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1 **Construction and monitoring of experimental straw bale building in northeast**  
2 **China**

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9

10 **ABSTRACT:**

11 Straw bale buildings have the potential reduce the environmental impact of  
12 construction. Although the technique has been introduced into northern China more  
13 than a decade ago, the construction method and potential problems within straw bale  
14 walls have not been fully understood in existing research. Following an analysis of  
15 existing straw bale construction both in north China and worldwide, this paper  
16 proposes modifications to the straw bale construction details currently used in north  
17 China. The modifications involve in-fill raw material, toe-up design and lime render  
18 application. These modifications were incorporated into an experimental building  
19 constructed in north China, and after having been monitored for 12 months, the  
20 modified construction details were critically assessed. The data demonstrate that rice  
21 straw bale walls are resistant to agents of decay and offer reduced construction time  
22 and cost than standard wall construction in north China. The construction method has  
23 the potential to become a mature construction system in the Chinese market in the  
24 future offering significant benefits both in construction and operational cost and in  
25 environmental impact.

26

27

28

29

30 **1. Introduction**

31 Straw has been used for thousands years in building construction as reinforcement  
32 material of earthen constructions. During the 19<sup>th</sup> century straw was used in bales to  
33 form walls of buildings in Nebraska (1). The use of straw bale buildings ceased after  
34 the initial phase when it was replaced with more traditional materials such as brick,  
35 steel and concrete as these became more accessible due to the expansion of and  
36 improvement in transportation in the late 1800s (2). The energy crisis in 1970s led to  
37 an awareness of the environmental impact of human activity, and interest in low  
38 environmental impact materials increased. Straw bale buildings were initially  
39 introduced to northern China by the Adventist Development and Relief Agency (ADRA)  
40 in 1998 (3). More than 600 straw bale buildings had been finished in the project by  
41 2006 (4). There are three significant advantages in using the straw bale construction:

- 42 ● Straw bales act as a carbon sink building material and it has significantly lower  
43 embodied energy and embodied carbon than conventional materials (5).
- 44 ● Straw bale walls can provide high-quality physical properties including sound  
45 insulation, seismic stability of structure and low fire risk (1).
- 46 ● Because of the relatively high thermal insulation properties of straw bale walls,  
47 straw bale houses have low heating energy load and cooling energy load (6).

48 Provinces in north-east China produce very large volumes of agricultural products  
49 which include rice and wheat. The total rice production is around 203 million metric  
50 tons annually (7). Using straw in the construction industry could solve the straw  
51 disposal problem and decrease building heating load due to its high thermal resistance.  
52 Application of the properties of the construction system will help to deliver Chinese  
53 government's carbon reduction target of 40%-45% of the 2005 level of by 2020  
54 proportionate to GDP (8).

55 The aim of the study is to develop a suitable straw bale construction system for the

56 typical Chinese northern climate area and to verify suitability of the design in this  
57 climate area. This paper presents a design for a straw bale building which has been  
58 modified from existing practices worldwide. An experimental building was monitored  
59 over a period of one year for relative humidity and temperature within straw bale walls.  
60 The experimental building was visually inspected for defects and the monitored data  
61 was compared with an inspection of the condition of the straw bales at one year.

62

## 63 **2. Background**

### 64 **2.1. Straw bale construction designs globally**

65 There are two basic methods of constructing straw bale buildings (9): using straw bales  
66 as a primary structural element or as an in-fill with a frame construction (1, 2, 9, 10).  
67 Despite different approaches to building with straw, they share certain similarities.

68

69 The most fundamental element is the straw bales. The straw bales can be placed either  
70 flat or on edge in straw bale buildings(2). The laid flat construction is normally applied  
71 in load-bearing construction with no less than bale density of  $130\text{kg/m}^3$  (1). The laid on  
72 edge construction is always applied in non load-bearing constructions and curved walls  
73 (1). There is no strict bale density for non load-bearing straw bale buildings and the  
74 densities are normally greater than  $70\text{kg/m}^3$  in the industry (2, 9, 11). To stabilize bales  
75 within walls during construction phase, pinning systems are used in straw bale  
76 construction (1, 2, 9-11). There are two distinct approaches that have been designed  
77 for connecting straw bale walls and other building components (2, 9, 11). The top plate  
78 connects straw bales with the roof structure and the base plate connects the bale walls  
79 with the foundations (12). Plastering is applied to straw bales in a similar method to  
80 that used for conventional walls (1). For prefabricated straw bale panels, there is a  
81 separate frame for containing straw bales. Straw bale panels are connected to roof  
82 and foundation through different joint designs of the frame (13).

83

84 **2.2. Predicting straw degradation within straw bale walls**

85 To verify degradation potential of straw within sealed walls, research has been  
86 conducted into monitoring the hygrothermal environment inside the walls and the  
87 moisture content of straw bales within walls.

88

89 One of the early monitoring of hygrothermal environment within straw bale walls was  
90 supported by the Canada Mortgage and Housing Corporation (CMHC). The monitoring  
91 results involved relative humidity and temperature (RH/T) data of straw bale walls at  
92 different depths of wall sections (14). Studies have shown that the RH/T changes within  
93 straw bale walls synchronize with seasonal change in the local area of the monitored  
94 building (14). A purely experimental straw bale wall assembly, completed in Waterloo,  
95 Canada, was monitored immediately after construction and has been the object of  
96 subsequent research (15). The research used monitoring data to verify a WUFI  
97 simulation process (15). Moisture modeling is greatly affected by driving rain and the  
98 moisture modeling was not as precise as the thermal one (15), which also suggested  
99 that breathability of render materials is critical for straw bale status with respect to straw  
100 degradation (15). A similar result for the properties of render material was shown in  
101 research in UK. Use of low vapour permeable rendering material led to an increase in  
102 internal RH and would result in straw degradation behind the render (16). This research  
103 also showed that a rain screen can increase weather resistance of straw bale walls  
104 (16). However, the effect of rain screen has total different effect in another research in  
105 hot and humid summer area in Furu in Japan (17), which demonstrated that a  
106 passively ventilated rain screen produced elevated RH in lower areas of straw bale  
107 walls (17).

108

109 The using of RH/T monitoring data can be analyzed in two methods to examine  
110 conditions within straw bale walls. By using the Tabata equation(18), the RH/T data  
111 can be converted to actual water vapour pressure data to know drying process of  
112 rendered straw bale wall:

113 
$$\log_{10} e = 9.28603523 - 2.32237885 \left( \frac{10^3}{T + 273.15} \right)$$

114 Where:

115 T = Temperature in degrees celsius

116 e = Saturation vapour pressure in T

117

118 
$$\text{Relative Humidity} = \frac{e_{\text{actual}}}{e}$$

119 Where:

120  $e_{\text{actual}}$  = Actual vapour pressure in T

121 e = Saturation vapour pressure in T

122

123 By making use of the sorption isotherm of straw, the moisture content data can also  
124 be converted to relative humidity data. Initial degradation of straw is triggered when  
125 moisture content becomes greater than 27% for extended periods of time (19). The  
126 critical RH level, taken from the sorption isotherm of wheat straw, to produce a moisture  
127 content of 27% is therefore around 85% RH (20).

