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An improved k -ω turbulence model for the simulations of the wind turbine wakes in a neutral atmospheric boundary layer flow

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4 Ioannis Bouras, Lin Ma, Derek Ingham, Mohamed Pourkashanian

5 Energy 2050, Faculty of Engineering, The University of Sheffield, S10 2TN, England

6 Abstract

7 Correct prediction of the recovery of wind turbine wakes in terms of the wind velocity and turbulence 8 downstream of the turbine is of paramount importance for the accurate simulations of turbine 9 interactions, overall wind farm energy output and the impact to the facilities downstream of the wind 10 farm. Conventional turbulence models often result in an unrealistic recovery of the wind velocity and turbulence downstream of the turbine. In this paper, a modified k – ω turbulence model has been 11 12 proposed together with conditions for achieving a zero streamwise gradient for all the fluid flow variables in neutral atmospheric flows. The new model has been implemented in the simulation of the 13 14 wakes of two different wind turbines and the commonly used actuator disk model has been employed 15 to represent the turbine rotors. The model has been tested for different wind speeds and turbulence 16 levels. The comparison of the computational results shows good agreement with the available 17 experimental data, in both near and far wake regions for all the modeled wind turbines. A zero 18 streamwise gradient has been maintained in the far wake region in terms of both wind speed and 19 turbulence quantities.

20 **1. Introduction**

Large Eddy Simulation (LES), with the advances in computational power, is being more and more popular and is employed mainly in academia. Many researchers such as Goodfriend et al. (2015), Porte – Agel et al. (2011), Churchfield et al. (2012) have employed LES to simulate successfully the neutral atmospheric boundary layer as well as the wind turbine wakes. However, despite the enormous advances in Computational Fluid Dynamics (CFD) techniques in recent years, RANS simulations still dominate the simulations in many engineering applications, especially in industry.

27 Accurate simulations of the atmospheric boundary layer (ABL) flows is still a challenge, in particular 28 when the focus is on the flow over manmade structures such as wind turbines, where large differences 29 in the length scales are considered. The difficulty in simulating a homogeneous ABL with RANS has been 30 widely reported (Richards and Hoxey, 1993; Blocken et al., 2007; Franke et al., 2007; Hargreaves and 31 Wright, 2007; Yang et al., 2009; O'Sullivan et al. 2011; Yan et al. 2016). Since the ABL can be as high as 32 1km and there is no boundary in the streamwise and spanwise directions, in the computational 33 modeling of the flow over a structure, e.g. a wind turbine, reasonable distances from the region of 34 interest have to be taken in order to reduce the computational time and efforts, and assumptions in the

35 conditions at the boundaries of the computational domain have to be made which can be inconsistent 36 with the physics of the ABL flow. As a result, when the RANS approach is employed with conventional 37 turbulence models, undesirable streamwise gradients of the primitive variables and turbulence 38 quantities occur primarily due to the inconsistences in the turbulence model with the boundary 39 conditions employed.

40 In order to satisfy the flow conditions of a neutrally stratified ABL, the upstream and downstream 41 boundaries of the computational domain should be assumed to have the same flow characteristics 42 regarding the ground roughness and friction velocity, so that the ABL is fully developed at the 43 downstream boundary and consistent with the prescribed inlet flow conditions. Any streamwise 44 gradient of any variable is undesirable when compared to the flow conditions at the upstream and 45 downstream boundaries. For the upper boundary of the computational domain, since the wind flow is 46 driven by geostrophic winds, the imposition of a zero stress boundary condition at the upper boundary 47 of the solution computational domain is not, theoretically, an appropriate choice.

48 Richards and Hoxey (1993) proposed a shear stress boundary condition together with a set of inlet flow 49 profiles and they successfully simulated the neutral ABL without any undesirable streamwise gradients 50 in their solutions. Their model is mathematically consistent, and the implementation of this model in the 51 commercial CFD software ANSYS CFX and FLUENT by Hargreaves and Wright (2007) was successful in 52 achieving a zero streamwise gradient by slightly modifying the standard grain sand rough wall function 53 and the inclusion of a momentum source on the upper layer of cells of the computational domain. 54 Furthermore, Blocken et al. (2007) have suggested 4 basic requirements for the homogeneity of the ABL 55 and proposed some remedial measures to mitigate the problem with the inconsistency of the inlet 56 profiles with the wall functions employed in the commercial CFD software FLUENT and CFX. Also, they 57 used essentially a Dirichlet boundary condition at the upper boundary of the solution domain by directly 58 specifying the values of the velocity and turbulence. This method recovers, to some extent, the desirable 59 profiles of the velocity and turbulence quantities but it has the drawback that it does not allow mass to enter or exit the upper boundary (O'Sullivan et al., 2011) which is not ideal. Yang et al. (2009) used a 60 61 dissipating profile for the turbulent kinetic energy with the height based on laboratory experimental 62 data and they implemented them in the commercial CFD software FLUENT and their computational 63 results have shown good agreement with their experimental data. Parente et al. (2011) modified the 64 standard k – ε turbulence model by adding source terms for the turbulent kinetic energy and turbulent 65 dissipation rate to allow flexibility on the imposed profiles as in the Richards and Hoxey approach of a 66 steady value for the turbulent kinetic energy was a rough approximation of the neutral ABL (Richards 67 and Norris, 2015). O'Sullivan et al. (2011) performed an error analysis on the profiles of the velocity 68 magnitude, turbulent kinetic energy and eddy dissipation rate which are produced by the inconsistent 69 boundary conditions employed and they proposed an extension to the shear stress boundary condition 70 on the upper boundary of the domain based on the profiles for turbulent kinetic energy and eddy 71 dissipation rate generated by Yang et al. (2009). Their results showed improvement by minimizing any 72 streamwise gradients for both Yang et al. (2009) and Richards and Hoxey (1993) profiles and proven that 73 regardless of the type of the boundary condition at the upper boundary the increased height of the 74 computational domain can decrease the errors.

