cation concentrations were measured using a flame photometer  
98 (Sherwood Scientific, Cambridge, Cambridgeshire, UK).

### 99 ***Trait Measurement***

100 Briefly, each rice genotype was grown in potassium deficient (0.1 mM) and replete (1  
101 mM) nutrient solutions (see above). Relative growth rate (RGR) was calculated as  
102  $[\ln(FW_{\text{end}}) - \ln(FW_{\text{start}})] / (t_{\text{end}} - t_{\text{start}})$ , where FW is the whole plant fresh weight. Potas-  
103 sium and sodium tissue concentrations were measured as described above. Phenotype  
104 data were based on five biological replicates and least squares means were calculated  
105 from raw data. Cultivars with fewer than three replicates were excluded from the analy-  
106 sis. Two different KUE metrics were used: KUE-RGR (defined as the percentage reduc-  
107 tion in RGR between LK and HK conditions) and KUE-K (defined as RGR at LK treat-  
108 ment divided by shoot K<sup>+</sup> concentration at LK treatment). The latter trait examines the  
109 K<sup>+</sup> utilisation, while KUE-RGR can be influenced by both the uptake and utilisation of K<sup>+</sup>.

### 110 ***Genome-wide Association Studies***

111 GWAS was carried out using R 3.3.3 and the *GenABEL* R package (Aulchenko *et al.*,  
112 2007) for KUE metrics, RGR, and tissue cation concentrations. SNPs with a minor allele

113 frequency  $< 0.05$  and a call rate  $< 0.9$  were excluded from analyses to minimise the risk  
114 of spurious associations. Mixed linear models were used for analyses to control for the  
115 population structure present in rice (Zhao *et al.*, 2011) which can also induce spurious  
116 associations between traits and genetic loci . The top three principal components for  
117 population structure were included as fixed effects if this resulted in a model with a ge-  
118 nomic inflation factor (Devlin and Roeder, 1999) nearer unity. Previous work has found  
119 that the use of mixed models with principal components as covariates to be successful  
120 in limiting the occurrence of false signals (Zhao *et al.*, 2011; Kumar *et al.*, 2015; Patish-  
121 tan *et al.*, 2017). Associations between SNPs and genotypes were declared significant  
122 if their P-value was  $< 1 \times 10^{-5}$  (Crowell *et al.*, 2016) and the false discovery rate (Benja-  
123 mini and Hochberg, 1995) was less than 10%.

#### 124 ***Identification of Quantitative Trait Loci and Candidate Genes***

125 A minimum of two significant associations within a 200 kbp window was required for a  
126 significant association to be considered as a QTL to minimise the risk of false positives.  
127 This genomic region window size was chosen because linkage disequilibrium in rice de-  
128 clines rapidly over this distance (Zhao *et al.*, 2011; McCouch *et al.*, 2016) and genes  
129 that are proximal to associations can be considered more credible candidates for influ-  
130 encing the trait in question. QTLs which overlapped were grouped into a single QTL.  
131 Genes within QTLs were sourced from found using the the Rice Genome Annotation  
132 Project website ([http://rice.plantbiology.msu.edu/pub/data/](http://rice.plantbiology.msu.edu/pub/data/Eukaryot-ic_Projects/o_sativa/annotation_dbs/pseudomolecules/version_7.0/) Eukaryot-  
133 ic\_Projects/o\_sativa/annotation\_dbs/pseudomolecules/version\_7.0/). Candidate genes  
134 were found among these genes, with those with products relating to transport, signalling,  
135 and transcription considered to be more credible candidates. Co-localisation of signifi-  
136 cantly associated SNPs and genes within QTLs was examined using the Rice Diversity  
137 Allele Finder (<http://rs-bt-mccouch4.biotech.cornell.edu/AF/>). Such co-localisation with a  
138 gene could indicate relevance to the trait and non-synonymous SNPs could lead to  
139 changes that ultimately alter KUE.

