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1 **Mapping tropical disturbed forests using multi-decadal 30 m**  
2 **optical satellite imagery**

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17

18 **Keywords:** Mato Grosso, Forest disturbance, Post-deforestation regrowth, Forest degradation

19

20

21 **Abstract**

22 Tropical disturbed forests play an important role in global carbon sequestration due to their rapid  
23 post-disturbance biomass accumulation rates. However, the accurate estimation of the carbon  
24 sequestration capacity of disturbed forests is still challenging due to large uncertainties in their  
25 spatial distribution. Using Google Earth Engine (GEE), we developed a novel approach to map  
26 cumulative disturbed forest areas based on the 27-year time-series of Landsat surface reflectance  
27 imagery. This approach integrates single date features with temporal characteristics from six  
28 time-series trajectories (two Landsat shortwave infrared bands and four vegetation indices) using  
29 a random forest machine learning classification algorithm. We demonstrated the feasibility of  
30 this method to map disturbed forests in three different forest ecoregions (seasonal, moist and dry  
31 forest) in Mato Grosso, Brazil, and found that the overall mapping accuracy was high, ranging  
32 from 81.3% for moist forest to 86.1% for seasonal forest. According to our classification, dry  
33 forest ecoregion experienced the most severe disturbances with 41% of forests being disturbed  
34 by 2010, followed by seasonal forest and moist forest ecoregions. We further separated disturbed  
35 forests into degraded old-growth forests and post-deforestation regrowth forests based on an  
36 existing post-deforestation land use map (TerraClass) and found that the area of degraded old-  
37 growth forests was up to 62% larger than the extent of post-deforestation regrowth forests, with  
38 18% of old-growth forests actually being degraded. Application of this new classification  
39 approach to other tropical areas will provide a better constraint on the spatial extent of disturbed  
40 forest areas in Tropics and ultimately towards a better understanding of their importance in the  
41 global carbon cycle.

## 42 **1. Introduction**

43 As hotspots of global biodiversity and carbon storage, tropical forests play an important role in  
44 biodiversity conservation, climate change mitigation and the provision of multiple other  
45 ecosystem services (Foley et al. 2005). However, millions of hectares of tropical forests have  
46 been lost due to deforestation and degradation disturbances, resulting in estimated net carbon  
47 emissions of  $1.4 \pm 0.5 \text{ Pg yr}^{-1}$  from 1990-2010 (Houghton 2012). These emissions represent the  
48 second largest anthropogenic source of carbon dioxide to the atmosphere after burning of fossil  
49 fuels (van der Werf et al. 2009). In contrast, a significant proportion of previously disturbed  
50 tropical forests are regrowing, trapping some of the carbon we are adding to the atmosphere, and  
51 with the potential to sequester more in the future. The carbon sink due to tropical forest  
52 recovering from deforestation and logging has been reported to be up to 70% greater than that of  
53 intact tropical forests (Pan et al. 2011). However, our ability to accurately assess tropical carbon  
54 sources or sinks is hampered by the lack of precise information on the extent of disturbed forests  
55 in the tropics (Baccini et al. 2017).

56 Remote sensing has played a key role in identifying forest disturbances and recovery, especially  
57 with the recent proliferation of high-resolution satellite data (Hansen et al. 2013). Several  
58 approaches have previously been used to map disturbed forests in tropical regions, including  
59 optical approaches based on moderate resolution MODIS imagery (Langner et al. 2007), high-  
60 resolution Landsat imagery (Lu 2005; Vieira et al. 2003) and very high-resolution SPOT data  
61 (Carreiras et al. 2014; Kimes et al. 1999; Souza et al. 2003) , as well as Synthetic Aperture Radar  
62 (SAR) (Kuplich 2006; Trisasongko 2010) and Lidar-based approaches (Andersen et al. 2014).  
63 However, the majority of these studies have focused on local scales and have been based on  
64 single date images. For example, Vieira et al. (2003) classified forests into young, intermediate,

65 advanced and mature forests for one municipality in the state of Pará, using Landsat spectral  
66 information and vegetation indices, and found that combining Landsat shortwave infrared band  
67 (1.55-1.75  $\mu\text{m}$ ) with NDVI generated a better classification than using any individual band/index.  
68 Carreiras et al. (2017) further demonstrated the use of combined Landsat spectral bands with  
69 ALOS PALSAR backscatter intensity to distinguish secondary regrowth forest and mature forest  
70 in three landscapes in Brazilian Amazon. Such multiple multi-sensor fusion approaches have yet  
71 to be applied over regional scales.

72 Several regional satellite-based land cover classifications that include secondary regrowth and  
73 forest degradation have become available for Neotropical regions. Two prominent examples are  
74 the TerraClass post-deforestation land use/land cover classification (Almeida et al. 2016) and  
75 the DEGRAD forest degradation product (INPE 2007-2013), both of which were developed by  
76 Brazilian National Institute for Space Research (INPE) specifically for the Brazilian Amazon. In  
77 TerraClass, available since 2004, secondary regrowth forest is mapped on previously deforested  
78 areas larger than 6.25 ha using a semi-manual approach (Almeida et al. 2016). The DEGRAD  
79 product is produced mainly by visual interpretation of Landsat and CBERS satellite images from  
80 a single year and is annually available between 2007 and 2013 (INPE 2007-2013). Recently,  
81 another product, MapBiomas, has become available that provides annual national-level land  
82 cover and land use maps for Brazil (MapBiomas 2015). MapBiomas, available from 2000 to  
83 2016, classifies forest land cover as dense forest, open forest, secondary forest, degraded forest,  
84 flooded forest or mangrove, using an empirical decision tree classification algorithm based on  
85 single date spectral mixture analysis. All of those single date imagery based approaches are  
86 limited in the discriminatory power they can provide as they make no use of temporal  
87 degradation/recovery signals which characterise disturbed forests. Thus, none of the existing

88 products fully exploits the potential of existing Landsat time-series data spanning multiple  
89 decades to provide reliable maps of both forest regrowth and degradation. Furthermore, none of  
90 these products captures historical (pre-2000) disturbances. There is therefore a clear need for a  
91 product that provides a more comprehensive picture of historical disturbances over tropical  
92 regions.

93 Methods that exploit temporal information in satellite data (e.g. threshold approaches, trajectory  
94 fitting or segmentation) have been found to be very useful for mapping forest disturbances  
95 (Hermosilla et al. 2015; Hirschmugl et al. 2017; Huang et al. 2010; Kayastha et al. 2012;  
96 Kennedy et al. 2007; Kennedy et al. 2010; White et al. 2017). However, majority of these time-  
97 series based approaches are based on a single time-series trajectory and have mainly been  
98 implemented at local scales in extratropical regions (e.g. Canada, U.S.). For example, the  
99 recently developed LandTrendr (Kennedy et al. 2010), Vegetation Change Tracker (Huang et al.  
100 2010) and patch-based VerDET (Vegetation Regeneration and Disturbance Estimates through  
101 Time) (Hughes et al. 2017) algorithms have all only been extensively tested in the United States.  
102 A recent inter-comparison of disturbance detection algorithms for US forests found that different  
103 time-series analysis algorithms are sensitive to different disturbance patterns, with little  
104 agreement among these disturbance detection results (Cohen et al. 2017). Thus, when applying  
105 these algorithms elsewhere, local calibration and further secondary classification are needed to  
106 improve the algorithm's classification performance (Cohen et al. 2018). Machine learning  
107 approaches (i.e. random forest) offer the potential to harness the differential sensitivities of  
108 different time-series once provided with an appropriate training dataset, but have rarely been  
109 coupled with multiple time-series trajectories in Tropics.

110 In this study, we develop a novel Landsat multiple time-series based classification methodology  
111 to map cumulative disturbed forest areas in Tropics, which exploits the power of 1) time-series  
112 images relative to single date images, 2) an ensemble of reflectance bands/indices trajectories  
113 relative to single trajectories, and 3) machine learning algorithms which enhances classification  
114 power by harnessing the differential sensitivities of different time-series. The ‘disturbed forests’  
115 in this study include both degraded old growth forests and post-deforestation regrowth forests.  
116 The former are characterised by a reduction of forest canopy cover (e.g. selective logging,  
117 windfall, fire) but have not been clearfelled and thus have not been included in deforestation  
118 estimates. The latter refer to areas that have been previously deforested (clearfelled) and  
119 converted to other land uses (e.g. pasture, agriculture and mining) but which have subsequently  
120 undergone a recovery process following abandonment. Our approach integrates information from  
121 six different time-series trajectories (Landsat 5/7 short-wave infrared band 5, band 7, NDVI,  
122 SAVI, NDWI<sub>2130</sub>, NDWI<sub>1640</sub>), extracting both statistical and temporal characteristics from each  
123 trajectory which then serve as inputs for random forest classification. It not only captures  
124 disturbances occurring within study period (1984-2010), but also areas disturbed prior to 1984  
125 which thereafter have exhibited clear recovery patterns. Here, we apply this method to three  
126 forest ecoregions (seasonal, moist and dry forests) in the Brazilian state of Mato Grosso.

## 127 **2. Study Area**

128 Our study area (Fig. 1), the state of Mato Grosso, is located in the southern edge of Brazilian  
129 Legal Amazon. Mato Grosso is the third largest state in Brazil, covering a total area of 903,357  
130 km<sup>2</sup>. According to the Terrestrial Ecoregions of the World (TEOW) from World Wildlife Fund  
131 (WWF), 43% of Mato Grosso area is covered by Cerrado (tropical savanna), 27% by seasonal  
132 forest, 18% by moist forest, 6% by dry forest and 6% by Pantanal (tropical wetlands) (Olson et

133 al. 2001). In Mato Grosso, 139,917 km<sup>2</sup> have been deforested since 1988 (INPE 2017)  
134 amounting to 26.5 % of the state's intact forest in that year (Skole and Tucker 1993), most of  
135 which has been converted into pasture and agricultural land use due to demand for beef and soy  
136 beans (Barona et al. 2010). According to TerraClass (Almeida et al. 2016), herbaceous pasture  
137 and shrubby pasture cover 61.4% of the total deforested areas in Mato Grosso while 19.2% of  
138 deforested areas are under secondary regrowth (including secondary vegetation and regeneration  
139 with pasture). The combination of extensive disturbances and significant amount of remaining  
140 intact forest makes Mato Grosso an ideal testbed for the application of our newly developed  
141 disturbed forests mapping approach (see section 3).