The importance of accurate predictions of the homogeneous ABL is related to with various applications, such as pollutant dispersion and meteorological models (Mokhtarzadeh et al., 2012, Juretic and Kozmar, 2013). By summarizing various papers, Tominaga et al. (2008) made some recommendations for the simulations of flows around buildings regarding the inlet conditions, the turbulence models, the boundary conditions, as well as the appropriate domain size, while the type of the zero streamwise gradient condition does not appear to play any role due to the strong velocity gradients and consequently, high turbulence generation.

82 Research on achieving the streamwise gradient condition for the simulations of the wind turbine wakes 83 has not been fully investigated. The importance of the zero streamwise gradient condition, along with 84 the correct recovery in the very far wake region, for the simulation of the wind farms, is of paramount 85 importance. This is because the velocity and turbulence of the first turbine become the inlet for the 86 turbines at the rear of the first turbine. Consequently, failure in achieving the streamwise gradient 87 condition, depending on the consistency of the employed model with the inlet values and boundary 88 conditions, may have disastrous consequences in the predicted power output of the wind farm as well 89 as in the structural damage of the wind turbines.

90 There are many researchers who have noticed the problems of modeling flow and turbulence behind 91 the wind turbines. Prospathopoulos et al. (2010) modeled 2 wind farms, one on a flat terrain and 92 another on a complex terrain for various wind directions, in neutral atmospheric conditions, using the 93 actuator disk approach. They applied the k – ω turbulence model with the Boussinesg eddy viscosity 94 assumption, as well as another definition of the eddy viscosity, which is based on the Durbin correction 95 (1996), to show the differences in the power production with the conventional and the modified 96 definition of the eddy viscosity for both types of terrain. Cabezon et al. (2010) simulated a 43 wind 97 turbine wind farm on a complex terrain with the wake model CFDWake 1.0 in order to validate and 98 compare their results with the available experimental data. Makridis and Chick (2013) used the 99 guidelines of Blocken et al. (2007) to simulate a wind turbine with the actuator disk model over a 100 complex terrain as well as a small coastal wind farm and compared their results with experimental data. 101 They used the commercial CFD software FLUENT and in order to take into account the anisotropy of the 102 atmospheric turbulence, they used the RSM model. Castellani and Vignaroli (2013) also applied the 103 actuator disk technique for a small wind turbine using the CFD code Phoenics and the comparison of 104 their results with the available experimental data was generally good, however, no discussion was 105 presented on the zero streamwise gradient condition. Simisiroglou et al. (2016) modeled various large 106 horizontal axis wind turbines using the commercial CFD software PHOENICS. They made a few 107 parametric studies based on the convergence criteria, the turbulence model, the grid resolution and the 108 actuator disk thickness. They validated their results with the thrust and power curve for one of the 109 turbines they used. However, in the absence of experimental data for the wake region, they used results 110 from large eddy simulations for validation. Similarly to Makridis and Chick (2013), Nedjari et al. (2017) 111 examined the actuator disk model with the standard k – ε model on a flat and a complex terrain and 112 validated their results with experimental data. The validation of the model with experimental data was 113 very good in the near or far wake region, however in the very far wake region the normalized velocity 114 appears to recover to approximately 85% of the inlet velocity and remains at this value until the outlet.

Also, no results for the turbulent kinetic energy were shown. It is characteristic that none of the above

researchers performed any simulations of an empty domain in order to show the changes of their inlet

117 conditions on the velocity, turbulent kinetic energy and eddy dissipation rate within the domain.

118 Many researchers, such as Kasmi and Masson (2008) and Simisiroglou et al. (2016) have shown that 2 119 equation turbulence models fail to predict the velocity and turbulence quantities in the near or the far 120 wake regions of the wind turbine. Kasmi and Masson (2008) proposed a remedy to this problem by 121 adding a source term in the region of the turbine in the equation for the eddy dissipation of the 122 standard k – ε model, based on the work done by Chen and Kim (1987). Their proposed model showed 123 significant improvement in predicting the velocity downstream of the turbine over the standard k – ε 124 model when comparing their results with experimental data for 3 wind turbines, however, no 125 quantification of their results has been reported. Recently, El – Askary et al. (2017) have implemented 126 Kasmi and Masson (2008) model and achieved some improvement of the results when compared to 127 experimental results. This can partially be explained by the fact that Kasmi and Mason (2008) have also 128 included the nacelle in their simulations while El – Askary et al. (2017) have not included it. Also, Kasmi 129 and Masson (2008) added 2 extra terms in the transport equations of the k – ε equation while El – 130 Askary et al. (2017) have not used them. However, these 2 extra terms in the transport equations of the 131 $k - \varepsilon$ model violate the zero streamwise gradient condition. Finally, Kasmi and Masson (2008) simulated 132 3 different wind turbines but with the same relative inlet turbulence levels, and therefore it is unknown 133 how their model will perform for different relative inlet turbulence levels.

134 The standard k – ε model has the theoretical advantage of being suitable for free shear fully turbulent 135 flows, which is the case for this application, so it is the most obvious model to use. However, one of its 136 most important weaknesses is its lack of sensitivity to adverse pressure gradients (Menter, 1994). On 137 the other hand, the standard k – ω model is suitable for wall bounded flows and for flows where adverse 138 pressure gradients occur. Although there are no strong adverse pressure gradients involved for the wind 139 turbine wakes, there is a small increase in the pressure upstream and downstream of the turbine at the 140 hub – height, a fact which makes the standard k – ω model, theoretically, the optimal solution for this 141 application. Finally, the modification of Chen and Kim (1987), which is employed around the wind 142 turbine in the Kasmi and Masson (2008) model, is highly dependent on the relative turbulent kinetic 143 energy of the field in the standard k – ε model, while in the standard k – ω model is independent. Details 144 are presented later in theory section.