#### 140 ***HKT2;1 Expression Analysis***

141 Seeds for the following cultivars germinated (where 'L' indicates low KUE and 'H' indi-  
142 cates high KUE): Cybonnet (L), Dom Sufid (L), Edith (L), Padi Kasalle (L), Tox 782-20-1  
143 (L), 116 (H), Sathi (H), Saturn (H), Ghati Kamma Nangarhar (H), Wanica (H). Plants  
144 were grown as described above on an adapted Yoshida nutrient solution containing (in  
145 mM) 2.9 NH<sub>4</sub>NO<sub>3</sub>, 0.3 H<sub>3</sub>PO<sub>4</sub>, 0.01 KCl, 1 CaCl<sub>2</sub>·2H<sub>2</sub>O and 1.6 MgSO<sub>4</sub> (micronutri-  
146 ents as described above). Medium was adjusted to pH 5.6 using methyl glucamine and  
147 supplemented with either 0 mM NaCl or 1 mM NaCl. Plants were grown for four weeks  
148 after which roots from the three plants of each cultivar were pooled and frozen in liquid  
149 nitrogen. The root samples were ground to a powder in liquid nitrogen and total RNA  
150 was extracted using a Nucleospin RNA Plant and Fungi kit (Macherey-Nagel Bioanaly-  
151 sis). cDNA was synthesised using a Superscript II reverse transcriptase kit (Invitrogen)  
152 with oligo dT primers. Quantitative polymerase chain reactions (qPCR) were performed  
153 using the QuantStudio 3 (Thermo Fisher) system and Fast SYBR green master mix  
154 (Thermo Fisher) using 5'CTCCATCGACTGCTCACTCA3' and  
155 5'GGACAGTGCAAATGTTGTCG3' as forward and reverse HKT2;1 specific primers.  
156 The expression of Elongation Factor 1 alpha was used as an internal control with  
157 5'CACATTGCCGTCAAGTTTGC3' and 5'CCATACCAGCATCACCGTTC3' forward and  
158 reverse primers respectively. Data are presented as the average of three biological repli-  
159 cations.

## 160 **Results and Discussion**

### 161 ***Influence of Potassium Stress on Growth and Tissue Cation Concentrations***

162 Lowering the medium K<sup>+</sup> concentration from 1 (HK) to 0.1 (LK) mM had a substantial  
163 effect on growth and tissue cation levels. Fig. 1a shows that the mean final mass of LK  
164 plants was approximately 40% of that achieved by HK plants. However, at the tissue  
165 level, plant growth was not affected uniformly. For example, root to shoot mass ratio  
166 was significantly higher in the LK treatment compared to the HK treatment (data not  
167 shown). Furthermore, Fig. 1b shows that rice cultivars vary greatly in their growth re-  
168 sponse to LK. The RGR reduction ranged from 30% to -5% when comparing LK and HK  
169 growth data. In other words, the relative growth rates of some lines declines by nearly a

170 third between LK and HK conditions, while others were not at all or only little affected,  
171 irrespective of a 10-fold change in medium  $K^+$  concentration.

172 As expected, both root and shoot  $K^+$  concentrations were lower in the LK treatment.  
173 Across the cultivars, the average shoot potassium concentration declined from 686 to  
174 154  $\mu\text{mol gDW}^{-1}$  between the HK and LK conditions, while the root concentrations de-  
175 clined from 198 to 59  $\mu\text{mol gDW}^{-1}$  (Fig. 2). Shoot potassium concentrations were consis-  
176 tently greater than those of roots. In combination, the growth and tissue  $K^+$  data show  
177 that the LK conditions were effective in causing stress which reduced rice growth, likely  
178 arising from insufficient tissue  $K^+$  levels. Indeed, many previous studies have shown a  
179 strong link between tissue  $K^+$  and growth across several plant species (*e.g.* Asher and  
180 Ozanne, 1967; Fageria, 1976; Spear *et al.*, 1978).

181 While low tissue  $K^+$  is strongly linked with reduced RGR between treatments, the asso-  
182 ciation is less clear within a specific treatment: In both LK and HK treatments only weak  
183 non-significant correlations were derived between tissue  $K^+$  and growth. Such seem-  
184 ingly contradictory outcomes can be explained by the existence of considerable (genetic)  
185 variation in the sensitivity of cultivars when exposed to declining levels of tissue  $K^+$ .

186 Table 1 shows growth and tissue cation data for the ten highest and lowest ranking rice  
187 cultivars for KUE. KUE-RGR is a measure for the relative growth reduction when chang-  
188 ing from HK to LK conditions ( $\text{RGR\_LK/RGR\_HK}$ ) and differed significantly between  
189 cultivars (one-way ANOVA,  $P < 0.01$ ). KUE-K denotes the utilisation of  $K^+$  (amount of  
190 growth per unit  $K^+$ ;  $\text{RGR\_LK/shoot } K\_LK$ ) and this too, varied significantly between cul-  
191 tivars (one-way ANOVA,  $P < 0.001$ ) with a 5-6 fold difference between the lowest and  
192 highest values (Suppl. Table 4). Interestingly, KUE\_RGR and LK shoot  $[\text{Na}^+]$  showed a  
193 highly significant negative correlation ( $r = -0.385$ ,  $P < 0.001$ ; Figure 3) and similar, but  
194 weaker, negative correlations were found between KUE-RGR and HK shoot  $[\text{Na}^+]$ , LK  
195 root  $[\text{Na}^+]$  and HK root  $[\text{Na}^+]$  respectively (Suppl. Fig. 1). Such evidence points to a po-  
196 tential beneficial effect of  $\text{Na}^+$  in rice shoots when potassium is limiting, and this may be  
197 the result of replacement of  $K^+$  by  $\text{Na}^+$ . However, in contrast to KUE-RGR, KUE-K did  
198 not correlate significantly with either root or shoot levels of  $\text{Na}^+$ . Indeed, very little over-  
199 lap between the KUE-K and KUE-RGR was apparent with only two cultivars (GSOR 117

