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A discontinuous Galerkin approach for conservative modelling of fully nonlinear and weakly dispersive wave transformations

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Abstract

This work extends a robust second-order Runge-Kutta Discontinuous Galerkin (RKDG2) method to solve the fully nonlinear and weakly dispersive flows, within a scope to simultaneously address accuracy, conservativeness, cost-efficiency and practical needs. The mathematical model governing such flows is based on a variant form of the Green-Naghdi (GN) equations decomposed as a hyperbolic shallow water system with an elliptic source term. Practical features of relevance (i.e. conservative modelling over irregular terrain with wetting and drying and local slope limiting) have been restored from an RKDG2 solver to the Nonlinear Shallow Water (NSW) equations, alongside new considerations to integrate elliptic source terms (i.e. via a fourth-order local discretization of the topography) and to enable local capturing of breaking waves (i.e. via adding a detector for switching off the dispersive terms). Numerical results are presented, demonstrating the overall capability of the proposed approach in achieving realistic prediction of nearshore wave processes involving both nonlinearity and dispersion effects within a single model.

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24 **1- Introduction**

25 The last decades have seen significant advances in the development of numerical models for
26 coastal engineering applications, which have the ability to accurately represent waves
27 traveling from deep water into the shoreline (Kirby, 2016). Such models should account for
28 nonlinear phenomena resulting from wave interaction with structures, and dispersive
29 phenomena due to the wave propagation over a wide range of depths (Walkley, 1999).
30 Various simplifications of the Navier-Stokes equations (Ma et al., 2012) have been proposed
31 to enable affordable modelling of water wave problems. Most commonly, researchers have
32 relied on the depth-integrated Nonlinear Shallow Water (NSW) equations, which seems to
33 work well for shallow flow modelling but are specifically not ideal for coastal applications
34 involving deeper water and wave shoaling (Brocchini and Dodd, 2008; Brocchini, 2013).

35 As an alternative, Boussinesq-type (BT) equations introduce dispersion terms and are
36 more suitable in water regions where dispersion begins to have an effect on the free surface.
37 These models represent the depth-integrated expressions of conservation of mass and
38 momentum for weakly nonlinear and weakly dispersive waves, where the vertical profile of
39 velocity potential is parabolic. Peregrine (1967) used Taylor expansion of the vertical
40 velocity about a specific level and extended the NSW equations asymptotically into deeper
41 water. Since the pioneering work of Peregrine (1967), the Boussinesq theory has experienced
42 many developments in accuracy, and in extension of the range of application beyond the
43 weakly nonlinear and weakly dispersive assumptions, which were confined to relatively
44 shallow waters (Madsen et al., 1991; Madsen and Sørensen, 1992; Nwogu, 1993; Wei et al.,
45 1995; Schäffer and Madsen, 1995; Beji and Nadaoka, 1996; Madsen and Schäffer, 1998;
46 Agnon et al., 1999; Gobbi et al., 2000; Madsen et al., 2002, 2003; Lynett and Liu, 2004a,
47 2004b). However, most of the enhanced BT models remain not entirely nonlinear and bring

48 about complexities associated with the involvement of high order derivatives. It also should
49 be noted that the Non-Hydrostatic Shallow Water (NHSW) models are another class of
50 equations which have gained attention recently (Zijlema and Stelling, 2008; Yamazaki et al.,
51 2009; Bai and Cheung, 2013; Wei and Jia, 2013; Lu et al., 2015). These models could be seen
52 as a variant of BT models with alternative approaches to model fully nonlinear and weakly
53 dispersive waves (Kirby, 2016).

54 The so-called Green-Naghdi (GN) equations (Green and Naghdi, 1976), also known
55 as Serre equations (Serre, 1953), are viewed as fully nonlinear and weakly dispersive BT
56 equations in which there is no restriction on the order of magnitude of nonlinearity, thereby
57 providing the capability to describe large amplitude wave propagation in shallow waters.
58 These equations were first derived by Serre (1953); several years later, they were re-derived
59 by Green and Naghdi (1976) using a different method. A 1D formal derivation of these
60 equations can be found in Barthélemy (2004) for flat bottoms and in Cienfuegos et al. (2006)
61 for non-flat bottoms. Alvarez-Samaniego and Lannes (2008) showed that GN models can
62 accurately predict the important characteristics of the waves in comparison with the Euler
63 equations. Israwi (2010) derived a new 2D version of the GN system that possesses the
64 capability of accounting for the horizontal vorticity. More recently, Bonneton et al. (2011)
65 and Lannes and Marche (2015) derived a new system that is asymptotically equal to the
66 classic GN equations but is featured with a much simpler structure, which is easier to be
67 solved numerically.

68 From a numerical modelling viewpoint, various approaches have been used for
69 solving BT equations considering Finite Difference (FD) methods (Wei and Kirby, 1995),
70 Finite Element (FE) methods (Filippini et al., 2016), Finite Volume (FV) methods
71 (Cienfuegos et al., 2006; Le Métayer et al., 2010; Dutykh et al., 2011) and hybrid FV/FD
72 approaches (Bonneton et al., 2011; Orszaghova et al., 2012; Tissier et al., 2012), to cite a few.

73 The FV discretization seems to be the most widely adopted among the other approaches used
74 for the numerical approximation of both NSW and BT equations given its conservation
75 properties, geometrical flexibility, conceptually simple basis, and ease of implementation.
76 Nonetheless, the Discontinuous Galerkin (DG) discretization seems to be a promising
77 alternative owed to its faster convergence rates and better quality predictions on coarse
78 meshes as compared to an equally accurate FV approach (e.g. Zhou et al., 2001; Zhang and
79 Shu, 2005; Kesserwani, 2013; Kesserwani and Wang, 2014).

80 For solving convection-dominated problems, a spatial DG discretization is often
81 realized within an explicit multi-stage Runge-Kutta (RK) time stepping mechanism, leading
82 to the standard RKDG method proposed by Cockburn and Shu (1991). A local RKDG
83 formulation can be seen as a higher-order extension to the conservative FV method, in the
84 Godunov (1959) sense, where one averaged variable of state over a computational element is
85 evolved by inter-elemental local flux balance incorporating the Riemann problem solutions
86 (Toro and Garcia-Navarro, 2007). In the RKDG method, this same principle applies, however
87 to evolve a series of coefficients (i.e. the average and slope coefficients spanning the
88 polynomial solution) by means of local spatial operators translated from the conservative
89 model equations (in the weak sense). The number of coefficients that should be involved and
90 the number of inner RK stages required are proportional to the desired order-of-accuracy; the
91 latter is, on the other hand, inversely proportional to the maximum allowable CFL number.
92 Hence, increase in operational and runtime costs is inevitable in line with increasing order-of-
93 accuracy. For solving the NSW equations, many RKDG formulations were proposed
94 (Kesserwani and Liang, 2010, 2012; Xing, 2014; Tavelli and Dumbser, 2014; Gassner et al.,
95 2016). However, practically speaking, higher than second-order accurate RKDG (RKDG2)
96 formulations remain significantly harder to generally stabilize, e.g. when it comes to carefully
97 selecting and limiting slope coefficients and ensuring well-balanced and conservative

98 numerical predictions over rough and uneven terrain (Kesserwani and Liang, 2011, 2012;
99 Caviedes-Voullième and Kesserwani, 2015).

100 In the context of numerically solving elliptic equations with higher order derivatives,
101 often the so-called Local Discontinuous Galerkin (LDG) method is employed as proposed in
102 Cockburn and Shu (1998). Since the early 2000s, different variants of the DG method were
103 utilized for solving the BT equations (e.g. Eskilsson and Sherwin (2003, 2005, 2006),
104 Eskilsson et al. (2006), Engsig-Karup et al. (2006, 2008), de Brie et al. (2013); Dumbser and
105 Facchini (2016) for enhanced Boussinesq equations; Li et al. (2014), Dong and Li (2016),
106 and Duran and Marche (2015, 2017) for the GN equations). Most of these works lacked a full
107 consideration and assessment to the issues of practical relevance, such as the simultaneous
108 presence of highly irregular bathymetry, wetting and drying and friction effects. To the best
109 of our knowledge, only the work of Duran and Marche (2015, 2017) considered some of these
110 issues in an alternative RKDG formulation solving the GN equations derived by Lannes and
111 Marche (2015). The investigators successfully solved the pre-balanced NSW equations with
112 higher than second-order RKDG methods. However, the use of the pre-balanced NSW
113 equations is unnecessary (Lu and Xie, 2016) and entails sophisticated flux terms with
114 topography, which add on to the operational costs.

115 Another important practical issue in modeling nearshore wave processes is wave
116 breaking. Like other BT models, the GN equations only provide satisfactory description of
117 the waves up to the breaking point and cannot represent the energy dissipation pertinent to
118 this phenomenon. To address this issue, a strategy for handling potential breaking waves
119 must be deployed and several methods have been proposed for this purpose. One traditional
120 method would be to add an ad-hoc viscous term to the momentum equation to account for
121 energy dissipation (Zelt, 1991; Karambas and Koutitas, 1992; Sørensen et al., 1998; Kennedy
122 et al., 2000; Chen et al., 2000; Cienfuegos et al., 2009; Roeber et al., 2010). Another method,

123 which has been gaining popularity in recent years, is to simply neglect the dispersive terms so
124 that to enable the BT model to switch to the NSW equations in the region where wave
125 breaking takes place (e.g. Borthwick et al., 2006; Bonneton, 2007; Tonelli and Petti, 2009,
126 2010; Roeber and Cheung, 2012; Tissier et al., 2012; Orszaghova et al., 2012; Shi et al.,
127 2012; Kazolea and Delis, 2013); in other words, treat the broken waves as shocks (Filippini et
128 al., 2016). To do so, a sensor is required for triggering the initiation and possibly termination
129 of breaking process, many of which are reported based on different physical criteria. For
130 example, Kennedy et al. (2000) used vertical speed of the free surface elevation, Tonelli et al.
131 (2009, 2010) employed the ratio of the surface elevation to the water depth, Roeber and
132 Cheung (2012) involved local momentum gradients, Tissier et al., (2012) combined local
133 energy dissipation, front slope and Froude number, and Filippini et al. (2016) combined the
134 surface variation and local slope angle.

135 To this end, this paper aims to develop a robust RKDG2-based model for simulation
136 of wave propagation from intermediate to shallow waters and its possible transformations
137 including wave breaking. A simplified form of the GN equations (Lannes and Marche, 2015)
138 will be considered, in which the model equations can be decomposed into the conservative
139 form of the NSW equations and elliptic source terms accounting for dispersion effects. This
140 decomposition will be exploited to enable handling breaking waves by switching off the
141 dispersive terms based on an entirely numerical criterion specific to the DG method. In this
142 work, e.g. as opposed to Duran and Marche (2015), the pre-balanced NSW equations were
143 purposefully avoided to entirely keep the topography and its derivatives (up to third-order) as
144 source terms. A hybrid topography discretization is adopted for treating these higher-order
145 derivative terms using a local fourth-order DG expansion (DG4). The RKDG2-based model
146 solving the GN equations is further supported with stable friction source term discretization
147 and a conservative wetting and drying condition, to enable applicability for a range of tests

148 involving nearshore wave processes with nonlinearity, dispersion, interaction with uneven
 149 and rough topographies and/or wetting and drying.

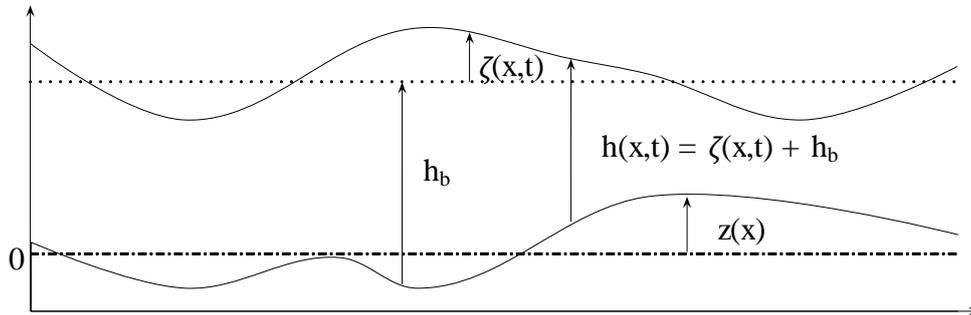
150 In what follows, Section 2 summarizes the GN model equations; Section 3 presents
 151 the details of the DG discretizations used including the details relevant to the integration of
 152 the topography source terms, treatment of wetting and drying and dispersive terms
 153 computations; Section 4 contains an exhaustive and systematic validation of the proposed
 154 model development over a series of selected test cases; Section 5 outlines the conclusions.

