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1 Imaging lung function abnormalities in primary ciliary dyskinesia using hyperpolarised
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26 INTRODUCTION

27 Primary ciliary dyskinesia (PCD) is a genetic condition causing progressive lung disease,
28 which starts during early childhood. Identifying early lung disease is therefore important
29 for the initiation and assessment of early intervention to maintain lung health (1, 2).
30 Assessment of lung function in PCD may have a significant clinical overlap with cystic
31 fibrosis (CF) (3), the aim being to identify small, but clinically significant airways
32 obstruction within the lung. Spirometry is insensitive to early lung abnormality in CF and
33 PCD, however the lung clearance index (LCI) derived from multiple breath washout (MBW)
34 can detect early ventilation heterogeneity in patients with CF (4). Recent studies utilising
35 LCI in PCD have however highlighted that the relationship between pathophysiology and
36 functional changes in PCD may not be entirely consistent with CF (3, 5, 6). Hyperpolarised
37 gas ventilation MRI has been proven to be highly sensitive to early lung disease (7, 8),
38 response to treatment (9) and to the deterioration of lung function (10) in CF and is well
39 tolerated by children as young as 5 years (11). In this study we present our findings of
40 preliminary studies with hyperpolarised gas ventilation MRI in children with PCD.

41

42 METHODS

43 This is a retrospective analysis of children diagnosed with PCD, referred to our centre for
44 clinical assessment to further investigate their lung function. These patients had either
45 normal FEV₁ or mild to moderate airflow obstruction (12, 13) but with on-going symptoms.
46 On the day of testing all subjects were free from pulmonary exacerbation, were not
47 undergoing any new acute treatments and felt well. Each child performed hyperpolarised
48 gas ventilation MRI, MBW and spirometry. This study was performed under clinical
49 research governance for retrospective research using clinical data.

50

51 3D volumetric hyperpolarised helium-3 (^3He) ventilation MR images and ^1H anatomical
52 images were acquired during the same breath-hold on a 1.5T GE scanner (14). From these
53 images two indices were calculated; (i) ventilation defect percentage (VDP), which
54 quantifies the percentage of the lung volume that is not ventilated, and (ii) the mean
55 coefficient of variance of ventilated image signal intensity (CV), a metric of regional
56 ventilation heterogeneity. ^1H steady state free precession MR images were separately
57 acquired for assessment of lung morphology and mucus (15). Previous CT imaging was also
58 reviewed for comparison when available.

59
60 MBW was performed as previously described (16) and the parameters LCI, S_{cond} and S_{acin}
61 were calculated. The upper limit of normal for LCI was defined as >7.4 (16).

62
63 Due to the small sample size, Spearman correlations were performed between lung
64 function and MRI metrics. A p-value <0.007 was deemed to be statistically significant after
65 Bonferonni correction.

66
67 RESULTS

68 11 children with PCD (8 female) were assessed and their individual demographics, lung
69 function and MRI metrics are summarised in Table 1. Seven children had situs inversus
70 totalis.

71
72 All 11 children had ventilation defects on ventilation MRI (Figure 1). Defects were mostly
73 small and heterogeneously distributed, with multiple defects present on most image slices
74 throughout the lungs. One subject (B) had significant mucus plugging evident in the left

75 lower lobe on ¹H MRI and on previous CT, which was associated with a large ventilation
76 defect evident in all image slices.

77
78 Five children (A, B and I-K) had abnormal LCI (>7.4 (16)) and six (A, B, G and I-K) had mild-
79 moderate airflow obstruction on spirometry (FEV₁/FVC z-score <LLN and FEV₁ z-score >-
80 3.02). All children with abnormal LCI also had abnormal FEV₁ and one child had abnormal
81 spirometry with LCI just below the upper limit of normal. The six children with airflow
82 obstruction also had the highest VDP values.