200 and 142) emerging as high KUE lines irrespective of the KUE definition (see Suppl. Ta-  
201 ble 4). The lack of similarity between KUE-RGR and KUE-K emphasises the different  
202 phenomena these metrics describe: while KUE-K is determined by high growth rates  
203 and low shoot  $[K^+]$  (e.g.  $\sim 90$  mM and  $\sim 250$  mM in high and low KUE-K lines respec-  
204 tively, see Table 1), KUE-RGR expresses how well growth is maintained by cultivars in  
205 the face of a shortage of  $K^+$ . Though both approaches are valuable in an agronomic  
206 context one may be more suitable for optimising local requirements such as soil nutrient  
207 status or availability of K fertiliser. The wide variability in either parameter suggests  
208 there is a large scope to enhance these traits.

### 209 ***Genome-wide Association Studies of Potassium Stress***

210 In order to better understand which mechanisms contribute to KUE, GWAS was applied  
211 to the growth, cation, and KUE data (Supplementary Table 2). Based on the stringency  
212 criteria outlined in the Methods section, a total of four association signals was detected;  
213 one each for KUE-K (defined as RGR/shoot K), RGR at LK treatment, shoot  $[Na^+]$  and  
214 root  $[Na^+]$  at LK treatment (Fig. 4; Table 2). Furthermore, the two sodium-related signals  
215 co-localised at a position approximately 29.5 Mbp along chromosome 6 and had the  
216 same significantly associated SNPs.

217 The three independent QTLs subsumed a total of 86 unique genes (Suppl. Table 5) and  
218 8 significantly associated SNPs (Table 2). Interrogation of the Rice Diversity Allele  
219 Finder (<http://rs-bt-mccouch4.biotech.cornell.edu/AF/>) showed that the two SNPs be-  
220 longing to the RGR\_LK association were synonymous and were located in the coding  
221 region of a putative retrotransposon protein (LOC\_Os01g39640). One of the KUE-K as-  
222 sociations was a synonymous SNP in the intron of another putative retrotransposon pro-  
223 tein (LOC\_Os01g59580), and both SNPs the  $Na^+$ -related signal were synonymous and  
224 located in the coding region of the gene for OsHKT2;1 (LOC\_Os06g48810), a sodium  
225 transporter.

226 QTLs repeatedly found across different studies can help to identify robust candidates for  
227 crop improvement. The positions of QTLs identified in this study were therefore com-  
228 pared against those previously reported (Figure 5). Though it is noted that many previ-

229 ous studies had relatively low resolution, leading to QTLs that span many Mbp (e.g.  
230 Fang et al., 2015), an overlap was found for the chromosome 1 RGR-K signal which is  
231 positioned at the beginning of a ~10 Mbp QTL described by Fang et al. (2015). The tis-  
232 sue Na<sup>+</sup> associated signals on chromosome 6 found in this study were previously de-  
233 scribed by Miyamoto *et al.* (2012) who identified a 6.4 Mbp region on chromosome 6  
234 related to sodium uptake and, using a map based cloning strategy, isolated a 100 kb  
235 chromosomal region that contained HKT2;1.

### 236 ***Putative Drivers of KUE***

237 Out of the 86 genes covered by the significant association signals, the 42 annotated  
238 genes were further evaluated to identify potential drivers of KUE. Gene ontology analy-  
239 sis is problematic with a sample of this size and it is therefore not surprising that no en-  
240 riched functional class was discovered. In addition to HKT2;1, three further genes  
241 (OsCML1 - Calmodulin-related calcium sensor protein; OsSub52 - Putative Subtilisin  
242 homologue; OsHKT2;4 - Na<sup>+</sup> transporter) were previously shown to respond transcrip-  
243 tionally to low K<sup>+</sup> conditions (Shankar et al., 2013) suggesting they may play a role in K<sup>+</sup>  
244 homeostasis. Furthermore, on the basis of functional annotations the list contains a  
245 large proportion (>10%) of genes that are involved in 'disease resistance' (n=7) and in  
246 'RNA translation' (n=5), pointing to a potential role of these processes in establishing  
247 KUE. There is a well documented link between K<sup>+</sup> deficiency and disease (e.g. Davis et  
248 al., 2018); Rice diseases like brown leaf spot, scab and stem rot are generally not prob-  
249 lematic in K<sup>+</sup> replete fields but can easily overwhelm K<sup>+</sup>-deficient rice. It is not directly  
250 obvious how disease impacts on KUE but LK treatment could (transcriptionally or oth-  
251 erwise) prime plants and thus make them more disease resilient. Improved resilience  
252 could alter KUE via generic growth effects. Ribosomal functioning is frequently men-  
253 tioned as an example process that requires high levels (>100 mM) of K<sup>+</sup> (e.g. Maathuis,  
254 2009). Similar to disease resistance, the link between RNA translation and KUE may be  
255 convoluted but more efficient ribosomal constituents and enzymes involved in transla-  
256 tion could improve growth and/or allow plants to adequately synthesise proteins at lower  
257 cytoplasmic K<sup>+</sup> levels. In contrast to the above, the connection between Na<sup>+</sup> and K<sup>+</sup>  
258 (and hence between Na<sup>+</sup> and KUE) is well established (e.g. Maathuis and Amtmann,

259 1999). Thus the appearance of two putative Na<sup>+</sup> transporters, in combination with sig-  
260 nificant signals in the root Na<sup>+</sup> and shoot Na<sup>+</sup> traits, strongly suggest that Na<sup>+</sup> transport  
261 is an important contributing factor in KUE.

