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1 Ocean euxinia and climate change double whammy drove  
2 the Late Ordovician mass extinction

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15 **ABSTRACT**

16 The Late Ordovician mass extinction (LOME) was the first of the “Big Five”  
17 Phanerozoic extinction events and comprised two extinction pulses. Proposed kill  
18 mechanisms include glacially-induced global cooling and the expansion of water column  
19 anoxia and/or euxinia (sulfidic conditions), but no general consensus has been reached  
20 with regard to the precise role of these mechanisms. A more definitive understanding is  
21 hampered by poorly constrained temporal links between the extinction pulses and climate  
22 change, and by uncertainty over the spatial distribution and intensity of euxinia. Here, we

23 utilize Fe speciation and Mo concentrations, in addition to the chemical index of  
24 alteration weathering proxy, to reconstruct ocean redox conditions and climate change  
25 across a Late Ordovician to Early Silurian shelf to slope transect on the Yangtze Shelf  
26 Sea. These data show two cycles of expanded euxinia corresponding to the two pulses of  
27 the LOME, suggesting a strong causal relationship. Significantly, we show that  
28 intermittent or weak euxinia developed during the first extinction pulse, which likely  
29 accounts for the loss of benthic fauna and some planktonic organisms and nektonic  
30 groups. By contrast, the development of more intense euxinia throughout the water  
31 column during the second pulse likely drove survival fauna extinct. Superimposed upon  
32 this, significant global cooling occurred across the first extinction phase, reflecting a  
33 secondary role in driving certain low-latitude taxa extinct.

## 34 **INTRODUCTION**

35       The Late Ordovician mass extinction resulted in the extinction of ~85% of marine  
36 animal species (Sheehan, 2001) across a relatively short time span (Brenchley et al.,  
37 1994; Finnegan et al., 2011). The LOME comprised two pulses: the first pulse (LOMEI-  
38 1) occurred at the Katian/Hirnantian transition and was the primary extinction phase  
39 during which benthic organisms, planktonic organisms and nektonic groups became  
40 extinct. The second pulse (LOMEI-2) occurred during the late Hirnantian, when  
41 survivors of the first pulse became extinct (Harper et al., 2014). Numerous lines of  
42 evidence have demonstrated that rapid global cooling occurred during the late  
43 Ordovician, broadly coincident with the LOME (e.g., Finnegan et al., 2012; Crampton et  
44 al., 2016). However, the timing of glaciation is controversial and does not precisely  
45 match the onset of the LOME (see the GSA Data Repository<sup>1</sup> for further details).

46 Conversely, ocean anoxia and widespread euxinia have been proposed as kill  
47 mechanisms (e.g., Zhang et al., 2009; Hammarlund et al., 2012; Ahm et al., 2017), but  
48 the spatial and temporal distribution, and intensity, of anoxia/euxinia, remain largely  
49 unknown.

50 Here, we reconstruct the evolution of Late Ordovician (Katian Stage) to Early  
51 Silurian (Rhudanian stage) water column redox conditions across a shelf to slope depth  
52 transect in the Yangtze Shelf Sea, South China (Fig. 1), based on Fe-speciation and Mo  
53 concentrations. In addition, we utilize the chemical index of alteration (CIA) weathering  
54 index (Nesbitt and Young, 1982) to evaluate contemporaneous climate change. Our  
55 multi-proxy approach allows a detailed evaluation of the temporal and spatial intensity of  
56 ocean redox conditions and coeval climate change, thus resolving the roles of these  
57 different mechanisms in driving the LOME.

## 58 **GEOLOGIC SETTING**

59 South China was located near the equator during the Late Ordovician (Torsvik  
60 and Cocks, 2013). From the Late Ordovician to Early Silurian, the Yangtze shallow  
61 carbonate platform in South China evolved into a siliciclastic-dominated deep shelf basin,  
62 called the Yangtze Shelf Sea, which deepened northwards to the Panthalassic Ocean  
63 (Figure 1 and Fig. DR1). Samples were collected from an inner shelf section (Shuanghe;  
64 SH) (Fig. DR2), a mid-shelf section (Qiliao; QL) (Fig. DR3) and an outer shelf to slope  
65 section (Tianba; TB) (Fig. DR4), primarily spanning the Late Ordovician (Katian Stage)  
66 through to the Early Silurian (Rhudanian stage). Full details of the geologic setting and  
67 methods are given in the GSA Data Repository<sup>1</sup>.