155

156 2- The Green-Naghdi (GN) equations

157 The standard one-dimensional (1D) GN system can be cast in an alternative form, which
 158 involves an optimization parameter and incorporates time-independent dispersive terms in
 159 diagonal matrices (Lannes and Marche 2015). This (so-called “one-parameter”) model reads:

$$\begin{cases} \partial_t h + \partial_x(hu) = 0 \\ [1 + \alpha T[h_b]] \left(\partial_t(hu) + \partial_x(hu^2) + \frac{\alpha - 1}{\alpha} gh \partial_x \zeta \right) + \frac{1}{\alpha} gh \partial_x \zeta + \\ h(Q_1(u) + gQ_2(\zeta)) + gQ_3 \left([1 + \alpha T[h_b]]^{-1} (gh \partial_x \zeta) \right) = 0 \end{cases} \quad (1)$$



160

161

Fig. 1. Sketch of the free surface flow domain

162 where $u(x, t)$ is the horizontal velocity, h_b corresponds to the undisturbed state, $h(x, t) =$
 163 $\zeta(x, t) + h_b$ is the water height, $\zeta(x, t)$ stands for the free surface elevation and $z(x)$ is the

164 variation of the bottom with respect to the rest state, as shown in Figure 1, and α is an
 165 optimization parameter. The differential operators Q_1 and Q_2 are expressed as follows:

$$Q_1(u) = 2h\partial_x h(\partial_x u)^2 + \frac{4}{3}h^2\partial_x u(\partial_x^2 u) + h\partial_x z(\partial_x u)^2 + uh\partial_x u(\partial_x^2 z) + u^2\partial_x \zeta(\partial_x^2 z) + \frac{h}{2}u^2(\partial_x^3 z) \quad (2)$$

$$Q_2(\zeta) = -\left(\partial_x \zeta \partial_x z + \frac{h}{2}\partial_x^2 z\right)\partial_x \zeta \quad (3)$$

166 For a given scalar function w , the second-order differential operator \mathbb{T} is defined as:

$$\mathbb{T}[h_b](w) = -\frac{h_b^3}{3}\partial_x^2\left(\frac{w}{h_b}\right) - h_b^2\partial_x h_b\partial_x\left(\frac{w}{h_b}\right) \quad (4)$$

167 and Q_3 admits the simplified notation:

$$Q_3(w) = \frac{1}{6}\partial_x(h^2 - h_b^2)\partial_x w + \frac{h^2 - h_b^2}{3}\partial_x^2 w - \frac{1}{6}\partial_x^2(h^2 - h_b^2)w \quad (5)$$

168 Eq. (1) can be rewritten in the following form:

$$\begin{cases} \partial_t h + \partial_x(hu) = 0 \\ \partial_t(hu) + \partial_x(hu^2) + \frac{\alpha - 1}{\alpha}gh\partial_x \zeta + [1 + \alpha\mathbb{T}[h_b]]^{-1}\left[\frac{1}{\alpha}gh\partial_x \zeta + h(Q_1(u) + gQ_2(\zeta)) + gQ_3\left([1 + \alpha\mathbb{T}[h_b]]^{-1}(gh\partial_x \zeta)\right)\right] = 0 \end{cases} \quad (6)$$

169 in which the differential operator $[1 + \alpha\mathbb{T}[h_b]]$ is factored out, making it possible not to
 170 compute third-order derivatives that are qualitatively present in Eq. (1). Replacing the free
 171 surface gradient term $gh\partial_x \zeta$ as:

$$gh\partial_x \zeta = \partial_x\left(\frac{1}{2}gh^2\right) + gh\partial_x z \quad (7)$$

172 Eq. (6) would become:

$$\begin{cases} \partial_t h + \partial_x(hu) = 0 \\ \partial_t(hu) + \partial_x(hu^2) + \partial_x\left(\frac{1}{2}gh^2\right) = -gh\partial_x z - \mathcal{D}_c \end{cases} \quad (8)$$

173 In which \mathcal{D}_c accounts for the dispersive source term as:

$$\mathcal{D}_c = -\frac{1}{\alpha}gh\partial_x\zeta + [1 + \alpha\mathbb{T}[h_b]]^{-1} \left[\frac{1}{\alpha}gh\partial_x\zeta + h(\mathcal{Q}_1(u) + g\mathcal{Q}_2(\zeta)) + g\mathcal{Q}_3 \left([1 + \alpha\mathbb{T}[h_b]]^{-1}(gh\partial_x\zeta) \right) \right] \quad (9)$$

174 As explained in Lannes and Marche (2015), this GN formulation (i.e. the one-parameter
 175 model) is stabilized against high-frequency perturbations via the presence of the differential
 176 operator $[1 + \alpha\mathbb{T}[h_b]]^{-1}$, which can also be directly assembled in a preprocessing step.
 177 Based on these aspects, this alternative GN formulation is adopted here, which can be
 178 decomposed into a conservative form of the hyperbolic NSW equations plus elliptic source
 179 terms for adding on dispersive effects. Therefore, Eq. (8) could be presented in matrix
 180 conservative form as follows:

$$\partial_t \mathbf{U} + \partial_x \mathbf{F}(\mathbf{U}, z) = \mathbf{S}_b(\mathbf{U}, z) + \mathbf{S}_f(\mathbf{U}, z) - \mathbf{D}(\mathbf{U}, z) \quad (10)$$

$$\mathbf{U} = \begin{bmatrix} h \\ q \end{bmatrix}, \quad \mathbf{F}(\mathbf{U}, z) = \begin{bmatrix} q \\ \frac{q^2}{h} + \frac{1}{2}gh^2 \end{bmatrix}, \quad (11)$$

$$\mathbf{S}_b(\mathbf{U}, z) = \begin{bmatrix} 0 \\ -gh\partial_x z \end{bmatrix}, \quad \mathbf{S}_f(\mathbf{U}, z) = \begin{bmatrix} 0 \\ -C_f u |u| \end{bmatrix}, \quad \mathbf{D}(\mathbf{U}, z) = \begin{bmatrix} 0 \\ \mathcal{D}_c \end{bmatrix}$$

181 where \mathbf{U} is the vector of flow variables, \mathbf{F} represents the fluxes, \mathbf{S}_b shows the topography
 182 source terms and \mathbf{S}_f defines the friction source terms, in which $C_f = \frac{gn_M^2}{h^{1/3}}$ is the coefficient of
 183 bed roughness and n_M represents the Manning coefficient. The friction source terms, though
 184 were not included in the original formulation (Lannes and Marche, 2015), will be considered
 185 here as for the NSW equations.

186 To reduce the complexity of obtaining the dispersive source terms \mathcal{D}_c , Eq. (9) is
 187 reformulated in terms of the following coupled system:

$$\begin{cases} [I + \alpha\mathbb{T}[h_b]] \left(\mathcal{D}_c + \frac{1}{\alpha}gh\partial_x\zeta \right) = h \left(\frac{1}{\alpha}gh\partial_x\zeta + \mathcal{Q}_1[h, z](u) + g\mathcal{Q}_2[h, z](\zeta) \right) + \mathcal{Q}_3[h, h_b]\mathcal{K} \\ [I + \alpha\mathbb{T}[h_b]]\mathcal{K} = gh\partial_x\zeta \end{cases} \quad (12)$$

188 in which \mathcal{K} is an auxiliary variable and the respective terms are previously defined in Eqs. (2-
 189 5). As for the choice of optimization parameter α , Lannes and Marche (2015) recommended
 190 taking 1.159, which will also be adopted here.

191

192 **3- RKDG2-based GN numerical solver**

193 This section extends a robust RKDG2 numerical solver of the NSW with source terms
 194 considering wetting and drying (Kesserwani and Liang 2012). The RKDG2 method adopted
 195 here is particularly based on the conventional form of the NSW and supported with new
 196 technical measures to fit the case of the GN equations.

197 A 1D computational domain with a length of L , is divided by $N + 1$ interface points $0 =$
 198 $x_{1/2} < x_{3/2} < \dots < x_{N+1/2} = L$, into N uniform cells, each cell $I_i = [x_{i-1/2}, x_{i+1/2}]$ being
 199 centered at $x_i = 1/2 (x_{i+1/2} + x_{i-1/2})$ and having a length of $\Delta x = x_{i+1/2} - x_{i-1/2}$. In the
 200 framework of a local DG approximation, a k^{th} order polynomial solution of the flow vector,
 201 denoted by $\mathbf{U}_h(x, t) = [h_h, q_h]^T$, is sought that belongs to the space of polynomials in I_i of
 202 degrees at most k (giving $k + 1$ order of accuracy in space). To get a FE local weak
 203 formulation, Eq. (10) is multiplied by a test function v , then integrated by parts over the
 204 control volume I_i to give:

$$\begin{aligned}
 & \int_{I_i} \partial_t \mathbf{U}_h(x, t) v(x) dx - \int_{I_i} \mathbf{F}(\mathbf{U}_h(x, t)) \partial_x v(x) dx \\
 & + \left[\tilde{\mathbf{F}}(\mathbf{U}_h(x_{i+1/2}, t)) v(x_{i+1/2}) - \tilde{\mathbf{F}}(\mathbf{U}_h(x_{i-1/2}, t)) v(x_{i-1/2}) \right] \quad (13) \\
 & = \int_{I_i} \mathbf{S}_b(\mathbf{U}_h(x, t), z_h) v(x) dx - \int_{I_i} \mathbf{D}_h(\mathbf{U}_h(x, t), z_h) v(x) dx
 \end{aligned}$$

205 in which, \mathbf{D}_h and z_h are local approximations of \mathbf{D} and z , which are also spanned by FE
 206 expansion coefficients, and $\tilde{\mathbf{F}}$ is a nonlinear numerical flux function based on an approximate
 207 Riemann solver featuring in the FV philosophy (Toro and Garcia-Navarro, 2007).

208 The local approximate solutions are expanded into polynomial basis functions $\{\phi_l^i\}_l$
 209 that is compactly supported on cell I_i , as:

$$\mathbf{U}_h(x, t)|_{I_i} = \sum_{l=0}^k \mathbf{U}_i^l(t) \phi_l^i(x) \quad (14)$$

$$\mathbf{D}_h(x, t)|_{I_i} = \sum_{l=0}^k \mathbf{D}_i^l(t) \phi_l^i(x) \quad (15)$$

210 where \mathbf{U}_i^l and \mathbf{D}_i^l are time-dependent expansion coefficients. In order to achieve a decoupled
 211 version of the Galerkin formulation, Eq. (13), the local basis functions $\{\phi_l^i\}_l$ have been
 212 defined according to the Legendre polynomials

$$\phi_l^i(x) = \phi_l\left(\frac{x - x_i}{\Delta x/2}\right) \quad (16)$$

213 where $\phi_l(X)$ are the L^2 -orthogonal Legendre polynomials on their reference domain $[-1, 1]$:

$$\phi_l(X) = \frac{1}{2^k k!} \frac{d^l}{dX^l} (X^2 - 1)^l \quad (17)$$

214

215 **3.1 RKDG2 method for the convective parts**

216 By selecting $k = 1$ a second-order DG (DG2) discretization can be obtained in which the
 217 local solution is linear:

$$\mathbf{U}_h|_{I_i} = \mathbf{U}_i^0(t) + \mathbf{U}_i^1(t) \left(\frac{x - x_i}{\Delta x/2}\right) \quad (18)$$

218 where the coefficients $\mathbf{U}_i^0(t)$ and $\mathbf{U}_i^1(t)$ can be viewed as average and slope coefficients,
 219 respectively. From an available initial conditions, i.e. $\mathbf{U}_0(x) = \mathbf{U}(x, 0)$, the initial state of the
 220 coefficients can be simplified to:

$$\mathbf{U}_i^0(0) = \frac{1}{2} \left(\mathbf{U}_0(x_{i+1/2}) + \mathbf{U}_0(x_{i-1/2}) \right) \quad (19)$$

$$\mathbf{U}_i^1(0) = \frac{1}{2} \left(\mathbf{U}_0(x_{i+1/2}) - \mathbf{U}_0(x_{i-1/2}) \right) \quad (20)$$

221 For topography discretization of convective parts, again, linear basis functions ($k = 1$) are
 222 used, and hence a similar expansion for the variable $z(x)$ can be obtained by means of
 223 constant coefficients z_i^0 and z_i^1 :

$$z_h|_{I_i} = z_i^0 + z_i^1 \left(\frac{x - x_i}{\Delta x/2} \right) \quad (21)$$

224 so that its derivative is used in the evaluation of the topography source term, namely:

$$\frac{d}{dx} z_h(x)|_{I_i} = \frac{2z_i^1}{\Delta x} \quad (22)$$

225 The coefficients z_i^0 and z_i^1 are obtainable from the given topography function $z(x)$, i.e.:

$$z_i^0 = \frac{1}{2} \left(z(x_{i+1/2}) + z(x_{i-1/2}) \right) \quad (23)$$

$$z_i^1 = \frac{1}{2} \left(z(x_{i+1/2}) - z(x_{i-1/2}) \right) \quad (24)$$

226 With this treatment for the topography, it is easy to verify that the continuity property holds
 227 in particular across interface points $x_{i+1/2}$ and $x_{i-1/2}$. For example at interface $x_{i+1/2}$ shared
 228 by elements I_i and I_{i+1} , (23) and (24) yield:

$$z_h(x_{i+1/2}^-)|_{I_i} = z_i^0 + z_i^1 = z(x_{i+1/2}) = z_{i+1}^0 - z_{i+1}^1 = z_h(x_{i+1/2}^+)|_{I_{i+1}} \quad (25)$$

229 Substituting the expanded variables into the weak formulation, a decoupled system of ODEs
 230 results for the evolution of each of the average and slope coefficients:

$$\begin{aligned}\partial_t \mathbf{U}_i^0 &= \mathbf{L}_i^0(\mathbf{U}_{i-1}^{0,1}, \mathbf{U}_i^{0,1}, \mathbf{U}_{i+1}^{0,1}) \\ \partial_t \mathbf{U}_i^1 &= \mathbf{L}_i^1(\mathbf{U}_{i-1}^{0,1}, \mathbf{U}_i^{0,1}, \mathbf{U}_{i+1}^{0,1})\end{aligned}\tag{26}$$

231 where $\mathbf{L}_i^{0,1}$ represent discrete spatial operators, which may be expressed as follows:

$$\mathbf{L}_i^0 = -\frac{1}{\Delta x} [\tilde{\mathbf{F}}_{i+1/2} - \tilde{\mathbf{F}}_{i-1/2} + \Delta x \mathbf{S}_b(\mathbf{U}_i^0, z_i^1)] - D_i^0(t)\tag{27}$$

$$\begin{aligned}\mathbf{L}_i^1 &= -\frac{3}{\Delta x} \left\{ (\tilde{\mathbf{F}}_{i+1/2} - \tilde{\mathbf{F}}_{i-1/2}) - \mathbf{F}(\mathbf{U}_i^0 + \hat{\mathbf{U}}_i^1/\sqrt{3}) - \mathbf{F}(\mathbf{U}_i^0 - \hat{\mathbf{U}}_i^1/\sqrt{3}) \right. \\ &\quad \left. - \frac{\Delta x \sqrt{3}}{6} [\mathbf{S}_b(\mathbf{U}_i^0 + \hat{\mathbf{U}}_i^1/\sqrt{3}, z_i^1) - \mathbf{S}_b(\mathbf{U}_i^0 - \hat{\mathbf{U}}_i^1/\sqrt{3}, z_i^1)] \right\} - D_i^1(t)\end{aligned}\tag{28}$$

232 where the ‘‘hat’’ symbol refers to the slope-limited coefficients resulting from the local slope-
233 limiting process (see Section 3.4). In addition, the special numerical treatments regarding dry
234 cells detection, numerical fluxes and friction source terms could be summarized as follows:

- 235 • The flux evaluations across cells interfaces $\tilde{\mathbf{F}}_{i\pm 1/2}$ are achieved based on a two-
236 argument numerical flux function $\tilde{\mathbf{F}}$, associated with the HLL solver.
- 237 • A threshold of $tolh_{dry} = 10^{-3}$ is used for dry cells detection based on internal
238 evaluations considering four inner cell points (i.e. two Gaussian points and two
239 interface points).
- 240 • For discretization of the friction source terms, a compound approach is deployed in
241 which they are first calculated implicitly using a splitting method and then are
242 explicitly discretized in Eqs. (27) and (28). This approach is aimed to avoid
243 instabilities due to possible unphysically-reversed flow at drying zones (Murillo et al.,
244 2009; Kesserwani and Liang, 2012).
- 245 • Ad-hoc wetting and drying condition is proposed in coherence with the current choice
246 for the model equations and topography discretization (details in Section 3.1.1).