### 262 ***HKT2;1 Plays a Role in KUE via Shoot Sodium***

263 The cation transport category contains two 'high affinity K transporters'. HKT2;1 and  
264 HKT2;4 are part of significant association signals when either root or shoot Na<sup>+</sup> concen-  
265 tration was used as trait. HKT2;4 (Os06g48800) is located in the plasma membrane and  
266 expressed in the peripheral layers of rice roots and in the shoot vasculature (Sassie et  
267 al., 2012). Members of subgroup II HKTs typically perform K:Na cotransport but in het-  
268 erologous systems HKT2;4 was shown to move K<sup>+</sup> without the need for Na<sup>+</sup> (Horie et al.,  
269 2011). Thus, HKT2;4 could be involved in K<sup>+</sup> (re)distribution, for example between root  
270 and shoot. However, its loss of function did not generate a K<sup>+</sup>-dependent phenotype,  
271 though this could be due to functional redundancy with, for example, the very similar  
272 HKT2;3 (Horie et al., 2011).

273 In contrast to HKT2;4, HKT2;1 strongly discriminates against K<sup>+</sup> and, in a physiological  
274 context, is believed to exclusively function as a Na<sup>+</sup> transporter (Horie et al., 2007; Mi-  
275 yamoto et al., 2012). This would fit in with the observation that HKT2;1 is associated  
276 with tissue Na<sup>+</sup> phenotypes (Suppl Table 5). Earlier work by Horie et al, (2007) showed  
277 that HKT2;1 is mostly expressed in rice roots and that expression is induced during low  
278 K<sup>+</sup> conditions. Furthermore, HKT2;1 was previously identified in a QTL associated with  
279 high Na<sup>+</sup> accumulation in K-deficient rice plants (Miyamoto et al., 2012). Thus, HKT2;1  
280 has been identified in multiple QTL studies and is transcriptionally regulated in a K<sup>+</sup> de-  
281 pendent manner. It therefore forms a high confidence candidate that impacts on KUE  
282 via the replacement of non essential K<sup>+</sup> by the physico-chemically similar monovalent  
283 Na<sup>+</sup>.

284 Na<sup>+</sup> behaves as a beneficial nutrient for K<sup>+</sup>-starved glycophytes when present at mod-  
285 erate concentration (e.g. Maathuis, 2013). Substitution of K<sup>+</sup> by Na<sup>+</sup> in such conditions  
286 could make a valuable contribution to maintaining non-critical functions of K<sup>+</sup>, such as  
287 turgor generation, and thus contribute to KUE. Detailed growth experiments with one of

288 the cultivars (IR64) show that there is a clear negative correlation between external  $K^+$   
289 levels and tissue  $Na^+$ , for both roots and shoots (Fig. 6). In addition, our physiological  
290 data suggest that raised root and shoot  $Na^+$  has a positive effect on KUE: Fig. 3 shows  
291 that both root and shoot levels of  $Na^+$  negatively correlate with KUE-RGR but that this is  
292 clearly more significant for shoot  $Na^+$  in the LK treatment. This phenomenon also be-  
293 comes clear when overall tissue cation composition is compared between high and low  
294 KUE lines (Table 1). In HK conditions, shoot  $K^+$  ( $\sim 650 \text{ umol gDW}^{-1}$ ) and shoot  $Na^+$  ( $\sim 50$   
295  $\text{umol gDW}^{-1}$ ) generate a K:Na ratio of around 10-18, and is similar for high and low  
296 KUE accessions (Table 1), using either KUE definition. But LK treatment causes a dra-  
297 matic change in the K:Na ratio to less than one of around 0.7 and 0.3 in low and high  
298 KUE lines respectively, reflecting the greater capacity of high KUE cultivars to exploit  
299  $Na^+$  as a  $K^+$  replacement.

