


Immunophenotypes of pancreatic ductal adenocarcinoma: Meta-analysis of transcriptional subtypes

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Pancreatic ductal adenocarcinoma (PDAC) is the most common malignancy of the pancreas and has one of the highest mortality rates of any cancer type with a 5-year survival rate of <5%. Recent studies of PDAC have provided several transcriptomic classifications based on separate analyses of individual patient cohorts. There is a need to provide a unified transcriptomic PDAC classification driven by therapeutically relevant biologic rationale to inform future treatment strategies. Here, we used an integrative meta-analysis of 353 patients from four different studies to derive a PDAC classification based on immunologic parameters. This consensus clustering approach indicated transcriptomic signatures based on immune infiltrate classified as adaptive, innate and immune-exclusion subtypes. This reveals the existence of microenvironmental interpatient heterogeneity within PDAC and could serve to drive novel therapeutic strategies in PDAC including immune modulation approaches to treating this disease.

Introduction

Despite on going improved understanding of the genetics and molecular biology of Pancreatic Ductal Adenocarcinoma (PDAC), prognosis remains strikingly poor with five-year survival rate <5%.¹ Improving outcomes may be achieved through accurate subtyping upon detection to better tailor therapeutic strategies.

Using transcriptomics is an attractive option to sub classify cancers. For example, most notably researchers in colorectal cancer have developed a consensus classification has four subtypes with biological and therapeutic relevance.² Gene expression profiles of tumours are a combined readout of the tumour's genetic and epigenetic status, as well as the composition of other cells in

the microenvironment that are sampled in the biopsy. The micro-environment includes tumour stroma, host connective tissue cells that change in response to signals from the tumour cells, and innate and adaptive immune system cells.^{3,4}

Several recent studies have described the transcriptomic landscape of PDAC and identified different subtypes with different clinical outcomes and drug sensitivities.^{5–8} Table 1 summarises several notable studies. Collisson *et al.* proposed the first classification in 2011 by using unsupervised clustering methods on transcriptomic data.⁵ This had identified three subtypes: classical, quasimesenchymal and exocrine-like, which have different prognoses and different responses to treatment. This classification has

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What's new?

While several transcriptomic classifications of pancreatic adenocarcinoma (PDAC) have been proposed, a unified classification would be valuable to inform future treatment strategies. Through an integrative meta-analysis of 353 patients from four different studies, the authors found that the greatest prognostic value in independent cohorts could be achieved through stratification by gene expression signatures associated with tumour-infiltrating immune cells across different pancreatic cancer subtypes. Recognising the existence of different tumour escape mechanisms (and indeed phenotypes) in pancreatic cancer may guide immunotherapeutic treatment plans and improve patient stratification for maximization of therapeutics.

subsequently been challenged and revised, with newer classifications being put forward.^{6–8} Moffitt *et al.* identified 4 groups: 2 stroma and 2 non-stroma tumour groups.⁶ Moffitt subtitled PDAC ‘classical’ and ‘basal-like’ based on the pancreatic tumour cells and ‘normal stroma’ and ‘activated stroma’ based on the virtually micro-dissected stroma cell population. Bailey *et al.* demonstrated 4 subtypes with different characterisations and different prognoses: ‘squamous’, ‘pancreatic progenitor’, ‘immunogenic’ and ‘aberrantly differentiated endocrine exocrine’ (ADEX).⁷ Sivakumar *et al.* used a master regulator approach based on the transcriptional effects of oncogenic *KRAS* to determine 3 subtypes that showed different prognoses, characteristics and treatment strategies.⁸

Other studies that have derived prognostically significant transcriptional classifiers include Birnbaum *et al.* who performed a previous meta-analysis of the Collisson, Moffitt and Bailey classifications showing concordance between the Collisson and Moffitt tumour gene lists and concordance between the Moffitt stroma gene lists and Bailey classification.⁹ Further transcriptome analysis from Mao *et al.* in a small cohort of 10 patients has shown there is a differential transcriptome in pancreatic cancer.¹⁰ A smaller gene expression study showed there were three subtypes using a gene expression microarray

that has prognostically significant groups.¹¹ Another smaller master regulator study by Janky *et al.* demonstrates there are four prognostically significant subtypes.¹² Further studies by Haider *et al.*,¹³ Kirby *et al.*¹⁴ and Stratford *et al.*¹⁵ all elucidated prognostic transcriptomic signatures of 36, 19 and 6 genes, respectively. Donahue *et al.* using various cohorts performed an integrative analysis that identified 171 genes that elicited another prognostic signature.¹⁶ A study by Connor *et al.* used mutational signatures to derive four subtypes: age related, double-strand break repair, mismatch repair, and one with unknown aetiology with the double-strand break repair and mismatch repair groups were associated with CD8 T cells activation and increased T regs.¹⁷ In two papers by Witkiewicz and colleagues, a relationship between the immune status and genomics was described and classified PDAC into four subtypes: mutationally cold, hot, mutationally active and cold with cold having the best prognosis.^{18,19}

These studies suggest that it is possible to categorise PDAC through transcriptomics, mutational signatures or immunological status. However, this has not become the accepted clinical approach because of problems inherent with the approach – too many non-overlapping signatures, inadequate clinical relevance

Table 1. Pancreatic ductal carcinoma and cancer subtyping signatures and studies used in this study

	Technique of discovery	Sub-type	Prognosis	Composition	Therapeutics
Collisson	Non-negative matrix factorization (NMF) analysis with consensus clustering	Classical	Good	N/A	Erlotinib
		Exocrine	Average	N/A	Non-proposed
		Quasi-mesenchymal	Poor	N/A	Gemcitabine
Moffitt	Non-negative matrix factorization (NMF) and digital separation	Tumour: classical	Average (median survival 19 months)	N/A	N/A
		Tumour: basal-like	Poor (median survival 11 months)	N/A	Better response to adjuvant therapy
		Stroma: normal	Good (median survival 20 months)	Stellate cells	N/A
		Stroma: activated	Poor (median survival 15 months)	Macrophages	N/A
Bailey	Unsupervised clustering	Squamous	Poor	Macrophages	N/A
		Pancreatic Progenitor	N/A	N/A	N/A
		Immunogenic	N/A	B cells and T cells	Checkpoint blockade
		ADEX	N/A		
Sivakumar	Master regulator Analysis	Notch	Good	T cell infiltration	Immunotherapy
		Cell cycle	Average	N/A	Adjuvant therapy
		Hedgehog	Poor	Macrophages	N/A

and poor mechanistic underpinning. It would thus be valuable to consolidate different stratification schemes into a novel classification of pancreatic cancer and develop reliable and robust biomarkers to better predict outcomes and rationalise therapeutic strategies.

In response to this unmet need, we have developed an immunological classification scheme from four notable transcriptomic PDAC sub typing studies (Table 1).^{5–8} PDAC has previously been shown to have different immune populations in its microenvironment.²⁰ In light of the tumour having a complex immune composition, the tumour microenvironment can be immunosuppressive or tolerant and this can be due to immune dysfunction.^{21,22} However, immunotherapy approaches have not yet had an impact on pancreatic cancer survival.^{23,24} Our meta-analysis reveals that the greatest prognostic value in independent cohorts could be achieved through stratification by gene expression signatures associated with tumour infiltrating immune cells across different PDAC subtypes. Recognising the existence of different tumour escape mechanisms (and indeed phenotypes) in PDAC may guide distinct immunotherapeutic treatment plans and improve patient stratification for maximisation of therapeutic effect.

