UNIVERSITY of York

This is a repository copy of Kleene Algebra in Unifying Theories of Programming.

White Rose Research Online URL for this paper: <u>https://eprints.whiterose.ac.uk/129359/</u>

# Monograph:

Foster, Simon David orcid.org/0000-0002-9889-9514 Kleene Algebra in Unifying Theories of Programming. Working Paper. (Unpublished)

#### Reuse

Items deposited in White Rose Research Online are protected by copyright, with all rights reserved unless indicated otherwise. They may be downloaded and/or printed for private study, or other acts as permitted by national copyright laws. The publisher or other rights holders may allow further reproduction and re-use of the full text version. This is indicated by the licence information on the White Rose Research Online record for the item.

### Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.



eprints@whiterose.ac.uk https://eprints.whiterose.ac.uk/

# Kleene Algebra in Unifying Theories of Programming

### Simon Foster

April 5, 2018

#### Abstract

This development links Isabelle/UTP to the mechanised Kleene Algebra (KA) hiearchy for Isabelle/HOL. We substantiate the required KA laws, and provides a large body of additional theorems for alphabetised relations which are provided by the KA library. Additionally, we show how such theorems can be lifted to a subclass of UTP theories, provided certain conditions hold.

# Contents

1	Klee	ene Algebra and UTP	1
	1.1	Syntax setup	1
	1.2	Kleene Algebra Instantiations	2
	1.3	Derived Laws	3
	1.4	UTP Theories with Kleene Algebra	3

# 1 Kleene Algebra and UTP

theory utp-kleene imports KAT-and-DRA.KAT UTP.utp begin

This theory instantiates the Kleene Algebra [6] (KA) hierarchy, mechanised in Isabelle/HOL by Armstrong, Gomes, Struth et al [1, 4, 2]., for Isabelle/UTP alphabetised relations [3, 5]. Specifically, we substantiate the required dioid and KA laws in the type class hierarchy, which allows us to make use of all theorems proved in the former work. Moreover, we also prove an important result that a subclass of UTP theories, which we call "Kleene UTP theories", always form Kleene algebras. The proof of the latter is obtained by lifting laws from the KA hierarchy.

### 1.1 Syntax setup

It is necessary to replace parts of the KA syntax to ensure compatibility with UTP. We therefore delete various bits of notation, and hide some constants.

purge-notation star (-\* [101] 100)

recall-syntax

**purge-notation** *n-op* (n - [90] 91)**purge-notation** *ts-ord*  $(infix \sqsubseteq 50)$  **notation** *n*-op (**n**[-]) **notation** t (**n**<sup>2</sup>[-]) **notation** ts-ord (**infix**  $\sqsubseteq_t 50$ )

hide-const t

#### 1.2 Kleene Algebra Instantiations

Next, import the laws of Kleene Algebra into the UTP relational calculus. We show that relations form a dioid and a Kleene algebra via two locales, the interpretation of which exports a large library of algebraic laws.

interpretation *urel-dioid*: *dioid* where  $plus = op \sqcap and times = op$ ;;<sub>h</sub> and less-eq = less-eq and less = lessproof fix  $P \ Q \ R :: '\alpha \ hrel$ show  $(P \sqcap Q)$ ;; R = P;;  $R \sqcap Q$ ;; R**by** (*simp add: upred-semiring.distrib-right*) show  $(Q \sqsubseteq P) = (P \sqcap Q = Q)$ **by** (simp add: semilattice-sup-class.le-iff-sup) show  $(P < Q) = (Q \sqsubseteq P \land \neg P = Q)$ by (simp add: less-le) show  $P \sqcap P = P$ by simp  $\mathbf{qed}$ interpretation urel-ka: kleene-algebra where  $plus = op \sqcap$  and times = op; the and one = skip r and  $zero = false_h$  and less-eq = less-eqand less = less and star = ustarproof fix  $P \ Q \ R :: '\alpha \ hrel$ show II ;; P = P by simp show P ;; II = P by simpshow false  $\sqcap P = P$  by simp show false ;; P = false by simp show P ;; false = false by simp show  $P^* \sqsubseteq II \sqcap P$ ;;  $P^*$ using ustar-sub-unfoldl by blast show  $Q \sqsubseteq R \sqcap P$  ;;  $Q \Longrightarrow Q \sqsubseteq P^*$  ;; R **by** (*simp add: ustar-inductl*) show  $Q \sqsubseteq R \sqcap Q$ ;;  $P \Longrightarrow Q \sqsubseteq R$ ;;  $P^*$ by (simp add: ustar-inductr)  $\mathbf{qed}$ 

We also show that UTP relations form a Kleene Algebra with Tests [7, 4] (KAT).

interpretation urel-kat: kat where  $plus = op \sqcap \text{ and } times = op ;;_h \text{ and } one = skip-r \text{ and } zero = false_h \text{ and } less-eq = less-eq$ and  $less = less \text{ and } star = ustar \text{ and } n-op = \lambda x$ .  $II \land (\neg x)$ by (unfold-locales, rel-auto+)

We can now access the laws of KA and KAT for UTP relations as below.

thm urel-ka.star-inductr-var thm urel-ka.star-trans thm urel-ka.star-square thm urel-ka.independence1

### 1.3 Derived Laws

We prove that UTP assumptions are tests.