300 Since there is a clear positive impact of  $Na^+$  on KUE-RGR it is imperative to identify the  
301 molecular mechanisms involved. Our GWAS studies identified HKT2;1 as a potential  
302 causative agent for  $Na^+$  dependent variation in KEU. There is considerable allelic varia-  
303 tion in the HKT2;1 coding sequence which contains 5 non-synonymous SNPs that are  
304 located in the cytoplasmic N terminal and at the end of the 1<sup>st</sup> and 6<sup>th</sup> transmembrane  
305 spans (Oomen et al., 2012). Extensive measurements on oocytes that heterologously  
306 express HKT2;1 showed that neither of the amino acid substitutions has a significant  
307 effect on HKT2;1 functional properties (Oomen et al., 2012). However, the HKT2;1 pro-  
308 moter region contains a large number ( $>50$ ) of polymorphisms (e.g [http://snp-  
310 seek.irri.org/](http://snp-<br/>309 seek.irri.org/)), many of which are located in transcription factor binding domains (e.g.  
311 PlantPan2; <http://plantpan2.itps.ncku.edu.tw/>) and consequently could affect expression  
312 levels. We therefore tested whether HKT2;1 expression levels differed between five  
313 high and five low KUE lines grown on 0.01 mM  $K^+$  and with or without 1 mM  $Na^+$ . Figure  
314 7 shows that in these very low  $K^+$  grown plants, the average expression level of HKT2;1  
315 in both low and high KUE lines is induced in the presence of  $Na^+$  (1 mM) as was re-  
316 ported previously (Horie et al., 2007). However, in both conditions, HKT2;1 expression  
317 levels were more than two fold higher in high KUE lines, a difference that was highly  
318 significant in the minus NaCl condition ( $p=0.015$ ) but less so in the plus NaCl treatment  
( $p=0.066$ ).

319 Although no significant association signals were detected, further Na<sup>+</sup> transporters may  
320 be involved in tissue K<sup>+</sup> substitution by Na<sup>+</sup>: For example, OsHKT1;5 is involved in  
321 shoot Na<sup>+</sup> exclusion by retrieving Na<sup>+</sup> from the xylem stream and via phloem recircula-  
322 tion (Kobayashi et al., 2017). Downregulation of this mechanism during low K<sup>+</sup> condi-  
323 tions could therefore augment K<sup>+</sup> substitution. Other HKTs such as OsHKT2;2, which is  
324 primarily root located and could mediate uptake of both K<sup>+</sup> and Na<sup>+</sup> (Oomen et al.,  
325 2012), is another potential contributor.

### 326 **Conclusions**

327 A clearer picture of the physiological and molecular underpinnings of KUE variability  
328 would be extremely useful in developing high KUE crops. Differences in KUE can be  
329 achieved through various mechanisms including: an altered cellular K<sup>+</sup> distribution, es-  
330 pecially between vacuole and cytoplasm; tissue K<sup>+</sup> distribution, i.e. preferential alloca-  
331 tion of K<sup>+</sup> to the most sensitive tissue such as translocation to the shoot; changes in K<sup>+</sup>  
332 uptake capacity, especially at low external K<sup>+</sup>; changes in K<sup>+</sup> supply such as enhancing  
333 available soil K<sup>+</sup> via root exudation; and the functional replacement of K<sup>+</sup> with other ions  
334 such as Na<sup>+</sup> and Ca<sup>2+</sup>. The relative contribution of these mechanisms is largely un-  
335 known and may depend on plant species, developmental stage and soil properties.

336 In this study, KUE was explored using a rice diversity panel. Variation in KUE was found  
337 to be considerable and the underlying genetic architecture was examined. By deliber-  
338 ately applying high stringency criteria KUE-related high resolution QTLs were discov-  
339 ered that identified K<sup>+</sup> substitution by Na<sup>+</sup> as a likely component of KEU in low K<sup>+</sup> condi-  
340 tions. Although it is likely that multiple Na<sup>+</sup> and K<sup>+</sup> transporters play a role in this process,  
341 OsHKT2;1 emerged as the prime suspect responsible for increased Na<sup>+</sup> uptake. This  
342 transporter and other identified candidates could serve as breeding targets to improve  
343 crop performance during low K<sup>+</sup> conditions.

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### 346 **Supplementary Data**

347 **Suppl. Tables:** spreadsheet Tables containing extended genotype and phenotype data.

348 **Suppl. Figure 1:** correlations between growth and tissue Na<sup>+</sup> concentrations.

349 **Suppl. Figure 2:** all Manhattan plots of GWAS analyses

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**Table 1: Growth and tissue cation concentrations for high and low KUE accessions**

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**KUE\_K****KUE\_RGR**

	low KUE	high KUE		low KUE	high KUE
RGR	0.088	0.11	RGR	n.d.	n.d.
DW HK (g)	0.45	0.79	DW HK (g)	0.72	0.42
DW LK (g)	0.26	0.47	DW LK (g)	0.29	0.34
ShootK HK (mM)	656	646	ShootK HK (mM)	713	626
ShootK LK (mM)	244	86	ShootK LK (mM)	136	135
ShootNa HK (mM)	47	40	ShootNa HK (mM)	39	66
ShootNa LK (mM)	352	232	ShootNa LK (mM)	197	369
RootK HK (mM)	253	184	RootK HK (mM)	236	170
RootK LK (mM)	57	52	RootK LK (mM)	53	59
RootNa HK (mM)	92	67	RootNa HK (mM)	79	91
RootNa LK (mM)	104	148	RootNa LK (mM)	140	200
ShootK:Na ratio (HK)	14	16.2	ShootK:Na ratio (HK)	18.3	9.5
ShootK:Na ratio (LK)	0.69	0.37	ShootK:Na ratio (LK)	0.69	0.37