## 68 **RESULTS**

69 **Water Column Redox Conditions**

70 Fe speciation and redox-sensitive trace metals (e.g., Mo) are well-established  
71 proxies for water column redox conditions (e.g., Poulton and Canfield, 2011; Scott and  
72 Lyons, 2012). Using these techniques (see the GSA Data Repository<sup>1</sup> for full details), we  
73 identify four distinct intervals of evolving redox conditions across the Yangtze shelf  
74 transect (Fig. 2). For Interval I, representing the early to Middle Katian stage (D.  
75 Complantus and D. Complexus graptolite zones), all sections have variable  $Fe_{HR}/Fe_T$   
76 ratios and low Mo concentrations (<25 ppm), coupled with low  $Fe_{Py}/Fe_{HR}$  ratios (Fig. 2),  
77 suggesting fluctuations between oxic and anoxic ferruginous (Fe-rich) bottom waters.

78 During Interval II, representing the late Katian stage (P. pacificus graptolite zone)  
79 and the first Late Ordovician mass extinction interval (LOMEI-1), most samples across  
80 the bathymetric transect have elevated  $Fe_{HR}/Fe_T$  ratios, reflecting persistent anoxia (Fig.  
81 2). Mo concentrations in the mid-shelf section are generally above 25 ppm but less than  
82 100 ppm, likely reflecting the development of at least intermittent euxinia below the  
83 LOMEI-1 interval (Scott and Lyons, 2012), although such a signal might also develop  
84 under weakly euxinic conditions if sulfide concentrations fluctuated around the level  
85 (~11  $\mu$ M) where dissolved molybdate becomes particle reactive (Erickson and Helz,  
86 2000). Higher Mo contents (80–116 ppm) then occur at the LOMEI-1 horizon, suggesting  
87 persistent euxinia (Scott and Lyons, 2012). By contrast, Mo contents only increase just  
88 before the LOMEI-1 horizon on the inner shelf and the outer shelf/slope, but moderate  
89 Mo contents (>25 ppm) at these two sites, combined with high  $Fe_{Py}/Fe_{HR}$  ratios on the  
90 inner shelf, support the development of intermittent or weak euxinia in shallower and  
91 deeper settings across the first extinction horizon (Fig. 2).

92 For Interval III, during the early Hirnantion (*N. extraordinarius* graptolite zone),  
93 elevated  $Fe_{HR}/Fe_T$ ,  $Fe_{Py}/Fe_{HR}$  and Mo on the inner shelf suggest persistent euxinia, before  
94 a return to anoxic ferruginous conditions at the top of this zone (Fig. 2). This interval is  
95 more condensed in the deeper water sections, but a clear transition from euxinic to  
96 ferruginous conditions in deeper waters is supported by low concentrations of Mo (<25  
97 ppm) and low  $Fe_{Py}/Fe_{HR}$ .

98 The base of Interval IV during the late Hirnantian and Rhudanian stages (*N.*  
99 *persculptus* and *A. ascensus* graptolite zones) marks the second (LOMEI-2) extinction  
100 horizon. Water column redox dynamics across the LOMEI-2 horizon are very similar to  
101 the LOMEI-1 horizon, whereby euxinia develops on the mid-shelf prior to the extinction  
102 horizon (as indicated by elevated Mo concentrations) (Fig. 2). The extinction horizon  
103 itself shows evidence of persistent strong euxinia across all three sections, as indicated by  
104 uniformly high Mo concentrations (>100 ppm) across the basin transect, and by high  
105  $Fe_{Py}/Fe_{HR}$  ratios in the inner-shelf section.

