

1 **Climate change and Canada's north coast: Research trends, progress, and future directions**

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18 **Abstract:** This paper identifies and characterizes current knowledge on climate change impacts, adaptation, and
19 vulnerability (IAV) for Canada’s northern coastline, outlining key research gaps. Warming temperatures and
20 increased precipitation have been documented across the northern coast, with the rate of sea ice decline ranging
21 from 2.9% to 10.4% per decade. Storm intensity and frequency is increasing, and permafrost is warming across the
22 region. Many of these changes are projected to accelerate in the future, with in excess of 8°C warming in winter
23 possible under a high-emission scenario by 2081–2100. Vulnerability to these changes differs by region and
24 community, a function of geographic location, nature of climate change impacts, and human factors. Capacity to
25 manage climate change is high in some sectors, such as subsistence harvesting, but is being undermined by long-
26 term societal changes. In other sectors, such as infrastructure and transportation, limitations in climate risk-
27 management capacity result in continuing high vulnerabilities. There is evidence that adaptation is taking place in
28 response to experienced and projected impacts, although readiness for adaptation is challenged by limited resources,
29 institutional capacity, and a need for support for adaptation across levels of government. Priority areas for future
30 research include: expanding the sectoral and geographic focus of understanding on IAV, integrating climatic and
31 socio-economic projections into vulnerability and adaptation assessments, developing an evidence base on
32 adaptation options, and monitoring and evaluating the effectiveness of adaptation support. Cross-cutting themes for
33 advancing IAV research on the north coast more broadly include the need for greater emphasis on interdisciplinary
34 approaches and cross-cultural collaborations, support for decision-orientated research, and focus on effective
35 knowledge mobilization.

36 **Key words:** climate change, Canada, north coast, adaptation, impacts, vulnerability, Arctic

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1. Introduction

Inhabited primarily by Indigenous populations living in small remote communities, Canada's northern coastline is vast, representing more than 70% of all Canadian coasts. The north coast is a hotspot for climate change, with the region experiencing some of the most rapid climate change anywhere globally, and projected future climate changes for the region will continue to be significant (Larsen and Anisimov, 2014). Many communities have a high sensitivity to climate change as they are situated on low-lying coasts, they have infrastructure built on permafrost, economies strongly linked to natural resources, high dependence on land-based harvesting activities, and they experience socio-economic disadvantage (AMAP, 2011; Arctic Council, 2013; Lemmen et al., 2008; Mason and Agan, 2015). In light of the risks posed by climate change, adaptation is emerging as an important component of climate policy in northern Canada, and encompasses a variety of strategies, actions, and behaviors that make households, communities and economic sectors more resilient to climate change (Labbe et al., 2017; Ford, Tesar, and Falk, in press).

In response to the risks posed by climate change to Canada's coastline, Natural Resources Canada (NRCan) led an assessment to examine the state of knowledge on the impacts of climate change on communities, ecosystems, and economic sectors, associated vulnerabilities, and opportunities for adaptation (Lemmen et al, 2016). Modelled on the review process of the Intergovernmental Panel on Climate Change (IPCC), and focusing primarily on the peer-reviewed scholarship but also grey literature in some instances, the assessment divided Canada's marine coasts into three large regions (East, North and West). This paper draws upon the North Coast Chapter to summarize current knowledge on climate change impacts, adaptation and vulnerability (IAV), updating it with recently published work, and using this understanding to identify and characterize key research needs. We begin the paper by providing background on the nature of Canada's north coast. This is followed by three sections that summarize key findings on IAV, profiling research needs for each. Research needs are derived from an examination of current understanding in light of recognized knowledge required for identifying and characterizing IAV; from documented needs identified by decision-makers, communities, and researchers; and from insights and experience of the authors who have been involved in interdisciplinary climate change research and policy debates in the Arctic since the 1990s. We finish by identifying cross-cutting themes for advancing the research agenda on IAV on Canada's north coast.

2. Canada's north coast

Canada's northern coastline extends more than 176 000 km from Yukon in the west to Labrador in the east. Three territories (Yukon, Northwest Territories, Nunavut) and four provinces (Manitoba, Ontario, Quebec, Newfoundland and Labrador) have northern coastlines, as do regions with land claims agreements that have been settled with Indigenous populations (Inuvialuit Settlement Region, Nunavik, Nunavut, Nunatsiavut, and the James Bay and Northern Quebec Agreement). Canada's North Coast region is home to 58 communities and more than 70 000 people, the majority of whom are Inuit, First Nations or Métis. Communities in this region have distinctive social-cultural characteristics, demographics and economies, including use of the coastal region for culturally valued and economically important harvesting activities. The wage economy is based largely on public administration, resource extraction, and arts and crafts, with tourism also being important in some regions.

The presence of sea ice is a defining feature of the northern coast, which is characterized by long, extremely cold winters interrupted by short, cool summers. Sea ice affects transportation access, shapes coastal geomorphology, and provides a platform for culturally valued and economically important harvesting activities. Precipitation is light and occurs predominantly in the summer. The region is characterized by a wide diversity of environments, with approximately 62% of the coastline consisting of unlithified materials that may be sensitive to erosion while the remainder is made up of more resistant bedrock. Permafrost underlies virtually all of Canada's north coast, and has an influence on both slope processes and coastal erosion, which is a function of both mechanical and thermal processes in the north.

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3. Climate change impacts on the north coast

The north coast has experienced some of the most rapid climate change globally. Both science and the observations of communities based on local/traditional knowledge (LK/TK) have helped to identify and characterize changes in climate already being experienced and their associated impacts. Studies have also projected potential future change, but there are significant gaps in understanding future impacts over the short, medium, and long term.