128

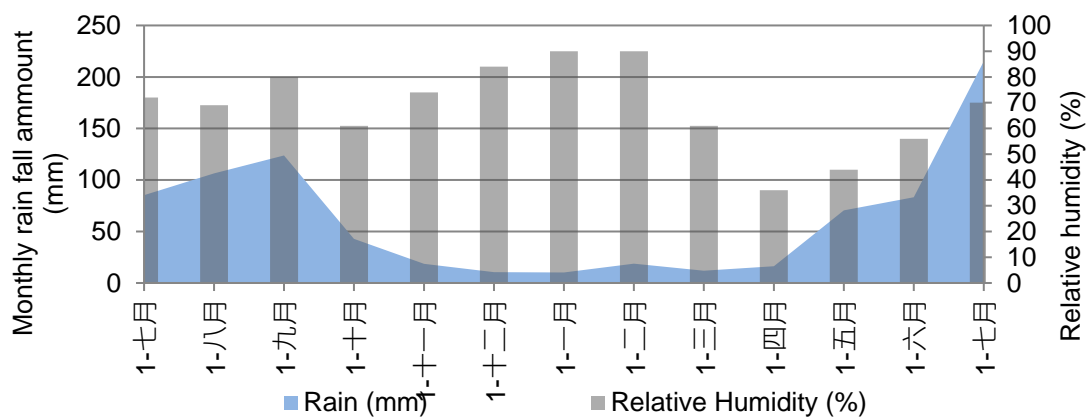
### 129 **3. Construction of the experimental building**

#### 130 **3.1. Local climate of the design straw bale building**

131 The experimental straw bale house was constructed in Changchun, in the Jilin province  
132 of northeast China. The area is subject to a typical temperate monsoon climate.  
133 Temperature peaks at around 30°C in summer in the area and drops to below freezing  
134 after late October annually (21). The highest monthly air humidity level is 88% in  
135 January (Figure 1), where monthly humidity levels are from 63% to 72% in summer  
136 during which the highest temperature appears.

137

138 Buildings are required to have high thermal resistance for supporting human activities  
 139 through cold winter months in the area and therefore straw bale buildings are widely  
 140 considered to be a suitable building type for northern China (22). However, high air  
 141 humidity levels in winter and summer time would slow moisture the movement from  
 142 rendering of straw bale walls to atmosphere and therefore lead to an extended drying  
 143 period for straw bale buildings. A combination of high temperature and high humidity  
 144 levels in summer could also increase the potential for degradation of straw within the  
 145 walls.



147 Figure 1. Average monthly Humidity and rain fall in Changchun from July 2016 to June  
 148 2017. (21)

### 151 3.2. Design of the experimental building

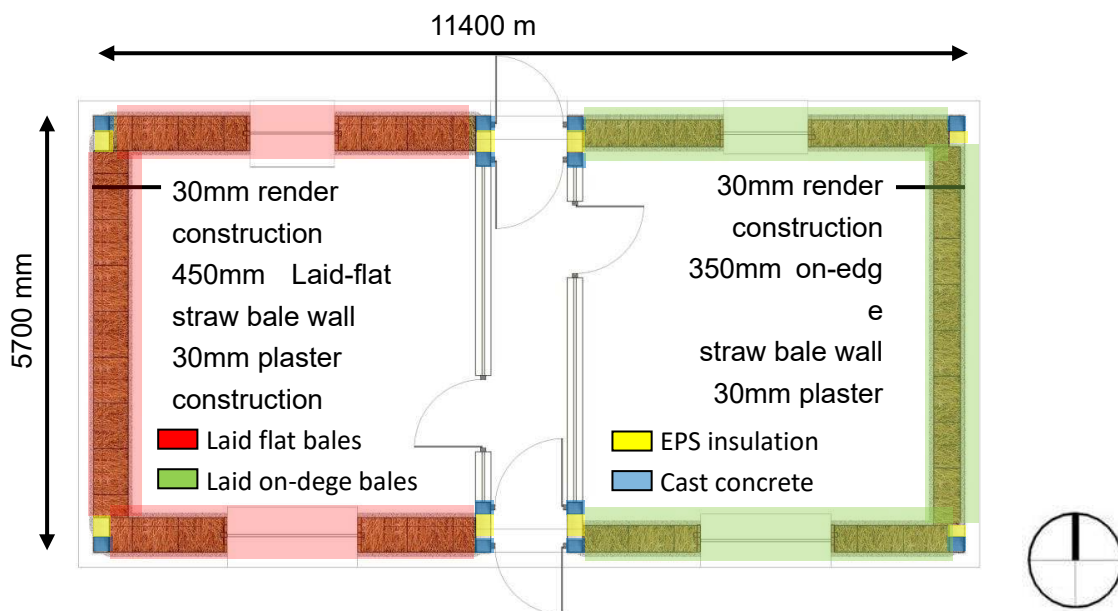
152 The design of the experimental building included the use of a specific straw type and  
 153 new detailing designs. The raw material of the bales was rice straw. There are several  
 154 advantages of using rice straw in the design of the experimental building. Firstly, rice  
 155 straw is reported to be a better baling material than wheat straw by practitioners in  
 156 California (1). Secondly, due to the rice straw is an agricultural waste in north China,  
 157 rice straw should potentially be a much cheaper construction material for construction  
 158 than currently used in-fill materials in north China. Thirdly, the air pollution problems in  
 159 northern China demand environmental friendly disposal solutions for rice straw, rather  
 160 than the current practice of burning in the fields (23). The rice straw was sourced from  
 161 large bales produced by a New Holland Baler on the field and was re-baled in the

162 factory.

163

164 The experimental building is a single story bungalow with pitched roof. The structure  
165 and foundation is made of cast concrete, being the construction technique with which  
166 the builders used in this project are familiar. Both laid-flat stacking and laid-on-edge  
167 stacking of straw bales are applied in the construction (Figure 2). A section of north  
168 facing wall with laid-flat straw bale is left unplastered on the interior surface of the wall  
169 and wood frames are used to create a truth window which only shows the straw bales.  
170 The truth window is designed on the mid height of the wall to visually examine straw  
171 degradations.

172



173

174 Figure 2. Floor plan and applied bale stacking.

175

### 176 3.3. Modification in the experimental building

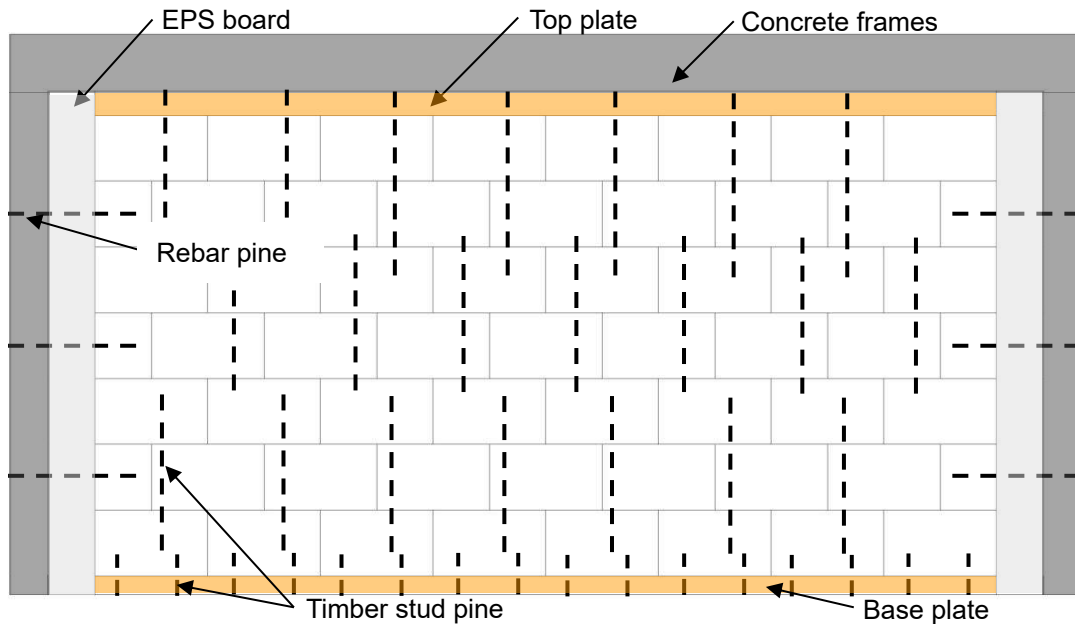
177 Compared with current construction methods, there are three innovations of the straw  
178 bale house include introducing pinning system toe-up design of straw bale walls and  
179 render material selection in the area.