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<b>Table 2: Summary of quantitative trait loci identified in GWAS</b>				
<b>Trait</b>	<b>Description</b>	<b>Chr</b>	<b>Position</b>	<b>Significant SNP Positions</b>
RGR_LK	Relative growth rate at low K treatment	1	22,260,180 - 22,463,799	22,360,180; 22,361,410; 22,361,482; 22,363,799
RGR_K	K use efficiency defined as RGR/shoot K concentration at LK treatment	1	34,344,598 - 34,563,159	34,444,598; 34,463,159
NaR_LK	Root Na concentration at low K treatment	6	29,440,164 - 29,640,591	29,540,164; 29,540,591
NaS_LK	Shoot Na concentration at low K treatment	6	29,440,164 - 29,640,591	29,540,164; 29,540,591

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503 **Figure Legends**

504 **Figure 1:** Responses of rice genotypes to potassium stress. **a)** Mean plant dry mass of  
505 cultivars when grown in the presence of 0.1 (LK) and 1 (HK) mM potassium. **b)** Relative  
506 plant dry mass (dry mass LK/ dry mass HK). **c)** Reduction in relative growth rate (RGR)  
507 in LK compared to HK conditions.

508 **Figure 2:** Distribution of root (top two panels) and shoot (bottom two panels) K<sup>+</sup> concen-  
509 tration across the diversity panel for plants grown on LK (0.1 mM) and HK (1 mM) K<sup>+</sup>  
510 medium.

511 **Figure 3:** Significant ( $p < 0.05$ ) correlation between RGR reduction and shoot tissue Na<sup>+</sup>  
512 concentration of plants grown on LK medium.

513 **Figure 4:** Manhattan plots for traits (RGR at LK, KUE-K, root [Na<sup>+</sup>] at LK and shoot  
514 [Na<sup>+</sup>] at LK) that generated significant association signals (arrows) using criteria as ex-  
515 plained in the Methods. Note that 'shoot Na' and 'root Na' trait data associate with the  
516 same locus on chromosome 6.

517 **Figure 5:** Co-occurrence of previously described QTLs and loci identified in this study re-  
518 lated to low K<sup>+</sup> growth in the rice genome. Each bar represents a chromosome and pre-  
519 viously reported QTLs are marked in white (Wu et al., 1998), yellow (Miyamoto et al.,  
520 2012) or red (Fang et al., 2015). Triangles indicate the position of QTLs derived from  
521 this study.

522 **Figure 6:** Reducing levels of medium K<sup>+</sup> drastically increases Na<sup>+</sup> concentrations in  
523 both roots and shoots of rice cultivar IR64. Plants were grown hydroponically for 7  
524 weeks in the presence of varying K<sup>+</sup> levels and 3 mM NaCl. Error bars show SD of three  
525 biological replicates.

526 **Figure 7:** qPCR analysis of HKT2;1 expression in roots of 5 high KUE cultivars (GSOR  
527 54, 109, 133, 357 and 366, see Suppl Table 1) and 5 low KUE rice cultivars (GSOR 42,  
528 115, 276, 377 and 401). Plants were grown for 4 weeks in medium containing 0.01 mM  
529 K<sup>+</sup> supplemented with 0 or 1 mM NaCl. Data are means for 3 biological replicates with  
530 error bars denoting SD.