#### 106 **Chemical Index of Alteration and Palaeoclimatic Changes**

107 Consistent trends are apparent in CIA values across the Yangtze shelf transect  
108 (Fig. 3). High values throughout the Katian stage suggest relatively intense chemical  
109 weathering, reflecting warm climatic conditions. However, a gradual decrease in CIA  
110 values through the Katian stage prior to the LOMEI-1 horizon implies progressive  
111 cooling. During the LOMEI-1 interval, CIA values show some scatter across the basin,  
112 but values tend to decrease before reaching a minimum between the two extinction  
113 horizons (Fig. 3). These very low CIA values have been observed elsewhere in the  
114 Yangtze basin (Yan et al., 2010), suggesting that chemical weathering intensity was

115 significantly decreased under cold climatic conditions. The low CIA values correlate with  
116 a pronounced positive  $\delta^{13}\text{C}_{\text{org}}$  excursion (Fig. 3), which represents the global Hirnantian  
117  $\delta^{13}\text{C}_{\text{org}}$  excursion (HICE) (Underwood et al., 1997; LaPorte et al., 2009) (Fig. 4).  
118 Following this minimum, CIA values increase above the LOMEI-2 horizon (Fig. 3),  
119 suggesting a gradual increase in chemical weathering, but values remain below those  
120 found lower in the section, implying the maintenance of relatively cool climatic  
121 conditions.

## 122 **DISCUSSION**

### 123 **A Redox Control on the Extinction Pulses?**

124 Our data can be considered in the context of previous studies to provide a more  
125 widespread evaluation of temporal changes in ocean redox conditions, and hence links to  
126 the two extinction pulses (Fig. 4). Yan et al. (2012) studied an inner shelf section of the  
127 Yangtze Sea (Nanbazi; NBZ), representing a shallower water setting in comparison to  
128 our sections. When combined, the four sections show the initial spread of anoxia from the  
129 mid-shelf and across the Yangtze Shelf Sea during the Katian stage (Fig. 4). These redox  
130 conditions then intensified, with euxinia originating on the mid-shelf prior to the LOMEI-  
131 1 horizon, followed by an expansion of intermittent or weak euxinia to the inner shelf and  
132 outer shelf/slope during the first extinction phase itself, although the shallowest waters do  
133 not show evidence of euxinia at this time (Fig. 4).

134 The three more distal sections all show clear increases in total organic carbon  
135 (TOC) during the development of euxinia across the LOMEI-1 horizon (Figs. DR2-DR4),  
136 reflecting an increase in regional burial rates of organic matter. This relationship between  
137 euxinia and TOC has also been observed at an inner shelf location off Baltica

138 (Hammarlund et al., 2012), and in the deep marine Vinini Creek section in Nevada (Ahm  
139 et al., 2017), suggesting that expanded euxinia may have been a widespread phenomenon  
140 across the first extinction pulse of the LOME.

141         During the early Hirnantian as sea level decreased (Brenchley et al., 2006; Yan et  
142 al., 2012) between the two extinction horizons, redox conditions varied across the  
143 Yangtze Shelf Sea (Fig. 4). Geochemical evidence suggests that the shallowest water  
144 NBZ section became oxic-suboxic, presumably due to the sea level regression (Yan et al.,  
145 2012), which is similar to records from the Baltica inner shelf at Bilegrav, Denmark  
146 (Hammarlund et al., 2012). In addition, the extent of euxinic waters gradually decreased  
147 across the shelf, giving way to anoxic ferruginous conditions at the point of maximum  
148 retreat (Fig. 4). Thus, during the early Hirnantian stage, the evolution of ocean redox  
149 chemistry across the Yangtze Shelf Sea, and probably elsewhere, was mainly driven by  
150 falling sea level. This sea-level fall was itself a consequence of global cooling,  
151 highlighting the close links between the evolution of water column redox and climate in  
152 the Late Ordovician.

