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1 **Kinematically Aligned Total Knee Arthroplasty or Mechanically Aligned Total**

2 **Knee Arthroplasty**

3 **Abstract**

4 **Background:** Kinematically aligned TKA (KATKA) was developed to more  
5 anatomically align the knee prosthesis to restore the native alignment of the knee and  
6 promote physiological kinematics. Even though there are concerns with implant  
7 survival and follow up at 10 years or more after KATKA has not been reported, there is  
8 a negligible incidence of failure of a tibial component at two to nine years. Early  
9 clinical results with this technique are encouraging and demonstrate better functional  
10 outcomes compared to mechanically alignment TKA (MATKA).

11 The purpose of this study is to perform a systematic review and meta-analysis of the  
12 literature to determine whether there are any clinical differences between KATKA and  
13 MATKA.

14 **Methods:** The authors conducted a systematic review of the English literature. Five  
15 randomized controlled trials which compared clinical outcomes of KATKA and  
16 MATKA were finally included. Four RCTs used patient specific instrument and, one

17 RCT used navigation. Data were extracted and meta-analysis was conducted.

18 **Results:** KATKA patients had better outcomes: Mean difference between KATKA and  
19 MATKA and p value are presented in brackets after each variable: WOMAC (-12.5;  
20  $P<0.0001$ ), OKS (2.3;  $P=0.030$ ), C-KSS (13.1;  $P<0.0001$ ), KFS (6.4;  $P=0.0070$ ) and  
21 postoperative ROM ( $4.1^\circ$ ;  $P=0.0010$ ). There was no significant difference concerning  
22 the complication rates which needed re-operations or revision surgery (Odds ratio, 1.01;  
23  $P=0.99$ ). KATKA components had a more femoral valgus ( $-1.8^\circ$ ;  $P<0.0001$ ), more tibial  
24 varus ( $1.2^\circ$ ;  $P=0.0001$ ), and more tibial slope ( $1.2^\circ$ ;  $P=0.0001$ ), all being statistically  
25 significantly different.

26 **Conclusions:** Better clinical outcomes were obtained in KATKA and component  
27 placement in KATKA is significantly different from that in MATKA. There was no  
28 increase of patients with poor clinical results due to implant position especially for  
29 varus placement of tibial component. This systematic review of five RCTs suggests that  
30 KATKA is of potential alternative method to MATKA since the risk of revision for  
31 tibial loosening is negligible compared with MATKA for the same follow up period.

32

33 Keywords:

34 knee osteoarthritis; total knee arthroplasty; kinematically alignment; mechanically

35 alignment; outcomes.

36

37

38 **Introduction**

39 Total knee arthroplasty (TKA) has been an established procedure for end stage arthritis  
40 of knee to improve function and alleviate pain. Modern designs, better surgical skills,  
41 better fixation technique and rehabilitation, have all contributed to better outcomes and  
42 longevity of implants. Around 90,000 primary TKAs were performed in the UK last  
43 year and numbers continue to increase year on year<sup>1</sup>. One of the prerequisites of a  
44 successful TKA is restoration of neutral knee alignment while placing the femur in  
45 external rotation to make the flexion gap symmetrical and match it with the extension  
46 gap. It requires that an initial femoral cut must be perpendicular to the mechanical axis  
47 of the femur and the tibial cut must be performed perpendicular to the mechanical axis  
48 of the tibia. Traditionally a mechanical axis alignment passing from the centre of  
49 femoral head to the centre of knee and the centre of ankle has been strived for.  
50 Mechanical alignment in TKA has been thought to be a functional principle because  
51 even load distribution is achieved and this is primarily to reduce wear and associated  
52 implant loosening<sup>2-6</sup>. However, native knee alignment, with proximal tibia is averaged

53 3°of varus and distal femur is averaged 3°of valgus with respect to its mechanical axis  
54 is different of that after TKA.

55 In spite of implant survival in excess of 90% at 10 years, international arthroplasty  
56 registries in the United Kingdom, Canada, and New Zealand have shown that up to 25%  
57 of patients with mechanically aligned TKA (MATKA) are dissatisfied, the causes of  
58 which remain poorly understood. Mechanical alignment can have unfavorable  
59 kinematic results as positioning of the components can change the level and angle of the  
60 distal femoral, posterior femoral, and tibial joint lines and lower limb alignment from  
61 normal<sup>7</sup> even though there is a wide individual variability in what is called ‘normal limb  
62 alignment’ and certain populations have “constitutional varus”<sup>8-11</sup>. When MATKA is  
63 performed for patients with constitutional varus knee, excessive soft tissue release and  
64 tibial bone resection may be required thus resulting in poor patient satisfaction.

