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1 **Identification of novel susceptibility loci and genes for breast cancer risk: A transcriptome-**
 2 **wide association study of 229,000 women of European descent**

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349 **Abstract:**

350 Breast cancer risk variants identified in genome-wide association studies explain only a small
351 fraction of familial relative risk, and genes responsible for these associations remain largely
352 unknown. To identify novel risk loci and likely causal genes, we performed a transcriptome-wide
353 association study evaluating associations of genetically predicted gene expression with breast
354 cancer risk in 122,977 cases and 105,974 controls of European ancestry. We used data from 67
355 subjects included in the Genotype-Tissue Expression Project to establish genetic models to
356 predict gene expression in breast tissue and evaluated model performance using data from 86
357 subjects included in The Cancer Genome Atlas. Of the 8,597 genes evaluated, significant
358 associations were identified for 48 at a Bonferroni-corrected threshold of $P < 5.82 \times 10^{-6}$,
359 including 14 genes at loci not yet reported for breast cancer risk. We silenced 13 genes and
360 showed an effect for 11 on cell proliferation and/or colony forming efficiency. Our study
361 provides new insights into breast cancer genetics and biology.

362

363 Breast cancer is the most commonly diagnosed malignancy among women in many countries¹.
364 Genetic factors play an important role in breast cancer etiology. Multiple high- and moderate-
365 penetrance genes, including BRCA1, BRCA2, PALB2, CHEK2 and ATM, have been identified as
366 contributors to familial breast cancer^{2,3}. However, deleterious germline mutations in these genes
367 are rare, thus accounting for only a small fraction of breast cancer cases in the general
368 population^{4,5}. Since 2007, genome-wide association studies (GWAS) have identified
369 approximately 180 genetic loci harboring common, low-penetrance variants for breast cancer⁶⁻¹³,
370 but these more common variants explain less than 20% of familial relative risk⁷.

371
372 A large proportion of disease-associated risk variants identified by GWAS are located in non-
373 protein coding or intergenic regions and are not in linkage disequilibrium (LD) with any
374 nonsynonymous coding single nucleotide polymorphisms (SNPs)¹⁴. Many of these susceptibility
375 variants are located in gene regulatory elements^{15,16}, and it has therefore been hypothesized that
376 most of the GWAS-identified associations may be driven by the regulatory function of risk
377 variants on the expression levels of nearby genes. For breast cancer, recent studies have shown
378 that GWAS-identified associations at 1p34, 1p36, 2q35, 5p12, 5p15.33, 5q11.2, 5q14, 6q25,
379 7q22, 9q31.2, 10q21.3, 10q26.13, 11p15, 11q13.3, 15q26.1, 19p13 and 19q13.31 are likely due
380 to the effect of risk variants at these loci on regulating the expression of either nearby or more
381 distal genes: CITED4, KLHDC7A, IGFBP5, FGF10/MRPS30, TERT, MAP3K1, ATP6AP1L,
382 RMND1, RASA4/PRKRIP1, KLF4, NRBF2, FGFR2, PIDD1, CCND1, RCCD1, ABHD8, and
383 ZNF404^{7,9,10,13,17-22}. However, for the large majority of the GWAS-identified breast cancer risk
384 loci, the genes responsible for the associations remain unknown.

385

386 Several recent studies have reported that regulatory variants may account for a large proportion
387 of disease heritability not yet discovered through GWAS²³⁻²⁵. Many of these variants may have a
388 small effect size, and thus are difficult to identify in individual SNP-based GWAS studies, even
389 with a very large sample size. Applying gene-based approaches that aggregate the effects of
390 multiple variants into a single testing unit may increase study power to identify novel disease-
391 associated loci. Transcriptome-wide association studies (TWAS) systematically investigate
392 across the transcriptome the association of genetically predicted gene expression with disease
393 risk, providing an effective approach to identify novel susceptibility genes²⁶⁻²⁹. Instead of testing
394 millions of SNPs in GWAS, TWAS evaluate the association of predicted expression for selected
395 genes, thus greatly reducing the burden of multiple comparisons in statistical inference.
396 Recently, Hoffman et al performed a TWAS including 15,440 cases and 31,159 controls and
397 reported significant associations for five genes with breast cancer risk³⁰. However, the sample
398 size of that study was relatively small and several reported associations were not statistically
399 significant after Bonferroni correction. Herein, we report results from a larger TWAS of breast
400 cancer that used the MetaXcan method²⁶ to analyze summary statistics data from 122,977 cases
401 and 105,974 controls of European descent from the Breast Cancer Association Consortium
402 (BCAC).

403

404 **Results**

405 **Gene expression prediction models**

406 The overall study design is shown in **Supplementary Figure 1**. We used transcriptome and
407 high-density genotyping data from 67 women of European descent included in the Genotype-
408 Tissue Expression (GTEx) project to build genetic models to predict RNA expression levels for

409 each of the genes expressed in normal breast tissues, by applying the elastic net method ($\alpha=0.5$)
410 with ten-fold cross-validation. Genetically regulated expression was estimated for each gene
411 using variants within a 2 MB window flanking the respective gene boundaries, inclusive. SNPs
412 with a minor allele frequency of at least 0.05 and included in the HapMap Phase 2 subset were
413 used for model building. Of the models built for 12,696 genes, 9,109 showed a prediction
414 performance (R^2) of at least 0.01 ($\geq 10\%$ correlation between predicted and observed expression).
415 For genes for which the expression could not be predicted well using this approach, we built
416 models using only SNPs located in the promoter or enhancer regions, as predicted using three
417 breast cell lines in the Roadmap Epigenomics Project/Encyclopedia of DNA Elements Project.
418 This approach leverages information from functional genomics and reduces the number of
419 variants for variable selection, and therefore potentially improving statistical power. This
420 enabled us to build genetic models for additional 3,715 genes with $R^2 \geq 0.01$. **Supplementary**
421 **Table 1** provides detailed information regarding the performance threshold and types of models
422 built in this study. Overall, genes that were predicted with $R^2 \geq 0.01$ in GTEx data were also
423 predicted well in The Cancer Genome Atlas (TCGA) tumor-adjacent normal tissue data
424 (correlation coefficient of 0.55 for R^2 in two datasets; **Supplementary Figure 2**). Based on
425 model performance in GTEx and TCGA, we prioritized 8,597 genes for analyses of the
426 associations between predicted gene expression and breast cancer risk using the following
427 criteria: 1) genes with a model prediction R^2 of at least 0.01 in the GTEx set (10% correlation)
428 and a Spearman's correlation coefficient of ≥ 0.1 in the external validation experiment using
429 TCGA data, 2) genes with a prediction R^2 of at least 0.09 (30% correlation) in the GTEx set
430 regardless of their performance in the TCGA set, 3) genes with a prediction R^2 of at least 0.01 in

431 the GTEx set (10% correlation) that could not be evaluated in the TCGA set because of a lack of
432 data.

433

434 **Association analyses of predicted gene expression with breast cancer risk**

435 Using the MetaXcan method²⁶, we performed association analyses to evaluate predicted gene
436 expression and breast cancer risk using the meta-analysis summary statistics of individual

437 genetic variants generated for 122,977 breast cancer cases and 105,974 controls of European

438 ancestry included in BCAC. For the majority of the tested genes, most of the SNPs selected for

439 prediction models were used for the association analyses (e.g., $\geq 95\%$ predicting SNPs used for

440 83.8% of the tested genes, and $\geq 80\%$ predicting SNPs used for 95.6% of the tested genes).