153         As temperatures increased and sea level rose, a return to anoxia and widespread  
154 euxinia is evident across the Yangtze Shelf Sea, coincident with the LOMEI-2 horizon  
155 (Fig. 4). Water column redox dynamics across this second extinction pulse are very  
156 similar to those of the first pulse (Fig. 4), whereby euxinia originates on the mid-shelf  
157 and subsequently spreads to the inner shelf and outer shelf/slope at the extinction interval.  
158 One significant difference, however, is that Mo concentrations are much higher across the  
159 three euxinic sites during the second extinction pulse (Fig. 2), likely indicating persistent  
160 euxinia (Scott and Lyons, 2012) with relatively high concentrations of dissolved sulfide.



161 In addition, evidence from elsewhere suggests that euxinia was particularly widespread  
162 on continental shelves at this time (Hammarlund et al., 2012; Melchin et al., 2013; Zhou  
163 et al., 2015). The development of euxinia is initiated at the maximum extent of the  $\delta^{13}\text{C}_{\text{org}}$   
164 excursion observed at a variety of sites (Fig. 4). This is consistent with increased  
165 availability of nutrients to drive productivity during the maximum extent of glaciation,  
166 facilitated either by enhanced release of nutrients from organic matter degradation in the  
167 water column as sea level fell (Hammarlund et al., 2012) or by nutrient input from  
168 exposed continental shelves.

### 169 **The Intensity of Euxinia as a Selective Kill Mechanism**

170 Sulfide is highly toxic to almost all eukaryotes at micromolar concentrations  
171 (Knoll et al., 2007) and water column euxinia has been implicated as a major driver of  
172 several extinction events (e.g., Wignall and Twitchett, 1996). However, our observation  
173 of a difference in the relative intensity or persistence of euxinia across the two extinction  
174 horizons, combined with overall global cooling, allows a more nuanced evaluation of the  
175 precise roles of sulfide and climate change in driving the two pulses of the LOME across  
176 the Yangtze shelf transect.

177 The LOMEI-1 interval mainly eradicated benthic fauna, including sessile  
178 (brachiopods, rugose and tabulate corals) and mobile (trilobites) animals on the deep  
179 shelf, in addition to phytoplankton and zooplankton such as graptolites (Brenchley et al.,  
180 2001). It is difficult to invoke global cooling as a kill mechanism for many of the high-  
181 latitude, cool-water taxa, particularly deep-shelf benthic faunas (Harper et al., 2014).  
182 However, glacially-induced global cooling is more significant for lower-latitude taxa,  
183 particularly phytoplankton and zooplankton such as graptolites (Brenchley et al., 2001;

184 Crampton et al., 2016). Hence global cooling, rather than the spread of euxinia, was  
185 likely responsible for the loss of phytoplankton and zooplankton. By contrast, the  
186 development of intermittent or weak euxinia across the LOMEI-1 horizon implies that  
187 sulfide may have been largely restricted to bottom waters across the shelf to upper slope,  
188 and thus sulfide was likely a major kill mechanism for benthic fauna only.

189         During the LOMEI-2 interval, more persistent, intense and widespread euxinia is  
190 indicated, which is entirely consistent with an observed loss of survival fauna across a  
191 wide range of water depths (Harper et al., 2014). The implication of sulfide, rather than  
192 global cooling, as the main kill mechanism during the second extinction phase also  
193 reconciles our observation, based on the CIA weathering index, of a gradual return to  
194 warmer climatic conditions during this interval (Fig. 4).

## 195 **CONCLUSIONS**

196         The Yangtze shelf transect allows a particularly well-resolved dynamic-redox  
197 system to be reconstructed across a bathymetric shelf transect. The system shows two  
198 cycles of water column euxinia, with the first being an expansion of intermittent or  
199 weakly euxinic bottom waters at the end of the late Katian stage, and the second being a  
200 period of more intense persistent euxinia during the late Hirnantian stage. These two  
201 euxinic episodes correspond to the two pulses of the LOME, suggesting that the LOME  
202 was triggered by the expansion of euxinia. However, the intensity of euxinia throughout the  
203 water column apparently affected the nature of each extinction pulse, with the first  
204 euxinic episode affecting benthic fauna only, while the second episode affected survival  
205 fauna throughout the water column. Superimposed on this redox control, global cooling

206 placed additional stress on marine fauna, and likely affected lower-latitude taxa, in  
207 particular phytoplankton and zooplankton such as graptolites.