Methods

Data download: gene expression profiles, clinical and mutations datasets

The PACA-AU gene expression data ($n = 269$) plus clinical and mutational profiles were obtained from ICGC data portal (https://dcc.icgc.org/releases/release_24/Projects/PACA-AU). TCGA raw counts files for RNA-seq data ($n = 177$) were obtained from the GDC portal (<https://portal.gdc.cancer.gov/>). Clinical metadata and Mutation annotation files (MAF) for the TCGA cohort was obtained from the GDC legacy archive (<https://portal.gdc.cancer.gov/legacy-archive/>) with the UUIDs: a9f29dc4-6a6a-42f3-b06d-9e6ded926b55 (clinical metadata) and faf50bd9-bfc8-4dfa-b0ca-9184e44fb07f (MAF file). The UNC gene expression data ($n = 132$) plus clinical profiles were obtained from gene expression omnibus (GEO) archive under the accession number GSE21501. Out of 132, in the UNC cohort, 30 were excluded due to unavailability of survival time in the clinical table.

Processing of gene expression data

Batch effects were removed by applying the ComBat algorithm.²⁵ TCGA RNA-seq data was transformed with the variance-stabilising transformation method²⁶ prior to removing batch effects. Batch IDs for the TCGA cohorts were obtained from the sample barcode, namely the 'plate' id as described in <https://wiki.nci.nih.gov/display/TCGA/TCGA+Barcode>.

Classification of PACA-AU and TCGA cohorts according to five previous classification schemes

The R package ConsensusClusterPlus²⁷ was employed to subtype PDAC samples according to the expression signatures defined in

Moffitt *et al.*⁶ and Collisson *et al.*⁵ (Supporting Information Fig. S1). The number of clusters was confirmed by examining cumulative distribution function (CDF). We confirmed the existence of well-separated clusters for Moffitt *et al.* classification based on tumour (two clusters: basal-like and classical) and stroma signatures (2 clusters: stroma and activated stroma). For the Collison *et al.* classification we confirmed the existence of evident 3 clusters (classical, exocrine-line and quasimesenchymal). Bailey *et al.* cluster labels were directly downloaded from (PACA-AU cohort)⁷ and TCGA cohort.²⁸ Sivakumar *et al.* cluster labels were directly downloaded from.⁸

Identification of immunophenotypes

To identify a meaningful agreement of the multiple clustering labels we used consensus clustering and the partition around medoids (PAM) algorithm to cluster the PACA-AU and TCGA cohorts according to the similarity of their labels. For the PACA-AU cohort, we only included tumours that were classified as 'Pancreatic Ductal Adenocarcinoma' according to the tumour histological type classifications and were also labelled by all 5 classification schemes, which corresponded to 204 PDAC tumours. For the TCGA cohort we included only the filtered PDAC cases according to Raphael *et al.*, this corresponded to 149 TCGA tumours.²⁸ The Hamming distance was used as a measure of similarity between PDAC tumours. The robustness of sample classification was analysed by examining cumulative distribution function (CDF) of the proportion of times in which 2 samples are clustered together across the resampling iterations (1,000×).²⁹ For varying number of clusters ($K = 2$ to $K = 7$), we examined the area under the curve of the consensus distribution function (CDF) plot (Supporting Information Figs. S2 and S3) and identified three robust novel subtypes in both cohorts.

Survival analysis

Multivariate Cox regression, log-rank test and Kaplan–Meier estimators were implemented using the R package survival. For the PACA-AU cohort (Fig. 2c), we adjusted the survival differences for age, gender, and tumour stage and tumour grade. For the TCGA cohort (Fig. 2e), we adjusted for age, gender, tumour stage, tumour grade and targeted therapy indicator (yes/no). Tumour stage refers to the TNM Staging System based on the extent of the tumour (T). The targeted therapy indicator refers to whether the patient had adjuvant and/or postoperative pharmaceutical therapy. More specific information about the treatment regime was not available. The correlation between immune cell scores and survival (Fig. 3c) was performed using a multivariate cox regression model adjusting for age, gender, tumour grade and stage (PACA-AU and TCGA cohorts) and adjusting for tumour stage (TMN T stage) for the UNC cohort. For the TCGA cohort we only included cases with no targeted therapy.

Analysis of immune infiltrates and tumour purity

Two main methodologies were used to identify immune cell types enriched in the tumour microenvironment. For three

of the gene signatures^{30–32} we used single-sample gene set enrichment analysis (ssGSEA) method implemented in the GSVA R package.³³ For the Aran gene signatures we used the xCell method implemented in R.³⁴ The xCell method also relies on ssGSEA analysis but contains an additional step, which uses a reference matrix of ‘spillovers’ between cell types. The ‘spillover’ step is thought to better eliminate dependencies between closely related cell types (e.g. such as between CD8+ T-cells and NK cells). Log₂(TPM + 1) gene expression levels from the TCGA cohort were used to estimate the enrichment with the ssGSEA method, and TPM levels were used with the xCell method. Normalised microarray gene expression levels (Illumina Expression BeadChIPs) from the PACA-AU cohort were used directly in both methods.

ESTIMATE was used to gauge the degree of leukocyte infiltration, stromal content and tumour purity.³ Data was summarised per PDAC subtypes in the PACA-AU and TCGA cohorts (Fig. 5e; Supporting Information Fig. S5).

Histopathology analysis

TCGA H&E Slides were viewed on the NCI data portal <https://portal.gdc.cancer.gov/>. Two pathologists reviewed the slides and assessment of tumour cellularity and dominant immune cell type (lymphocyte versus neutrophils) were made.

Mutation analysis

Mutational signature analysis was performed using the DeconstructSigsR Package.³⁵ We determined the contribution of 30 signatures defined in COSMIC (<http://cancer.sanger.ac.uk/signatures/>) to explain each pancreatic cancer mutational profile. Normalisation was relative to the number of times each trinucleotide context is observed in the exome. The output was a set of weights specifying the estimated contribution of each of the 30 known signatures to the mutation profile. MAF files were parsed with maftools³⁶ (Supporting Information Fig. S7). Number of neoantigens per tumour in the TCGA cohort was retrieved from The Cancer Immunome Atlas database (<https://tcia.at/home>).³¹

Statistical analysis

All statistical analyses: Fisher’s exact test, Chi-square test, Wilcoxon rank sum test, hypergeometric test, and hierarchical clustering, were performed using R.³⁷ Multiple test correction was performed using the R function *p.adjust* and the Benjamini and Hochberg (FDR) method. Jaccard coefficients were computed using the R package *rules*. Differential expression analysis between the groups was carried out using the Welch’s test implementation in R and by comparing each group against all others. Welch’s test is a variant of the classical Student test, whose goal is to test the equality between two means taking assuming different variances between two groups. When necessary, Ensembl or Entrez IDs were mapped to human HUGO identifiers using Ensembl version 89 biomart (<http://www.ensembl.org/biomart>). R code, sample IDs and final sample cluster

memberships for the TCGA and PACA-AU cohorts are provided as a Supporting Information file (Additional File 1) and in Supporting Information Table S1.

