

This is a repository copy of *A remark on the Dixmier Conjecture*.

White Rose Research Online URL for this paper: https://eprints.whiterose.ac.uk/141994/

Version: Accepted Version

Article:

Bavula, V.V. and Levandovskyy, V. (2020) A remark on the Dixmier Conjecture. Canadian Mathematical Bulletin, 63 (1). pp. 6-12. ISSN 0008-414X

https://doi.org/10.4153/S0008439519000122

© 2019 Canadian Mathematical Society in partnership with Cambridge University Press. First published in the Canadian Mathematical Bulletin at http://doi.org/10.4153/S0008439519000122. This version is free to view and download for private research and study only. Not for re-distribution, re-sale or use in derivative works.

Reuse

This article is distributed under the terms of the Creative Commons Attribution-NonCommercial-NoDerivs (CC BY-NC-ND) licence. This licence only allows you to download this work and share it with others as long as you credit the authors, but you can't change the article in any way or use it commercially. More information and the full terms of the licence here: https://creativecommons.org/licenses/

Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.



A REMARK ON THE DIXMIER CONJECTURE

V. V. BAVULA AND V. LEVANDOVSKYY

ABSTRACT. The Dixmier Conjecture says that every endomorphism of the (first) Weyl algebra A_1 (over a field of characteristic zero) is an automorphism, i.e., if PQ-QP=1 for some $P,Q\in A_1$ then $A_1=K\langle P,Q\rangle$. The Weyl algebra A_1 is a \mathbb{Z} -graded algebra. We prove that the Dixmier Conjecture holds if the elements P and Q are sums of no more than two homogeneous elements of A_1 (there is no restriction on the total degrees of P and Q).

Key Words: the Weyl algebra, the Dixmier Conjecture, automorphism, endomorphism, a \mathbb{Z} -graded algebra.

Mathematics subject classification 2010: 16S50, 16W20, 16S32, 16W50.

1. Introduction

In the paper, K is a field of characteristic zero and $K^*:=K\setminus\{0\}$. The algebra $A_1:=K\langle X,Y\mid [Y,X]=1\rangle$ is called the first Weyl algebra where [Y,X]=YX-XY. The n'th tensor power of $A_1,\ A_n:=A_1^{\otimes n}=\underbrace{A_1\otimes\cdots\otimes A_1}$, is called the n'th Weyl

algebra. The algebra A_n is a simple Noetherian domain of Gel'fand-Kirillov dimension GK $(A_n)=2n$, it is canonically isomorphic to the algebra of polynomial differential operators $K\langle X_1,\ldots,X_n,\partial_1,\ldots,\partial_n\rangle$ (where $\partial_i=\frac{\partial}{\partial x_i}$) via $X_i\mapsto X_i,\ Y_i\mapsto\partial_i$ for $i=1,\ldots,n$.

In his seminal paper [9], Dixmier (1968) found explicit generators for the group $G = \text{Aut}_K(A_1)$ of K-automorphisms of the Weyl algebra A_1 . Namely, the group G is generated by the obvious automorphisms:

$$(X,Y)\mapsto (X,Y+\lambda X^n), \quad (X,Y)\mapsto (X+\lambda Y^n,Y), \quad (X,Y)\mapsto (\mu X,\mu^{-1}Y)$$
 where $\lambda\in K,\,\mu\in K^*$ and $n\in\mathbb{N}_+:=\{1,2,\ldots\}.$

In [9], Dixmier posed six problems: The first problem of Dixmier (in the list) asks if every endomorphism of the Weyl algebra A_1 is an automorphism, i.e., given elements P, Q of A such that [P, Q] = 1, do they generate the algebra A_1 ? A similar problem but for the n'th Weyl algebra is called the Dixmier Conjecture. Problems 3 and 6 have been solved by Joseph [10] (1975), Problem 5 and Problem 4 (in the case of homogeneous elements) have been solved by Bayula [4] (2005).

The Dixmier Conjecture implies the *Jacobian Conjecture* (see [2]) and the inverse implication is also true (see [11] and [8]); a short proof is given in [6]; see also [1]).