180

181 The experimental building introduces a pinning system in the construction. The pinning  
182 system uses pointed timber dowels to connect each bale. Connections between bales

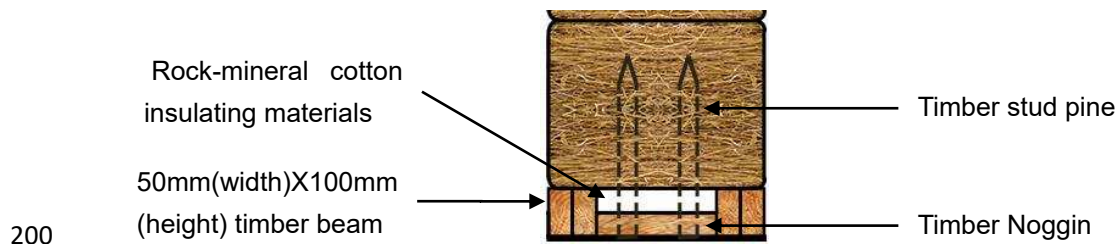


183 are referenced from Jones (9) and Myhrman & MacDonald (2). The pinning system  
184 introduces horizontal pins to fix the bale walls to columns. The horizontal pins are made  
185 of edged rebar and they are passed through preformed holes within the concrete  
186 columns. The horizontal rebar pins can increase buildability and stability of the bale  
187 walls during construction (Figure 3).  
188



189  
190 Figure 3. Pinning system of the experimental straw bale building.

191  
192 The toe-up construction used is a variation of the typical timber base plate structure  
193 which is designed by Jones (24). The base plates in the construction contain 100mm  
194 x 50mm toe-up timber beam, timber noggin between the beams, timber stud pin and  
195 thermal insulation materials (Figure 4). The timber stud pins are used to replace hazel  
196 rod in the Jones's system (24) because of poor availability of hazel in north China.  
197 Because the industrial timber studs have round and smooth surface, each timber  
198 noggin contained two holes for pins to provide sufficient fixing to the bales.  
199



200  
201 **Figure 4. Toe-up base plat and timber rods**

202

203 In contrast with existing Chinese straw bale design, the experimental building includes  
 204 the first application of a lime render in north China. The lime based render is used in  
 205 many projects in UK and US (1, 12). The construction references the construction  
 206 detail and applying process of lime render in the Canada, the US and the UK. The  
 207 render can provide breathability for straw bales within walls. A breathable render layer  
 208 is essential for keeping straw in good condition and a cement render may not serve  
 209 the purpose sufficiently (16). Because there is no current application and  
 210 understanding of using lime based render in straw bale buildings in northeast China,  
 211 the use of lime render might be problematic in dealing with thermal shock issues.  
 212 However, because there is no thermal shock issues discussed in the Canadian  
 213 research (15), the issue is not expected to be a cause for concern in this research  
 214 project.

215

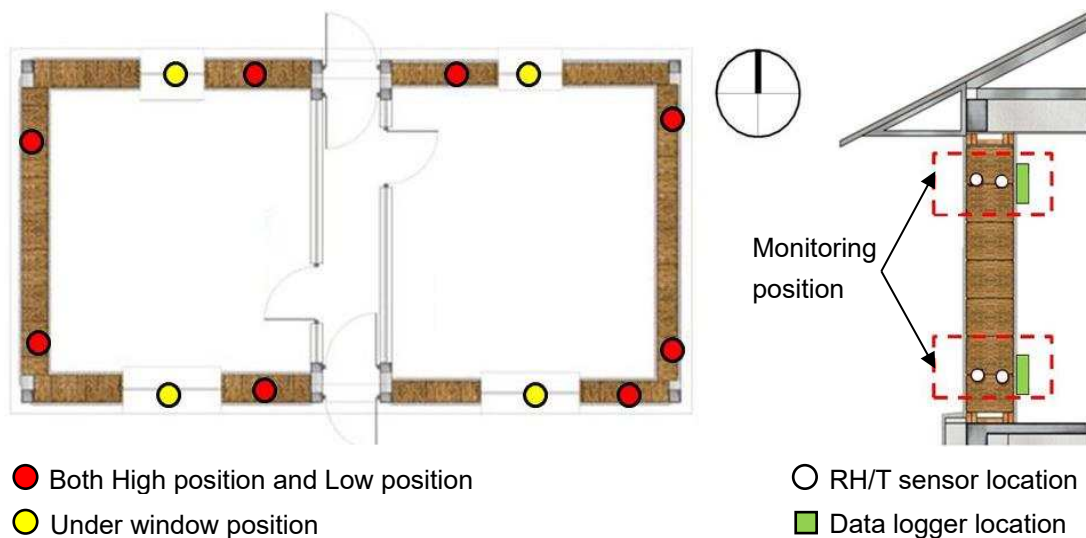
216 **4. Monitoring of hygrothermal environment within straw bale walls**

217 Long term monitoring of the experimental straw bale building began after the the  
 218 construction was completed. Monitoring of the houses focussed on the hygrothermal  
 219 environment within the straw bale walls. The monitoring equipment in the study  
 220 consists of embedded hygrothermal sensors and data loggers (Figure 12). The  
 221 sensors are the TRH-100 Temperature & RH Probe and they are manufactured by the  
 222 Pace Scientific. The sensors have accuracy of  $\pm 0.3^\circ$  from  $-25^\circ$  to  $85^\circ$  and  $\pm$   
 223  $1\%RH@50\%$  ( $\pm 3\%$   $0\%-95\%RH$ ). The data loggers are RHR300-W411 which are  
 224 produced by the Dalian RHsens Technology Co., Ltd. Each logger connects to two  
 225 sensors. The data logger records real time RH/T of sensors within straw bale walls  
 226 each hour on the hour. The sensors were installed during the construction and they

227 are linked with data logger after completion of lime plaster layer.

228

229 Total 20 sensor locations are designed to provide monitoring data inside every façade  
230 of the building. The placements of sensors was designed to monitor the most  
231 problematic areas for straw degradation seen in similar climatic regions in Japan(25)  
232 and Canada (15). The sensors are installed beneath the top bales, on the top of bottom  
233 bales and beneath the bale under the window sill on the south facing walls and north  
234 facing walls (Figure 5). Each location has two sensors in different depth in straw bale  
235 wall. The sensors were placed at a depth of 100mm below the external surface and  
236 internal surface of straw bale walls. The monitoring results were compared by  
237 examining the actual vapour pressure within walls and the duration of periods where  
238 humidity exceeded 85% RH.



239

240 ● Both High position and Low position

241 ● Under window position

○ RH/T sensor location

■ Data logger location

242 Figure 5. Floor plan (left) and walling section (right) with sensor location

243

244 The final stage of the monitoring research involved a visual check of the straw bale  
245 walls and the heating of the building to 25 °C for 12 hours half-way through the  
246 monitoring period (17<sup>th</sup> January to 18<sup>th</sup> January). The purpose of heating the building  
247 was to check for thermal bridging issues associated with the construction of straw bale  
248 walls.