441 Lambda 1,000 ($\lambda_{1,000}$), a standardized estimate of the genomic inflation scaling to a study of

442 1,000 cases and 1,000 controls, was 1.004 in our study (Quantile-quantile (QQ) plot presented in

443 **Supplementary Figure 3 (A)**). Of the 8,597 genes evaluated in this study, we identified 179

444 genes whose predicted expression was associated with breast cancer risk at $P < 1.05 \times 10^{-3}$, a FDR-

445 corrected significance level (**Figure 1, Supplementary Table 2**). Of these, 48 showed a

446 significant association at the Bonferroni-corrected threshold of $P \leq 5.82 \times 10^{-6}$ (**Figure 1, Tables 1-**

447 **3**), including 14 genes located at 11 loci that are 500 kb away from any of the risk variants

448 identified in previous GWAS of breast cancer risk (**Table 1**). An association between lower

449 predicted expression and increased breast cancer risk was detected for LRRC3B (3p24.1),

450 SPATA18 (4q12), UBD (6p22.1), MIR31HG (9p21.3), RIC8A (11p15.5), B3GNT1 (11q13.2),

451 GALNT16 (14q24.1) and MAN2C1 and CTD-2323K18.1 (15q24.2). Conversely, an association

452 between higher predicted expression and increased breast cancer risk was identified for ZSWIM5

453 (1p34.1), KLHDC10 (7q32.2), RP11-867G23.10 (11q13.2), RP11-218M22.1 (12p13.33) and

454 PLEKHD1 (14q24.1). The remaining 34 significantly associated genes are all located at breast
455 cancer susceptibility loci identified in previous GWAS (**Tables 2-3**). Among them, 23 have not
456 yet been previously implicated as genes responsible for association signals with breast cancer
457 risk identified at these loci through expression quantitative trait loci (eQTL) and/or functional
458 studies, and do not harbor GWAS or fine-mapping identified risk variants (**Table 2**), while the
459 other eleven (KLHDC7A⁷, ALS2CR12³¹, CASP8^{31,32}, ATG10⁹, SNX32³³, STXBP4^{34,35}, ZNF404⁸,
460 ATP6AP1L⁹, RMND1¹⁷, L3MBTL3⁶, and RCCD1¹⁰) had been reported as potential causal genes
461 at breast cancer susceptibility loci or harbor GWAS or fine-mapping identified risk variants
462 (**Table 3**). Except for RP11-73O6.3 and L3MBTL3, there was no evidence of heterogeneity in
463 the gene-expression association ($I^2 < 0.2$) across the iCOGS, OncoArray, and GWAS datasets
464 included in our analyses (**Supplementary Table 3**). Overall, through our agnostic search, we
465 identified 37 novel susceptibility genes for breast cancer, including 21 protein-coding genes, 15
466 long non-coding RNAs (lncRNAs) and a processed transcript, and confirmed eleven genes
467 known to potentially play a role in breast cancer susceptibility.

468

469 To determine whether the associations between predicted gene expression and breast cancer risk
470 were independent of the association signals identified in previous GWAS, we performed
471 conditional analyses adjusting for the GWAS-identified risk SNPs closest to the TWAS-
472 identified gene (**Supplementary Table 4**)³⁶. We found that the associations for 11 genes
473 (LRRC3B, SPATA18, KLHDC10, MIR31HG, RIC8A, B3GNT1, RP11-218M22.1, MAN2C1,
474 CTD-2323K18.1 (**Table 1**), ALK, CTD-3051D23.1 (**Table 2**)) remained statistically significant
475 at $P < 5.82 \times 10^{-6}$ (**Tables 1-3**). This suggests the expression of these genes may be associated with
476 breast cancer risk independent of the GWAS-identified risk variant(s). For nine of the genes

477 (SPATA18, KLHDC10, MIR31HG, RIC8A, RP11-218M22.1, MAN2C1, CTD-2323K18.1 (**Table**
478 **1**), ALK, and CTD-3051D23.1 (**Table 2**)), the significance level of the association remained
479 essentially unchanged, suggesting these associations may be entirely independent of GWAS-
480 identified association signals.

481
482 Of the 131 genes showing a significant association at P values between 5.82×10^{-6} and 1.05×10^{-3}
483 (significant after FDR-correction but not Bonferroni-correction), 38 are located at GWAS-
484 identified breast cancer risk loci (± 500 kb of the index SNPs) (**Table 4**). Except for RP11-
485 400F19.8, there was no evidence of heterogeneity in TWAS association ($I^2 < 0.2$) across the
486 iCOGS, OncoArray, and GWAS studies (**Supplementary Table 3**). After adjusting for the index
487 SNPs, breast cancer associations for MTHFD1L, PVT1, RP11-123K19.1, FES, RP11-400F19.8,
488 CTD-2538G9.5, and CTD-3216D2.5 remained significant at $p \leq 1.05 \times 10^{-3}$, again suggesting that
489 the association of these genes with breast cancer risk may be independent of the GWAS-
490 identified association signals (**Table 4**).

491
492 For 41 of the 48 associated genes that reached the Bonferroni-corrected significant level, we
493 obtained individual-level data from subjects included in the iCOGS (n=84,740) and OncoArray
494 (n=112,133) datasets, which was 86% of the subjects included in the analysis using summary
495 statistics (**Supplementary Table 5**). The results from the analysis using individual-level data
496 were very similar to those described above using MetaXcan analyses (Pearson correlation of z-
497 scores was 0.991 for iCOGS data and 0.994 for OncoArray data), although not all associations
498 reached the Bonferroni-corrected significant level, possibly due to a smaller sample size
499 (**Supplementary Table 5**). Conditional analyses using individual level data also revealed

500 consistent results compared with analyses using summary data. We found that for several genes
501 within the same genomic region, their predicted expression levels were correlated with each
502 other (**Tables 1-3**). The associations between predicted expression of PLEKHD1 and ZSWIM5
503 and breast cancer risk were largely influenced by their corresponding closest risk variants
504 identified in GWAS, although these risk variants are >500 kb away from these genes (**Table 1**).
505 There were significant correlation of rs999737 and rs1707302 with genetically predicted
506 expression of PLEKHD1 ($r = -0.47$ in the OncoArray dataset and -0.48 in the iCOGS dataset)
507 and ZSWIM5 ($r = 0.50$ in the OncoArray dataset and 0.51 in the iCOGS dataset), respectively.

508

509 **INQUISIT algorithm scores for the identified genes**

510 For the 48 associated genes after Bonferroni correction, we assessed their integrated expression
511 quantitative trait and in silico prediction of GWAS target (INQUISIT) scores⁷ to assess whether
512 there are other lines of evidence beyond the scope of eQTL for supporting our TWAS-identified
513 genes as candidate target genes at GWAS-identified loci. The detailed methodology for
514 INQUISIT scores have been described elsewhere⁷. In brief, a score for each gene-SNP pair is
515 calculated across categories representing potential regulatory mechanisms - distal or proximal
516 gene regulation (promoter). Features contributing to the score are based on functionally
517 important genomic annotations such as chromatin interactions, transcription factor binding, and
518 eQTLs. Compared with evidence from eQTL only, INQUISIT scores incorporate additional lines
519 of evidence, including distal regulations. The INQUISIT scores for our identified genes are
520 shown in **Supplementary Table 6**. Except for UBD with a very low score in the distal regulation
521 category (0.05), none of the genes at novel loci (**Table 1**) showed evidence to be potential target
522 genes for any of the GWAS-identified breast cancer susceptibility loci. This is interesting and

523 within the expectation since these genes may represent novel association signals. There was
524 evidence suggesting that RP11-439A17.7, NUDT17, ANKRD34A, BTN3A2, AP006621.6,
525 RPLP2, LRRC37A2, LRRC37A, KANSL1-AS1, CRHR1 and HAPLN4 listed in Table 2, and all
526 eleven genes listed in Table 3, may be target genes for risk variants identified in GWAS at these
527 loci (**Supplementary Table 6**). For NUDT17, ANKRD34A, RPLP2, LRRC37A2, LRRC37A,
528 KANSL1-AS1, CRHR1, HAPLN4, KLHDC7A, ALS2CR12, CASP8, ATG10, ATP6AP1L,
529 L3MBTL3, RMND1, SNX32, RCCD1, STXBP4 and ZNF404, the INQUISIT scores were not
530 derived only from eQTL data, providing orthogonal support for these loci. For these loci, the
531 associations of candidate causal SNPs with breast cancer risk may be mediated through these
532 genes. This is in general consistent with the findings from the conditional analyses described
533 above.

534

535 **Pathway enrichment analyses**

536 Ingenuity Pathway Analysis (IPA)³⁷ suggested potential enrichment of cancer-related functions
537 for the significantly associated protein-coding genes identified in this study (**Supplementary**
538 **Table 7**). The top canonical pathways identified in these analyses included apoptosis related
539 pathways (Granzyme B signaling (p=0.024) and cytotoxic T lymphocyte-mediated apoptosis of
540 target cells (p=0.046)), immune system pathway (inflammasome pathway (p=0.030)), and
541 tumoricidal function of hepatic natural killer cells (p=0.036). The identified pathways are largely
542 consistent with findings in previous studies⁷. For the significantly associated lncRNAs identified
543 in this study, pathway analysis of their highly co-expressed protein-coding genes also revealed
544 potential over-representation of cancer related functions (**Supplementary Table 7**).

