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1	EPS adsorption to goethite: Molecular level adsorption mechanisms using
2	2D correlation spectroscopy
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# 23 Abstract

The adsorption of extracellular polymeric substances (EPS) onto soil minerals is 24 25 an important process for understanding bacterial adhesion to mineral surfaces and environmental cycling of nutrients and contaminants. To clarify the molecular level 26 mechanisms and processes of EPS adsorption, the interaction mechanisms between 27 EPS and goethite was explored using two-dimensional (2D) Fourier transformation 28 infrared (FTIR) correlation spectroscopy (CoS) assisted by C 1s near edge X-ray 29 absorption fine structure spectroscopy (NEXAFS). Results show that the amide 30 31 functional groups of EPS play an important role in its adsorption on goethite, and the adsorption of EPS-proteins on goethite is a function of electrolyte concentration, with 32 increasing adsorption at a higher electrolyte concentration. Results also show that the 33 34 order in which the EPS functional groups interact and bind with goethite is dependent on electrolyte concentration, where carboxyl and phosphoryl functional groups are the 35 first to adsorb at low electrolyte concentration, while amide groups are the first to 36 37 adsorb at higher electrolyte concentration. Deconvolution and curve fitting of the amide I band at the end of the adsorption process (~300 min) shows that the 38 secondary structure of proteins is converted from a random coil conformation to 39 aggregated strands,  $\alpha$ -helices and turns. This conversion leads to increased adsorption 40 of EPS-proteins and explains the overall adsorption increase of EPS on goethite 41 surfaces with an increasing concentration of electrolyte. Furthermore, the adsorption 42 of the carboxyl functional groups of EPS decreases with increasing electrolyte 43 concentration, likely due to more effective screening of the goethite surface charge 44

with increasing concentration of electrolyte. The integrated results from ATR-FTIR 45 and 2D-CoS allow us to construct a comprehensive overview of EPS-goethite 46 interaction processes at the molecular level, which can be used to improve our 47 understanding of EPS-mineral interactions in the natural environment. These results 48 also provide fundamental information for a better understanding of bacterial biofilm 49 formation on soil and sediment minerals, and facilitate research on the subsequent 50 interaction of nutrients and contaminants with the reactive constituents of biofilms in 51 natural and contaminated environments. 52

- 53 Key words: EPS; ATR-FTIR; goethite colloid; adsorption effect
- 54 **1. Introduction**

In the natural environment, microorganisms unusually do not live as dispersed 55 56 single cells but assemble at interfaces to form microbial aggregates such as biofilms (Davey et al., 2000). For the majority of biofilms, the microorganisms account for 57 about 10 % of the dry mass, whereas the matrix can account for up to 90 % of the dry 58 59 mass, with the matrix comprised predominantly of complex high molecular weight extracellular polymeric substances (EPS) produced by the growth and metabolism of 60 the microorganisms (Flemming and Wingender, 2010). As the main component of the 61 biofilm, EPS can protect microorganisms against chemicals (e.g. heavy metals, 62 hydrocarbons, biocides, antibiotics, etc.) and mechanical challenges present in the 63 environment (Peterson et al., 2015). Once released into soils or aquatic environments, 64 EPS may be adsorbed on the surfaces of inorganic colloidal particles in soils, where 65 such colloids are the predominant constituents of the soil solid phase, and can account 66

for over 95 % of the soil dry mass. Interactions between EPS and inorganic colloids
can affect a broad variety of biogeochemical processes, such as microbial attachment
and biofilm formation (Ma et al., 2017; Whitchurch et al., 2002; Zhao et al., 2014),
particle aggregation and deposition (Lin et al., 2016b; Chowdhury et al., 2012),
mineral dissolution (Bundeleva et al., 2014), bioleaching (Sand et al., 2006),
biomineralization (Bontognali et al., 2008) and the sequestration of toxic substances
(Fang et al., 2014; Liu et al., 2017).

EPS are hydrated biopolymers of macromolecular polyelectrolytes, and their 74 75 main constituents include polysaccharides, proteins, nucleic acids and lipids, with the main functional groups being carboxyl, phosphoryl, amide, amino and hydroxyl 76 groups (Cao et al., 2011; Javaratne et al., 1993; Lin et al., 2016a; Omoike and 77 78 Chorover, 2004). Previously, environmental chemists have investigated the interaction mechanisms between EPS and inorganic colloids. For example, during the adsorption 79 process, EPS extracted from Bacillus subtilis are chemically and size fractionated 80 during adsorption to bentonite, with the preferential adsorption of EPS-N and 81 low-molecular weight components, while ferrihydrite selectively retains EPS-P and 82 high-molecular weight components (Mikutta et al., 2012). The mass fraction of EPS 83 adsorbed by montmorillonite, kaolinite and goethite decreases as pH increases and 84 ionic strength decreases (Cao et al., 2011; Lin et al., 2016a). By using quartz crystal 85 microbalance with dissipation, Tong et al. (2011) found that EPS deposition on silica 86 87 surfaces is significantly higher than that on humic acid-coated silica surfaces, and much lower than that on alginate-coated silica surfaces. The preferential adsorption of 88

proteins and lipids of EPS on goethite surfaces was observed by scanning transmission X-ray microscopy and high-resolution secondary ion mass spectrometry (Liu et al., 2013). The adsorption of EPS on goethite is reported to mainly occur via inner-sphere complexation of the phosphate-containing macromolecules of EPS to the FeOH surface functional groups on goethite, with the inner-sphere adsorption configuration changing from monodentate (pH 3.0) to bidentate (pH 9.0) with an increase of pH (Fang et al., 2012; Omoike et al., 2006).