249

250 **5. Monitoring results**

251 **5.1. RH/T within straw bale wall**

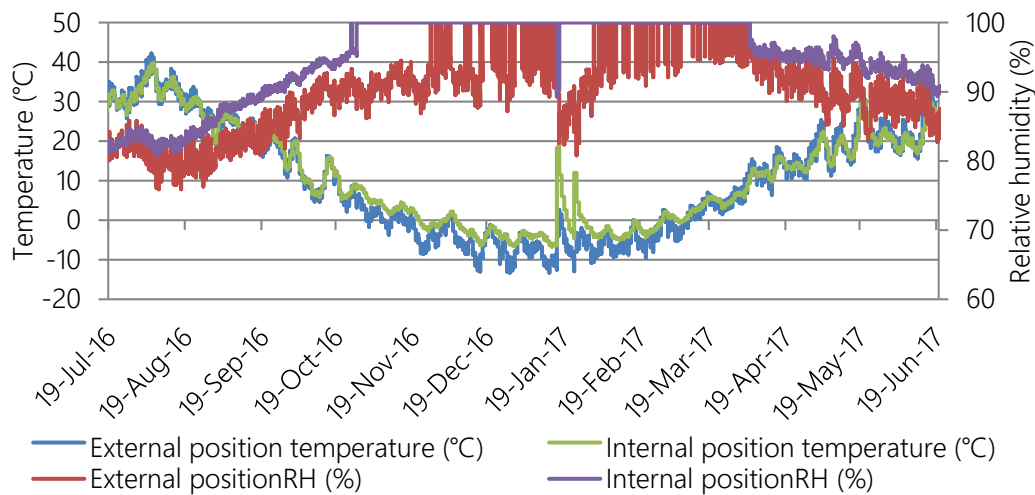
252 The data of all monitored locations are collected from 19<sup>th</sup> July 2016 to 19<sup>th</sup> June 2017.

253 Three positions were faulty. There were no data recorded from the low position of the  
254 north face on-edge stacking wall, southern high position of west gable-end wall and  
255 northern high position of west gable-end wall.

256

257 The monitoring data showed high RH at the beginning of the monitoring period. The  
258 RH readings remained at 100% RH on all faces of the experimental building over the  
259 winter period, reducing in spring-time. Typical trends of the monitoring data can be  
260 taken from the high position of north facing wall with laid flat bales (Figure 6).

261



263 Figure 6. Monitoring data of the high position of north facing wall with laid flat bales

264

265 The monitored temperature of the position increased initially and peaked around two  
266 weeks after the monitoring began. The highest monitored temperature is 44.8 °C at the  
267 position under the window of the south face of the on-edge stacking wall. The  
268 monitored temperatures remained consistently below freezing point from around the  
269 beginning of November and the monitored temperature stayed below 0 °C until March  
270 of the following year. The lowest monitored temperature is -14.7 °C and it appeared  
271 under the window position of the north face of the on-edge stacking wall on 29<sup>th</sup>  
272 December 2016. The onsite visit had an impact on the monitored data from 16<sup>th</sup>

273 January 2017 to 18<sup>th</sup> January 2017, when the internal space was heated to examine  
274 the building for thermal bridges. The temperature of all the monitoring positions  
275 increased above 0°C and the humidity levels of the positions decreased to around 80%  
276 between 16<sup>th</sup> January 2017 and 18<sup>th</sup> January 2017. These changes disappeared after  
277 19<sup>th</sup> January 2017.

278

279 The RH distributions through wall sections are different in north facing wall compared  
280 with the other three walls orientations. External sensors at the positions inside the north  
281 facing wall showed that the RH levels from the external sensor increased faster than  
282 internal positions and the RH levels were consistently higher than internal sensor  
283 locations. The RH distributions through wall section were the opposite inside the south  
284 facing walls, east gable-end wall and west gable-end wall.

285

286 There are two possible explanations for RH readings of 100%: Firstly, the sensors used  
287 lack sensitivity at very high RH levels, with the maximum reading jumping from 94% -  
288 95%RH to 100%RH with no intermediate readings. As a result, the displayed 100%  
289 RH reading could actually be somewhere in the range of 94% RH to 100% RH.  
290 Secondly, the 100% RH reading could also be affected by the freezing of condensation.  
291 The monitoring data in Figure 11 showed fluctuating results for the RH level from 95%  
292 to 100% from late November to early December. The fluctuation of RH was occurring  
293 at the same time as the temperatures were fluctuating around freezing point. The  
294 results of the fluctuating temperature around freezing point may induce the freezing of  
295 condensation within the straw bale walls. If ice is formed on the surface of the RH  
296 sensor, the sensor is unable to measure the RH of the atmosphere. Because the  
297 mechanism of RH sensor is to measure electric resistance between two sensor nodes,  
298 measuring pure ice will give a faulty result of 100%RH to the sensor. As a result, the  
299 real RH situation is overestimated.

300

301 A study carried out in a similar climatic region to this research also identifies similar  
302 problems when using electronic RH sensors during the first year of monitoring (15).

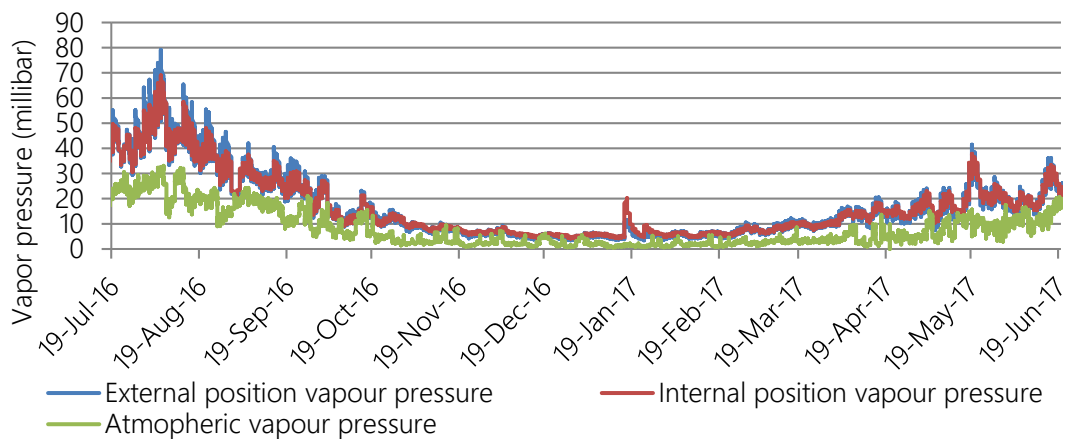
303 Considering high atmospheric RH (80%-90%) and low atmospheric temperature (-10°C  
304 to -20°C) in winter time in local area in this research, the use of electronic RH/T sensor  
305 may not function properly during winter time. Future research might benefit from the  
306 use of both calibrated wood stick probes (26) and electronic RH sensors to measure  
307 the RH levels within straw bale wall.

308

## 309 5.2. Actual water presence in monitoring positions

310 The monitored RH/T data is a guide to the hygrothermal environment within straw bale  
311 walls. However, it is difficult to predict either actual water content inside straw bale  
312 walls or vapor movement between straw bale walls and external environment. The  
313 vapour pressure of all monitored positions kept increasing to highest level two weeks  
314 after the beginning of the monitoring period. The highest vapour pressure levels were  
315 around 76-77 millibars and they appear in external sensor locations of low positions  
316 on south facing walls (Figure 7). Other than the low positions on south facing walls,  
317 the peak vapor pressures of the monitoring positions are all below 70 millibars. After  
318 initial increase of vapour pressure in all the monitoring positions, the vapour pressure  
319 levels decreased in the following months and rise again after January 2017.

320



322 Figure 7. Vapour pressure of sensor locations (down) within low position of the south  
323 face of on-edge stacking straw bale wall.

324

325 The initial decreases of vapour pressure data present a drying trend of the straw bale

326 walls. However, due to unreliable RH/T data from December to January, the actual  
327 vapour pressure data of all monitoring positions are not accurate during the period of  
328 time. A demonstration of the unreliable vapour pressure data is the significant increase  
329 of vapour pressure data during heating process during the on-site visit from 17<sup>th</sup>  
330 January 2017 to 19<sup>th</sup> January. At the end of the monitoring period, sensor locations  
331 within south wall have lowest vapour pressure data than the ones within other faces of  
332 wall.