545

546 Knockdown of predicted risk-associated genes in breast cells

547 To assess the function of genes whose high levels of predicted expression were associated with
548 increased breast cancer risk, we selected 13 genes for knockdown experiments in breast cells:
549 ZSWIM5, KLHDC10, RP11-218M22.1 and PLEKHD1 (**Table 1**), UBLCP1, AP006621.6, RP11-
550 467J12.4, CTD-3032H12.1 and RP11-15A1.7 (**Table 2**), and ALS2CR12, RMND1, STXBP4 and
551 ZNF404 (**Table 3**). As negative controls, we selected B2M, ARHGDIA and ZAP70 using the
552 following criteria: 1) at least 2 MB from any known breast cancer risk locus; 2) not an essential
553 gene in breast cancer^{38,39}; and 3) not predicted to be a target gene in INQUISIT. In addition, as
554 positive controls, we included in the experiments PIDD1 (**Table 4**)⁷, NRBF2²⁰ and ABHD8²²,
555 which have been functionally validated as the target genes at breast cancer risk loci. We
556 performed quantitative PCR (qPCR) on a panel of three ‘normal’ mammary epithelial and 15
557 breast cancer cell lines to analyze their expression level (**Supplementary Figure 4 and**
558 **Supplementary Table 8**). All 19 genes were expressed in the normal mammary epithelial line
559 184A1⁴⁰ and the luminal breast cancer cell lines, MCF7 and T47D, so we used these cell lines
560 for the proliferation assay, and MCF7 for the colony formation assay⁴¹. We also evaluated
561 SNX32, ALK and BTN3A2 by qPCR, but they were not expressed in T47D and MCF7 cells;
562 therefore they were not evaluated further. It was difficult to design siRNAs against RP11-
563 867G23.1 and RP11-53O19.1 because they both have multiple transcripts with limited, GC-rich
564 regions in common. We did not include RPLP2 because it is already known to be an essential
565 gene for breast cancer survival⁴². Knockdown of the 19 tested genes was achieved by small short
566 interfering RNA (siRNA) (**Supplementary Table 9**) and the knockdown efficiency was
567 calculated in 184A1, MCF7 and T47D for each siRNA pair. Robust knockdown of the gene of

568 interests (GOI) was validated by qPCR with the majority of the siRNAs (**Supplementary Figure**
569 **5**).

570

571 To evaluate the survival and proliferation ability of cells following gene interruption, we used an
572 IncuCyte to quantify cell proliferation in real time and quantified the corrected proliferation of
573 cells with knocking down of GOI in comparison to that of cells with non-target control (NTC)
574 siRNA). As expected, knockdown of the three negative control genes (B2M, ARHGDI1 and
575 ZAP70) did not significantly change cell proliferation in any of the three cell lines (**Figure 2A,**
576 **Supplementary Figure 6**). However, with the exception of *UBLCP1*, *RMND1* and *STXBP4*,
577 knockdown of all other genes (11 TWAS-identified genes along with two known genes, *ABHD8*
578 and *NRBF2*) resulted in significantly decreased cell proliferation in 184A1 normal breast cells,
579 with *KLHDC10*, *PLEKHD1*, *RP11-218M22.1*, *AP006621.6*, *ZNF404*, *RP11-467J12.4*, *CTD-*
580 *3032H12.1* and *STXBP4* showing a similar effect in one or both cancer cell lines. Down-
581 regulation of three lncRNAs (*RP11-218M22.1*, *RP11-467J12.4* and *CTD-3032H12.1*) resulted in
582 significant reduction in cell proliferation in all three cell lines. We also evaluated the effect of
583 inhibition of these genes on colony forming ability in MCF7 cells. Knockdown of the three
584 negative control genes did not significantly affect colony forming efficiency (CFE). By contrast,
585 knockdown of *PIDD1*, *RP11-15A1.7*, *RP11-218M22.1*, *AP006621.6*, *ZNF404*, *RP11-467J12.4*
586 and *CTD-3032H12.1* resulted in significantly decreased colony forming efficiency in MCF7 cells
587 compared to the NTC (**Figure 2B, Supplementary Figure 7**).

588

589 **Discussion**

590 This is the largest study to systematically evaluate associations of genetically predicted gene

591 expression across the human transcriptome with breast cancer risk. We identified 179 genes
592 showing a significant association at the FDR-corrected significance level. Of these, 48 showed a
593 significant association at the Bonferroni-corrected threshold, including 14 genes at genomic loci
594 that have not previously been implicated for breast cancer risk. Of the 34 genes we identified that
595 are located at known risk loci, 23 have not previously been shown to be the targets of GWAS-
596 identified risk SNPs at corresponding loci and not harbor any risk SNPs. Our study provides
597 substantial new information to improve the understanding of genetics and etiology for breast
598 cancer, the most common malignancy among women in most countries.

599

600 It is possible that TWAS-identified genes may be associated with breast cancer risk through their
601 correlation with disease causal genes. To determine the potential functional significance of
602 TWAS-identified genes and provide evidence for causal inference, we knocked down 13 genes
603 for which high predicted levels of expression were associated with an increased breast cancer
604 risk, in one normal and two breast cancer cell lines, and measured the effect on proliferation and
605 colony forming efficiency. Although there was some variation between cell lines, knockdown of
606 11 of the 13 genes showed an effect in at least one cell line, particularly on proliferation in
607 184A1 normal breast cells; the effects were strongest and most consistent for the lncRNAs,
608 RP11-218M22.1, RP11-467J12.4 and CTD-3032H12.1. The observation of a more consistent
609 effect in the normal breast cell line compared with the cancer cell lines is not surprising as cancer
610 cell lines have increased capacity to handle gene interference through mutations which enhance
611 cell survival. Rewiring of pathways and compensatory mechanisms is a hallmark of cancer.
612 Knockdown of PIDD1, NRBF2 and ABHD8, for which breast cancer risk associated haplotypes
613 have been shown to be associated with increased expression in reporter assays^{7,20,22}, affected

614 either proliferation or colony forming efficiency, supporting the results from this study.

615 Knockdown of UBLCP1 and RMND1 did not affect proliferation or colony formation but they
616 could mediate breast cancer risk through other mechanisms.

617

618 Some of the genes with strong functional evidence from our study have been reported to have
619 important roles in carcinogenesis. For example, RP11-467J12.4 (PR-lncRNA-1) is a p53-
620 regulated lncRNA that modulates gene expression in response to DNA damage downstream of
621 p53⁴³. STXBP4 encodes Syntaxin binding protein 4, a scaffold protein that can stabilise and
622 prevent degradation of an isoform of p63, a member of the p53 tumor suppressor family⁴⁴.
623 KLHDC10 encodes a member of the Kelch superfamily that can activate apoptosis signal-
624 regulating kinase 1, contributing to oxidative stress-induced cell death⁴⁵. Notably, another
625 member of this superfamily, KLHDC7A, has recently been identified as the target gene at the
626 1p36 breast cancer risk locus⁷.

627

628 SNX32, ALK and BTN3A2 are also likely susceptibility genes for breast cancer risk. However,
629 their low or absent expression in our chosen breast cell lines prevented further functional
630 analysis. SNX32 (Sorting Nexin 32) is not well characterized, but ALK (Anaplastic lymphoma
631 kinase) copy number gain and overexpression have been reported in aggressive and metastatic
632 breast cancers⁴⁶. Therapeutic targeting of ALK rearrangement has significantly improved
633 survival in advanced ALK-positive lung cancer⁴⁷, making it an attractive target for breast and
634 other cancers. BTN3A2 is a member of the B7/butyrophilin-like group of Ig superfamily
635 receptors modulating the function of T-lymphocytes. While the exact role of BTN3A2 remains

636 unknown, over-expression of this gene in epithelial ovarian cancer is associated with higher
637 infiltrating immune cells and a better prognosis⁴⁸.

638

639 Our analyses identified multiple genes with reduced expression levels associated with increased
640 breast cancer risk. Among them, LRRC3B and CASP8 are putative tumor suppressors in multiple
641 cancers, including breast cancer. Leucine-rich repeat-containing 3B (LRRC3B) is a putative
642 LRR-containing transmembrane protein, which is frequently inactivated via promoter
643 hypermethylation leading to inhibition of cancer cell growth, proliferation, and invasion⁴⁹.
644 CASP8 encodes a member of the cysteine-aspartic acid protease family, which play a central role
645 in cell apoptosis. Previous studies have suggested that caspase-8 may act as a tumor suppressor
646 in certain types of lung cancer and neuroblastoma, although this function has not yet been
647 demonstrated in breast cancer. Notably, several large association studies have identified SNPs at
648 the 2q33/CASP8 locus associated with increased breast cancer risk^{31,50}. Consistent with our data,
649 eQTL analyses showed that the risk alleles for breast cancer were associated with reduced
650 CASP8 mRNA levels in both peripheral blood lymphocytes and normal breast tissue³¹.