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305

## 306 **FIGURE CAPTIONS**

307

308 Figure 1. Geological reconstruction. (A) Late Ordovician (445 Ma) palaeogeography  
309 showing South China (Torsvik and Cocks, 2013). Red circle represents the Shuanghe  
310 (SH) inner shelf section; green circle represents the Qiliao (QL) mid-shelf section; pink  
311 circle represents the Tianba (TB) outer shelf-slope section. Black circle represents the  
312 Nanbazi (NBZ) shallow inner shelf section (Yan et al., 2012). (B) Simplified  
313 palaeogeographic map of the Yangtze Shelf Sea during the Late Ordovician showing  
314 section localities (scale bar = 100 km). (C) Schematic cross-section of the Late  
315 Ordovician Yangtze Shelf Sea showing estimated relative palaeo-depths and study  
316 sections.

317

318 Figure 2. Fe speciation and Mo concentration data for the Yangtze Shelf Sea. Samples  
319 with  $Fe_T > 0.5$  wt% (closed circles) can be utilized for Fe speciation (Clarkson et al.,  
320 2014);  $Fe_{HR}/Fe_T$  ratios are not presented for samples with  $Fe_T < 0.5$  wt% (open circles).  
321 Dashed line at 0.22 shows the upper limit for identification of oxic conditions from  
322  $Fe_{HR}/Fe_T$  ratios; dashed line at 0.38 indicates the lower limit for identification of anoxic  
323 conditions. Dashed lines at 0.7 and 0.8 for  $Fe_{Py}/Fe_{HR}$  ratios show the range above which  
324 euxinic conditions are indicated and below which ferruginous (FER) conditions are  
325 indicated. Yellow arrows in the LOMEI-2 horizon indicate samples with higher values  
326 than the range shown. Four time intervals of differing redox conditions are defined by I,  
327 II, III and IV. Extinction intervals shown in pink represent the first (LOMEI-1) and  
328 second (LOMEI-2) pulses of the late Ordovician mass extinction. Graptolite zones: D. cn.  
329 – *Dicellograptus Complanatus*; D. cx. – *Dicellograptus Complexus*; M. e. –  
330 *Metabolograptus extraordinarius*; M. p. – *Metabolograptus persculptus*; A. a. –  
331 *Atavograptus ascensus*. Approximate positions of graptolite zone boundaries are  
332 represented by dashed lines. Rhud. = Rhuddanian.

333

334 Figure 3. Chemical Index of Alteration (CIA) and C isotope systematics. Purple arrows  
335 indicate samples with values outside the range shown. Gray bold lines represent CIA  
336 trends for the three sections.

337

338 Figure 4. Summary of global records in relation to climate change and redox conditions  
339 across the Yangtze Shelf Sea. (A) Regional  $\delta^{13}C_{org}$  excursions (the global Hirnantian C-



340 isotope excursion) from South China, Dob's Linn (DL), Scotland (Underwood et al.,  
341 1997), Blacktone River (BR), Canada (LaPorte et al., 2009) in Laurentia, and Bellegrav  
342 (BL), Denmark in Baltica (Hammarlund et al., 2012). (B) Summary of climate and redox  
343 changes across the Yangtze Shelf Sea. (C) Schematic illustrating redox dynamics across  
344 the Yangtze Shelf Sea. Changes in sea level (relative to sea level position during the  
345 Middle Katian stage) are shown by fine dashed lines in (C).

346

347 <sup>1</sup>GSA Data Repository item 2018xxx, more details of section description, analytical  
348 methods, geochemical data and cross plots, is available online at  
349 <http://www.geosociety.org/datarepository/2018/> or on request from  
350 [editing@geosociety.org](mailto:editing@geosociety.org).