247 Finally, the average and slope coefficients are marched in time using a two-stage RK time
 248 integration method with a time step restricted by the CFL condition (i.e. with a Courant
 249 number smaller than 0.333 in respect of the analysis in Cockburn and Shu (1991) as follows:

$$(\mathbf{U}_i^{0,1})^{n+1/2} = (\mathbf{U}_i^{0,1})^n + \Delta t (\mathbf{L}_i^{0,1})^n \quad (29)$$

$$(\mathbf{U}_i^{0,1})^{n+1} = \frac{1}{2} [(\mathbf{U}_i^{0,1})^n + (\mathbf{U}_i^{0,1})^{n+1/2} + \Delta t (\mathbf{L}_i^{0,1})^{n+1/2}] \quad (30)$$

250 3.1.1 Ad-hoc wetting and drying condition

251 In this work, the depth-positivity preserving reconstructions in Liang and March (2009) will
 252 be applied and simplified at the interfaces, however under the following hypotheses:

- 253 • The standard NSW equations (10)-(11) will be considered instead of the so-called pre-
 254 balanced form.
- 255 • There is no intermediate involvement of the free-surface elevation for ensuring depth-
 256 positivity preserving reconstructions.
- 257 • Topography continuity, i.e. at the interfaces, based on Eqs. (23)-(24), is ensured.

258 By denoting $\mathbf{U}_{i\pm 1/2}^\pm = \mathbf{U}_h(x_{i\pm 1/2}^\pm) = [h_{i\pm 1/2}^\pm, q_{i\pm 1/2}^\pm]^\top$, $z_{i\pm 1/2} = z_h(x_{i\pm 1/2}^\pm)$ to be values at the
 259 interfaces $x_{i+1/2}$ and $x_{i-1/2}$, respectively, well-balanced and positivity preserving versions
 260 can be obtained and will be appended with the superscript “star”:

$$h_{i-1/2}^{\pm,*} = \max(0, h_{i-1/2}^\pm) \quad \text{and} \quad q_{i-1/2}^{\pm,*} = h_{i-1/2}^{\pm,*} u_{i-1/2}^\pm \quad (31)$$

$$h_{i+1/2}^{\pm,*} = \max(0, h_{i+1/2}^\pm) \quad \text{and} \quad q_{i+1/2}^{\pm,*} = h_{i+1/2}^{\pm,*} u_{i+1/2}^\pm \quad (32)$$

261 where $u_{i-1/2}^+ = q_{i-1/2}^+/h_{i-1/2}^+$ and $u_{i+1/2}^- = q_{i+1/2}^-/h_{i+1/2}^-$ when $h_h|_{I_i} > tol h_{dry}$. Further to (31)
 262 and (32), the following (numerical) conditions for interface topography evaluations are
 263 necessary to also ensure the well-balanced property for partially wet cases, i.e. when the flow
 264 (from one side) is blocked by a dry obstacle (from the other side):

$$z_{i-1/2}^* = z_{i-1/2}^* - \max(0, -h_{i-1/2}^+) \quad \text{and} \quad z_{i+1/2}^* = z_{i+1/2}^* - \max(0, -h_{i+1/2}^-) \quad (33)$$

265 It may be worth noting that Eqs. (31-33) only act on potentially changing interface
 266 evaluations for the states of the flow and/or topography variables. These potential changes
 267 must then be used to consistently re-define “positivity-preserving coefficients”, which can be
 268 done by reapplying Eqs. (19), (20), (23) and (24) to re-initialize the coefficients as a
 269 subsequent step to Eqs. (31-33). This will lead to revised coefficients for use in the DG2
 270 operators (27-28), which will be appended by a “bar” symbol:

$$\bar{\mathbf{U}}_i^0(t) = \frac{1}{2}(\mathbf{U}_{i+1/2}^{-,*} + \mathbf{U}_{i-1/2}^{+,*}) \quad (34)$$

$$\bar{\mathbf{U}}_i^1(t) = \frac{1}{2}(\mathbf{U}_{i+1/2}^{-,*} - \mathbf{U}_{i-1/2}^{+,*}) \quad (35)$$

$$\bar{z}_i^0 = \frac{1}{2}(z_{i+1/2}^* + z_{i-1/2}^*) \quad (36)$$

$$\bar{z}_i^1 = \frac{1}{2}(z_{i+1/2}^* - z_{i-1/2}^*) \quad (37)$$

271

272 **3.2 Dispersive terms computation**

273 To consistently discretize the dispersive terms in Eq. (12), which have higher order
 274 derivatives, an alternative DG discretization approach (Cockburn and Shu, 1998) is used. In
 275 contrary to the work in Duran and Marche (2015), the mass and stiffness matrices obtained
 276 are diagonal, due to the adoption of the Legendre polynomials, hence resulting in a simpler
 277 structure. First, the following second-order Partial Differentiable Equation (PDE) for an
 278 arbitrary scalar valued function u is considered:

$$l - \partial_x^2 u = 0 \quad (38)$$

279 Defining an auxiliary variable w , the above equation could be rearranged as a set of two
 280 coupled first-order PDEs:

$$\begin{aligned} w + \partial_x u &= 0 \\ l + \partial_x w &= 0 \end{aligned} \tag{39}$$

281 Then, a weak formulation is obtained by multiplying the equations by a test function v , then
 282 integrating by parts over the control volume I_i :

$$\begin{aligned} \int_{I_i} w v dx - \int_{I_i} u \partial_x v dx + \tilde{u}_{i+1/2} v(x_{i+1/2}) - \tilde{u}_{i-1/2} v(x_{i-1/2}) &= 0 \\ \int_{I_i} l v dx - \int_{I_i} w \partial_x v dx + \tilde{w}_{i+1/2} v(x_{i+1/2}) - \tilde{w}_{i-1/2} v(x_{i-1/2}) &= 0 \end{aligned} \tag{40}$$

283 The interface fluxes \tilde{u} and \tilde{w} are computed as (Cockburn and Shu, 1998):

$$\begin{aligned} \tilde{u} &= \bar{u} - \xi \langle u \rangle \\ \tilde{w} &= \bar{w} + \sigma \langle w \rangle + \frac{\lambda}{\Delta x} \langle u \rangle \end{aligned} \tag{41}$$

284 in which the interface average $\bar{u} = (u^+ + u^-)/2$ and jump $\langle u \rangle = (u^+ - u^-)/2$ are defined
 285 based on the right and left interface values u^+ and u^- , respectively. The value of upwind
 286 parameters, ξ and σ , and penalization parameter λ depends on the selected method to
 287 compute fluxes. Different approaches are available for computing these fluxes, e.g. the
 288 centered Bassi and Rebay (BR) approach and its stabilized version (sBR), the alternate
 289 upwind approach also known as Local Discontinuous Galerkin (LDG) and the Interior
 290 Penalty (IP) approach. In the present study the BR flux was avoided given its sub-optimal
 291 convergence rates (Duran and Marche, 2015). Among the other options, which can deliver
 292 optimal convergence rates (Kirby and Karniadakis, 2005; Eskilsson and Sherwin, 2006;

293 Steinmoeller et al., 2012, 2016), the LDG flux is chosen in this work and can be obtained by
 294 setting $\xi = \sigma = 1$ and $\lambda \neq 0$ (Cockburn and Shu, 1998).

295 In the same manner as the RKDG method, all variables in Eqs. (39) have local
 296 expansions. Setting the test functions equal to basis function ϕ and replacing the approximate
 297 solutions of variables, the global formulations of Eqs. (39) are obtained in matrix form as
 298 follows:

$$\mathbf{M}\mathbf{W} = \mathbf{S}\mathbf{U} - (\mathbb{E} - \xi\mathbb{F})\mathbf{U} \quad (42)$$

$$\mathbf{M}\mathbf{L} = \mathbf{S}\mathbf{W} - (\mathbb{E} + \nu\mathbb{F})\mathbf{W} - \frac{\lambda}{h}\mathbb{F}\mathbf{U}$$

299 where \mathbf{W} , \mathbf{U} , and \mathbf{L} are vectors of expansion coefficients of w , u and l , respectively. \mathbb{M} and \mathbb{S}
 300 are the mass and stiffness matrices which have a block diagonal structure:

$$\mathbb{M} = \begin{bmatrix} \mathbf{M}_1 & & \\ & \ddots & \\ & & \mathbf{M}_N \end{bmatrix}, \quad \mathbb{S} = \begin{bmatrix} \mathbf{S}_1 & & \\ & \ddots & \\ & & \mathbf{S}_N \end{bmatrix} \quad (43)$$

301 where each block is of the form:

$$M_{jk}^i = \int_{I_i} \phi_j^i \phi_k^i dx, \quad S_{jk}^i = \int_{I_i} \phi_j^i \frac{d}{dx} \phi_k^i dx \quad (44)$$

302 Because of adopting the Legendre polynomials as basis functions, the mass and stiffness
 303 matrices are diagonal, resulting in a simpler structure especially when the order of the method
 304 increases. Matrices \mathbb{E} and \mathbb{F} which account for the interface fluxes, have the following block
 305 tri-diagonal structure:

311 solved by block forward and back substitution and since they were diagonally dominant, no
 312 pivoting was required.

313 **3.3 Fourth-order bed projection for the dispersive terms**

314 Another consideration regarding the discretization of the dispersive terms is how to handle
 315 the associated local bed projection. In contrast to the convective part where the bed projection
 316 is linear, the dispersive source terms entail third-order derivatives for the topography, which
 317 hence means that a fourth-order Discontinuous Galerkin (DG4) approximation is needed
 318 ($k = 3$) to accountably achieve this operation. Such a local expansion for the topography has
 319 the following form:

$$z_h(x)|_{I_i} = \sum_{l=0}^k z_i^l \phi_l^i(x) = z_i^0 \phi_0(X) + z_i^1 \phi_1(X) + z_i^2 \phi_2(X) + z_i^3 \phi_3(X) \quad (50)$$

320 in which $X = \frac{x-x_i}{\Delta x/2}$, and $\phi_l(X)$ are the L^2 -orthogonal Legendre polynomials, as previously
 321 introduced in Eq. (17). These polynomials are written as:

$$\phi_0(X) = 1, \quad \phi_1(X) = X, \quad \phi_2(X) = \frac{1}{2}(3X^2 - 1), \quad \phi_3(X) = \frac{1}{2}(5X^3 - 3X) \quad (51)$$

322 The derivatives of the topography can be obtained by differentiating Eq. (50) with respect to
 323 x , i.e.

$$\partial_x [z_h(x)|_{I_i}] = z_i^0 \partial_x [\phi_0(X)] + z_i^1 \partial_x [\phi_1(X)] + z_i^2 \partial_x [\phi_2(X)] + z_i^3 \partial_x [\phi_3(X)] \quad (52)$$

324 Inserting the derivatives of polynomials into Eq. (52) results in,

$$\partial_x [z_h(x)|_{I_i}] = \frac{2}{\Delta x} z_i^1 + \frac{6X}{\Delta x} z_i^2 + \left(\frac{15X^2}{\Delta x} - \frac{3}{\Delta x} \right) z_i^3 \quad (53)$$

325 Recursive differentiating of Eq. (53) would result in higher derivatives as follows,

$$\partial_x^2 [z_h(x)|_{I_i}] = \frac{12}{\Delta x^2} z_i^2 + \frac{60X}{\Delta x^2} z_i^3 \quad (54)$$

$$\partial_x^3 [z_h(x)|_{I_i}] = \frac{120}{\Delta x^3} z_i^3 \quad (55)$$

326 In center of the cells, X equals to zero, therefore,

$$\partial_x [z_h|_{I_i}] = \frac{2}{\Delta x} z_i^1 - \frac{3}{\Delta x} z_i^3 \quad (56)$$

$$\partial_x^2 [z_h|_{I_i}] = \frac{12}{\Delta x^2} z_i^2 \quad (57)$$

$$\partial_x^3 [z_h|_{I_i}] = \frac{120}{\Delta x^3} z_i^3 \quad (58)$$

327 The degrees of freedom for the topography $(z_i^3)_{l=0,1,2,3}$ are calculated as the projection of
 328 $z_h(x)$ onto the space of approximating polynomials:

$$z_i^l = \frac{2l+1}{\Delta x} \int_{I_i} z_h(x) \phi_l \left(\frac{x-x_i}{\Delta x/2} \right) dx \quad (59)$$