651

652 For seven of the genes listed in Tables 1 and 2, we found some evidence from studies using
653 tumor tissues, in vitro or in vivo experiments linking them to cancer risk (**Supplementary Table**
654 **10**), although their association with breast cancer has not been previously demonstrated in human
655 studies. For five of them, including LRRC3B, SPATA18, RIC8A, ALK and CRHR1, previous in
656 vitro and in vivo experiments and human tissue studies showed a consistent direction of the
657 association as demonstrated in our studies. For two other genes (UBD and MIR31HG), however,
658 results from previous studies were inconsistent, reporting both potential promoting and inhibiting

659 effects on breast cancer development. Future studies are needed to evaluate functions of these
660 genes.

661
662 We included a large number of cases and controls in this study, providing strong statistical power
663 for the association analysis. This large sample size enabled us to identify a large number of
664 candidate breast cancer susceptibility genes, much larger than the number identified in a TWAS
665 study with a sample size of about 20% of ours³⁰. The previous study included subjects of
666 different races, which could affect the results as linkage disequilibrium (LD) patterns differ by
667 races. Of the five genes reported in that smaller TWAS that showed a suggestive association with
668 breast cancer risk, the association for the RCCD1 gene was replicated in our study (**Table 3**).

669 The other four genes (ANKLE1, DHODH, ACAP1 and LRRC25) were not evaluated in our study
670 because of unsatisfactory performance of our breast specific models for these genes which were
671 built using the GTEx reference dataset including only female European descendants. In our
672 study, the expression prediction model for ANKLE1 has a marginal performance in predicting
673 gene expression ($R^2=0.013$ in the GTEx). The model, however, did not perform well in the
674 TCGA data. For ACAP1 and LRRC25, previous results for suggestive associations were based on
675 blood tissue models.

676
677 A substantial proportion of SNPs included in the OncoArray and iCOGS were selected from
678 breast cancer GWAS and fine-mapping analyses, and thus these arrays were enriched for
679 association signals with breast cancer risk. As a result, the overall λ value for the BCAC
680 association analyses of individual variants is 1.26 after adjusting for population stratifications
681 (QQ plot in **Supplementary Figure 3 (B)**)⁷. The λ value for the associations of the ~257,000

682 SNPs included in the gene expression prediction models of the 8,597 genes tested in our
683 association analysis is 1.40 (QQ plot in **Supplementary Figure 3 (C)**). This higher λ value is
684 perhaps expected because of a potential further enrichment of breast cancer associated signals in
685 the set of SNPs selected to predict gene expression. There could be additional gain of power (and
686 thus a higher λ value) in TWAS as it aggregates the effect of multiple SNPs to predict gene
687 expression and use genes as the unit for association analyses. **The lambda (λ) for our associated**
688 **analyses of 8,597 genes was 1.51** (QQ plot presented in **Supplementary Figure 3 (A)**) **likely**
689 **due to the potential enrichment and power gain discussed above as well as our large sample size,**
690 and the highly polygenic nature of the disease^{7,51}. Interestingly, high λ values were also found in
691 recent large studies of other polygenic traits, such as body mass index (BMI) ($\lambda = 1.99$) and
692 height ($\lambda = 2.7$)^{52,53}. **The $\lambda_{1,000}$, a standardized estimate of the genomic inflation scaling to a study**
693 **of 1,000 cases and 1,000 controls, is 1.004 in our study.**

694

695 **The statistical power of our study is very large to detect associations for genes with a relatively**
696 **high cis-heritability (h^2) (**Supplementary Figure 8**). For example, our study has 80% statistical**
697 **power to detect an association with breast cancer risk at $P < 5.82 \times 10^{-6}$ with an OR of 1.07 or**
698 **higher per one standard deviation increase (or decrease) in the expression level of genes with an**
699 **h^2 of 0.1 or higher.** One limitation of our study is the small sample size for building gene
700 expression prediction models, which may have affected the precision of model parameter
701 estimates. The prediction performance (R^2) for several of the genes identified in our study was
702 not optimal, and thus additional research is needed to confirm our findings. We expect that
703 models built with a larger sample size (and thus with more stable estimates of model parameters)
704 will identify additional association signals. We used samples from women of European origin in

705 model building, given differences in gene expression patterns between males and females and in
706 genetic architecture across ethnicities⁵⁴. We also used gene expression data of tumor-adjacent
707 normal tissue samples from European descendants in TCGA as an external validation step to
708 prioritize genes for association analyses. Given potential somatic alterations in tumor-adjacent
709 normal tissues, we retained all models showing a prediction performance (R^2) of at least 0.09 in
710 GTEx, regardless of their performance in TCGA. Not all genes have a significant hereditary
711 component in expression regulation, and thus these genes could not be investigated in our study.
712 For example, previous studies have provided strong evidence to support a significant role of the
713 TERT, ESR1, CCND1, IGFBP5, TET2 and MRPS30 genes in the etiology of breast cancer.
714 However, expression of these genes cannot be predicted well using the data from female
715 European descendants included in the GTEx and thus they were not included in our association
716 analyses. **Supplementary Table 11** summarizes the performance of prediction models and
717 association results for breast cancer target genes reported previously at GWAS-identified loci.
718
719 In summary, our study has identified multiple gene candidates that can be further functionally
720 characterized. By evaluating the associations of predicted gene expression levels with breast
721 cancer risk, we provided evidence for the direction of the association for the identified genes.
722 The silencing experiments we performed suggest that many of the genes identified by TWAS are
723 likely to mediate risk of breast cancer by affecting proliferation or colony forming efficiency,
724 two of the hallmarks of cancer. Further investigation of genes identified in our study will provide
725 additional insight into the biology and genetics of breast cancer.

726

727 **Methods**

728 Building of gene expression prediction models

729 We used transcriptome and high-density genotyping data from the Genotype-Tissue Expression
730 (GTEx) study to establish prediction models for genes expressed in normal breast tissues. Details
731 of the GTEx have been described elsewhere⁵⁵. Genomic DNA samples obtained from study
732 subjects included in the GTEx were genotyped using Illumina OMNI 5M or 2.5M SNP Array
733 and RNA samples from 51 tissue sites were sequenced to generate transcriptome profiling data.
734 Genotype data were processed according to the GTEx protocol
735 (<http://www.gtexportal.org/home/documentationPage>). SNPs with a call rate < 98%, with
736 differential missingness between the two array experiments (5M/2.5M Arrays), with Hardy-
737 Weinberg equilibrium p-value < 10⁻⁶ (among subjects of European ancestry), or showing batch
738 effects were excluded. One Klinefelter individual, three related individuals, and a chromosome
739 17 trisomy individual were also excluded. The genotype data were imputed to the Haplotype
740 Reference Consortium reference panel⁵⁶ using Minimac3 for imputation and SHAPEIT for
741 prephasing^{57,58}. SNPs with high imputation quality ($r^2 \geq 0.8$), minor allele frequency (MAF) \geq
742 0.05, and included in the HapMap Phase 2 version, were used to build expression prediction
743 models. For gene expression data, we used Reads Per Kilobase per Million (RPKM) units from
744 RNA-SeQC⁵⁹. Genes with a median expression level of 0 RPKM across samples were removed,
745 and the RPKM values of each gene were log2 transformed. We performed quantile normalization
746 to bring the expression profile of each sample to the same scale, and performed inverse quantile
747 normalization for each gene to map each set of expression values to a standard normal. We
748 adjusted for the top ten principal components (PCs) derived from genotype data and the top 15
749 probabilistic estimation of expression residuals (PEER) factors to correct for batch effects and
750 experimental confounders in model building⁶⁰. Genetic and transcriptome data from 67 female

751 subjects of European descent without a prior breast cancer diagnosis were used to build gene
752 expression prediction models for this study.