333

## 334 **6. Analysis of the monitoring data**

### 335 **6.1. Building orientation**

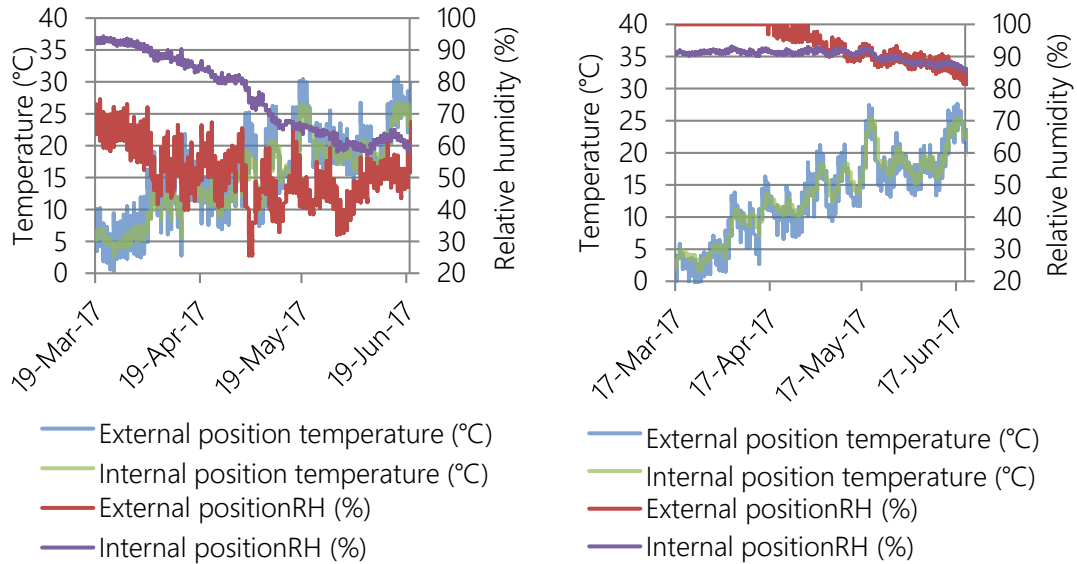
336 The monitoring data at different positions show that the building orientation has a  
337 marked impact on the RH distribution within different faces of straw bale walls. The  
338 monitoring positions recorded lower RH levels in the south facing walls than the  
339 positions in other wall elevations. The lowest recorded RH level is 26% at the low  
340 position of the south face of laid-flat stacking straw bale wall on 4<sup>th</sup> May 2017 (Figure  
341 9). The RH levels of the monitored location increase and fluctuate between 50% RH  
342 and 60% RH in June 2017. In comparison with the low position of north facing wall with  
343 the same infill stacking method of bales, the RH data fluctuate from 93% to 81%  
344 (Figure 8). The driving wind could speed up drying process of external render and  
345 results in lower RH levels of south facing walls than other facing walls.

346

347 The yearly data of wind direction and wind speed suggest that the wind is stronger and  
348 more rapid from south and south-west (27) than north and east of the building (Figure  
349 9). Due to the wind comes from south face of the building; the lowest wind velocity  
350 would be outside north face of walls. As a result, the north face of walls may not have  
351 sufficient driving wind to dry the lime rendering. Comparing to the north facing walls  
352 and the east gable wall, the north facing walls have slower sufficient driving wind for  
353 drying the walls due to the dominating wind in the winter time is northerly (28). During  
354 that time, the temperature is lower than freezing point and vapour is likely to become  
355 ice during the time. The wind may not significantly take vapour from north face walls.

356 As a result, the highest RH levels are maintained in the north face walls rather than  
 357 the east gable-end walls.

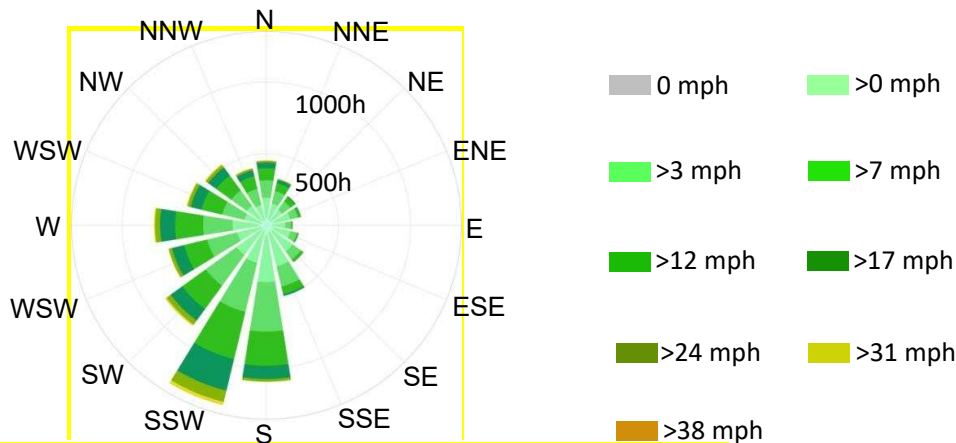
358



359

360 Figure 8. Monitoring data of the low position of the south facing wall with laid flat bales  
 361 (left) and the low position of the north facing wall with laid flat bales (right).

362



363

364 Figure 9. Annual wind intensity plot of Changchun. (27)

365

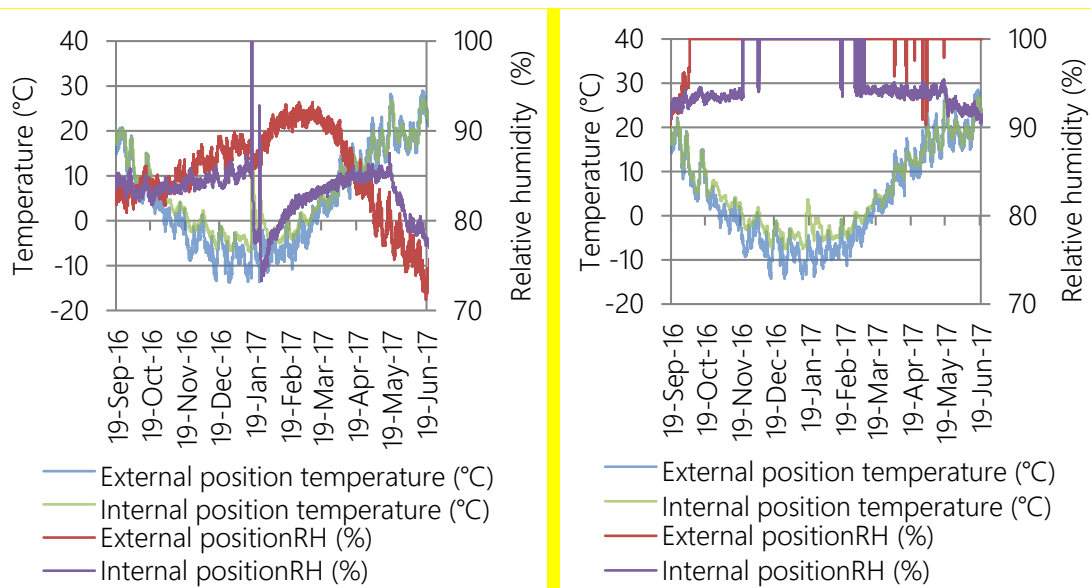
## 366 6.2. Effect of walling construction

367 The comparison of the monitoring data of low positions and under window positions of  
 368 the same piece of wall can justify the RH distribution at similar height with different  
 369 building constructions in the wall. To minimize the influence of solar radiation on the  
 370 monitoring data, the analysis focuses on the north facing wall. In comparison with the  
 371 monitoring data of the low position and the under window position of north facing wall



372 with laid flat bales, the RH reading of the low position are more than 10% of RH lower  
 373 than the under window position from 19<sup>th</sup>/September2016 during the monitoring  
 374 research (Figure 10). Due to the temperature of the two monitoring positions, the  
 375 monitoring data show less vapour pressure at the low position than the under window  
 376 position and therefore the straw bales contain less moisture around the low position  
 377 than the one around the under window position.