145 In this paper, the 3D Reynolds Averaged Navier – Stokes equations are solved with the standard k – ω 146 turbulence model to examine an empty domain for a neutrally stratified atmospheric boundary layer. An 147 equation for the zero streamwise gradient condition is proposed by solving the transport equations for 148 the standard k – ω model, and simulations have been performed for various turbulence levels. 149 Validation of the results is based on theoretical values for a neutral atmosphere proposed by Richards 150 and Hoxey (1993). Then, the model is applied to the simulations of wind turbine wakes with a small 151 modification in the transport equation of the specific dissipation rate based on the work performed by 152 Chen and Kim (1987) in the region around the wind turbine. The rotor of the wind turbine is modeled 153 using the actuator disk approach based on the blade element theory and 2 small wind turbines are 154 simulated for various inlet velocity and turbulence levels. The model performs well in both near and far

wake regions and the properties of the neutral atmosphere are recovered to the undisturbed inlet conditions far away downstream of the wind turbine. The simulations were performed with the commercial CFD software FLUENT and the grid generation in the software ICEM.

158 2. Modifications to the standard k – ω model

For a neutral atmospheric boundary layer flow, the following assumptions can be made for a flat empty computational domain, see Richards and Hoxey (1993):

- 161 (a) The vertical velocity is zero throughout the domain
- 162 (b) The pressure is constant throughout the domain
- (c) The shear stress is constant throughout the domain, being independent of the height and it isgiven by:

$$\tau_0 = \rho u_*^2 \tag{1}$$

165

166 where ρ is the density of the air, which is considered as a constant throughout the atmospheric 167 boundary layer and u_* is the friction velocity.

168 The profiles for the velocity, turbulent kinetic energy and eddy dissipation rate, respectively are as 169 follows:

$$U_{(y)} = \frac{u_*}{\kappa} ln\left(\frac{y+y_0}{y_0}\right) \tag{2}$$

170

$$k = \frac{u_*^2}{\sqrt{C_\mu}} \tag{3}$$

171

$$\varepsilon_{(y)} = \frac{u_*^3}{\kappa(y+y_0)} \tag{4}$$

172

where $U_{(y)}$ and $\varepsilon_{(y)}$ is the velocity magnitude and the eddy dissipation rate, respectively, as a function of the height, y_0 is the roughness length of the ground and κ is the von Karman constant. k is the turbulent kinetic energy.

The assumption of a constant value of the turbulent kinetic energy throughout the domain has been criticized by some researchers, such as Yang et al. (2009), Parente et al. (2011) and Richards and Norris (2015). However, the turbulent kinetic energy appears to have an almost steady value for the first 100 meters within the ABL (Juretic and Kozmar, 2013), and it dissipates further away with the height and reaches a value of approximately 5% of the value that it has close to the ground at the height of the ABL (Allaerts and Mayers, 2015). Also, most researchers, such as Kasmi and Mason (2008), Prospathopoulos et al. (2010), Cabezon et al. (2010), Makridis and Chick (2013) and Simisiroglou et al. (2016) used a steady value for the turbulent kinetic energy at the inlet of the domains in order to simulate the wake region around a wind turbine with the actuator disk model. The assumption of a constant value of the turbulent kinetic energy is a good approximation for the simulations of small wind turbine wakes since, in many cases, for economic issues, experimental data are measured only at the hub – height at various locations upstream or downstream of the turbine, although, as explained earlier, it is not consistent with

- 187 locations upstream of downstream of the turbine, although, as explained
- 188 the neutral ABL.

189 Richards and Hoxey (1993) discovered a condition for the standard $k - \varepsilon$ model that satisfies the 190 equations (2) – (4). In a similar way, a condition for the elimination of the streamwise gradients for any 191 variable in the standard $k - \omega$ (Wilcox, 1988) model can be found.

192 The formulation of the standard k – ω model (Wilcox, 1988) is given as follows (see FLUENT Theory 193 Guide (2011)):

$$\frac{\partial}{\partial t}(\rho k) + \frac{\partial}{\partial x_i}(\rho k u_i) = \frac{\partial}{\partial x_i} \left[\left(\mu + \frac{\mu_t}{\sigma_k} \right) \frac{\partial k}{\partial x_i} \right] + G_k - Y_k + S_k$$
(5)

194

$$\frac{\partial}{\partial t}(\rho\omega) + \frac{\partial}{\partial x_i}(\rho\omega u_i) = \frac{\partial}{\partial x_i} \left[\left(\mu + \frac{\mu_t}{\sigma_\omega} \right) \frac{\partial \omega}{\partial x_i} \right] + G_\omega - Y_\omega + S_\omega$$
(6)

195

196 The eddy viscosity is defined as:

$$\mu_t = a^* \frac{\rho k}{\omega} \tag{7}$$

197

198 where

$$a^* = a^*_{\infty} \begin{pmatrix} \frac{\beta_i}{3} + \frac{\rho k}{\mu \omega} \\ \frac{\rho k}{1 + \frac{\mu \omega}{6}} \end{pmatrix}$$
(8)

199

The equations (5) and (6), on taking into account the fact that the flow in an empty domain is essentially one dimensional and time independent, there are no buoyancy or compressibility effects, and the turbulent kinetic energy is constant for any direction within the domain, may be simplified as follows:

$$0 = G_k - Y_k \tag{9}$$

$$0 = \frac{\partial}{\partial y} \left[\left(\mu_l + \frac{\mu_t}{\sigma_k} \right) \frac{\partial \omega}{\partial y} \right] + G_\omega - Y_\omega$$
(10)

Finally, the connection between the eddy dissipation rate and the specific dissipation rate (or eddy frequency) is given by (Wilcox, 1988):

$$\omega = \frac{\varepsilon}{k\beta_{\infty}^*} \tag{11}$$

207

By making some mathematical calculations, it can be easily concluded that the equations (2) – (4) satisfy
 automatically the equation (5) but satisfy the equation (6) only if the following expression is satisfied:

$$\frac{1}{\sigma_{\omega}\sqrt{\beta_{\infty}^{*}}} + \frac{1}{\kappa^{2}} = \frac{\beta_{i}}{\beta_{\infty}^{*}\kappa^{2}}$$
(12)

210

Therefore, to achieve a zero streamwise gradient, equation (12) must be satisfied and it is independent of the friction velocity, the height of the domain or the roughness of the ground, in a similar way as that is employed in the standard k – ε model (Richards and Hoxey, 1993).