In [5], it is shown that for each K-endomorphism $\phi: A_n \to A_n$ its image is very large, i.e., the left A_{2n} -module ${}^{\phi}A_n{}^{\phi}$ is a holonomic A_{2n} -module (where for all $a,b \in A_n$ and $c \in {}^{\phi}A_n{}^{\phi}$, $a \cdot c \cdot b := \phi(a)c\phi(b)$). In particular, it has finite length with simple holonomic factors over A_{2n} (see [5] for details). To prove that the Dixmier Conjecture holds for the Weyl algebra A_n it remains to show that the length is 1. Note, that the Gel'fand-Kirillov dimension of a simple A_{2n} -module can be $2n, 2n+1, \ldots, 4n-1$, and the last case is the generic case.

In [7], it is shown that every algebra endomorphism of the algebra $\mathbb{I}_1 = K\langle x, \partial, f \rangle$ of polynomial integro-differential operators is an automorphism and it is conjectured that the same result holds for $\mathbb{I}_n := \mathbb{I}_1^{\otimes n} = K\langle x_1, \dots, x_n, \partial_1, \dots, \partial_n, \int_1, \dots, \int_n \rangle$.

The Weyl algebra $A_1 = \bigoplus_{i \in \mathbb{Z}} A_{1,i}$ is a \mathbb{Z} -graded algebra $(A_{1,i}A_{1,j} \subseteq A_{1,i+j} \text{ for all } i,j \in \mathbb{Z})$ where $A_{1,0} = K[H]$, H = YX and, for $i \geq 1$, $A_{1,i} = K[H]X^i$ and $A_{1,-i} = K[H]Y^i$. For a nonzero element a of A_1 , the number of nonzero homogeneous components is called the mass of a, denoted by m(a). For example, $m(\alpha X^i) = 1$ for all $\alpha \in K[H] \setminus \{0\}$ and $i \geq 1$. The aim of this paper is to prove the following theorem.

Theorem 1.1. Let P,Q be elements of the first Weyl algebra A_1 with $m(P) \leq 2$ and $m(Q) \leq 2$. If [P,Q] = 1 then $P = \tau(Y)$ and $Q = \tau(X)$ for some automorphism $\tau \in \operatorname{Aut}_K(A_1)$.

2. Proof of Theorem 1.1

The Weyl algebra is a generalized Weyl algebra. Let D be a ring with an automorphism σ and a central element a. The generalized Weyl algebra $A = D(\sigma, a)$ of degree 1, is the ring generated by D and two indeterminates X an Y subject to the relations [3]:

$$X\alpha = \sigma(\alpha)X$$
 and $Y\alpha = \sigma^{-1}(\alpha)Y$, for all $\alpha \in D$, $YX = a$ and $XY = \sigma(a)$.

The algebra $A=\oplus_{n\in\mathbb{Z}}A_n$ is a \mathbb{Z} -graded algebra where $A_n=Dv_n,\ v_n=X^n\ (n>0),\ v_n=Y^{-n}\ (n<0),\ v_0=1.$ It follows from the defining relations that

$$v_n v_m = (n, m) v_{n+m} = v_{n+m} < n, m >$$

for some elements $(n,m) = \sigma^{-n-m}(\langle n,m \rangle) \in D$. If n > 0 and m > 0 then

$$n \ge m$$
 : $(n, -m) = \sigma^n(a) \cdots \sigma^{n-m+1}(a), (-n, m) = \sigma^{-n+1}(a) \cdots \sigma^{-n+m}(a),$

$$n \le m$$
 : $(n, -m) = \sigma^{n}(a) \cdots \sigma(a), (-n, m) = \sigma^{-n+1}(a) \cdots a,$

in other cases (n, m) = 1.

Let K[H] be a polynomial ring in a variable H over the field K, $\sigma: H \to H-1$ be the K-automorphism of the algebra K[H] and a=H. The first Weyl algebra $A_1 = K\langle X, Y \mid YX - XY = 1 \rangle$ is isomorphic to the generalized Weyl algebra

$$A_1 \simeq K[H](\sigma, H), X \mapsto X, Y \mapsto Y, YX \mapsto H.$$

We identify both these algebras via this isomorphism, that is $A_1 = K[H](\sigma, H)$ and H = YX.