3.1. Current knowledge

- **Changing temperature and precipitation regimes:** All of Canada’s north coast lies in climate regions that have warmed more than the Canadian average (Environment Canada, 2015). The Mackenzie District in the western Canadian Arctic, for example, has warmed by 2.6°C during the period 1948–2014, and Nunavut by 1.6°C during the same period (Environment Canada, 2015). An increase in annual precipitation has been documented for 1950–2010 at virtually all northern coastal sites, along with an increase in the ratio of snow to rain (Mekis and Vincent, 2011a, b). Warming is projected to continue under all climate change scenarios, and is expected to be greatest in winter and least in summer. Under the IPCC high-emission scenario (RCP8.5), a temperature increase in excess of 8°C is projected during winter for 2081–2100, while the low-emission scenario (RCP2.6) projects a winter temperature increase of 2.4°C (Environment and Climate Change Canada, 2016). Precipitation is projected to increase under all scenarios, with increases in excess of 25% projected for parts of the eastern and central Arctic in winter by 2050 (Bush et al., 2014). Across the northern coastline, communities have documented increasing weather variability and higher frequencies of thunderstorms and extreme weather events, coinciding with decreased ability to predict the weather (Gearheard et al., 2011; Reynolds et al., 2012; Royer and Herrmann, 2013; Royer et al., 2013; Savo et al., 2016; Weatherhead et al., 2010).
- **Sea ice:** Throughout the Canadian Arctic, the extent of sea ice has been decreasing. Over the period 1968–2008, summer sea ice loss ranged from 2.9% per decade in the Canadian Arctic Archipelago to 10.4% per decade in Hudson Bay (Tivy et al., 2011). These trends are expected to continue or accelerate (Dumas et al., 2006; Holland et al., 2006; IPCC, 2013; Screen and Williamson, 2017), with some models projecting almost complete loss of summer ice cover before mid-century (e.g., Wang and Overland, 2012; Screen and Williamson, 2017). The occurrence of multiyear ice is also declining (Maslanik et al., 2007; 2011). Overall, Arctic sea ice is thinning; average spring ice thickness was 2.4 m in 2008 but is projected to be only 1.4 m by 2050 (Kwok et al., 2009; Stroeve et al., 2012). The ice free open-water period is increasing by 3.2–12.0 days per decade in the Canadian north (Howell et al., 2009; Stroeve et al., 2014), with freeze up delays and in some cases melt seasons that are more than a month longer than they were previously (St-Hilaire-Gravel et al., 2012). Across northern communities, changing ice dynamics, thinner ice, later freeze up and earlier break up have been observed (Gearheard et al., 2011; Gearheard et al., 2006; Laidler et al., 2009; Pearce et al., 2010).
- **Storm intensity:** There is strong evidence that the frequency and intensity of storms in the Arctic is increasing (IPCC, 2013; Akperov et al., 2015). The positive correlation between the amount of open water and cyclone intensity in the Arctic suggests that storms will likely be larger and stronger as sea-ice extent continues to decrease (Simmonds and Keay, 2009; Perrie et al., 2012). The consequence of more intense storms on coasts will be greatest in areas of significant fetch, such as the Beaufort Sea, and during seasons with less sea ice cover (Atkinson, 2005; Manson et al. 2005; Lintern et al., 2013). The frequency and intensity of storm surges are also likely to continue to increase along susceptible, shallow coastal areas.
- **Sea level:** Observed changes in relative sea level vary greatly across the north coast, rising in communities in the west and east such as Tuktoyaktuk and Nain, respectively, and declining in central

139 Arctic communities such as Churchill and Resolute, driven primarily by glacial isostatic adjustments.
140 The spatial pattern of projected relative sea-level changes is similarly influenced by glacial isostatic
141 adjustments, although other factors related to ongoing ice mass changes are also important (James et
142 al., 2014). Where there are high rates of land uplift, sea level is projected to continue to fall, even
143 under a high-emission scenario; where the land is subsiding, sea level is projected to rise by more
144 than 40 cm by 2100 and is projected to increase both the frequency of extreme water-level events and
145 the potential for erosion and flooding (Lamoureaux et al., 2015). In Tuktoyaktuk, NWT, for example,
146 the height of a 10-year event is projected to increase from 1.1 m to 2.1 m, without accounting for
147 increased fetch with sea ice melt (ibid.).

148 • **Permafrost:** With few exceptions, permafrost temperatures are increasing across the Canadian north
149 (Smith et al., 2013; Ednie and Smith, 2015; Romanovsky et al., 2016), and this trend is projected to
150 continue as the climate continues to warm (e.g., Woo et al., 2007; IPCC, 2013; Guo and Wang, 2016).
151 It is expected that permafrost changes will not be directly proportional to local temperature change,
152 but will also be affected by local permafrost characteristics and soil composition (Smith and Burgess,
153 2004). Higher permafrost temperatures can intensify coastal processes and destabilize coastal
154 infrastructure (Aré et al., 2008, Hoque and Pollard, 2009; Fritz et al. 2017).
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156 3.2. Research gaps 157

158 • **Uncertainty in Physical Models:** Regional climate models, and ice cover and thickness models, are
159 increasingly offering greater precision at regional scales and accommodating more parameters.
160 Significant uncertainties and knowledge gaps remain, however. Model disagreements arise from
161 uncertainty about greenhouse gas emissions, parameterization of physical processes (e.g. snow
162 sublimation or Antarctic ice melt rates), and model structure variance (e.g. resolution, constants)
163 (Mekis and Vincent, 2015; Hodson, 2013). While models continue to improve, it is important to note
164 why uncertainties arise and what the resulting ranges of climate projections mean for communities
165 and policy makers. Precipitation models continue to offer wide confidence intervals at regional levels,
166 particularly when examining multi-variable conditions, such as blizzard conditions (snow-water
167 equivalent, wind, and surface temperatures are all factors) (Mekis and Vincent, 2015). Sea level rise
168 projections are complicated by uncertainty about the stability of the Antarctic ice sheet, with
169 additional tens of centimeters of sea level rise possible (James, 2015; Mekis and Vincent, 2015;
170 Deconto and Pollard, 2016). Further, there are knowledge gaps surrounding the impacts of Atlantic
171 Ocean heat exchange on sea ice melt, regional permafrost thaw projections are limited at community
172 scales, and there continue to be challenges in modeling future surface wind dynamics (useful for
173 aviation among other industries) (Stroeve et al, 2012). While these knowledge gaps do not challenge
174 broad regional projections (no model shows increases in Arctic sea ice by 2100), they do demonstrate
175 the continued need for research and highlight the windows of uncertainty that communities and policy
176 makers are working in.