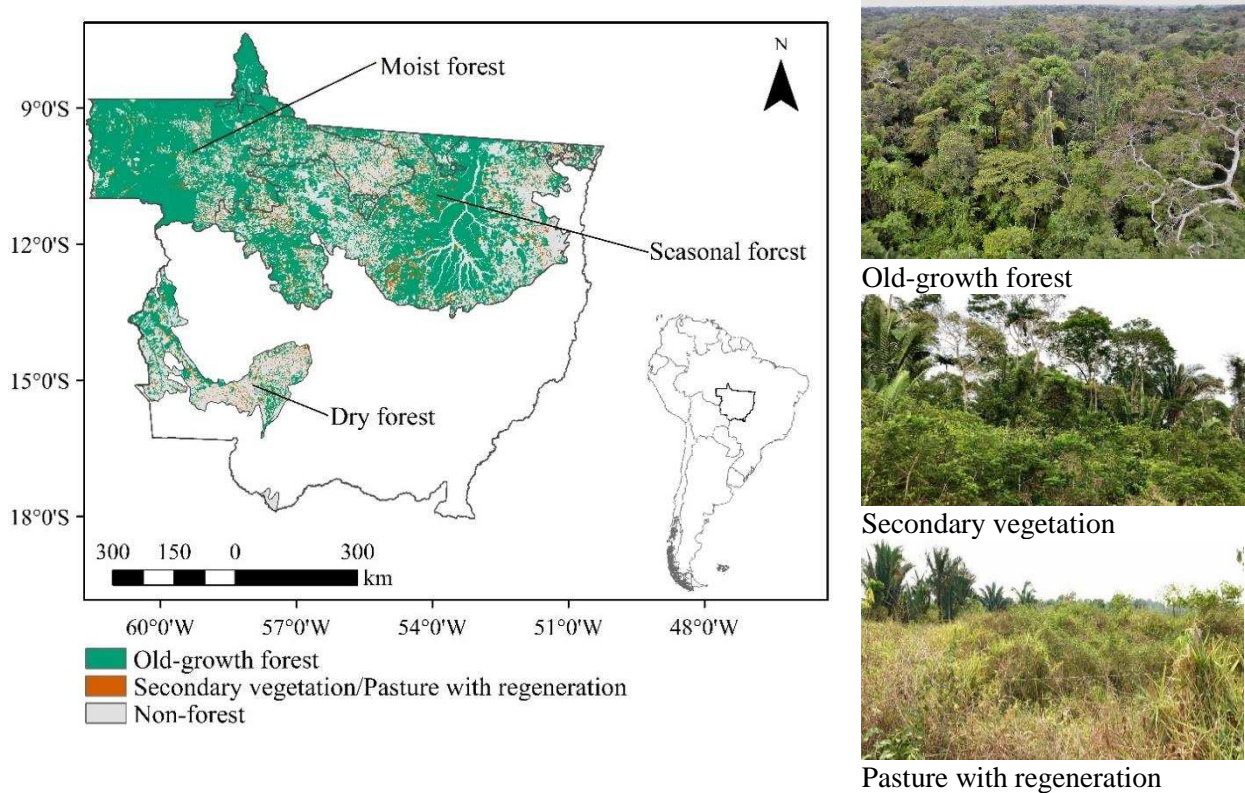
142 As indicated, TerraClass is a project that maps land use/land cover on previous deforested areas  
143 provided by PRODES (Program for Deforestation Monitoring, INPE 2017) at approximately bi-  
144 annual intervals across the Brazilian Legal Amazon (Almeida et al. 2016). TerraClass classifies  
145 previously deforested areas into 12 land use categories including pasture, annual crops,  
146 secondary vegetation and urban areas. It is extensively validated via field campaigns to  
147 determine the accuracy of classification. These have been conducted across different Amazonian  
148 regions, including the state of Mato Grosso. This is the best available information on the  
149 distribution of secondary forests in any region of the Tropics. However, TerraClass involves a  
150 huge effort based largely on visual interpretation and does not map degradation.

151 The aim of this study is to propose a Landsat multiple time-series based approach in Tropics to 1)  
152 improve the efficiency/cost-effectiveness of mapping disturbed forests vs. intact forests,  
153 facilitating future TerraClass efforts, 2) map degraded old-growth forests (outside of TerraClass),  
154 and 3) eventually enable mapping of disturbed forests over domains for which no reliable data on  
155 forest disturbance exist. Only forest areas are considered in this study. To make sure all non-



156 forest areas are excluded, we created a forest cover mask by merging TerraClass-2010 old-  
157 growth forest, secondary vegetation and pasture with regeneration categories (Fig. 1). The latter  
158 category effectively captures the beginning of the regenerative process containing shrubs and  
159 early successional vegetation (Almeida et al. 2016).

160



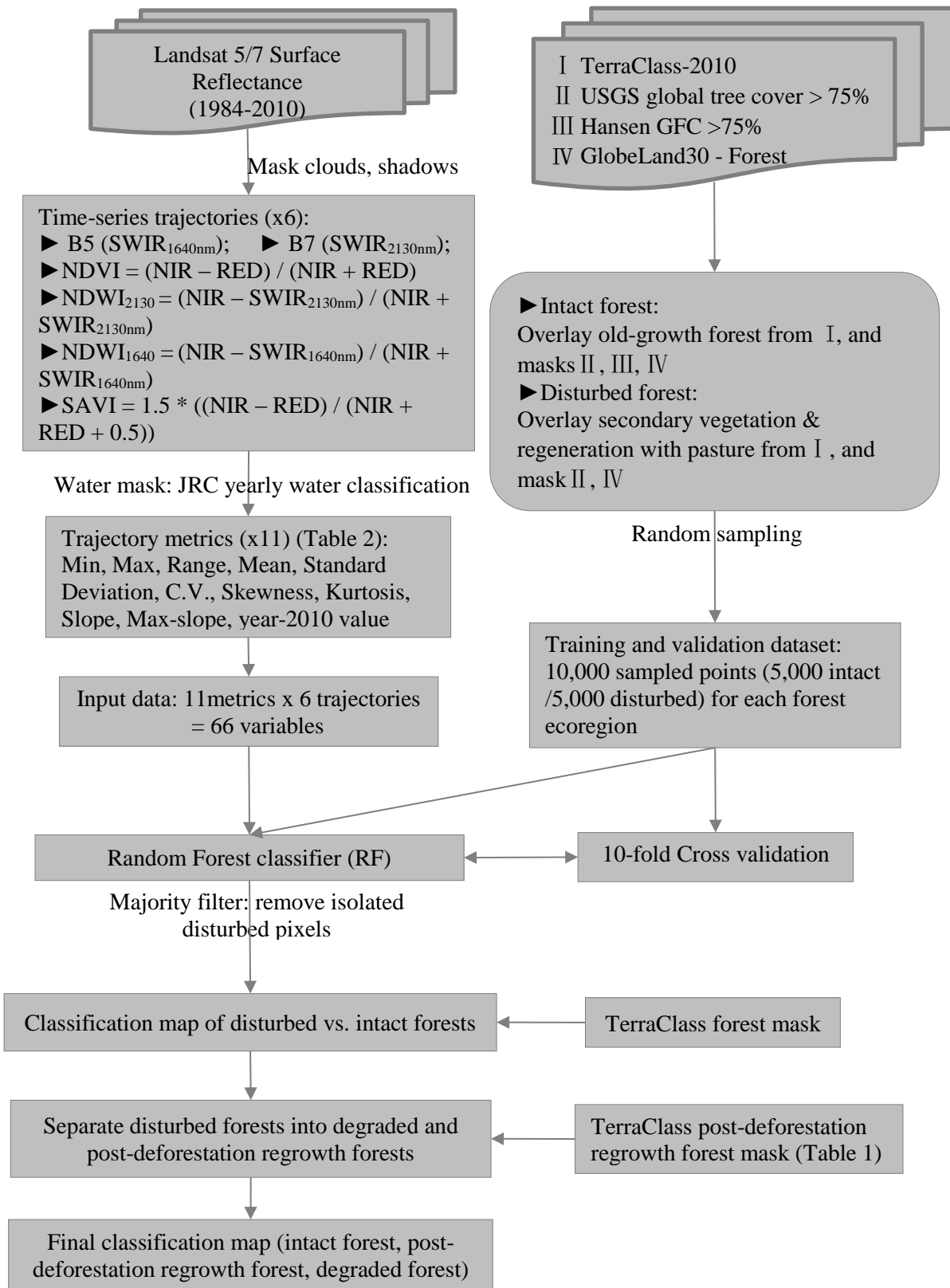
161 Fig. 1. TerraClass classification map for 2010 (Pasture with regeneration in TerraClass is treated as young  
162 secondary vegetation). Later, we merged old-growth forest, secondary vegetation and pasture with  
163 regeneration into the forest cover mask as the forest boundary. The study area encompasses three WWF  
164 forest ecoregions (moist, seasonal and dry forest).

