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12   **Review: Consumption-stage food waste reduction interventions – what**

13   **works and how to design better interventions.**

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Review: Consumption-stage food waste reduction interventions – what works and how to do better.

Abstract

Food waste prevention has become an issue of international concern, with Sustainable Development Goal 12.3 aiming to halve per capita global food waste at the retail and consumer levels by 2030. However there is no review that has considered the effectiveness of interventions aimed at preventing food waste in the consumption stages of the food system. This significant gap, if filled, could help support those working to reduce food waste in the developed world, providing knowledge of what interventions are specifically effective at preventing food waste.

This paper fills this gap, identifying and summarizing food-waste prevention interventions at the consumption/consumer stage of the supply chain via a rapid review of global academic literature from 2006-2017.

We identify 17 applied interventions that claim to have achieved food waste reductions. Of these, 13 quantified food waste reductions. Interventions that changed the size or type of plates were shown to be effective (up to 57% food waste reduction) in hospitality environments. Changing nutritional guidelines in schools were reported to reduce vegetable waste by up to 28%, indicating that healthy diets can be part of food waste reduction strategies. Information

53   campaigns were also shown to be effective with up to 28% food waste reduction

54   in a small sample size intervention.

55   Cooking classes, fridge cameras, food sharing apps, advertising and information

56   sharing were all reported as being effective but with little or no robust evidence

57   provided. This is worrying as all these methods are now being proposed as

58   approaches to reduce food waste and, except for a few studies, there is no

59   reproducible quantified evidence to assure credibility or success. To strengthen

60   current results, a greater number of longitudinal and larger sample size

61   intervention studies are required. To inform future intervention studies, this

62   paper proposes a standardised guideline, which consists of: (1) intervention

63   design; (2) monitoring and measurement; (3) moderation and mediation; (4)

64   reporting; (5) systemic effects.

65   Given the importance of food-waste reduction, the findings of this review

66   highlight a significant evidence gap, meaning that it is difficult to make evidence-

67   based decisions to prevent or reduce consumption-stage food waste in a cost-

68   effective manner.

1 Introduction

Within the last decade, food waste has become an issue of international concern to policy makers, practitioners, and researchers across a range of academic disciplines. Recent estimates suggest that globally one third of food never reaches a human stomach (FAO, 2011), and global food waste is associated with large amounts of greenhouse gas emissions (FAO, 2013). Growing political and public consensus around the urgency of these challenges has provided the impetus for governments, regions, cities, businesses, organisations, and citizens to act. Measures have been taken to reduce the amount of food waste generated in agriculture, aquaculture, fisheries, food processing and manufacturing (upstream), and in supermarkets, restaurants, schools, hospitals, and homes (consumption).

Many food waste reduction targets have been set, including Sustainable Development Goal 12.3 which aims by 2030, to halve per capita global food waste at the retail and consumer levels and reduce food losses along production and supply chains, including post-harvest losses (Lipinski et al., 2017).[1] One of the key challenges facing many actors working in this area is deciding *where* and *how* to focus their efforts most effectively to reduce food waste. For each area of the food system (Horton, 2017), there are a number of potential strategies

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[1] The Sustainable Development Goals are a collection of 17 global goals set by the United Nations General Assembly in 2015. The SDGs cover social and economic development issues including poverty, hunger, health, education, global warming, gender equality, water, sanitation, energy, urbanization, environment and social justice.

95 (which are not mutually exclusive), with diverse examples including: improved

96 communication of forecasting between retailers and agricultural producers;

97 public information campaigns, programmes to increase skills in the home or

98 workplace; and changes in how food is packaged and sold. Within each of these

99 strategies, there are numerous decisions to be made by policy makers and

100 practitioners that could influence the effectiveness of interventions in preventing

101 food from being wasted.

102 The aforementioned *where* can also be geographic in focus: a local area, region,

103 country or globally. Recent quantification of global food waste highlights a split

104 between developed and developing countries. In developing countries, the vast

105 majority of food waste occurs in primary production and within the supply chain

106 – for example in sub-Saharan Africa where more than 90% of food waste occurs

107 prior to the consumption phase (FAO 2011). In contrast, in so called developed

108 countries, the largest single contribution is reported to come from the

109 consumption stage – with much of that at the household level, e.g. in Europe,

110 around 50% of wasted food is estimated to come from households (Stenmarck

111 et al., 2016). There is clearly a need for researchers, policy makers, and

112 practitioners to understand how to prevent food from being wasted across the

113 supply chain. For those working on the issue in developed countries, however,

114 understanding how to influence food waste within the consumption phase –

115 and, in particular, in households, where the majority of food is consumed and

116 wasted – is important to make a meaningful impact (Porpino et al., 2016). Due to

117 this, there is current policy focused on the household food waste reduction, yet

118 – as shown below – the evidence base for is lacking.

119 In order to enhance the understanding of how to influence food waste within

120 the consumption phase, this paper set out to identify and categorise food-waste

121 prevention interventions at the consumption/consumer stage. Growing

122 attention to food waste is reflected in an increase in the volume of academic and

123 grey[2] literature on the topic. As a result, several bibliometric studies and meta-

124 analyses of prior literature and studies can be found. Our review of these

125 studies (Table 1) reports how and what each study revealed (Aschemann-Witzel

126 et al., 2016; Carlsson Kanyama et al., 2017; Chen et al., 2015; Hebrok and Boks,

127 2017; Porpino, 2016; Quested et al., 2013; Schanes et al., 2018; Thyberg et al.,

128 2015; Xue et al., 2017). It can be noted that none of these studies reviewed the

129 effectiveness of interventions aimed at preventing food waste in the

130 consumption stages of the supply chain[3], although Schanes, Doberning, and

131 Gözet (2018) do call for this to be carried out as an avenue of future research.

132

133 Table 1 – a summary of the nine bibliometric studies and meta-analyses that review

134 food waste literature.

135 See attached file

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[2] Grey literature refers to non-peer reviewed literature such as reports, conference proceedings, doctoral theses/dissertations, newsletters, technical notes, working papers, and white papers.
[3] I.e. where food is consumed such as in the household, and in hospitality and food service sectors.

136

137 In the grey literature, there are many documents summarising a wide range of

138 food-waste-related issues. However, to the best of our knowledge, there is no

139 review of the effectiveness of downstream food-waste interventions.[4] Four

140 intervention studies were reviewed by WRAP (see appendix F of Parry et al.,

141 2014). These were all from the grey literature and UK-based. Since then a

142 number of further studies have emerged, the most important of which are

143 mentioned in the discussion section below.

144 In summary, there is no peer-reviewed study that has considered the

145 effectiveness of interventions aimed at preventing food waste in the

146 consumption stages of the food system. This represents a significant gap, which,

147 if filled, could help support those working to reduce food waste in the developed

148 world, providing knowledge of what interventions are specifically effective at

149 preventing food waste. This paper fills this gap, reporting a rapid review of the

150 food-waste literature from 2006 to 2017 focussing on downstream food-waste

151 reduction interventions[5]. Based on the findings, the paper then categorises the

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[4] While this manuscript was in final stages of peer review, a review of downstream food waste interventions between 2012-2018 was published by Stöckli et al. (2018b). It identified the same papers as identified by this manuscript (with addition of 2017-2018 peer reviewed papers:(Qi and Roe, 2017; Romani et al., 2018; Stöckli et al., 2018a)), and came to similar conclusions regarding the need for systematic evaluation of interventions between. The additional novelty of our paper is 1) situating a broader range of peer reviewed intervention papers (2006-2016) within the broader food waste literature (see figures 1-5), and 2) our in-depth discussion and proposal of standardised guidelines for intervention development.

[5] "Downstream" being a wide definition, but meaning the consumer side of the food system. Downstream interventions could include interventions in supermarkets, hospitality and food service sectors (including food served in education and healthcare, government etc.), and household consumption.

152 successful interventions and discusses the components of a successful food

153 waste reduction intervention.

154 2 Methods

155 The methodology for rapid reviews has emerged as a streamlined approach to

156 synthesizing evidence in a timely manner – rather than using a more in-depth

157 and time-consuming systematic review (Khangura et al., 2012; Tricco et al.,

158 2015). As discussed by Tricco et al., there is no set method for a rapid review;

159 however, there are several common approaches. For this study, a rapid review

160 was undertaken to provide fast and up-to-date information, responding to

161 demand from the policy and academic community (c.f. Lazell and Soma, 2014;

162 Porpino, 2016).

163 We used Google Scholar to identify relevant papers using combinations of the

164 following terms: 'Food waste', 'household', 'quantification', 'behaviour change',

165 'consumer', and 'downstream'. The time period was restricted to January 2006

166 until January 2017. This was a result of discussion with expert advisors and

167 evidence from other bibliometric studies that food waste studies only began to

168 be published from 2006/7 onwards (Chen et al. (2015), Hebrok and Boks (2017),

169 Carlsson Kanyama, Katzeff, and Svenfelt (2017), and Schanes, Doberning, and

170 Gözet (2018). This search enabled the inclusion of online first/only preprints of

171 2017 journal articles. The search was restricted to English-language publications.

172 Each paper was then mined using the Google Scholar "citation" function to

173 explore the network of papers that have cited each paper. Each of these papers

174 was then captured and explored via the process described above. Figure 1

175 outlines our rapid review method, with 454 items narrowed down to 17 peer

176 reviewed journal articles focussing on downstream food-waste reduction

177 interventions.

178 Though it is common in rapid reviews to use scoring criteria to sort and exclude

179 papers on the basis of method or data quality, no such scoring method was

180 used in this paper. This is due to the small number of studies found, and wishing

181 to provide the food waste community with as comprehensive as possible

182 assessment of recent intervention studies.

183 It should also be noted that the waste reduction percentages reported here have

184 been calculated from all studies that reported weights and changes to waste

185 generation. The waste reduction percentages are not directly comparable with

186 each other as they have differing functional units, i.e. per plate, per person

187 (participating or general population), per organisation (kitchen and front of

188 house), per total weight of waste, etc.), or differing time scales (for data

189 collection or experiment duration).

190

191 Figure 1 Outline of our rapid review methodology

Rapid review step	Result
Step 1 – Google Scholar search ‘Food waste’ with the addition of combinations of the following terms: ‘household’, ‘quantification’, ‘behaviour change’, ‘consumer’, and ‘downstream’. The reference lists and papers that cite each paper found were then searched. Time periods January 2006 to January 2017.	454 items found – 340 items were journal articles, with 56 theses, 36 conference papers, 17 policy papers, reports, and magazine articles, 4 book chapters, and 1 poster.

$\downarrow$

Step 2 – Review of Abstracts for Scope The abstracts of the 340 journal articles reviewed for scope (downstream and household food waste) and retained if they included an applied intervention.	292 (of the 340) journal articles were found to be in scope, with 39 articles preliminarily identified as having an applied intervention from the abstract

$\downarrow$

Step 3.1 – Expert review. The list of 39 journal articles was distributed to an expert panel for review and to mitigate possible missed journal articles. The expert panel was recruited from members of the International Food Loss and Food Waste Studies Group, and from authors of journal articles from the sample.	The panel suggested eight additional journal articles to include that were not identified by Step 1. (Cohen et al., 2014; Freedman and Brochado, 2010; Jagau and Vyrastekova, 2017; Lazell, 2016; Lim et al., 2017; Martins et al., 2016; Wansink and van Ittersum, 2013; Whitehair et al., 2013) Two of these journal articles were published later in 2017 and so were outside the time period in the original search, but have been included due to the presence of an intervention. 47 journal articles progressed to Step 3.2.

$\downarrow$

Step 3.2 - Close reading and analysis A close reading and analysis was performed on the 47 journal articles by 2 individuals (Reynolds and Goucher). This was to determine 1) if the interventions aim was to reduce or divert food waste, 2) the intervention mechanism, objective, theoretical background, geographic region, and downstream area of the intervention. 3) if there was food waste quantification by waste audit or self-reported information; and 4) if there were any policy recommendations from the intervention. Unsuccessful interventions were included in the sample.	17 journal articles contained applied interventions designed to reduce the amount of food being wasted (*reduction* interventions), the focus of this review. (This includes 2 journal articles which were interventions that reportedly achieved food waste reduction even though this was not the articles stated aim). Further information on these articles can be found in Table 1. 10 articles were interventions with an aim to divert food waste to recycling or higher up the waste hierarchy (*diversion* interventions). As these were not the focus of the paper these were not included in the further analysis. 20 articles were found to have no intervention.