177 • **Future impacts:** Northern coastal communities have experienced some of the most rapid climate
178 change globally, and projected changes for the region will continue to be significant (Larsen and
179 Anisimov, 2014). The high temporal and spatial variability of coastal processes, however, can make
180 determination of the rates of change difficult. This is compounded by the lack of an integrated
181 physical process model of Arctic coastal dynamics. Impacts to communities are due to the cumulative
182 effect of many factors and not necessarily due to the effect of one predominant factor (e.g. the
183 decrease in sea ice cover in September creates increased fetch, increase in cyclonic activity especially
184 in the summer and fall, sea level rise in numerous communities, and shoreline permafrost instability
185 from temperature rise, could create high vulnerability for storm surges and coastal loss). Community-
186 based observatories and monitoring systems incorporating Indigenous knowledge can help address
187 some of these gaps. As model precision continues to improve at more local scales, community-level
188 analysis will need to be a central focus for future vulnerability and risk assessments. As observed with

189 local variations in vertical land motion and sea level change across the Arctic, communities will
190 experience unique challenges based on their geography.

- 191 • **Implications for decision-makers:** Collaboration among communities, policy makers, and
192 researchers is essential to ensure that observations and models are informed by Indigenous and local
193 knowledge, studies are oriented around planning and adaptation knowledge gaps, and research is
194 effectively disseminated (Brunet et al., 2014; Ford et al., 2014; Savo et al., 2016). Climate projections
195 show that across northern Canada there will be shifting hazards for communities, with some
196 communities facing substantial challenges in the coming century. As models increasingly offer
197 downscaled projections, results and outputs will be easier to translate into infrastructure, social
198 programs, and economic planning. In this context, it is anticipated that collaboration among policy
199 makers, community leaders, and climate scientists will become increasingly commonplace and
200 beneficial. Continued support of scientific investigation from regional and national decision-makers
201 will also be essential for continued advancements. There is a continued need for support to foster
202 information sharing between scientists and government agencies, creation of usable science, and
203 funding for Arctic research stations and vessels, remote sensing equipment maintenance and
204 deployment (monitoring buoys and satellites), as well as fostering information sharing among
205 scientists and government agencies (Perrie et al, 2012; Ford et al., 2013; Brunet et al., 2014). Yet, as
206 important as advancing our understanding of future change is, some degree of uncertainty will always
207 characterize future projections, and better downscaling does not necessarily mean more accurate or
208 robust information on likely changes.

209 210 **4. Climate change vulnerability on the north coast**

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212 Vulnerability can be thought of as the susceptibility of households, communities, and economic sectors to
213 harm arising from climate change impacts and other external stressors, and is determined not only by how
214 the climate is changing and affecting biophysical systems (exposure and sensitivity) but also the adaptive
215 capacity of human systems (IPCC, 2014). The inherent biophysical sensitivity of coasts, as well as the
216 magnitude of projected future climate changes, suggest that northern coastal communities and industries
217 are highly susceptible to future climate impacts, although capacity to manage such impacts is high in
218 some sectors. Studies have begun to identify and characterize vulnerabilities associated with climate
219 change and understand the processes that create or attenuate vulnerability. Knowledge on vulnerability is
220 summarized below by sector.

221 222 **4.1. Current knowledge**

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224 • **Infrastructure and transportation:** Transportation networks and infrastructure (e.g. roads, buildings,
225 municipal facilities, industrial facilities, etc.) along the northern coast are uniquely sensitive to
226 climate change impacts due to permafrost and sea ice dynamics. While opportunities are associated
227 with the increasing open-water season for marine transportation, impacts are generally believed to be
228 negative. For instance, while the Port of Churchill, MB, is expected to benefit from longer access
229 with reduced sea ice coverage, greater risks relate to the single-track rail bed that supplies the port,
230 which is being undermined by thawing of the discontinuous permafrost, and heavy precipitation
231 events that lead to landslides, flooding and washouts on the tracks (Bristow and Gill, 2011; Addison
232 et al., 2015). In Nunavik meanwhile, marine infrastructure, including breakwaters and water access
233 ramps, in some communities have already experienced localized failure due to movements of ice
234 cover, while extreme water levels documented in Salluit have affected the wharf (Ropars et al., 2012;
235 Palko and Lemmen, 2017). Permafrost degradation is increasing infrastructural instability by
236 increasing erosion and surface subsidence, affecting built infrastructure (e.g. airports, roads, houses)
237 (Allard and Lemay, 2012; Hawkins 2013; Boucher and Guimond, 2012; Lamoureux et al., 2015;
238 Doré et al., 2016;). Changing snow and ice regimes, less predictable weather and changing wind

239 patterns are also making travel by semi-permanent trails more dangerous and less dependable,
 240 compromising the ability of residents to engage in harvesting activities and travel between
 241 communities (Bell et al., 2014; Clark et al., 2016; Durkalec et al., 2015; Laidler et al., 2009).