165

### 166 3. Methodology and dataset

167 The whole approach was developed in Google Earth Engine (GEE) (Gorelick et al. 2017). GEE  
168 is a cloud-based geospatial processing platform which consists of over 40 years of historical and  
169 current Earth observation imagery, making pixel-based land use and land cover classification

170 feasible across large regions through its inbuilt machine learning algorithms. The overall  
171 methodology (Fig. 2) consisted of building Landsat multiple (six) annual time-series trajectories,  
172 calculating trajectory metrics (eleven metrics divided into four groups, Table 2), generating a  
173 training and validation database, applying a machine learning random forest classification  
174 algorithm and validating the disturbed forests vs. intact forests classification map, all of which  
175 were coded and processed in GEE. We subsequently used the post-deforestation regrowth forest  
176 mask generated from TerraClass-2010 to separate the disturbed forests identified through our  
177 classification map into post-deforestation regrowth forests and degraded forests (Table 1).  
178 Finally, we performed a relative important analysis of trajectories and trajectory metrics used in  
179 the random forest classification to evaluate the extent to which the full suite of all  
180 trajectories/metrics enhanced discriminatory power relative to a single trajectory or individual  
181 group of trajectory metrics. To do this, ten separate classifications were performed whereby our  
182 classification procedure was repeated for each individual trajectory separately (but using all four  
183 groups of trajectory metrics), or separately for individual groups of trajectory metrics (but using  
184 all six trajectories).



185

186

Fig. 2. Classification Methodology for discrimination of disturbed forests and intact forests

187 Table 1. Classification categories for forested land cover types used in this study.

Categories	Description
Total area	Total area of each ecoregion
Forest cover	Forest mask from TerraClass classification for the year of 2010, combining TerraClass categories of old-growth forest, secondary vegetation and regeneration with pasture.
Intact forest	Forests that have never been experienced any detectable disturbances during 1984-2010. Classified from this study.
Disturbed forest	Cumulative disturbed forest areas during 1984-2010. Classified from this study. Further separated into Post-deforestation regrowth forest & Degraded forest.
Post-deforestation regrowth forest	Areas that have been previously deforested (clearfelled) and converted to other land uses (e.g. pasture, agriculture and mining) but which have subsequently undergone a recovery process following abandonment. Secondary vegetation or regeneration with pasture in TerraClass-2010.
Degraded forest	Degraded old-growth forests. Characterised by a reduction of forest canopy cover (e.g. selective logging, windfall, fire) but have not been clearfelled and thus have not been included in deforestation estimates.

188

189 3.1 Time-series trajectories

190 3.1.1 Landsat surface reflectance dataset

191 We used Landsat atmospherically corrected surface reflectance (SR) products (30 m resolution)  
 192 (Masek et al. 2006; USGS 2018) to generate annual time-series trajectories. All Landsat-5  
 193 Thematic Mapper (TM) surface reflectance images acquired during the period of 1984-2010 were  
 194 used except for 2001 and 2002. In 2001, most images had striping artifacts limiting their use,  
 195 while in 2002, images from Landsat 5 only covered 61% of our study area. For these reasons, we  
 196 used Landsat-7 Enhanced Thematic Mapper Plus (ETM+) images, which are compatible in their  
 197 spectral characteristics (Claverie et al. 2015; Home et al. 2013), for these two years. In terms of  
 198 spectral bands, we chose spectral bands 3 (red, 0.52 - 0.60  $\mu\text{m}$ ) which is sensitive to the amount  
 199 of chlorophyll, 4 (near-infrared, 0.76 - 0.90  $\mu\text{m}$ ) which is related to leaf cellular structure, 5  
 200 (shortwave-infrared, 1.55 - 1.75  $\mu\text{m}$ ) and 7 (shortwave-infrared, 2.08 - 2.35  $\mu\text{m}$ ) which relate to

201 leaf water content (Nelson et al. 2000). To minimize the influence of variable extent of rivers on  
202 the classification, we excluded water bodies in our analysis using the Joint Research Center (JRC)  
203 Yearly Water Classification History v1.0 product. This dataset contains maps of the location and  
204 temporal distribution of surface water from 1984 to 2015 at annual resolution, generated using  
205 more than three million scenes from Landsat 5, 7 and 8 (Pekel et al. 2016).

### 206 3.1.2 Generating time-series trajectories

207 We processed 11,483 images in total for our entire study period (1984-2010), ranging from 257  
208 to 715 annual images depending on data availability, with annual spatial coverage of 99% of our  
209 study area (see Table S1 in supplementary information). Five steps were involved to process the  
210 Landsat SR data and produce time-series image stacks for 1984-2010. First, areas covered by  
211 clouds and cloud shadows were removed based on the pixel quality and radiometric saturation  
212 attributes of the Landsat surface reflectance product. Second, original surface reflectance (16-bit  
213 signed integer) values were converted to 0-1 range values by multiplying by the scale factor of  
214 0.0001. Third, four vegetation indices (VIs) were calculated including the Normalized Difference  
215 Vegetation Index (NDVI), Normalized Difference Water Index ( $NDWI_{2130}$ ,  $NDWI_{1640}$ ) (Chen et  
216 al. 2005) and Soil-Adjusted Vegetation Index (SAVI) (Huete 1988). Fourth, to minimise the  
217 influence of cloud contamination and improve the quality of input data, we selected the  
218 maximum value of individual VIs for each year (Maxwell and Sylvester 2012). For time-series  
219 of reflectance from spectral bands 5 and 7, median values were calculated for each year. In the  
220 final step, we used the JRC Yearly Water Classification History v1.0 product to mask water  
221 areas (Pekel et al. 2016). After processing, annual time-series trajectories (1984-2010) of  
222 Landsat SR spectral band 5 (1.55 - 1.75  $\mu\text{m}$ ), band 7 (2.08 - 2.35  $\mu\text{m}$ ), NDVI,  $NDWI_{2130}$ ,  
223  $NDWI_{1640}$  and SAVI were used for the classification of disturbed forests and intact forests.

## 224 3.2 Trajectory metrics

225 We calculated eleven metrics divided into four groups (Table 2) for each of the six spectral  
226 trajectories to act as inputs for random forest algorithm (see section 3.4), based on a priori  
227 expectations of divergence between intact and disturbed forests. Each of these 11 metrics may  
228 capture information that is linked to a particular disturbance type. For example, the coefficient of  
229 variation (C.V.) shows the extent of variability in relation to the mean. Forests which have  
230 experienced large disturbances would be expected to have higher C.V. than undisturbed intact  
231 forests. We further hypothesized that time-series trajectories of intact forest would follow a  
232 normal distribution, while those of disturbed forest would tend not to and be much more likely to  
233 exhibit greater skewness and kurtosis. Finally, trends (based on linear regressions) were also  
234 estimated from the time-series trajectories. We hypothesized that disturbance events would likely  
235 result in either decreasing (deforestation/degradation) or increasing (regrowth) trends over time,  
236 and thus expected that the regression slopes of disturbed pixels would be much smaller/greater  
237 than undisturbed pixels where we expected that the slope value is close to zero. It has been found  
238 that regrowth secondary forests in Amazonia are cut and burned on average every 5 years  
239 (Aguiar et al. 2016). Thus, we also considered the maximum absolute regression slopes derived  
240 from individual 5-year windows within the 1984-2010 study period.

241 Fig. 3 demonstrates differences in trajectories and trajectory metrics between intact and disturbed  
242 forest pixels. For intact forests (undisturbed during 1984-2010), we expected trajectories to  
243 fluctuate, but to follow a normal distribution pattern, while trajectories of disturbed forests were  
244 expected to exhibit more pronounced decrease and increase patterns. Trajectories of disturbed  
245 forest pixels' can follow various patterns, depending on whether they have been disturbed once  
246 (Fig. 3 Disturbed B) or multiple times (Fig. 3 Disturbed A) within the study period (1984-2010)

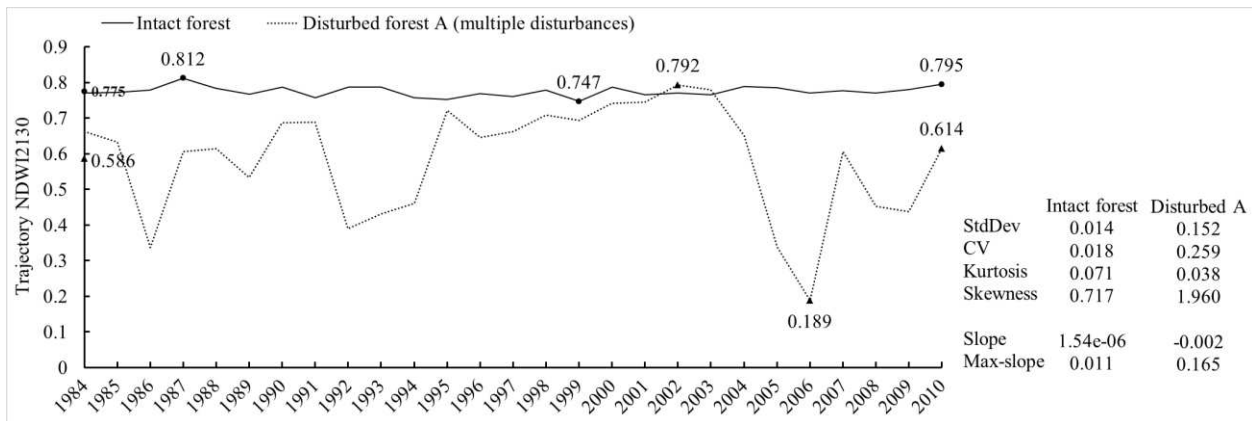
247 or disturbed before 1984 but following a clear recovery pattern within study period (Fig. 3  
 248 Disturbed C).

249 Table 2. Metrics for each time-series trajectory and related main GEE algorithms. The metrics were  
 250 divided into location, scale, temporal and single year groups which were further used for metric important  
 251 analysis (see section 4.4).