**lemma** test-rassume [simp]: urel-kat.test  $[b]^{\top}$ by (simp add: urel-kat.test-def, rel-auto)

The KAT laws can be used to prove results like the one below.

**lemma** while-kat-form: while b do P od =  $([b]^{\top};; P)^{\star};; [\neg b]^{\top}$  (is ?lhs = ?rhs) proof have  $1:(II::'a hrel) \sqcap (II::'a hrel) ;; [\neg b]^{\top} = II$ **by** (*metis assume-true test-rassume urel-kat.test-absorb1*) have  $?lhs = ([b]^{\top} ;; P \sqcap [\neg b]^{\top} ;; II)^{\star} ;; [\neg b]^{\top}$ **by** (*simp add: while-star-form rcond-rassume-expand*) also have ... =  $(([b]^{\top} ;; P)^{\star} ;; [\neg b]^{\top \star})^{\star} ;; [\neg b]$ **by** (*metis seqr-right-unit urel-ka.star-denest*) also have ... =  $(([b]^{\top} ;; P)^{\star} ;; (II \sqcap [\neg b]^{\top})^{\star})^{\star} ;; [\neg b]^{\top}$ **by** (*metis urel-ka.star2*) also have ... =  $(([b]^{\top} ;; P)^{\star} ;; (II)^{\star})^{\star} ;; [\neg b]^{\top}$ by (metis 1 seqr-left-unit) also have ... =  $(([b]^{\top} ;; P)^{\star})^{\star} ;; [\neg b]^{\top}$ **by** (*metis urel-ka.mult-oner urel-ka.star-one*) also have  $\dots = ?rhs$ by (metis urel-ka.star-invol) finally show ?thesis . qed

**lemma** uplus-invol  $[simp]: (P^+)^+ = P^+$ by (metis RA1 uplus-def urel-ka.conway.dagger-trans-eq urel-ka.star-denest-var-2 urel-ka.star-invol)

**lemma** uplus-alt-def:  $P^+ = P^*$ ;; P**by** (simp add: uplus-def urel-ka.star-slide-var)

## 1.4 UTP Theories with Kleene Algebra

A Kleene UTP theory is continuous UTP theory with left and right units, and the top element as a left zero. The star in such a context has already been defined by lifting the relational Kleene star. Here, we use the KA theorems obtained above to provide corresponding theorems for a Kleene UTP theory.

**locale** utp-theory-kleene = utp-theory-cont-unital-zerol **begin** 

```
lemma Star-def: P \star = P^{\star};; \mathcal{II}
by (simp add: utp-star-def)
lemma Star-alt-def:
assumes P is \mathcal{H}
shows P \star = \mathcal{II} \sqcap P^+
proof –
from assms have P^+ = P^{\star};; P;; \mathcal{II}
by (simp add: Unit-Right uplus-alt-def)
then show ?thesis
by (simp add: RA1 utp-star-def)
qed
```

**lemma** Star-Healthy [closure]: assumes P is Hshows  $P\star$  is H**by** (simp add: assms closure Star-alt-def) **lemma** *Star-unfoldl*:  $P \star \sqsubseteq \mathcal{II} \sqcap P ;; P \star$ by (simp add: RA1 utp-star-def) **lemma** *Star-inductl*: **assumes** R is  $\mathcal{H}$  Q  $\sqsubseteq$  P ;; Q  $\sqcap$  R shows  $Q \sqsubseteq P \star;; R$ proof from assms(2) have  $Q \sqsubseteq R \ Q \sqsubseteq P$ ;; Qby *auto* thus ?thesis by  $(simp \ add: \ Unit-Left \ assms(1) \ upred-semiring.mult-assoc \ urel-ka.star-inductl \ utp-star-def)$ qed lemma Star-invol: assumes P is Hshows  $P \star \star = P \star$ by (metis (no-types) RA1 Unit-Left Unit-self assms urel-ka.star-invol urel-ka.star-sim3 utp-star-def) **lemma** *Star-test*: **assumes** P is  $\mathcal{H}$  utest  $\mathcal{T}$  Pshows  $P \star = \mathcal{I} \mathcal{I}$  $by \ (metis\ utp-star-def\ Star-alt-def\ Unit-Right\ Unit-self\ assms\ semilattice-sup-class.sup\ absorb1\ semilattice-sup\ absorb1\ semilattic$ urel-ka.star-inductr-var-eq2 urel-ka.star-sim1 utest-def) lemma Star-lemma-1:  $P \text{ is } \mathcal{H} \Longrightarrow \mathcal{II} ;; P^{\star} ;; \mathcal{II} = P^{\star} ;; \mathcal{II}$ by (metis utp-star-def Star-Healthy Unit-Left) lemma Star-lemma-2: **assumes** P is  $\mathcal{H}$  Q is  $\mathcal{H}$ shows  $(P^* ;; Q^* ;; \mathcal{II})^* ;; \mathcal{II} = (P^* ;; Q^*)^* ;; \mathcal{II}$ by (metis (no-types) assms RA1 Star-lemma-1 Unit-self urel-ka.star-sim3) **lemma** *Star-denest*: **assumes** P is  $\mathcal{H}$  Q is  $\mathcal{H}$ 