If n > 0 and m > 0 then

$$n \ge m$$
 : $(n, -m) = (H - n) \cdots (H - n + m - 1), (-n, m) = (H + n - 1) \cdots (H + n - m),$
 $n \le m$: $(n, -m) = (H - n) \cdots (H - 1), (-n, m) = (H + n - 1) \cdots H,$
in other cases $(n, m) = 1$.

The localization $B=S^{-1}A_1$ of the Weyl algebra A_1 at the Ore subset $S=K[H]\backslash\{0\}$ of A_1 is the *skew Laurent polynomial ring* $B=K(H)[X,X^{-1};\sigma]$ with coefficients from the field $K(H)=S^{-1}K[H]$ of rational functions where $\sigma\in \operatorname{Aut}_KK(H)$ and $\sigma(H)=H-1$. The map $A_1\to B,\ a\mapsto a/1$ is an algebra monomorphism. We identify the algebra A_1 with its image in the algebra B via $A_1\to B,\ X\mapsto X,\ Y\mapsto HX^{-1}$. The algebra $B=\oplus_{i\in\mathbb{Z}}B_i$ is a \mathbb{Z} -graded algebra where $B_i=K(H)X^i$. The algebra A_1 is a \mathbb{Z} -graded subalgebra of B.

A polynomial $f(H) = \lambda_n H^n + \lambda_{n-1} H^{n-1} + \cdots + \lambda_0 \in K[H]$ of degree n is called a monic polynomial if the leading coefficient λ_n of f(H) is 1. A rational function $h \in K(H)$ is called a monic rational function if h = f/g for some monic polynomials f, g. A homogeneous element $u = \alpha x^n$ of B is called monic if α is a monic rational function. We can extend the concept of degree of polynomial to the field of rational functions by the rule deg $h = \deg f - \deg g$ where $h = f/g \in K[H]$. If $h_1, h_2 \in K(H)$ then deg $h_1h_2 = \deg h_1 + \deg h_2$ and $\deg(h_1 + h_2) \leq \max\{\deg h_1, \deg h_2\}$. We denote by $\operatorname{sign}(n)$ and by |n| the sign and the absolute value of $n \in \mathbb{Z}$, respectively.

Let A be an algebra and $a \in A$. The subalgebra of A, $C_A(a) = \{b \in A \mid ab = ba\}$, is called the *centralizer* of the element a in A.

Proposition 2.1 ([4], Proposition 2.1). (Centralizer of a Homogeneous Element of the Algebra B)

(1) Let $u = \alpha X^n$ be a monic element of B_n with $n \neq 0$. Then the centralizer $C_B(u) = K[v, v^{-1}]$ is a Laurent polynomial ring for a unique element $v = \beta X^{\operatorname{sign}(n)s}$ where s is the least positive divisor of n for which there exists an element $\beta = \beta_s \in K(H)$, necessarily monic and uniquely defined, such that

(1)
$$\beta \sigma^{s}(\beta) \sigma^{2s}(\beta) \cdots \sigma^{(n/s-1)s}(\beta) = \alpha, \text{ if } n > 0,$$

(2)
$$\beta \sigma^{-s}(\beta) \sigma^{-2s}(\beta) \cdots \sigma^{-(|n|/s-1)s}(\beta) = \alpha, \text{ if } n < 0.$$

(2) Let
$$u \in K(H)\backslash K$$
. Then $C_B(u) = K(H)$.

Let $A_{1,+} := K[H][X; \sigma]$ and $A_{1,-} := K[H][Y; \sigma^{-1}]$. The algebras $A_{1,+}$ and $A_{1,-}$ are (skew polynomial) subalgebras of A_1 .

Lemma 2.2 ([4]). If $u \in A_{1,\pm} \setminus \{0\}$ then $C_A(u) \subseteq A_{1,\pm}$.