378



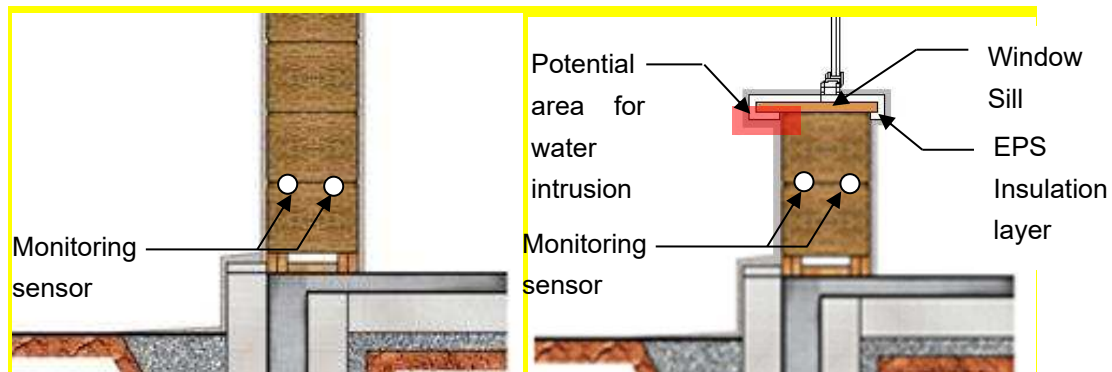
379

380 Figure 10. Monitoring RH/T data of low position (left) and under window position (right)  
 381 of north facing wall with laid flat bales.

382

383 The different walling construction detailing of the two monitoring positions may be the  
 384 reason of the different RH distribution during the monitoring period. The constructions  
 385 of the under window areas of straw bale walls connect window sill and the insulation  
 386 layers of the window sill and such constructions involve more detailing construction  
 387 than the straight walls (Figure 11). The increased construction detailing of walls  
 388 increase the potentials of issues of construction quality and leakage. The monitoring  
 389 data in this research show notable higher moisture content of the bales at the location  
 390 below window sills, care should be taken in improving quality control of the construction  
 391 in further construction. Further research would focus on the methods and detailing  
 392 designs for minimizing the issues of walling construction identified in this research.

393



394

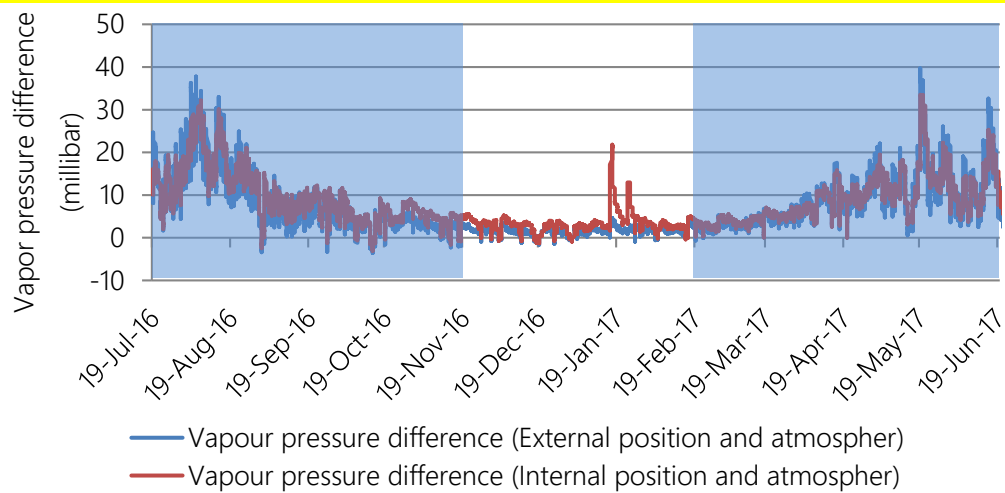
395 Figure 11. Walling construction of the straight walling (left) and the walling around  
396 window opening (right)

397

### 398 6.3. Drying trend of straw bale walls

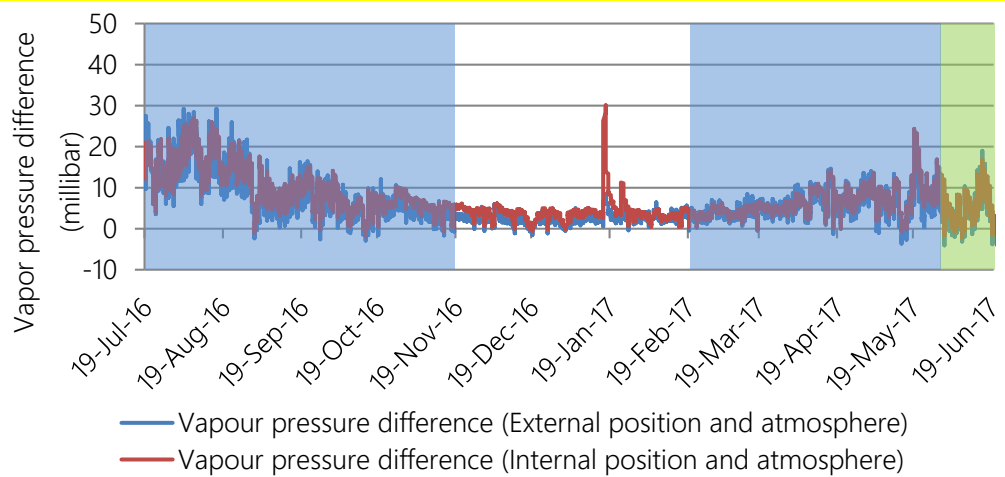
399 The vapour pressure difference between the monitoring positions and the atmosphere  
400 can describe the moisture movement trends between the straw bale walls and the  
401 atmosphere. Higher vapour pressure data of the monitoring positions than the  
402 atmosphere indicate that the straw bale walls release moisture into atmosphere at the  
403 data collecting point and vice versa. Fully dried straw bale walls will establish moisture  
404 exchange between straw bales and external atmosphere and the fluctuation of the  
405 vapour pressure difference is an indication of fully dried straw bale wall. Constant  
406 higher vapour pressure data of the monitoring position than of the atmosphere are  
407 highlighted in blue to indicate unfinished drying process; the fluctuations of the  
408 moisture difference around 0 are the sign of fully dried walls and the periods of time  
409 are labelled in green (Figure 12 & Figure 13 & Figure 14). Considering unreliable  
410 monitoring data during winter months in the monitoring period, the monitoring data are  
411 analysed in two period of time in this research. The first period begins at the beginning  
412 of the monitoring research and ends at 1:00 am 19th November 2016. The second  
413 period is from 1:00am 19th February to the end of the monitoring research.