Results

PDAC meta-analysis

We used published exemplar gene signature for data from Collison *et al.* and Moffitt *et al.* to cluster 242 PDAC primary tumour cases from the PACA-AU cohort (Supporting Information Fig. S1). We further obtained and applied clustering labels identified by Bailey *et al.* and Sivakumar *et al.* also from the PACA-AU cohort. Figure 1a summarises the workflow of our analysis.

To identify a meaningful accordance of the multiple clustering labels we used consensus clustering and the partition around medoids (PAM) algorithm to cluster the 204 PDAC tumours according to the similarity of their labels and identified three robust novel subtypes (Fig. 1b; Supporting Information Fig. S2). Visualisation of the similarity network between tumour labels revealed that consensus samples remained grouped between the three large primary network hubs (Fig. 1b). We used 150 TCGA samples that were previously classified to perform the same classification using the PAM algorithm in an independent cohort (Supporting Information Fig. S3). Notably, the same three subtypes were identified in the TCGA cohort (Fig. 1c) with the three main subtypes showing a stable and consistent pattern of co-associated labels (Fig. 1d; Supporting Information Fig. S4). The subtype 1 cluster overlapped between Moffitt basal-like, Bailey squamous, Collison QM-PDA and Moffitt activated stroma subtypes (Fig. 1d). The subtype 2 cluster consisted of a statistically significant overlap between the Bailey ADEX, Collison exocrine-like, Sivakumar Notch and Moffitt normal-stroma subtypes. Finally, the subtype 3 cluster overlapped with the classical subtypes identified by Moffitt and Collison studies and cell-cycle group identified by Sivakumar *et al.* (overlaps were considered significant at hypergeometric FDR adjusted $p \leq 0.05$ in both cohorts; Fig. 1d).

Clinicopathological characteristics of PDAC subtypes

Clinical features, including age, gender, tumour grade and TNM, were statistically compared between the three clusters. Two-way contingency table analysis showed significant association between grade and cluster subtype, with subtype 1 tumours more likely to be grade 3 (Chi-square test $p = 0.002$ PACA-AU cohort; Fig. 2a) and subtype 2 tumours more likely to be grade 1 (Chi-square test $p = 0.033$ for the TCGA cohort; Fig. 2b). Tumour stage and gender did not correlate with the subtypes in any of the cohorts. Similarly, the average age of diagnosis was not significantly associated to any cluster subtype (Figs. 2a and 2b; Supporting Information Table S2).

To determine whether patients belonging to a certain PDAC cluster have different outcomes, we performed a Cox proportional hazards analysis. We observed differences in

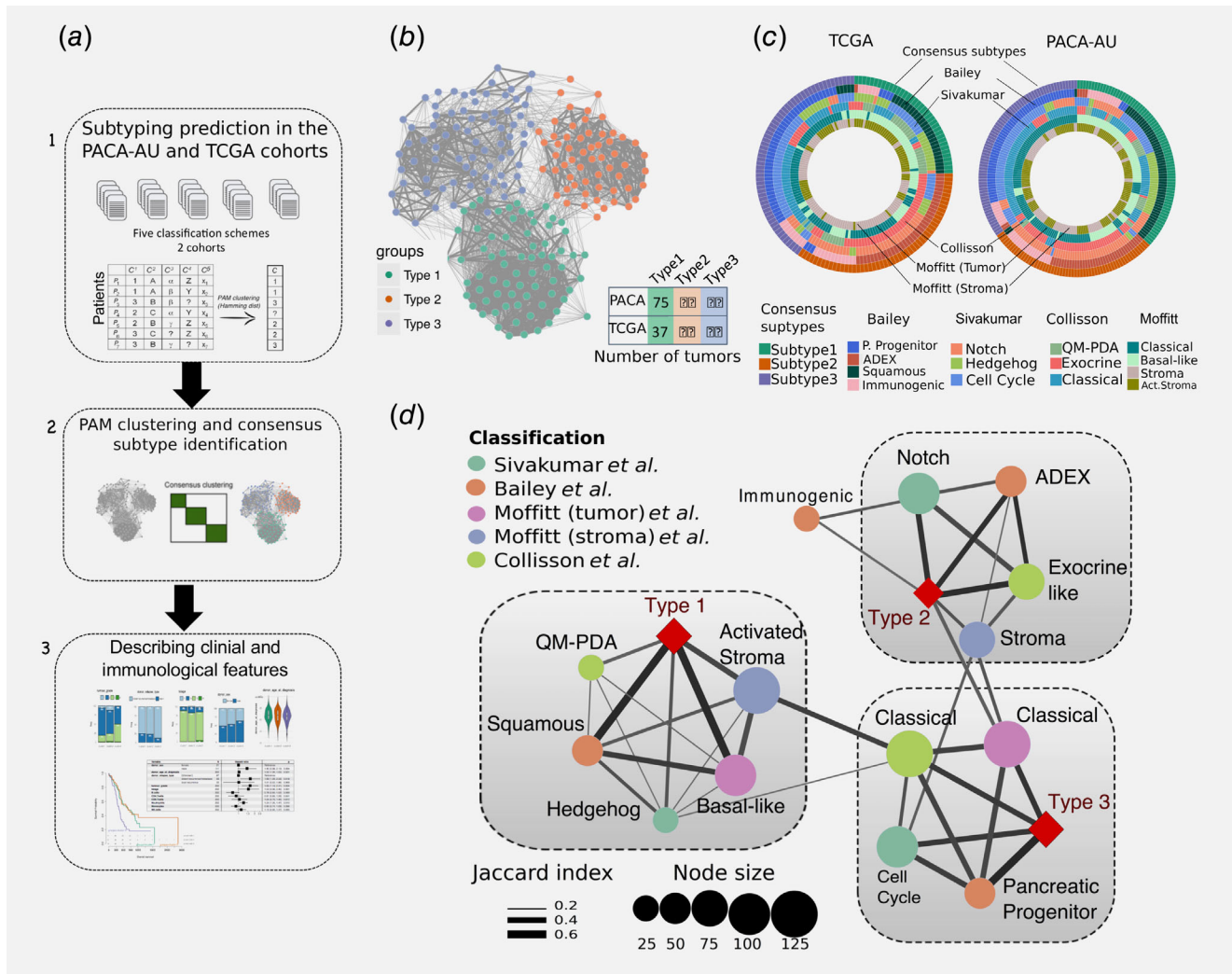


Figure 1. Identification of the consensus subtypes of PDAC. (a) Analytical workflow of the PDAC subtyping: (1) subtype classification using methodology from five different classification schemes; (2) concordance analysis of the five subtyping labels and application of PAM clustering algorithm to identify consensus clusters; (3) analysis of clinicopathological and immunophenotypes of PDAC consensus subtypes and identification of immune cell signatures with prognostic value in independent PDAC cohorts. (b) Patient similarity network. Each node represents a single patient sample in the PACA-AU cohort ($n = 204$). Network edges correspond to highly concordant (at least 5 of 6) subtyping calls between samples. Nodes are coloured according to the three clusters identified from the PAM consensus clustering algorithm. (c) Circular heatmap representing sample overlap for consensus PDAC subtypes and mRNA subtypes from Bailey *et al.*, Sivakumar *et al.*, Collisson *et al.*, or Moffitt *et al.* (from inside to outside, respectively). Significance of sample overlap was assessed with the hypergeometric test, adjusted p values for each pairwise comparison are depicted in Supporting Information Figure S4. (d) Association of consensus PDAC subtypes identified by PAM clustering (red refers to this study: Type 1, Type 2, Type 3) with tumour labels across five classification systems. Each node corresponds to a single subtype (circles are coloured according to classification study; red diamonds correspond to the consensus subtypes). Edge width corresponds to the overlap between labels assessed by the Jaccard coefficient in the PACA-AU cohort, only significant edges are depicted (hypergeometric $P \leq 0.05$). The three grey rectangles delineate clusters of tumour labels that overlap the three PDAC consensus groups (Type 1–3) with FDR adjusted $P \leq 0.05$. [Color figure can be viewed at wileyonlinelibrary.com]