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193

194   3 Results

195   3.1 Broad rapid review

196   The rapid review identified 292 downstream food waste articles that were

197   published in 39 journals between 2006 and 2017.

198   From 2006, the number of downstream food waste articles published yearly

199   increased rapidly as greater attention was given to the challenge of food waste,

200   with the largest spike in articles that quantify food waste (Figure 2) occurring in

201   2013 after the publication of reports highlighting the global issue (Institution of

202   Mechanical Engineers, 2013; Lipinski et al., 2013). Out of the articles surveyed,

203   only 17 (5%) feature applied downstream food waste reduction interventions.

204   The most popular methodologies (Figure 3) used in the rest of the downstream

205   food waste studies include surveys (n=80, 27%), reviews (n=77, 26%) and Life

206   Cycle Assessment (LCA) modelling (n=50, 14%). Journal articles featuring

207   qualitative, observational and ethnographic methods (following Evans (2014))

208   are consistently published throughout the time period (n=18, 5%).

209   48 countries or geographic areas were identified within in the broader

210   downstream food waste literature (Figure 4) with 8 articles not identifying their

211   geographic location, and 53 global studies. The next most studied areas were

212   the USA (n=42), the UK (n=34), Sweden (n=21) and Italy (n=20). China (n=13) is

213   the only developing country in the top 10 countries / regions studied. Our results

214   show that global studies emerge after 2010 – as data quality and accessibility

215   increases. Countries that had an early identification of food waste as a social

216   problem (including USA, UK and, Sweden) continue to publish prolifically.

217   3.2 Intervention studies

218   The seventeen journal articles focussing on downstream food-waste reduction

219   interventions were first categorised by the main intervention types that were

220 applied: information based, technological solutions, and policy/system/practice

221 change. Journal articles can be in more than one category if multiple

222 interventions were used (either applied separately or together). Table 2 provides

223 a detailed summary of each intervention and paper.

224 Table 2 – a summary of the 17 journal articles found with interventions that achieved

225 a food waste reduction

226 See attached file

227

228 The seventeen articles with applied interventions were found in sixteen journals

229 covering nutrition and health (5 journals), psychology and consumer behaviour

230 (5), environmental (3), human computer interactions (2), food (1) and economics

231 (1). The majority of these articles were published in relatively 'low' impact factor

232 journals (under impact factor 3)[6].

233 Within the applied downstream food waste reduction interventions ten

234 countries feature, with the USA being the site for 6 articles, 3 in the UK (one of

235 which is a cross country comparison with Austria), and 2 in the Netherlands. The

236 geographic spread of these 17 articles is focused on the global north, with

237 Thailand the notable exception.

238 The areas of study for the seventeen applied downstream food waste reduction

239 interventions are focused on households and the community (n=6), hospitality

240 and hotels (n=5), and educational establishments (n=6). This is a much narrower

241 field of study than what is found across the rest of the downstream food waste

242 literature with 8 categories of intervention area identified in Figure 4.

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[6] This is also a representation of the cross-disciplinary and evolving nature of food waste research. In the social sciences an Impact Factor of 3 would be quite high. However, in other fields, an Impact Factor of 3 could be considered "low".

243 Information-based interventions ((Cohen et al., 2014; Devaney and Davies, 2017;

244 Dyen and Sirieix, 2016; Jagau and Vyrastekova, 2017; Kallbekken and Sælen,

245 2013; Lim et al., 2017; Manomaivibool et al., 2016; Schmidt, 2016; Whitehair et

246 al., 2013; Young et al., 2017)) are where information was provided to change the

247 behaviour of the target group – i.e. households (Devaney and Davies, 2017),

248 hotel managers and diners, (Kallbekken and Sælen, 2013) and social media users

249 (Young et al., 2017). Various 'delivery' methods were used including information

250 campaigns (Manomaivibool et al., 2016; Schmidt, 2016) and cooking classes

251 (Dyen and Sirieix, 2016).

252 The success of these interventions varied. A student-focused education

253 campaign (Martins et al., 2016) resulted in a 33% waste reduction in main dishes,

254 while the Home Labs intervention (a collaborative experiment with

255 householders) led to an overall reduction in food waste generation of 28%

256 (Devaney and Davies, 2017). New hotel signage reduced food waste by 20%

257 (Kallbekken and Sælen, 2013). E-newsletter use resulted in 19% reduction in self-

258 reported food waste in the home (Young et al., 2017). Schmidt's information

259 campaign resulted in a 12% perceived (self-reported) improvement in food

260 waste reduction in the home (Schmidt, 2016). Whitehair et al.'s information

261 prompt resulted in a measured 15% food waste reduction in a university

262 cafeteria, while portion advertising information also resulted in greater uptake

263 of smaller portions (up to 6% from 3.5%) (Jagau and Vyrastekova, 2017).

264 Technological solutions ((Devaney and Davies, 2017; Ganglbauer et al., 2013;

265 Lazell, 2016; Lim et al., 2017; Wansink and van Ittersum, 2013; Williamson et al.,

266 2016a; Young et al., 2017) involve the introduction or modification of

267 technologies and/or objects that seek to alter the behaviours around food

268 (waste). These included changes to plate or portion sizes (Williamson et al.,

269 2016b) or the introduction of fridge cameras or food sharing apps (Ganglbauer

270 et al., 2013). Only plate and portion size studies have quantified waste reduction.

The largest reported waste reduction (57%) was due to shifting to smaller plate sizes, although in this study there was also a 31% decrease in the amount of food consumed via the plate size shift (Wansink and van Ittersum, 2013).[7] Other studies have reported a 19% reduction in food waste due to reduction in plate size (Kallbekken and Sælen, 2013), and a 51% reduction in food waste was achieved by using permanent rather than disposable plates (Williamson et al., 2016a). A 31% reduction in french fries waste was enabled by moving to smaller portion sizes (Freedman and Brochado, 2010).

Policy/system/practice change (Cohen et al., 2014; Dyen and Sirieix, 2016; Freedman and Brochado, 2010; Kallbekken and Sælen, 2013; Martins et al., 2016; Schwartz et al., 2015) is where polices or systems are altered and the population changes food waste behaviours (or practices). Two articles involved changing school dietary guidelines, which resulted in a 28% (Schwartz et al., 2015) and 14.5% (Cohen et al., 2014) vegetable waste reduction, while changing how schools and students were taught about food waste resulted in a 33% waste reduction from main dishes (Martins et al., 2016). These results indicate that diet reformulation and healthy eating can be part of food-waste reduction strategies.

In the seventeen journal articles with interventions, five relied on self-reported (usually survey-based) measurements of food waste (a method that is relatively low-cost but suffers from substantial biases (World Resources Institute, 2016)). One paper did not disclose any waste weights, while another two estimated food waste via visual analysis or pictures. The remaining nine used weight-based waste measurement. It is a challenge to accurately quantify food waste prevented, largely due to the costs of waste measurement (especially in the home). The cost of waste measurement could explain why only 123 of the 292 journal articles (42%) identified by the broader rapid review include some

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[7] Note had observational measurement and weight base measurement of waste in different experiments.

297 quantification of food waste generation/ diversion/ reduction. Due to this
298 reliance on self-reporting, only the accuracy of the three plate-change/size-
299 reduction interventions can be assessed with any certainty (Kallbekken and
300 Sælen, 2013; Wansink and van Ittersum, 2013; Williamson et al., 2016a). The
301 comparative measurement of these studies is also not directly comparable as
302 the methods of weight measurement and the unit of measurement vary (i.e. per
303 plate or aggregated total waste), and time intervals (study duration, number of
304 observations etc.) differ between each study as reported in Table 2.

305 Around a third of these studies (5 articles) do not integrate any theoretical
306 framework or disciplinary orientation into their experimental design. Those that
307 do are typically single theory in nature, and do not interact with the broader
308 food waste literature. Theoretical frameworks and disciplinary orientations in
309 the downstream intervention articles include Social Practice Theory; Behavioural
310 Economics (nudge-approaches such as visual prompts), Transformative
311 Consumer Research, pro-environmental behaviour change, behaviour change
312 determinants, and the integrative influence model of pro-environmental
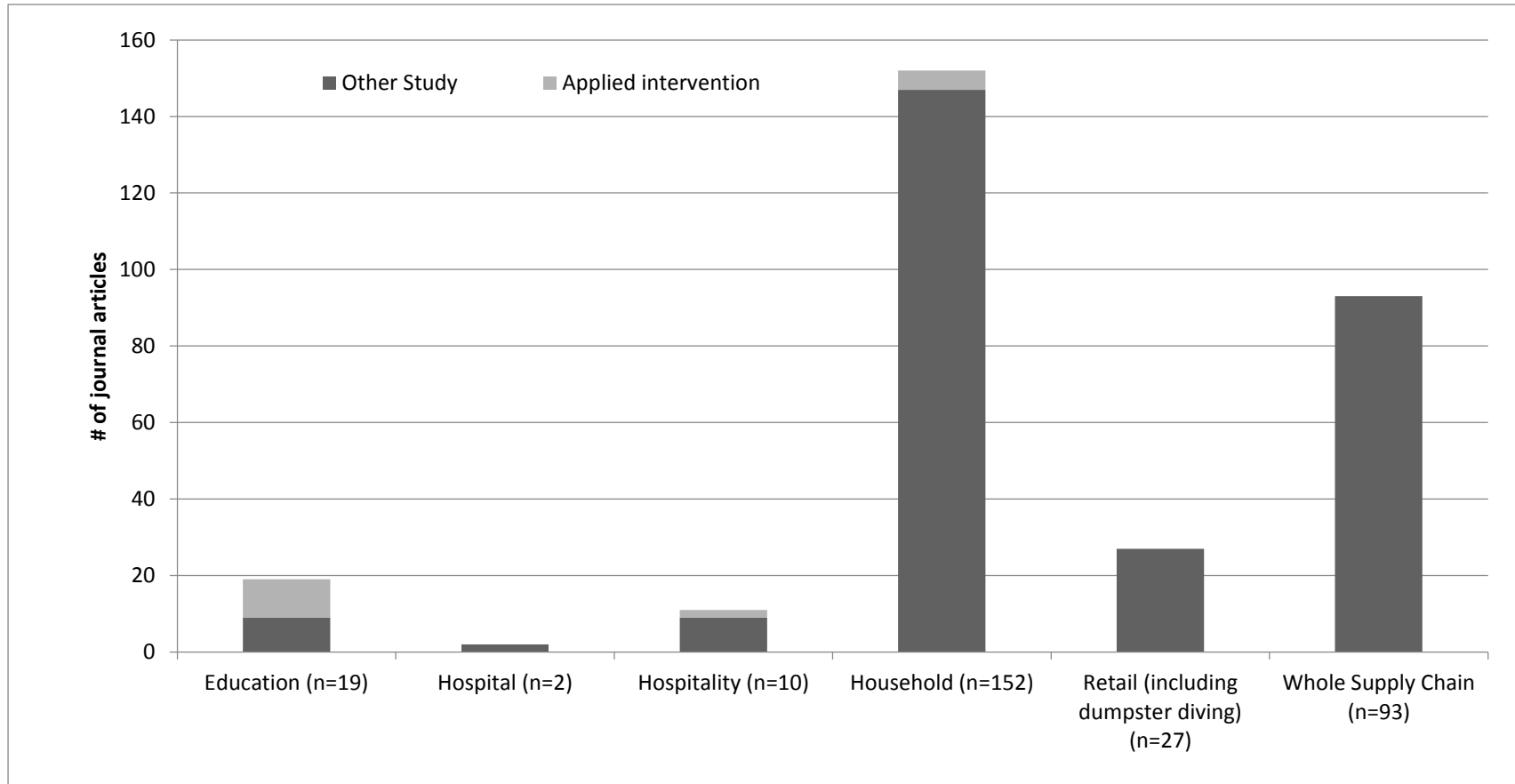313 behaviour.