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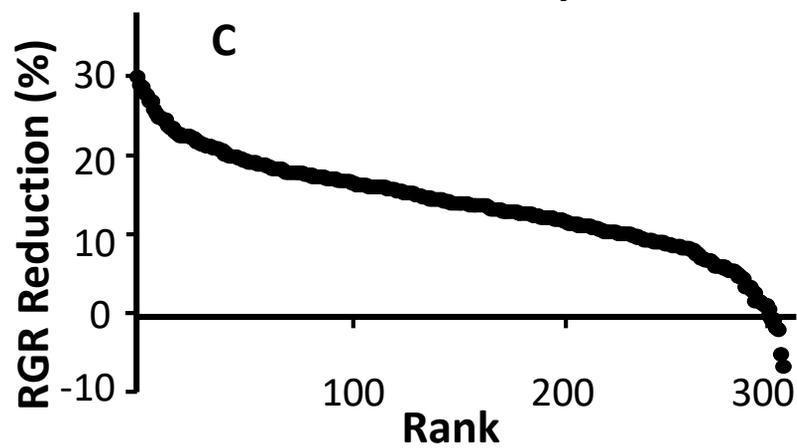
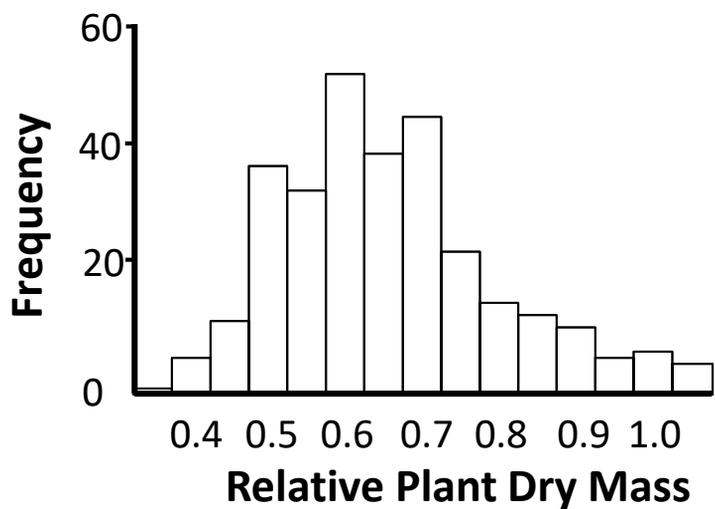
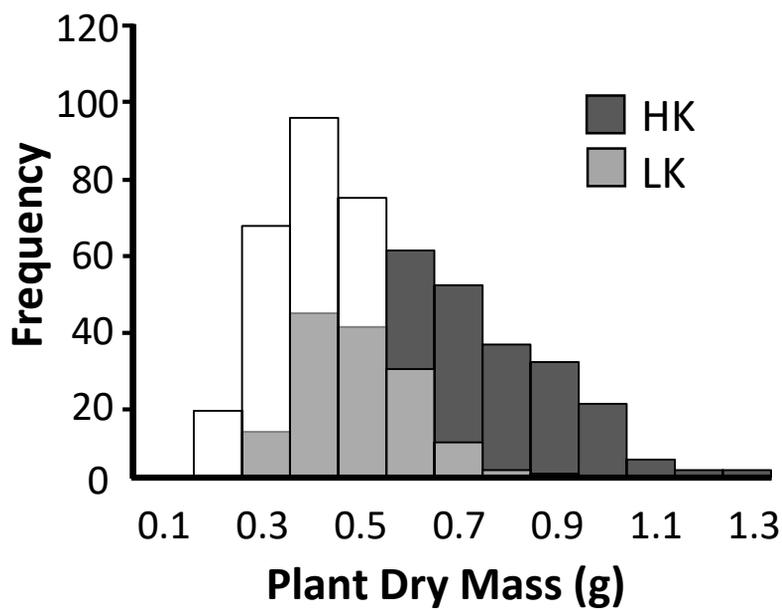