The constant β_{∞}^* is defined by the existent turbulence levels in the field (equation (3)). Instead of the coefficient C_{μ} that the standard k – ε model uses, the turbulence levels for the standard k – ω model are defined by:

$$k = \frac{u_*^2}{\sqrt{\beta_\infty^*}} \tag{13}$$

217

218 Consequently, for specific turbulence levels, which are defined by the coefficient β_{∞}^* , the constants σ_{ω} 219 and β_i have to be chosen accordingly in order to satisfy the expression (12) in order to avoid streamwise

220 gradients for any variable within the solution domain.

221 Finally, the following consideration was taken in order to conclude to the expression (12):

 $\mu_l \ll \mu_t \tag{14}$

222

i.e. the laminar viscosity was omitted from the transport equations for turbulent kinetic energy and
 specific dissipation rate. The error in using this simplification is expected to be negligible since the flow
 is highly turbulent.

As discussed previously, since 2 equation turbulence models fail to predict the velocity and turbulence quantities in the near or the far wake regions of the turbine, Kasmi and Masson (2008) proposed a remedy to this problem by adding a source term in the vicinity of the turbine in the equation for the eddy dissipation in the standard $k - \varepsilon$ model, and this is based on the work performed by Chen and Kim (1987). This source term is described by the following formula:

$$S_{\varepsilon} = C_{\varepsilon 4} \frac{G_k}{\rho k} \tag{15}$$

232 The coefficient $C_{\varepsilon 4}$ was set at the default value of 0.25. The main idea behind this source term is the fact 233 that the equation for the eddy dissipation rate for the family of the $k - \epsilon$ models is empirical, and 234 therefore there are many applications that the standard k – ε model fails to accurately predict the flow 235 (e.g. the backward facing step, swirling flow problems etc.) and gives highly diffusive results. Therefore a 236 second time scale (equation (15)) is added to the eddy dissipation equation to represent the energy 237 transfer from the large to the small scales more effectively. In particular, the energy transfer from the 238 large scales to the small ones is controlled by the production range scale and the dissipation rate time 239 scale (Chen and Kim, 1987). Consequently, Chen and Kim (1987) added a second time scale in the eddy 240 dissipation equation of the standard $k - \varepsilon$ model and they found a significant improvement for a wide 241 range of engineering applications.

Although this term was designated to be used in the family of $k - \varepsilon$ models, it appears that it improves the results in the standard $k - \omega$ model as will be shown later. As Kasmi and Masson (2008) showed that the standard $k - \varepsilon$ model overestimates the turbulent kinetic energy for the wind turbine wakes, the same applies for the standard $k - \omega$ model. This may be explained by the fact that Wilcox (2006) used a slightly different version of his initial $k - \omega$ model by adding a cross diffusion term in the specific dissipation rate equation along with a stress limiter modification to the definition of the eddy viscosity, as many researchers have shown improved results of this version.

The most important theoretical advantage of the k – ω model, in relation to the k – ε model, is that it does not include any constant in the definition of the eddy viscosity. In fact, in the standard k – ε model the production term (G_k) that is included in equation (15) includes the eddy viscosity which depends highly on the constant C_{μ} which defines the turbulence levels of the field. However, in the k – ω model there is no C_{μ} constant (or β_{∞}^* as the turbulent kinetic energy in the family of k – ω models is defined by the coefficient β_{∞}^* in the neutral atmosphere as described earlier) so the model is independent of the relative to the velocity turbulent kinetic energy.

256 **3. Examination of the empty domain**

257 In order to validate the modified k – ω model and check if the zero streamwise gradient of the fluid flow 258 properties can be maintained, simulations have been performed for an ABL flow throughout an empty 259 domain. The dimensions of the computational domain employed are 10,000m, 405m and 50m in the x,y 260 and z directions, respectively. The y direction refers to the height of the domain from the ground. The 261 10km length of the domain has been selected in order to make sure that the flow will be fully developed 262 within this long domain while the 405m height has been selected because it is considered as an 263 adequate height for the simulation of any small or medium size wind turbine. Finally, a very short 264 distance in the spanwise direction was selected because there are no gradients for any variable in this 265 direction. A velocity inlet boundary condition was imposed at the inlet of the domain based on the equations (2) – (4). The friction velocity of the wind flow is $u_* = 0.46m/s$ and the roughness length is 266

267 0.05m, which is valid for a relatively low roughness terrain. A value of $\beta_{\infty}^* = 0.033$ is used to define the 268 turbulence levels at the inlet of the domain based on Panofsky and Dutton (1984) as well as other 269 researchers, such as Makridis and Chick (2013) and Kasmi and Masson (2008). Regarding the rest 270 boundary conditions, a pressure outlet boundary was imposed at the outlet, a symmetry (or zero 271 gradients) at the lateral sides of the domain and a Dirichlet boundary condition at the upper boundary 272 based on the equations (2) – (4).

273 The third order MUSCL scheme was used for the discretization of the momentum equations and the 274 second – order upwind scheme for the transport equations for the turbulent kinetic energy and specific 275 dissipation rate and the SIMPLE algorithm was implemented for the pressure velocity coupling, while the convergence criteria were set to 10^{-7} for all the equations and this was found to be small enough to 276 obtain graphically indistinguishable results. Mass imbalance has also been checked to make sure that all 277 278 simulations have converged. Finally, regarding the grid resolution, 3 different grid sizes have been 279 employed consisting of approximately 200,000, 600,000 and 1,800,000 elements. The numerical grids 280 were fully structured and the refinement of the grid has been equally done in all directions.