prognosis of different PDAC subtypes, with subtype 1 tumours associated to worst overall survival and higher HR (Figs. 2c–2f; Supporting Information Table S3) in multivariable analyses, after adjustment for several clinicopathological features, including age, gender, tumour stage and grade. This difference was only statistically significant in the PACA-AU cohort (subtype 1 vs. subtype 2: $p = 1.3 \times 10^{-2}$ HR = 1.9; 95% CI = [1.1–3.0]) and not in the TCGA cohort (subtype 1 vs.

subtype 2: $p = 0.2$; HR = 1.6; CI = [0.8–3.2]). However, we noticed a strong influence ($p = 5.35 \times 10^{-7}$; HR = 4.8; CI = [2.6–9.0]) of ‘targeted therapy’ variable in overall survival (Fig. 2e) and this might explain our inability to completely reproduce survival results observed in the PACA-AU cohort. Differential prognosis associated with the stroma type were also evident, as Moffitt classical tumour subtypes with normal stroma (enriched in subtype 2) had the best prognosis, while Moffitt

basal-like tumour subtypes with activated stroma (enriched in subtype 1) had the worst prognosis. Patients in the Sivakumar Notch group (enriched in subtype 2) also displayed the best overall survival rates.⁸

Immuno-phenotypes of pancreatic adenocarcinoma

Analysis of the tumour microenvironment has revealed that populations of tumour infiltrating immune cells have significant prognostic value in a variety of solid tumours.^{3,38,39}

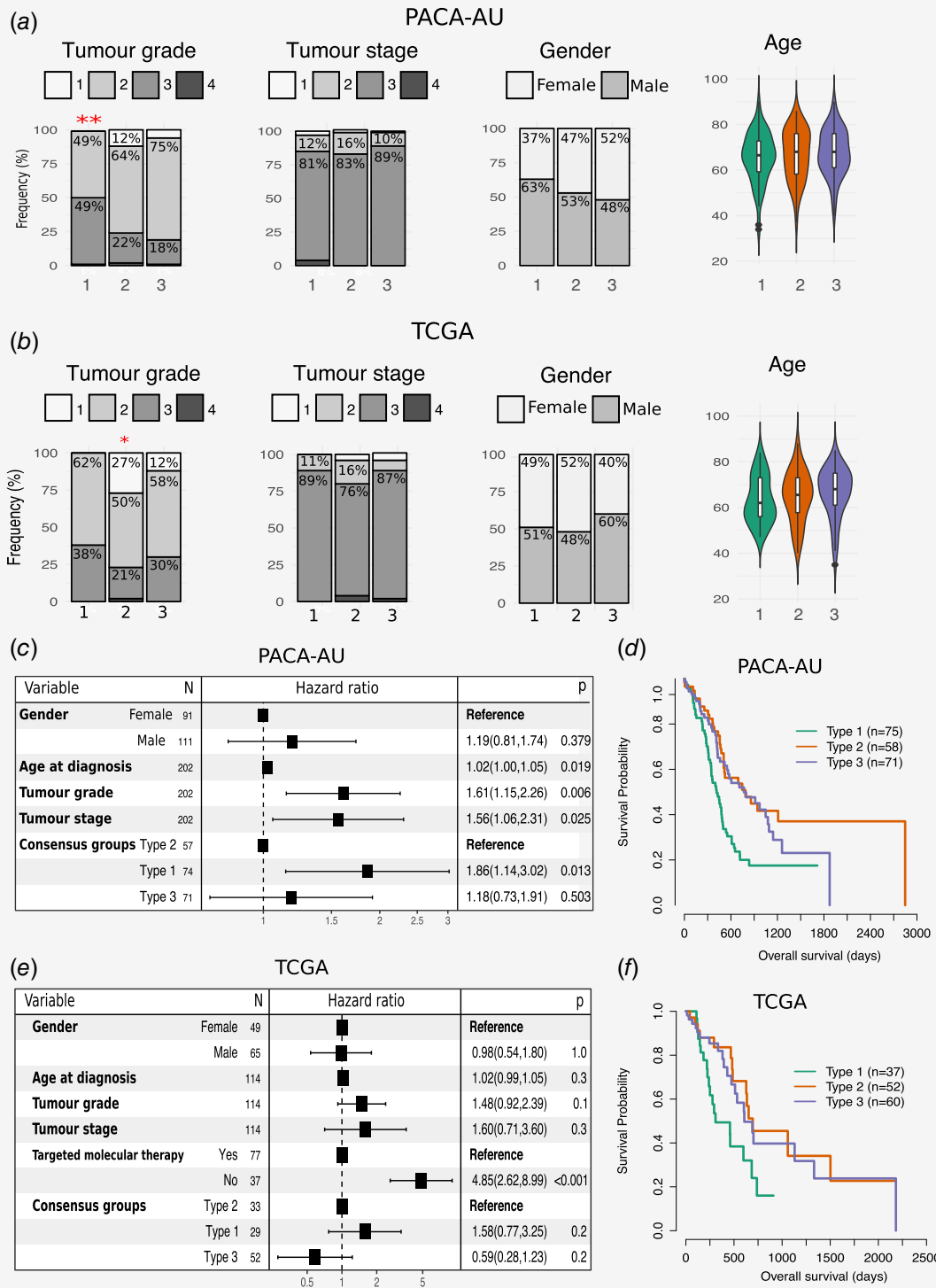


Figure 2. Legend on next page.

Analysis in melanoma, breast cancer and colorectal cancer has shown that tumour progression is characterised by distinct immune patterns^{40–43} and that assessment of this ‘immunophenotype’ may predict patients prognosis better than beyond that predicted by traditional staging.⁴⁴

To further explore the composition of different immune infiltrates in the different tumour microenvironments of PDAC cancers, we used single sample gene set enrichment analysis (ssGSEA) to score each tumour based on gene signatures representative of different cell types.⁴⁵ To test the consistency of the results, we performed the analysis in the PACA-AU and the TCGA cohorts. We used four published signatures from independent studies^{30–32,34} and focused on 7 immune cell types (NK cells, Neutrophils, Macrophages, Dendritic cells, CD4+ T-cells, CD8+ T-cells and B-cells). We observed that immune signatures are differentially enriched in the tumour microenvironment of PDAC subtypes (Fig. 3a).