Despite extensive research on the mechanisms of EPS adsorption on some soil 96 clay minerals (Cao et al., 2011; Fang et al., 2012; Liu et al., 2013; Mikutta, et al., 97 2011; Omoike and Chorover, 2006; Zhu et al., 2009), knowledge about changes in the 98 conformation of EPS and kinetics of EPS adsorption onto soil minerals in situ is 99 100 limited. The adsorption mechanisms in situ can be elucidated in real time using the spectral data of hydrated samples obtained by attenuated total reflectance-Fourier 101 transform infrared (ATR-FTIR) spectroscopy. For example, previous ATR-FTIR 102 103 studies have indicated that EPS phosphodiester groups form inner-sphere complexes with Fe centers at the goethite surface (Omoike et al., 2004). However, in contrast to 104 these traditional ATR-FTIR studies, the flow-cell ATR-FTIR technique can investigate 105 experimental systems *in situ*, in real time, and under continuous flow at experimental 106 conditions very close to natural environments (Wu et al., 2014), making it a suitable 107 technique for environmental chemical systems (Bouhekka et al., 2012; Chiem et al., 108 2006; Depalma et al., 2008; Fredriksson et al., 2007; Mundunkotuwa et al., 2014; 109 Yang et al., 2014). Therefore, in this experiment, we used flow-cell ATR-FTIR to 110

analyze the structural changes of EPS associated with the adsorption processes and 111 adsorption kinetics in situ, in real time, and under environmentally relevant conditions 112 (e.g., pH, ionic strength and ionic valence). Despite its advantages for environmental 113 chemical systems, however, the conventional one-dimensional FTIR approach is 114 limited in its ability to detect changes in sample chemistry, because different chemical 115 functionalities can have overlapping vibrational peaks. To address this problem, the 116 use of two dimensional (2D) FTIR correlation spectroscopy (CoS) can greatly 117 enhance the spectral resolution and resolve overlapping peak problems (Fu et al., 118 119 2009; Mundunkotuwa et al., 2014; Ralla et al., 2010; Vasina et al., 2005). 2D-CoS is a widely used and versatile tool for analysis of a set of spectral data from a system 120 under external perturbation (e.g., time, temperature, pH, concentration, etc.), and can 121 122 significantly enhance spectral resolution compared to conventional FTIR spectra with overlapping peaks (Noda et al., 2004; Noda et al., 2012; Yu et al., 2011). In addition, 123 the synchronous and asynchronous spectra of 2D-CoS can be used to analyze the 124 order in which changes in the spectral intensity of different spectral regions or 125 vibrational bands occur (Abdulla et al., 2010; Noda et al., 2004). This in turn can 126 provide information on the order in which different corresponding functional groups 127 interact with an adsorbent. For example, 2D-CoS analysis revealed that there are three 128 different pathways for adsorption of Bovine Serum Albumin (BSA) on 129 montmorillonite under different concentrations of BSA (Schmidt and Martínez, 2016). 130 For a complicated system such as the EPS-mineral interface, different chemical 131 functionalities may have indistinguishable overlapping vibrational peaks. Fortunately, 132

these overlapping peaks may have different responses to external perturbations during the dynamic adsorption process. This delicate but important difference in response to the interaction sequence of EPS on goethite can be better elucidated using 2D-CoS analysis. To our best knowledge, 2D-CoS has not yet been used to investigate interactions between EPS and inorganic colloids.

This study aims to investigate the binding mechanisms of EPS on goethite as 138 well as the preferential adsorption of EPS functional groups onto goethite under 139 different electrolyte concentrations at the molecular level. As the primary iron 140 141 (oxyhydr)oxide phase in temperate soils and sediments, goethite (a-FeOOH) can provide a first order control on the transport of contaminants and nutrients 142 (Amstaetter et al., 2010; Elsner et al., 2004; Jeon et al., 2005). To clarify the 143 144 EPS-goethite interaction processes, the adsorption spectra of EPS on goethite as a function of time were collected and analyzed using ATR-FTIR 2D-CoS. Near edge 145 X-ray absorption fine structure spectroscopy (NEXAFS) was also used to analyze the 146 binding mechanisms of EPS on goethite. The results of this study provide 147 fundamental information about the interaction mechanisms between EPS and goethite, 148 and serve as a platform to better understand the interactions between bacterial 149 biofilms and soil minerals in the natural environment. 150

151

### 2. Materials and methods

# 152 2.1. Synthesis and characterization of goethite

Goethite was synthesized in a high-density polyethylene bottle via simultaneous addition of a 0.15 M Fe(NO<sub>3</sub>)<sub>3</sub> solution and a 2.5 M KOH neutralizing solution as

reported by Atkinson et al. (1967). Goethite was identified by X-ray diffraction (XRD), with the diffraction data corresponding to the standard XRD data for goethite (JCPDS 00-29-0713) (SI Fig. S1). The specific surface area of the goethite was determined to be 99.44 m<sup>2</sup> g<sup>-1</sup> by N<sub>2</sub> BET adsorption. Atomic force microscopy (AFM) showed that the goethite consisted of needle-shaped crystals, approximately  $335.52\pm10.47$  nm long and  $81.79\pm5.36$  nm wide (SI Fig. S2).

### 161 2.2. Extraction and purification of extracellular polymeric substances (EPS)

The cultivation of Bacillus subtilis and the extraction and purification of EPS 162 163 were performed as reported by Omoike and Chorover (2006). This method has been described in detail in our previous work (Lin et al., 2016b), as well as in the SI. In 164 brief, *Bacillus subtilis* was cultivated aerobically in Luria broth at 28 °C and 180 rpm 165 166 to early stationary (24 h) growth phase. The cells were removed from the culture solution by centrifugation (5000  $\times$  g, 15 min, 4 °C) and EPS was isolated from the 167 supernatant solution by adding cold reagent-grade ethanol. The precipitate was 168 separated from the ethanol suspension by centrifugation (12,000  $\times$  g, 15 min, 4 °C). 169 As previously determined, extracted EPS from *B. subtilis* consisted of polysaccharides, 170 proteins and nucleic acid components as well as carboxyl, phosphoryl, amino and 171 hydroxyl functional groups (Cao et al., 2011). The working solution of EPS was 250 172 mg  $L^{-1}$  during the adsorption of EPS on goethite. 173

# 174 2.3. ATR-FTIR measurements

# ATR-FTIR measurements were performed using a spectrometer (IFS 66 v/s, Bruker, Karlsruhe, Germany) equipped with a Mercury Cadmium Telluride