281

(a)



Figure 1: Comparison of (a) velocity, (b) turbulent kinetic energy, and (c) specific dissipation rate between the inlet
 and outlet in a 10km domain for 3 different grid sizes.

Figure 1 compares the solutions for the velocity, turbulent kinetic energy and specific dissipation rate, respectively, for an empty domain at the inlet and outlet of the domain with various different grid sizes. 288 Due to the rapid change of the eddy frequency with the height, the logarithmic scale is used in Figure 1 289 (c), as well as in the contour map in Figure 2 (c). An error analysis showed that the difference between 290 the inlet and outlet for the turbulent kinetic energy is approximately 2% on the ground, for any grid size 291 and it decreases with the height. Figure 2 illustrates contour maps of the velocity, turbulent kinetic 292 energy and eddy frequency to show the development of these variables within the domain. The height 293 of the domain was scaled up 4 times due to its initial small perpendicular to the ground direction, in 294 relation to the length of the domain. A similar situation exists for the eddy frequency where the error 295 appears to reach an error of approximately 4% close to the ground and it becomes gradually smaller 296 with the height. Finally, regarding the velocity, it appears to have an error of approximately 2% close to 297 the ground but it becomes less than 1% within the first 10m from the ground. There are 2 reasons for 298 the errors close to the ground for any variable. The first reason is due to the wall formulation which is 299 not consistent with the profiles of the equations (2) - (4) and it appears that the calculation of the 300 turbulence quantities is a function of the friction velocity (Ansys FLUENT, 2011). Another reason is 301 attributed to the assumption of the negligence of the laminar viscosity (equation (14)) which is not valid 302 on the ground. However, the differences are in general small, and it can be concluded that the velocity, 303 turbulent kinetic energy and specific dissipation rate are maintained from the inlet to the outlet of the 304 domain with a good accuracy. Moreover, parametric studies based on the friction velocity from 0.4 – 305 0.62 m/s and turbulence levels for values of β_{∞}^* from 0.033 to 0.1 showed small dependence and the 306 comparison of the results with the theoretical values based on the equations (2) - (4) was similar to the 307 ones present in Figure 1. The small errors far away from the ground are attributed to the simplifications 308 that have been made in theory, numerical and convergence issues. Finally, the results show negligible 309 sensitivity to the grid size and this is due to the simplicity of the geometry. In particular, the maximum 310 differences between the coarse and medium sized grid for the velocity, turbulent kinetic energy and eddy frequency were 0.12%, 0.16% and 0.72%, while the maximum differences in the same variables 311 312 between the medium sized grid and fine grid were 0.06%, 0.11% and 0.57%, respectively, and 313 consequently the numerical grid consisting of 600,000 elements has been used.









319 Most researchers who studied the characteristics of wind turbine wakes did not examine the zero streamwise gradient condition. It appears, although it has not been proven, that it is not important 320 321 when a single turbine is examined due to the fact that the undisturbed wind conditions do not change 322 significantly within a few characteristic lengths of the domain when the zero streamwise gradient 323 condition is not satisfied. However, when a large domain is examined with multiple wind turbines in any 324 arrangement, it is of paramount importance that the velocity and turbulence levels have a correct 325 recovery and, in the long run, recover to the undisturbed inlet conditions and be maintained as happens 326 in nature.



4. Modeling of a single wind turbine using the actuator disk theory 329

A full – scale detailed aerodynamic simulation of a wind turbine is very time consuming since it requires 330 a transient simulation as well as a very refined numerical grid around the blades, the nacelle, the tower 331 332 of the wind turbine etc. Consequently, many other computationally cheaper ways of simulating the wind 333 turbine wakes have been developed. The simplest model is the actuator disk model without rotation and is based on the blade element method. 334

335 Mikkelsen (2003) has analyzed many models for the modeling of the rotor of the wind turbines. The 336 simplest of all models, when the aerodynamics of the wind turbine is unknown, is the actuator disk 337 model without rotation and based on the thrust coefficient (C_T) of the turbine. The pressure drop 338 through the wind turbine can be calculated by the following equation:

$$\Delta P = 0.5 \rho A C_T U_{\infty}^2 \tag{16}$$

339

where A is the rotor disk area and U_{∞} is the undisturbed wind velocity upstream of the turbine. The only information that is needed is the thrust coefficient and the diameter of the wind turbine.

342 **4.1** Nibe – B 630kw turbine

The first wind turbine that is examined is a Nibe – B 630kw turbine and this is a horizontal 3 bladed wind turbine operating at 33rpm with a 40m diameter at 45m hub – height. In the simulations performed in this paper, the actuator disk model without rotational effects was employed.

Regarding the size of the computational domain, the distance from the inlet to the turbine is 4D, the distance from the turbine to the outlet is 40D, the distance from the turbine to the upper boundary is 5D and the distance between the turbine and the lateral sides of the domain is 4D, where D is the diameter of the wind turbine. The boundary conditions were the same as in the empty domain examined earlier along with the other settings of the solver. The pressure drop along the wind turbine was calculated from the equation (16).