Subtype 1: ‘innate immune’. Our subtype 1 PDAC group showed an enrichment of Natural Killer (NK) cells and neutrophils, and an exclusion of other tumour infiltrated lymphocytes such as activated CD4+ T cells, CD8+ T cells and activated B-cells. Activation of primary drivers of EMT such as transforming growth factor- β (TGF β)⁷ and the Twist1 gene and de-regulation of developmental signalling pathways such as Hedgehog (Hh) and Wnt- β -catenin signalling^{6,8} have also been associated to tumours in this category by previous studies. We confirm such findings by showing marked up-regulation of Twist1 (EMT markers), and down-regulation of Ptch1 in samples of this subgroup (Fig. 3b; Welch’s *t*-test FDR adjusted $p = 1.73 \times 10^{-6}$ and 5.47×10^{-10} for Ptch1 and Twist1, respectively). Ptch1 is a receptor for Hedgehog (Hh) ligands and a tumour suppressor in the Hh pathway. Differential gene expression analysis also showed several de-regulated pathways related to ‘extracellular matrix organization’, ‘cell adhesion’ and ‘developmental processes’ (Supporting Information Table S3). The Wnt- β -catenin developmental pathway signalling, which was found to be up-regulated this PDAC group,⁸ is known to correlate with T-cell exclusion across solid tumours.^{46,47} This relationship was then validated recently in a clinical setting making the therapeutic strategy of beta-catenin inhibitors with immunotherapy a potential strategy for T-cell deficient tumours.⁴⁸ Bailey *et al.* also identified

gene programmes that included inflammation, hypoxia response and autophagy, and associated to the ‘squamous’ tumours, which are enriched in this group.

Type 1 tumours were associated with the worse survival (Figs. 2d and 2f). Therefore, the desmoplastic stromal compartment and its interactions with tumour cells have clearly important roles in the poor outcomes for this group of PDAC tumours. This is a very difficult topic to dissect though as there is evidence to demonstrate that the stroma can both restrain and promote the tumour.⁴⁹

We performed multivariable survival analysis adjusting for clinicopathological features, including age, gender and tumour stage when available, and identified a negative relationship between neutrophil enrichment scores and survival in PDAC (Fig. 3c). We validate this prediction using three cohorts: PACA-AU, TCGA and an additional cohort of 102 PDAC tumour samples obtained from the University of North Carolina (UNC). The results of the enrichment of the immune infiltrates showed associations of neutrophils with survival in independent cohorts (Fig. 3c) highlighting their potential as clinical biomarkers and therapeutic targets.

Subtype 2: ‘T cell dominant’. The second PDAC cluster is characterised by PDAC tumours that displayed enrichment of many tumour infiltrating immune subpopulations related to adaptive immunity including activated CD8+ and CD4+ T-cells, and B-cells (Fig. 3a). Subtype 2 samples exhibited a gene expression profile compatible with increased expression of genes associated with an ‘immune response’, ‘positive regulation of immune system process’ and ‘cell activation’ (Supporting Information Table S4). This immune subtype of PDAC is characterised by marked up-regulation of genes known to play roles in immune checkpoint inhibitions (e.g. CTLA4 and BTLA)⁵⁰; B-cell receptor and T-cell receptor genes (e.g. CD3D, CD79A and CD79B) (Fig. 3b and Supporting Information Fig. S5; Welch’s *t*-test FDR adjusted $p \ll 0.01$ in both cohorts). ‘Normal stroma’, ‘Exocrine-like’, ‘ADEX’ and ‘Notch’ PDAC tumour samples are also over-represented in this cluster (Fig. 1b). Sivakumar *et al.* also observed enrichment for T cell-related pathways, such as those pertaining to T cell activation, proliferation, and differentiation, adaptive immune response and a significant prevalence of infiltrating CD8+ T cells in tumour samples enriched in this group.

Subtype 2 is the most immunogenic subtype with significantly better survival when compared to samples of subtype

Figure 2. Clinicopathological and prognostic associations of consensus PDAC subtype groups. (a, b) Clinicopathological and prognostic associations of consensus PDAC subtype groups in the PACA-AU (a) and TCGA (b) cohorts. Distribution of histopathological grade, TNM system tumour stage at diagnosis, gender, and age at diagnosis, across the three consensus subtypes, represented by the coloured violin plots subtype 1 (green); subtype 2, (orange); subtype 3 (violet). Red asterisks represent significant codes for Chi-square test *p* values: $p < 0.01$ ‘***’; $p < 0.05$ ‘*’. (c, e) Multivariate Cox proportional hazards regression analysis for the PACA-AU (c) and TCGA (e) cohorts, with covariates including patient age at diagnosis, tumour stage (TMN system) and tumour grade. Squares represent the hazard ratio (HR) and the horizontal bars extend from the lower limit to the upper limit of the 95% confidence interval of the estimate of the hazard ratio. The plot also shows the number of considered events (N) and *p* values (p) for the interaction between survival and any covariate. Detailed statistics are in Supporting Information Tables S1 and S2. CI, confidence interval; HR, hazards ratio; p, Wald test *p* value. (d, f) Prognostic value of subtype 1, 2 and 3 PDAC groups in the PACA-AU (d) and the TCGA (f) cohorts with Kaplan–Meier overall survival analysis. [Color figure can be viewed at wileyonlinelibrary.com]