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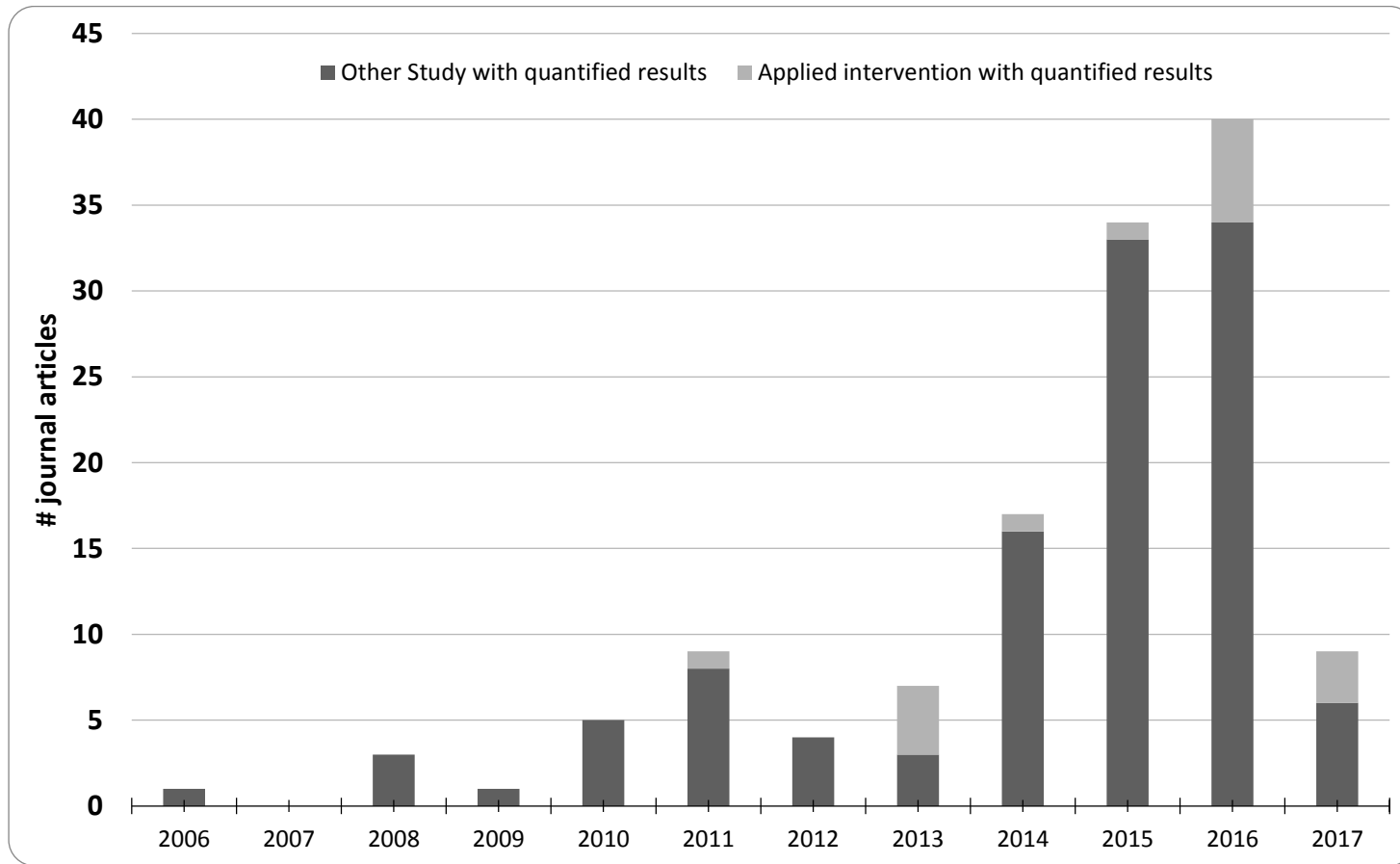
Figure 2 Downstream food waste studies with quantified results per year, 2006-2017, n=130.
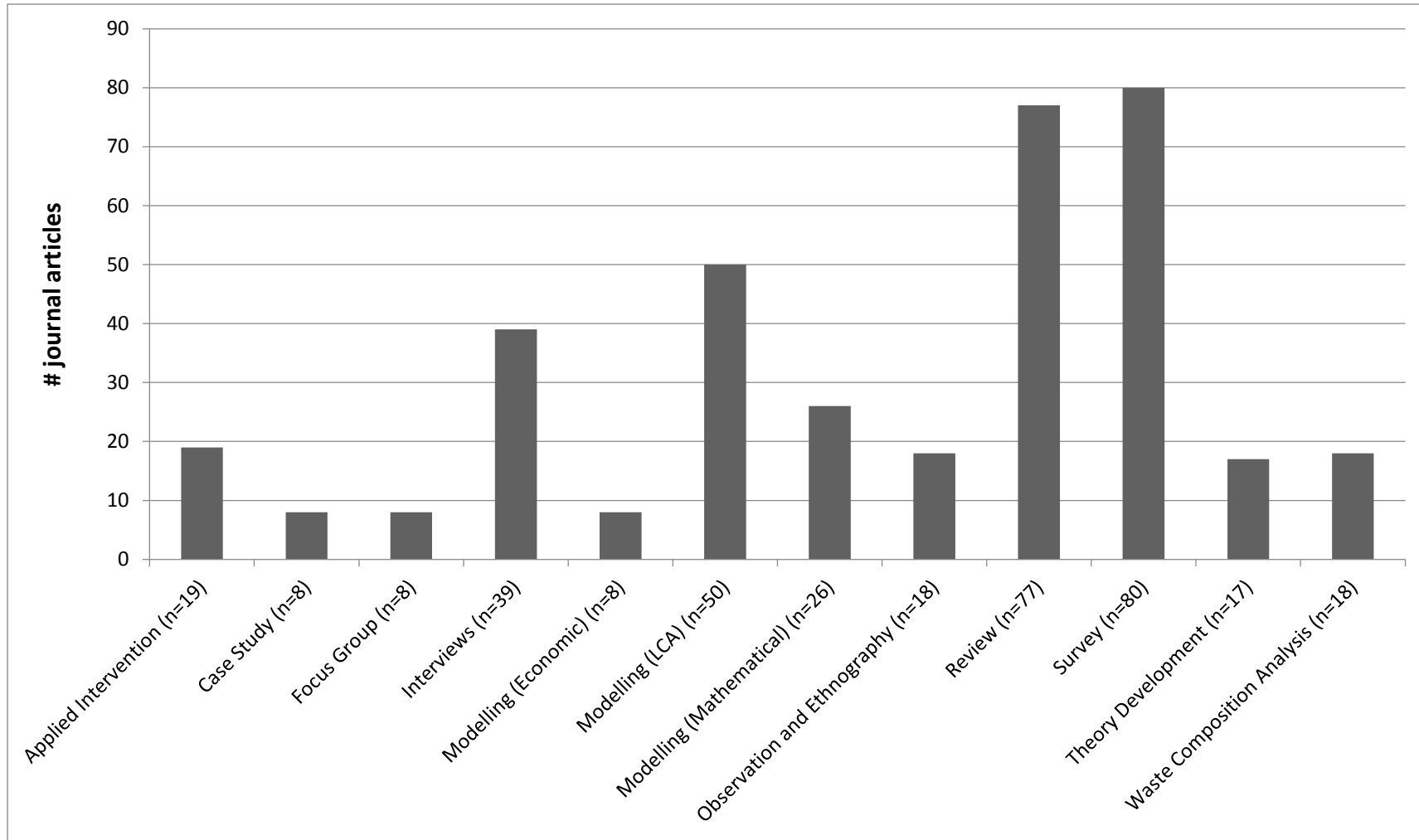
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Figure 3 Methods used and numbers of downstream food waste studies published per year 2006-2017, n= 368.
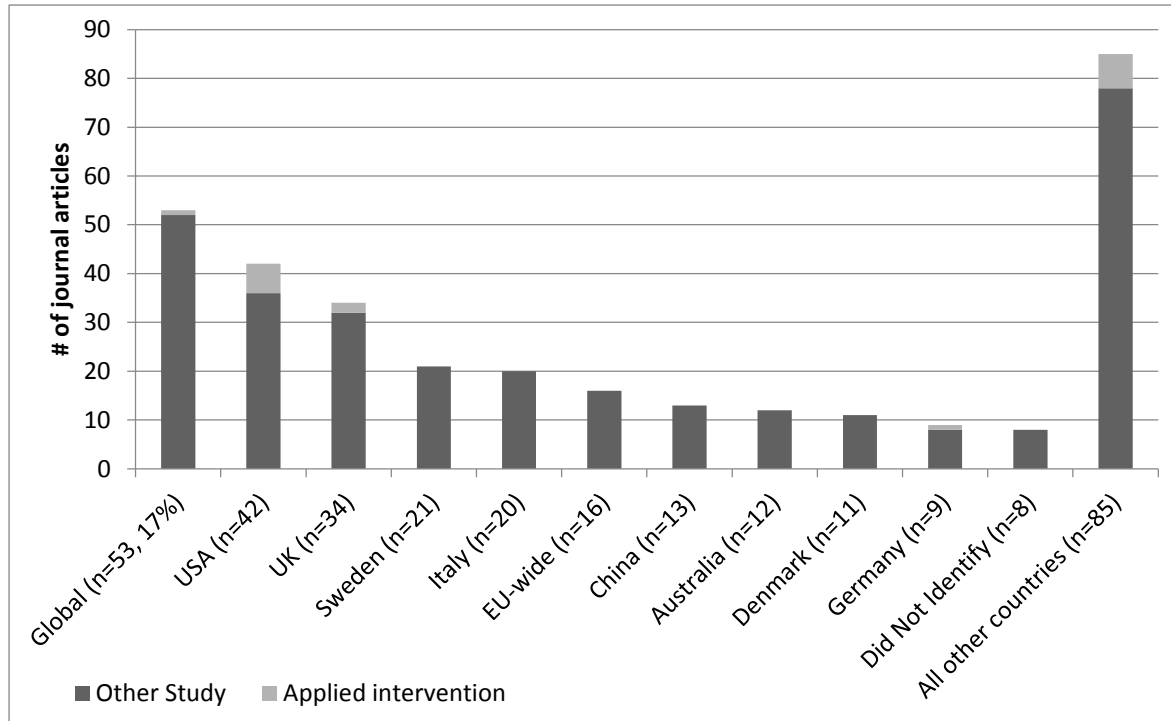
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Figure 4 Areas of study and numbers of downstream food waste studies published per year 2006-2017, n=304, (generalist review studies excluded).

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Figure 5 Geographic distribution of downstream food waste studies, the ten most prolific geographic areas, and all other countries. Note muli-country studies classified as "global" for this graphic 2006-2017, n=324

327 4. Discussion of themes and policy implications

328 In light of the above results, in this section we provide an overview of the

329 methodologies, theoretical lenses and types of interventions employed in both

330 the academic and grey literatures, and then recommended a series of

331 recommendations – or principles – for organisations undertaking intervention

332 studies relating to food waste prevention related to the consumption stages of

333 the supply chain.

334 4.1 Methodologies

335 Although there has been a rapid increase in articles that quantify or investigate

336 downstream food waste since 2006, there have been only 17 peer-reviewed

337 journal articles that feature downstream interventions that resulted in a food

338 waste reduction. Of these, nearly 30% (5 articles) used self-reported methods to

339 measure food-waste reductions, while another two estimated food waste via

340 visual analysis or pictures. Due to the methods used, the results from these

341 studies should be interpreted with caution (as indeed many of their authors

342 note); in these cases, a claimed reduction in food waste should not be read as an

343 actual reduction. Furthermore, 16 of the 17 interventions occurred in developed

344 countries and most interventions have focused on small groups with time-

345 limited evaluations.

346 Part of this limited methodological development may be due to previous food

347 waste research having had limited cross-pollination between disciplines, both in

348 terms of substantive questions as well as in theoretical development. Many

349 researchers tend to rely on the theories they are comfortable with, resulting in a

350 "silo"-ing not only of theories that could be useful in explaining food waste, but

351 regrettably also a "silo"-ing of substantive findings related to actually reducing

352 such waste. Further research is required to map the literature (and food waste's

353 theoretical developments further) to understand if this is the case.

354 4.2 Theoretical lenses

355 The absence of explicit reference to theory means that readers are left to infer

356 connections between cause and effect in food waste behaviours or that

357 connections are imputed without explicit justification. Nearly 30% (5 articles) of

358 the downstream intervention studies did not mention a theoretical framework.