The K-automorphism of the Weyl algebra A_1 ,

(3)
$$\xi: A_1 \to A_1, \ X \mapsto Y, \ Y \mapsto -X,$$

reverses the \mathbb{Z} -grading of the Weyl algebra A_1 , that is

(4)
$$\xi(A_{1,i}) = A_{1,-i} \text{ for all } z \in \mathbb{Z}.$$

By the degree of an element of A_1 we mean its total degree with respect to the canonical generators X and Y of A_1 . Let $A_{1,\leq i}:=\{p\in A\mid \deg(p)\leq i\}$ for $i\in\mathbb{N}$. Then $\{A_{1,\leq i}\}_{i\in\mathbb{N}}$ is the standard filtration of the algebra A_1 associated with the generators X and Y. For all $i\in\mathbb{Z}\setminus\{0\}$ and $f\in K[H]\setminus K$,

(5)
$$\deg \sigma^{i}(f) = \deg f \text{ and } \deg(1 - \sigma^{i})(f) = \deg f - 1.$$

Proof of Theorem 1.1: (i) If $P,Q \in A_{1,\leq 1}$ then $P=\tau(Y)$ and $Q=\tau(X)$ for some $\tau \in \operatorname{Aut}_K(A_1)$: Clearly, $P=aY+bX+\lambda$ and $Q=cY+dX+\mu$ for some $a,b,c,d,\lambda,\mu \in K$. Then 1=[P,Q]=ad-bc. So, the automorphism τ can be chosen of the form

$$\tau(Y) = aY + bX + \lambda$$
 and $\tau(X) = cY + dX + \mu$.

So, till the end of the proof we assume that at least one of the polynomials P or Q does not belong to the space $A_{1,\leq 1}$. In view of the relation 1=[P,Q]=[-Q,P], we can assume that $P\notin A_{1,\leq 1}$. In view of Equation (4), we can assume that the highest homogeneous part of P, say $P_p\in A_{1,p}$, satisfies the condition that $p\geq 2$. Since $m(P)\leq 2$, either $P=P_p$ (if m(P)=1) or otherwise $P=P_r+P_p$ for some nonzero $P_r\in A_{1,r}$ where r< p.

(ii) $(m(P), m(Q)) \neq (1, 1)$: Suppose that m(P) = m(Q) = 1, we seek a contradiction. Then $P = \alpha X^p$ and $Q = \beta Y^p$ for some nonzero polynomials $\alpha, \beta \in K[H]$. Then

$$1 = [P, Q] = \alpha \sigma^p(\beta)(p, -p) - \beta \sigma^{-p}(\alpha)(-p, p)$$

$$= \alpha \sigma^p(\beta)(p, -p) - \beta \sigma^{-p}(\alpha) \sigma^{-p}((p, -p)) = (1 - \sigma^{-p})(\alpha \sigma^p(\beta)(p, -p)).$$

Since $p \geq 2$ (or $P \notin A_{1,\leq 1}$),

$$0=\deg 1=\deg (1-\sigma^{-p})(\alpha\sigma^p(\beta)(p,-p))=\deg \alpha+\deg \beta+\deg (p,-p)-1$$
 (by Equation (5)) $\geq 0+0+p-1\geq 2-1=1,$ a contradiction.

- (iii) $(m(P), m(Q)) \neq (1, 2)$: Suppose that m(P) = 1 and m(Q) = 2. Then $P = \alpha X^p$ for some $p \geq 2$ and $Q = Q_s + Q_q$ where $Q_s \in A_{1,s}, Q_q \in A_{1,q}$ and s < q. By Lemma 2.2, the equality [P, Q] = 1 implies that $[P, Q_s] = 1$ and $[P, Q_q] = 0$. By the case (ii), this is not possible.
- (iv) Suppose that m(P)=2 and m(Q)=1. Then $P=P_r+P_p$ and $Q=Q_q$. By Lemma 2.2 the equality [P,Q]=1 implies that $[P_p,Q_q]=0$ and $[P_r,Q_q]=1$. Then, $q\geq 0$, by Lemma 2.2. The case q=0 is not possible since then both $P_r,Q_q\in K[H]$ and this would contradict the equality $[P_r,Q_q]=1$. Therefore, q>0. Then $P_r=\beta Y^q$ and $Q_q=\alpha X^q$ for some nonzero elements $\beta,\alpha\in K[H]$. Then

$$-1 = [Q_q, P_r] = (1 - \sigma^{-q})(\alpha \sigma^p(\beta)(q, -q))$$

implies that

$$0 = \deg(-1) = \deg(1 - \sigma^{-q})(\alpha \sigma^{p}(\beta)(q, -q)) = \deg \alpha + \deg \beta + q - 1,$$

by Equation (5). Hence, $q=1, \alpha, \beta \in K^*$ and $\beta=-\alpha^{-1}$. Then $P,Q \in A_{1,\leq 1}$, and, by the statement (i), the pair (P,Q) is obtained from the pair (Y,X) by applying an automorphism of A_1 .