Fig 2

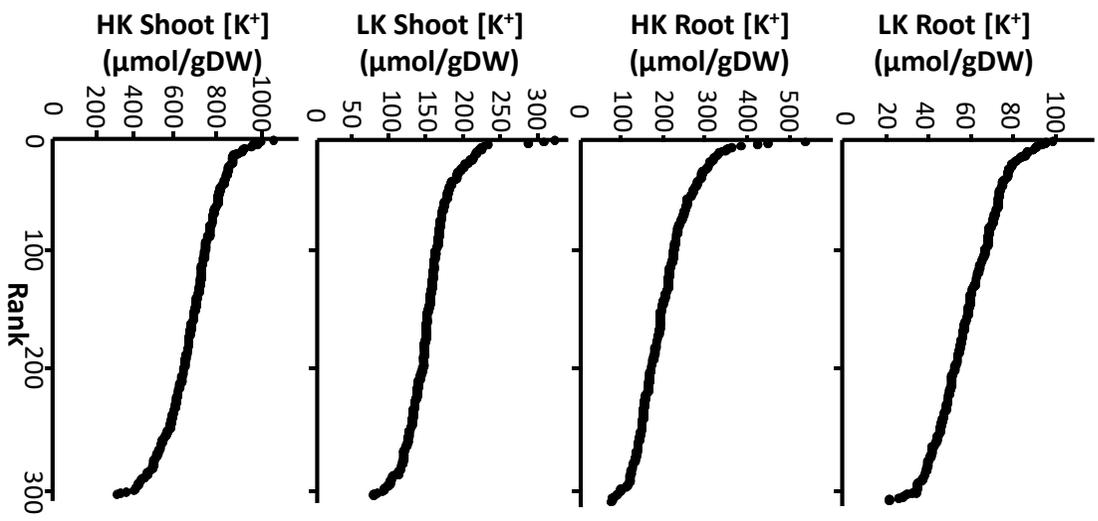


Fig 3

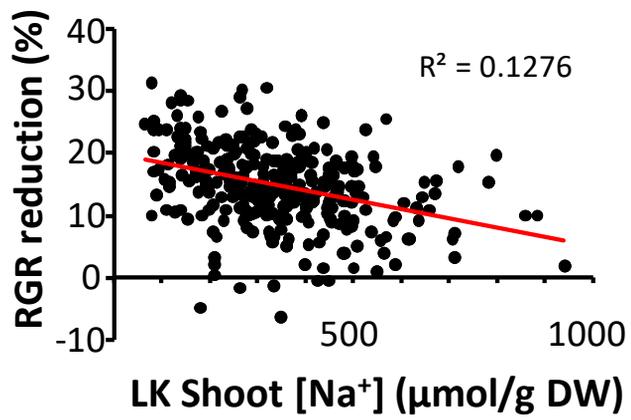


Fig 4

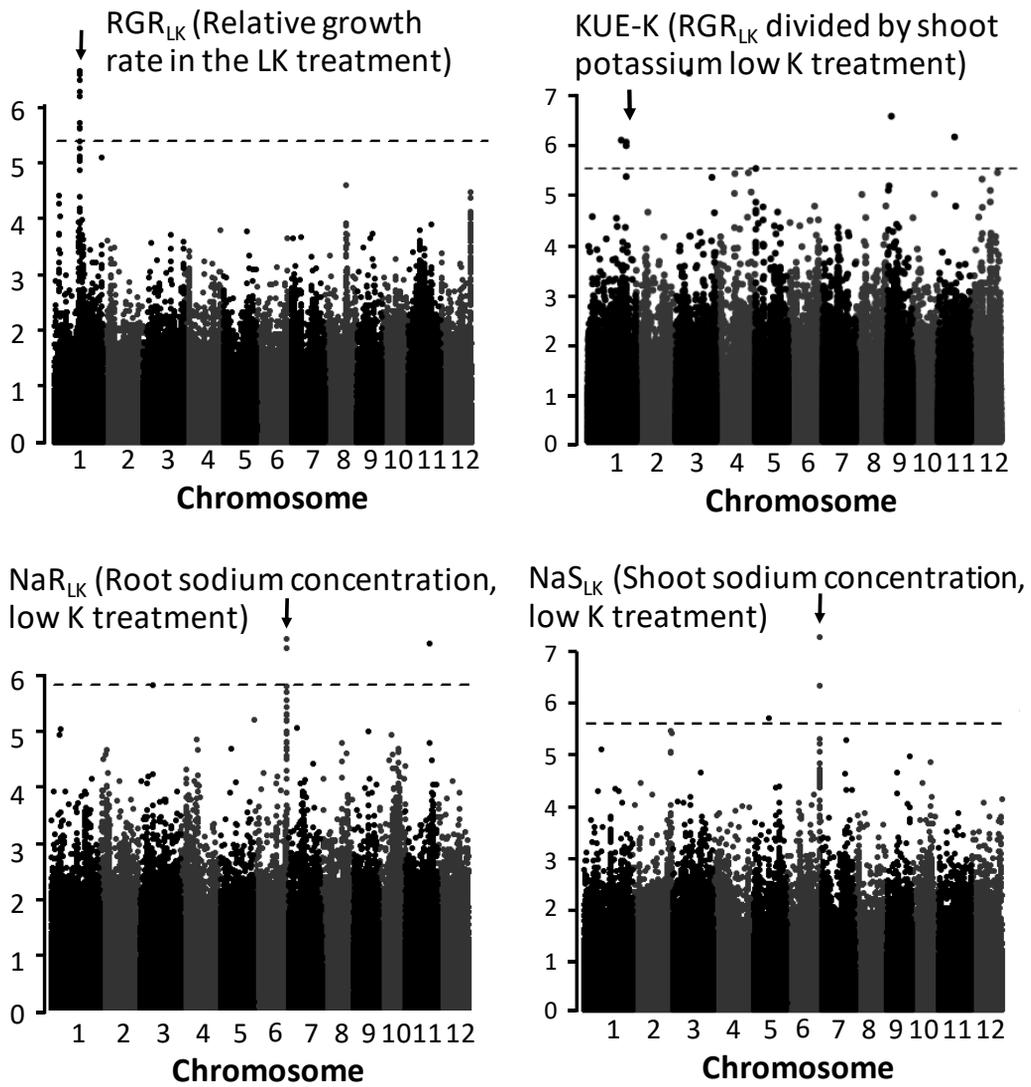




Fig 6

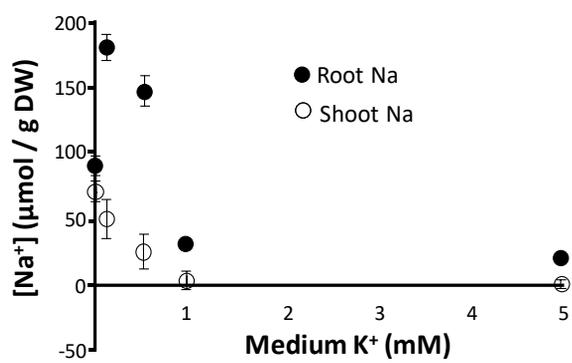


Fig 7