359 Of those that did, this was often not a key part of the paper or research design.

360 This is an interesting finding: on the one hand, it could imply that those working

361 on food-waste interventions are not aware of theoretical frameworks developed

362 for interventions in other domains; on the other hand, it could imply – as

363 discussed by Quested et al. 2013 – that food-waste prevention in consumption

364 settings is very different from other areas of behaviour change (see also Evans et

365 al. (2017)) and that many of the theories developed elsewhere are of limited

366 value without further development. The lack of theoretical integration into food

367 waste intervention design may also imply that theoretically rich accounts of

368 household food waste (for example Waitt and Phillips (2016)) have yet to fully

369 consider the implications of their analysis for interventions. We suggest that

370 there is a need for greater integration of theory and previous research findings

371 into the design of interventions. We also suggest that there is need to discuss

372 how different theoretical frameworks, disciplinary perspectives and

373 methodological techniques could combine to contribute to the reduction of food

374 waste. Would it, for instance, be possible to combine a qualitative account of the

375 social practices that generate food waste with quantitative tools that model the

376 effects of different interventions?

377 ### 4.3 Intervention types
378 Reduction methods such as improved information (Manomaivibool et al., 2016)

379 or changes to plate type and size (Lazell, 2016; Wansink and van Ittersum, 2013;

380 Williamson et al., 2016a), portion size (Freedman and Brochado, 2010), or menu

381 composition (Cohen et al., 2014; Martins et al., 2016; Schwartz et al., 2015), all

382 accept that their effectiveness may be due to greater consumption of the food,

383 or shifts in the types of foods consumed and wasted. That is, as has been

384 observed in other interventions studies, there may be unintended consequences

385 (Peattie et al., 2016) that need further investigation. If this unintended shift is

386 towards the overconsumption of unhealthy foods or at the expense of healthy

387 foods, this could lead to negative health outcomes. For this reason, attention

388 must be given to communicating and encouraging people to monitor portion

389 size rather than reducing food waste at the expense of public health. However

390 some of the reviewed studies, indicate that some interventions result in a

391 reduction in consumption alongside waste prevention (Kallbekken and Sælen,

392   2013; Wansink and van Ittersum, 2013[8]; Williamson et al., 2016a). Further

393   research is needed to understand which (healthy or unhealthy foods) are

394   involved in this consumption shift and waste reduction. Moreover, it could be

395   the case that many of the unintended consequences could be due to a lack of

396   understanding around causal mechanisms and supporting theoretical

397   frameworks. If this is the case, further engagement with theory-based

398   evaluations would be an obvious solution.

399   Cooking classes (Dyen and Sirieix, 2016), additional technologies such as fridge

400   cameras (Ganglbauer et al., 2013) or apps (Lazell, 2016; Lim et al., 2017), and

401   advertising and information campaigns (Young et al., 2017) were all reported as

402   being effective but with no accurate quantification provided. This is worrying as

403   all these methods are now being proposed by peer reviewed studies as options

404   to reduce food waste with no reproducible quantified evidence to assure

405   credibility or long-term effectiveness. Future research and resources are needed

406   to test these interventions with accurate measurement methods. [9]

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[8]   The impact of Wansink and van Ittersum's research may have been affected by recent allegations of poor academic practices, with two other publications by Wansink and van Ittersum having had corrections published since the allegations were made (Etchells and Chambers, 2018; van der Zee, 2017).

[9]   It is worth noting that preventing food becoming wasted (e.g. via preventing food waste at source, feeding to other people, etc.) may be more effective than diverting food that has already been categorised as waste away from landfill and incineration to other waste destinations higher up the food waste hierarchy (e.g. composting, anaerobic digestion). This is because, for a

407 For many organisations working on food-waste prevention, they would like to

408 affect change across relatively large populations (e.g. a country, city or state /

409 province / county). Therefore, to assess the appropriateness of interventions,

410 these organisations require information on their cost effectiveness, how easy

411 they are to scale up and whether they can be tailored to different 'audiences'

412 within the population. However, this additional information is currently non-

413 existent in the literature.

414 In addition, many of interventions that feature advertising or an information

415 campaign did not provide enough detail to analyse and correlate the content

416 type, and tone (positive, negative, shocking etc.), with the effectiveness of the

417 campaign. This is an avenue for future research.

418 4.4 Links to other literature

419 As noted above, academic literature is not the only source of research and

420 evidence relating to downstream food waste. Although not a primary focus of

421 this review, the authors are aware of a small number of intervention studies in

422 the practitioner/policy-focused 'grey' literature. For example, during 2016, the UK

423 supermarket chain Sainsbury's undertook a year-long trial using a range of

424 methods to prevent or reduce food waste in the home (Waste less, 2016). These

425 interventions were a mix of information (via Food Saver Champions), technology

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given weight of food waste, preventing it being wasted usually has a much larger
positive impact – socially, environmentally and economically – than diverting it
from (Blatt, 2017; Garrone et al., 2014; Moult et al., 2018; Quested et al., 2011).

426 (fridge thermometers, smart fridges and cameras, apps etc.) and

427 policy/system/practice change (introducing tenant welcome packs, new food

428 waste events and school programmes). Some of these interventions included

429 actual measurement of food waste (via audits or Winnow/Leanpath systems[10]) –

430 resulted in between 18%-24% food waste reductions. Other interventions relying

431 on self-reported measures, resulted in between 43% and 98% food waste

432 reductions for the homes that took part.

433 In the USA, a partnership called *Food: Too Good To Waste* reported the findings of

434 seventeen community-based social marketing (CBSM) campaigns aimed at

435 reducing wasted food from households (U.S. EPA Region 10, 2016). These

436 interventions were mainly information interventions, which introduced new

437 information and tools into households. Measurement of food waste was

438 conducted before and after the campaigns using a mixture of self-reported

439 audits (participants weighing their own waste) and photo diaries. The results

440 showed measured decreases between 10% and 66% in average household food

441 waste (7% to 48% per capita) for fifteen of the seventeen campaigns. The

442 successful interventions were between 4 and 6 weeks long, with samples of

443 between 12 to 53 households.

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[10] Winnow and Leanpath offer in-kitchen 'smart' food waste weighing services for the hospitality sector. Winnow was trailed in home as part of the Sainsbury's intervention

444 The EU project FUSIONS reported several waste prevention strategies focused

445 on social innovation (Bromley et al., 2016). Though most interventions involved

446 food redistribution, the *Cr-EAT-ive* intervention worked with school children

447 (n=480) and their parents (n=207) to reduce food waste in the home and

448 promote key food waste prevention behaviours. The results from 18 households

449 (of 29 households) that completed the kitchen diary activity managed to reduce

450 their food waste by nearly half – if scaled (with the intervention effects kept

451 constant) to a yearly quantity, this would equal a reduction of 80 kg per

452 household per year. However, it is not known how long the intervention effects

453 would last for, the longer term engagement/attrition rates of children and

454 households, and if some of this reduction was caused by the effect of

455 measurement itself (rather than the intervention).

456 During 2012/13, WRAP ran a food-waste prevention campaign aimed at London

457 households (WRAP, 2013a). These interventions were mainly information

458 interventions. This was evaluated via waste compositional analysis and reported

459 a 15% reduction in household food waste. However, as noted by the authors,

460 some of this reduction could have been the result of the research itself (i.e.

461 households being influenced by participating in a detailed survey).

462 Between 2007 and 2012, household food waste in the UK reduced by 15%

463 (WRAP, 2013b). However, it is not possible to isolate the effect of different

464 interventions that were running over this period. In addition, economic factors –

465 increasing food prices and falling incomes in real terms – are likely to have

466 contributed to this reduction (WRAP, 2014b).

467 These examples from the grey literature do not alter the main conclusions of

468 this review: that there is a lack of research surrounding interventions designed

469 to reduce the amount of food waste generated, and a lack of evidence of the

470 ease with which it is possible to scale up previous smaller interventions.

471 It is important for researchers, policy makers and practitioners working to

472 prevent food waste that this evidence gap is filled with research of suitable

473 quality. Below, we offer guidance and general principles that, if followed, will

474 improve the quality of this emerging field of study, and allow the effectiveness of

475 interventions to be compared and fully understood. Building on the

476 shortcomings of previous studies and improvement suggestions as outlined by

477 Porpino, (2016), we categorise these recommendations into 5 strands:

478 intervention design; monitoring and measurement; moderation and mediation;

479 reporting; and consideration of systemic effects. These recommendations are

480 based on our review of the literature and the authors' prior knowledge and

481 experience regarding food waste intervention design and application.

482 4.5 Recommended principles for effective interventions

483 This section presents a series of recommendations – principles – for

484 organisations undertaking intervention studies relating to food waste prevention

485 related to the consumption stages of the supply chain. We then discuss

486 interventions with potential with reference to our results.

487 *1 Design of intervention*

488 We recommend that an initial decision should be made about whether the study

489 is focusing on an 'applied' intervention and/or one used to develop

490 understanding of the intervention process. This should be explicitly stated in the

491 methods and (experimental or intervention) design.

492 An applied intervention aims to reduce food waste across a given population or

493 sub-population (i.e. it is scalable, with a clear target audience). For the

494 interventions reviewed this was not always the case. For a communications-

495 based intervention, this would need to be similar to the type and tone of

496 material that could be used by a campaign group or similar organisation. If it

497 were a change to food packaging, for example, it would need to be a change that

498 could be adopted by a wide range of food retailers (e.g. it would have to ensure

499 food safety and other packaging attributes whilst still being cost-competitive). To

500 ensure that the 'quality' of such interventions is sufficient for the study,

501 researchers should consider partnering with appropriate organisations with

502 expertise in, for the above examples, developing communications materials or

503 packaging technology. Partnerships also ensure that work is not being carried

504 out in this area by organisations at cross purposes. In addition, applying

505 techniques such as logic mapping (based on theory of change – see The

506   Travistock Institute, 2010) can aid the design process to ensure that the

507   intervention has the best possible chance of meeting its stated aims (i.e.

508   preventing food waste in the home or other downstream settings). In addition,

509   logic mapping and theory of change can enable the research to investigate *how*

510   change occurs, as well as quantifying the degree of change. Much of this

511   research and methods development has already been carried out on general

512   behaviour intervention strategies within the field of environmental psychology,

513   see Steg and Vlek (2009), or Abrahamse et al. (2005).

514   In contrast to 'applied' interventions, some research of interventions is designed

515   to understand and evaluate how different elements of an applied intervention

516   work. For these interventions the criteria discussed above are not strictly

517   applicable. These types of studies may aim to understand which element of a

518   larger intervention is responsible for the change – e.g. it may compare a range of

519   campaign messages drawn from different disciplines and theories under

520   controlled conditions. In such cases, it is not necessary that this module is

521   scalable, although it would help future application of the research if the

522   intervention studies needed only small modification to be deployed on a larger

523   scale.

524   We also note that many studies use convenience sampling, which is likely to

525   result in a group of study participants who are not representative of the wider

526   population (or target populations within it). It will often include a sample with

527 higher than average levels of education and income (Schmidt, 2016). Therefore,

528 where possible, the design of the study should be considered to ensure that the

529 sample is as representative of the population of interest as possible, ideally

530 through random selection or, failing that, some form of quota sampling.

531 Previous discussion has indicated a lack of theory involved in the development

532 of interventions; we feel that this stage is a key part of the intervention design

533 process where theoretical understanding could be used to help develop more

534 effective interventions.

535 *2 Monitoring and measurement methods*

536 Measurement of outcomes and impact of the interventions is challenging.

537 Objective measures of food waste – such as through waste compositional

538 analysis of household waste – are relatively expensive and are more easily

539 deployed in geographically clustered samples (World Resources Institute, 2016).

540 In addition, these methods only cover some of the routes by which wasted food

541 can leave the study area, and so food and drink exiting the study area via the

542 drain, or food that members of a household/school etc. waste in locations

543 outside of the study area are not covered by such measurement methods

544 (Reynolds et al., 2014). However, where there is an opportunity to deploy

545 methods involving direct measurement, it is beneficial as these are generally

546 more accurate and also minimise the amount of interaction with the household,

547 reducing the impact of the measurement itself on behaviour.