352 As stated in theory, the condition (12) must be satisfied in order to ensure the recovery of the velocity 353 and turbulence quantities in the far wake region. The zero streamwise gradient condition is important in 354 the far wake region, however, the recovery of the velocity and turbulence are highly sensitive on the σ_{ω} 355 coefficient. By performing some parametric studies, a value of $\sigma_\omega=1.3$ was chosen as the optimum 356 coefficient for all wind turbines. Given a coefficient of $\beta_{\infty}^* = 0.033$ for the definition of the turbulence 357 levels and a value of $\sigma_{\omega} = 1.3$, the value of $\beta_i = 0.0575$ satisfies the equation (12). The Von Karman 358 constant that is used is $\kappa = 0.4187$. The standard k – ω model also has been employed to illustrate the differences between the 2 models against the experimental data. The only modification that has been 359 360 done to the standard k – ω model is the coefficient β_{∞}^* and it has been given the same value as in the 361 modified k – ω model in order to match the inlet turbulent kinetic energy at the inlet of the domain 362 (equation (12)).

363 A grid independence study has been carried out. Given the simplicity of the geometry, the requirement 364 of the simulation regarding its number of cells was not very demanding. 3 different grid sizes have been 365 simulated consisting of approximately 140,000, 600,000 and 1,560,000 cells. All of them were fully 366 structured numerical grids and the refinement from the coarse to the fine grid has been done 367 everywhere in the domain but mainly in the region around the wind turbine and at a few characteristic 368 lengths upstream and downstream of it. The maximum difference between the 2 coarser numerical 369 grids was found to be approximately 2.5% for the velocity and 3.5% for the turbulent kinetic energy, 370 while the maximum difference in the results obtained using the 2 finer grids were less than 0.2% for the 371 velocity and less than 0.5% for the turbulent kinetic energy. Consequently, the numerical grid consisting

- of 600,000 elements was employed and a similar grid has been created with a similar number of cells and spacing between the nodes for the second wind turbine that is examined later.
- 374 It should be noted that the near wake region is considered as the region within 3D at the rear of the
- turbine, the far wake region as the region within 5.5D and 8D at the rear of the turbine and the very far
- 376 wake region as the region from 8D up to the outlet.



(a)



Figure 3: (a) Normalized velocity and (b) turbulence intensity along the streamwise direction at the hub – height of
 the domain.

Figure 3 illustrates the predicted normalized velocity and turbulence intensity in comparison to experimental data and the standard $k - \omega$ model for $U_{hub} = 8.5m/s$, $C_T = 0.82$ and $TI_{hub} = 11\%$ along the centerline at the hub height of the turbine. This is the condition when the turbine is operating at 630kw. The velocity is normalized with the inlet velocity value and the experimental data are provided by Taylor et al. (1985).

386 It is observed in the far wake region that the modified $k - \omega$ model is able capture the correct 387 turbulence levels, according to the experimental data. Also, in the very far wake region, close to the outlet boundary, the turbulence levels drop to the undisturbed values that are applied at the inlet 388 389 boundary. It is interesting that the highest value of the turbulent kinetic energy does not appear in the 390 near wake region of the turbine but, rather, a few characteristic lengths downstream of the turbine 391 $(\approx 4D)$. This observation is also visible in other experimental data for the second wind turbine that is 392 presented later. This trend of the turbulence intensity is captured by the modified k – ω model, while 393 the standard k – ω model failed to capture the turbulent kinetic energy anywhere within the domain.

The velocity also shows a similar trend to the turbulent kinetic energy. At the near wake region (2.5D)the modified k – ω model closely predicted the wind velocity, and in the far wake region the velocity is predicted very well, while the standard k – ω model failed to predict the velocity anywhere within the domain. It is also noticeable that the velocity and turbulent kinetic energy did not converge to the undisturbed inlet values according to the standard k – ω model, which was expected since it does not satisfy the equation (12). These results are indicative of the very simplistic model that is used to simulate the wind turbine. A more accurate or elaborative model, instead of the actuator disk model
without rotational effects based on the thrust coefficient, would have given more accurate predictions
for the velocity in the near wake region.

According to the original source of the experimental data, the mast located 7.5*D* downstream of the turbine was not aligned exactly with the wind direction (Taylor et al., 1985). This statement can be seen from the almost linear behavior, if these 3 points are connected, of the velocity according to the measurements. Also, as will be shown later, the velocity of the wind does not have such a steep recovery for other wind turbines, or even for the same turbine under different operational conditions.

408 As far as the errors are concerned, the difference of the velocity in the near wake region with 409 experimental data was more than 20% while in the far wake region this reduced to less than 5%, and the 410 difference in the turbulence intensity was less than 10% in the near or far wake region.

411 Figure 4 shows the turbulence intensity perpendicular to the ground from the hub – height up to 1.2D412 above the centerline of the hub – height of the turbine located at 2.5D at the rear of the turbine. There 413 appears to be a peak in the turbulence intensity at 0.5D and probably this arises from the tip of the 414 turbine blades. The modified $k - \omega$ model is able to capture this increase in the turbulence in this region 415 but it fails to predict the magnitude of it, which is indicative of the very simplistic model that is used to 416 simulate the wind turbine. Another explanation may lie to the fact that the pressure drop that has been 417 applied on the disk is based on the undisturbed velocity value at the hub – height of the turbine. 418 However, the undisturbed velocity changes with the height based on the logarithmic velocity profile as 419 given equation (6). Consequently, a higher pressure drop from the hub – height up to the tip of the 420 turbine would, theoretically, give higher turbulence levels. Another interesting fact is that the measured 421 turbulence intensity drops less than 10% while the inlet turbulence intensity is 11%. The only possible 422 explanation could be that the turbulent kinetic energy slightly decreases with the height of the domain, 423 although nothing is stated about this in the report. In any case, as stated in the introduction, employing 424 a constant turbulent kinetic energy at the inlet may be a special or a simplified case, however, it 425 approximates the neutral atmospheric conditions and it has been the view of many researchers for the 426 simulation of small wind turbines (Kasmi and Masson, 2008; Makridis and Chick; 2012).





Figure 4: Turbulence intensity distribution along a line perpendicular to the ground from the hub – height up to
 1.2D placed at 2.5D at the rear of the turbine.