65 Kinematically aligned TKA (KATKA) was developed in 2006 to more anatomically  
66 align the knee prosthesis to promote physiological kinematics which will help patients  
67 achieve better function and less pain with the belief that this will lead to reduce the  
68 incidence of instability, stiffness and improving the rate of recovery and kinematics thus

69 improving patient satisfaction<sup>12-15</sup>. KATKA strives to restore normal knee function by  
70 aligning the angle and level of the distal femoral, posterior femoral, and tibial joint line  
71 to those of the normal knee<sup>12,15</sup>. Bone cuts are made to replace and resurface the native  
72 joint thus preserving the natural anatomy of the knee; this results in the alignment of the  
73 components with the three kinematic axes of the knee, maintains the soft tissue  
74 envelope, and minimizes the need for ligament release<sup>8,13,16-18</sup>. Early clinical results with  
75 this technique are encouraging and demonstrate better functional scores and range of  
76 motion compared to mechanical alignment.

77 One potential limitation of this method is the inability of the surgeons to consistently  
78 achieve the intended component position after implanting TKA. This can affect the  
79 operated limb significantly which may lead to poor function and place the components  
80 at a higher risk for catastrophic failure. However, as shown by Nedopil et al.<sup>19</sup> there  
81 really is very little inconsistency in cutting the tibial component in more than 3 degrees  
82 varus from the native contralateral limb. In addition, it is now known with two to nine  
83 year follow up of patients with KATKA that the risk of varus loosening is negligible  
84 and only 1/5 of that reported from MATKA<sup>20,21</sup>.

85 One explanation for the negligible risk of varus tibia loosening after KATKA is that the  
86 in vivo forces in the medial and lateral hemi-joint are comparable to the native knee and  
87 the mean force in the medial and lateral compartments were three to six times lower  
88 than those of MATKA<sup>22</sup>. KATKA is growing in popularity with some randomized  
89 controlled trials (RCT) showing better outcomes<sup>11,17,23,24</sup>. On the other hand, other  
90 reported no particular advantage over MATKA. So there still remains controversy.

91 Currently, there is a paucity of comparative clinical data on the outcomes of KATKA to  
92 MATKA. The purpose of this study is to perform a systematic review and meta-analysis  
93 of the literature to determine whether there are any clinical differences in KATKA  
94 compared with traditional MATKA.

95

## 96 **Material and methods**

### 97 **Search strategy and criteria**

98 A comprehensive literature search of MEDLINE / PubMed electronic databases and  
99 CENTRAL / Cochrane Library for all articles written in English language was  
100 performed in October 2017. The included MESH terms were “total knee arthroplasty,”



101 “osteoarthritis,” “kinematic,” “kinematically or kinematic alignment”.

102 **Inclusion criteria**

103 Inclusion criteria for this systematic review were as follows; (1) English written articles,  
104 (2) full text of the article was available, (3) studies using human study, (4) studies about  
105 comparison between KATKA and MATKA, (5) articles about clinical and radiological  
106 outcomes. Exclusion criteria were; (1) articles not written in English, (2) full text was  
107 unavailable, (3) experimental study using animal or cadaveric specimen, (4) clinical  
108 study without clinical and radiological outcomes”.

109 The citations were screened by all authors, titles and abstract were screened for  
110 relevance. After that, full texts of the selected articles were reviewed whether to be  
111 included in this systematic review. All extracted data were cross checked by all authors.  
112 Studies satisfying inclusion and exclusion criteria were independently reviewed by all  
113 authors. The search process to determine which studies were selected is detailed as a  
114 flow diagram (Fig. 1). The primary outcome measure of our interest was clinical  
115 outcome and secondary one was radiological evaluation. Six articles of RCT were  
116 included in the initial analysis <sup>11,17,23-26</sup>. One RCT was subsequently excluded because

117 follow up period was only six months<sup>17</sup>. There were two level I<sup>23,26</sup>, and three level  
118 II<sup>11,24,25</sup> studies in this systematic review to compare clinical outcome and radiological  
119 evaluations.

## 120 **Analysis of data**

121 Bias within studies was quantified using ‘Preferred Reporting Items for Systematic  
122 reviews and Meta-Analyses (PRISMA) guidelines<sup>27</sup>. All analyses were performed and  
123 figures produced using Review Manager 5.3.3 (The Cochrane Collaboration, Oxford,  
124 UK).