- 242 • **Health and well-being:** Communities along the north coast face both direct and indirect health effects
 243 of climate change. In particular, key risks around food security, water security, mental well-being,
 244 and danger while engaging in land-based activities have been identified, with the potential for new
 245 and emerging diseases (e.g. food and waterborne illnesses) (Furgal and Seguin, 2006; Messier et al.
 246 2012; Bourque and Willox, 2014; Ford et al., 2014; Harper et al., 2015). Harper et al (2011), for
 247 instance, found that increased rainfall and snowmelt were significantly associated with increased
 248 *Escherichia coli* (*E. coli*) and total coliform concentrations in untreated brook water in Rigolet,
 249 Nunatsiavut, and 2–4 weeks after heavy rainfalls or rapid snowmelts, there was a significant increase
 250 in clinic visits for diarrhea and vomiting. Underlying socio-economic conditions (e.g. high rates of
 251 housing and food insecurity, poverty etc.), associated health seeking behaviors, and challenge to
 252 health care systems are expected to magnify the impacts of climate change on northern health (Ford et
 253 al., 2010a; Harper et al., 2015).
- 254 • **Business and economy:** Economic opportunities with climate change are associated mainly with the
 255 increased viability of marine transportation driven by reduced sea-ice cover. Some models project
 256 that non-ice-strengthened ships should be able to cross the middle of the Arctic Ocean by 2040
 257 (Smith and Stephenson, 2013), increasing the opportunities for cruise-boat tourism and cargo
 258 shipping, and improving the viability of northern ports. Private yacht and commercial cruise-ship
 259 traffic increased by 110% and 400%, respectively, between 2005 and 2015, with transits in the
 260 Northwest Passage increasing by 70% since 2006 (Stewart et al., 2010; Dawson et al., 2014; Pizzolato
 261 et al., 2014). Quebec’s Plan Nord identifies warmer winters, extended periods of ice-free waters, and
 262 sea-ice retreat as improving accessibility to ports enhancing potential for development (Plan Nord,
 263 2015). Despite these opportunities, there are significant risks related to the lack of supporting
 264 infrastructure, including comprehensive bathymetric charts and search and rescue capabilities, while
 265 potential increased shipping and associated resource development could have negative impacts on
 266 ecosystems (Clarke and Harris, 2003; Carmack and Macdonald, 2008; Burek et al., 2008; Cameron,
 267 2012; Philie, 2013; Clark and Ford, 2017). Declining sea ice may also negatively impact local
 268 economies through, for example, lost incomes from reduced fishing catch. Despite the success of the
 269 winter turbot fishery in Pangnirtung—about 300 tonnes of turbot is caught annually by hand through
 270 the ice, representing a point of sale value at 2016 prices of approximately \$2.25 million—the fishery
 271 is vulnerable to a shortening of the ice season (Arctic Council, 2013). However, while some species
 272 are being threatened by climatic changes, the northerly range shift of other species, including cod, due
 273 to rising ocean temperatures, could present new opportunities (Power et al., 2012; Bélanger et al.
 274 2013).
- 275 • **Culture and education:** For northern coastal communities, culture is closely linked to the coastal
 276 environment and the activities it sustains (Cunsolo Willox et al., 2012; MacDonald et al., 2015). Even
 277 subtle alterations to the land and environment can impact individuals, communities, and cultures by
 278 affecting the ability to engage in land-based activities and access traditional sites, and through
 279 impacts on culturally valued wildlife species (Cuerrier et al., 2015; Harper et al., 2015; Royer and
 280 Herrmann, 2013; Sayles and Mulrennan, 2010; Tam et al., 2013a). Cultural impacts may also arise
 281 when permafrost thaw, sea-level rise and coastal erosion occur at sites of historical value (e.g.,
 282 graveyards, outpost camps) (Radosavljevic et al., 2015; Andrews et al., 2016). Education will be
 283 affected by climate change as traditional learning and the preservation and promotion of traditional
 284 values are both closely connected to land-based activities, which are becoming more challenging with
 285 climate change (Pearce et al., 2015).
- 286 • **Subsistence harvesting:** Subsistence harvesting activities, including fishing, trapping, and berry
 287 picking, have strong economic, dietary, and cultural importance for communities on the north coast
 288 (Kuhnlein and Receveur, 2007; Wenzel, 2013). This close association with the natural environment

289 creates unique sensitivities to the rapidly changing climate, with constrained ability to harvest,
290 reduced opportunities for younger generations to engage in land activities, and conflict over wildlife
291 management in light of changing species health, abundance, and migration timing (Durkalec et al.,
292 2015; Ford et al., 2010b; Hori et al., 2012; Royer and Herrmann, 2013; Tam et al., 2013b).