assumes P is  $\mathcal{H}$  Q is  $\mathcal{H}$ shows  $(P \sqcap Q) \star = (P \star ;; Q \star) \star$ by (metis (no-types, lifting) RA1 utp-star-def Star-lemma-1 Star-lemma-2 assms urel-ka.star-denest)

**lemma** Star-denest-disj: **assumes** P is  $\mathcal{H}$  Q is  $\mathcal{H}$  **shows**  $(P \lor Q) \star = (P \star ;; Q \star) \star$ **by** (simp add: disj-upred-def Star-denest assms)

**lemma** Star-unfoldl-eq: **assumes** P is  $\mathcal{H}$  **shows**  $\mathcal{II} \sqcap P$  ;;  $P \star = P \star$ **by** (simp add: RA1 utp-star-def) **lemma** uplus-Star-def: assumes P is Hshows  $P^+ = (P ;; P \star)$ by (metis (full-types) RA1 utp-star-def Unit-Left Unit-Right assms uplus-def urel-ka.conway.dagger-slide) **lemma** *Star-trade-skip*:  $P \text{ is } \mathcal{H} \Longrightarrow \mathcal{II} \text{ ;; } P^{\star} = P^{\star} \text{ ;; } \mathcal{II}$ **by** (*simp add: Unit-Left Unit-Right urel-ka.star-sim3*) lemma Star-slide: assumes P is Hshows  $(P ;; P\star) = (P\star ;; P)$  (is ?lhs = ?rhs) proof have ?lhs = P ;;  $P^*$  ;; IIby (simp add: utp-star-def) also have  $\dots = P$ ;;  $\mathcal{II}$ ;;  $P^*$ **by** (*simp add: Star-trade-skip assms*) also have  $\dots = P$ ;;  $P^*$ by (simp add: RA1 Unit-Right assms) also have  $\dots = P^*$ ;; P by (simp add: urel-ka.star-slide-var) also have  $\dots = ?rhs$ **by** (*metis RA1 utp-star-def Unit-Left assms*) finally show ?thesis . qed **lemma** *Star-unfoldr-eq*: assumes P is Hshows  $\mathcal{II} \sqcap P \star ;; P = P \star$ using Star-slide Star-unfoldl-eq assms by auto lemma Star-inductr: **assumes** P is  $\mathcal{H}$  R is  $\mathcal{H}$  Q  $\sqsubseteq$  P  $\sqcap$  Q ;; R shows  $Q \sqsubseteq P;;R\star$ by (metis (full-types) RA1 Star-def Star-trade-skip Unit-Right assms urel-ka.star-inductr') lemma Star-Top:  $\top \star = \mathcal{II}$ **by** (*simp add: Star-test top-healthy utest-Top*)

end

 $\mathbf{end}$ 

# References

- [1] A. Armstrong, V. Gomes, and G. Struth. Building program construction and verification tools from algebraic principles. *Formal Aspects of Computing*, 28(2):265–293, 2015.
- [2] S. Foster, G. Struth, and T. Weber. Automated engineering of relational and algebraic methods in Isabelle/HOL. In *RAMICS*, LNCS 6663, pages 52–67. Springer, 2011.
- [3] S. Foster, F. Zeyda, and J. Woodcock. Unifying heterogeneous state-spaces with lenses. In *Proc. 13th Intl. Conf. on Theoretical Aspects of Computing (ICTAC)*, volume 9965 of *LNCS*.

Springer, 2016.

- [4] V. B. F. Gomes and G. Struth. Modal Kleene algebra applied to program correctness. In Formal Methods, volume 9995 of LNCS, pages 310–325. Springer, 2016.
- [5] T. Hoare and J. He. Unifying Theories of Programming. Prentice-Hall, 1998.
- [6] D. Kozen. On Kleene algebras and closed semirings. In Proc. 15th Symp. on Mathematical Foundations of Computer Science (MFCS), volume 452 of LNCS, pages 26–47. Springer, 1990.
- [7] D. Kozen. Kleene algebra with tests. ACM Transactions on Programming Languages and Systems (TOPLAS), 19(3):427–443, 1997.