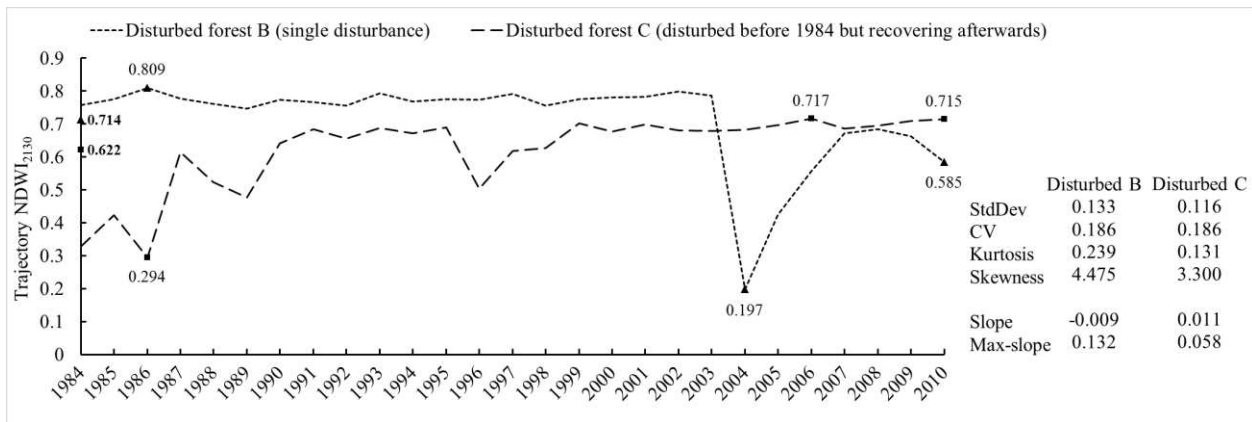
Group	Name	Description	Main GEE algorithm
Location metrics	Min	Minimum of time-series	ee.Reducer.min()
	Max	Maximum of time-series	ee.Reducer.max()
	Range	The range between maximum and minimum of time-series	Code equation 'max-min'
	Mean	The mean of time-series	ee.Reducer.mean()
Scale metrics	StdDev	Standard deviation of time-series	ee.Reducer.stdDev()
	C.V.	Coefficient of variation of time-series	Code equation 'mean/stdDev'
	Kurtosis	Dispersion measure related to the tails of Normality distribution test (D'Agostino 1970, see methods)	Code equations based on the reference
	Skewness	Symmetry measure related to Normality distribution test (D'Agostino 1970, see methods)	Code equations based on the reference
Temporal metrics	Slope	Linear regression slope of total time-series	ee.Reducer.linearFit()
	Max-slope	Maximum linear regression slope of every 5-year window	Function of 5-year window; ee.Reducer.linearFit(); ee.Reducer.max()
Single year	Year-2010	Time-series trajectory value at year 2010	'FilterMetadata' equals 2010

252

253



254  
255



256

257 Fig. 3. Examples (NDWI<sub>2130</sub>) of time-series trajectories for illustrative intact forest pixel and disturbed  
 258 forest pixels. Values of trajectory scale and temporal metrics extracted from each trajectory (Table 2) are  
 259 shown to the right of the graph. Metrics of max, min and year-2010 value are shown on the trajectory  
 260 with the mean marked on y axis.

261

### 262 3.3 Sampling design

263 We used GEE random sampling to generate a set of spatially representative points of disturbed  
 264 and intact forests for classification training and validation based on TerraClass-2010 map of old-  
 265 growth forest, secondary vegetation and pasture with regeneration, USGS (United States  
 266 Geological Survey) 30 m Global Tree Cover 2010 (Hansen et al. 2013), the Hansen Global  
 267 Forest Change (GFC) product (Hansen et al. 2013), and 30 m Global Land Cover 2010  
 268 (GlobeLand30-2010) produced by National Geomatics Centre of China (Chen et al. 2015). Since  
 269 TerraClass uses deforestation vector data from PRODES (INPE 2017) as input data to map



270 subsequent land use/covers (Almeida et al. 2016), it inherited PRODES historical misalignment  
271 issues. To better align TerraClass with GFC products, we registered the TerraClass-2010  
272 classification map using the GEE image displacement algorithm by calculating the displacement  
273 between TerraClass-2010 forest mask and GFC forest mask (Hansen et al. 2013).

274 For intact forests, points were randomly sampled from areas that met the following conditions: i)  
275 classified as old-growth forest in TerraClass-2010; ii) tree canopy cover > 75% in GFC in 2000  
276 and no forest loss during 2000-2010; iii) tree cover >75% in USGS 30 m Global Tree Cover  
277 2010; and, iv) classified as forest in GlobeLand30-2010. Similarly, disturbed forest pixels were  
278 sampled from areas that satisfied the following conditions: i) classified as secondary vegetation  
279 or regeneration with pasture in TerraClass-2010; ii) tree cover > 75% in USGS 30 m Global Tree  
280 Cover 2010; iii) classified as forest in GlobeLand30-2010. To reduce the influence of unwanted  
281 positional errors among these land cover products and avoid edge effects, we required that both  
282 intact forest and disturbed forest sampled points were located at least 100m away from the patch  
283 boundary. For each forest ecoregion (moist/seasonal/dry forest), 10000 points (5000 intact and  
284 5000 disturbed) were randomly sampled, respectively. In total, we sampled 30000 intact and  
285 disturbed points across the study area as the training and validation database.

### 286 3.4 Random forest classifier

287 Mapping of disturbed forests was performed by using the GEE Random Forest classifier  
288 algorithm, which has been recently successfully applied to cropland mapping (Shelestov et al.  
289 2017; Xiong et al. 2017), oil palm plantation detection (Lee et al. 2016), mapping urban  
290 settlement and population (Patel et al. 2015) and soil mapping (Padarian et al. 2015). Random  
291 Forest (RF) classification is a relatively well-known supervised machine learning algorithm that  
292 iteratively produces an ensemble of decision tree classifications by using corresponding

293 randomly selected subsets of the training dataset (Breiman 2001). It grows classification trees by  
294 splitting each node using a random selection subset of input variables, which reduces overfitting  
295 and yields a more robust classification compared to other classifiers (Breiman 2001). RF uses a  
296 voting system to classify data and the final classification category for each pixel is determined by  
297 the plurality vote of all trees generated to build the forest.

298 We used 66 variables comprising 11 metrics (Table 2) for each of the six time-series trajectories  
299 as input predictors for the RF classification. RF classifications were applied in moist, seasonal  
300 and dry forest ecoregions, respectively. All classifications were based on the outputs of 500  
301 decision trees (See Fig. S1 in supplementary information). Each tree split was based on eight  
302 variables randomly selected from all 66 input variables, which was the default configuration for  
303 the GEE random forest classifier. After constructing our disturbed forest classification, we  
304 performed a post-classification filtering to reduce noise and remove spurious classification  
305 artefacts by applying a 90m x 90m majority filter.

### 306 3.5 Classification validation

307 To evaluate how well our classification performed, we used ten-fold cross-validation (Kohavi  
308 1995; Schaffer 1993) based on above randomly sampled database (See section 3.3, i.e. 10000  
309 points for each forest ecoregion), which randomly partitions our sampled database into ten equal  
310 sized subsets. Of the ten subsets, a single subset (1000 points) was retained as the validation data  
311 for testing the classification algorithm, and the remaining nine subsets (9000 points) were used  
312 as training data for RF classifier. The cross-validation process was repeated ten times. The final  
313 accuracy estimation was determined by the average of ten-fold results. The accuracy matrix  
314 included overall accuracy (OA), producer's accuracy (PA), user's accuracy (UA) and Kappa  
315 statistic (Kohavi 1995).

316 For an additional independent confirmation for our Landsat optical sensor based classification of  
317 disturbed forests vs. intact forests, we used another microwave radar based satellite product,  
318 ALOS/PALSAR 25 m spatial resolution mosaic imagery, as visual interpretation. ALOS  
319 PALSAR imagery consists of dual polarization HH (transmission of horizontal wave and  
320 reception of horizontal component) and HV (horizontal transmission and vertical reception), but  
321 it has been shown that the polarization mode HV is more effective in deforestation detection than  
322 HH polarization (Motohka et al. 2014), which corresponds with findings of close relations  
323 between HV backscatter and vegetation structural properties (e.g. forest height, forest cover)  
324 (Joshi et al. 2015). Thus, we visually compared the 2007-2010 ALOS/PALSAR HV backscatter  
325 change with our final classification results.

326 SAR data are stored as digital number (DN) in unsigned 16 bit and typified by a high degree of  
327 speckles in the image (random ‘salt and pepper’ noise). To reduce noise and improve image  
328 interpretability, a multi-temporal speckle filter (7×7) (Lee 1980; Lopes et al. 1990) was  
329 implemented in GEE and applied to 2007-2010 PALSAR images, without significant loss of  
330 spatial resolution. Filtered ALOS/PALSAR HV backscatter DN values were converted to sigma-  
331 naught ( $\sigma^0$ ) in decibel (dB) units using the following equation:

$$332 \quad \sigma^0 = 10 * \log_{10}(DN^2) - 83 \quad (1)$$

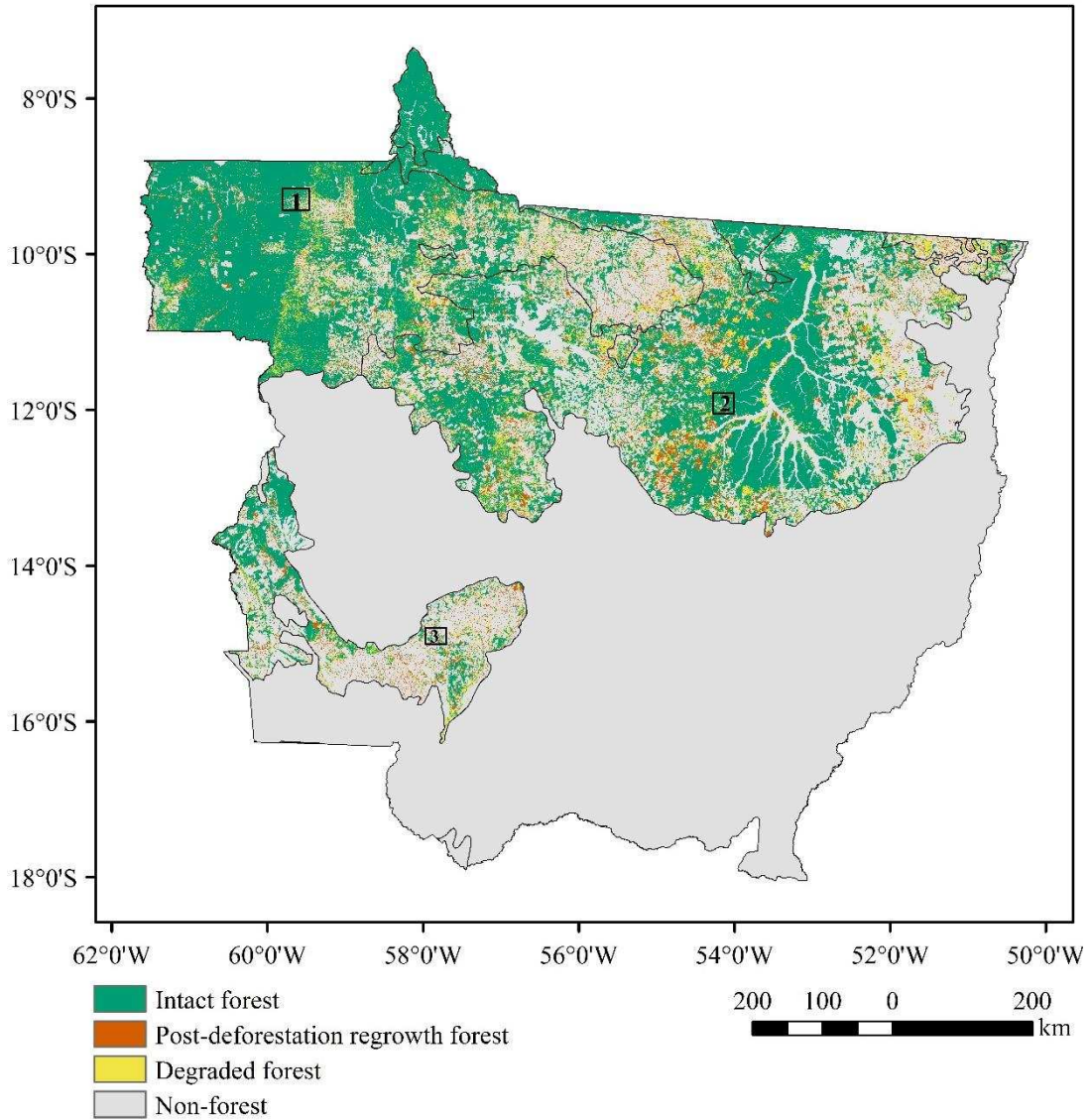
333  $\sigma^0$  is generally negative and can vary from -35 dB in very low backscatter areas  
334 (degraded/deforested area), up to 0 dB for extremely high backscatter (dense forest area). For  
335 visual interpretation, we expected a decrease or an increase in  $\sigma^0$  in forest areas that have been  
336 recently disturbed or are recovering from past disturbances (Joshi et al. 2015). However, we also  
337 expected that many disturbed areas in our classification would not be captured by PALSAR due  
338 to its short time period (2007-2010).

## 339 4. Results

### 340 4.1 Classification results

341 As represented in Fig. 2, the new developed disturbed forests vs. intact forests classification  
342 approach was applied to three different ecoregions in Mato Grosso. The final classification map  
343 (Fig. 4) was generated by training the random forest classifier individually for each ecoregion on  
344 the entire sampled database. Our classification results representative of the year 2010 show that  
345 disturbed forests (both post-deforestation regrowth forests and degraded forests) were widely  
346 spread across Mato Grosso, but were most prevalent along rivers and next to non-forest areas  
347 (Fig. 4). Forests in Mato Grosso covered a total area of 295,383 km<sup>2</sup> in 2010 (Table 3),  
348 accounting for about 63% of the total study area. Our results show that, until 2010, 25% of the  
349 total forested area was disturbed (Table 3). Forest cover percentage varied considerably across  
350 ecoregions, ranging from 37% in dry forest to 74% in moist forest (Table 3). Dry forest  
351 experienced the most severe disturbances with 41% of forest cover classified as disturbed,  
352 followed by seasonal forest and moist forest where disturbed forests accounted for 28% and 20%  
353 of forest cover, respectively (Table 3).

354 We further separated disturbed forests identified through our classification map into post-  
355 deforestation regrowth forests and degraded forests. It shows that the area of degraded forests  
356 was up to 62% larger than the area of post-deforestation regrowth forests across ecoregions, with  
357 degraded forests and post-deforestation regrowth forests covering a total area of 47,039 km<sup>2</sup> and  
358 28,246 km<sup>2</sup>, respectively (Table 4). By comparing degraded forests and old-growth forests  
359 classified in TerraClass for the year of 2010, we found that 18% of areas identified as old-growth  
360 forests in TerraClass were actually degraded forests, ranging from 15% to 27% across various  
361 ecoregions (Table 4).



362

363 Fig. 4. Classification map of intact forest, post-deforestation regrowth and degraded forest representative  
 364 of the year 2010. Non-forest areas include areas under anthropogenic use or natural savannahs/wetlands.  
 365 Small areas 1 to 3 represent three focal regions within individual ecoregions, for which subsequent fine-  
 366 scale visual interpretation confirmation were performed (Fig. 5-7).

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372 Table 3. Areal extent (in km<sup>2</sup>) of intact forest and historically disturbed forest representative of 2010.

	Moist forest	Seasonal forest	Dry forest	Total
Total area	170,154	245,514	54,454	<b>470,122</b>
Forest cover (% of total area)	125,474 (73.74%)	149,571 (60.92%)	20,338 (37.35%)	<b>295,383 (62.83%)</b>
Intact forest (% of forest cover)	100,050 (79.74%)	107,991 (72.20%)	12,058 (59.29%)	<b>220,099 (74.51%)</b>
Disturbed forest (% of forest cover)	25,424 (20.26%)	41,581 (27.80%)	8,280 (40.71%)	<b>75,285 (25.49%)</b>

373

374 Table 4. Areal extent (km<sup>2</sup>) of post-deforestation regrowth forest and degraded forest representative of  
375 2010.

	Moist forest	Seasonal forest	Dry forest	Total
Post-deforestation regrowth (% of disturbed forest)	8,188 (32.21%)	15,950 (38.36%)	4,108 (49.62%)	<b>28,246 (37.52%)</b>
Degraded forest (% of disturbed forest)	17,236 (67.79%)	25,631 (61.64%)	4,171 (50.38%)	<b>47,039 (62.48%)</b>
TerraClass old-growth forest	116,226	131,703	15,622	<b>263, 551</b>
% of degraded forest within TerraClass	14.83%	19.46%	26.70%	<b>17.85%</b>

376

#### 377 4.2 Ten-fold cross validation

378 Ten-fold cross validation was used as the main validation of our disturbed forests and intact  
379 forests classification map, with accuracy matrices provided in Table 5. Overall, all the  
380 classification accuracies were above 80% with Kappa agreements above 62%. Across ecoregions,  
381 the overall accuracy was the highest in seasonal forest at 86.1%, with a producer's accuracy of  
382 88.9% for intact forests and 83.3% for disturbed forests. In moist forest and dry forest regions,  
383 the overall accuracies were lower at 81.3% and 82.6%, respectively.

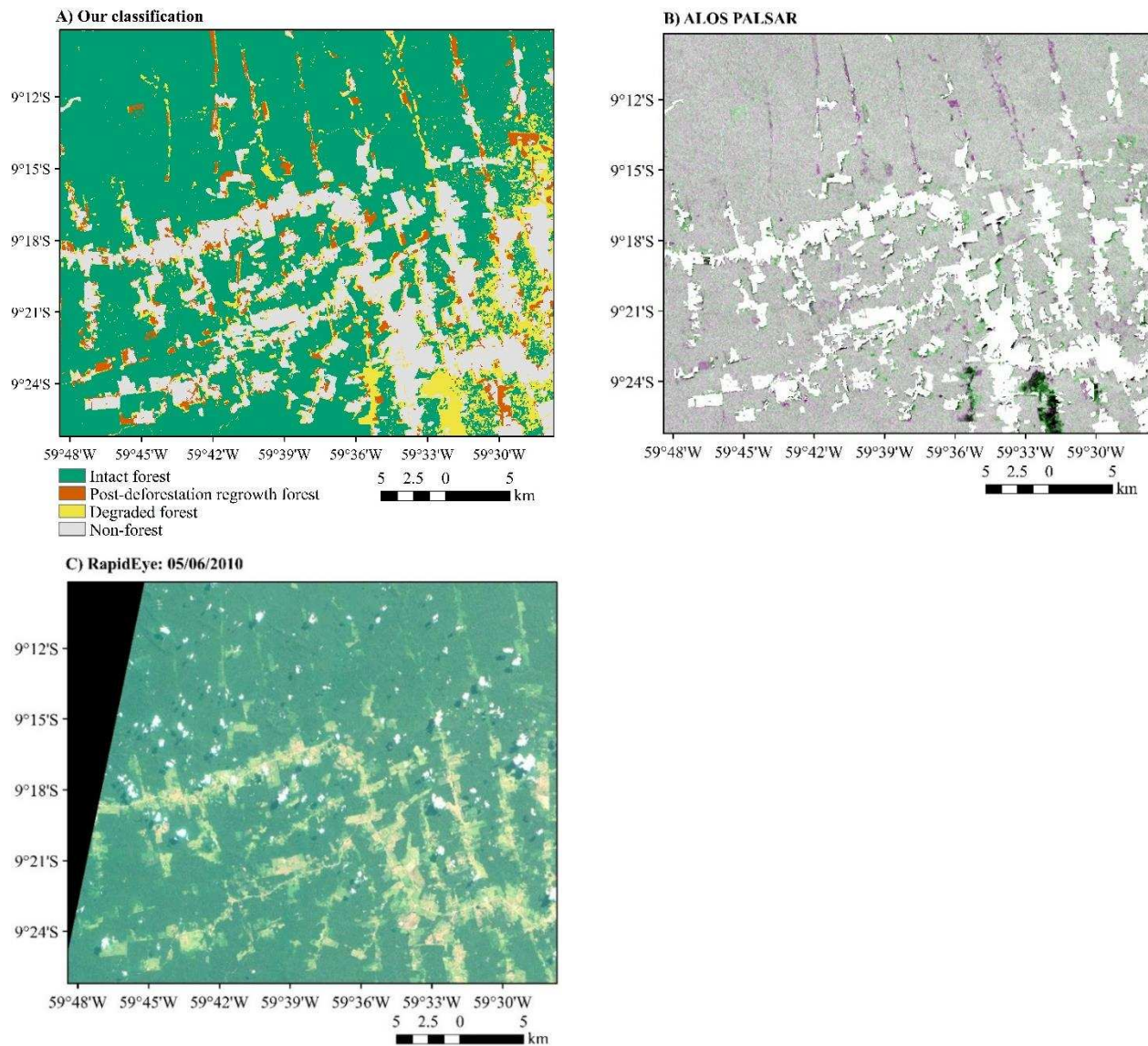
384 Table 5. Ten-fold cross validation accuracy based on sampled points from our study.