(v) $(m(P), m(Q)) \neq (2, 2)$: Since m(P) = m(Q) = 2, we can write $P = P_r + P_p$ and $Q = Q_s + Q_q$ as sums of homogeneous elements where $r < p, P_r \in A_{1,r}, P_p \in A_{1,p}$ and $s < q, Q_s \in A_{1,s}, Q_q \in A_{1,q}$. The equality [P, Q] = 1 implies that

$$[P_r, Q_s] = 0$$
 and $[P_p, Q_q] = 0$,

see Lemma 2.2. By Lemma 2.2, the elements r and s have the same sign (i.e., either r < 0, s < 0 or r = s = 0 or r > 0, s > 0) and also the elements p and q have the same sign. Since p > 2, we must have q > 0.

Suppose that $r \geq 0$, we seek a contradiction. Then $s \geq 0$ and so the elements P and Q are elements of the subring $A_{1,+} = \bigoplus_{i \geq 0} K[H]X^i$. Now,

$$K[H] \ni 1 = [P, Q] \in [A_{1,+}, A_{1,+}] \subseteq \bigoplus_{i \ge 1} K[H]X^i,$$

a contradiction. Therefore, r < 0 and s < 0.

The equality $1 = [P, Q] = [P_r, Q_q] + [P_p, Q_s]$ and Lemma 2.2 imply that r + q = 0 and p + s = 0, that is r = -q and s = -p. So,

$$P = P_{-a} + P_n$$
 and $Q = Q_{-n} + Q_a$.

The elements P_p and P_{-q} are homogeneous elements of the Weyl algebra A_1 . The Weyl algebra A_1 is a homogeneous subalgebra of the algebra

$$K(H)[X, X^{-1}; \sigma] = K(H)[Y, Y^{-1}; \sigma^{-1}]$$

where K(H) is the field of rational functions in the variable H and the automorphism σ of K(H) is given by the rule $\sigma(H) = H - 1$. By [4, Proposition 2.1(1)], the centralizer $C_B(P_p)$ of the element P_p in B is a Laurent polynomial algebra

$$K[\alpha X^n, (\alpha X^n)^{-1}]$$

for some nonzero element $\alpha \in K(H)$ and $n \geq 1$. In general, $\alpha \notin K[H]$. Similarly,

$$C_B(P_{-a}) = K[\beta Y^m, (\beta Y^m)^{-1}]$$

for some nonzero element $\beta \in K(H)$ and $m \ge 1$.

Since $[P_p, Q_q] = 0$, $Q_q \in C_B(P_p)$ and

$$P_p = \lambda(P_p)(\alpha X^n)^i = \lambda(P_p)\alpha \sigma^n(\alpha) \cdots \sigma^{n(i-1)}(\alpha) X^{ni} = \alpha_{n,i} X^p,$$

$$Q_q = \lambda(Q_q)(\alpha X^n)^j = \lambda(Q_q)\alpha \sigma^n(\alpha) \cdots \sigma^{n(j-1)}(\alpha) X^{nj} = \alpha'_{n,j} X^q,$$

for some nonzero scalars $\lambda(P_p), \lambda(Q_q) \in K^*$ and some $i \geq 1$ and $j \geq 1$ where

$$\alpha_{n,i} = \lambda(P_p)\alpha\sigma^n(\alpha)\cdots\sigma^{n(i-1)}(\alpha) \in K[H], \ p = ni,$$

$$\alpha'_{n,j} = \lambda(Q_q)\alpha\sigma^n(\alpha)\cdots\sigma^{n(j-1)}(\alpha) \in K[H], \ q = nj.$$