(MCT)-(MIR) liquid nitrogen-cooled detector and OPUS 5.5 processing software. All 177 spectra were collected at pH 5.5, with 400 scans over the 800-4000 cm<sup>-1</sup> range at a 178 resolution of 4 cm<sup>-1</sup>, and the time frame of one scan was about 1s. A horizontal 179 attenuated total reflectance flow cell with a 45° ZnSe ATR crystal was used and 10 180 internal reflections were yielded at the sample surface. A 1 mg mL<sup>-1</sup> goethite 181 suspension was prepared by mixing a known amount of dry goethite powder with 182 deionized water, sonicating the suspension for 20 min, adjusting the suspension pH to 183 5.5 with 10 mM NaOH or HCl, and equilibrating for 48 h with intermittent sonication 184 to ensure complete dispersion. Next, the as-prepared goethite suspension was evenly 185 spread across the crystal surface and dried for 12 h at 37 °C in an incubator 186 maintained at a constant temperature, resulting in a stable deposit firmly adhered to 187 188 the ZnSe crystal. Based on the amount of goethite colloids on the ATR cell (1.0 mg), the dimension of the ZnSe ATR cell surface (5.11 cm<sup>2</sup>) and an estimated density of 189  $3.4 \sim 4.4$  g/cm<sup>3</sup> for the goethite colloid deposit, then the thickness of the goethite 190 colloid film used in the flow cell was estimated to be not more than 0.6 µm. 191 According to Wu et al. (2014), for a 45 ° ZnSe ATR crystal, the penetration depth of 192 IR light in water is 0.84 to 1.37 µm from 1800 to 900 cm<sup>-1</sup>, obtained from the 193 following equation: 194

195 
$$d_p = \frac{\lambda}{2\pi (n_c^2 \sin^2 \alpha - n_s^2)^{1/2}}$$
(1)

where  $\lambda$  and  $\alpha$  are the wavelength and angle of incidence, respectively. The values n<sub>c</sub> (2.4) and n<sub>s</sub> (1.3) represent the refractive indices of the ZnSe crystal and water, respectively (Harrick and du Pré, 1966). Given that the goethite colloid film has a refractive index higher than that of that of  $H_2O$ , the IR beam can probe the entire thickness of the goethite colloid deposit and protrude into the aqueous phase overlying the goethite colloid film. The IR evanescent wave is expected to penetrate the interior of EPS adsorption on the goethite film in the flow measurements.

The coated crystal was sealed in a flow cell, placed on the ATR stage inside the 203 IR spectrometer and connected to NaCl solutions or EPS solution. The concentrations 204 of NaCl solutions used were 1, 5, 10 and 50 mM, and the concentration of EPS 205 solution was 250 mg L<sup>-1</sup> prepared in these NaCl solutions. Both the NaCl and EPS 206 solutions were pre-equilibrated for 48 h at pH 5.5, with 10 mM NaOH or HCl used to 207 adjust the suspension pH. In each experiment, an appropriate NaCl solution initially 208 flowed over the goethite colloid surface at a rate of 2 mL min<sup>-1</sup> for 2 h to obtain a 209 210 background spectrum, then the EPS solution with the corresponding concentration of NaCl was flowed over the goethite colloid under the same conditions for 6 h. The 211 timeframe of 6 h was chosen based on preliminary experiments that showed that 212 213 adsorption equilibrium was reached after about 3 h. The background spectrum consisted of the combined absorbance of the ZnSe crystal, the goethite colloid deposit 214 and the NaCl solution. All successive spectra were collected every 15 mins and 215 smoothed after subtraction of this background spectrum. Triplicate measurements 216 were performed to ensure the reliability of the data. 217

218 *2.4. Two-dimensional CoS analysis of adsorbed EPS* 

After smoothing and baseline correction of the IR spectra, two-dimensional correlation analysis of adsorbed EPS was performed using 2D-Shigle (Shigeaki

Morita, Japan) (Noda et al., 2004; Schmidt and Martínez, 2016; Yan et al., 2016). In 221 this analysis, contact time was used as the external perturbation for the complexation 222 of EPS with goethite colloids and the adsorption processes onto the goethite surfaces. 223 All calculations were performed using Origin 8.5. To illustrate this technique, an 224 analytical spectrum U(v, t) is considered. The variable v is the index variable for the 225 FTIR spectra caused by the perturbation variable t. A discrete set of dynamic spectra 226 measured at *m* equally spaced points in time *t* between  $T_{\min}$  and  $T_{\max}$  can be expressed 227 as follows: 228

229 
$$U_j(v) = y(v, t_j), j = 1, 2, ..., m$$
 (2)

A set of dynamic spectra can be represented by the following equation:

231 
$$\tilde{U}(v,t) = U(v,t_j) - \bar{U}(v)$$
 (3)

where  $\overline{U}(v)$  represents the reference spectrum, which is generally the average spectrum and can be expressed as follows:

234 
$$\bar{U}(v) = \frac{1}{m} \sum_{j=1}^{m} U(v, t_j)$$
 (4)

The synchronous correlation intensity can be directly obtained from the following equation:

237 
$$\Phi(v_1, v_2) = \frac{1}{m-1} \sum_{j=1}^n \tilde{U}_j(v_1) \tilde{U}_j(v_2)$$
(5)

239 
$$\psi(v_1, v_2) = \frac{1}{m-1} \sum_{j=1}^m \tilde{U}_j(v_1) \sum_{k=1}^m M_{jk} \tilde{U}_j(v_2)$$
 (6)

The term  $M_{jk}$  corresponds to the  $j^{th}$  column and the  $k^{th}$  raw element of the discrete Hibert-Noda transformation matrix, which can be expressed by the following equation:

243 
$$M_{jk} = \begin{cases} 0 & \text{if } j = k \\ \frac{1}{\pi(k-j)} & \text{otherwise} \end{cases}$$

244 (7)