Taking into account the fact that the turbulence generation depends on the velocity gradients in the 2 equation turbulence models based on the Boussinesq assumption for isotropy, it can be concluded that a more elaborative model for the wind turbine, e.g. the inclusion of the nacelle and the tower, would have given even better results for both the velocity and turbulence because the minimum velocity would have been lower, and consequently, the turbulence levels in the near wake region would have been larger, due to the higher pressure drop imposed at the disk.

Figure 5 illustrates the normalized velocity distribution from one lateral side to the other of the domain at the hub – height located at (a) 2.5D, (b) 6D and (c) 7.5D at the rear of the turbine.







Figure 5: Distribution of the normalized velocity along the lateral sides of the domain at the hub – height at (a)
2.5D, (b) 6D and (c) 7.5D.

(c)

446 The modified k – ω model in the far wake region at 6D and 7.5D at the rear of the turbine predicts the 447 velocity very well although the width of the velocity deficit is larger according to the experimental data at a distance of 6D at the rear of the turbine. In the region 7.5D downstream of the turbine the velocity 448 appears to be slightly underestimated, however, as stated earlier, the actual velocity is lower than the 449 450 values that appear in the Figure 5 because the mast was not 100% aligned with the wind turbine. This 451 statement is also enforced by the fact that the normalized velocity, according to the experimental data 452 appears to be higher than 1 close to the lateral sides of the domain. If the computationally predicted 453 results had been normalized with a lower value, the validation would have been even better.

Figures 6 and 7 illustrate the normalized velocity from one lateral side of the domain to the other lateral side at the hub – height at (a) 2.5*D*, (b) 6*D* and (c) 7.5*D* for the same turbine but for different wind velocity and turbulence levels. Figure 6 shows the normalized velocity for $U_{\infty,hub} = 9.56m/s$, TI =11% and $C_T = 0.77$ and Figure 7 shows the normalized velocity for $U_{\infty,hub} = 11.52m/s$, TI = 10.5%and $C_T = 0.67$.







462 Figure 6: Distribution of the normalized velocity along the lateral sides of the domain at the hub – height at (a) 463 2.5D, (b) 6D and (c) 7.5D for $U_{\infty,hub} = 9.56m/s$.



(a)

1.1 1.05 1 Normalized velocity 0.95 0.9 0.85 0.8 0.75 Standard k - w Modified k - w 0.7 Experimental 0.65 L -2 -1.5 -1 -0.5 0 0.5 1 1.5 2 z/D (b) 1.1 1.05 1 Normalized velocity 0.95 0.9 0.85 0.8 Standard k - w 0.75 Modified k - w Experimental 0.7 └--2 -0.5 0 0.5 -1.5 -1 1 1.5 2 z/D (c) 466



469 The results for $U_{\infty,hub} = 9.56m/s$ and $U_{\infty,hub} = 11.526m/s$ have a similar behavior to the results 470 presented in Figure 4 for $U_{\infty,hub} = 8.5m/s$.

In general, the modified k – ω model predicts well the velocity at 6*D* and 7.5*D* at the rear of the turbine while it rather overestimates the velocity 2.5*D* at the rear of the turbine, while the standard k – ω model failed to predict the velocity correctly anywhere within the domain. The problem with the normalized velocity being over 1 according to the measurements is still present for all inlet velocity values as seen in Figures 5(c), 6(c) and 7(c).

476 Also, it is observed from Figures 5 - 7 that, as the undisturbed inlet velocity decreases, the results close 477 to the turbine become better when compared to experimental data, although the difference is generally 478 small. The explanation for this behavior lies to the thrust coefficient. As stated earlier, the pressure drop 479 that is applied on the disk is based on the equation (16) and the model does not include any fixed parts 480 of the wind turbine such as the nacelle or the tower. The pressure drop of any fixed part of the turbine 481 would have been calculated by the same formula, equation (16), but it would have included the drag 482 coefficient instead of the thrust coefficient. However, as the velocity increases, the thrust coefficient, 483 based on the power curve of the turbine, decreases, while the drag coefficient of the bluff bodies is not that sensitive to the inlet velocity, at least for fully turbulent flows, which is the case in the present 484 485 investigation. Taking into account the fact that the drag coefficient of the fixed parts of the turbine is 486 higher than the thrust coefficient, and almost steady regardless of the velocity, it can be concluded that 487 for low velocities, where the thrust coefficient is higher, the omission of the fixed parts of the turbine 488 affects the results to a smaller extent than for the cases of the higher velocities. This statement will be 489 validated later when the results of the Holec turbine are presented, although the difference is smaller 490 due to the small differences in the thrust coefficient.

491 **4.2** The Holec wind turbine

The second wind turbine that is examined is a small Holec horizontal axis three – bladed turbine with a rated power of approximately 300kW. A wind farm of these turbines is located at Sexbierum, a village in northern Holland. The examination of another wind turbine is important in order to show the universality of the modified k – ω model and in order to show that the model is not sensitive to the inlet turbulence levels, and this is because the turbulence levels in this region are relatively low. The measurement data are taken from Cleinje (1992).

498 The computational setup is similar to the Nibe – B 630kw wind turbine explained previously. The flow 499 conditions are based on Cleinje (1992). The logarithmic velocity profile is still valid, as in all atmospheric 500 flows under neutral stratification within the surface layer where small wind turbines are located, but the 501 turbulence levels are quite lower in relation to the previous wind turbine. However Cleinje (1992), is 502 rather vague when it comes to the inlet turbulence levels. They took measurements at 3 different 503 heights and analytically expressed the turbulence intensity and the corresponded roughness length, but 504 in the results section for high yaw angles $(25^{\circ} - 30^{\circ})$ the turbulence levels appear to be far lower than 505 the initially estimated ones for every mast. For this reason, the results for the turbulence intensity based 506 on the results of the wind turbine for high angles of attack of the wind will be considered. In any case,

the constant value for the turbulent kinetic energy, regardless of the height, appears to be almost validbased on all of their measurements.