Regions	Overall accuracy	Producer's accuracy		User's accuracy		Kappa statistic
		Intact forest	Disturbed forest	Intact forest	Disturbed forest	
Moist forest	0.813	0.888	0.737	0.772	0.867	0.625
Seasonal forest	0.861	0.889	0.833	0.842	0.882	0.722
Dry forest	0.826	0.856	0.797	0.809	0.846	0.653

385

### 386 4.3 High-resolution image interpretation

387 To further validate our classification, we consider in detail one landscape within each biome,  
 388 comparing our results to radar and other very high-resolution data. Examples in Fig. 5-7 allow  
 389 for visual comparison of our classification in selected focal areas within each forest ecoregion  
 390 with corresponding ALOS PALSAR HV backscatter ( $\sigma^0$ ) temporal (2007-2010) change  
 391 composite images and very high-resolution (5 m) RapidEye true-colour composite images (Team  
 392 2017). Overall, this comparison at local scales shows a very good visual agreement between our  
 393 classification and the PALSAR temporal change as well as with RapidEye images across  
 394 ecoregions (Fig. 5-7), especially those logging roads shown in Fig. 6. As expected, there were  
 395 some mismatches between our classification and the temporal change in PALSAR HV  $\sigma^0$ , such  
 396 as several disturbed areas from our classification not appearing in PALSAR temporal change  
 397 image. This is likely due to PALSAR images only being available from 2007 and thus not  
 398 capturing much forests disturbed before 2007.



399 Fig. 5. Moist forest focal region (area 1 in Fig. 4). A) Detailed classification map. B) Forest masked  
 400 ALOS PALSAR HV  $\sigma^0$  temporal change, pink represents increase of  $\sigma^0$ , green represents decrease of  $\sigma^0$   
 401 between 2007-2010, grey represents little/no change between 2007-2010, white areas are non-forest. C)  
 402 RapidEye true-colour composite image (See Fig. S2 in supplementary information for better  
 403 visualization).

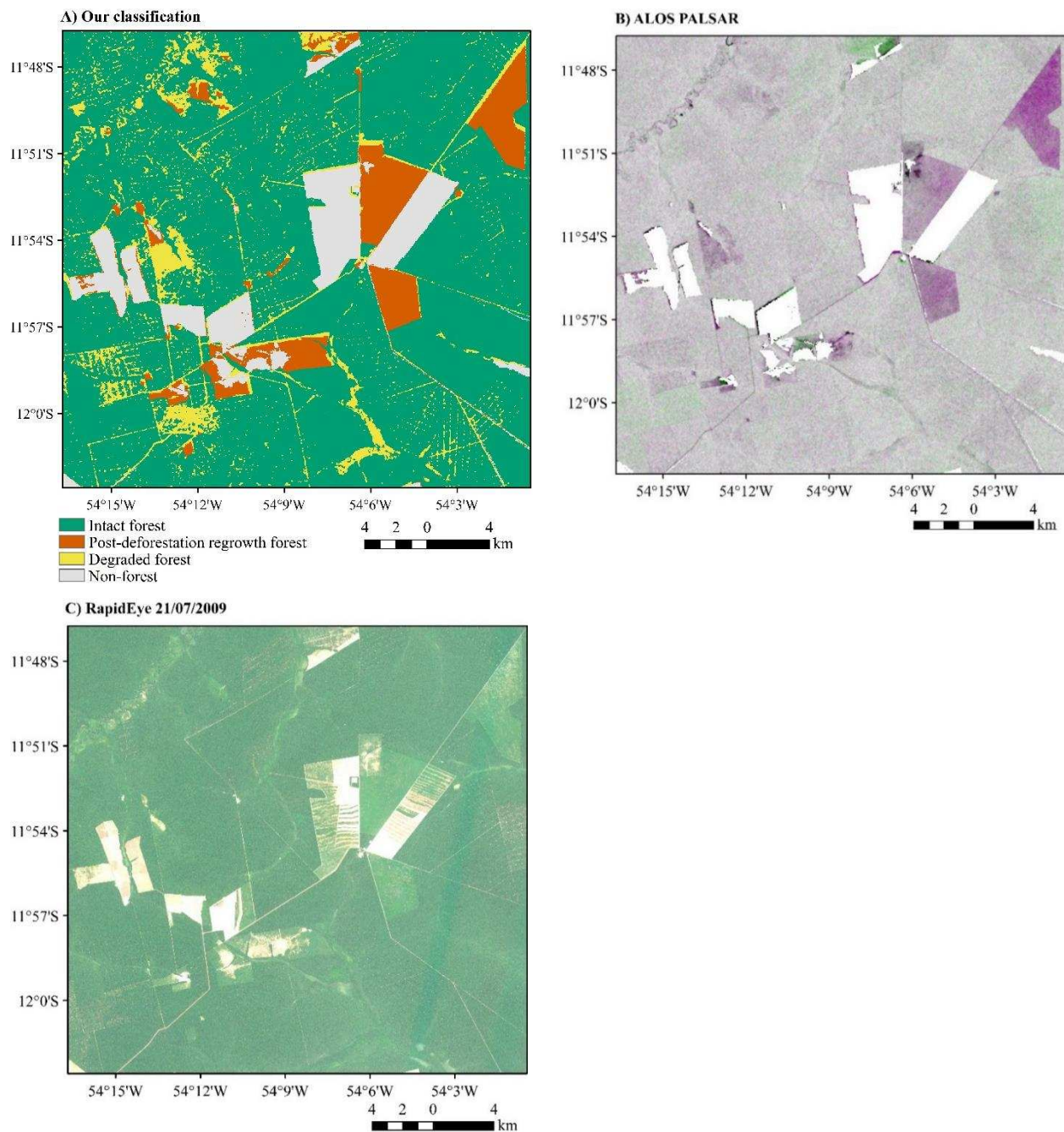
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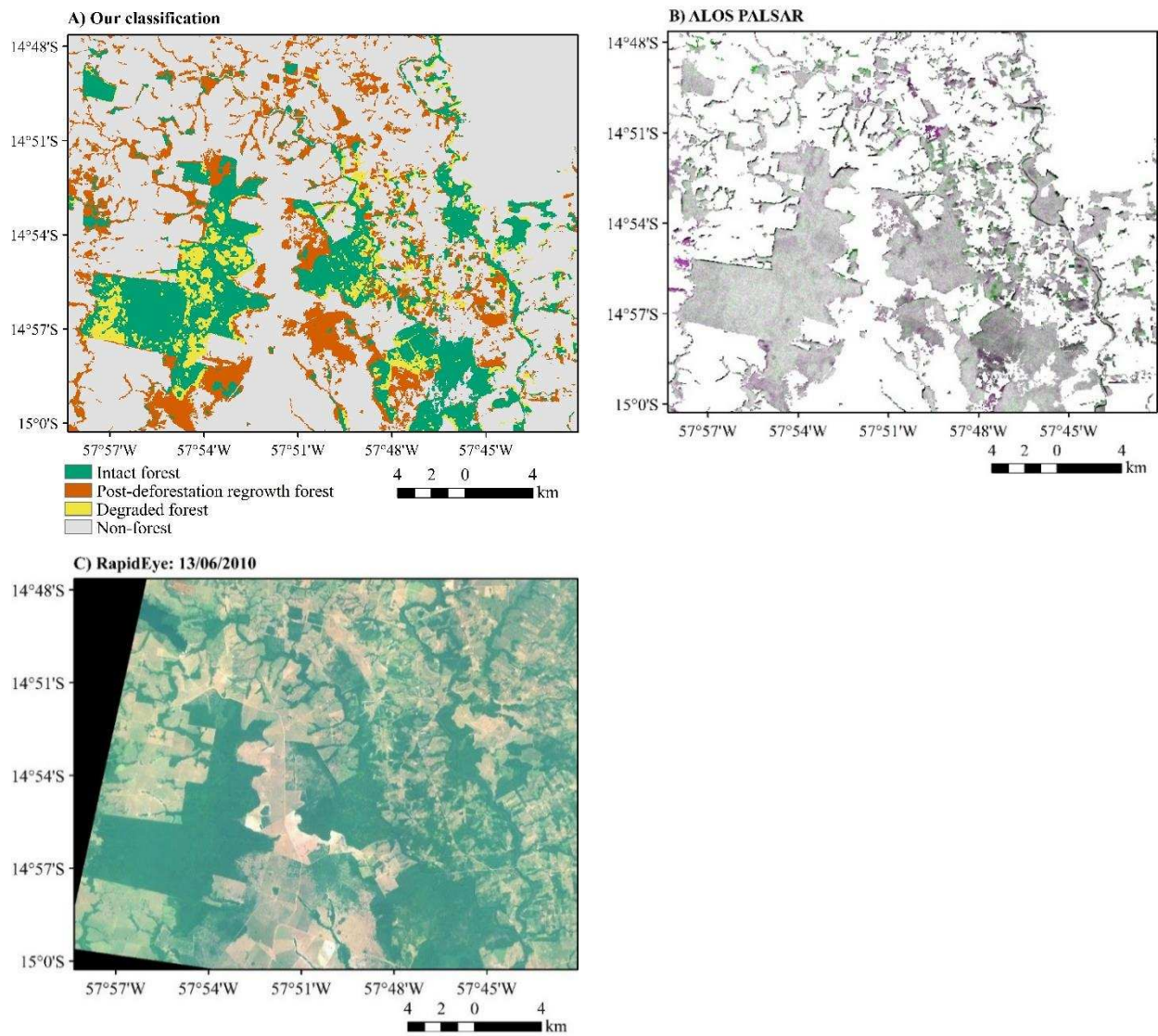




408 Fig. 6. Seasonal forest focal region (area 2 in Fig. 4). A) Detailed classification map. B) Forest masked  
 409 ALOS PALSAR HV  $\sigma^0$  temporal change, pink represents increase of  $\sigma^0$ , green represents decrease of  $\sigma^0$   
 410 between 2007-2010, grey represents little/no change between 2007-2010, white areas are non-forest. C)  
 411 RapidEye true-colour composite image (See Fig. S3 in supplementary information for better  
 412 visualization).