329 The integral terms are evaluated by Gaussian quadrature rule and result in the followings:

$$z_i^0 = \frac{1}{2} [z(x_{i+1/2}) + z(x_{i-1/2})] \quad (60)$$

$$z_i^1 = \frac{\sqrt{3}}{2} \left[z \left(x_i + \Delta x \frac{\sqrt{3}}{6} \right) - z \left(x_i - \Delta x \frac{\sqrt{3}}{6} \right) \right] \quad (61)$$

$$z_i^2 = \frac{5}{9} \left[z \left(x_i + \Delta x \frac{\sqrt{15}}{10} \right) - 2z(x_i) + z \left(x_i - \Delta x \frac{\sqrt{15}}{10} \right) \right] \quad (62)$$

$$z_i^3 = 7 \{ \mu \delta (20\delta^2 - 3) [z(x_i + \Delta x \delta) - z(x_i - \Delta x \delta)] \\ + \mu' \delta' (20\delta'^2 - 3) [z(x_i + \Delta x \delta') - z(x_i - \Delta x \delta')] \} \quad (63)$$

330 where $\delta = 1/2\sqrt{(15 + 2\sqrt{30})/35}$, $\delta' = 1/2\sqrt{(15 - 2\sqrt{30})/35}$, $\mu = 1/4 - \sqrt{30}/72$ and
331 $\mu' = 1/4 + \sqrt{30}/72$. It should be noted that quadrature weights and coefficients in Eqs. (60-
332 63) are specific to a fourth order approximation. In practice, topographic data are often
333 provided as a set of discrete values and are generally difficult to be defined as a mathematical
334 expression. Therefore, proper interpolation techniques are required which is not a
335 straightforward issue (Kesserwani and Liang, 2011). In the present study, a simplified and
336 practical consideration is used for determining z_i^l without involving direct calculation of the
337 topographic values at the local points. Within a computational cell $I_i = [x_{i-1/2}; x_{i+1/2}]$,
338 assuming that the discrete topographic data are available at its lower and upper limits, i.e.
339 $z(x_{i-1/2})$ and $z(x_{i+1/2})$, the topography is defined linearly by $z(x_{i-1/2})$ and $z(x_{i+1/2})$ in
340 cell I_i and the intermediate topographic data at $z(x_i \pm \Delta x \frac{\sqrt{3}}{6})$ and $z(x_i \pm \Delta x \frac{\sqrt{15}}{10})$ may then
341 be obtained by linear interpolation. As a result the topography-associated degrees of freedom
342 are written as:

$$z_i^0 = \frac{1}{2} [z(x_{i+1/2}) + z(x_{i-1/2})] \quad (64)$$

$$z_i^1 = \frac{1}{2} [z(x_{i+1/2}) - z(x_{i-1/2})] \quad (65)$$

$$z_i^2 = \frac{\sqrt{15}}{9} [z(x_{i+1/2}) - 2z_i^0 + z(x_{i-1/2})] \quad (66)$$

$$z_i^3 = 7\{\mu\delta(20\delta^2 - 3)[2\delta z(x_{i+1/2}) - 2\delta z(x_{i-1/2})] \\ + \mu'\delta'(20\delta'^2 - 3)[2\delta' z(x_{i+1/2}) - 2\delta' z(x_{i-1/2})]\} \quad (67)$$

343 **3.4 Localized handling of wave breaking**

344 To account for wave breaking, an approach for switching from the GN equations to the NSW
345 equations is implemented and locally activated (i.e. to switch off dispersive source terms)

346 when the wave is about to break. In this work, wave breaking detection has been achieved by
 347 a numerical criterion (instead of deploying sophisticated physical parameters, as discussed in
 348 Section 1). This criterion is specific to the DG method's superconvergence behavior, which is
 349 also used for shock detection in order to restrict the operation of the slope limiter
 350 (Krivodonova et al., 2004). In summary, regions of potential instability where switching
 351 should occur are here identified according to the following sensor:

$$\mathbf{DS}_{i+1/2}^- > \mathbf{1.0} \quad \text{or} \quad \mathbf{DS}_{i-1/2}^+ > \mathbf{1.0} \quad (68)$$

352 where $\mathbf{DS}_{i+1/2}^-$ and $\mathbf{DS}_{i-1/2}^+$ are the discontinuity detectors at the two cell edges ($x_{i+1/2}$ and
 353 $x_{i-1/2}$) within cell I_i (Kesserwani and Liang, 2012). The expression for $\mathbf{DS}_{i+1/2}^-$ is given by

$$\mathbf{DS}_{i+1/2}^- = \frac{|\mathbf{U}_{i+1/2}^+ - \mathbf{U}_{i+1/2}^-|}{\left|\frac{\Delta x}{2}\right| \max(|\mathbf{U}_i^0 - \mathbf{U}_i^1/\sqrt{3}|, |\mathbf{U}_i^0 + \mathbf{U}_i^1/\sqrt{3}|)} \quad (69)$$

354 and $\mathbf{DS}_{i-1/2}^+$ is defined by analogy. It is worth noting that once (68) switches the RKDG2
 355 model to solving the NSW equations, it has been found necessary not to let the model return
 356 to the GN equations or otherwise the model may experience instabilities in the vicinity of the
 357 breaking point. It is also useful to stress out that another version of the sensor in Eq. (68) has
 358 been used for the detection of local cells that are in need for slope limiting, based however on
 359 a higher threshold value of 10.

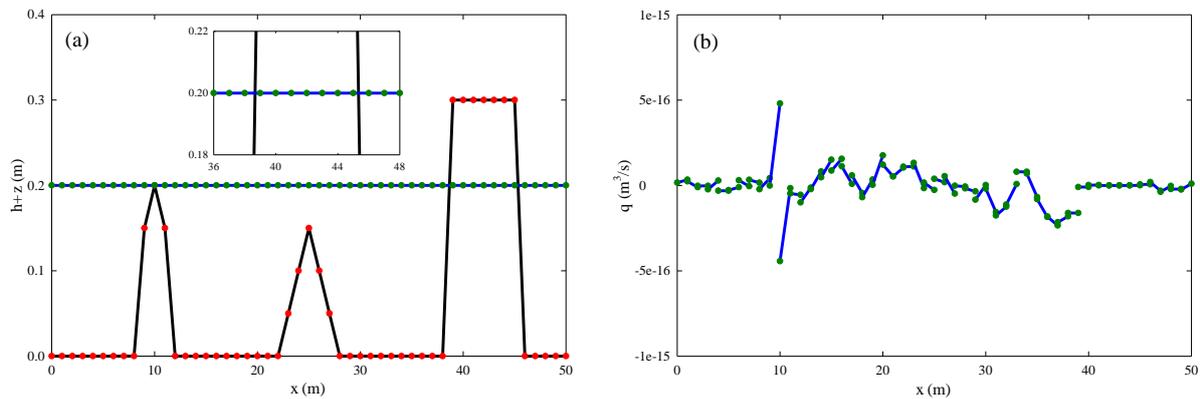
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361 **4- Model verification and validation**

362 This part will demonstrate the performance of the proposed RKDG2-GN model in predicting
 363 wave propagation and transformation through comparisons with analytical and experimental
 364 data. The inlet and outlet boundary conditions will depend on the test as detailed in the

365 following. For quantitative analysis, errors and orders of accuracy are calculated based on the
 366 L^2 -norms per number of cells N , i.e. as follows:

$$Error = \frac{1}{N} \frac{\|U_{exact} - U_{numerical}\|_2}{\|U_{exact}\|_2} \quad (70)$$



367

368 **Fig. 2. Motionless flow over different patterns for the topography and wetting and drying. Computed full**
 369 **RKDG2 solution (blue lines) of: (a) free surface elevation, (b) the flow rate. Also included the interface**
 370 **points of the RKDG2 solutions (green dots), the continuous DG2 projection of the topography (black**
 371 **lines) and its interface evaluations (red dots)**

372

373 4.1 Quiescent flow over an irregular bed

374 This test has been aimed and designed to validate the well-balanced, or conservative property
 375 of the proposed model over a domain that simultaneously involves various topography shapes
 376 ranging from smooth hump-like to sharp building-like geometries, and also considering wet
 377 and dry zones. The topography shapes are defined in Eq. (71) below.

$$z(x) = \begin{cases} 0.2 - 0.05(x - 10)^2 & 8 < x \leq 12 \\ 0.05x - 1.1 & 22 < x \leq 25 \\ -0.05x + 1.4 & 25 < x \leq 28 \\ 0.3 & 39 < x \leq 46 \\ 0 & elsewhere \end{cases} \quad (71)$$

378 The still initial conditions are given by:

$$hu = 0, \quad h + z = 0.2 \quad (72)$$

379 Eq. (71) enables to distinguish three important scenarios for assessing the conservation
380 property with wetting and/or drying, i.e. at a drying point at $x = 10$ m, for a wet case over a
381 sharp topography gradient at $x = 25$ m and when the wet-dry front results from an intersection
382 with a dry building at $x = 39$ and 46 m (see Figure 2a). The computational domain, of length
383 50 m, is divided into 50 cells and the model is run up to 100 seconds. Figure 2 reveals the
384 behavior of the full RKDG2-GN (linear) solutions, showing clearly still steady state of the
385 free surface elevation (i.e. Figure 2a) and slightly perturbed local solutions for the flow rate
386 (i.e. Figure 2b) that, although illustrative of the discontinuous character, remain within
387 machine precision error (1×10^{-16}). These results hence indicate that the proposed numerical
388 model verify the well-balanced property, which should hold irrespective of the mesh size. In
389 particular, looking at the zoom in portion in Figure 2a, the proposed scheme remains stable
390 for the well-balanced property when the local linear solution cut through the dry step-like
391 obstacle, which is likely to yield practical conveniences (e.g. negating the need for expanding
392 significant amount of time for treating the presence of building within the mesh). Notable
393 also, the magnitude of dispersive terms has been observed to be in the range of machine
394 precision, indicating that the proposed RKDG2-GN model will not predict any spurious flows
395 when handling potentially realistic flow scenarios involving highly irregular topography
396 shapes and wetting and/or drying.

397

398 **4.2 Oscillatory flow in a parabolic bowl**

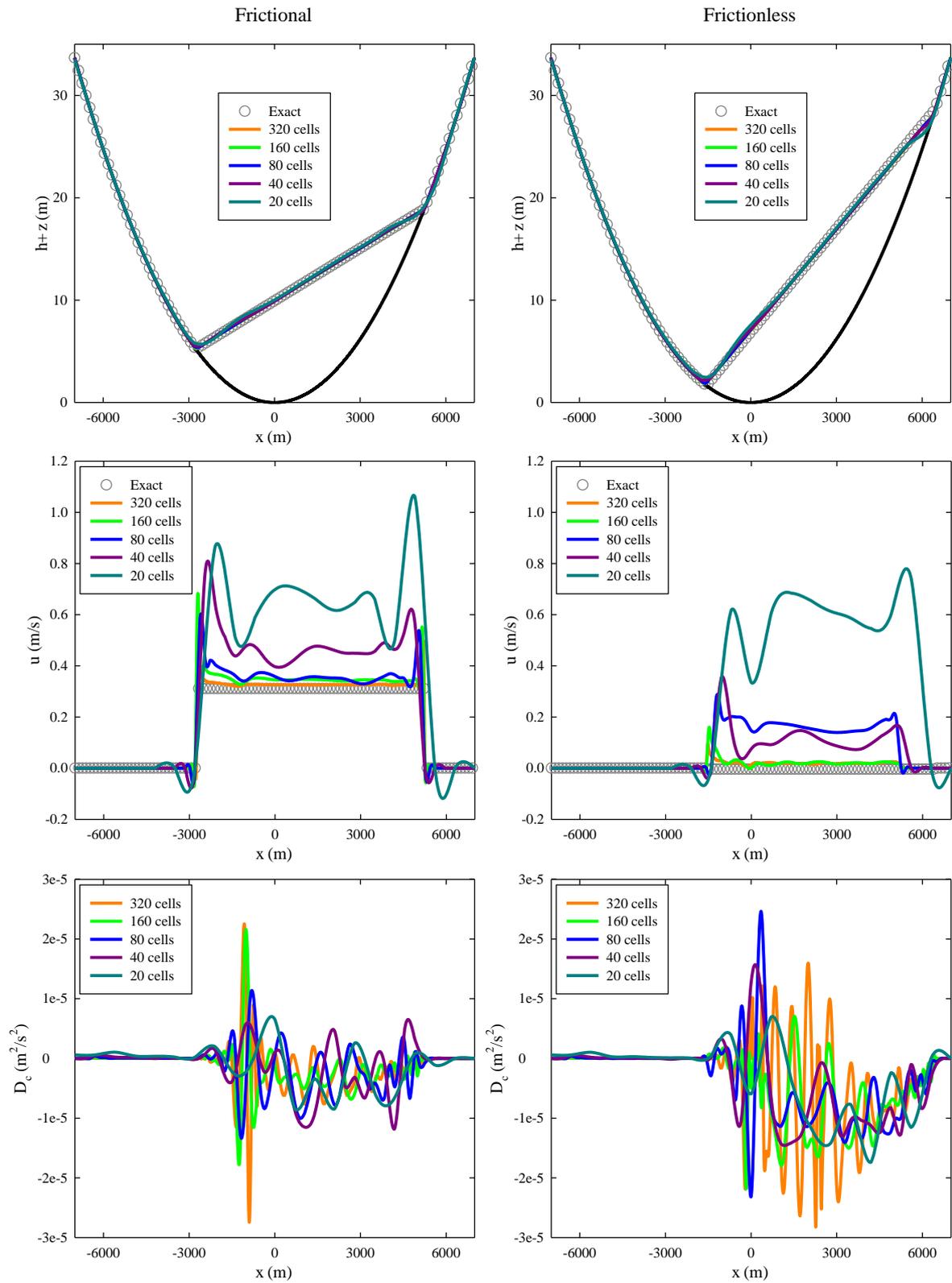
399 This test is mainly featured by moving wet-dry interfaces over an uneven topography and is
400 known to be challenging for NSW-based numerical models. It is here considered to assess
401 many properties of the proposed GN model. It consists of an oscillatory flow taking place