- 509 The measured undisturbed normalized turbulent kinetic energy appears to be in the range 0.011 –
- 510 0.014. The normalization of the turbulence intensity was achieved with the squared undisturbed velocity
- 511 inlet. These inlet turbulence levels correspond to a value of approximately $\beta_{\infty}^* = 0.09$ for the standard k 512 $-\omega$ model, and consequently the standard k $-\omega$ model has been used without any modifications for the
- simulations. This value of β_{∞}^* gives a normalized turbulent kinetic energy of 0.0136 and this agrees well
- 514 with the measurement data. For the zero streamwise gradient condition, the value of β_i has to be
- 515 changed according to the equation (12) and the corresponding value is $\beta_i = 0.1263$ for the modified k –
- 516 ω model. Regarding the eddy frequency, the profile based on the equation (4) is chosen and modified
- 517 according to the equation (11) while the logarithmic velocity profile is employed at the inlet by the
- 518 equation (2), as in the previous wind turbine.
- 519 The average undisturbed velocity magnitude during the measurements at the hub height of the
- turbine was 7.6 m/s. Consequently, in this paper, to show the universality of the model, a value of
- 521 8.6 m/s, as well as a lower velocity of 6.2 m/s is employed. The thrust coefficient is 0.75 for a range of
- hub height velocities from 7 m/s to 10 m/s and it increases to 0.78 for the 6.2 m/s inlet velocity at
- 523 the hub height.
- 524 Figures 8 and 9 show the computed normalized velocity and turbulence along the hub height for both
- 525 the velocity and turbulence inlets and the experimental data.



526

(a)



528 Figure 8: (a) Normalized velocity and (b) turbulent kinetic energy along the streamwise direction at the hub – 529 height for $U_{hub} = 8.6m/s$.



(a)



Figure 9: (a) Normalized velocity, and (b) turbulent kinetic energy along the streamwise direction at the hub – height for $U_{hub} = 6.2m/s$.

The velocity has a similar trend as in the previous investigated wind turbine. The velocity drops to half of the undisturbed value at a distance 2.5*D* downstream of the wind turbine and then it gradually increases, reaching 80% of the value of the undisturbed velocity at 8*D* downstream of the turbine. It is noticeable that the behavior of the computationally predicted velocity appears to be the same for both velocity inlet values. The model, like in the previous wind turbine, predicts the recovery of the velocity and turbulence kinetic energy with a very good accuracy as seen in the Figures 8 and 9, while the results are as good in the near wake region.

As far as the turbulent kinetic energy is concerned, a similar behavior with the Nibe turbine is illustrated. The maximum value does not appear in the near wake region but rather a few characteristic lengths away from the turbine, and this is not predicted by the model. However, in the far wake region the correct values of the turbulent kinetic energy are recovered and maintained along the domain until the outlet.

The small differences in the velocity and turbulent kinetic energy at the rear of the turbine between the 2 different inlet velocities are related to the very small difference in the thrust coefficient of the turbine. As shown in the Nibe wind turbine, the results are more reliable for high thrust coefficients. The same applies here for the Holec turbine but the differences are very small, especially for the velocity and this is due to the very small difference in the thrust coefficient. It is also noticeable again that the standard k $-\omega$ model failed to predict the velocity and the turbulent kinetic energy everywhere throughout the domain as expected.

- 553 Regarding the errors in the turbulent kinetic energy with the experimental data, although a significant
- improvement has been attained when compared with experimental data, the differences were generally
- high. These errors were of the order of magnitude of 20% for the case of 8.6 m/s velocity at the hub –
- height at distances 2.5*D* and 5.5*D* downstream of the turbine while for the case of 6.2 m/s velocity at
- the hub height at the same distances, the error was less than 10%. In both velocity inlets, however, the
- turbulent kinetic energy at a distance 8D downstream of the turbine, the errors were approximately 2%
- and 6% for the 6.2 m/s and 8.6 m/s velocity inlet, respectively.
- Finally, regarding the errors in the velocity, as is the case of the Nibe turbine, the error in the velocity was approximately 20% in the near wake region, while in the far wake region it was about 6% or smaller regardless of the velocity inlet. In any case, for both turbines, for higher thrust coefficients, the results for both the velocity and turbulent kinetic energy were closer to the experimental data and far closer than the standard $k - \omega$ model.
- 565 In general, the modified $k \omega$ model showed significant improvement when compared with the 566 standard $k - \omega$ model which is of paramount importance, especially for wind farm simulations where the 567 power output and possible future structural damage will be better predicted.

568 **Conclusions**

569 For wind farm simulations, using a steady RANS model, the recovery of the wind properties in the 570 turbine wakes can affect the accurate prediction of the performance of the downstream turbine. In this 571 paper, a modified k – ω model for simulating small wind turbine wakes for a uniform roughness flat 572 terrain in a neutrally stratified atmospheric boundary layer is proposed. A condition for achieving the 573 zero streamwise gradients for all flow variables has been mathematically produced. The model has been 574 successfully implemented and tested in an empty domain for various turbulence levels and friction 575 velocity values. The modified k – ω model has been employed for the simulation of 2 small wind 576 turbines for different inlet conditions with the actuator disk model based on the thrust coefficient of the 577 turbines. The comparison of the results in the near wake region for both wind turbines with available 578 experimental data was mediocre which may have been expected due to the very simplistic model that 579 has been employed to represent the wind turbines. For higher thrust coefficients, the results were more 580 accurate than for lower thrust coefficients for both the velocity and turbulent kinetic energy although 581 the difference was small. In the far wake region, however, the comparison of the velocity and 582 turbulence levels for both wind turbines with the experimental data was relatively good due to the 583 imposition of the zero streamwise gradient condition for all variables. In all cases, the modified k – ω model produced results far closer to the experimental data rather than the standard $k - \omega$. 584

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