413

414



415 Fig. 7. Dry forest focal region (area 3 in Fig. 4). A) Detailed classification map. B) ALOS PALSAR HV  
 416  $\sigma^0$  temporal change, pink represents increase of  $\sigma^0$ , green represents decrease of  $\sigma^0$  between 2007-2010,  
 417 grey represents little/no change between 2007-2010, white areas are non-forest. C) RapidEye true-colour  
 418 composite image (See Fig. S4 in supplementary information for better visualization).

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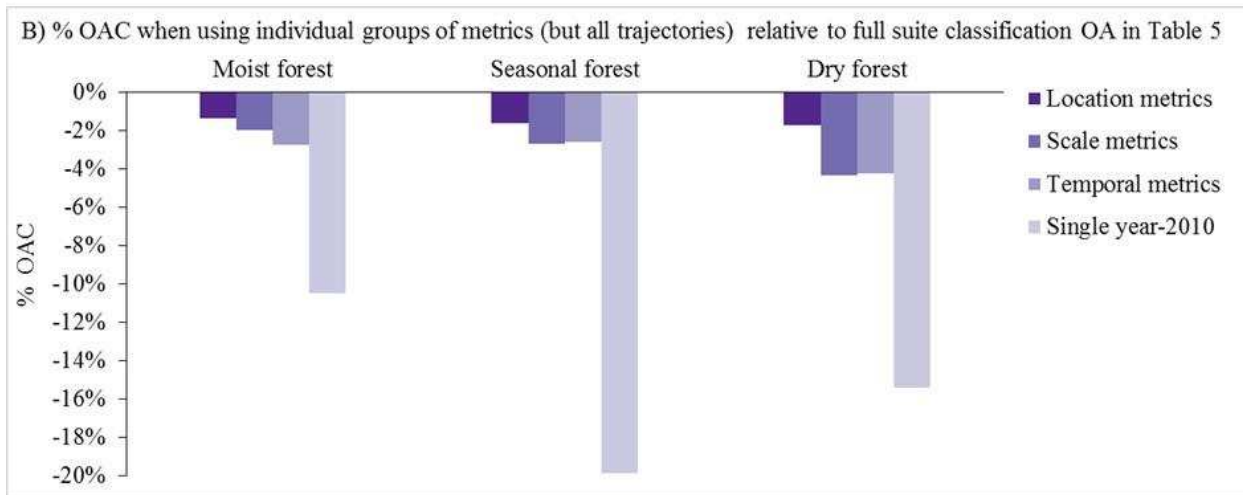
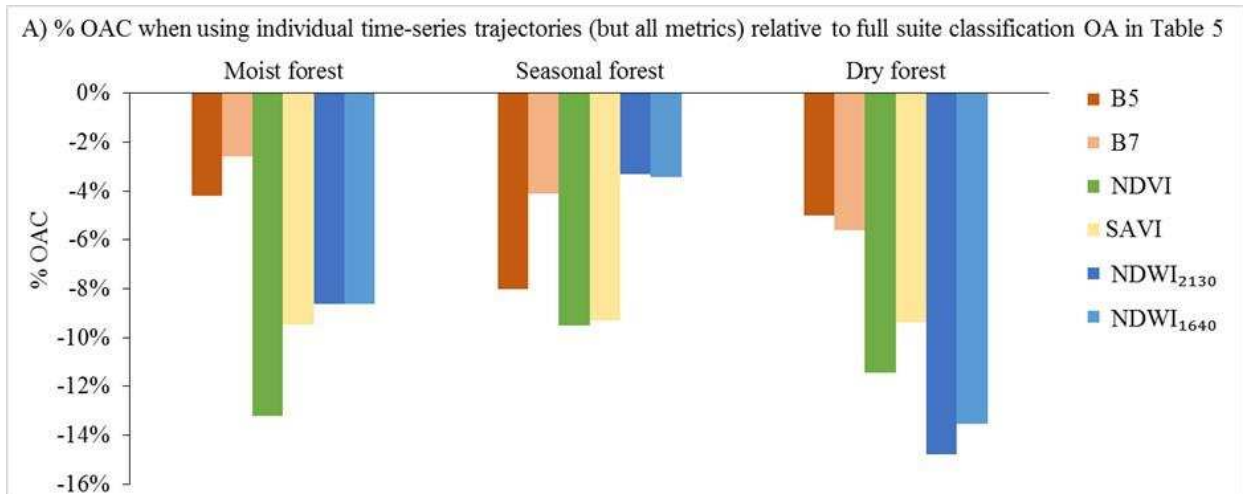
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#### 423 4.4 Importance of individual trajectories and metrics

424 The relative importance of individual trajectories in our classification was measured by the  
425 percentage of overall accuracy change (% OAC) when running our classification for a single  
426 trajectory (but using all four groups of trajectory metrics) relative to our full suite multi-  
427 trajectory classification (Table 5). The larger the overall accuracy change, the less important an  
428 individual trajectory is in distinguishing the differences between disturbed forests and intact  
429 forests. All of the single time-series trajectories based classifications had much lower (3-15%  
430 across ecoregions) overall classification accuracy than our full suite classification (Fig. 8). In  
431 moist forest and dry forest ecoregions, Landsat shortwave spectral band 5 and 7 were the most  
432 important trajectories for distinguishing disturbed forests and intact forests, decreasing %OAC  
433 the least relative to our full suite classification. However, in the seasonal forest ecoregion, NDWI  
434 trajectories were the most important, decreasing the overall accuracy the least, followed by  
435 spectral band 7.

436 The important of specific groups of trajectory metrics (Table 2) was determined in an analogous  
437 manner to the importance of specific trajectories. Importance patterns for groups of metrics were  
438 similar across ecoregions (Fig. 8B), with location metrics being the most important in  
439 distinguishing disturbed and intact forests, followed by temporal metrics, scale metrics and  
440 single year (2010) values. However, single year (2010) values alone were found to have much  
441 less discriminatory power than other metrics, resulting in much lower (up to 20%) classification  
442 accuracy relative to our full suite classification with all groups of metrics included (Fig. 8B).



443 Fig. 8. The percentage of overall accuracy change (% OAC) when running our classification procedure  
 444 for individual trajectories separately (but using all four groups of trajectory metrics) or separately for  
 445 individual groups of trajectory metrics (but using all six trajectories) relative to our full suite classification  
 446 with all trajectories/metrics included (Table 5). The larger the absolute % OAC, the less important the  
 447 particular trajectory (or the group of trajectory metrics) is.  
 448

449

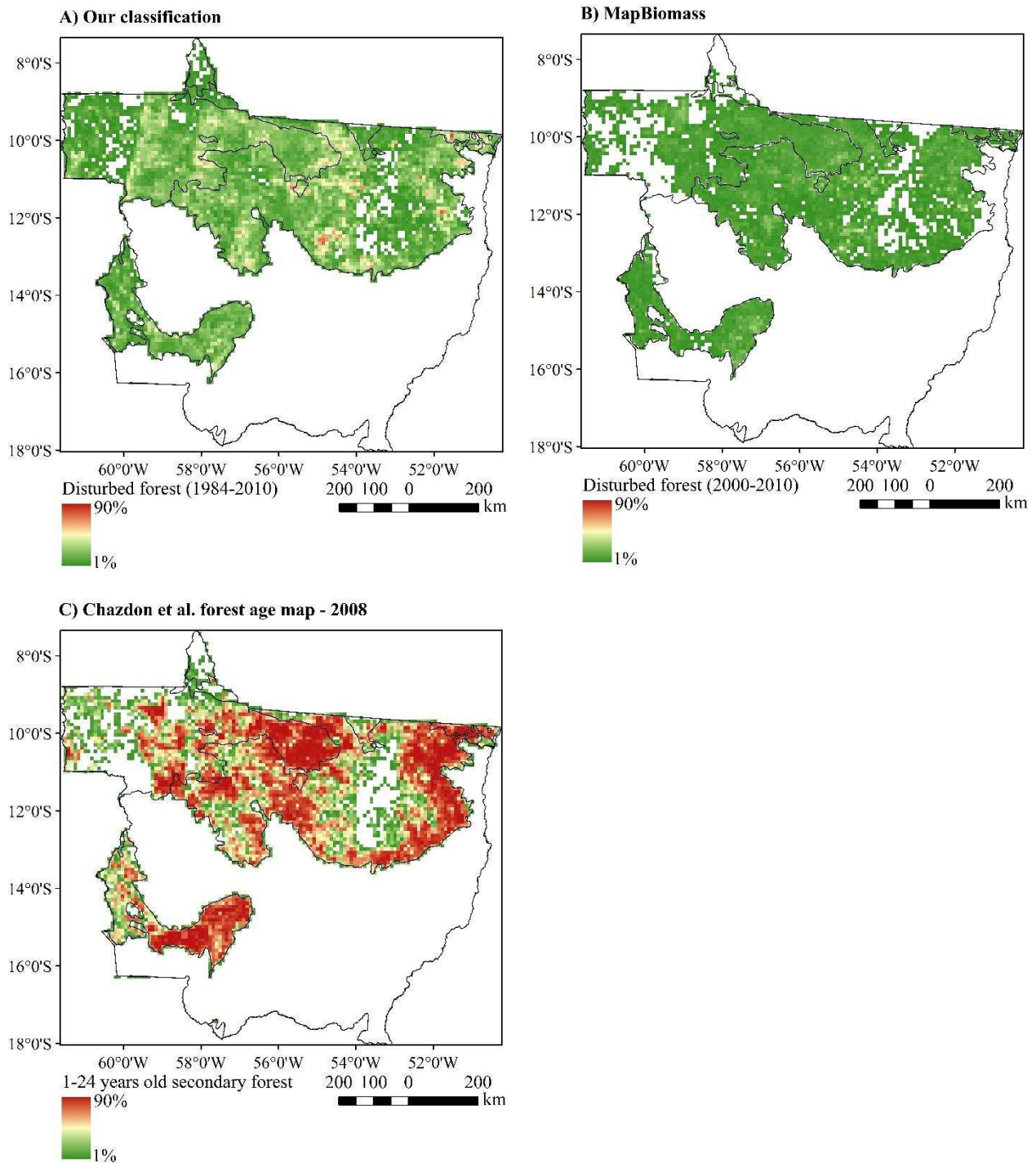
#### 450 4.5 Comparing with other products