83
84 There were only significant correlations between VDP and; LCI (Figure 2), and FEV₁/FVC
85 (r=-0.83,p=0.003). CV did not significantly correlate with FEV₁ or FEV₁/FVC but
86 demonstrated the closest correlation with LCI (r=0.71, p=0.02).

87
88 Seven children (B-D, F, H-J) had comparable CT imaging performed within 3.5 years prior
89 to MRI (B-1year, C-8months, D-3.4years, F-3.4years, H-3.4years, I-1year, J-3.5years prior).
90 Patient H and I had normal CT images. Patients C, D and F had bronchial wall thickening.
91 Patient B, C and J had CT findings of mucus plugging (B, J) or bronchiectasis (C, J) that
92 correlated with ³He and ¹H MRI.

93
94 DISCUSSION

95 In children with PCD, lung ventilation abnormalities are evident on hyperpolarised gas
96 ventilation MRI despite the presence of normal LCI and FEV₁. When compared to our
97 healthy control cohort of 10 children (aged 7.1-15.6 years) previously reported (7), none
98 of the healthy controls had visible defects and all had VDP values <1.88% and LCI values
99 <7.4. Computed tomography imaging in PCD suggests a predominance of middle and lower

100 lobe disease (17). In the group we present, ventilation defects were observed in these lung
101 regions, but there were often additional ventilation defects present in the upper lobes,
102 possibly caused by mucus plugging that precedes structural change.

103
104 Ventilation MRI has been shown to be more sensitive than LCI and FEV₁ for detection of
105 ventilation abnormalities in CF (7). The ventilation images we report suggest this finding
106 is consistent in children with PCD. LCI and FEV₁ inherently reflect global lung function
107 averaged across the whole lung, this potentially masks mild ventilation heterogeneity.
108 Ventilation MRI however provides high spatial resolution assessment of ventilation
109 abnormalities at a given static lung volume, providing assessment of both the size and
110 nature of un-ventilated lung regions and also the heterogeneity of ventilation. The imaging
111 metric VDP appears to be sensitive to lung disease in PCD and, despite the small patient
112 numbers, correlates with LCI, a pattern consistent in CF (7, 18), suggesting that the two
113 techniques may be reflecting similar pathophysiology.

114
115 A possible limitation of this analysis is the fact that CT imaging was not performed in all
116 patients at the same time for comparison. However, we have previously shown that ³He
117 MRI has greater sensitivity to mild lung disease than CT (7) and the radiation burden of CT
118 is a concern in this group of young patients. Indeed, avoiding exposure to ionising radiation
119 was a key factor in the referral for ventilation MRI. With recent advances in ¹H MRI the
120 sensitivity of structural MRI to detect lung disease has also increased (19, 20). When
121 employed alongside hyperpolarised gas MRI, this would allow detailed sensitive
122 assessment of both functional and structural lung disease without the need for sedation or
123 ionising radiation. We recognise however that at present this technology is limited to
124 specialist centres, however with the advent of xenon-129 ventilation MRI the technology is

125 now clinically accessible to any large hospital with MRI capability. The small sample size is
126 a limitation of this work, which may restrict the generalizability of these findings to all
127 people with PCD.

128
129 In conclusion, ventilation defects are present in children with PCD even in the presence of
130 normal LCI and FEV₁. This pattern is consistent with findings in patients with CF, and
131 suggests that hyperpolarised gas ventilation MRI is a sensitive method for detecting lung
132 disease in children with PCD.