Since $[P_{-p}, Q_{-p}] = 0$, $Q_{-p} \in C_B(P_{-q})$ and

$$P_{-q} = \lambda(P_{-q})(\beta Y^{m})^{s} = \lambda(P_{-q})\beta\sigma^{-m}(\beta)\cdots\sigma^{-m(s-1)}(\beta)Y^{ms} = \beta_{m,s}Y^{p},$$

$$Q_{-n} = \lambda(Q_{-n})(\beta Y^{m})^{t} = \lambda(Q_{-n})\beta\sigma^{-m}(\beta)\cdots\sigma^{-m(t-1)}(\beta)Y^{mt} = \beta'_{m,s}Y^{q},$$

for some nonzero scalars $\lambda(P_{-q}), \lambda(Q_{-p}) \in K^*$ and some $s \geq 1$ and $t \geq 1$ where

$$\beta_{m,s} = \lambda(P_{-q})\beta\sigma^{-m}(\beta)\cdots\sigma^{-m(s-1)}(\beta) \in K[H], \ p = ms,$$

$$\beta'_{m,t} = \lambda(Q_{-p})\beta\sigma^{-m}(\beta)\cdots\sigma^{-m(t-1)}(\beta) \in K[H], \ q = mt.$$

Now.

$$1 = [P, Q] = [P_p, Q_{-p}] + [P_{-q}, Q_q] = [\alpha_{n,i} X^p, \beta'_{m,t} Y^p] + [\beta_{m,s} Y^q, \alpha'_{n,j} X^q]$$
$$= \alpha_{n,i} \sigma^p (\beta'_{m,t})(p, -p) - \beta'_{m,t} \sigma^{-p} (\alpha_{n,i})(-p, p)$$
$$+ \beta_{m,s} \sigma^{-q} (\alpha'_{n,j})(-q, q) - \alpha'_{n,j} \sigma^q (\beta_{m,s})(q, -q).$$

Using the equalities $(-p,p) = \sigma^{-p}((p,-p))$ and $(-q,q) = \sigma^{-q}((q,-q))$, the last equality above can be rewritten as follows 1=ab

(6)
$$1 = (1 - \sigma^{-p})(a) + (1 - \sigma^{-q})(b)$$

where $a=\alpha_{n,i}\sigma^p(\beta'_{m,t})(p,-p)\in K[H]$ and $b=\alpha'_{n,j}\sigma^q(\beta_{m,s})(q,-q)\in K[H]$. Recall that $P=P_{-q}+P_p,\ Q=Q_{-p}+Q_q,\ 2=$ ab

(7)
$$p = mt = ni \ge 2 \text{ and } q = ms = nj \ge 1.$$

Suppose that p=q, and so $P=P_{-p}+P_p$, $Q=Q_{-p}+Q_p$. Then $Q=\lambda P_p$ for some $\lambda \in K^*$. Notice that

$$1 = [P, Q] = [P, Q - \lambda P], \quad m(P) = 2 \text{ and } m(Q - \lambda P) = 1.$$

By the case (iv), the pair $(P, Q - \lambda P)$ is obtained from the pair (Y, X) by applying an automorphism of the Weyl algebra A_1 .

So, either p < q or p > q. In view of (P, Q)-symmetry (1 = [P, Q] = [-Q, P]), it suffices to consider, say, the first case only. Since p < q, the equalities (7) imply that i < j and t < s. Then, using Equation (5) and the fact that $\deg(p, -p) = p$ for all p > 1, we see that

$$\deg a = \deg \alpha_{n,i} + \deg \beta'_{m,t} + p - 1,$$

$$\deg b = \deg \alpha'_{n,j} + \deg \beta_{m,s} + q - 1.$$

Since i < j and t < s, $\deg \alpha_{n,i} < \deg \alpha'_{n,j}$ and $\deg \beta'_{m,t} < \deg \beta_{m,s}$. In particular, $\deg a < \deg b$. This equality contradicts Equation (6) since, by Equation (5),

$$0 = \deg 1 = \deg a - 1 - \deg b + 1 = \deg a - \deg b > 0.$$

This means that the cases p < q and p > q are impossible. The proof of the theorem is complete. \square