264

The intensity of a synchronous correlation spectrum  $\Phi(v_1, v_2)$  represents the 245 simultaneous or coincidental changes of two separate spectral intensity variations 246 measured at  $v_1$  and  $v_2$  during the interval between  $T_{\min}$  and  $T_{\max}$  of the externally 247 defined variable t. The intensity of an asynchronous spectrum  $\psi$  ( $v_1$ ,  $v_2$ ) represents 248 sequential or successive, but not coincidental, changes of spectral intensities measured 249 separately at  $v_1$  and  $v_2$ . The rank order of intensity change between two bands at  $v_1$ 250 and  $v_2$  can be obtained from the signs of the synchronous correlation peak  $\Phi(v_1, v_2)$ 251 and asynchronous correlation peak  $\psi$  ( $v_1$ ,  $v_2$ ) based on previously established 252 principles (Domínguez-Vidal et al., 2006; Jia et al., 2009; Noda et al., 2004). Briefly, 253 for a synchronous cross peak, the sign becomes positive if the spectral intensities at 254 the two bands at  $v_1$  and  $v_2$  corresponding to the coordinates of the cross peak are either 255 increasing or decreasing together as functions of the external variable t during the 256 observation interval, otherwise, the sign becomes negative; while for an asynchronous 257 cross peak, the sign becomes positive if the intensity change at  $v_1$  occurs 258 predominantly before that at  $v_2$  in the sequential order of t, otherwise, the sign 259 becomes negative. If  $\Phi(v_1, v_2)$  and  $\psi(v_1, v_2)$  have the same signs, the changes in the 260 spectral intensity at band  $v_1$  will occur prior to those at  $v_2$ ; if they have opposite signs, 261 the order will be reversed. If  $\psi(v_1, v_2)$  is zero, then the changes at  $v_1$  and  $v_2$  will occur 262 simultaneously (Domínguez-Vidal et al., 2006; Jia et al., 2009; Noda et al., 2004). 263

In our work, because the spectral intensity changes reflect the adsorption of the

corresponding IR bands and thus the corresponding EPS functional groups, then the order in which the spectral intensity changes appear reflects the order in which the IR bands and thus the corresponding EPS functional groups interact with the goethite surface. In this way the results obtained from the 2D-CoS can reflect the order in which the different EPS functional groups interact and bind with goethite, or in other words, the adsorption rate of the different EPS functional groups with goethite.

# 271 2.5. Near edge X-ray absorption fine structure spectroscopy

The adsorption experiments of EPS on goethite colloids were performed in a 10 272 mL centrifuge tubes, in which 4 mL (20 mg) of goethite suspension was mixed with 273 EPS solution to reach a final EPS concentration of 1 mg mL<sup>-1</sup> with NaCl 274 concentrations between 0-50 mM. The mixture was gently shaken at 25 °C for 2 h and 275 276 centrifuged at 12,000  $\times$  g for 30 min. After freeze-drying, the Carbon 1s-NEXAFS spectra of the precipitates were obtained at the soft X-ray spectroscopy beamline of 277 the Beijing Synchrotron Radiation Facilities. The storage ring was operated in the 278 279 top-up mode in the current range between 150 and 250 mA. A soft X-ray beam from the 2.5 GeV electron storage ring was produced and a monochromator that was 280 tunable over 1700-50 eV was illuminated by the beamline. C 1s K-edge spectra were 281 obtained in the 310-270 eV range using a step size of 0.1 eV and the dwell time of 0.5 282 s. The spot size of the beam under the operating conditions was approximately 1 mm 283  $\times$  0.1 mm. Beam damage was defined as negligible when no deterioration in the 284 signal was observed in repeated measurements at the same spot with a dwell time of 285 0.5 s. The Gaussian curve component positions were confirmed by examining the 286

spectra of previously measured standards assigned to specific functional groups. An arctangent function was used to model the ionization step and was fixed at 290 eV. The full width at half maximum of the bands was set at  $0.4\pm0.2$  eV, and the amplitude was floated during the fit. All the data were normalized prior to curve fitting using the ATHENA software. Spectral regions indicated by Gaussian curves were described by attributing them to the functional groups from G1 to G6. Details are given in Table S6.

294 2.6. Electrophoretic mobility measurements

The electrophoretic mobility (EPM) of goethite and EPS as a function of NaCl concentration was determined at pH 5.5 by a Zetasizer analyzer (Nano ZEN 3600, Malvern, UK) at 25 °C. Triplicate measurements were performed with more than ten runs per measurement to determine the values of the EPM.

299

### 3. Results and discussion

300 3.1. In situ ATR-FTIR analysis of the interaction between EPS and goethite

FTIR spectra in the 1800-950 cm<sup>-1</sup> region for EPS adsorption on goethite under 301 different NaCl concentrations are shown in Fig. 1 and Fig. S3. All spectra from the 302 experiments show that amide I, amide II and amide III peaks are present at 1650-1648 303 cm<sup>-1</sup>, 1552-1541 cm<sup>-1</sup> and 1459-1455 cm<sup>-1</sup>, respectively. Bands at 1397 and 1129 cm<sup>-1</sup> 304 are assigned to the stretching vibration of the carboxyl and C-O ring vibration, and 305 bands at 1087 and 1040 cm<sup>-1</sup> are attributed to the stretching vibrations of P=O and 306 P-O-Fe bonds, respectively (Badireddy et al., 2010; Liu et al., 2013; Ojeda et al., 2008; 307 Omoike and Chorover, 2006). The shape and peak wave number of the adsorbed EPS 308

remained almost unchanged with different concentrations of NaCl or over time. The
intensities of the amide I and II bands increase rapidly initially, followed by a less
rapid increase with prolonged time, and similar patterns are observed in all
concentrations of NaCl solutions.

Because amide II is not sensitive to structural changes or potential aggregation of 313 EPS, the extent of EPS-proteins adsorption can be monitored by the area of the amide 314 II band. A plot of the amide II area versus time for each NaCl concentration is shown 315 in Fig. 2. The peak area-time curves vary significantly with NaCl concentration, 316 317 indicating that the adsorption process of EPS-proteins on goethite is affected by ionic strength. Specifically, adsorption of EPS-proteins on the goethite surface is enhanced 318 by increasing NaCl concentrations under controlled flow conditions. Data from the 319 320 amide II peak area are fitted to the pseudo-first-order kinetics equation:

321 
$$A = A_{\max} (1 - e^{-kt})$$
 (1)

where  $A_{\text{max}}$  is the maximum value of the amide II peak area, and k is the adsorption rate constant of EPS-proteins on the goethite surface (Wu et al., 2014). R<sup>2</sup> values greater than 0.97 show that this equation can reasonably fit the adsorption process, and the fitting results from our experiments are shown in Table 1. It can be seen that  $A_{\text{max}}$  and k values increase with increasing concentrations of NaCl, indicating that the amount and rate of adsorption of EPS-proteins on the goethite increase with increasing ionic strength.