133

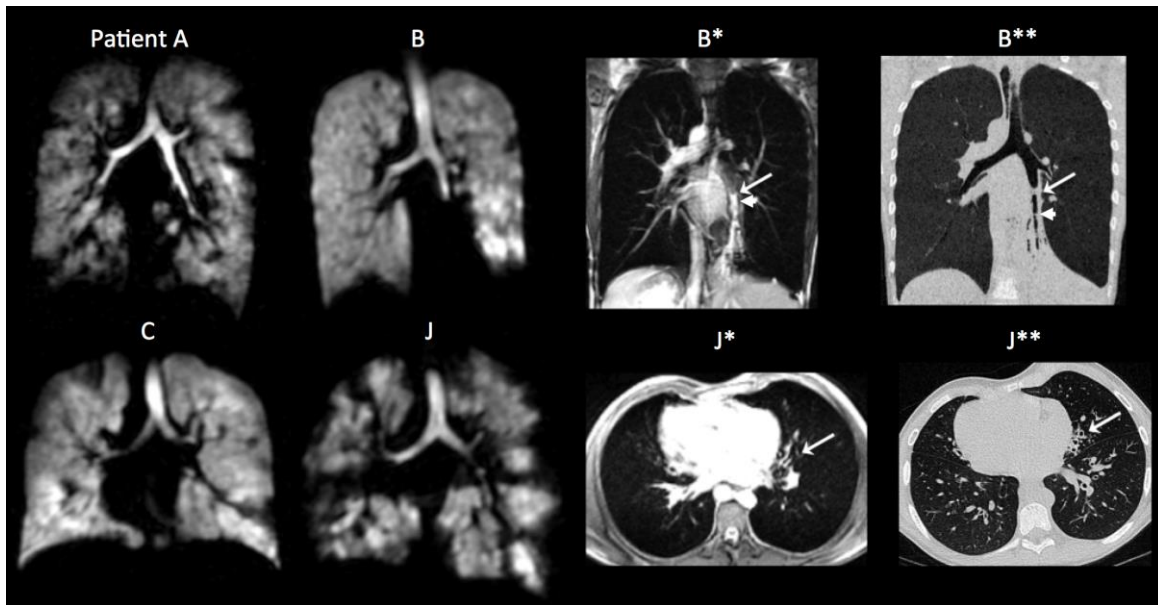
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135 Table 1: Patient demographics, lung function and ventilation MRI metrics for all 11 patients. Diagnostic information on; Cilia beat frequency
 136 and pattern, nasal nitric oxide, genetics and electron-microscopy findings, are supplied where available.

Patient	A	B	C	D	E	F	G	H	I	J	K	Mean
Sex	F	F	F	F	F	F	F	F	M	M	M	
Age (years)	14.7	9.4	14.4	12.1	12.2	17.0	17.3	10.1	7.3	16.5	15.7	13.3
Height (cm)	160.6	140.2	165.0	155.5	154.5	151.9	146.6	125.9	120.3	162.5	179.2	151.1
Weight (kg)	39.0	26.0	66.0	66.0	44.5	45.0	45.0	24.0	21.0	56.0	86.5	47.2
FEV₁ z-score	-2.11	-3.24	-0.67	0.57	0.69	0.56	-2.36	0.17	-3.02	-1.47	-2.06	-1.09
FEV₁/FVC z-score	-1.73	-3.24	-0.5	-1.00	-1.44	-1.49	-2.32	0.52	-3.05	-3.03	-1.67	-1.72
LCI	8.76	7.78	6.94	6.03	6.79	7.35	7.34	6.41	11.09	10.7	8.55	7.98
S_{cond}	0.03	0.10	0.06	0.03	0.02	0.05	0.06	0.05	0.07	0.06	0.09	0.06
S_{acin}	0.29	0.08	0.12	0.07	0.06	0.03	0.18	0.07	0.28	0.17	0.14	0.12
VDP (%)	13.20	11.75	4.67	2.60	2.05	6.60	7.54	3.20	20.07	20.68	4.99	8.85
CV (%)	19.10	12.99	12.45	15.60	10.79	13.36	12.25	11.72	19.84	22.67	15.21	15.06
Cilia beat frequency (Hz) and pattern	6.5 Static or very reduced amplitude	2.9 Stiff, barely flickered	6.7 Normal	11.7 Dyskinetic motion	10.9 Jerking-like motion	5.8 Dyskinetic motion	- Static Cilia	5.8 Stationary or dyskinetic motion	5.8 Static or very stiff motion	2.6 Static	18.4 Dyskinetic, stiff motion	
Nasal nitric oxide (nL/min)	30	<1.5	31.5	8.4	161.1	6.3	17.7	5.4	3.6	6.3	7.8	
Genetics	-	DNAH5	DNAAF5 heterozygous	-	CCDC103	-	-	-	-	DNAL1	-	
EM Findings	Absence of inner & outer dynein arms	Absence of inner & outer dynein arms	No defect found	Slight increase in microtubular defects. Slight increase in ciliary disorientation	No defect found	Absence of inner dynein arms	Absence of inner & outer dynein arms	Absence of inner & outer dynein arms	Lack of inner dynein arms & displacement of the central microtubular pairs	Absence of outer dynein arms	Absence of inner dynein arms	