753

754 We built an expression prediction model for each gene by using the elastic net method as
755 implemented in the glmnet R package, with $\alpha=0.5$, as recommended by Gamazon et al²⁷. The
756 genetically regulated expression for each gene was estimated by including variants within a 2
757 MB window flanking the respective gene boundaries, inclusive. Expression prediction models
758 were built for protein coding genes, long non-coding RNAs (lncRNAs), microRNAs (miRNAs),
759 processed transcripts, immunoglobulin genes, and T cell receptor genes, according to categories
760 described in the Gencode V19 annotation file (<http://www.genecodegenes.org/releases/19.html>).
761 Pseudogenes were not included in the present study because of potential concerns of inaccurate
762 calling⁶¹. Ten-fold cross-validation was used to validate the models internally. Prediction R^2
763 values (the square of the correlation between predicted and observed expression) were generated
764 to estimate the prediction performance of each of the gene prediction models established.

765

766 For genes that cannot be predicted well using the above approach, we built models using only
767 SNPs located in predicted promoter or enhancer regions in breast cell lines. This approach
768 reduces the number of variants for model building, and thus potentially improves model
769 accuracy, by increasing the ratio of sample size to effective degrees of freedom.

770 SNP-level annotation data in three breast cell lines, namely, Breast Myoepithelial Primary Cells
771 (E027), Breast variant Human Mammary Epithelial Cells (vHMEC) (E028), and HMEC
772 Mammary Epithelial Primary Cells (E119) in the Roadmap Epigenomics Project/Encyclopedia
773 of DNA Elements Project¹⁶, were downloaded from

774 <http://archive.broadinstitute.org/mammals/haploreg/data/> (Version 4.0, assessed on December 6,
775 2016). SNPs in regions classified as promoters (TssA, TssAFlnk), enhancers (Enh, EnhG), or
776 regions with both promoter and enhancer signatures (ExFlnk) according to the core 15 chromatin
777 state model¹⁶ in at least one of the cell lines were retained as input SNPs for model building.

778

779 **Evaluating performance of gene expression prediction models using The Cancer Genome**

780 **Atlas (TCGA) data**

781 To assess further the validity of the models, we performed external validation using data
782 generated in tumor-adjacent normal breast tissue samples obtained from 86 European-ancestry
783 female breast cancer patients included in the TCGA. Genotype data were imputed using the same
784 approach as described for GTEx data. Expression data were processed and normalized using a
785 similar approach as described above. The predicted expression level for each gene was calculated
786 using the model established using GTEx data and then compared with the observed level of that
787 gene using the Spearman's correlation.

788

789 **Evaluating statistical power for association tests**

790 We conducted a simulation analysis to assess the power of our TWAS analysis. Specifically, we
791 set the number of cases and controls to be 122,977 and 105,974, respectively, and generated the
792 gene expression levels from the empirical distribution of predicted gene expression levels in the
793 BCAC. We calculated statistical power at $P < 5.82 \times 10^{-6}$ (the significance level used in our
794 TWAS) according to cis-heritability (h^2) which we aim to capture using gene expression
795 prediction models (R^2). The results based on 1000 replicates are summarized in **Supplementary**
796 **Figure 8**. Based on the power calculation, our TWAS analysis has 80% power to detect a

797 minimum odds ratio of 1.11, 1.07, 1.05, 1.04, or 1.03 for breast cancer risk per one standard
 798 deviation increase (or decrease) in the expression level of a gene whose cis-heritability is 5%,
 799 10%, 20%, 40%, or 60%, respectively.

800

801 **Association analyses of predicted gene expression with breast cancer risk**

802 We used the following criteria to select genes for the association analysis: 1) with a model
 803 prediction R^2 of ≥ 0.01 in GTEx and a Spearman's correlation coefficient of ≥ 0.1 in TCGA, 2)
 804 with a prediction R^2 of ≥ 0.09 in GTEx regardless of the performance in TCGA, 3) with a
 805 prediction R^2 of ≥ 0.01 in GTEx but unable to be evaluated in TCGA. The second group of genes
 806 was selected because some gene expression levels might have changed in TCGA tumor-adjacent
 807 normal tissues, and thus it is anticipated that some genes may show low prediction performance
 808 in TCGA data due to the influence of tumor growth^{62,63}. Overall, a total of 8,597 genes met the
 809 criteria and were evaluated for their expression-trait associations.

810

811 To identify novel breast cancer susceptibility loci and genes, the MetaXcan method, as described
 812 elsewhere, was used for the association analyses²⁶. Briefly, the formula:

$$813 \quad Z_g \approx \sum_{l \in \text{Model}_g} w_{lg} \frac{\hat{\sigma}_l}{\hat{\sigma}_g} \frac{\hat{\beta}_l}{\text{se}(\hat{\beta}_l)}$$

814 was used to estimate the Z-score of the association between predicted expression and breast
 815 cancer risk. Here w_{lg} is the weight of SNP l for predicting the expression of gene g , $\hat{\beta}_l$ and
 816 $\text{se}(\hat{\beta}_l)$ are the GWAS association regression coefficient and its standard error for SNP l , and $\hat{\sigma}_l$
 817 and $\hat{\sigma}_g$ are the estimated variances of SNP l and the predicted expression of gene g respectively.
 818 Therefore, the weights for predicting gene expression, GWAS summary statistics results, and

819 correlations between model predicting SNPs are the input variables for the MetaXcan analyses.
820 For this study we estimated correlations between SNPs included in the prediction models using
821 the phase 3, 1000 Genomes Project data focusing on European population.
822
823 For the association analysis, we used the summary statistics data of genetic variants associated
824 with breast cancer risk generated in 122,977 breast cancer patients and 105,974 controls of
825 European ancestry from the Breast Cancer Association Consortium (BCAC). The details of the
826 BCAC have been described elsewhere^{7,9,13,64,65}. Briefly, 46,785 breast cancer cases and 42,892
827 controls of European ancestry were genotyped using a custom Illumina iSelect genotyping array
828 (iCOGS) containing ~211,155 variants. A further 61,282 cases and 45,494 controls of European
829 ancestry were genotyped using the OncoArray including 570,000 SNPs
830 (<http://epi.grants.cancer.gov/oncoarray/>). Also included in this analysis were data from nine
831 GWAS studies including 14,910 breast cancer cases and 17,588 controls of European ancestry.
832 Genotype data from iCOGS, OncoArray and GWAS were imputed using the October 2014
833 release of the 1000 Genomes Project data as reference. Genetic association results for breast
834 cancer risk were combined using inverse variance fixed effect meta-analyses⁷. For our study,
835 only SNPs with imputation $r^2 \geq 0.3$ were used. All participating BCAC studies were approved by
836 their appropriate ethics review boards. This study was approved by the BCAC Data Access
837 Coordination Committee.

838

839 **Lambda 1,000 ($\lambda_{1,000}$) was calculated to represent a standardized estimate of the genomic**
840 **inflation scaling to a study of 1,000 cases and 1,000 controls, using the following formula:**
841 **$\lambda_{1,000} = 1 + (\lambda_{\text{obs}} - 1) \times (1/n_{\text{cases}} + 1/n_{\text{controls}}) / (1/1,000_{\text{cases}} + 1/1,000_{\text{controls}})$** ^{66,67}. We used a Bonferroni

842 corrected p threshold of 5.82×10^{-6} ($0.05/8,597$) to determine a statistically significant association
843 for the primary analyses. To identify additional gene candidates at previously identified
844 susceptibility loci, we also used a false discovery rate (FDR) corrected p threshold of 1.05×10^{-3}
845 ($\text{FDR} \leq 0.05$) to determine a significant association. Associated genes with an expression of >0.1
846 RPKM in less than 10 individuals in GTEx data were excluded as the corresponding prediction
847 models may not be stable.

848

849 To determine whether the predicted expression-trait associations were independent of the top
850 signals identified in previous GWAS, we performed GCTA-COJO analyses developed by Yang
851 et al³⁶ to calculate association betas and standard errors of variants with breast cancer risk after
852 adjusting for the index SNPs of interest. We then re-ran the MetaXcan analyses using the
853 association statistics after conditioning on the index SNPs. This information was used to
854 determine whether the detected expression-trait associations remained significant after adjusting
855 for the index SNPs.

856

857 For 41 identified associated genes at the Bonferroni-corrected threshold, we also performed
858 analyses using individual level data in iCOGS ($n=84,740$) and OncoArray ($n=112,133$) datasets.
859 We generated predicted gene expression using predicting SNPs, and then assessed the
860 association between predicted gene expression and breast cancer risk adjusting for study and
861 nine principal components in iCOGS dataset, and country and the first ten principal components
862 in OncoArray dataset. Conditional analyses adjusting for index SNPs were performed to assess
863 potential influence of reported index SNPs on the association between predicted gene expression
864 and breast cancer risk. Furthermore, we evaluated whether the predicted expression levels of

865 genes within a same genomic region were correlated with each other by using the OncoArray
866 data.