### 329 3.2. 2D-CoS analysis of the interaction between EPS and goethite

The representative synchronous and asynchronous plots in a 5 mM NaCl solution

depicting the sequence of EPS functional group adsorption on the goethite are shown 331 in Fig. 3; the interaction time is the perturbation condition. Seven characteristic 332 autopeaks are present at 1650, 1540, 1455, 1396, 1132, 1086 and 1035 cm<sup>-1</sup> in the 333 synchronous spectra, and these peaks are consistent with those obtained from the 334 second derivative spectra in Fig. S4a. In previous studies, these seven autopeaks are 335 assigned to the C=O stretching in amide I, N-H deformation and C-N stretching in 336 -CO-NH- in amide II, symmetrical deformations of CH<sub>2</sub> and C-OH deformations in 337 amide III, symmetrical stretching of COO<sup>-</sup>, O-H deformation or C-O ring vibrations 338 of polysaccharides, P=O of phosphodiester backbone of nucleic acids or C-O-H 339 stretch of phosphorylated proteins and symmetrical stretching of P-O, respectively 340 (Badireddy et al., 2010; Liu et al., 2013; Ojeda et al., 2008; Omoike and Chorover, 341 342 2006). According to Noda (2004), an autopeak represents the overall susceptibility of the corresponding spectral region to change in spectral intensity as an external 343 perturbation, in this case interaction time, is applied to the system. The greatest 344 345 change in spectral intensity was observed in the autopeaks located at 1540, 1650 and 1035 cm<sup>-1</sup>, followed by those at 1086, 1132, 1455 and 1396 cm<sup>-1</sup>, indicating that the 346 relative adsorption intensity of protein functional groups on the goethite surface is 347 greater than that of the other functional groups. As shown in Table S1, twenty-one 348 positively correlated crosspeaks were identified, suggesting that the adsorption of the 349 corresponding seven functional groups responds in phase to the external perturbation 350 351 of interaction time.



Compared to the synchronous maps, the asynchronous maps of the adsorbed EPS

display distinctive characteristics. As shown in Table S1, eleven positive, eight 353 negative and two zero crosspeaks are observed. The signs of the crosspeaks in the 354 355 synchronous and asynchronous spectra reflect the order in which the corresponding functional groups interact and bind with goethite, and thus allow us to infer the 356 adsorption rate of the different functional groups on goethite. In this regard our data 357 show that the order in which the spectral regions interact with goethite is: 1396, 1132 358  $\rightarrow$ 1650  $\rightarrow$ 1540  $\rightarrow$ 1035, 1086  $\rightarrow$ 1455 cm<sup>-1</sup>, demonstrating that the order in which the 359 corresponding EPS functional groups interact and bind with goethite is: carboxylate 360 C=O, polysaccharide C-O > Amide I C=O > Amide II C-N > nucleic acid P-O, P=O >361 Amide III CH<sub>2</sub>. 362

Similarly, the adsorption of EPS onto goethite in 1, 10 and 50 mM NaCl 363 364 solutions was also investigated using 2D-CoS, and the synchronous/asynchronous spectra are shown in Fig. S5, Fig. S6 and Fig. S7. In the 1 and 10 mM NaCl solutions, 365 six characteristic autopeaks are observed at 1652, 1544, 1460, 1400, 1130 and 1030 366 cm<sup>-1</sup>, and 1652, 1541, 1460, 1409, 1089 and 1049 cm<sup>-1</sup>, respectively, and in the 50 367 mM NaCl solution, four characteristic autopeaks occur at 1652, 1544, 1132 and 1030 368 cm<sup>-1</sup>. These peaks are also consistent with the results of the second derivative spectra 369 in Fig. S4b, c and d, respectively. For the 1 mM NaCl solution, the degree of change 370 in spectral intensity follows the sequence:  $1544 \text{ cm}^{-1}$  (Amide II) >  $1652 \text{ cm}^{-1}$  (Amide 371 I) > 1130 cm<sup>-1</sup> (C-O ring vibration) > 1030 cm<sup>-1</sup> (P-O-Fe) > 1400 cm<sup>-1</sup> (COO<sup>-</sup>) > 1460 372 cm<sup>-1</sup> (Amide III). For the 10 mM NaCl solution, the degree of change in spectral 373 intensity follows the sequence: 1541 cm<sup>-1</sup> (Amide II) > 1049 cm<sup>-1</sup> (polysaccharide 374

C-O-C), 1089 cm<sup>-1</sup> (aliphatic C-OH) > 1652 cm<sup>-1</sup> (Amide I) > 1460 cm<sup>-1</sup> (Amide III) > 375 1409 cm<sup>-1</sup> (Sym. COO<sup>-</sup>). While for the 50 mM NaCl solution, the degree of change in 376 spectral intensity follows the sequence:  $1544 \text{ cm}^{-1}$  (Amide II) >  $1030 \text{ cm}^{-1}$  (P-O-Fe), 377 1132 cm<sup>-1</sup> (C-O ring vibration) > 1652 cm<sup>-1</sup> (Amide I). This indicates that in the 1, 10 378 and 50 mM NaCl solutions, in agreement with the 5 mM NaCl solution, the relative 379 adsorption intensity of protein functional groups on the goethite surface is greater than 380 the other functional groups. Furthermore, the relative adsorption intensity of COO<sup>-</sup> 381 decreases with increasing concentration of NaCl solution. 382