414



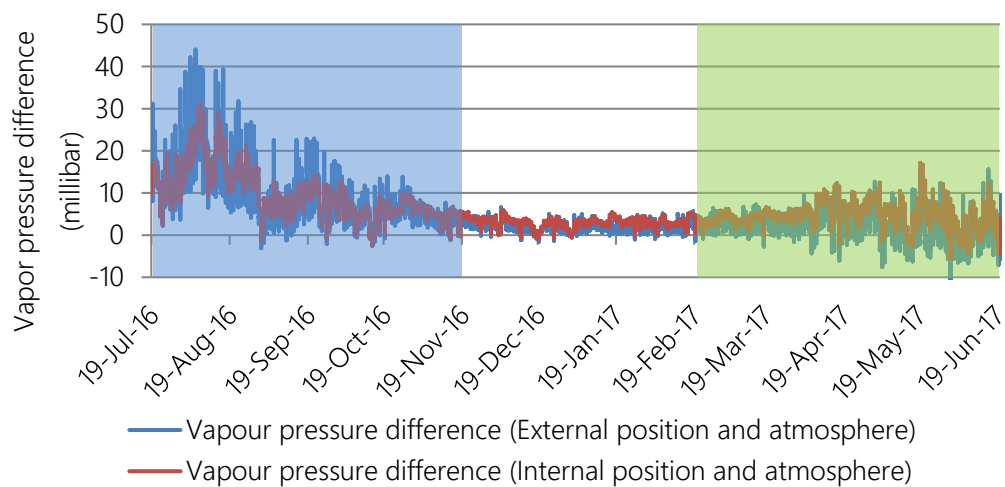
415  
 416  
 417  
 418

**Figure 12. Vapour pressure difference of the northern high position of the west gable wall**



419  
 420  
 421  
 422

**Figure 13. Vapour pressure of sensor locations of the low position of the south facing wall with laid on-edge bales**



423  
 424  
 425

**Figure 14. Vapour pressure of sensor locations of the low position of the south facing wall with laid flat bales**

426 The long drying process of the straw bale walls maps the continuously high RH data  
427 of the monitored positions. Vapour travels from straw bale walls to outer environment  
428 in the walls other than the south facing walls during the whole monitoring period. The  
429 drying trend of south facing walls is an effect of the higher southward annual wind  
430 intensity on the construction site. There are two period of dry months which are  
431 October and April annually in the local area. The higher southward wind intensity helps  
432 to drive moisture from the south facing straw bale walls during the dry months.

433

434 The comparison of the vapour pressure difference data of the laid-flat bale walls and  
435 the laid on-edge bale walls show that the method of bale stacking has notable impact  
436 on the drying process of the straw bale buildings in northern China. The south facing  
437 wall with laid-flat bales were complete dried after the first drying months and  
438 established moisture exchange with atmosphere after half year of the monitoring  
439 process. The south facing wall with laid on-edge bales experienced longer drying  
440 process than the laid-flat walls and it fully dried after April 2017.

441

442 Compared with on-edge stacking bale wall, the laid-flat bale wall have greater vapour  
443 pressure gradient between the exterior sensor location and the atmosphere than the  
444 one located in the same sensor location in the laid on-edge bales (Figure 18 & Figure  
445 19) during the first period of the analysis. Due to the drying process of the walling  
446 constructions is not finished during the period of time; the higher gradient indicates that  
447 the laid-flat bales adsorb more moisture from rendering construction than the laid on-  
448 edge bales. A hypothetical theory for explaining the situation is that the moisture  
449 adsorption and desorption process of straw bales is mainly through the cross section  
450 of straw. Therefore the straw bales with laid-flat stacking method adsorb more moisture  
451 than the laid-on edge bales during the drying process of rendering construction. For  
452 the same reason the laid-flat bales have quicker response to low air humidity levels in  
453 dry months and result in faster drying process of the laid-flat bales within the south  
454 facing walls. The hypothesis of adsorption and desorption process of straw need to be  
455 analysed in further research.

456

457 The vapour pressure difference data during the heating process suggest potential  
458 condensation within straw bale walls. During the heating process of the internal space,  
459 the monitoring RH/T changed significantly because of a much warmer internal space.  
460 The actual vapour pressure data of each monitored position are also increased  
461 significantly during the heating process. Since the straw bale walls are sealed by  
462 plaster layers, the increasing vapour pressure within straw bale walls is mostly from  
463 moisture inside straw bale walls. As a result, the monitoring RH data suggest the  
464 internal air is fully equilibrium with vapour, it is highly possible that the vapor became  
465 condensation and ice when temperature dropped down to 0°C at the end of October.  
466 The condensation would become ice when temperature drops below 0 °C and  
467 therefore the condensation would initiate degradation during winter time. However, the  
468 frozen ice will become liquid water when temperature rises again above the freezing  
469 point after March 2017. With presence of liquid water, straw degradation is likely to  
470 occur. The degradation conditions of straw need to be justified through visual inspect  
471 of the straw behind the rendering layer.

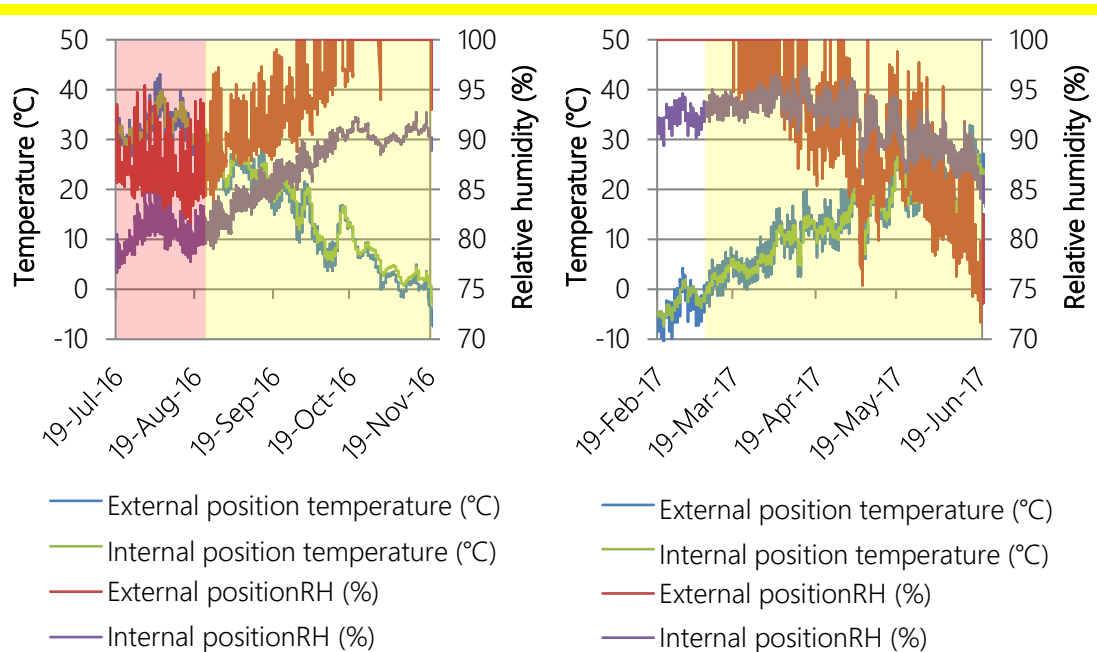
472

## 473 **7. Degradation Potential of straw bale**

### 474 **7.1. Analysing the Monitoring data**

475 The monitoring data are compared with the potential degradation level of RH (85%).  
476 As microorganisms cannot survive without presence of liquid water, the degradation  
477 potential is not examined when monitoring temperature is lower than freezing point in  
478 winter months (19). The RH levels are measured as being constantly higher than 85%  
479 within all straw bale walls during the monitoring period and therefore the period of  
480 potential degradation is determined by the periods when temperature remains  
481 consistently above 0°C. The yellow rectangle in Figure 15 shows the period of time for  
482 supporting straw degradation during the monitoring research. The straw within walls  
483 also experienced high temperature and high humidity situations (over 30°C and over  
484 85% RH) in which straw would experience serious degradation. The period of time is  
485 around 1.5 months and it is shown in red rectangle in Figure 15. Such long period of

486 hot humid environment within straw bale walls has been few reported in other research  
 487 papers. This period of time may have potential to lead to serious degradation of straw  
 488 bales within walls initially after the completion of the experimental straw bale building.  
 489