548   Most of the other methods rely on some form of self-reporting – e.g. diaries,

549   surveys, self-measurement of food-waste caddies, taking photographs. All of

550   these methods generally give lower estimates of food waste in the home

551   compared to methods involving direct measurement (e.g. waste compositional

552   analysis) when comparison is made for a given waste stream. For diaries – one

553   of the more accurate methods – around 40% less food waste is reported

554   compared to waste compositional analysis (Høj, 2012). More recent analysis has

555   shown that measuring food waste via caddies or photos gives similar results to

556   diaries (Van Herpen et al., 2016). This lower estimate is likely due to a range of

557   factors: people changing their behaviour as a result of keeping the diary (or

558   other method), some items not being reported, and people with – on average –

559   lower levels of waste completing the diary exercise (or similar measurement

560   method).

561   Few studies discussed the problems presented by self-reported data. However,

562   issues relating to self-report are discussed more extensively in the

563   environmental (in particular recycling) and social marketing literature where self-

564   reported measures of perceptions and behaviours are often considered

565   unreliable (Prothero et al., 2011) and a gap is expected between self-reported

566   and actual behaviour (Barker et al., 1994; Chao and Lam, 2011; Huffman et al.,

567   2014). This should be discussed with reference to each intervention to

568   understand the scale of uncertainty present in the results.

569 This means that those monitoring interventions have some difficult decisions to

570 make: methods that are accurate may be unaffordable while methods that are

571 affordable may be subject to biases that can compromise the reliability of the

572 results. For instance, a communication-based intervention monitored using

573 diaries may increase the level of underreporting of waste in the diaries, which

574 could be erroneously interpreted as decreasing levels of food waste. This could

575 have substantial – and costly – implications for those deploying the (potentially

576 ineffective) food waste intervention in the future.

577 To address these issues, studies should try to obtain the requisite funding to be

578 able to measure food waste directly (e.g. by waste compositional analysis). This

579 may mean fewer studies, or studies comprising a panel of households, in which

580 food waste is regularly monitored (with the householders' consent), creating the

581 possibility of longitudinal studies. To make such an approach cost effective, this

582 would likely require a consortium of partners, who could explore the emerging

583 data to answer multiple research questions.

584 For studies using self-reported methods, these should carefully consider the

585 design of the monitoring to ensure that reporting is as accurate as possible. The

586 smaller the gap between actual and measured behaviour arising, the less

587 measurement artefacts can influence the results and the ensuing conclusions.

588 Recent work calibrating these self-reported methods has been undertaken (Van

589 Herpen et al., 2016) and this type of information should be used in the

590   measurement design. Further advances in calibration, especially in the context

591   of intervention studies (i.e. is the level of underreporting stable during typical

592   interventions?) would also help to improve monitoring and measurement.

593   In some circumstances, effects relating to self-reported measurement methods

594   can be mitigated by the careful use of control groups. Where possible these

595   should be used, as levels of food waste may change over time, influenced by

596   food prices, income levels and other initiatives aimed at preventing food waste.

597   However, adding a control to the research will increase costs and there can be

598   practical difficulties in creating equivalent (e.g. matched) control groups,

599   especially where samples are geographically clustered.

600   This discussion raises wider questions about the most appropriate evaluation

601   approach and method, where different research designs may be fit for different

602   intervention purposes. For example, where the priority is to measure an impact

603   or effect, an experimental or quasi-experimental method should be considered,

604   while assessing multiple outcomes and causal mechanisms may require a non-

605   experimental research design (e.g. including qualitative methods). If the purpose

606   is to decrease food waste by X percent, then the level of food waste should be

607   measured over the course of the intervention (and beyond, to understand the

608   longevity of the effect). In some contexts however, the purpose is to achieve a

609   precursor to food-waste prevention (e.g. increased reflection on food waste, or

610   to improve cooking skills), which may eventually lead to decreased food waste.

611 In the latter cases, evaluation may want to focus on measuring the level of

612 reflection, cooking skills, etc. to assess the effectiveness of the intervention.

613 We acknowledge that research on food waste is an interdisciplinary field. This

614 can be a virtue, with many perspectives tackling this 'wicked problem'. However,

615 it also means that different disciplines have different conventions and priorities,

616 e.g. over the experimental scale or duration, and measurement of uncertainty

617 *vis-à-vis* determining how much food is actually wasted. These differences

618 should be acknowledged in order that more accurate and consistent

619 measurement takes place.

620 *3 Moderation and mediation*
621 In addition to changes in the level of food waste, intervention studies may

622 benefit from measuring changes in other quantities. This may help understand

623 whether the intervention is effective, especially in situations where

624 measurement of food waste is imperfect. Additional dietary (purchase and

625 consumption) data can be collected and would provide greater certainty

626 regarding food waste generation statistics. Additional waste generation data

627 (beyond just food waste) could also be useful to help understand wider waste

628 generation issues and drivers.

629 Examples of other measurements may include 'intermediate outcomes':

630 depending on the intervention and how it operates, there may be intermediate

631 steps that would need to occur for the intervention to operate as envisioned (as

632   articulated in the intervention's logic map – see stage 1). This is an approach

633   often used in social marketing where changes in behaviour that are difficult to

634   measure might instead track changes in knowledge, beliefs and/or perceptions

635   (Lee and Kotler, 2015). For instance, an educational campaign aimed at

636   increasing the level of meal planning prior to people going shopping could

637   monitor the change in people's awareness of educational material and their

638   (self-reported) level of meal planning. These types of learning processes are

639   slower, and are more difficult to assess in the short term, but they might still be

640   successful and might achieve more long-term effects. Triangulation data is not

641   sufficient in itself to state whether an intervention was successful, but can

642   provide supporting evidence. Such analysis of moderating or mediating effects is

643   useful and often uncovers interesting insights that would not be highlighted if

644   this analysis were not conducted.

645   Observational analysis and measurement can provide insight into why the

646   intervention works. By observing the intervention in action, this allows insight

647   into the intervention itself, in addition to the effects of the intervention. This

648   expands upon the intervention proposals of Porpino et al. (2016) by not only

649   measuring the main objective, but also the intervention process, reflecting

650   recent studies that highlight the importance of both process and outcome

651   evaluation in interventions (Gregory-Smith et al., 2017).

653   In order to make any study replicable and repeatable, there should be sufficient

654   information provided about the intervention and the measurement methods to

655   be able to replicate both elements.

656   The reporting of food waste has become standardised with the publication of

657   the Food Loss and Waste Accounting and Reporting Standard (World Resources

658   Institute, 2016). This standard was designed for countries, businesses and other

659   organisations to quantify and report their food waste; it was not developed with

660   intervention studies in mind. However, many of the principles it describes are

661   useful in this context: studies should clearly describe the types of food waste

662   measured (e.g. just the wasted food (i.e. edible parts) or including the inedible

663   parts associated with food such as banana skins; the destinations included (e.g.

664   only material bound for landfill, or also food waste collected for composting); the

665   stages included (e.g. in a restaurant, only plate waste, or also kitchen waste).

666   A description of the details of how the quantification method (e.g. for waste

667   compositional analysis) was undertaken is crucial, alongside what the study

668   classified as food waste and which waste destinations were included. Details of

669   the sample sizes and how they were drawn should also be covered. Data

670   reporting should include the average weight, alongside appropriate measures of

671   the spread of the data (e.g. standard deviation, standard error, interquartile

672   ranges). Detailed waste composition data, where available, should also be

673 provided. Changes of food waste between time periods should be reported as

674 both weights and percentages, with significance and *p* values clearly stated. This

675 minimum level of comparable data was lacking in many of the papers reviewed,

676 with only 12 (70%) of the papers providing some statistics or statistical analysis,

677 2 (11%) providing waste composition analysis, and 5 (29%) providing results or

678 analysis of food waste reduction from multiple time periods post intervention.

679 To allow for the actual measurement of food waste rather than participants'

680 perceptions, several methods of disruptive thinking and scaling innovations

681 could be considered. One such innovation is smart bins (Lim et al., 2017). This

682 allows automatic recognition of food waste type and their weighting which can

683 help remove uncertainty in self-reporting of food waste. Such data from smart

684 bins (and also smart fridges and online shopping devices) could be shared with

685 local authorities, policy organisations, community groups and industry, enabling

686 planning and optimisation of food waste management locally. Smart bins are

687 already being used in the hospitality industry to track food waste (e.g. products

688 such as Winnow or Leanpath).

689

690 *5 Considering systemic effects*
691 None of the intervention studies in the review considered systemic effects.

692 Systemic effects, like the rebound effect (i.e. improved technology to reduced

693 environmental impacts may, due to behavior and other system effects, result in

694   no change, or increased environmental impacts. See Khazzoom (1987) or Sorrell

695   and Dimitropoulos (2008) for further discussion), are relevant and vital to

696   consider for measures that are saving money or time for the consumer. Several

697   of the measures presented above are not only measures that can lead to

698   reduced food waste, and thus reduced environmental impact, but also measures

699   that could lead to reduced costs, both for consumers and for other actors in the

700   food chain. Since less food needs to be wasted, less food needs to be bought.

701   Reduced costs can be an advantage from a private economic point of view, but it

702   can also in the worst case, lead to further negative environmental effects. The

703   money saved can be used for other types of consumption and perhaps

704   increased environmental impact. These type of system effects, are sometimes

705   called second order effects or rebound effects (Arvesen et al., 2011; Börjesson

706   Rivera et al., 2014). How consumers choose to spend the money saved

707   determines what the overall environmental impact will be. If the money or time

708   is used for something more environmentally friendly, then the effect will be

709   positive, and the environmental potential will be realised. But if instead the

710   money is used for activities with more environmental impact, such as a food

711   with higher environmental impact or, taking a trip with a fossil fuel driven car or

712   even a flight, then the environmental impact is negative. Sometimes the second

713   order effect exceeds the environmental benefits of the intervention, and the

714   situation becomes worse than it was from the outset (known as the Jevons

715 paradox (Alcott, 2005)). This means that measures for reduced food waste do

716 not always only produce the desired results with regard to environmental

717 impact, but also more unintended side effects.

718 This does not mean that measures to reduce food waste are ineffective, but that

719 second order effects need to be taken into account. Otherwise, there is a risk

720 that interventions might not be efficient in a systems perspective. Due to the

721 complexities involved in considering full systemic effects, the practicality of

722 detailed analysis must be weighed up for each intervention. The use of theory-

723 based interventions, with extended logic mapping (e.g. with systems mapping as

724 discussed above) will be useful in enabling this detailed analysis, as the

725 theoretical background and logic mapping may be able to acknowledge cross-

726 boundary input and outcomes (but not necessarily assist with measuring them).

727 Ideally, Intervention studies, where possible, should collect data to monitor

728 these second-order effects, in addition to monitoring the direct impact on food

729 waste. However, as this may involve recording household spending (on food as

730 well as other expenditure) and food consumption, it will greatly inflate the cost

731 of studies and may not be possible. Another option is to, at least, identify risks

732 for second order effects, look for ways to minimize negative second-order

733 effects and maximize any potential positive effects of this nature.

4.6 Policy implications

735 According to our review, in spite of the shortage of downstream intervention

736 studies, there are still several evaluated interventions that have good potential

737 for use in a wider context. These include so-called "low hanging fruits" which

738 might not have a huge impact but also do not imply high cost, high maintenance

739 or side effects, or interventions that have been assessed and have produced

740 good results. One example of the former kind is to encourage guests at

741 restaurants and in large-scale households to adjust the portions to how hungry

742 they are (Jagau and Vyrastekova, 2017), or to take smaller portions at a buffet

743 and come back if you want more (Kallbekken and Sælen, 2013). This kind of

744 measure is relatively simple and inexpensive and could be combined with other

745 measures, such as for example a lower price for a smaller portion. Examples of

746 the latter kind, assessed with good results but with an economic cost, are the

747 interventions with smaller plates (Kallbekken and Sælen, 2013; Wansink and van

748 Ittersum, 2013).

749 A number of interventions use social media (e.g. Lim et al., 2017) and the

750 evaluated studies indicate that there is potential for this in particular as a way of

751 spreading knowledge and creating discussion and reflection. However, caution

752 must be taken as using social media to message the correct audience with

753 content that resonates has its own challenges due to audience segmentation.

754 Another intervention that is quite simple and can be done without major

755 investment in apps, is colour coding of shelving or sections in the refrigerator

756 (Farr-Wharton et al 2012). Similar initiatives have been tested in "Food: Too good

757 to waste" where the solution was even easier - with just a note in the fridge on

758 food to be eaten soon (U.S. EPA Region 10, 2016). More extensive campaigns

759 (e.g. U.S. EPA Region 10, 2016 and WRAP, 2013b) have also had good effects,

760 although it is difficult to estimate the impact of individual components of the

761 overall campaign. With a mix of complementary interventions and actors at local

762 level, this type of measure should have good potential given that the necessary

763 resources and commitment, which seems to have been the case in both the UK

764 and the United States.