294 4.2. Research gaps & needs

- 296 • ***Sectoral and regional knowledge gaps:*** For some sectors in the north coast region, it has been argued
297 that sufficient information on vulnerability exists to begin adapting (e.g., harvesting and culture), albeit
298 with the need for targeted work focusing on regions and populations where research has not been
299 conducted (Dawson et al., 2016; Ford et al., 2012; Ford et al., 2014). In other sectors, it is recognized
300 that our understanding of the risks posed by climate change is insufficient, including business and
301 economy, infrastructure and transportation, and health (Cameron, 2012; Ford et al., 2012a; Wolf et al.,
302 2013; Bourque and Cunsolo Willox, 2014; Ford et al., 2014). Moreover, current understanding of
303 vulnerability is derived mainly from local studies in small communities and focuses on ‘traditional’
304 activities, with a need to develop a broader and more diverse geographic and sectoral knowledge base.
305 Larger settlements on the north coast (e.g., Iqaluit, NU; Rankin Inlet, NU; Churchill, MB) are hubs of
306 economic development, and have quite different vulnerabilities than smaller communities. For
307 example, transportation infrastructure in the larger communities often acts as a gateway for access to
308 smaller communities (e.g. Iqaluit airport and proposed deep sea port); larger communities have a more
309 extensive infrastructural footprint; and have a larger private sector where climate disruptions to trade
310 networks in other regions in Canada or globally can have significant local impacts. Further, across
311 sectors, knowledge is most advanced for regions and sectors north of 60, with the sub-Arctic and First
312 Nations (e.g. James Bay region) underrepresented in the literature (Downing and Cuerrier, 2011;
313 Sheremata et al., 2016).
- 314 • ***Accounting for future vulnerability:*** An important component of vulnerability assessments (VAs) is
315 identifying and characterizing vulnerabilities in light of projected climatic and socio-economic changes.
316 Research has examined the potential impacts of climate change on ecosystems, sea ice environments,
317 landscape processes, and extreme weather, yet few VAs have explicitly incorporated this work, or
318 community knowledge, to assess how socio-economic demographic trends (e.g. population change and
319 structure, employment projections) and projected climate impacts might combine to affect regional and
320 community vulnerability, resilience, and adaptation options. Rather the majority of studies focus on
321 documenting current and experienced risks, underlining a need for futures-orientated work across
322 sectors. For instance, few, if any, integrated VAs have examined what different climate projections
323 mean for vulnerability of sectors and/or communities on the north coast. Participatory scenario planning
324 is one approach that holds promise for engaging communities and decision-makers in creating future
325 scenarios to identify future risks and adaptation options (Wesche and Armitage, 2014). A failure to
326 sufficiently account for future vulnerabilities risks maladaptation, where policies, programs, and
327 behaviour increase vulnerability in the long term.
- 328 • ***Enhanced understanding of vulnerability processes:*** Existing vulnerability research has made notable
329 contributions to our understanding of how climate change interacts with society along the north coast,
330 yet our knowledge remains incomplete (Ford and Pearce, 2012; Archer et al., 2017). In particular, there
331 is limited medium- or long-term monitoring of how communities are experiencing and responding to
332 climate change over time, constraining understanding of the dynamic nature of vulnerability,
333 understanding of thresholds, and of the potential for adaptive learning (Ford et al., 2013b). Herein, the
334 majority of empirical research has relied on retrospective study design where qualitative and
335 quantitative methods have been used to identify and describe how communities and regions are
336 currently experiencing and responding to climate change (Fawcett et al., 2017). This work has
337 developed important information on human-environment interactions, but typically only spans a few
338 multi-month field seasons, is focused primarily on the present day, and is thus not well positioned to
339 capture the nuanced temporal dynamics of vulnerability drivers and interactions (e.g. adaptive learning,

340 risk accumulation, restructuring, changing risk perceptions). During fieldwork, sources of vulnerability
341 may be hidden by temporary variations in climatic and/or human factors, and coping mechanisms that
342 appear indicative of adaptability in-light of observed conditions may be maladaptive in the long term
343 depending on how they play out in the context of fast and slow variables (Fawcett et al., 2017). Further,
344 there is a dearth of studies focusing on cumulative effects (e.g. resource development) and how these
345 will affect vulnerability to climate change both today and in the future, and an absence of research
346 accounting for regional and global factors affecting vulnerability in specific places (e.g. wildlife
347 harvesting regulations, market prices, trade networks) (Cameron, 2012; Ford et al., 2015b; Wenzel,
348 2009).

349 5. Climate change adaptation and Canada's northern coast

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352 Climate change adaptation can be defined as “*the process of adjustment to actual or expected climate and*
353 *its effects, in order to either lessen or avoid harm or exploit beneficial opportunities*” (IPCC, 2014).
354 Adaptation encompasses a variety of strategies, actions, and behaviors that make households,
355 communities, and societies more resilient to climate change, and may target reducing sensitivity to
356 climate change impacts, and/or focus on strengthening adaptive capacity to manage and take advantage of
357 change. Adaptation options cross scales, from personal and household decisions, to community/local,
358 national, and international policies. Adaptation actions are already taking place in northern Canada
359 through action by governments at different levels, with examples of adaptation and leadership
360 documented across scales, regions, and sectors (Ford and Pearce, 2010; Pearce et al., 2010; Labbe et al.,
361 2017). Leadership and innovation at the local level are also underpinning autonomous adaptations
362 undertaken by individuals and households, particularly in the context of subsistence-based activities,
363 while strong social networks and traditional knowledge systems have been documented to confer
364 significant adaptive capacity (Berkes and Jolly, 2002; Pearce et al., 2015; Ford et al., 2014, 2016),
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366 5.1. Current knowledge

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- 368 • **Adaptation programming:** The emergence of adaptation during the past decade as an important focus
369 of research and policy has involved the creation of a number of northern-focused federal programs
370 and initiatives for adaptation, all of which have an important coastal dimension. These include
371 programs designed to explicitly engage northerners in assessing the risks posed by climate change
372 and identify adaptation options delivered by Indigenous and Northern Affairs Canada, Health Canada,
373 Public Health Agency of Canada, Natural Resources Canada, Standards Council of Canada, and
374 Transport Canada. In 2011, Nunavut, NWT and Yukon formed the Pan-Territorial Adaptation
375 Partnership and released the Pan-Territorial Adaptation Strategy, which outlines strategies for action
376 and steps to achieve them. The strategy has a strong focus on mainstreaming adaptation into policies,
377 programs, revisions to best practices and standards, monitoring programs, as well as increasing
378 collaborations with traditional and community-based knowledge holders. At the regional and
379 territorial levels, there has also been action on adaptation. The Government of Nunavut, for instance,
380 released its adaptation strategy in 2011 (Government of Nunavut, 2011), and the Inuvialuit Regional
381 Corporation (IRC) is creating/updating adaptation plans for each community in the ISR, which will be
382 compiled to create a Regional Climate Change Adaptation Strategy. Indigenous organizations have
383 stressed the importance of adaptation, which has been an important component of work through Inuit
384 Tapiriit Kanatami's Inuit Qaujisarvingat (Inuit Knowledge Centre) (ITK, 2016). At a local level,
385 several communities have led climate change projects and are planning for climate impacts (Labbe et
386 al., 2017).
 - 387 • **Adaptation opportunities:** Studies have documented a variety of potential adaptation options across
388 regions and sectors. Some of these options have an intentional and substantial focus on responding to
389 climate change impacts, both experienced and projected. Such ‘climate centred adaptations’ that have
390 been identified for the north coast include investing in coastal protection measures such as installing