490  
 491 **Figure 15. RH/T data before winter months (left) and after winter months (right)**

492  
 493 **The high degradation potentials of the straw bale walls in this research are the effect**  
 494 **of high initial relative humidity and temperature and long drying process of rendering**  
 495 **construction. The experimental building was finished in mid-July when is the rainy**  
 496 **season in the local area. The high air humidity in the rainy season brings moisture into**  
 497 **the straw bales both during the stacking process and after completion of the building.**  
 498 **As the rendering constructions also introduce moisture into the straw bales in the walls,**  
 499 **the high initial RH levels are established in the experimental building in this research.**  
 500 **Because of slow drying process of the rendering construction, the high RH levels within**  
 501 **straw bale walls were trapped in the straw bales during the monitoring period.**

502  
 503 **The monitoring results of the experimental building show that the local climate has**  
 504 **significant impact on degradation potential of straw bale wall for the on-site**  
 505 **construction. Further construction process of straw bale buildings can benefit from**

506 brining the construction schedule forward to March and April in northern China. The  
507 dry months will accelerate drying process of the rendering construction and bring low  
508 levels of air humidity into straw bale walls.

509

## 510 7.2. On-site visit

511 Based on the monitoring results, the straw bale walls of the experimental building have  
512 high risk of degradation. A visual examination of the experimental building was  
513 conducted to verify the potential. The examination involved two separate site visits to  
514 the experimental building. The first site visit involved heating the building to 25°C and  
515 taking infrared images to examine potential thermal bridging of the straw bale walls.  
516 The second site visit focused on a visual check of the straw condition inside the walls.

517

518 During both on-site visits to the experimental straw bale building, the rendering  
519 construction straw bale walls were found to be in good condition. Comparing with the  
520 condition after construction, there is no notable change to the walls. The lime render  
521 withstood low winter temperature of the area and there is no noticeable cracking after  
522 the initial drying process of the outer layer of the lime render. A comparison of the lime  
523 render in this research and the cement render in the ADRA project demonstrates that  
524 the lime render would be a more suitable rendering material (Figure 16).

525



526

527 Figure 16. The lime render in this research during the first site visit

528

529 The infrared image of the straw bale buildings also suggests that there is no significant  
530 thermal bridging through the straw bale walls (Figure 17). The surface temperature of

531 the gable end wall with infill straw bale has lower surface temperature than the PVC  
532 insulated columns. If the straw had undergone serious degradation before the onsite  
533 visit, the thermal image would present thermal bridging caused by hollows within the  
534 walls. The thermal bridging free straw bale wall suggests that the straw within the walls  
535 remained in good condition and there is no significant degradation within the walls.  
536



537  
538 Figure 17. Thermal image of south wall (left) and west gable end wall (right).

539  
540 The straw within walls was also examined through the 'truth' window on internal surface  
541 of the north facing wall with laid flat straw bales. The truth window was located a central  
542 point on the internal surface wall. Despite high degradation potential which was  
543 expected from the monitoring data, the straw can be seen to be in good condition. The  
544 colour of straw stayed unchanged during the site visit and there was no notable sign  
545 of straw degradation of the straw behind the truth window. The straw condition behind  
546 truth window suggests that straw had not experienced serious degradation in the way  
547 that the monitoring data would imply.

548  
549 To examine the straw condition behind rendering layer, several positions of rendering  
550 layer were removed to expose the straw inside the bale walls (Figure 18). There was  
551 found to be limited degradation of straw at the interface between straw bales and  
552 external rendering. Decolourization appears 1-2 cm deep into the straw. Straw remain  
553 golden colour inside the walls. The site visit confirms that the straw is in good condition  
554 in the straw bale walls. The degradation only appears on the interface between straw  
555 bales and the rendering layer. The degradation may be associated with the long drying



556 process of the straw bale walls and the resultant condensation at the interface between  
557 straw bale and rendering.

558



559

560 Figure 18. Opening of external render (left) and straw adjacent rendering layer (right)

561

562 Despite the high degradation risk of the straw in the experimental building, the twice  
563 on-site visit of the experimental building show that the straw experienced limit levels of  
564 degradation during the one year monitoring research. There are three possible  
565 explanations for the results in this research:

566

567 • Firstly, there is a subtle difference between the moisture content of straw bales  
568 within walls and the moisture content of the surrounding environment of the straw  
569 bales. Due to lag of adsorption process of straw from surrounding environment,  
570 the critical relative humidity levels may be underestimated estimated in this  
571 research.

572

573 • In the second, existing prediction for straw degradation are based on the research  
574 on wheat straw rather than rice straw applied in this research. The rice straw is  
575 considered a more durable type of straw than the rice straw empirically (1). As a  
576 result, the rice straw would be able to withstand the environment for supporting  
577 degradation of wheat straw.

578

579 • Thirdly, the adsorption isothermal model for predicting the moisture content

580 assumes a steady diurnal variation, whereas in reality diurnal variations are  
581 irregular and can vary quite rapidly. Existing adsorption isothermal model is based  
582 on saturated adsorption of straw which is not based on rapid random diurnal  
583 variations of relative humidity and therefore the critical RH for straw degradation  
584 is unlikely to be achieved as rapidly as the model would predict due to the natural  
585 lag in adsorption kinetics. For this reason moisture content levels predicted are  
586 likely to be overestimated in reality when it comes to the analysis of the  
587 degradation potentials of straw in the experimental building.

588

589 To verify the degradation potential of straw bale buildings in northern China, further  
590 research would focus on prediction of degradation situation for rice straw and modified  
591 adsorption isothermal model of straw based on real life situations.

592

## 593 **8. Conclusion**

594 This paper presents construction of a novel straw bale building in northern China and  
595 analysis of its performance following monitoring for a year. The construction is  
596 validated by monitoring RH/T levels within straw bale walls and visual checking of  
597 straw status at the end of the monitoring period. The construction involves three  
598 modifications which are based on the ADRA project in the same region. The monitoring  
599 research achieved understanding of the hygrothermal environment within straw bales  
600 in the straw bale building in local area.

601

602 They key conclusions that can be drawn from this study are:

603

604 • Detailing designs used in this research have been shown to be appropriate for  
605 the straw bale buildings in the local climatic area. This design presented none  
606 of the problems identified in the ADRA buildings. The straw bale walls remained  
607 good condition throughout the period of the study.

608

609 • The electronic RH/T sensors were shown to be problematic in monitoring

610 conditions at the low temperatures experienced in this study. The mechanisms  
611 employed by the sensors do not appear to be appropriate for the provision of  
612 accurate monitoring data in conditions below 0°C and with high humidity levels.  
613 The use of wood stick sensors might provide more reliable data in such  
614 conditions.

615

616 • Straw is resilient at low temperatures but care needs to be taken in periods  
617 where temperature fluctuates around freezing point. There is a high potential  
618 for condensation within the straw bale walls during their first year of use whilst  
619 they are still drying out.

620

621 • There is a low degradation potential for rice straw bale construction in the  
622 climate of northern China. The straw was found to be in good condition within  
623 the walls in spite of the high temperature and high humidity environment  
624 prevalent in the local area.

625

626 • Existing predicting methods for straw degradation may overestimate the  
627 degradation potential of straw bale buildings in northern China. The straw bale  
628 buildings constructed by rice straw bales are more durable than the existing  
629 estimation of straw degradation.

630

631 The main reason for bringing this method of construction to NE China is to reduce the  
632 use of conventional building materials by using straw, which is an agricultural bi-  
633 product. This is particularly effective in the region given its harsh climate and this can  
634 help deliver the Chinese government's energy reduction target since this technique  
635 can save up to 60% of the heating energy requirement compared to conventional  
636 construction used in the area.

637

638

639

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641

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