## 125 **Outcomes of search**

126 Five studies were included in the systematic review and all were randomized,  
127 single-center, prospective cohort studies (Table. 1.). There are total of 518 cases of  
128 TKA: KATKA (n=259) and MATKA (n=259). Follow up periods were one year in  
129 three studies, and two years in two studies. KATKA and MATKA groups were well  
130 matched for age (mean difference, -0.8 years; 95% confidence interval, -2.4 to 0.7  
131 years; P=0.29), and gender (Odds ratio, 1.21; 95% confidence interval, 0.80 to 1.85;  
132 P=0.36). Implanted prostheses were Vanguard (Zimmer Biomet, Inc, Warsaw, Indiana,

133 USA) in one study, (e-motion, B. Braun Aesculap, Tuttlingen, Germany or Persona,  
134 Zimmer Biomet, Inc, Warsaw, Indiana, USA) in one study and Triathlon (Stryker, Inc,  
135 Mahwah, New Jersey, USA) in the other three studies. All prostheses were cemented  
136 and posterior cruciate ligament was retained in all the cases. Surgical approaches were  
137 medial para-patellar in three studies<sup>11,25,26</sup> and not described in the other two studies.  
138 Patella resurfacing was performed in two studies<sup>23,25</sup>, selectively performed in one  
139 study<sup>26</sup> and not described in two studies<sup>11,24</sup>. Four procedures in KATKA group were  
140 performed using patient-specific guides made from MRI data and one using  
141 navigation<sup>11</sup> positioning in kinematic alignment. Pre and postoperative ROM were  
142 measured in all five studies.

143

## 144 **Results**

### 145 **Clinical results**

146 Were there any differences concerning preoperative conditions between KATKA and  
147 MATKA?

148 In the preoperative evaluations, we found no significant differences in any of the  
149 following criteris:

150 Range of motion (ROM) in both flexion (mean difference, 1.3°; 95% confidence  
151 interval, -2.0 to 4.5°; P=0.45) and extension (mean difference, 0.7°; 95% confidence  
152 interval, -0.5 to 1.8°; P=0.24), The Western Ontario and McMaster Universities  
153 Osteoarthritis Index (WOMAC) scale (mean difference, -3.1 points; 95% confidence  
154 interval, -6.6 to 0.5 points; P=0.093), Oxford Knee Score (OKS) (mean difference, 0.4  
155 points; 95% confidence interval, -1.5 to 2.2 points; P=0.70), combined Knee Society  
156 Score (C-KSS) (mean difference, 5.2 points; 95% confidence interval, -3.4 to 13.7  
157 points; P=0.23), Knee Society Score (KSS) (mean difference, 1.6 points; 95%  
158 confidence interval, -2.8 to 6.0 points; P=0.49), Knee Function Score (KFS) (mean  
159 difference, 1.1 points; 95% confidence interval, -3.5 to 5.8 points; P=0.63) and BMI  
160 (mean difference, -0.58 kg/m<sup>2</sup> points; 95% confidence interval, -1.38 to 0.23 kg/m<sup>2</sup>  
161 points; P =0.16).

162

163 Does KATKA achieve better clinical outcome compared with MATKA?

164 KATKA had a better WOMAC scale (mean difference, -12.5 points; 95% confidence  
165 interval, -16.1 to -9.0 points;  $P < 0.0001$ ), OKS (mean difference, 2.3 points; 95%  
166 confidence interval, 0.2 to 4.4 points;  $P = 0.030$ ), C-KSS (mean difference, 13.1 points;  
167 95% confidence interval, 8.5 to 17.7 points;  $P < 0.0001$ ), KFS (mean difference, 6.4  
168 points; 95% confidence interval, 1.7 to 11.0 points;  $P = 0.0070$ ) and postoperative ROM  
169 (mean difference,  $4.1^\circ$ ; 95% confidence interval,  $1.7$  to  $6.5^\circ$ ;  $P = 0.0010$ ). On the other  
170 hand, we found no significant difference concerning KSS (mean difference, 1.1 points;  
171 95% confidence interval, -1.0 to 3.3 points;  $P = 0.29$ ), EuroQol five dimensions  
172 questionnaire (EQ-5D) (mean difference, -1.4 points; 95% confidence interval, -6.3 to  
173 3.4 points;  $P = 0.57$ ), and length of hospital stay (mean difference, 1.0 days; 95%  
174 confidence interval, -0.2 to 2.2 days;  $P = 0.092$ ) between KATKA and MATKA (Fig.  
175 2-6).

176 Does complication rate which needed multiple re-operations or revision surgery after  
177 KATKA differ from that after MATKA?

178 There was no significant difference in the reported complication rates including  
179 re-operations or revision surgery (Odds ratio, 1.01; 95% confidence interval, 0.25 to  
180 4.09; P=0.99) between KATKA and MATKA (Fig. 7).