391 Longard tubes (woven polyethylene fabric tubes filled with sand), installing wave breakers, relocating
 392 critical infrastructure, investing in new port and fish processing facilities, hazard mapping, and
 393 retrofitting older infrastructure through the utilization of adaptive foundation types (e.g. piles and
 394 spaceframes) (Couture et al., 2002; Johnson et al., 2003; Champalle et al., 2013; Forbes et al., 2014 ;
 395 Lamoureux et al., 2015). Alternatively, ‘vulnerability centered adaptations’ have been identified to
 396 focus on underlying social-economic-political factors that lead to climate vulnerability by
 397 undermining or constraining adaptive capacity and/or increasing sensitivity to impacts. Such work
 398 emphasizes the importance of mainstreaming adaptation into existing policy process, with examples
 399 including: cultural revitalization, programming to promote and preserve land skills and knowledge,
 400 enhanced local decision-making power, and efforts to address marginalization and poverty (Armitage
 401 et al., 2011; Armitage, 2005; Berkes and Armitage, 2010; Cash and Moser, 2000; Ford et al., 2007;
 402 Ford et al., 2014; Pearce et al., 2015). In many cases, such actions may not substantively or
 403 intentionally target climate change but nevertheless contribute to vulnerability reduction.

- 404 • ***Adaptation progress:*** There are significant geographic and sectoral disparities in adaptation program
 405 development and planning across the northern coast. In Labbe et al’s (2017) work in Nunavut for
 406 example, local leaders and ‘adaptation champions’ were found to have an influential role in
 407 advancing adaptation initiatives in some locations, but for over a third of communities there was little
 408 indication of any formal government-led adaptations taking place that specifically target climate
 409 change (i.e. climate centred adaptation). This reflects constraints to local capacity and institutional
 410 challenges to plan for climate change given low levels of funding and the wide array of challenges
 411 facing municipal planning in many northern communities (Boyle and Dowlatabadi, 2011; Pearce et
 412 al., 2012; Champalle et al., 2013). Lack of consideration of adaptation across different levels of
 413 government, institutional fragmentation, limited inclusion of traditional knowledge and cultural
 414 values in adaptation planning, and an absence of cross-departmental mandates for integrating climate
 415 considerations into planning have also been identified as constraining adaptation progress (Brunet et
 416 al., 2014; Pearce et al., 2012; Labbe et al., 2017; Shah et al., 2017), although there is limited
 417 information available on if/how the private sector is adapting.

418 **5.2. Research gaps**

- 421 • ***Improved understanding of vulnerability, adaptive capacity, and adaptation options:*** Understanding
 422 what makes human systems vulnerable or resilient to climate change is necessary for informing
 423 policies, programs, and actions for adapting (Moss et al., 2013). As noted above, significant gaps in
 424 understanding vulnerability currently constrain our ability to plan for climate impacts on the north
 425 coast, with targeted studies needed to assess geographic and sectoral disparities, and identify and
 426 characterize future vulnerabilities. Uncertainty has also been identified as a major challenge for
 427 planning for future change. To some extent, uncertainty will always characterize our understanding of
 428 future risks, including the potential for surprise, necessitating that adaptation options in many
 429 instances build upon general climate projections for the region (Ford et al., in press; Klein and Juhola,
 430 2014). A variety of approaches from the general adaptation scholarship offer potential insights about
 431 designing adaptation in light of uncertainty, including: i). thinking around ‘low-’ or ‘no-regrets’
 432 adaptation, where adaptation has immediate as well as longer term benefits and is integrated into
 433 ongoing decision-making processes (Dovers, 2009; Heltberg et al., 2009). Herein, there is need to
 434 better document the multiple benefits of actions that go beyond adapting to climate conditions; ii).
 435 ‘adaptation pathways’ where adaptations are designed to alter over time as impacts materialize and
 436 evidence of their effectiveness emerges, such as designing infrastructure that can easily be retrofitted
 437 for new uses or modified (Fazey et al., 2016; Wise et al., 2014); iii). or adaptive management or
 438 ecosystem stewardship that seeks to ensure flexibility, agility, and diversification to stand ready for
 439 more variable conditions and a variety of potential futures (Chapin et al., 2015; Dowsley et al., 2010;
 440 Young, 2012). Aside from work on co-management of wildlife resources, these approaches have not

441 been widely used in research on the north coast, and offer significant opportunities for identifying and
442 designing adaptation options.

443 • **Adaptation evaluation studies:** The majority of adaptation-related research on the north coast
444 typically identifies a portfolio or “wish list” of potential response options, with most research focused
445 on impacts and vulnerability assessment (Ford et al., 2014, 2016). Only a limited number of studies
446 have systematically evaluated adaptation options, documented barriers to adaptation, or provided
447 guidance on which adaptations to prioritize (Champalle et al., 2015; Ford et al., 2014). Such gaps are
448 also noted in the general adaptation scholarship (Noble and Huq, 2014), and are exacerbated on the
449 north coast by an absence of work focusing on future vulnerability, or drawing upon broader
450 approaches noted previously (e.g. adaptation pathways). A number of potential criteria for evaluation
451 of adaptations have been outlined, including: effectiveness in reducing vulnerability in the short and
452 long-term, sustainability in terms of the viability of given resources and policy priorities, acceptability
453 and legitimacy, timescale of adaptation in terms of how long options take to implement, equity
454 implications, cost, and synergies and/or contradictions that might occur between and among
455 adaptation options and other policies (Champalle et al., 2015; de Bruin et al., 2009; Debels et al.,
456 2009; Ebi and Burton, 2008). Such criteria need to be further developed in the context of the unique
457 geographies and cultural context of the north coast, with the active engagement of communities and
458 decision-makers across scales.