867

868 **INQUISIT algorithm scores for TWAS-identified genes**

869 To evaluate whether there are additional lines of evidence supporting the identified genes as
870 putative target genes of GWAS identified risk SNPs beyond the scope of eQTL, we assessed
871 their INQUISIT algorithm scores, which have been described elsewhere⁷. Briefly, this approach
872 evaluates chromatin interactions between distal and proximal regulatory transcription-factor
873 binding sites and the promoters at the risk regions using Hi-C data generated in HMECs⁶⁸ and
874 Chromatin Interaction Analysis by Paired End Tag (ChiA-PET) in MCF7 cells. This could detect
875 genome-wide interactions brought about by, or associated with, CCCTC-binding factor (CTCF),
876 DNA polymerase II (POL2), and Estrogen Receptor (ER), all involved in transcriptional
877 regulation⁶⁸. Annotation of predicted target genes used the Integrated Method for Predicting
878 Enhancer Targets (IM-PET)⁶⁹, the Predicting Specific Tissue Interactions of Genes and
879 Enhancers (PreSTIGE) algorithm⁷⁰, Hnisz⁷¹ and FANTOM⁷². Features contributing to the scores
880 are based on functionally important genomic annotations such as chromatin interactions,
881 transcription factor binding, and eQTLs. The detailed information for the INQUISIT pipeline and
882 scoring strategy has been included in a previous publication⁷. In brief, besides assigning integral
883 points according to different features, we also set up-weighting and down-weighting criteria
884 according to breast cancer driver genes, topologically associated domain (TAD) boundaries, and
885 gene expression levels in relevant breast cell lines. Scores in the distal regulation category range
886 from 0-7, and in the promoter category from 0-4. A score of "none" represents that no evidence
887 was found for regulation of the corresponding gene.

888

889 Functional enrichment analysis using Ingenuity Pathway Analysis (IPA)

890 We performed functional enrichment analysis for the identified protein-coding genes reaching
891 Bonferroni corrected association threshold. To assess potential functionality of the identified
892 lncRNAs, we examined their co-expressed protein-coding genes determined using expression
893 data of normal breast tissue of European females in GTEx. Spearman's correlations between
894 protein-coding genes and identified lncRNAs of ≥ 0.4 or ≤ -0.4 were used to indicate a high co-
895 expression. Canonical pathways, top associated diseases and biofunctions, and top networks
896 associated with genes of interest were estimated using IPA software³⁷.

897

898 Gene expression in breast cell lines

899 Total RNA was isolated from 18 cell lines (**Supplementary Table 8**) using the RNeasy Mini Kit
900 (Qiagen). cDNA was synthesized using the SuperScript III (Invitrogen) and amplified using the
901 Platinum SYBR Green qPCR SuperMix-UDG cocktail (Invitrogen). Two or three primer pairs
902 were used for each gene and the mRNA levels for each sample was measured in technical
903 triplicates for each primer set. The primer sequences are listed in **Supplementary Table 12**.
904 Experiments were performed using an ABI ViiA(TM) 7 System (Applied Biosystems), and data
905 processing was performed using ABI QuantStudio™ Software V1.1 (Applied Biosystems). The
906 average of Ct from all the primer pairs for each gene was used to calculate ΔC_T . The relative
907 quantitation of each mRNA normalizing to that in 184A1 was performed using the comparative
908 Ct method ($\Delta\Delta C_T$) and summarized in **Supplementary Figure 4**.

909

910 Short interfering RNA (siRNA) silencing

911 MCF7 and T47D cells were reverse-transfected with siRNAs targeting genes of interest (GOI) or
912 a non-targeting control siRNA (consi; Shanghai Genepharma) with RNAiMAX (Invitrogen)
913 according to the manufacturer's protocol. Verification of siRNA knockdown of gene expression
914 by qPCR was performed 36 hours after transfection.

915

916 **Proliferation and colony formation assays**

917 For proliferation assays, MCF7 and T47D cells were trypsinized at 16 hours post-transfection
918 and seeded into 24 well plates to achieve ~10% confluency. Phase-contrast images were
919 collected with IncuCyte ZOOM (Essen Bioscience) for seven days. Duplicate samples were
920 assessed for each GOI siRNA transfected cells along with non-target control si (NTCsi) treated
921 cells in the same plate. 184A1 cells were reverse-transfected in 96 well plates to achieve 50%
922 confluence at 8 hours after transfection. Two independent experiments were carried out for all
923 siRNAs in all three cell lines. Each cell proliferation time-course was normalized to the baseline
924 confluency and analyzed in GraphPad Prism. The area under the curve was calculated for each
925 concentration (n=4) and used to calculate corrected proliferation (Corrected proliferation % =
926 $100 \pm (\text{relative proliferation in indicated siRNA} - \text{proliferation in NTC siRNA}) / \text{knockdown}$
927 efficiency (“+” if the GOI promotes proliferation and “-” if it inhibits proliferation)). For each
928 gene, results from two siRNAs in two independent experiments were averaged and summarized
929 in **Figure 2** and **Supplementary Figure 6**. For colony formation assays; the same number of
930 GOI siRNA transfected MCF7 cells was seeded in 6 well plates at 16 hours after transfection to
931 assay colony forming efficiency at two weeks. All siRNA-treated cells were seeded in duplicate.
932 Colonies (defined to consist of at least 50 cells) were fixed with methanol, stained with crystal
933 violet (0.5% w/v), scanned and counted using ImageJ as batch analysis by a self-defined plug-in

934 Macro. Correct CFE % = 100 +/- (relative CFE in indicated siRNA - CFE in NTC siRNA) /
935 knockdown efficiency (“+” if the GOI promotes CF and “-” if it inhibits CF). For each gene,
936 results from two siRNAs in two independent experiments were averaged and summarized in
937 **Figure 2** and **Supplementary Figure 7**.

938

939 **Data availability**

940 The GTEx data are publicly available via dbGaP (www.ncbi.nlm.nih.gov/gap; dbGaP Study
941 Accession: phs000424.v6.p1). TCGA data are publicly available via National Cancer Institute's
942 Genomic Data Commons Data Portal (<https://gdc.cancer.gov/>). Most of the BCAC data used in
943 this study are or will be publicly available via dbGAP. Data from some BCAC studies are not
944 publicly available due to restraints imposed by the ethics committees of individual studies;
945 requests for further data can be made to the BCAC (<http://bcac.ccge.medschl.cam.ac.uk/>) Data
946 Access Coordination Committee.

947

948 **Code availability**

949 The computer codes used in our study are available upon reasonable request.

950

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982

983 **Author Contributions**

984 W.Z. and J.L. conceived the study. L.W. contributed to the study design, and performed
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1009

1010 **Competing financial interests**

1011 The authors declare no competing financial interests.

1012

1013

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1180 **Figure Legends**1181 **Figure 1. Manhattan plot of association results from the breast cancer transcriptome-wide**1182 **association study.** The red line represents $P = 5.82 \times 10^{-6}$. The blue line represents $P =$ 1183 1.00×10^{-3} .

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1185 **Figure 2. Heat maps of proliferation and colony formation efficiency in breast cells. (A)**

1186 184A1, MCF7 or T47D cells were transfected with indicated siRNAs over seven days and phase-

1187 contrast images collected using an IncuCyte ZOOM. Each cell proliferation time-course was

1188 normalized to the baseline confluency and analyzed using GraphPad Prism. Corrected

1189 proliferation % = $100 \pm$ (relative proliferation in indicated siRNA - proliferation in control1190 siRNA (consi))/knockdown efficiency. **(B)** MCF7 cells were transfected with indicated siRNAs,

1191 then reseeded after 16 hours for colony formation (CF) assay. At day 14, colonies were fixed

1192 with methanol, stained with crystal violet, scanned and batch analyzed by ImageJ. Corrected CF

1193 efficiency (CFE) % = $100 \pm$ (relative CFE in indicated siRNA - CFE in control siRNA

1194 (consi))/knockdown efficiency. Error bars, SD (N=2). P-values were determined by one-way

1195 ANOVA followed by Dunnett's multiple comparisons test: *P-value < 0.05. NTC: non-target

1196 control.