383 According to the asynchronous plots, the order in which the EPS functional groups interact and bind with goethite in 1, 10 and 50 mM NaCl solutions is as 384 follows: 1 mM NaCl: P-O-Fe (1030 cm<sup>-1</sup>), C-O ring vibration (1130 cm<sup>-1</sup>)  $\rightarrow$ 385 symmetrical stretching of COO<sup>-</sup> (1400 cm<sup>-1</sup>)  $\rightarrow$  Amide II (1544 cm<sup>-1</sup>)  $\rightarrow$  Amide I 386  $(1652 \text{ cm}^{-1}) \rightarrow \text{Amide III} (1460 \text{ cm}^{-1}); 10 \text{ mM NaCl: COO}^{-} (1409 \text{ cm}^{-1}) \rightarrow \text{Amide III}$ 387  $(1460 \text{ cm}^{-1}) \rightarrow \text{polysaccharide C-O-C} (1060 \text{ cm}^{-1}) \rightarrow \text{aliphatic C-OH} (1089 \text{ cm}^{-1})$ 388  $\rightarrow$ Amide II (1541 cm<sup>-1</sup>)  $\rightarrow$ Amide I (1652 cm<sup>-1</sup>); 50 mM NaCl: Amide III (1450 cm<sup>-1</sup>), 389  $\text{COO}^{-}$  (1409 cm<sup>-1</sup>)  $\rightarrow$  Amide I (1652 cm<sup>-1</sup>)  $\rightarrow$  Amide II (1544 cm<sup>-1</sup>)  $\rightarrow$  P-O-Fe (1030 390  $cm^{-1}$ )  $\rightarrow$  C-O ring vibration (1132 cm<sup>-1</sup>). This adsorption sequence demonstrates that 391 the order in which the EPS functional groups interact and bind with goethite is closely 392 correlated with the concentration of NaCl. 393

Overall, in all the NaCl concentrations, the relative adsorption intensity of the amide functional groups is higher than that of the other constituents in EPS. However, at a low NaCl concentration (i.e. 1, 5, 10 mM NaCl), the COO<sup>-</sup> and P-O functional groups adsorb faster on goethite than the amide functional groups, whereas at high
NaCl concentrations (i.e. 50 mM NaCl), the amide functional groups adsorb faster on
goethite than the other functional groups in EPS.

400 3.3. Secondary structure of adsorbed EPS-protein

Due to the significance of the amide functional groups in the adsorption of EPS on 401 the goethite surface, the ATR-FTIR spectra in the amide I region in 1, 5, 10 and 50 402 mM NaCl solutions were deconvolved (Fig. 4); the results of amide I curve fitting for 403 adsorbed EPS at each NaCl concentration are summarized in Table S5. Secondary 404 405 structure analysis of the amide I band peak locations indicates a mixture of aggregated strands (1625 cm<sup>-1</sup>), random coil conformation (1641 cm<sup>-1</sup>),  $\alpha$ -helices (1657 cm<sup>-1</sup>) and 406 turns (1673, 1686 and 1697 cm<sup>-1</sup>) (Omoike and Chorover, 2004; Schmidt and 407 408 Martínez, 2016). With increasing NaCl concentration, the percentage of random coil conformation of EPS decreases from ~31% to ~15%, while the percentage of 409 aggregated strands,  $\alpha$ -helices and turns increases from ~11% to ~12%, ~30% to ~33% 410 and ~29% to ~39%, respectively, suggesting a conversion of less rigid extended 411 chains to more rigid helical structures with an increase in electrolyte concentration. 412 Omoike and Chorover (2004) also found that random coil conformation of EPS 413 occurred in a low electrolyte solution, while  $\beta$ -turn and  $\beta$ -sheet structures mainly 414 appeared in a high electrolyte solution. The conversion of random coil conformation 415 to aggregated strands,  $\alpha$ -helices and turns structures with an increase in electrolyte 416 concentration increases the specific surface area of the protein secondary structure, 417 thus potentially increasing the contact area of the protein functional groups in EPS 418

with the goethite surface as NaCl concentration increases. As such this result may
explain why the amide functional groups adsorb faster on goethite than the other
functional groups at high NaCl concentration.

The effect of ionic strength on the EPS structure might be understood in light of 422 changes in the screening of the EPS charge as a function of increasing NaCl 423 concentration. It is possible that if the EPS charge is more effectively screened by 424 counterions in solution, then this encourages the EPS extended chains to coagulate 425 leading to more globular structures, which in their final form are actually more 426 427 reactive towards the goethite surface (Yamamoto et al., 1987; Ziegler, 1991). This process is potentially reflected in the EPM results for EPS (Fig. S8a) where, despite 428 an initial screening of the EPS charge with increasing NaCl concentration (and thus 429 430 an expected decrease in EPM), the resulting the EPM of the complex structures that are formed actually increases with increasing NaCl concentration and favours their 431 interaction with the goethite surface. The hydrodynamic diameter decreases with 432 433 increasing NaCl concentration, reflecting the formation of the tighter more complex EPS structures (Fig. S8a). 434

435 3.4.*Carbon (1s) NEXAFS spectroscopy of adsorbed EPS* 

Synchrotron-based C 1s near-edge X-ray fine structure (NEXAFS) spectroscopy can offer valuable insights into the composition of the organic C of adsorbed EPS on goethite under different NaCl concentrations (Lehmann et al., 2008). The adsorbed EPS shows two distinct peaks at 285.71 eV and 288.55 eV (Fig. 5), which can be attributed to the C1s- $\pi^*_{C=C}$  transitions of aromatic-C and protonated and alkylated