459 • **Adaptation monitoring and evaluation:** Developing and implementing adaptation is not an endpoint
460 in itself but an ongoing process that needs to be underpinned by monitoring and evaluation (M&E)
461 (Ebi and Semenza, 2008; Bours et al., 2015). Monitoring refers to a continuous process of examining
462 progress made in planning and implementing climate adaptation; evaluation refers to assessment of
463 the effectiveness of climate adaptation plans, policies and actions. M&E is a key part of strategic
464 planning on adaptation to identify mal-adaptations or unforeseen effects that may develop, assess
465 outcomes of adaptation measures, provide learning opportunities, share good practices and inform
466 planning and decision-making (Bours et al., 2015; Lamhauge et al., 2013; OECD, 2015). Work
467 monitoring and evaluating adaptation initiatives focusing on the north coast is nascent, primarily
468 involving benchmarking studies focusing on Inuit regions which documents the broad contours of
469 how adaptation has been approached (Ford and Pearce, 2010; Labbe et al 2017). While many federal
470 adaptation programs supporting northern adaptation have also been internally evaluated in the last 5-8
471 years, these have largely focused on program structure, and have not examined effectiveness in
472 reducing vulnerability or documented community and/or decision-maker perspectives on their
473 success. The dearth of such studies precludes assessment of the effectiveness of programs and
474 measures in reducing vulnerability and building resilience, and compromises accountability and
475 transparency.

476 There are serious challenges however, that have hampered progress on how to track adaptation in
477 general, including an absence of an agreed upon definition for adaptation; the lack of a consistent unit
478 of analysis, with adaptation success only measurable in theory vis a vis a hypothetical future that is
479 operationally impossible to construct, and frames of reference are complicated by the potential to
480 redistribute impacts over space and time; and limited datasets on adaptation (Biesbroek et al., 2010;
481 Ford and Berrang-Ford, 2016). Various approaches have been proposed to track adaptation, including
482 outcome-based approaches that directly measure adaptation progress and effectiveness with reference
483 to avoided climate change impacts, to approaches which focus on developing indicators or proxies by
484 which adaptation can be monitored (e.g. systematic measures of adaptation readiness, processes
485 undertaken to advance adaptation, policies and programs implemented to adapt, and measures of the
486 impacts of these policies and programs on changing vulnerability) (Ford et al., 2013). These all offer
487 promise in Arctic regions, with an emerging scholarship on adaptation tracking offering insights
488 (Ford and Berrang-Ford, 2016), along with other work on program evaluation (e.g. Moxham, 2009;
489 Lecy et al., 2011).

490
491 **6. Discussion**

492
493 In this paper we summarize understanding on climate change impacts, adaptation, and vulnerability
494 for the north coast. We document significant understanding on various components of impacts,
495 adaptation, and vulnerability, with a considerable increase in research published in the peer reviewed and
496 grey literature over the last decade. Taking stock of this knowledge base, we also document gaps in
497 understanding, outlining specific avenues for further study. Building on the specific research needs noted
498 in the paper, in the discussion we identify and examine cross-cutting themes for advancing the research
499 agenda on climate change impacts, adaptation, and vulnerability on Canada's north coast, based on our
500 perspectives as university- and federal government-based researchers with backgrounds in the social and
501 physical sciences. As such, we recognize that such discussion also needs to be informed by broader input.

502 Firstly, in the evolving research landscape, greater emphasis is needed on interdisciplinary
503 approaches and cross-cultural collaborations. Vulnerability assessments (VAs), for example, need to
504 account for exposure, sensitivity, and adaptive capacity, and by their very nature require input from
505 various scientific disciplines and local/traditional knowledge (LK/TK) (Ford and Smit, 2004; Moss et al.,
506 2013; Smith and Sharp, 2012). Despite this, as Champalle et al (2013) document for the north coast, many
507 assessments continue to approach vulnerability from a specific disciplinary specialization,
508 epistemologically framed by the social, engineering, or biophysical sciences, paralleling broader trends in
509 the vulnerability field (Giupponi and Biscaro, 2015; McDowell et al., 2016). Each approach contributes
510 unique understanding to IAV, and a strong disciplinary base is important for knowledge generation, but
511 'siloized' thinking risks providing incomplete understanding and constraining links to decision-making
512 (Castree et al., 2014; Murphy, 2011), potentially creating what Hulme (2010) refers to as 'brittle'
513 knowledge.

514 Greater promotion of interdisciplinarity is required from funding agencies to catalyze the creation of
515 research teams that span disciplines. While a number of special calls through Canada's tri-councils have
516 sought to promote collaborations among the social, health, and physical sciences, and support for
517 interdisciplinarity is increasing, disciplinary focused funding remains dominant. Other studies meanwhile,
518 have documented that interdisciplinary projects generally have lower funding success (Bromham et al.,
519 2016), and have argued that few collaborations successfully bridge disciplines (Brown et al., 2015;
520 MacMynowski, 2007; Murphy, 2011). Federal, provincial, and territorial governments also fund impacts,
521 adaptation, and vulnerability research on the north coast, often supporting projects targeted to specific
522 risks or sectors, or directly funding communities or other levels of government. Such projects offer
523 strategic opportunities to promote interdisciplinarity, including through requiring projects to have
524 northerners in decision-making roles, prioritizing studies with an interdisciplinary focus, and promoting
525 engagement across funded projects (Ford et al., 2015a). Projects that are driven by community issues also
526 tend to be interdisciplinary, addressing the need for integrative solutions to complex issues (e.g., food
527 security, housing (Bell, 2016)). Equally, there are opportunities for 'bottom-up' promotion of
528 collaboration and networking across projects to overcome 'siloized' thinking, through for instance,
529 interdisciplinary workshops, project exchanges, web-based platforms, and the development of
530 communities of practice (Brown et al., 2015; Ford et al., 2015a).