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1 **Table 1.** Fourteen expression-trait associations for genes located at genomic loci at least 500 kb away from any GWAS-identified
 2 breast cancer risk variants
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Region	Gene ^a	Type ^b	Z score	P value ^c	R ^{2c}	Closest risk SNP ^d	Distance to the closest risk SNP (kb)	P value after adjusting for adjacent risk SNPs ^e
1p34.1	ZSWIM5	Protein	5.26	1.43×10^{-7}	0.17	rs1707302	829	0.006
3p24.1	LRRC3B	Protein	-9.57	1.11×10^{-21}	0.17	rs653465	591	1.60×10^{-6}
4q12	SPATA18	Protein	-4.62	3.86×10^{-6}	0.11	rs6815814	14,101	3.98×10^{-6}
6p22.1	UBD	Protein	-4.87	1.10×10^{-6}	0.13	rs9257408	597	0.94
7q32.2	KLHDC10	Protein	5.21	1.92×10^{-7}	0.14	rs4593472	892	2.90×10^{-7}
9p21.3	MIR31HG	lncRNA	-5.02	5.22×10^{-7}	0.12	rs1011970	502	1.23×10^{-7}
11p15.5	RIC8A	Protein	-5.27	1.40×10^{-7}	0.15	rs6597981	588	4.95×10^{-6}
11q13.2	B3GNT1	Protein	-5.85	4.88×10^{-9}	0.09	rs3903072	530	3.50×10^{-6}
11q13.2	RP11-867G23.10	transcript	4.71	2.49×10^{-6}	0.03	rs3903072	594	2.61×10^{-4}
12p13.33	RP11-218M22.1	lncRNA	5.02	5.27×10^{-7}	0.19	rs12422552	13,641	5.17×10^{-7}
14q24.1	GALNT16	Protein	-8.27	1.38×10^{-16}	0.04	rs999737	691	8.57×10^{-4}
14q24.1	PLEKHD1	Protein	7.50	6.55×10^{-14}	0.02	rs999737	917	0.12
15q24.2	MAN2C1 ^f	Protein	-5.32	1.02×10^{-7}	0.39	rs2290203	15,851	9.56×10^{-8}
15q24.2	CTD-2323K18.1 ^f	lncRNA	-4.65	3.27×10^{-6}	0.07	rs2290203	15,619	3.16×10^{-6}

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 5 ^a Genes that were siRNA-silenced for functional assays are bolded; SNPs used to predict gene expression are listed in the Supplementary Table 13

6 ^b Protein: protein coding genes; lncRNA: long non-coding RNAs; transcript: processed transcript

7 ^c P value: derived from association analyses; associations with $p \leq 5.82 \times 10^{-6}$ considered statistically significant based on Bonferroni correction of
 8 8,597 tests ($0.05/8,597$); R²: prediction performance (R²) derived using GTEx data.

9 ^d Risk SNPs identified in previous GWAS or fine-mapping studies. The risk SNP closest to the gene is presented. A full list of all risk SNPs, and
 10 their distances to the genes are presented in the **Supplementary Table 4**

11 ^e Use of COJO method³⁶

12 ^f Predicted expression of MAN2C1 and CTD-2323K18.1 was correlated (spearman R=0.76)

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1 **Table 2.** Twenty-three expression-trait associations for genes located at genomic loci within 500 kb of any previous GWAS-identified
 2 breast cancer risk variants but not yet implicated as target genes of risk variants[#]
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Region	Gene ^a	Type ^b	Z score	P value ^c	R ^{2c}	Closest risk SNP ^d	Distance to the closest risk SNP (kb)	P value after adjusting for adjacent risk SNPs ^e
1p11.2	RP11-439A17.7	lncRNA	-5.34	9.07×10^{-8}	0.22	rs11249433	442	0.02
1q21.1	NUDT17	Protein	-6.27	3.58×10^{-10}	0.01	rs12405132	56	0.08
1q21.1	ANKRD34A	Protein	-5.05	4.42×10^{-7}	0.01	rs12405132	169	4.28×10^{-5}
2p23.1-2p23.2	ALK	Protein	4.67	3.06×10^{-6}	0.06	rs4577244	295	2.70×10^{-6}
3p21.31	PRSS46	Protein	-5.83	5.68×10^{-9}	0.13	rs6796502	89	0.002
3q12.2	RP11-114I8.4	lncRNA	-5.84	5.19×10^{-9}	0.02	rs9833888	356	0.09
5p12	RP11-53O19.1	lncRNA	10.38	2.94×10^{-25}	0.03	rs10941679	39	7.46×10^{-4}
5q33.3	UBLCP1	Protein	5.93	3.04×10^{-9}	0.07	rs1432679	446	0.37
5q33.3	RP11-32D16.1	lncRNA	-5.41	6.37×10^{-8}	0.09	rs1432679	283	1.32×10^{-4}
6p22.2	BTN3A2	Protein	4.61	3.97×10^{-6}	0.28	rs71557345	229	0.72
6q23.1	RP11-73O6.3 ^f	lncRNA	-6.61	3.74×10^{-11}	0.11	rs6569648	105	0.41
11p15.5	AP006621.6^g	lncRNA	5.61	2.01×10^{-8}	0.34	rs6597981	21	0.52
11p15.5	RPLP2 ^g	Protein	4.64	3.46×10^{-6}	0.27	rs6597981	7	0.51
14q32.33	CTD-3051D23.1	lncRNA	-5.06	4.21×10^{-7}	0.05	rs10623258	97	7.05×10^{-7}
16q12.2	RP11-467J12.4	lncRNA	8.04	9.02×10^{-16}	0.23	rs3112612	434	0.79
16q12.2	CTD-3032H12.1	lncRNA	4.92	8.58×10^{-7}	0.03	rs28539243	290	0.006
17q21.31	LRRC37A ^g	Protein	-5.89	3.85×10^{-9}	0.43	rs2532263	118	0.79
17q21.31	KANSL1-AS1 ^g	lncRNA	-5.58	2.44×10^{-8}	0.62	rs2532263	18	0.95
17q21.31	CRHR1 ^g	Protein	-5.29	1.22×10^{-7}	0.22	rs2532263	339	0.99
17q21.31	LINC00671	lncRNA	-5.85	4.95×10^{-9}	0.07	rs72826962	190	0.26
17q21.31	LRRC37A2	Protein	-5.77	7.93×10^{-9}	0.46	rs2532263	336	0.93
19p13.11	HAPLN4	Protein	-7.13	9.88×10^{-13}	0.02	rs2965183	172	0.22
19q13.31	RP11-15A1.7^h	lncRNA	5.45	5.06×10^{-8}	0.02	rs3760982	215	0.28

4 [#] not yet reported from eQTL and/or functional studies as target genes of GWAS-identified risk variants and not harbor GWAS or fine-mapping
 5 identified risk variants

6 ^a Genes that were siRNA-silenced for functional assays are bolded; SNPs used to predict gene expression are listed in the Supplementary Table 13

- 1 ^b Protein: protein coding genes; lncRNA: long non-coding RNAs
2 ^c P value: nominal P value from association analysis; the threshold after Bonferroni correction of 8,597 tests ($0.05/8,597=5.82\times 10^{-6}$) was used; R²:
3 prediction performance (R²) derived using GTEx data
4 ^d Risk SNPs identified in previous GWAS or fine-mapping studies. The risk SNP closest to the gene is presented. A full list of all risk SNPs, and
5 their distances to the genes are presented in the **Supplementary Table 4**
6 ^e Use of COJO method³⁶; all index SNPs in the corresponding region were adjusted in the conditional analyses
7 ^f Predicted expression of RP11-73O6.3 and L3MBTL3 was correlated (spearman R=0.88)
8 ^g Predicted expression of AP006621.6 and RPLP2 was correlated; predicted expression of LRRC37A, KANSL1-AS1, and CRHR1 was correlated
9 (spearman R>0.1)
10 ^h Predicted expression of RP11-15A1.7 and ZNF404 was correlated (spearman R=0.64)
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1 **Table 3.** Eleven expression-trait associations for genes previously reported as potential target genes of GWAS-identified breast cancer
 2 risk variants or genes harboring risk variants
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Region	Gene ^a	Type ^b	Z score	P value ^c	R ^{2c}	Closest risk SNP ^d	Distance to the closest risk SNP (kb)	P value after adjusting for adjacent risk SNPs ^e	Association direction reported previously ^f	Reference
1p36.13	KLHDC7A	Protein	-5.67	1.40×10^{-8}	0.04	rs2992756	0.085	0.06	-	⁷
2q33.1	ALS2CR12	Protein	6.70	2.11×10^{-11}	0.10	rs1830298	intron of the gene	0.17	NA	³¹
2q33.1	CASP8	Protein	-8.05	8.51×10^{-16}	0.22	rs3769821	intron of the gene	0.16	-	^{31,32}
5q14.1	ATG10	Protein	-6.65	2.85×10^{-11}	0.51	rs7707921	intron of the gene	0.21	NA	⁹
5q14.2	ATP6AP1L	Protein	-4.98	6.32×10^{-7}	0.63	rs7707921	37	0.98	NA	⁹
6q23.1	L3MBTL3 ^g	Protein	-6.69	2.27×10^{-11}	0.10	rs6569648	208	0.44	NA	⁶
6q25.1	RMND1	Protein	4.76	1.95×10^{-6}	0.13	rs3757322	169	1.11×10^{-4}	mixed	¹⁷
11q13.1	SNX32	Protein	4.70	2.60×10^{-6}	0.19	rs3903072	18	0.17	NA	³³
15q26.1	RCCD1	Protein	-7.18	7.23×10^{-13}	0.13	rs2290203	6	1.66×10^{-4}	-	¹⁰
17q22	STXBP4	Protein	6.69	2.21×10^{-11}	0.03	rs6504950	intron of the gene	0.90	+ in GTEx	^{34,35}
19q13.31	ZNF404 ^h	Protein	7.42	1.15×10^{-13}	0.15	rs3760982	90	0.005	NA	⁸