441	aromatic-C and the C1s- $\pi^*_{C=O}$ transitions of carboxylic-C and carboxyamide-C,
442	respectively (Ishii and Hitchcock, 1988; Robin et al., 1988; Francis and Hitchcock,
443	1992; Hitchcock et al., 1992; Cody et al., 1998; Samuel et al., 2006). Six additional
444	peaks were identified in deconvolution of the spectra of adsorbed EPS under different
445	concentrations of NaCl, which correspond to the peaks for quinone-C at 283.4-283.5
446	eV, aromatic-C at 285.5-285.8 eV, alkyl-C at 287.6-287.8 eV, carboxylic-C at
447	288.4-288.6 eV, O-alkyl-C at 289.2-289.5 eV and carbonyl-C at 290.2-290.6 eV
448	(Table S6). The percentage area of all the peaks except for that of carboxylic-C
449	increases with increasing concentration of NaCl, which is consistent with the results
450	of the 2D-CoS analysis. The behavior of the carboxyl groups might be explained in
451	light of changes in the screening of the goethite surface charge as a function of
452	increasing NaCl concentration and changes in the speciation of carboxyl functional
453	groups as a function of pH. In the first instance, below the point of zero charge, at
454	higher ionic strength the positive goethite surface charge will be more effectively
455	screened by an increased concentration of counterions at the goethite particle surfaces,
456	evident in a decrease in the EPM with increasing NaCl concentration (Fig. S8b). In
457	the second instance, the pKa value of the carboxyl functional groups is greater than
458	4.0 (Anslyn and Dougherty, 2006; Haynes, 2012; Schwarzenbach et al., 2005), so the
459	carboxyl groups should be largely deprotonated in our experimental system. Kleber et
460	al. (2015) also suggested that carboxylic groups tend to be ionized in the soil pH
461	range. Therefore, as the concentration of NaCl solution increases from 1 to 50 mM,
462	the interaction force between the goethite and the negatively charged carboxyl

functional groups should decrease, resulting in the decreased percentage of adsorbed 463 carboxylic-C on the goethite colloids. In contrast, the other EPS functional groups are 464 465 almost neutral charged in our experimental system, and their adsorption is likely less affected by the increased screening of the goethite surface charge with increasing 466 NaCl concentration. Combined with the reduced adsorption of the carboxylic groups, 467 this might in part explain the increased adsorption of the amine groups and the 468 increased overall adsorption of EPS on goethite as the ionic strength increases. 469

470

### 4. Conclusions

471 We demonstrate the effective use of two dimensional (2D) FTIR correlation spectroscopy (CoS) to study the adsorption of EPS on goethite colloid films. We find 472 that the adsorption of EPS on goethite follows the pseudo-first-order kinetic equation. 473 474 Our results indicate that, among the various functional groups present in EPS, EPS-proteins play an important role in the adsorption of EPS on the goethite surface, 475 with adsorption intensity increasing with increasing electrolyte concentration. Results 476 477 also show that the order in which the EPS functional groups interact and bind with goethite is dependent on electrolyte concentration, with EPS-proteins being the first to 478 adsorb at higher electrolyte concentration. The behaviour of the EPS-protein groups 479 can be attributed to the conversion of the secondary structure of EPS protein from a 480 random coil conformation to aggregated strands, *a*-helices and turns with an 481 increasing electrolyte concentration, which leads to an increase in the surface area of 482 the protein groups and thus a larger adsorption interaction. On the other hand, the 483 adsorption intensity of carboxyl functional groups decreases with increasing 484

electrolyte concentration, and carboxyl and phosphoryl functional groups are the first 485 to adsorb at low electrolyte concentration. This can be attributed to a better screening 486 of the goethite positive surface charge at higher ionic strength and thus a decrease in 487 the interaction force between the goethite surface and ionized carboxyl groups. The 488 results of this study provide fundamental information about the interaction 489 mechanisms between EPS and goethite and can serve as a platform for further 490 research into the interactions between bacterial biofilms and soil minerals in the 491 natural environment. 492

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Table 1. Adsorption rate parameters derived from fitting absorbance and time to  $A=A_{max}$ 

(1-e-kt) for the adsorption of EPS on goethite surface at different ionic streng	gth
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( )	1	ε		8
NaCl Concentration	A <sub>max</sub>	A <sub>max</sub> k		R <sup>2</sup>
(mM)			chi-sqr	
1	2.55±0.039	$0.017 \pm 0.000$	0.009	0.993
5	2.69±0.055	$0.020 \pm 0.002$	0.026	0.986
10	$2.98 \pm 0.020$	$0.027 \pm 0.000$	0.005	0.978
50	3.34±0.039	$0.029 \pm 0.002$	0.019	0.996

The  $A_{\text{max}}$  and k values are the average of triplicate measurements and the uncertainties represent

710 the standard deviation of the triplicate measurements.







Fig. 2 Peak area-time profiles of EPS adsorbed to the deposited goethite colloid in different



adsorption relationship of 
$$A=A_{max}(1-e^{-kt})$$



Fig. 3 (a, b) Synchronous and (c, d) asynchronous 2D spectra in the 1750-950 cm<sup>-1</sup> and 1450-950 cm<sup>-1</sup> region generated from the FTIR spectra of EPS with interaction time as the perturbation in 5 mM NaCl solution.







Fig. 5 C 1s NEXAFS spectra and their deconvolution results for the adsorbed EPS on
goethite surface in (a) 1, (b) 5, (c) 10 and (d) 50 mM NaCl solution. The open circles represent the
observed data, and the red, solid curve is the best fit of the data.

805	Supporting information for
806	EPS adsorption to goethite: Molecular level adsorption mechanisms using
807	2D correlation spectroscopy
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# **1. Materials and methods**

### 832 EPS extraction and purification

Bacillus subtilis was cultivated aerobically in Luria broth at 28 °C and 180 rpm to 833 early stationary (24 h) growth phase. The cells were removed from the culture 834 solution by centrifugation (5000  $\times$  g, 15 min, 4 °C) and EPS was isolated from the 835 supernatant solution as described by Omoike and Chorover (2006). Briefly, the 836 supernatant solution was centrifuged at higher force  $(12,000 \times g, 15 \text{ min}, 4 \degree \text{C})$  to 837 remove residual cells. EPS was precipitated from the supernatant solution by adding 838 839 cold reagent-grade ethanol at a volumetric ratio of 3:1, and the mixture was then stored at 4  $\,^{\circ}$ C for 48 h. The precipitate was separated from the ethanol suspension by 840 centrifugation (12,000  $\times$  g, 15 min, 4 °C). The pellet obtained after centrifugation was 841 842 dialyzed using cellulose membranes (3500 MWCO, Spectrum) to remove low molecular weight impurities including ethanol. After dialysis for 72 h against three 843 changes of Milli-Q water per day, the EPS solution was freeze-dried in a vacuum 844 845 freeze-drier and stored at 4  $\,^{\circ}C$  until use.