531 Secondly, while impacts, adaptation, and vulnerability studies increasingly note the importance of
532 producing 'usable science' that incorporates LK/TK, promotes stakeholder engagement, and seeks to
533 inform decision-making, basic/fundamental science approaches continue to dominate northern research
534 (Brunet et al., 2014). This is evident in how northern stakeholders (i.e. communities, decision-makers,
535 civil society organizations) have been engaged in research, which is largely *informative*, concerned with
536 informing stakeholders on research processes, results sharing, and/or through scientific training; or
537 *consultative*, whereby stakeholders contribute their expertise to research as sources of LK/TK, as local
538 field guides, and/or as research assistants; but rarely *decisional*, where objectives and research approaches
539 are co-designed, and stakeholders are actively engaged in data analysis, judgement on data quality, and
540 write-up (Brunet et al., 2014; Ford et al., 2013a; Gearheard and Shirley, 2007; McDonald et al., 2016;
541 Pearce et al., 2009). Further, where TK is 'incorporated' into research, it is typically treated as one source
542 of data contributing to Western scientific understanding, through the documentation of factual

543 observations (e.g. observations on how the climate is changing). Ontological orientated components of
544 TK around ethics and values, culture and identity, and cosmology, however, have often been marginalized
545 (Cameron et al., 2015; Houde, 2007; Pearce et al., 2015). This is illustrated, for instance, in the literature
546 on future risks and adaptation options where there is limited consideration of how Western
547 understandings of projecting and planning for the future align with beliefs held by Indigenous peoples
548 (Haalboom and Natcher, 2012; Natcher et al., 2007). In Inuit philosophies, for instance, it is seen as
549 arrogant to assume you can predict the future and an overreliance on planning can be seen as reducing the
550 ability to prepare and react flexibly to situations (Bates, 2007).

551 Fundamental science has a critical role in generating understanding of how the climate is changing,
552 identifying impacts, and understanding vulnerability processes, and addressing many of the research gaps
553 requires such work. This needs to be complemented, however, by a greater emphasis on usable or applied
554 science that fully engages northerners and seeks to inform policy, behaviors, and solutions to reduce
555 vulnerability and enhance resilience. There have been notable developments in this area, including the
556 growth in studies utilizing community-based participatory research and community-based adaptation
557 approaches, along with federal funding targeted to communities (Ford et al, 2016). One example is
558 *SakKijânginnatuk Nunalik*, or the Sustainable Communities Initiative of the Nunatsiavut Government,
559 which adopts an holistic, integrative approach to address issues that are central to community wellbeing
560 and sustainability in the context of a changing climate (Riedlsperger et al. 2017). At its core, the initiative
561 incorporates Indigenous methodologies and Inuit Knowledge to inform good practices and provide
562 guidance for community sustainability. Yet the evolution of such work is *ad hoc*, constrained by an
563 absence of long term stable funding, and challenged by asymmetric power relationships between
564 researchers and communities (Castleden et al., 2012; Ford et al., 2016; McClymont Peace and Myers,
565 2012). Research programs with an overarching fundamental science framing have benefited from large,
566 multi-year investments through the Canada First Excellence Fund and Networks of Centres of Excellence,
567 with similar investments needed to catalyze, support, and sustain applied decision orientated work
568 focused on the north coast.

569 Finally, there is a need for enhanced communication of results of impacts, adaptation, and
570 vulnerability research. While knowledge mobilization is increasingly being stressed and required by
571 funders, little attention has been given to how to effectively communicate research to raise awareness and
572 encourage behavioral change (Ford et al., 2016; Gearheard and Shirley, 2007; McDonald et al., 2016).
573 Decision-makers involved in northern adaptation work interviewed in both Champalle et al (2013) and
574 Labbe et al (2017), for instance, consistently noted poor communication of research results. Herein, the
575 general scholarship highlights that effective knowledge mobilization is underpinned by process of social
576 learning, involving collective action, reflection, and deliberation among stakeholders and scientists (Fazey
577 et al., 2014; Fazey et al., 2013; Harvey et al., 2012; Harvey et al., 2013; Rodela et al., 2012). This process
578 needs to go beyond just raising awareness about a problem, to encourage active engagement in the issue,
579 create agents of change, and engender policy/behavioral change. This begins early in the project cycle,
580 providing an opportunity for research priorities to be determined with input from knowledge users, to
581 ensure that data collected is relevant for decision choices, and to engage all the relevant stakeholders.
582 Such approaches are characterized by ongoing presentation, discussion, and reflection of results that are
583 communicated in an accessible manner that recognizes the attitudes, worldview, experiences, and
584 capabilities of those interested in the work often differ and need to be targeted appropriately. Region-to-
585 region learning also offers significant promise in the north, involving community elders, leaders, and
586 youth visiting other regions and communities to arise awareness and disseminate information on climate
587 impacts and adaptation options (Gearheard et al., 2006; Huntington, 2011). It is also important that results
588 are presented in an integrated way to limit the potential for confusion if contradictory results are presented
589 – the communication of health issues around contaminants, for instance, offers a cautionary tale and
590 learning opportunity for science communication more broadly in the north (Jardine et al., 2004).

591

592 7. Conclusion

593

594 The research landscape in northern Canada is developing rapidly. Climate change impacts,
595 adaptation, and vulnerability studies are just one component of this, and we review and characterize the
596 state of knowledge in this area, identify research needs, and outline cross-cutting themes for future work.
597 A variety of issues relevant to the north coast are now at the forefront of the political agenda, including
598 sustainable development, climate change, northern devolution, and tackling social and economic
599 challenges facing many communities. Research has a central role in addressing many of these issues. Yet
600 past (and current) research approaches have not always served the needs of northerners, and have often
601 reflected the interests and worldviews of the scientific community. This is evident in growing researcher
602 fatigue in the north, where communities report being overwhelmed with often overlapping projects
603 seemingly asking the same questions and with limited local input or strategic direction. As the Pan-
604 Northern Approach to Science argues—released in April 2016 by the three territorial premiers—a new
605 approach to northern science is required that recognizes the importance of curiosity-driven or
606 fundamental science but balances this with “solutions-driven, needs-oriented and partnership-based
607 research,” (GN, GNWT, GY, 2016: p13). Strong community engagement and partnerships, respect for
608 northern culture, and guidance from local and traditional knowledge need to underpin future impacts,
609 adaptation, and vulnerability research on the north coast.

610

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