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 5 ^a Genes that were siRNA silenced for functional assays are bolded; SNPs used to predict gene expression are listed in the Supplementary Table 13

6 ^b Protein: protein coding genes; lncRNA: long non-coding RNAs; NA: not available

7 ^c P value: nominal P value from association analysis; the threshold after Bonferroni correction of 8,597 tests ($0.05/8,597=5.82 \times 10^{-6}$) was used; R²:
 8 prediction performance (R²) derived using GTEx data .

9 ^d Risk SNPs identified in previous GWAS or fine-mapping studies. The risk SNP closest to the gene is presented. A full list of all risk SNPs, and
 10 their distances to the genes are presented in the **Supplementary Table 4**

11 ^e Use of COJO method³⁶; all index SNPs in the corresponding region were adjusted for the conditional analyses

12 ^f -: inverse association; +: positive association; mixed: both inverse and positive associations reported; NA: not available

13 ^g Predicted expression of L3MBTL3 and RP11-73O6.3 was correlated (spearman R=0.88)

14 ^h Predicted expression of ZNF404 and RP11-15A1.7 was correlated (spearman R=0.64)

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1 **Table 4.** Genes at GWAS-identified breast cancer risk loci (± 500 kb of the index SNPs) whose predicted expression levels were
 2 associated with breast cancer risk at p-values between 5.82×10^{-6} and 1.05×10^{-3} (FDR corrected p-value ≤ 0.05)
 3

Region	Gene	Type ^a	Z score	P value ^b	R ^{2b}	Closest risk SNP ^c	Distance to the closest risk SNP (kb)	P value after adjusting for adjacent risk SNPs ^d
1p34.1	UQCRH	Protein	-3.90	9.51×10^{-5}	0.12	rs1707302	168	0.06
1p22.3	LMO4	Protein	-3.76	1.73×10^{-4}	0.09	rs12118297	15	0.002
2p23.3	DNAJC27-AS1	lncRNA	3.84	1.24×10^{-4}	0.03	rs6725517	65	0.13
4p14	KLHL5	Protein	3.52	4.35×10^{-4}	0.13	rs6815814	230	0.03
5q11.2	AC008391.1	miRNA	-4.03	5.60×10^{-5}	0.13	rs16886113	242	0.76
6p22.1	HCG14	lncRNA	-3.47	5.19×10^{-4}	0.11	rs9257408	61	0.03
6p22.2	TRNAI2	miRNA	-3.71	2.09×10^{-4}	0.02	rs71557345	307	0.007
6q25.1	MTHFD1L	Protein	3.85	1.17×10^{-4}	0.10	rs3757318	491	2.36×10^{-4}
8q24.21	PVT1	transcript	3.85	1.20×10^{-4}	0.03	rs11780156	81	1.09×10^{-4}
9q33.3	RP11-123K19.1	lncRNA	-4.10	4.05×10^{-5}	0.05	rs10760444	20	1.26×10^{-4}
10q25.2	RP11-57H14.3	lncRNA	3.42	6.16×10^{-4}	0.08	rs7904519	108	0.002
10q26.13	RP11-500G22.2	lncRNA	4.48	7.54×10^{-6}	0.15	rs2981582	336	0.91
11p15.5	PTDSS2	Protein	-3.47	5.16×10^{-4}	0.04	rs6597981	312	0.02
11p15.5	AP006621.5	Protein	4.35	1.37×10^{-5}	0.51	rs6597981	19	0.01
11p15.5	PIDD1	Protein	4.24	2.28×10^{-5}	0.45	rs6597981	intron of the gene	0.12
11p15.5	MRPL23-AS1	lncRNA	-3.86	1.12×10^{-4}	0.10	rs3817198	95	0.06
11q13.1-11q13.2	PACSI	Protein	-3.59	3.36×10^{-4}	0.06	rs3903072	255	0.001
12p11.22	RP11-860B13.1	lncRNA	3.46	5.42×10^{-4}	0.17	rs10771399	221	0.86
13q22.1	KLF5	Protein	-4.08	4.44×10^{-5}	0.22	rs6562760	306	NA
14q24.1	CTD-2566J3.1	lncRNA	-3.84	1.22×10^{-4}	0.04	rs2588809	64	0.55
14q32.33	C14orf79	Protein	4.37	1.22×10^{-5}	0.11	rs10623258	240	0.91
15q26.1	FES	Protein	4.37	1.26×10^{-5}	0.21	rs2290203	73	3.04×10^{-6}
16q12.2	BBS2	Protein	3.97	7.23×10^{-5}	0.26	rs2432539	80	0.36
16q12.2	CRNDE	lncRNA	3.28	1.05×10^{-3}	0.02	rs28539243	271	0.69
16q24.2	RP11-482M8.1	lncRNA	3.32	9.16×10^{-4}	0.02	rs4496150	441	0.19

17q11.2	GOSR1	Protein	3.79	1.51×10^{-4}	0.10	rs146699004	376	0.04
17q21.2	ATP6V0A1	Protein	3.61	3.02×10^{-4}	0.03	rs72826962	162	0.01
17q21.2	RP11-400F19.8	transcript	-3.96	7.65×10^{-5}	0.01	rs72826962	122	6.62×10^{-4}
17q21.31	RP11-105N13.4	transcript	-4.51	6.46×10^{-6}	0.02	rs2532263	359	NA
17q25.3	CBX8	Protein	4.38	1.16×10^{-5}	0.05	rs745570	6	0.99
19p13.11	CTD-2538G9.5	lncRNA	3.56	3.76×10^{-4}	0.01	rs8170	432	4.38×10^{-4}
19p13.11	HOMER3	Protein	-3.87	1.08×10^{-4}	0.10	rs4808801	469	0.18
20q11.22	CTD-3216D2.5	lncRNA	4.03	5.60×10^{-5}	0.16	rs2284378	281	9.24×10^{-4}
22q13.1	TRIOBP	Protein	3.34	8.34×10^{-4}	0.07	rs738321	396	0.003
22q13.1	RP5-1039K5.13	lncRNA	3.73	1.93×10^{-4}	0.01	rs738321	99	0.053
22q13.1	CBY1	Protein	3.91	9.34×10^{-5}	0.05	chr22:39359355	289	0.06
22q13.1	APOBEC3A	Protein	-4.11	3.98×10^{-5}	0.07	chr22:39359355	0.2	0.02
22q13.2	RP1-85F18.6	lncRNA	3.52	4.28×10^{-4}	0.12	rs73161324	460	0.72

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2 ^a Protein: protein coding genes; lncRNA: long non-coding RNAs; transcript: processed transcript3 ^bP value: nominal P value from association analysis; R²: prediction performance derived using GTEx data.4 ^c Risk SNPs identified in previous GWAS or fine-mapping studies. The risk SNP closest to the gene is presented. A full list of all risk SNPs, and
5 their distances to the genes are presented in the **Supplementary Table 4**6 ^d Use of COJO method³⁶; all index SNPs in the corresponding region were adjusted for the conditional analyses

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