402 inside a convex parabolic topography. The bed topography is described by $z(x) = h_0(x/a)^2$
 403 with constants h_0 and a . By assuming a friction source term proportional to the velocity, i.e.
 404 $S_f = -\tau hu$ (τ is a constant friction factor), the analytical solution would be (Sampson,
 405 2009):

$$\eta(x, t) = h_0 + \frac{a^2 B^2 e^{-\tau t}}{8g^2 h_0} \left(-s\tau \sin 2st + \left(\frac{\tau^2}{4} - s^2 \right) \cos 2st \right) - \frac{B^2 e^{-\tau t}}{4g} - \frac{e^{-\tau t/2}}{g} \left(Bs \cos st + \frac{\tau B}{2} \sin st \right) x \quad (73)$$

$$u(x, t) = B e^{-\tau t/2} \sin st$$

406
 407 where B is a constant and $s = \sqrt{8gh_0 - \tau^2 a^2}/2a$. The computational domain is considered
 408 to have a length $L = 14,000$ m, i.e. $[-7000$ m; 7000 m], and the problem constants are selected
 409 to be: $h_0 = 11$ m, $a = 4000$ m and $B = 9$ m/s. According to the value of τ , a frictionless
 410 and a frictional sub-case can be considered. When $\tau = 0$, the frictionless sub-case is obtained
 411 in which the flow is expected to oscillate indefinitely with a period of $T = 1711$ s; whereas
 412 when $\tau > 0$, here equal to 0.0015 s⁻¹, friction effects will be activated inducing a frictional
 413 flow that will be expected to decay with time until reaching a steady state.



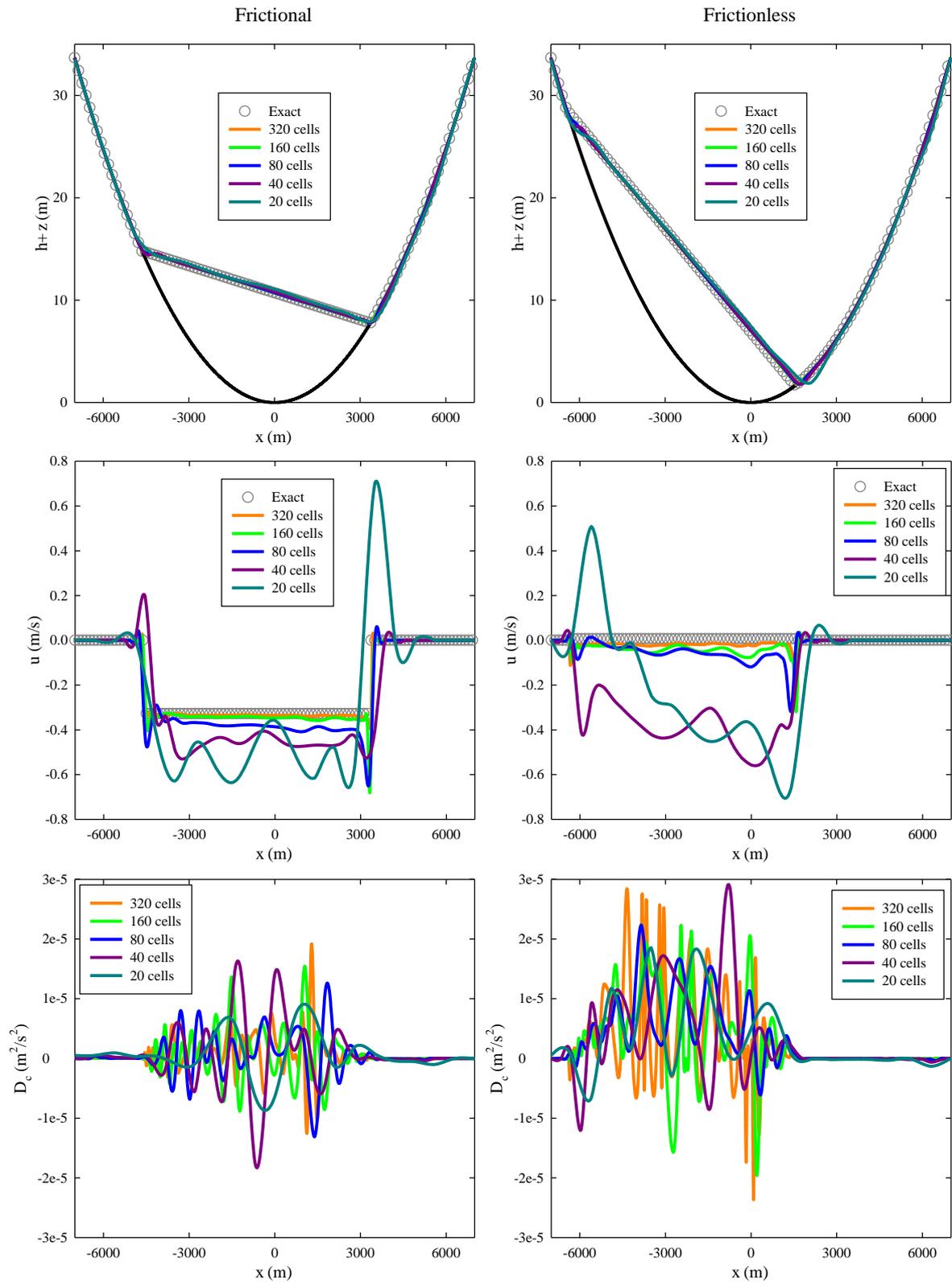
414

415 **Fig. 3. Oscillatory flow in a parabolic bowl, numerical vs. analytical solutions at $t = T / 2$. From top: free**

416

surface elevation, velocity and magnitude of dispersive terms

417



418

419

Fig. 4. Oscillatory flow in a parabolic bowl, numerical vs. analytical solutions at $t = T$. From top: free

420

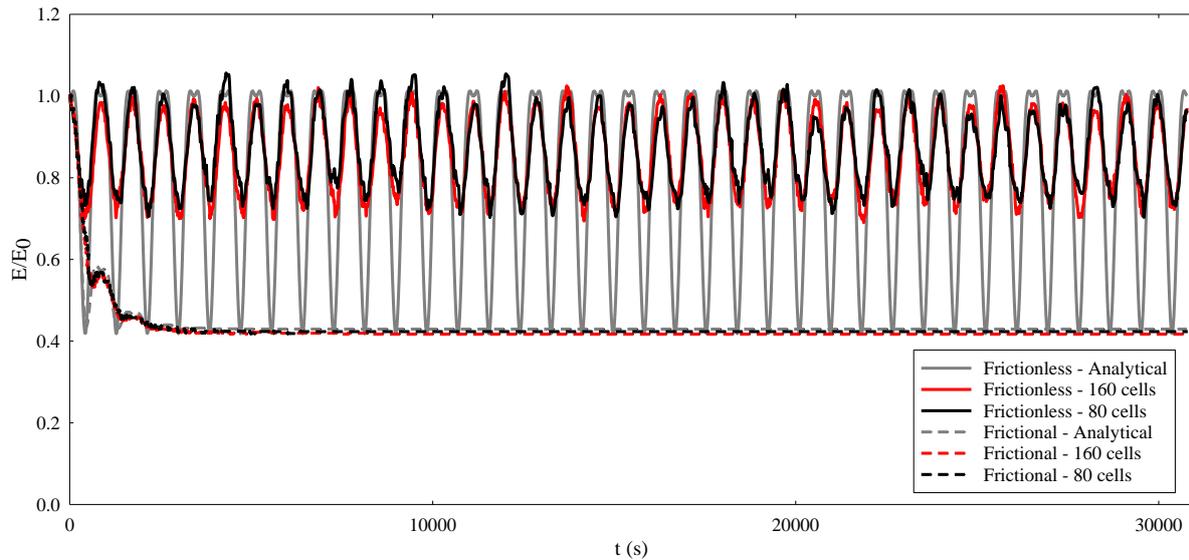
surface elevation, velocity and magnitude of dispersive terms

421 Figures 3 and 4 compare simulated results obtained on different meshes (i.e. involving
 422 20, 40, 80, 160 and 320 computational cells) with the analytical solutions for both frictional
 423 and frictionless sub-cases at $t = T/2$ and $t = T$, respectively. In terms of predictability of
 424 the free surface elevation (Figures 3 and 4 – upper part), the simulations involving more than
 425 40 cells are seen to agree very well with analytical solution. However the velocity predictions
 426 (Figures 3 and 4 – middle part) seems to be more illustrative about the impact of the mesh
 427 size on the simulations, clearly indicating that more cells would be needed (i.e. ≥ 80 cells for
 428 the frictional case and ≥ 160 cells for the frictionless case) in order to fairly capture the trail
 429 of the vanishing velocity due to the moving wet-dry front. As to the spikes occurring in the
 430 vicinity of the wet-dry fronts, they are commonly observed discrepancies for such a test and
 431 would be expected to slightly reduce with mesh refinement (e.g. Kesserwani and Wang,
 432 2014). Figures 3 and 4 (lower part) include a view of the dispersive terms, which have a
 433 negligible magnitude, as expected for this kind of shallow flow, and a bounded variation
 434 (even after a longer time evolution, i.e. until $t = 18T$ in our case). These results, supported
 435 also with the results in Section 4.1, indicate that the nonlinear and dispersive terms associated
 436 with extra source term, \mathbf{D} , does not interfere with the stability of the proposed GN numerical
 437 solver when faced with dynamic wetting and drying processes over rough topographies.

438 To investigate the conservation property of the present model, the time evolution of
 439 the domain-integrated total energy was computed over $18T$, which writes:

$$E(t) = \int_{-L/2}^{+L/2} \left(\frac{1}{2} hu^2 + \frac{1}{2} g\eta^2 \right) dx \quad (74)$$

440 Following the work in Steinmoeller et al. (2012), this quantity is normalized by its initial
 441 value E_0 and then recorded over time for two of the meshes (i.e. with 80 and 160 cells)
 442 considering both frictional and frictionless cases. The normalized total energy histories are
 443 plotted in Figure 5 with the histories produced by the use of the exact solution (Eq. 73).



444
 445 **Fig. 5. Oscillatory flow in a parabolic bowl; domain-integrated total energy time histories after a long**
 446 **time simulation (i.e. $t = 18T$).**

447 In both sub-cases, the normalized energy variation seems to be consistent despite the mesh
 448 size. For the frictional sub-case, the observed drop of energy level after some time is
 449 expected as the kinetic energy is proportional to the friction factor; however, after this drop,
 450 the remaining energy line remains constant, suggesting that there is no notable diffusivity in
 451 the proposed numerical scheme. As for the frictionless sub-case, the energy line appears to
 452 remain constant albeit with an oscillatory pattern, which is likely to be related to vanishing
 453 velocity as a result of the constant wetting and drying as can be noted from the exact profile.
 454 For the latter sub-case, the numerical model does not seem to be able to catch up with the
 455 analytical energy line at those instants where velocity vanishes after drying (i.e. when the
 456 kinetic energy instantaneously drops to zero). However, as can be seen in the frictional sub-
 457 case, such an impact from the vanishing velocity after drying reduces as the velocity
 458 magnitude drops. Despite this discrepancy, the evolution of the total energy line, in both
 459 cases, shows no signal of a drop throughout the simulation, reinforcing that the presented
 460 RKDG2-GN model is conservative.

461 Finally, an accuracy-order analysis (Table 1) is provided based on the errors
462 generated from the results of the frictional sub-case at $t = T$. The numerical orders in the
463 table show that the model is able to deliver second-order convergence rates, achieving on
464 average orders of 2.2 and 2.3 for the depth and discharge variables, resp. These results further
465 imply that the accuracy of the proposed RKDG2-GN model will be preserved even while
466 coping with nearshore water simulations.