Corollary 2.3. Let P,Q be elements of the first Weyl algebra A_1 with m(P) = 1 or m(Q) = 1. If [P,Q] = 1 then $P = \tau(Y)$ and $Q = \tau(X)$ for some automorphism $\tau \in \operatorname{Aut}_K(A_1).$

Proof: Without loss of generality we may assume m(Q) = 1 and $m(P) \ge 3$. That is $Q = Q_q$ and $P = \sum_{i \in I} P_i$, where $I \subset \mathbb{Z}$ is a finite set, $q \in \mathbb{Z} \setminus \{0\}$ and the elements Q_q and P_i are homogeneous in A_1 . By Equation (4), we may assume that q > 0. Then

$$1 = [P,Q] = \sum_i [P_i,Q_q]$$

implies that $-q \in I$, $[P_{-q}, Q_q] = 1$ and $[P_j, Q_q] = 0$ for all $j \in I$ such that $j \neq -q$. By Theorem 1.1,

$$q = 1, Q_1 = \lambda X$$
 and $P_{-1} = \lambda^{-1} Y$ for some $\lambda \in K^*$.

By Lemma 2.2, $C:=P-P_{-1}\in C_A(X)=K[X]$. Then $P=\tau(Y)$ and $Q=\tau(X)$ where $\tau:A_1\to A_1,\ X\mapsto \lambda X,\ Y\mapsto \lambda^{-1}Y+C$, is an automorphism. \square

Acknowledgements

This paper has been written during the visit of V. V. Bavula to Aachen in 2016, which was supported by the Graduiertenkolleg "Experimentelle und konstruktive Algebra" of the German Research Foundation (DFG). The second author has been supported by Project II.6 of SFB-TRR 195 "Symbolic Tools in Mathematics and their Applications" of the DFG.

References

- K. Adjamagbo and A. R. P. van den Essen, A proof of the equivalence of the Dixmier, Jacobian and Poisson Conjectures, Acta Mathematica Vietnamica 32 (2007), no. 3, 15–23.
- [2] H. Bass, E. H. Connel and D. Wright, The Jacobian Conjecture: reduction of degree and formal expansion of the inverse, Bull. Amer. Math. Soc. (New Series), 7 (1982), 287–330.
- [3] V. V. Bavula, Finite-dimensionality of Extⁿ and Tor_n of simple modules over a class of algebras, Funct. Anal. Appl. 25 (1991), no. 3, 229–230.
- [4] V. V. Bavula, Dixmier's Problem 5 for the Weyl Algebra, J. Algebra 283 (2005), no. 2, 604–621.
- [5] V. V. Bavula, A Question of Rentschler and the Problem of Dixmier, Ann. of Math. 154 (2001), no. 3, 683-702.
- [6] V. V. Bavula, The Jacobian Conjecture implies the Dixmier Problem, (2005). arxiv:math/0512250 (3 pages).
- [7] V. V. Bavula, An Analogue of the Conjecture of Dixmier is true for the ring of polynomial integro-differential operators, J. Algebra 372 (2012), 237–250.
- [8] A. Belov-Kanel and M. Kontsevich, The Jacobian Conjecture is stably equivalent to the Dixmier Conjecture, Moscow Math. J. 7 (2007), no. 2, 209-218.
- [9] J. Dixmier, Sur les algèbres de Weyl, Bull. Soc. Math. France 96 (1968), 209-242.
- [10] A. Joseph, The Weyl algebra—semisimple and nilpotent elements, Amer. J. Math. 97 (1975), no. 3, 597–615.
- [11] Y. Tsuchimoto, Endomorphisms of Weyl algebra and p-curvatures, Osaka J. Math. 42 (2005), no. 2, 435-452.

Department of Pure Mathematics, University of Sheffield, Hicks Building, Sheffield S3 7RH, UK

Email address: v.bavula@sheffield.ac.uk

LEHRSTUHL D FÜR MATHEMATIK, RWTH AACHEN UNIVERSITY, 52062 AACHEN, GERMANY Email address: Viktor.Levandovskyy@math.rwth-aachen.de