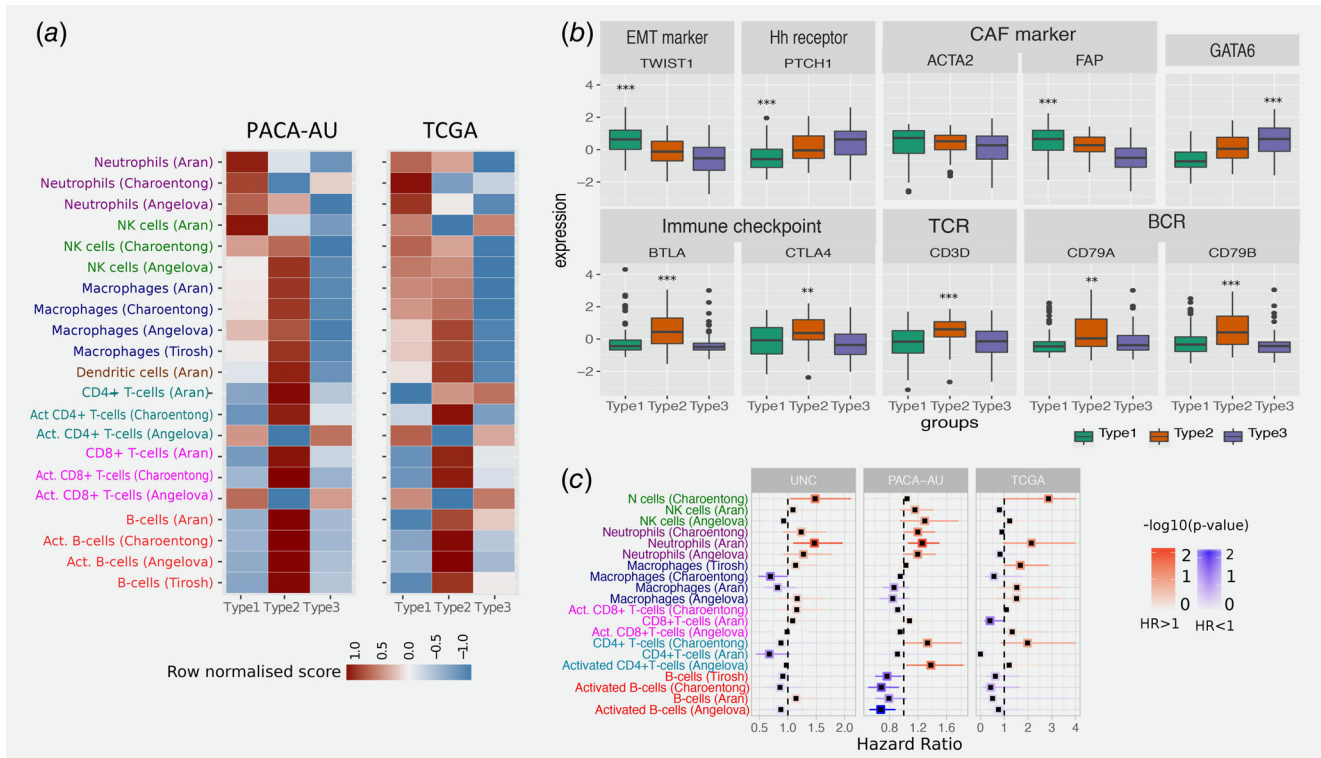


Figure 3. Tumour-infiltrating subpopulations of immune cells are associated with distinct PDAC subtypes. (a) Heat map of row scaled immune infiltrated scores per immune cell type. Angelova, Charoentong and Tirosh represent single-sample GSEA scores of signatures for cell types from the corresponding manuscripts. Aran is the inferences produced using xCell algorithm.²¹ (b) Boxplots showing markers of special interest in PDAC subtypes differentially expressed between groups from the PACA-AU cohort. Similar trends were observed in the TCGA cohort (Supporting Information Fig. S5). EMT – Epithelial-mesenchymal transition; Eh – Hedgehog; CAF – Cancer Associated Fibroblasts; TCR – T-cell receptor; BCR – B-cell receptor. Asterisks represent significant codes for Welch’s *t*-test FDR adjusted *p* values: *p* < 0.01 ‘**’; *p* < 0.001 ‘***’. Welch’s *t*-test was used to test for differential expression of a particular gene in a given subtype compared to all other subtypes. (c) Correlation of tumour infiltrating immune cells with patient overall survival. For three independent cohorts (PACA-AU, TCGA and UNC), a multivariate Cox proportional hazards regression analysis was performed, with covariates including the enrichment scores of six immune cell types, and when available, patient gender, age at diagnosis, tumour stage and tumour grade. Squares represent the hazard ratio (HR) and the horizontal bars extend from the lower limit to the upper limit of the 95% confidence interval of the estimated of the hazard ratio. The colour scale reflects $-\log_{10}(p\text{-value})$ and is shown in blue for HR < 1 (good prognosis) and in red for HR > 1 (bad prognosis). [Color figure can be viewed at wileyonlinelibrary.com]

1. It has been shown before that higher levels of CD8+ T cell infiltration correlate with a better survival with Tumeh *et al.* showing that CD8 T cell infiltration is needed for PD-1 therapy to work.^{20,51,52} Together these findings indicate that this subtype is potentially amenable to therapy based on immune-check point inhibitors.

Subtype 3: ‘tumour dominant’. Subtype 3 tumours exhibit lower enrichment scores for immune signatures, which suggests a lack of tumour-infiltrating lymphocytes in the microenvironment (Fig. 3a). This group is enriched for PDAC tumours that have been characterised by high expression of adhesion-associated and epithelial genes⁵ and genes with distinct roles in the control of cell-cycle, essential mitotic checkpoint functions, chromosomal stability, and DNA repair.⁸ We confirmed that GATA6 gene expression is high in the subtype 3 group and low expression in subtype 1 (Fig. 3b and Supporting Information Fig. S5; Welch’s *t*-test FDR adjusted $p = 1.54 \times 10^{-6}$ and $p = 2.71 \times 10^{-4}$ for

PACA-AU and TCGA cohorts, respectively), which is expected given the overlap between subtype 3 and classical subgroups defined by Collison *et al.* and Moffitt *et al.* Similarly, it has been recently shown that GATA6 expression inhibits the epithelial-mesenchymal transition (EMT) *in vitro* and cell dissemination *in vivo* and is associated to suppression of basal-like (like the one activated in subtype 1) molecular phenotype in PDAC tumours.⁵³ Collison *et al.* compared PDA cell lines representative of the classical and QM-PDA subtypes and described that the classical PDA cell lines are enriched in a KRAS-addiction gene expression signature and more dependent on KRAS than QM-PDA lines.⁵ We found a strong enrichment for multiple metabolism signatures (Supporting Information Table S3) in this group indicating prominent metabolic adaptation.

Histopathology analysis of immunophenotypes

We have established clear transcriptional immunophenotypes though this analysis cannot be provided routinely in the clinic.

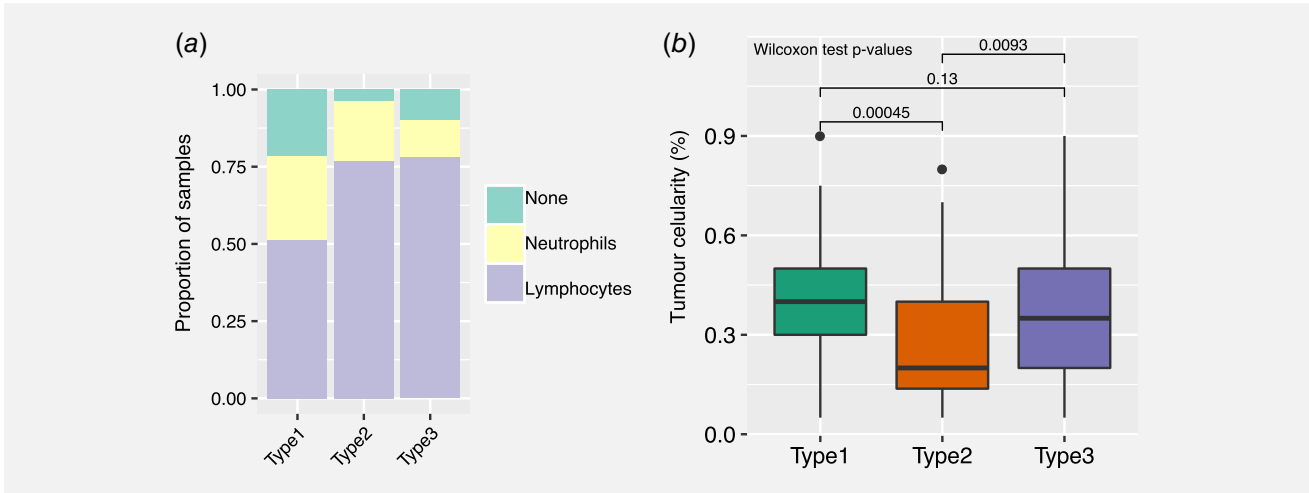


Figure 4. Histopathology in the TCGA cohort. (a) Pathologist assessment of dominant immune cell presence and (b) tumour surface area across the three PDAC consensus subtypes. [Color figure can be viewed at wileyonlinelibrary.com]