467 **Table 1: Errors and orders of accuracy for parabolic bowl flow (frictional)**

| No. of elements | h | | q | |
|-----------------|-----------------------|-----------------------|-----------------------|-----------------------|
| | L ² -error | L ² -order | L ² -error | L ² -order |
| 20 | 7.95E-04 | -- | 3.23E-02 | -- |
| 40 | 1.88E-04 | 2.08 | 9.97E-03 | 1.72 |
| 80 | 3.73E-05 | 2.33 | 2.25E-03 | 2.14 |
| 160 | 7.80E-06 | 2.25 | 3.41E-04 | 2.72 |
| 320 | 1.25E-06 | 2.64 | 6.93E-05 | 2.30 |

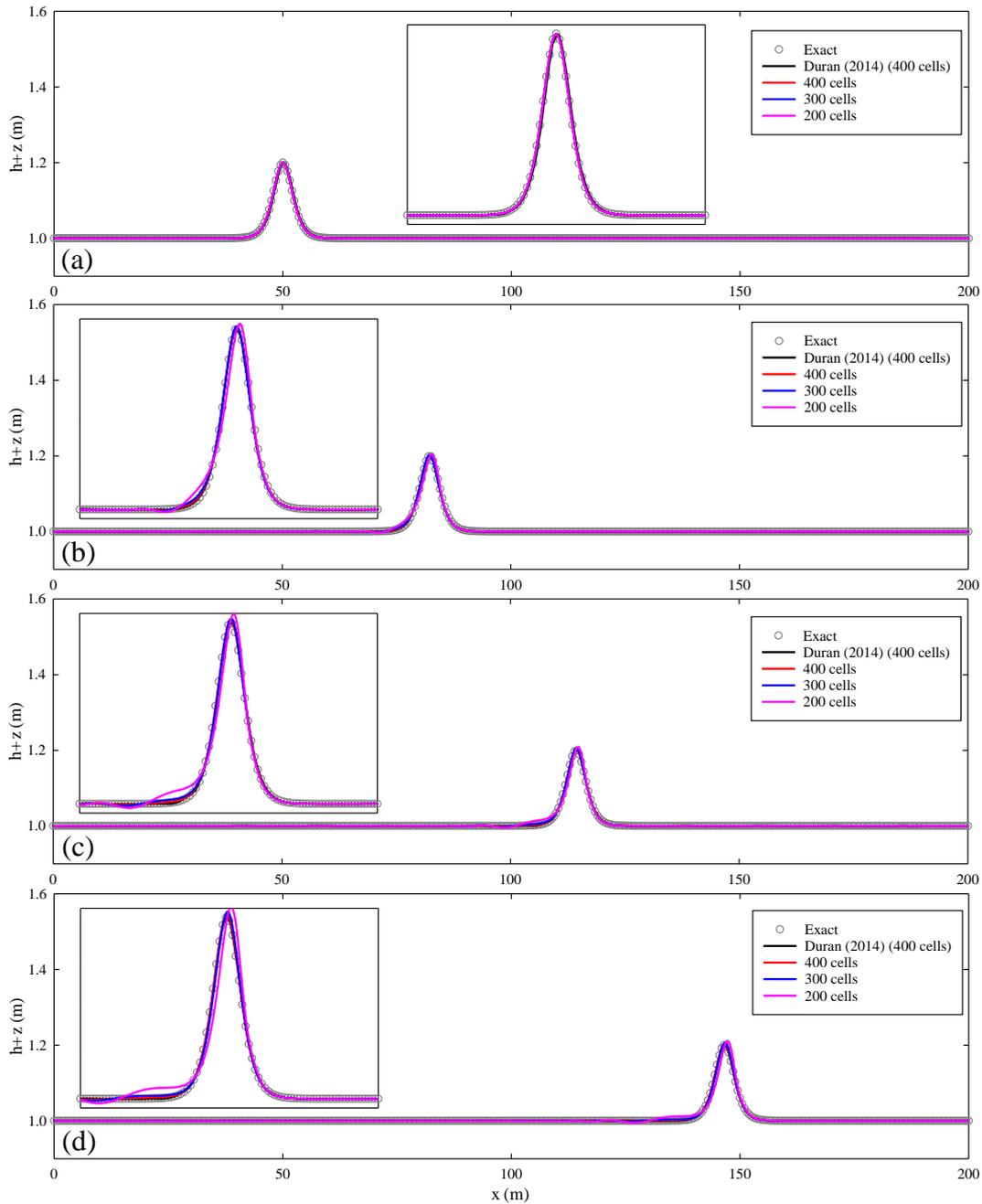
468 4.3 Propagation of a solitary wave

469 For accuracy assessment of dispersive wave behavior, a solitary wave propagating with a
470 celerity c in the still water of depth h_0 is considered. The exact solution of the solitary wave
471 that is similar in shape to solitons predicted by Korteweg-de Vries (KdV) equations
472 (Steinmoeller et al., 2012), which is given by:

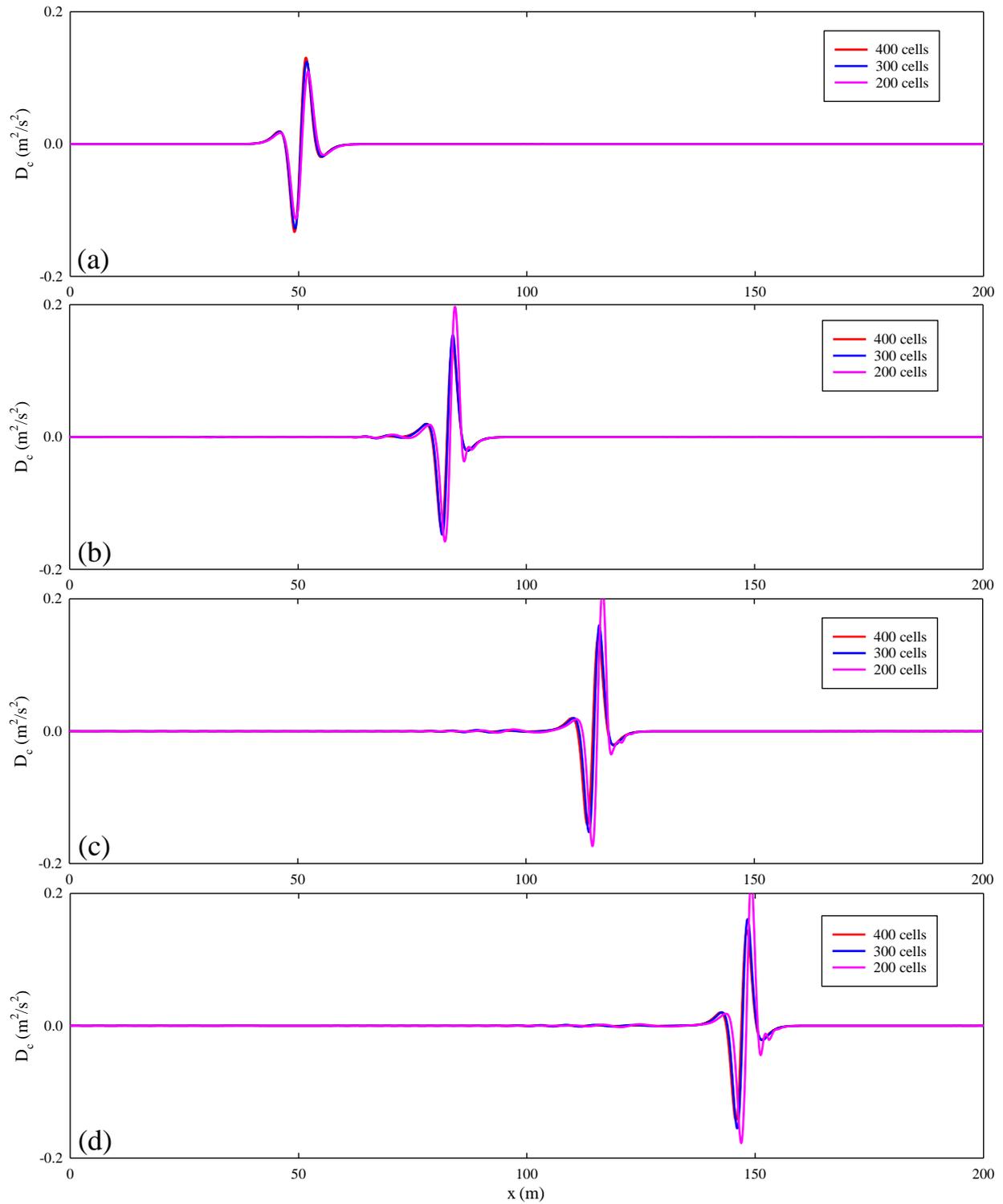
$$\begin{aligned}
h(x, t) &= h_0 + a \operatorname{sech}^2 \left(\frac{\sqrt{3a}}{2h_0\sqrt{h_0+a}} (x - ct) \right) \\
u(x, t) &= c \left(1 - \frac{h_0}{h(x, t)} \right)
\end{aligned}
\tag{75}$$

473 where $c = \sqrt{g(h_0 + a)}$ is the wave celerity. The first case demonstrates the propagation of a
474 highly nonlinear solitary wave in a 200 m long channel with a reference water depth of $h_0 =$

475 1 m, and an amplitude of $a = 0.2$ m, initially centered at $x_0 = 50$ m. Figure 6 compares the
 476 predicted wave profiles at different instants with the exact solution, the results in Duran
 477 (2014) on a mesh with 400 cells and our results on meshes with 400, 300 and 200 cells.



478
 479 **Fig. 6. Comparison of solitary wave profiles at (a) $t = 0$, (b) $t = 9.4$, (c) $t = 18.75$ (d) $t = 28.15$ seconds, for**
 480 **exact analytical solution, numerical results of Duran (2014) using 400 cells, and the present model using**
 481 **400, 300 and 200 cells.**



482

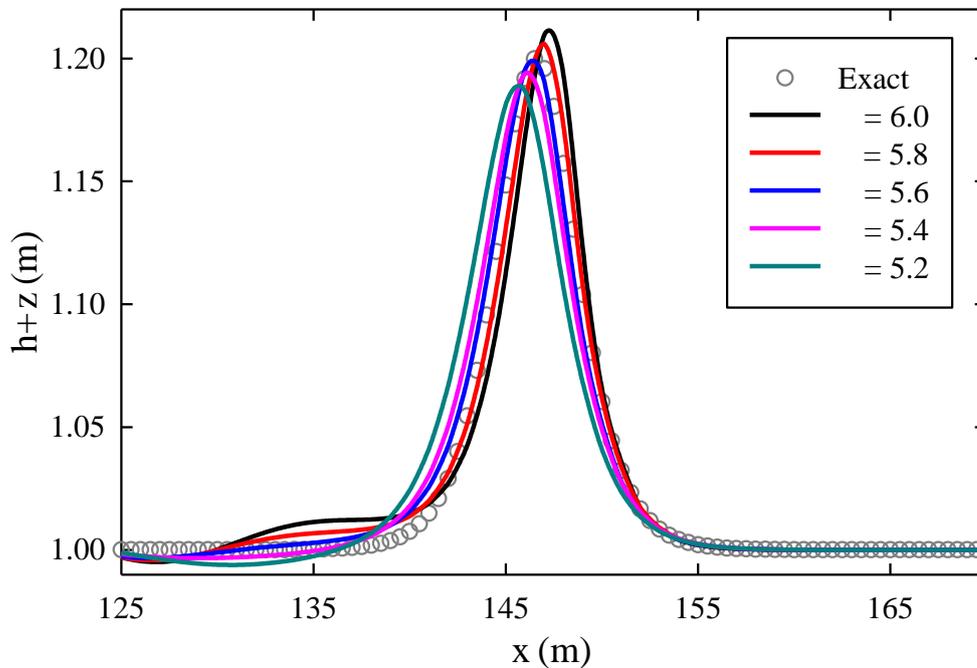
483 **Fig. 7. Comparison of magnitudes of the dispersive source terms for the solitary wave at (a) $t = 0$, (b) $t =$**

484

9.4, (c) $t = 18.75$ (d) $t = 28.15$ seconds

485

486 Zoom-in portions of the wave are also included for allowing close qualitative comparisons.
 487 On the finest mesh of 400 cells, the proposed RKDG2-GN predictions are seen to be
 488 comparable with the predictions made in Duran (2014) using an RKDG3-GN approach on the
 489 same mesh, both agreeing well with the exact solution at all the output times. On the medium
 490 mesh of 300 cells, the RKDG2-GN predictions preserve a good agreement with results on
 491 finer meshes and the exact solution, which implies that the proposed RKDG2-GN can deliver
 492 the level of fidelity required despite being less costly and complex.



493
 494 **Fig. 8. Comparison of solitary wave profiles with 200 cells using respective penalization parameters (λ) at**
 495 **$t = 28.15$ s**

496
 497 As to the RKDG2-GN results on the coarsest mesh of 200 cells, our results can be
 498 said to be acceptable in terms of not being dissipative for the wave prediction, though it
 499 underperforms at the trailing wave (e.g. at $t = 28.15$ s). There, a larger amplitude is predicted
 500 when the coarse grid is used, which is not observed for the results on the finer meshes. Figure
 501 7 further provides a view on the evolution of the dispersive terms, which shows