451 We compared our classification of disturbed forests in Mato Grosso with other relevant products  
 452 which have recently become available (Fig. **9Error! Reference source not found.**). These  
 453 include the MapBiomass land use/cover products (2000-2010) and the Latin American secondary  
 454 forest map recently produced by Chazdon et al. (2016). The latter was derived from the map of  
 455 Neotropical forest aboveground biomass of Baccini et al. (2012) for 2008. To ensure

456 comparability in time, we only compared disturbed forests from our classification against the  
457 area of secondary forests < 24 years old from Chazdon et al. (2016). To compare against  
458 MapBiomass products (2000-2010), we reclassified open forest, degraded forest, secondary forest,  
459 and flooded forest categories from MapBiomass-2010 map into one disturbed forest class. Areas  
460 classified as non-dense forest in 2000-2009 MapBiomass products but classified as dense forest  
461 in 2010 were also considered as disturbed forests.

462 Our estimate of disturbed forest area in Mato Grosso was three times larger than disturbed  
463 forests from MapBiomass with corresponding spatial distribution shown in Fig. 9 (A&B). The  
464 biggest classification differences was located in moist forest ecoregion, followed by seasonal  
465 forest and dry forest. The difference relative to MapBiomass may be due to the use of different  
466 classification methods (single date based classification) and the limited time period (2000-2010)  
467 for MapBiomass. However, secondary forest area estimates from Chazdon et al. (2016) were  
468 approximately three times greater than the disturbed area from our classification (Fig. 9C),  
469 increasing to four times greater in the dry forest biome. This may be due to the coarse resolution  
470 (500 m) of forest age map, the misclassification of some anthropogenic land use areas as forest  
471 or to errors arising from interpreting the age from the forest biomass map (Chazdon et al. 2016).

472 The large discrepancies of estimated disturbed forests among those products highlight the  
473 importance of using high-resolution time-series images and the consideration of historical  
474 disturbances when mapping secondary forest regrowth and forest degradation. By excluding pre-  
475 2000 historical disturbances and ignoring time-series spectral characteristics, MapBiomass  
476 significantly underestimate the area of disturbed forests (Fig. 9B), and correspondingly may  
477 underestimate the impacts of disturbance on tropical biodiversity and carbon cycles.



478 Fig. 9. Comparison of our classification with MapBiomass land use/cover 2000-2010, and Chadzon et al.  
 479 2008 secondary forest age map. Values represent the percentage of the area of disturbed forests within  
 480 each grid cell (10\*10km). White areas (within study area) represent no disturbed pixels were identified  
 481 within that grid cell. The disturbed areas are 75285 km<sup>2</sup>, 24577 km<sup>2</sup>, 246829 km<sup>2</sup> for figure panel A, B, C,  
 482 respectively.

## 483 **5. Discussion**

484 In this study, we developed a new time-series approach in GEE to map disturbed forests (both  
485 forest degradation and post-deforestation regrowth) and intact forests. This approach  
486 incorporates random forest machine learning algorithm with multiple Landsat time-series  
487 trajectories, which enhances classification power by harnessing differential sensitivities of  
488 different time-series. It is flexible with respect to the disturbance patterns it captures. It detects  
489 three different disturbances trends (Fig. 3): 1) single disturbance – time-series have a decrease  
490 then increase pattern; 2) multiple disturbances – time-series have multiple increase and decrease  
491 signatures pattern; 3) recovery on previous disturbed areas – time-series only have an increase  
492 pattern. For example, in this study, it not only maps areas that disturbed and recovering during  
493 time-series period (1984-2010), but also captures areas that disturbed before 1984 but following  
494 a recovery process after 1984, making our approach more valuable and suitable for  
495 distinguishing disturbed forests and intact forests.

496 Application of our approach in moist/seasonal/dry ecoregions in Mato Gross resulted in high  
497 overall classification accuracy, ranging from 81.3% to 86.1% across ecoregions. On one hand,  
498 the misclassification of disturbed forests as intact forests may relate to the fast recovery process  
499 of secondary regrowth forests whose structural and spectral characteristics could be similar to  
500 intact forests after 20-40 years recovery (Aide et al. 2000; Poorter et al. 2016). The degraded old-  
501 growth forests recover at even faster rates. For example, it has been shown that about 50% of the  
502 canopy opening caused by selective logging becomes closed within one year of regrowth (Asner  
503 et al. 2004), making it harder to capture such quick recovery process from remote sensing  
504 perspectives. On the other hand, the misclassification of intact forests as disturbed might be  
505 because of our sampling of intact forests points which may still include few disturbed old-growth

506 forests, as TerraClass does not map degraded forests. Furthermore, the variation of classification  
507 accuracy across ecoregions might be due to the differences of land-use history, land use intensity,  
508 severity of disturbance events, soil fertility and texture (Chazdon 2003) and water availability  
509 (Poorter et al. 2016), which are highly associated with post-disturbance recovery processes and  
510 the structure of regrowth forests.

511 By separating disturbed forests into post-deforestation regrowth forests and degraded forests, we  
512 found that approximately two-thirds of disturbed forests were degraded forests, highlighting the  
513 importance of effective systems for detecting these. Forest monitoring system should not only  
514 focus on clear-cut forest deforestation and recovery, but also degraded forests which may release  
515 more than double the amount of carbon than released by deforestation (Baccini et al. 2017).  
516 Interestingly, our classification clearly captured straight-line patterns of disturbed forests, which  
517 also present a consistent agreement with both PALSAR HV backscatter intensity change and  
518 RapidEye very high resolution images (Fig. 6). Further development of our methodology may  
519 provide new opportunities to map selective logging activities at a large regional scale.

520 The methodology developed in this study dramatically exploits the power of multiple long-term  
521 Landsat time-series in the discrimination of disturbed vs. intact forests with support of GEE's  
522 massive storage and calculation capability. Unlike previously published single time-series  
523 trajectory based approaches (e.g. LandTrendr, VCT, VerDET) (Cohen et al. 2017), this  
524 approach incorporates six different time-series trajectories which generates a much higher  
525 classification accuracy than single-trajectory based classification (Fig. 8A). Also, this approach  
526 integrates single year features with scale, location and temporal characteristics derived from  
527 time-series trajectories, which significantly enhanced the discriminatory power. Single year  
528 features were found to be the least powerful (up to 20% less) for discriminating disturbed pixels



529 compared to the combined use of single year features and other time-series features (Fig. 8B).  
530 Thus, combination of single year and time-series features represents a significant advance on  
531 widespread single-year approaches to map previously disturbed forests.

532

## 533 **6. Conclusion**

534 Our study explored the feasibility of using multiple long time-series Landsat surface reflectance  
535 data to map tropical historically disturbed forests as far back as 1984. Using a case study of Mato  
536 Grosso moist, seasonal and dry forests, we found that this methodology has high potential in  
537 mapping various forested land cover types related to disturbances with an overall accuracy of up  
538 to 86.1%. The classification approach developed in this study is capable of capturing not only  
539 forest regrowth from forest deforestation (clear-cut), but also forest degradation (partially cut)  
540 due to selective logging or other small scale disturbances. Based on TerraClass-2010 forest mask,  
541 until 2010, 41% dry forest in Mato Grosso were disturbed, with 28% and 20% of seasonal forest  
542 and moist forest disturbed, respectively. By comparing classification from this study with  
543 TerraClass-2010 land cover map, we found that up to 18% of area classified as old-growth forest  
544 in TerraClass was actually degraded forests, highlighting the importance of including  
545 degradation monitoring alongside clear felling monitoring .

546 Our study clearly demonstrates the potential of extensive time-series of satellite imagery to map  
547 historical forest disturbances and recovery processes. More specifically, the discrimination of  
548 disturbed forests (both degraded forest and post-deforestation regrowth forest) vs. intact forests  
549 was enhanced by simultaneously combining a suite of single date features and time-series  
550 characteristics derived from multiple time series of spectral bands and vegetation indices. Our

551 approach is readily applicable to other larger tropical areas, making pan-tropical mapping of  
552 forest disturbances and regrowth a highly tangible prospect.

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561

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746 **List of Figure Captions**

747 Fig. 1. TerraClass classification map for 2010 (Pasture with regeneration in TerraClass is treated as  
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 749 pasture with regeneration into the forest cover mask as the forest boundary. The study area  
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 758 natural savannahs/wetlands. Small areas 1 to 3 represent three focal regions within individual

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763 represents decrease of  $\sigma^0$  between 2007-2010, grey represents little/no change between 2007-  
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783 disturbed forests within each grid cell (10\*10km). White areas (within study area) represent no  
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