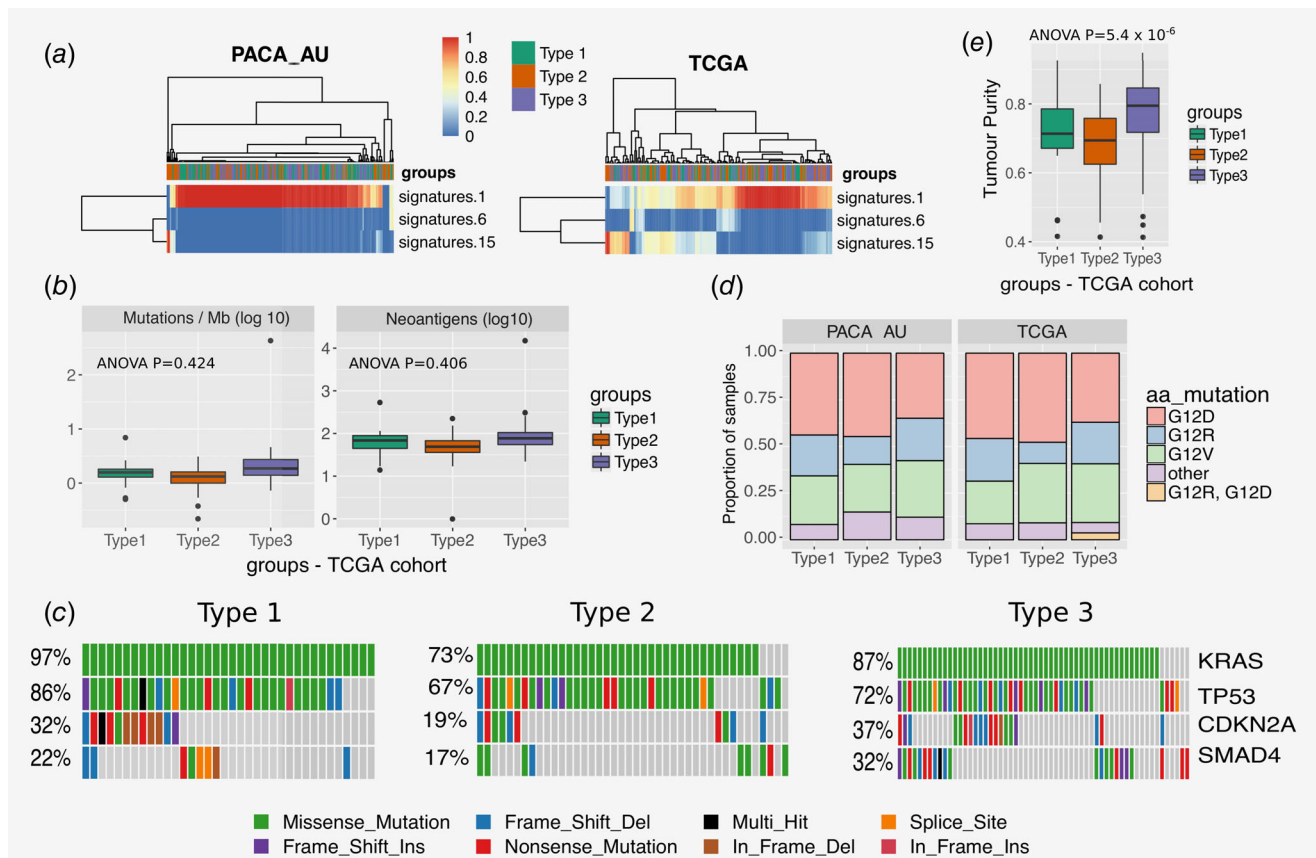


Figure 5. Mutation analysis. (a) Heatmap showing the relative contribution of mutation signatures 1, 6 and 15. (b) Tumour mutational burden and neoantigen load for different tumour subtypes of the TCGA cohort (c) OncoPrint displaying frequency of mutated genes in different PDAC subtypes of the TCGA cohort (d) Distribution of activating KRAS mutations across the three PDAC subtypes in the TCGA and PACA-AU cohorts (e) Tumour purity (ESTIMATE scores) across subtypes of the TCGA cohort. [Color figure can be viewed at wileyonlinelibrary.com]

Haematoxylin and Eosin (H&E) staining of the pathology of the specimen can provide a robust and quick assessment of the transcriptional status of the tumour. H&E sections of the cases in the TCGA were provided by TCGA so we were able to assess the relationship of transcriptional immunophenotype to the pathology. We were able to classify each PDAC sample from the TCGA cohort according to their predominant cell type. 149 H&E slides were reviewed in relation to the 149 transcriptomes we analysed from TCGA. We considered three classes: lymphocytes (T cells); neutrophils; or 'none' for when no particular immune cell was found. We found that there was no significant difference in the number of neutrophil-predominant cases in the three immunophenotypes (27% in subtype 1, 18% in subtype 2, and 18% in subtype 3; Fisher test $p = 0.15$). In the first immunophenotype, there was a larger proportion of cases classified as 'none' (21% in subtype 1 vs. 4% and 10% in subtype 2 and subtype 3; Fisher test $p = 0.03$; Fig. 4a) and a smaller proportion of samples with lymphocytes as their predominant immune cell type (51% in subtype 1, 77% in subtype 2, 78% in subtype 3; Fisher test $p = 0.01$; Fig. 4a). Subtype 1 is the innate subtype so even though on pathology, assessment of macrophages could not be provided, it was clear that there was a smaller proportion of lymphocyte-predominant samples on histopathology, while in both subtypes 2 and 3, there was an association with lymphocytes.

While reviewing all 149 available TCGA slides to classify the immunophenotypes; the area percentage of tumour in the slide was estimated concurrently. Furthermore, tumour cellularity was significantly different between subtype 2 and subtype 3; median tumour cellularity was 0.2 (range: 0.05–0.8) and 0.35 (range: 0.05–0.9) in subtype 2 and subtype 3, respectively (Wilcoxon rank sum test $p = 9.3 \times 10^{-3}$, Fig. 4b). Similarly, the number of cases classified as lymphocyte-predominant was different between subtype 2 (40 out of 52; 77% in subtype 2) and subtype 3 (47 out of 60; 78% in subtype 3). The 'type 3' tumours which we originally called 'immune exclusion' has a much higher tumour cellularity compared to 'type 2'. This could be due to the exact number of immune cells present and one of the limitations of the study is that we do not have a ratio of immune cells to tumour.

Mutational signature analysis

Multiple mutation signatures have been established through pan-cancer analysis of cancer genomes.⁵⁴ These have established mutational signatures for pancreatic cancer including a signature associated with failure of double strand break repair by homologous recombination (Signature 3).⁵⁴ Using a panel of thirty mutational signatures from COSMIC, we established the contribution of each signature to the cohort of pancreatic cancers and sought to identify if any signature that is enriched for the immunophenotypes we have described in this manuscript. We found three major contributory signatures in these pancreatic cancers (Fig. 5a and Supporting Information Fig. S6) but none of these were enriched for a particular subtype. These were signatures 1, 6 and

15.⁵⁴ Signature 1 correlates with the ageing process and 6 and 15 are tied to DNA repair. The signature analysis does not help explain the difference in subtypes but does reinforce that certain cases of pancreatic cancer may benefit from treatment with therapeutics against DNA repair.