138 Figure Legends:

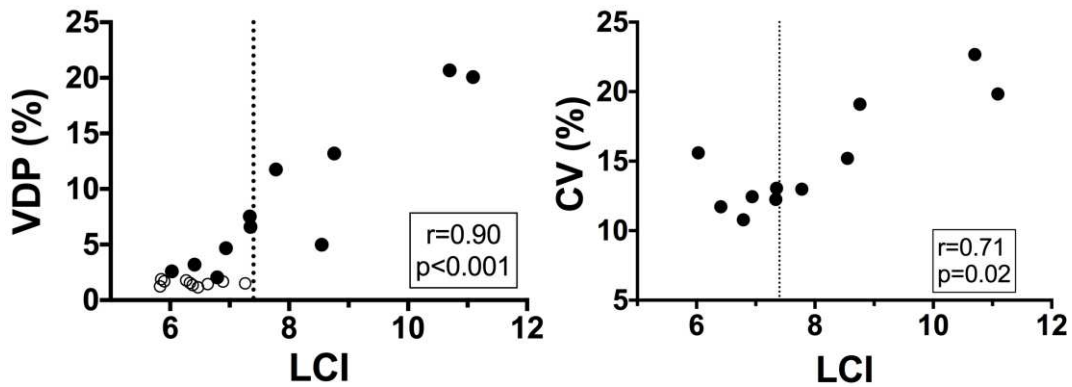
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140

141 Figure 1: Representative single ^3He MR ventilation image slices from four patients with
142 PCD, with representative ^1H MRI and CT slices for patients B and J. The patient letter
143 corresponds to Table 1 and throughout the text. The four examples demonstrate the types
144 of ventilation defects seen. Patient C had spirometry and LCI values within the normal
145 range, where as patients A, B and J had abnormal spirometry and LCI. Patient B has a
146 collapsed left lower lobe with dilated bronchi (see arrows) and mucus plugs (arrowhead)
147 evident on ^1H MRI (B*) (the arrow on ^1H MRI points to a dilated bronchus containing high
148 signal mucous) and CT (coronal 4mm minimal intensity projection CT - B**). These findings
149 correspond with the clear-cut ventilation defects on ^3He MRI (the CT image was performed
150 approximately 8 months prior to MRI). Patient J has bronchiectasis evident on both ^1H MRI
151 (J*) and CT (J**) (see arrows) in the left middle lobe and right lingular segment where
152 ventilation defects are apparent on ^3He MRI. It is worth noting however that the CT image
153 in this patient predates the MRI by 3.5 years and it may be the case that structural
154 abnormalities may be more prevalent if CT were to be performed at the time of the MRI.

155



156

157 Figure 2: Spearman correlations between lung clearance index (LCI) and both ventilation
158 defect percentage (VDP) and coefficient of variance of ventilated image signal intensity
159 (CV) for the patients with PCD (closed circles). The dashed vertical line at an LCI value of
160 7.4 represents the upper limit of normal (16). When comparing VDP and LCI we have added
161 healthy control data (open circles) for reference, these data points were not included in the
162 Spearman correlation analysis.

163

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