5 Conclusion

766 This paper has summarised 17 applied food-waste prevention interventions at

767 the consumption/consumer stage of the supply chain via a rapid review of

768 academic literature from 2006-2017. This led to the identification of

769 interventions that could be deployed effectively at scale in the home (e.g. fridge

770 colour coding, product labelling, and information provision), and out of the

771 home (e.g. plate and portion size adjustment, changes to menus and nutritional

772 guidelines, and redesign of class room syllabus).

773 Our discussion has identified the weaknesses of the current literature; proposed

774 guidelines for the development of further food waste interventions, and set out

775 an agenda for further research:

776   ▪ Well-designed interventions covering a range of types (including longer

777   interventions and those exploring a raft of measurers),

778   ▪ Tested using carefully selected methods to understand the outcome of

779   the intervention and how it works (or not),

780   ▪ Adoption of higher sample sizes and representative sampling for

781   quantitative elements,

782   ▪ Replication studies in different countries

783   ▪ Consideration of systemic effects

784   ▪ Improved, more consistent reporting.

785 This is a novel and important addition to the researchers', policymakers' and

786 practitioners' tool kit. Our review found that the majority of current

787 interventions achieve only a 5% to 20% reduction in food waste. To achieve

788 Sustainable Development Goal 12.3 by 2030, (halve per capita global food waste

789 at the retail and consumer levels) these interventions (and others) need to be

790 combined, refined, tested further at different scales and geographies, and

791 adopted on a global scale.

792

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Online Appendix 1. Time series detail of Figures 3, 4, and 5.
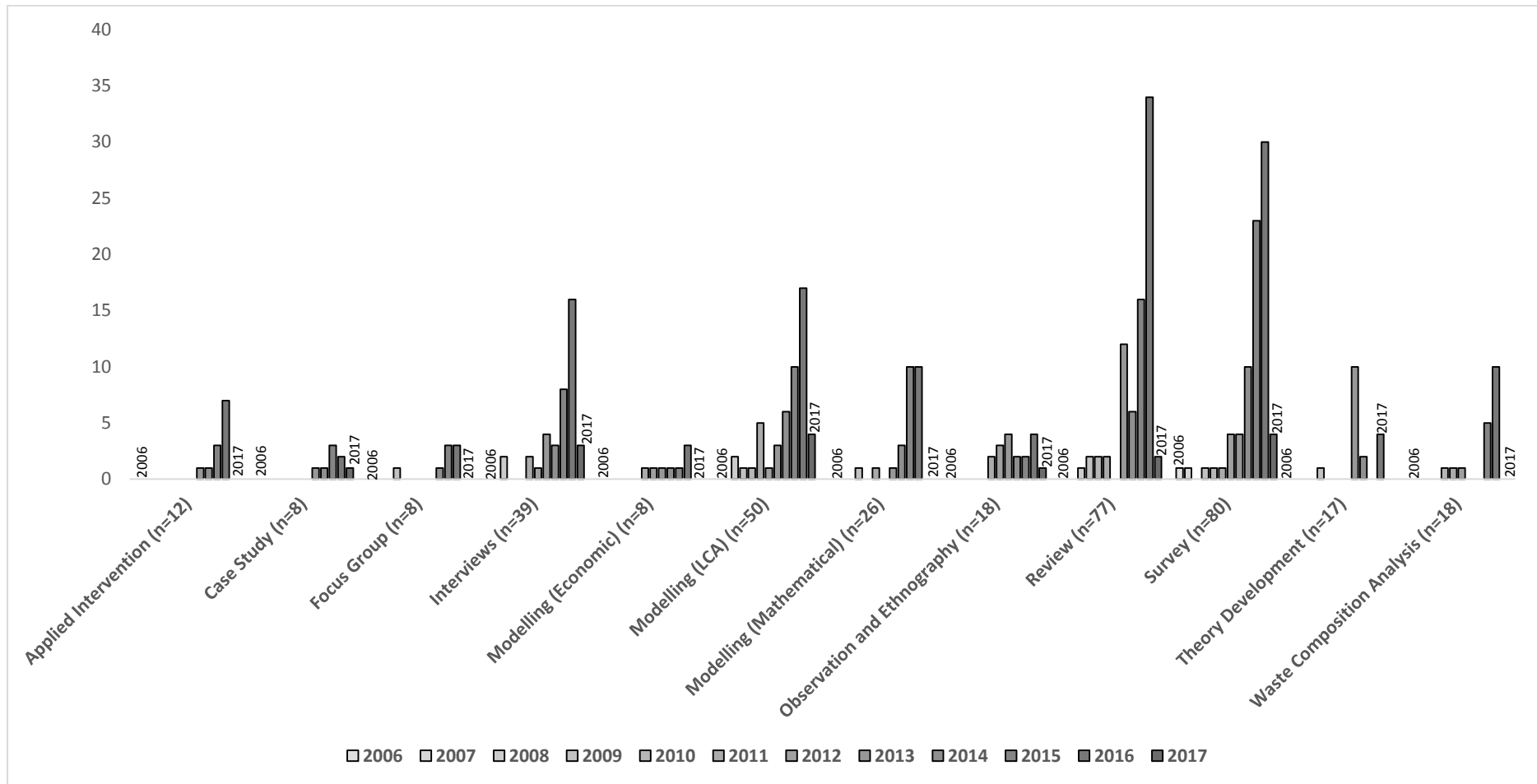
Figure 3 Methods used and numbers of downstream food waste studies published per year 2006-2017, with time series detail. n= 361.
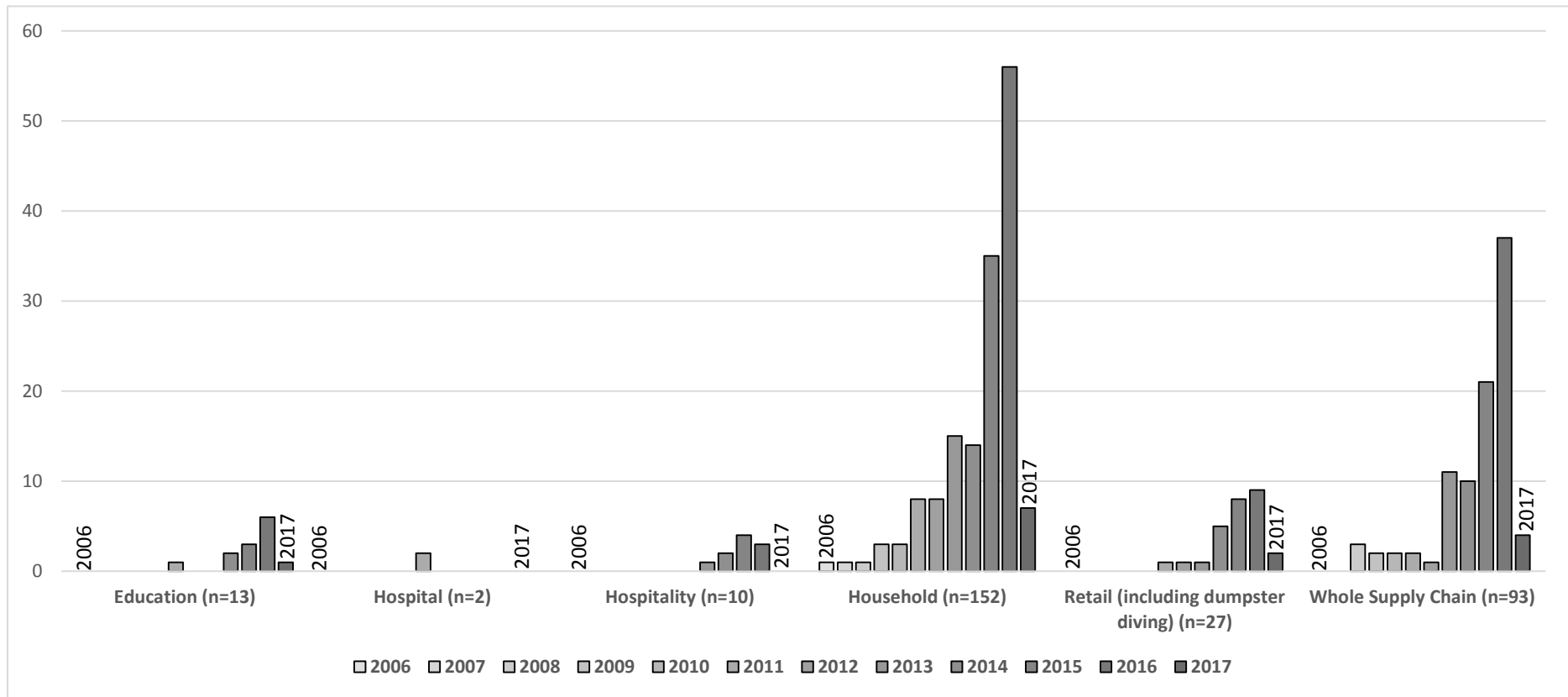
Figure 4, Areas of study and numbers of downstream food waste studies published per year 2006-2017, with time series detail. n=297, (generalist review studies excluded).
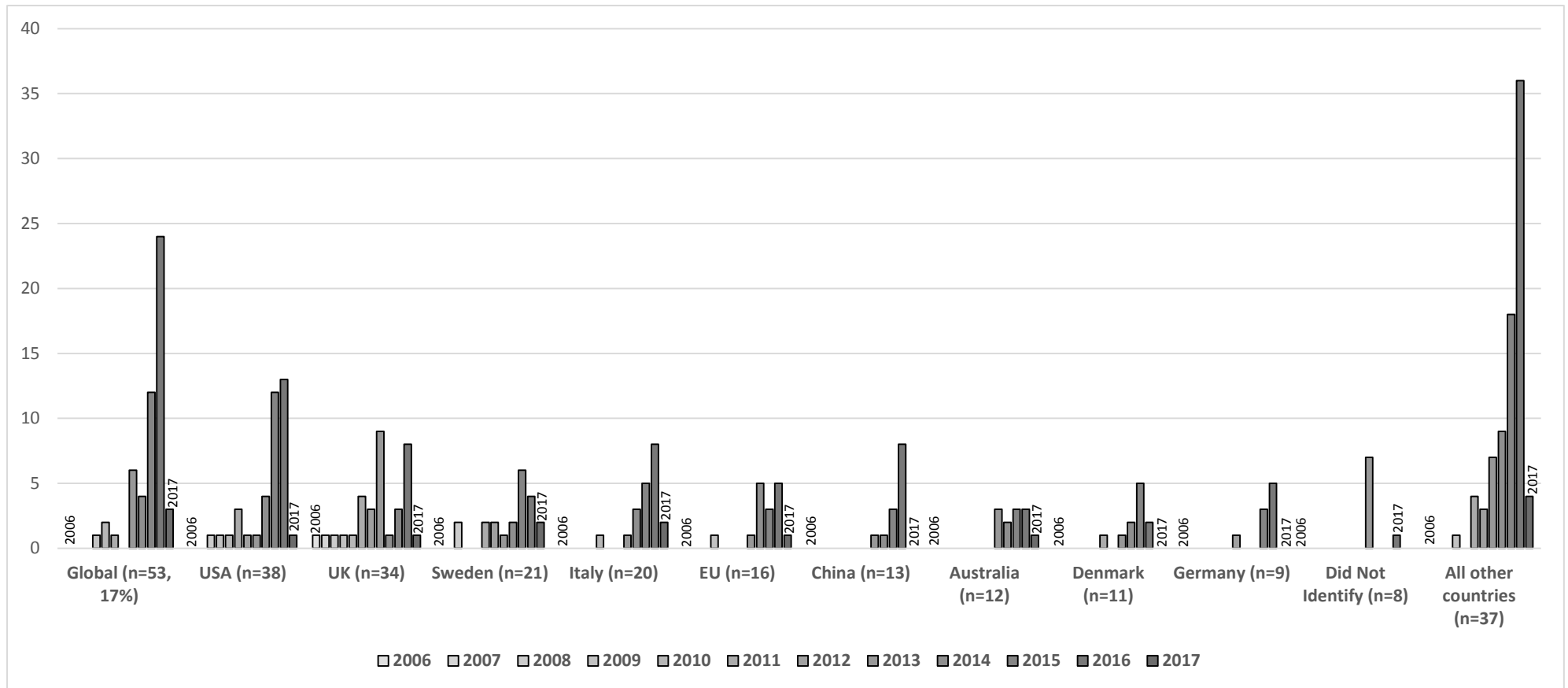
Figure 5, Geographic distribution of downstream food waste studies, the ten most prolific geographic areas, and all other countries, 2006-2017, with time series detail. n=317.