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Omoike, A., Chorover, J., 2006. Adsorption to goethite of extracellular polymeric
substances from Bacillus subtilis. Geochimica et Cosmochimica Acta 70 (4),
853 827-838.

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Table S1. Signs of each cross-peak in the synchronous ( $\Phi$ ) and asynchronous ( $\psi$ , in the brackets)

856 correlation contour maps of EPS groups on goethite in 1 mM NaCl solution at pH 5.5. "0" means

857 no cross	peak appears.
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		1649	1560	1457	1396	1131	1030
	1649	+	+(-)	+(+)	+(-)	+(-)	+(-)
	1560		+	+(+)	+(-)	+(-)	+(-)
	1457			+	+(-)	+(-)	+(-)
	1396				+	+(-)	+(-)
	1131					+	+(0)
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	1650	1540	1455	1396	1132	1086	1035
1650	+	+(+)	+(+)	+(-)	+(-)	+(+)	+(+)
1540		+	+(+)	+(-)	+(-)	+(+)	+(+)
1455			+	+(-)	+(-)	+(-)	+(-)
1396				+	+(0)	+(+)	+(+)
1132					+	+(+)	+(+)
1086						+	+(0)
1035							+

877 Table S2. Signs of each cross-peak in the synchronous ( $\Phi$ ) and asynchronous ( $\psi$ , in the brackets)

correlation contour maps of EPS groups on goethite in 5 mM NaCl solution at pH 5.5. "0" means

**898** Table S3. Signs of each cross-peak in the synchronous ( $\Phi$ ) and asynchronous ( $\psi$ , in the brackets)

correlation contour maps of EPS groups on goethite in 10 mM NaCl solution at pH 5.5. "0" means

900	no	cross	peak	appears.
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		1652	1541	1460	1409	1089	1049
	1652	+	+(-)	+(+)	+(+)	+(-)	+(-)
	1541		+	+(+)	+(+)	+(+)	+(+)
	1460			+	+(+)	+(-)	+(-)
	1409				+	+(-)	+(-)
	1089					+	+(0)
	1049						+
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**920** Table S4. Signs of each cross-peak in the synchronous ( $\Phi$ ) and asynchronous ( $\psi$ , in the brackets)

921 correlation contour maps of EPS groups on goethite in 50 mM NaCl solution at pH 5.5. "0" means

922 no cross	peak	appears.
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1651       +       +(+)       +(-)       +(+)       +(+) $1544$ +       +(-)       +(-)       +(+)       +(+) $1450$ -       +       +(0)       +(+)       +(+) $1409$ -       -       +       +(0)       +(+)       +(+) $1132$ -       -       +       +(-)       1030       +       +		1651	1544	1450	1409	1132	1030
1544       +       +(-)       +(-)       +(+)       +(+)         1450       +       +(0)       +(+)       +(+)         1409       -       +       +(+)       +(+)         1132       -       -       +       +(-)         1030       -       -       -       +	1651	+	+(+)	+(-)	+(-)	+(+)	+(+)
1450       +       +(0)       +(+)       +(+)         1409       +       +(+)       +(+)         1132       -       +       +(-)         1030       -       -       +	1544		+	+(-)	+(-)	+(+)	+(+)
1409       +       +(+)       +(+)         1132       +       +(-)         1030       -       +	1450			+	+(0)	+(+)	+(+)
1132 + +(-) 1030 +	1409				+	+(+)	+(+)
1030 +	1132					+	+(-)
	1030						+

	NaCl			Waver	umber		
	concentration	Area percentage (%)					
	(mM)						
	1	1697	1686	1673	1657	1641	1625
		(4.9%)	(12.1%)	(11.8%)	(29.8%)	(30.5%)	(10.8%)
	5	1697	1679	1666	1650	1637	1622
		(6.7%)	(14.4%)	(13%)	(32.2%)	(21.9%)	(11.7%)
	10	1692	1678	1664	1650	1637	1624
		(7.2%)	(15.7%)	(15.2%)	(31.4%)	(19.1%)	(11.3%)
	50	1696	1679	1666	1650	1637	1623
		(7.4%)	(15.6%)	(16.1%)	(33.4%)	(15.1%)	(12.3%)
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Table S5. Results of Amide I band curve fitting for EPS adsorbed onto goethite at t = 300 min.

Table S6. Carbon functional groups, peak energy level and percentages of EPS in different NaCl

concentrations <sup>a</sup>						
Functional	Energy level	1	5	10	50	
groups	(eV)	Percentage (%)				
Quinone-C	283.4-283.5 eV	3.7	2.8	4.8	3.7	
Aromatic-C	285.5-285.8 eV	3.2	3.3	1.9	2.9	
Alkyl-C	287.6-287.8 eV	16.6	18.8	20.7	22.7	
Carboxylic-C	288.4-288.6 eV	46.1	49.1	21.6	18.6	
O-alkyl-C	289.2-289.5 eV	26.7	24.7	48.1	41.7	
Carbonyl-C	290.2-290.6 eV	3.7	1.2	2.4	10.3	

<sup>a</sup> References: Ishii and Hitchcock, 1988; Robin et al., 1988; Francis and Hitchcock, 337 1992; Hitchcock et al.,

1992; Cody et al., 1998; Samuel et al., 2006





Fig. S2 AFM (a) peak force error and (b) height images of goethite in air.











Fig. S5 (a, b) Synchronous and (c, d) asynchronous 2D spectra in the 1750-950 cm<sup>-1</sup> and 1450-950
cm<sup>-1</sup> region generated from the FTIR spectra of EPS with interaction time as the perturbation in 1

mM NaCl solution.



Fig. S6 (a, b) Synchronous and (c, d) asynchronous 2D spectra in the 1750-950 cm<sup>-1</sup> and 1450-950
cm<sup>-1</sup> region generated from the FTIR spectra of EPS with interaction time as the perturbation in 10

mM NaCl solution.



Fig. S7 (a, b) Synchronous and (c, d) asynchronous 2D spectra in the 1750-950 cm<sup>-1</sup> and 1450-950 cm<sup>-1</sup> region generated from the FTIR spectra of EPS with interaction time as the perturbation in 50 mM NaCl solution.



a function of NaCl concentration.