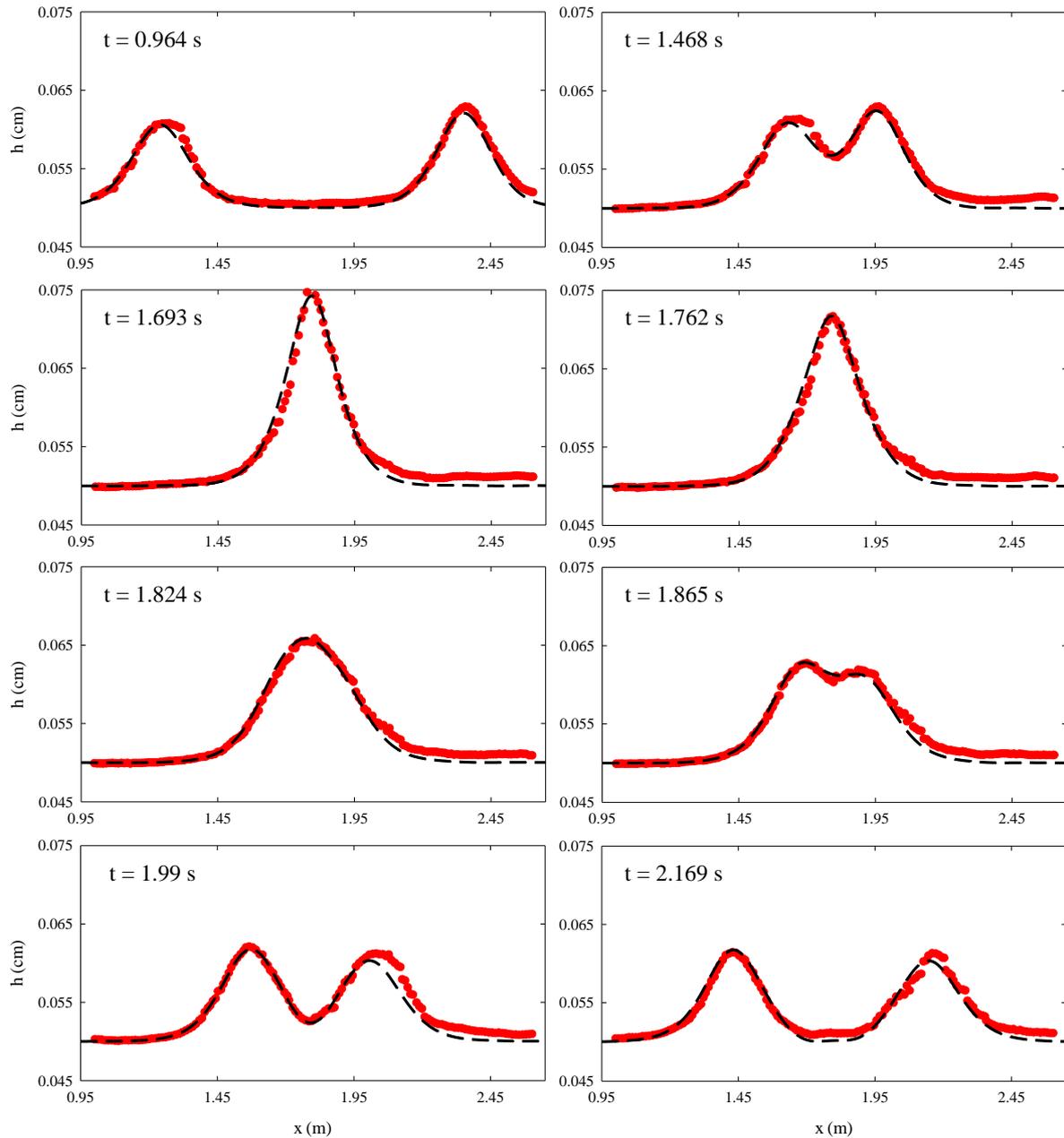
502 inconsistently larger amplitude predictions on the coarsest mesh considered. However, these
503 larger amplitudes seem to vanish by altering the penalization parameter of the LDG fluxes,
504 e.g. when the λ parameter is equal to 5.6 as reveals Figure 8. This means that a user is likely
505 to have the option to retain a fairly coarse mesh for this type of simulations, but may have to
506 cope with more sensitive tuning for the parameters involved in the dispersive term solver.

507 **Table 2: Errors and orders of accuracy for depth and discharge for solitary wave propagation**

| No. of elements | h | | q | |
|-----------------|-----------------------|-----------------------|-----------------------|-----------------------|
| | L ² -error | L ² -order | L ² -error | L ² -order |
| 20 | 1.21E-03 | -- | 8.83E-02 | -- |
| 40 | 1.86E-04 | 2.70 | 6.60E-03 | 3.74 |
| 80 | 4.08E-05 | 2.19 | 1.54E-03 | 2.10 |
| 160 | 7.24E-06 | 2.50 | 2.63E-04 | 2.55 |
| 320 | 7.34E-07 | 3.30 | 2.69E-05 | 3.29 |
| 640 | 1.76E-07 | 2.05 | 6.80E-06 | 1.99 |

508

509 For a quantitative analysis, orders of accuracy (listed in Table 2) for free surface and
510 discharge are computed based on errors associated with simulations on meshes with 20 to 640
511 cells. On average, an order of 2.54 and 2.73 for the depth and discharge were achieved by the
512 proposed RKDG2-GN solver, which are in the range of the orders achieved by other GN
513 models based on a second-order formulation (e.g. Panda et al., 2014; Li et al., 2014). It may
514 be useful to report that the contribution of the dispersive effects, which was noted significant
515 for this test (i.e. ranging between $|D_c| < 0.2$, see Figure 7), could be responsible for the
516 slightly higher average (numerical) orders acquired here (as also observed in the investigation
517 in Duran (2014)).

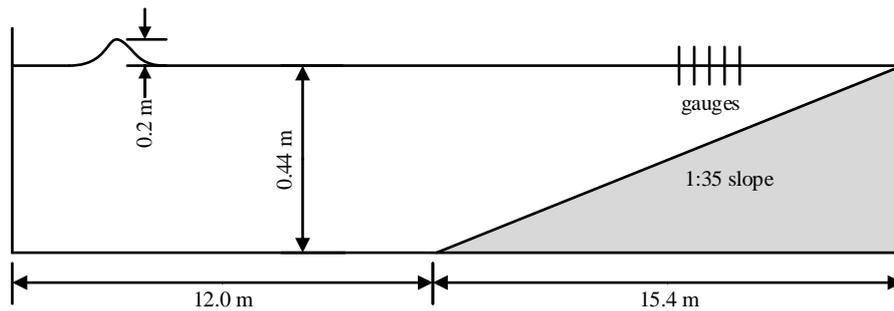


518

519 **Fig. 9. Free surface profiles of head-on collision of two solitary waves, between numerical (dashed line)**
 520 **and experimental data of Craig et al. (2006) (dots).**

521 In order to perform further analysis on nonlinear and dispersive effects, the head-on
 522 collision of two solitary waves propagating in opposite directions has also been investigated.
 523 The experimental data of this case is based on Craig et al. (2006), which consists of a 3.6 m
 524 long flume for with still water depth of $h_0 = 5$ cm. The two waves are initially located at $x =$
 525 0.5 m and $x = 3.1$ m with the amplitudes equal to $a_1 = 1.063$ cm and $a_2 = 1.217$ cm,

526 respectively. The simulations are conducted using $N = 360$ elements. Figure 9 shows the free
 527 surface profiles at different times, which shows a good agreement between numerical and
 528 experimental results. The maximum height occurs at $t = 1.693$ s. As it can be seen, the wave
 529 amplitude during the collision is larger than the sum of the amplitudes of the two incident
 530 waves, and even though after the collision a slight phase lag is observed, the waves
 531 eventually return to their initial shapes.



532

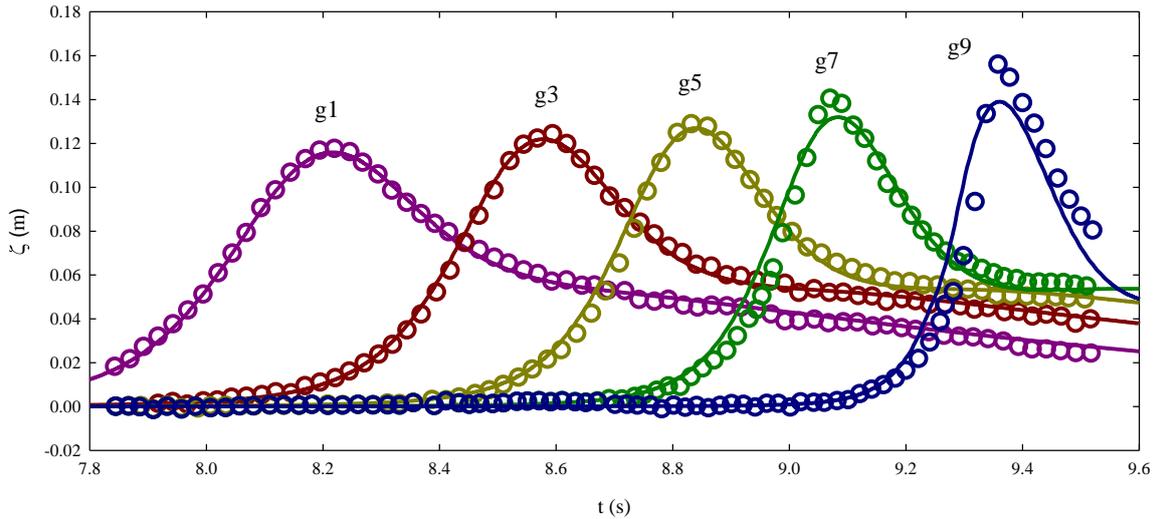
533 **Fig. 10. Experimental setup of Grilli et al. (1994)**

534 **4.4 Shoaling of a solitary wave**

535 This test case concerns the nonlinear shoaling of a solitary wave over sloped beaches. The
 536 performance of the numerical model is tested with the experimental data of Grilli et al.
 537 (1994). The setup consists of a solitary wave of relative amplitude $a/h_0 = 0.2$ propagating in
 538 a 27.4 m long flume with constant water depth of $h_0 = 0.44$ m approaching a mild sloped
 539 beach (1:35) (Figure 10). The free surface elevation was measured by several wave gauges
 540 with locations given in Table 3. The computational grid had a number of 685 cells ($\Delta x =$
 541 4 cm), and the simulation was run for 10 s.

542 **Table 3: Location of the wave gauges in solitary wave shoaling test case**

| Gauge | g1 | g3 | g5 | g7 | g9 |
|-----------------|-------|-------|-------|-------|-------|
| Location (m) | 21.22 | 21.92 | 22.42 | 22.85 | 23.84 |



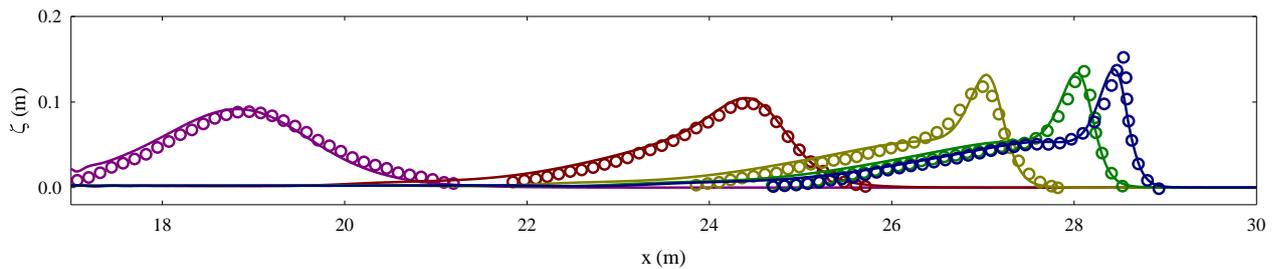
543

544

Fig. 11. Comparison of free surface elevations as a function of time between the computed results of

545

present model (lines) and experimental data of Grilli et al. (1994) (circles) at different gauges.



546

547

Fig. 12. Comparison of free surface profiles between present model predictions (lines) and experimental

548

data (circles) of Grilli et al. (1994) at times 4.93, 7.28, 9.1, 9.2 and 9.42 s, Left to right

549

Figure 11 shows the comparison of computed free surface elevations as a function of time

550

against the experimental data of Grilli et al. (1994) at different wave gauges, while in Figure

551

12 free surface profiles of the computed and experimental results are compared at different

552

times. The results show that with wave propagating toward the slope, it becomes more and

553

more asymmetric and its crest steepens, and by increase of shoaling the wave gets closer to

554

the breaking point. It is observed that the wave evolution is well predicted by the model, with

555

just slight differences close to the breaking point. This shows that the present model is able to

556

describe the shoaling processes with good accuracy.

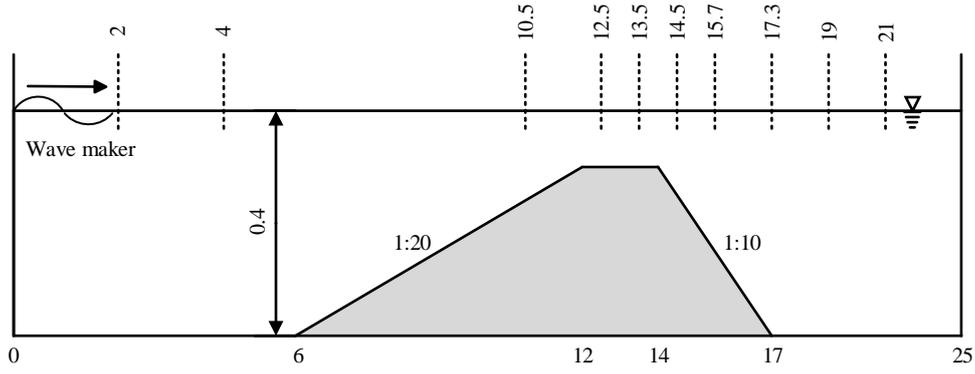


Fig. 13. Periodic waves over a submerged bar: sketch of the basin and gauges location

4.5 Periodic waves over a submerged bar

In this test, the model is examined for a more complex situation involving the propagation of a wave train over a submerged bar following the experimental work of Dingemans (1994) which is a classic test case for investigating both nonlinear and dispersive behavior of the waves. Figure 13 shows the experimental setup of Dingemans (1994). Periodic waves are generated and propagate in a 25 m long flume, with a still water depth of $h_0 = 0.4$ m offshore which reduces to 0.10 m on top of the bar with bottom topography defined as follows (in meters):