Table 1

Paper	Sample	Analysis methods	Aim	Measurement Time intervals	Setting, scope, search words	Geography	Year	Results
Quested et al., (2013) Resources, Conservation and Recycling	39 documents cited, 12 WRAP studies	research synthesis, and case study	Review of insights about food waste in the home, which has largely emanated from work funded by the Waste & Resources Action Programme (WRAP)	2006 to 2012	Household food waste behaviours	UK	2013	Reviews conceptualisations of food waste, and the multiple behaviours and practices of food waste. Discussion of how to integrate insights into behavioural models and the development of a successful public-engagement campaign. Highlighted discussion point that many behavioural models, are not designed for multiple, complex behaviours such as food waste.
Thyberg et al., (2015) Environmental Science & Technology	62 waste characterization studies	Meta-analysis and research synthesis, use of Google search engine.	Quantification of the US MSW food waste Determine if specific factors drive increased disposal.	1989 to 2013	MSW, Food waste, NOT Food loss	USA	2015	The proportion of MSW food waste increased with time. The aggregate proportion of food waste in U.S. municipal solid waste from 1995 to 2013 was found to be 0.147 (95% CI 0.137−0.157) of total disposed waste, which is lower than that estimated by U.S. Environmental Protection Agency for the same period (0.176).

Table 1

| Chen et al. (2015) Journal of Cleaner Production | 2340 research articles | Review and bibliometric analysis, use of Web of Science database | Quantitative analysis of peer-reviewed articles to summarize food waste publication, identify the research focuses and hotspots, identify the trajectories of research (including development of theoretical and practical contributions and future challenges) | 1997 to 2014 | "Food waste*" or "kitchen waste*" or "food residue*" or "kitchen residue*" | Global, Engish language | 2015 | The food waste literature around biotechnology and waste management was larger than that around waste reduction, with the themes of clean energy, treatment and valorization, and management innovation attracting extensive attention during the past decade. FW research output is distributed unevenly over all countries. The majority of research is published by industrialized countries. Discussion dominated by methods for treating or valorising food waste, mainly in the upstream stages of the supply chain (reflecting the relative amounts of research in this area in the literature). The literature on food-waste prevention obscured. |

Table 1

Aschemann-Witzel et al (2016) Journal of Cleaner Production	26 existing initiatives	Case study approach	Review into case stuides to understand how to successfully design future interventons to reduce consumer-related food waste.	1998 to 2015	Case studies, food waste	23 from Europe, one from the US, and two from Brasil.	2016	Multiple success factors were identified. There are three main types of consumer food waste initiatives: information and capacity building, redistribution , and supply chain initiatives. Collaboration and knowledge sharing (building upon prior initiatives) are important to the success of future campaigns. Supply chain change should ensure growth in business opportunities, Redistribution initiatives need to stress multiple aims to get maximum stakeholder engagement. Information and capacity building initiatives should focus on the positive aspect of valuing and using the food (in a tasty and fun/humorous way). Focus tends to be on either motivating conscious choice and supporting consumer abilities or altering the choice context towards providing opportunities, both may be possible together. Only 4 case studies targeted at reducing downstream consumer food waste. The success of the interventions was judged by those involved in delivering the intervention and most had no estimate of their actual impact on levels of food waste.

60

Table 1

Porpino (2016) Journal of the Association for Consumer Research	24 papers	Review.	Provide a framework and solutions for conducting future research in the Food Waste research area	1975-2015	"wasted food" consumer food waste	Global	2016	Insights given for future impactful research (i.e. shopping habits, over consumption, income, . Provides future research recommendations based on previous studies. (Lack of emotional study, income, cultural factors, marketing, survey analysis and experiments, quantification.) Need for more ethnographic observations, measurements and experiments.

Table 1

Xue et al. (2017) Environmental Science & Technology	202 publications	Review and bibliometric analysis, use of Web of Science and Google Scholar	A critical overview of all the existing FLW data in the current literature. Sorting by Food Supply Chain, Food Commodity Groups, Geographical and Temporal Boundary.	1933 to 2014	Food Loss and Waste	84 countries (Global scope)	2017	Most existing publications are conducted for a few industrialized countries (e.g.,UK, USA). Over half of publications are based only on secondary data (signalling high uncertainties in the existing global FLW database). With these uncertainties, existing data indicate that per-capita food waste in the household increases with an increase of per-capita GDP. Focused on quantification and measurement of levels and types of food waste – mainly at the national level, focussing on the sectors with the most food waste. Paper did not discuss food-waste reduction interventions, nor what has been shown to be successful in the literature.

Table 1

Hebrok and Boks (2017) Journal of Cleaner Production	112 scientific sources	Review, use of Oria and Google Scholar, with additional scoping of reports from ForMat, WRAP, and FUSIONS	Review what the drivers of food waste are, and where can designers intervene in order to influence consumers to waste less food.	2000 to 2015	"Food waste" in combination with the words "household", "packaging", "consumer", "behaviour" and "design".	Results must be written in English, the resultant were from Western Countries	2017	Reviews aspects of consumer food waste (consumer behaviour, attitudes, beliefs and values, quantifications and compositional analyses, waste prevention, and design interventions). Literature is more focused on generating knowledge about the problem than on finding solutions. Little knowledge of the actual or potential effects on food waste levels of design interventions.
Carlsson Kanyama, Katzeff, and Svenfelt (2017), TRITA-SEED-Rapport 2017:05	350 studies	Review/report, english language, use of Google Scholar and Scopus. Included peer reviewed publications, conference papers and reports	Review of interventions to decrease avoidable food waste with the focus on private consumers	1987 to 2017	"food waste" AND "behavior change", "food waste" AND "intervention", "food waste" AND "sustainable consumption", "food waste" AND "nudging".	Global, English language	2017	Studies reviewed use various interventions E.g. education and information; apps, smaller plates. Mostly, the evaluations of the behaviour interventions have only been carried out using smaller groups of people. Longitudinal studies of their effects are mostly missing. Nevertheless, the studies of interventions where evaluations exist, indicate a significant effect regarding the decrease of food waste as well as raising households' awareness and encouraging their reflection.

Table 1

| Schanes, Doberning, and Gözet (2018) Journal of Cleaner Production | 60 articles | Systematic literature review, using Web of Science, Scopus, and GoogleScholar | Review and analyse evidence on the factors impeding or promoting consumer food waste. Discuss the contributions of psychology-oriented approaches as well as social practice theory. | 1980 to 2017 | "food waste" AND "consumer", and "food waste" AND "household" | Global, Engish language | 2018 | Food waste is a complex and multi-faceted issue that cannot be attributed to single variables. Authors call for a stronger integration of different disciplinary perspectives. Current food waste prevention strategies can be designed around determinants of waste generation and household practices. Discussion of policy, business, and retailer options for food waste reduction, with limited review of effectiveness. Call for review of effectivness to be carried out as an avenue of future research. |

Table 2

Paper	Sample	Setting	Waste measurement methods	Theory's used	Aim	Results	% of food waste reduction/ summary of qualitative findings	Intervention category type (Information, Technology, Policy/system/prac tice change)	Measurement Time intervals	Year	Geography
1. Kallbekken & Sælen (2013, Economic Letters) (Kallbekken and Sælen, 2013)	52 hotels (38 control and 2 test groups of 7).	Hospitality	Hotels reported food waste weights (assumed to be gathered by waste audit)	No theories discussed.	Using two separate non-intrusive 'nudges' – reducing plate size and providing social cues based on perceived social norms – in Hotels.	Both reducing plate size and providing social cues was effective at reducing food waste in Hotels.	Plate size reduction: 19.5% (p < 0.001), Signage: 20.5% (p < 0.001)	Information Technology, Policy/system/prac tice change	"Study duration: 2.5 months. The 52 hotel restaurants recorded and reported the amount of food waste daily over the whole period."	2013	Norway

Table 2

2. Young et al (2017, Resources, Conservation and Recycling)(Young et al., 2017)	4398 responded to the second follow-up survey	Household	Self-reported via online survey of participants.	Drivers of food waste, social influence theory.	Using traditional and online (social media) methods to distribute information to customers of a large UK retailer to reduce household food waste and disposal frequency.	Online and social media information methods can be as effective as traditional methods of information dissemination. Note that only the e-newsletter outperformed exposure to magazine.	No exposure: 10% (p = < 0.05), Exposure to electronic newsletter: 19% (p = < 0.05), Exposure to Facebook intervention: 9% (p = < 0.05), Exposure to magazine (found online and in-store) 10% (p = < 0.05).	Information	Online self report, One month before intervention, two weeks after intervention, and five months after intervention.	2017	UK

Table 2

3. Schwartz et al (2015, Childhood Obesity) (Schwartz et al., 2015)	12 schools, 3 years (Annual measurement days) 400-500 students per day	Education	Measurement by mass flow of food from kitchen to plates to bin. Waste weighed.	No theories discussed.	Examining the selection and consumption of 4 food items (Fruit, Vegetable, Entrée, and Milk) before (2012) and after (2013 and 2014) USDA regulation updates were implemented to school lunches.	Menu updates led to increased selection of items (Fruit and Entrée) and reduced plate waste (Vegetables and Entrée's having significant reduction in waste).	Fruit: 3% (Not significant), Vegetable: 28% (p = < 0.05), Entrée 15% (p = < 0.05), Milk 5% (Not significant).	Policy/system/practice change	Over 3 years, one measurement per year per school, collected each year in April, May, or June. To calculate average weight of serving, three servings of all food available weighed prior to lunch period, Pictures of food on trays taken before and after consumption. Trays collected and remaining food left on trays weighed and recorded.	2015	USA

Table 2

4. Williamson et al (2016, Journal of the Association for Consumer Research)(Williamson et al., 2016a)	Multiple studies. S1 n=68, S2 n=100, S3A n=40, S3B n=40, S3C n=240	Education	Waste weighed (plate and bin waste) post experiments.	Food choice (physiological and psychological explanations) including Sensory Transference Effects, Psycholinguistic Transference Effects and Automatic Categorization Effects	Using multiple studies to investigated the hypothesis that plate disposability affects amount of food wasted in lab environment and at buffet lunches.	People waste more food when eating on disposable plates compared to permanent plates, if snack (S1) or a buffet meal (S3A, S3B and S3C). In S3A the plates were different on each consecutive day, S3B the plates were replaced half way through the meal (first 20 participants had permanent plates) and S3C, the sessions with and without disposable plates were 4 weeks apart.	S1: Permanent plates had a 51% reduction in FW compared to Disposable plates ($p < .05$). S3A: Disposable plate waste: 15.5%, Permanent plate waste 8.4% ($p < .001$). S3B: Permanent plates had a 33% reduction in FW compared to Disposable ($p < .01$). S3C: Disposable plate waste: 19.5%, Permanent plate waste 10.8%. ($p < .001$)	Technology	S1: one of measurement event, food weighed prior, waste collected after and weighted. "S3A and B: Total weight of the buffet food was measured in the kitchen prior to being served" "S3C: All food weighed before service, any uneaten food was scraped into a waste bin, and weighed. 2 days of observations. Measure: average weights of waste per plate."	2016	USA

Table 2

5. Schmidt (2016, Resources, Conservation and Recycling)(Schmidt, 2016)	N=217. (experimental N=108, control N=109).	Household	Self-reported level of perceived ability to prevent household food waste via survey of participants.	Environmental psychological theory	Use environmental psychological theory (pro-environmental behaviour) to tailor information to specific audiences (households).	Measured perceived ability to prevent household food, pre and 4 weeks after intervention.	12% increase in perceived ability to prevent household food in Experimental group 4 weeks post intervention (p < 0.01).	Information	Baseline and post intervention measurements of self reported food waste behaviours	2016	Germany