Mutational burden

Evidence suggests that the degree of mutagenesis within a tumour may represent a biomarker for response to immunotherapy. It is thought that highly mutated tumours are more likely to harbour neo-antigens, which make them targets for adaptive immunity. Tumour mutation burden has been shown, in several tumour types, to correlate with patient response to both CTLA-4 and PD-1 inhibition.^{55,56} We did not find any difference in tumour mutational burden (number of mutations / Mb) and neoantigens between the three subtypes (Fig. 5b). The most frequently mutated pancreatic cancer genes (KRAS, CDKN2A, SMAD4 and TP53) are equally distributed across the three subtypes (Fig. 5c), except for KRAS and SMAD4, which are observed in lower frequency in subtype 2 (73 and 17%, respectively) when compared to subtype 1 (KRAS: 97%; Fisher exact test $p = 0.003$) and subtype 3 (SMAD4: 32%; Fisher exact test $p = 0.03$). We also analysed the status of the activating KRAS mutation, namely G12D, G12R, G12V and other (A11T, G12A, G12C, G12L, G12S, G13C, G13P, GQ60GK, Q61H and Q61R) and verified that KRAS mutations are spread out equally over the three subtypes in both cohorts (Fisher exact test $p = 0.76$ and $p = 0.65$ for PACA-AU and TCGA, respectively; Fig. 5d). In summary, smaller frequency of mutations of key pancreatic cancer driver mutations are observed in the subtype 2 PDAC subtypes. However these results are inconclusive because it is highly possible that the level of purity across tumour samples affects the interpretation of genomic analyses.⁵⁷ In fact, in agreement with the tumour cellularity observations in Figure 4b, we observed striking differences in estimated promotion of tumour cells in the samples between PDAC subtypes (ANOVA $p = 5.4 \times 10^{-6}$), in particular subtype 2 displayed the lowest purity (Fig. 5e; Supporting Information Fig. S8). This variability confounds the interpretation of genomic analysis results when comparing between PDAC subtypes.

Discussion

In this study, we propose a novel immune clustering of PDAC into three major subtypes that have different molecular and clinical characteristics and may respond differently to selected therapies. We suggest that PDAC subtypes should be considered when stroma and immune modulating therapies are studied in the future. The identified immunophenotypes applies to tumour samples from four independent cohorts, demonstrating the robust nature of this new subtype classification in PDAC. It is important to notice the strong presence of stromal components in subtypes 1 and 2, but also distinct prognosis for each group. However, while the subtype 1 group of

tumours is characterised by a reactive desmoplastic stroma and an inflammatory microenvironment with possible epithelial-to-mesenchymal transition (EMT) events; the stromal compartment in subtype 2 is enriched in infiltrated CD8+ and CD4+ T-cells.

Subtype 1 suggests that the functional role of EMT regulators and innate immune cells in immune evasion is complex. The biological link between the inflamed immune subtype and EMT is consistent with the finding that the stroma of subtype 1 tumours is infiltrated not only with innate immune cells, but also markers typically found in activated cancer-associated-fibroblasts such as FAP which is significantly over-expressed in subtype 1 tumours in both cohorts (Welch's *t*-test FDR adjusted $p_{PACA-AU} = 1.04 \times 10^{-5}$ and $p_{TCGA} = 6.65 \times 10^{-8}$; Fig. 3b) and ACTA2 in the TCGA cohort (Welch's *t*-test FDR adjusted $p = 7.3 \times 10^{-4}$; Supporting Information Fig. S5). In addition, it suggests that the worse outcomes seen in the subtype 1 may be partially linked to a pro-metastatic immune evasive microenvironment. Tumour samples in this group have been characterised by infiltration of desmoplastic stroma ('activated-stroma' such as in Moffitt *et al.*) and high expression of mesenchyme associated genes ('basal-like' such as in Collison *et al.*). These results corroborate initial findings by Guerra *et al.*, and others that inflammation increases both EMT and cancer cell invasion^{21,22} and that the presence of IL-6 pro-inflammatory marker in the serum of patients with pancreatic cancer has been associated with worse survival.²³ A better definition of the tumour-expressed ligands recognised by these myeloid cell subsets and their role in driving tumour progression and anti-tumour immunity will facilitate more detailed functional analyses and identify possibilities for therapeutic intervention. We had demonstrated on H&Es provided to us that the presence of lymphocytes is the major phenotypic feature, if there are no T cells in the tumour the patient does poorly.

Another challenge that arises is the definition of better pre-clinical models that recapitulate these subtypes. The interplay between the stroma and the immune components are difficult to model. Previous research suggests that traditional pancreatic cancer cell lines from the Broad Institute Cancer Cell Line Encyclopaedia only recapitulate the two of the three subtypes and do not represent the immunogenic lines^{5,8} and PDX models are not able to recapitulate the 'normal-like' subtype.⁶

Current tumour models such as the KPC mice do not accurately reflect variations in sub-types of pancreatic cancer and there is generally difficulty at obtaining high quality primary samples. Less than 20% of patients undergo a resection and most of the tumour is infiltrated with a desmoplastic stromal reaction that is composed of collagen, fibroblasts and immune cells. Studies so far have tried to enrich as best as possible the tumour compartment including the ones we have used.

Several lines of evidence suggest differential drug response sensitivity between the different subtypes. Moffitt *et al.* has shown that patients with 'basal-like' (subtype 1) tumours showed a strong trend toward better response to adjuvant

therapy when compared to patients from the 'classical' (subtype 3) subtype group⁶ and that QM-PDA cell lines were, on average, more sensitive to gemcitabine and less sensitive to erlotinib than the classical cell lines.⁶ Additionally, it has been shown that patients with GATA6high PDAC tumours have better response to 5-FU when compared to 'basal-like' GATA6low patients.^{5,3} Together these results suggest that KRAS-directed therapies or therapies targeting growth pathways such as EGFR-targeted therapy might be best deployed in this subtype 3 classical PDAC subtype when compared to the other PDAC subtypes. The regulatory contribution of the immune system should be assessed more thoroughly in human PDAC cancer to guide new therapeutic interventions tailored to patients with different tumour subtypes.

We think that the pancreatic cancer subtypes identified in our study provide further insights necessary for the understanding of the immunogenicity of PDAC. Being able to determine which PDAC cases are immunogenic or not and what other characteristics they may have, could facilitate development of potential strategies to remove immune suppression. Following on from our current analysis, future work should characterise the immune cell populations in these tumours including their activation status and spatial relationship with the tumour cells. Such investigations required prospective collection of appropriate material from a sufficiently large patients cohort using techniques such as single cell sequencing, CyTOF and multiplexed immunofluorescence imaging with advanced image analysis technology.

Additional work needs to be performed to assess the degree of responsiveness of those infiltrating lymphocytes when the suppression is removed. Our work has already alluded to suppression with macrophages and neutrophils and it will be worth characterising the nature of Tregs in the tumour in subsequent studies as a further immunosuppressive mechanism. An additional issue is that even if we removed the immunosuppression with therapeutics; as the mutational burden of the tumour is low, there is a lower chance a neoantigen will be created that will be recognised by T-cells. Further strategies will have to be investigated in creating neoantigens such as using radiation therapy or using chemotherapy or to use adoptive T cell strategies to help fight the cancer. Understanding PDAC subtypes could be used to improve better patient stratification for clinical drug trial enrichment schemes to better select patients to make detection of a treatment effect more likely. However, more detailed immune characterisation of PDAC tumours is needed before instigating novel therapeutic strategies.

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