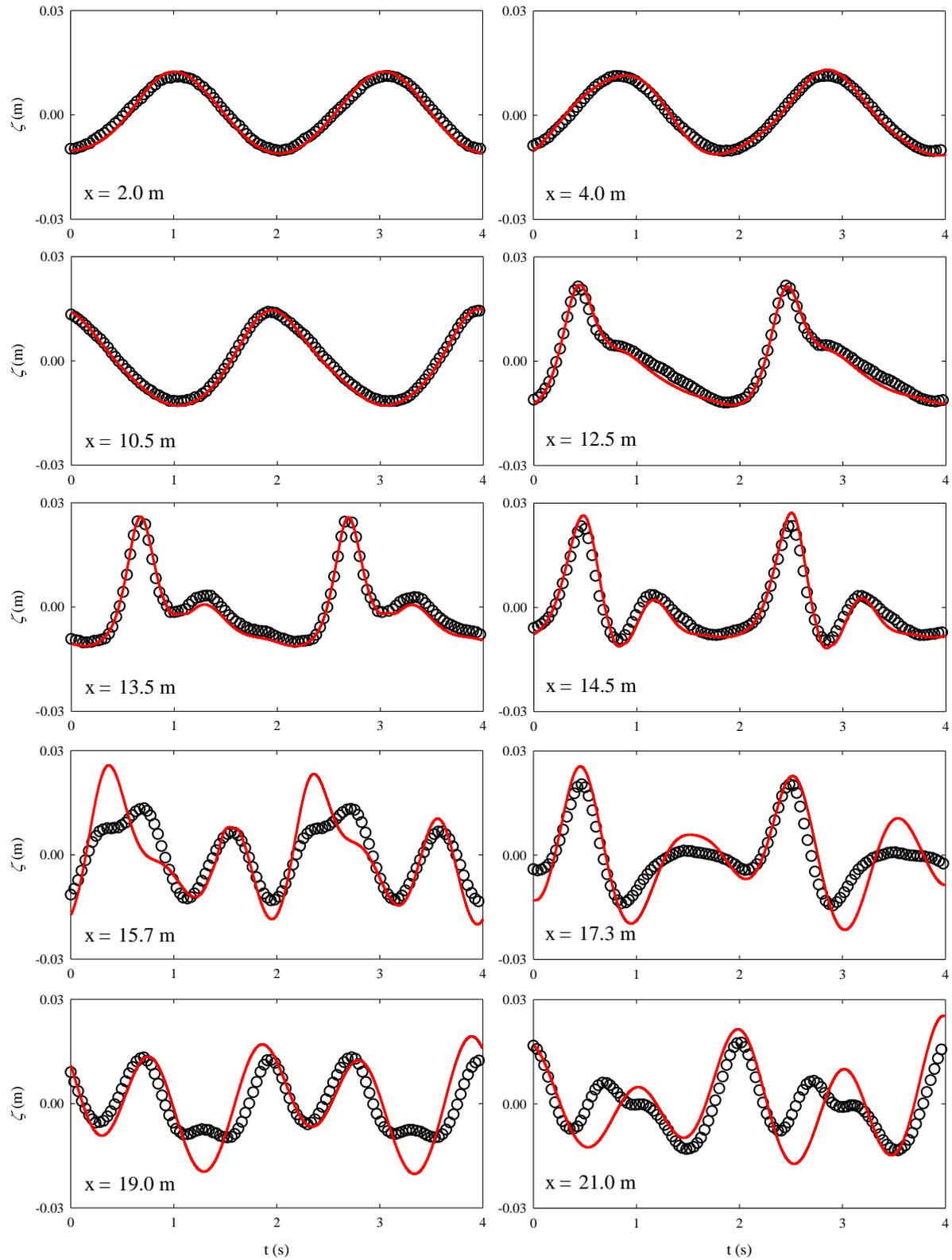
$$z(x) = \begin{cases} -0.4 + 0.05(x - 6) & 6 \leq x \leq 12 \\ -0.1 & 12 \leq x \leq 14 \\ -0.1 - 0.1(x - 14) & 14 \leq x \leq 17 \\ -0.4 & \text{elsewhere} \end{cases} \quad (76)$$

Of the experiments reported in Dingemans (1994), we consider the configuration with the relative wave amplitude $a/h_0 = 0.025$ and the period $T = 2.02$ s, which is often used to validate dispersive wave propagation without breaking. Waves are generated using a third-order Stokes solution to impose the free surface elevation governed by:

$$\zeta(x, t) = a \cos\left(2\pi\left(\frac{x}{\lambda} - \frac{t}{T}\right)\right) + \frac{\pi a^2}{\lambda} \cos\left(4\pi\left(\frac{x}{\lambda} - \frac{t}{T}\right)\right) - \frac{\pi^2 a^3}{2\lambda^2} \left[\cos\left(2\pi\left(\frac{x}{\lambda} - \frac{t}{T}\right)\right) - \cos\left(4\pi\left(\frac{x}{\lambda} - \frac{t}{T}\right)\right)\right] \quad (77)$$

$$\cos\left(6\pi\left(\frac{x}{\lambda} - \frac{t}{T}\right)\right)]$$

572 where T , a and λ are the wave period, amplitude and wavelength, respectively. The free
573 surface elevation was measured by 10 wave gauges with locations specified in Figure 11. The
574 computational domain is meshed with 625 cells (i.e. $\Delta x = 0.04$ m) and waves are propagated
575 for 35 seconds. Figure 14 shows the time series of computed free surface elevations at
576 different wave gauges, in comparison with the data of Dingemans (1994). Monochromatic
577 waves shoal and steepen over the mild sloped beach, causing transfers of energy toward
578 higher harmonics which are subsequently released in the shallowest part and the lee side of
579 the bar, then continue to propagate at their own deep-water phase speed. In the first 6 gauges,
580 which correspond to the front slope of the bar, the wave shoaling effects are prominent and
581 good agreements could be observed. However, there are discrepancies in the last 4 gauges
582 located on the lee side. These anomalies are most likely because of the high non-linear
583 interactions generated as a result of waves approaching the upper parts of the submerged bar.
584 The same results are reported by Duran and Marche (2015) using finer grid size ($\Delta x =$
585 0.025 m) and 3rd order polynomials, which suggests that sole improvement in the numerics
586 would not be enough to remove such anomalies. Rather, they seem to result from the one-
587 parameter model, i.e. Eq. (1), deployed here, which is reported to have shortcomings in
588 accurately describing the full release of the “higher harmonics” associated with highly
589 dispersive waves (Duran and Marche 2015).



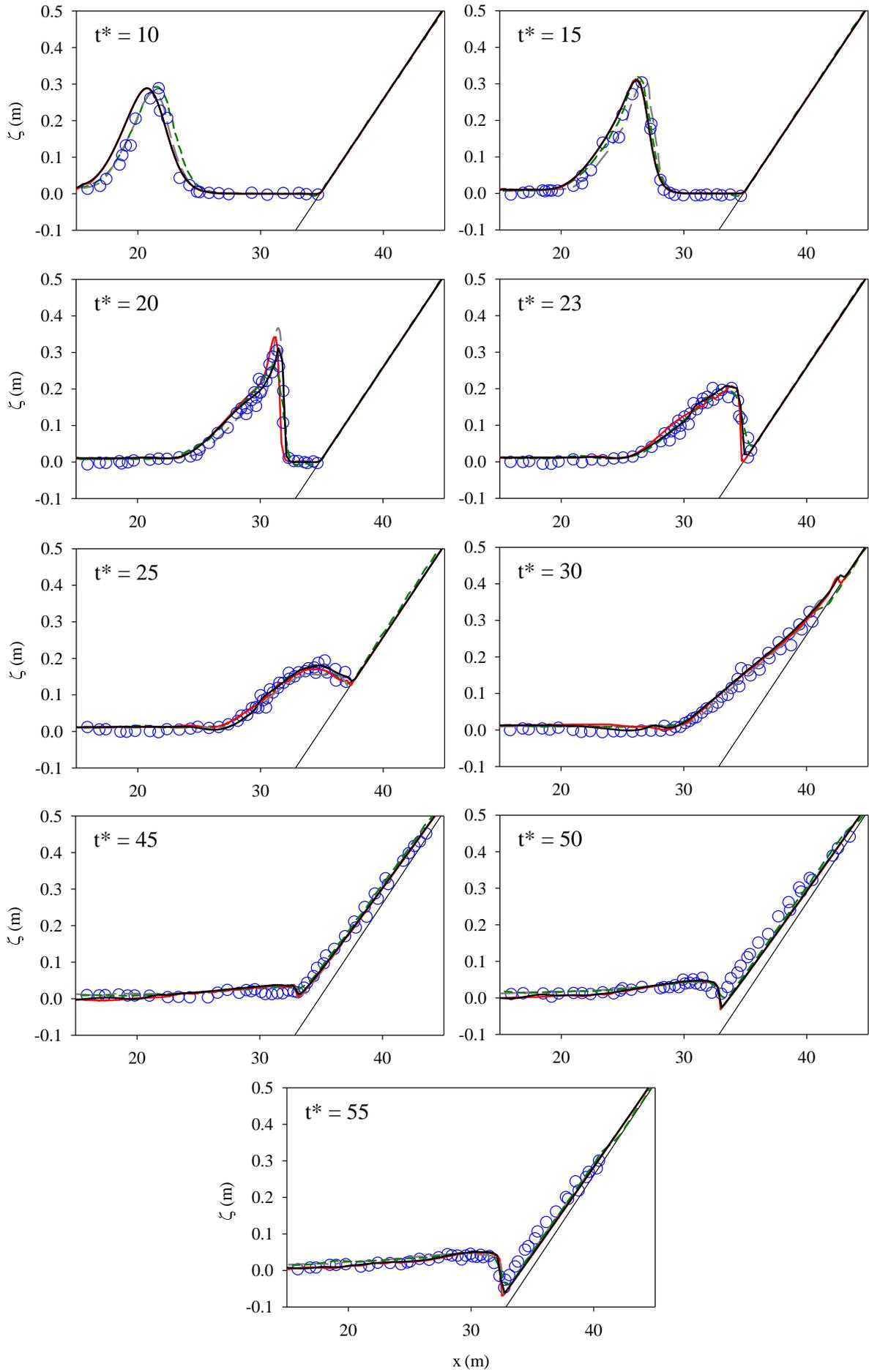
590

591 **Fig. 14. Time series of free surface elevation of waves passing over the submerged bar at different**
 592 **locations. Comparison between numerical (solid line) and experimental data (circles) of Dingemans (1994)**

593 A possible alternative to improve the simulation for such scenarios would be the three-
594 parameters optimized GN model proposed in Lannes and Marche (2015). However, for
595 general purpose modelling, the latter model is more complex (i.e. to conveniently decompose
596 into a conservative hyperbolic form that also includes elliptic source terms), is 20% more
597 computationally demanding (i.e. it requires the resolution of an additional sparse
598 unsymmetric linear system), and trade-off with sensitivity issues (i.e. to choose and tune
599 across three parameters, instead of one, to achieve a simulation for individual problems).

600 **4.6 Solitary wave breaking and run-up and -down over a sloped beach**

601 This test is considered to assess the ability of the present RKDG2-GN solver to model a high
602 energy wave breaking over a sloped (initially dry) beach with wave run-up and run-down.
603 The domain is a sloping beach (1:19.85) of length 45 m and holding a still water level $h_0 =$
604 1 m and an incident solitary wave of relative amplitude $a_0/h_0 = 0.28$ (Synolakis, 1987).
605 Simulations are performed on meshes with 300 and 150 cells, respectively. The numerical
606 free surface elevation profiles at different output (normalized) times $t^* = t(g/h_0)^{1/2}$ are
607 included in Figure 15 where they are also compared with the experimental profiles reported
608 in Synolakis (1987), RKDG3-GN results produced in Duran and Marche (2015) using 600
609 cells, and the results of the non-hydrostatic shallow water model in Lu et al. (2015) solved by
610 a hybrid FV-FD scheme on a mesh with 376 cells. The results show wave height increase due
611 to shoaling until around $t^* = 20$ when breaking occurs. After breaking at $t^* = 23$, the wave
612 height decreases rapidly and the induced run-up collapses over the beach. During $25 \leq t^* \leq$
613 55, run-up and run-down phases are observed. All the models can be said to be in good
614 agreement with the experiments; however, at the breaking moment ($t^* = 20$) the results of
615 present model and those of Lu et al. (2015)'s model are closer to the experiment. The good
616 performance of the latter could be a result of the higher level of physical complexity in the
617 incorporation of non-hydrostatic terms.



619 **Fig. 15. Comparison of free surface elevation for solitary wave breaking, runup and run down at various**
620 **instances on a plane beach: experimental data of Synolakis (1987) (circles); numerical results of Lu et al.**
621 **(2015) using 376 cells (gray long dash); numerical results of Duran and Marche (2015) using 600 cells**
622 **(green short dash); results of present model with 300 cells (red solid line); results of present model with**
623 **150 cells (black solid line). Note that at $t^*=23$, the results of Lu et al. (2015) were not available.**

624

625 This also shows that using the present numerical criteria (68) for wave breaking detection,
626 despite its simplicity, could well be a convenient choice for the RKDG2-GN model. The
627 higher level of numerical accuracy and of resolution involved in Duran and Marche (2015)
628 model does not seem to comparatively improve much in the predictions. The proposed
629 RKDG2-GN model results on the coarser meshes (i.e. using 150 and 300 cells) remain
630 predominantly close to experimental results throughout the transformations and processes
631 that the wave has undergone, suggesting that it can form the base for an efficient substitute to
632 handle coastal modeling in a fairly affordable model structure.

633

634 **5. Conclusions**

635 A second-order RKDG method (RKDG2) is proposed to simulate propagation and
636 transformation of fully nonlinear and weakly dispersive waves over domains involving
637 uneven beds and wet-dry fronts. The mathematical model has been based on a set of newly
638 developed efficient 1D Green-Naghdi (GN) equations. The numerical method extends a
639 robust RKDG2 hydrodynamic solver by further considering elliptic source terms that account
640 for dispersive corrections. This has been achieved by a Local Discontinuous Galerkin (LDG)
641 discretization for solving the decoupled elliptic-hyperbolic governing equations and by
642 locally involving fourth-order topography discretization for the dispersive components.
643 Quantitative and qualitative assessments with test cases covering nearshore water flow

644 propagations have been performed. The results demonstrate that the proposed RKDG2-GN
645 solver is able to switch across different water wave patterns, while preserving accuracy,
646 conservation and practical properties featuring the original shallow water RKDG2 model.
647 Future work will further consider strategies for extension and validation for the 2D case, and
648 incorporation of an adaptive meshing strategy.

649

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654

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