Table 2

6. Manomaivibool et al (2016, Applied Environmental Research) (Manomaivibool et al., 2016)	319 pictures	Education	Picture measurement of plate waste (fraction left on plate).	Theory of planned behaviour psycho-social factors that cause the generation of food waste.	Measuring the impact of an awareness campaign to reduce food waste on campus.	Collect baseline data via visual analysis and photos. The awareness campaign included photo diaries, table information and a social media component. Pictures of plates and waste rather than weights collected at baseline and during intervention. This provided analysis of probability of types of waste occurring. Plate waste decreased due to intervention.	Probability of types of food waste occurring, 2 categories significant. Rice and Noodles: before campaign probability=0.521, after campaign probability=0.331 (p<0.000). Meat: before campaign probability=0.186, after campaign probability=0.088 (p<0.007).	Information	Visual pictures food waste collected, 314 valid pictures taken at baseline, 148 post intervention.	2016	Thailand

Table 2

7. Dyen, Sirieix (2016, International Journal of Consumer Studies)(Dyen and Sirieix, 2016)	4 interviews, 3 observations	Education	Self-reported via interview of participants.	Food as an educational tool. Food to create social ties.	Observe social cooking workshops to understand the impact they have on the adoption of sustainable food practices, and on the social inclusion of participants.	Interviews and observations of cooking classes were conducted. Food Waste was discussed during the interviews and it was claimed that the cooking classes helped people to manage their food and reduce waste.	No statistics presented.	Information , Policy/system/practice change	Self reported waste reduction	201 6	France

Table 2

8. Devaney, Davies (2016, Journal of Consumer Culture)(Devaney and Davies, 2016)	5 Households	Household	Food waste Audits	Social practice lens of food waste generation. Transition management theory, living laboratory methodologies.	Using home based laboratory interventions ("HomeLabs") to promote resource efficient food consumption and eating practices. This included food waste reduction.	Selecting 5 households that represent common household types in Ireland. 5 weeks of phased intervention. Each week covered a different FW topic. Week 1 included FW audit. Semi-structured interviews conducted during intervention. Food waste decreased in all households, (including reductions of up to 5.25 kg in Household M).	Overall food waste generation reduction of 28%	Information, Technology	Week 1 and Week 5 food waste audit. Food waste was collected by householders for 3 days in advance of their next researcher visit, with participants asked to make a record of the type of food wasted and the reason for wasting it. The gathered food waste was then weighed by the researcher.	2016	Ireland

Table 2

9. Ganglbauer, E., Fitzpatrick, G. and Comber, R. (2013, ACM Transactions on Computer-Human Interaction) (Ganglbauer et al., 2013)	14 households, 5 had FridgeCams for one month	Household	Self-reported via interview of participants.	"theory of practice" lens	Using the FridgeCam technology probe to monitor and intervene in the food waste practices (shopping) and generation of 14 households in Austria and UK.	Interviews and tours of all households to understand FW behaviours. FridgeCams deployed to 5 households for 1 months, with follow-up interviews indicating the usefulness of FridgeCams in reducing and preventing food waste.	No statistics presented.	Technology	Self reported waste reduction	2013	Multiple country (UK and Austria)
10. Whitehair, Shanklin and Brannon (2013, Journal of the Academy of Nutrition and Dietetics) (Whitehair et al., 2013)	540 university students, 19046 trays of food.	Education	Weighing of plate waste.	Elaboration Likelihood Model of Persuasion	Use Prompt ("Eat	Over 6 weeks (2 weeks baseline, deploy Prompt message, 2 weeks deploy Feedback message, 2 Weeks. study). Data from student surveys and tray waste collected. Prompt message resulted in 15% FW decrease. Feedback messaging did not result in further FW reduction.	15% FW reduction from baseline to Prompt Intervention. (P<0.05)	Information	6-week data collection period. Plate waste individually weighed.	2013	USA

Table 2

# / Authors	Sample	Sector	Measurement	Theory/Approach	Research Question	Findings	Statistics	Intervention	Outcome	Year	Country
11. Lim, Funk, Marcenaro, Regazzoni, Rauterberg, (2017 International Journal of Human Computer Studies) (Lim et al., 2017)	S1 (n=27), S2 (n=6), S3 (n=15)	Household	Weight collected by smart bin. Self reported via interview, survey, and focus group of participants.	The Wizard of Oz approach, Contento's (2010), factors that influence food choices: biological predisposition, sensory-affective factors, person-related determinants, and social and environmental determinants.	Can the use of emerging technology (social recipe apps, food logging, and smart bins) reduce household FW.	Using interviews (S1), Focus groups (S2), and Home deployment (S3) to test the usefulness of social recipe apps, food logging, smart bins and food sharing as ways for reducing food waste. No FW baseline, so no measured FW reduction. App alone not enough to reduce FW. However App with smart bins "eco feedback" and other measures, FW reduction possible.	No statistics presented.	Technology, Information	Self reported waste reduction	2017	Netherlands
12. Jagau and Vyrastekova, (2017 British Food Journal) (Jagau and Vyrastekova, 2017)	2500 meals	Education	Visual coding of plate waste (fraction left on plate).	Behavioural insights and nudges, theory of psychic numbing	How effective is an in-restaurant information campaign advertising the availability of smaller portions sizes.	14 days of study (5 pre), 9, intervention). Measure % of plate waste (not weight), and number of portion types. No difference in food waste pre and post intervention. This could be due to 1) smaller sizes available and 2) imprecise measurement of food waste.	Post intervention the proportion of meals where consumers asked for smaller portions was higher (6%) than pre intervention 3.5% (p=0.0129).	Information	One week baseline, two weeks intervention. Measured % of food waste left on plate (not waste)	2017	Netherlands

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13. Lazell (2016 Journal of Consumer Behaviour) (Lazell, 2016)	None stated	Education	None stated	Human computer interaction	The intervention in this study consisted of a social media tool (Twitter). This tool allowed participants to inform others of food that would have otherwise been wasted within the university. Tool advertised via poster and social media.	Insufficient usage of tool to justify an in-depth reporting of measurement/ findings	No statistics presented.	Technology	Possible self reported waste reduction	2016	UK

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| 14. Martins, Rodrigues, Cunha, and Rocha (2016, Public Health Nutrition) (Martins et al., 2016) | 151 fourth-grade children from 3 Porto primary schools who ate lunch. 1742 lunches during 14 days over eight different menus | Education | Weighing of individual meals and leftovers for all meals | No theories discussed. | How effective either intervention A, (designed for children and focusing on nutrition education and food waste) or intervention B, (designed for teachers and focused on the causes and consequences of food waste;) are at reducing plate waste when compared to a control group. | Physical weighing of individual meals and leftovers was performed on three non-consecutive weeks (baseline(T0), 1 week (T1) and 3 months (T2).

The study results demonstrated that Intervention A (designed for children) was more effective at reducing plate waste than the intervention B (focusing on teachers). However, food waste reduction decreased between the short and the medium term only.

Intervention A, a decrease in soup waste was observed. The effect was greater at T1. than at T2. The plate waste of identical main dishes decreased strongly at T1; this effect was not found at T2.

Intervention B did not have a | Intervention A % waste Soups T1 −11.9 (SE 2.8) % T2 −5.8 (SE 4.4) %. Main dishes T1 −33.9 (SE 4.8) %; T2 −13.7 (SE 3.2) %;

Intervention B % waste Soups T1 −6.8 (SE 1.6) % T2 −5.5 (SE 1.9) % Main dishes T1 3.7 (SE 2·6) %; T2 −5.4 (SE 2.4) % | Policy/system/prac tice change | Five day baseline, with plates, food and plate waste weight collected for each child. Percentage of plate waste was calculated as the ratio of edible food discarded per edible food served to children. Weighed again in first week and then again after 3 months. | 201 6 | Portugal |
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| 15. Cohen, Richardson, Parker, Catalano, and Rimm (American Journal of Preventive Medicine) (Cohen et al., 2014) | 1030 Children, 5936 Meals. | Education | Weighing of average meals (10 weights) and individual weighing of all leftovers. 2 days of meal measurement pre (2011) and post (2012) | No theories discussed. | If the new school meal standards had an effect on the consumption, and waste of school meals. | The new school meal standards resulted in no changes in entrée or vegetable selection. Fruit selection increased significantly. Milk selection Decreased due to policy change. Changed. The percentage of foods consumed increased for entrees and vegetables. There were no significant differences in the percentage or quantity of fruit consumed. | Meals consumed per student (%) Entrée Pre 72.3,Post 87.9 p-value <0.0001; Milk Pre 64.0 Post 53.9 p-value <0.0001; Vegetable Pre 24.9 Post 41.1 p-value <0.0001; Fruit Pre 51.8 Post 55.2 p-value 0.10. Meals consumed per total # of meals (%) Entrée Pre 63.4,Post 73.6 p-value <0.0001; Milk Pre 62.4 Post 50.1 p-value <0.0001; Vegetable Pre 25.8 Post 40.3 p-value <0.0001; Fruit Pre 59.1 Post 56.9 p-value 0. 05. | Information , Policy/system/practice change | 2 days of plate waste measurement per year, post meal trays collected and each meal components waste measured separately. | 2014 | USA |

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16. Freedman and Brochado Obesity 2010 (Freedman and Brochado, 2010)	1,475 students	Education	Weighing of plate waste.	No theories discussed.	If the reduction in portion size of French Fries would reduce plate waste. Portion sizes tested 88g, 73g, 58g, 44g	On average, all consumed 81.6% of the FF, regardless of portion size. As portion size decreased, a greater number of portions was taken, however even with more portions, few diners took/consumed/wasted more than at baseline.	Total produced (g) 88g (44,727 ± 6,328), 73g (42,299 ± 3,299), 58g (37,033 ± 3,767), 44g (35,150 ± 3,350); Total consumed (g) 88g (23,282 ± 4,227), 73g (24,158 ± 2,698), 58g (18,295 ± 4,794), 44g (17,846 ± 1,318); Consumption per diner (g) 88g (74.3 ± 2.2), 73g (71.4 ± 2.4), 58g (53.0 ± 2.5), 44g (52.2 ± 6.0); Total wasted (g) 88g (6,168 ± 265), 73g (5,098 ± 250), 58g 4,983 ± 283), 44g (4,242 ± 90);	Policy/system/practice change	5 week study (1 week baseline), weight of food and waste measured for each bag.	2010	USA

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17. Wansink, and van Ittersum, Journal of Experimental Psychology: Applied, 2013. (Wansink and van Ittersum, 2013)	Study 1 n=219 Study 2 n=43, Study 3 n=237, Study 4 n=135.	Hospitality	Weighing of plate waste. (S2)	Pool and Store Theory. The Delboeuf illusion.	A multi study paper examining how visual norms (plate size) effect the amount of self-service food taken. Only study 2 had waste measurement. Study 1: Assessed norms of portion size and bowl size. Study 2: Plate size (small vs large) and waste at an All-You-Can-Eat Chinese Buffet. Study 3: Plate size (small vs large) after lecture on plate size and waste. Study 4: solving the Delboeuf illusion (serving bias towards different bowls)	Study 1: For normal-sized dinnerware, portions are anchored to 70% fill level. The larger the bowl, the more people overfill. Study 2: Diners who selected the larger plate served themselves 52.0% more total food than those who selected the smaller plate. In addition to larger plates serving 52.0% more food, they also consumed 45.1% more, and wasted 135.2% more than those with smaller plates. Diners with larger plates wasted 14.4% of all the food they served themselves, compared with 7.9% (smaller plates). Study 3: overall larger plates served more food than with smaller plates. Smaller plates took more tacos.	Study 2: Large plate: cm2 of food served 1216.9, consumed 1072.5, wasted 144.4. Small plate: cm2 of food served 800.5, consumed 739.1, wasted 61.4 (p <.01). Study 3: lettuce salad (7.25 vs. 2.25 trays), vegetable salad (6.25 vs. 1.75 trays), beef (6.0 vs. 3.75 trays), enchiladas (6.5 vs. 3.5 trays), and fried fish (5.25 trays vs. 3.0 trays) soup (.75 vs. .75 trays), tacos (1.25 vs. 2.25 trays).	Technology	Study 1 - self reported size of portion Study 2- 4 restaurants, visual observation of 43 diners, with visual estimation of plate waste. Study 3 - 2 lines at one lunch event (209 individuals). Food weighed pre service and post service. No waste measurement.	2013	USA

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