181

## 182 **Radiological evaluations**

183 Are there any differences concerning knee and component alignment KATKA and  
184 MATKA?

185 All five studies reported the radiological evaluations after KATKA and MATKA.

186 KATKA had a more valgus angle between femoral component and femoral axis (mean

187 difference,  $-1.8^{\circ}$ ; 95% confidence interval,  $-2.4$  to  $-1.1^{\circ}$ ;  $P<0.0001$ ), more varus angle

188 between tibial component and tibial axis (mean difference,  $1.2^{\circ}$ ; 95% confidence

189 interval,  $0.9$  to  $-1.4^{\circ}$ ;  $P=0.0001$ ), more tibial component slope to sagittal tibial axis

190 (mean difference,  $1.2^{\circ}$ ; 95% confidence interval,  $0.6$  to  $-1.7^{\circ}$ ;  $P=0.0001$ ) (Fig. 8-10). On

191 the other hand, we found no significant difference concerning valgus Hip Knee Angle

192 (HKA) (mean difference,  $-0.4^{\circ}$ ; 95% confidence interval,  $-0.8$  to  $0.1^{\circ}$ ;  $P=0.087$ )

193

194 **Discussion**

195 The main findings of this systematic review were: (1) the clinical outcomes of KATKA  
196 were superior to those of MATKA in many clinical assessment questionnaires. (2) Limb  
197 alignment after KATKA was similar to that after MATKA however, component  
198 alignment was different between KA and MATKA. Femoral component was placed in  
199 more valgus and tibial component was placed in more varus in KATKA as compared to  
200 MATKA. (3) Complication rates were not significantly different between KA and  
201 MATKA.

202 KATKA was developed to reproduce normal knee kinematics after TKA. The concept  
203 of kinematic alignment has gained interest among knee surgeons<sup>12,15,28,29</sup>. Kinematic  
204 alignment has been popularised by Howell in the United States. The idea of kinematic  
205 alignment is not totally new. It is inspired indeed from the concept of anatomical  
206 alignment of Hungerford and Krackow<sup>30</sup>. It challenges the traditional alignment  
207 principles of restoring a 'normal' mechanical axis; using the transepicondylar axis as the  
208 flexion / extension axis, which in one report has been recognised to actually lie

209 proximal and anterior to the transepicondylar axis<sup>31</sup>; externally rotating the femoral  
210 component and soft tissue balancing.

211 Knee kinematics after conventional MATKA is supposed to be different from normal  
212 because mechanical alignment can have unfavorable kinematic results as positioning of  
213 the components may change the level and angle of the distal femoral, posterior femoral,  
214 and tibial joint lines and lower limb alignment from normal. Joint line changes from  
215 normal alter the knee kinematics because the normal joint lines are either parallel or  
216 perpendicular to the three axes that describe tibiofemoral and patella femoral  
217 kinematics<sup>8-11,29,32</sup>. And there are many patients whose knees are in “constitutional  
218 varus”. Substantial number of native limbs do not have a neutral HKA angle prior to the  
219 onset of osteoarthritis<sup>28,33-35</sup>. There is a 7° to 12° range of maximum varus and the -4° to  
220 -16° range of maximum valgus reported for subjects in Korea, India, and Belgium. 17%  
221 to 35% of adults have constitutional varus and the 0% to 12% have constitutional valgus  
222 reported for subjects from Korea, India, and Belgium. Hence, patients from different  
223 countries often have a pre-arthritis HKA angle outside  $0^\circ \pm 3^\circ$ , and constitutional varus  
224 is more frequent than constitutional valgus. So KATKA may be beneficial alternative to



225 MATKA was for the patients with constitutional varus to avoid excessive soft tissue  
226 release and bone resection to obtain symmetrical extension and flexion gap. There still  
227 remains concern about longevity of component placement which is not placed  
228 perpendicular to mechanical axis of femur and tibia, especially for tibial component  
229 placement, varus placement more than  $3^\circ$  may increase the risk of early loosening.  
230 However, there are two to nine year follow up studies from several authors showing  
231 negligible risk of varus loosening, five times lower than that reported for mechanically  
232 aligned TKA<sup>21</sup>. The etiology of this is proposed to be due to the lower medial and  
233 lateral forces compared to mechanically aligned TKA<sup>22</sup>. Parratte et al. showed that  
234 postoperative mechanical axis of  $0^\circ \pm 3^\circ$  did not improve the fifteen-year implant  
235 survival rate. Eckhoff et al.<sup>28</sup> have shown that 98% of normal limbs do not have a  
236 neutral mechanical axis, and that 76% of normal limbs have a deviation of  $>3^\circ$  from  
237 neutral. Bellemans et al.<sup>29</sup> have shown that 32% of men and 17% of women had  
238 constitutional varus knees with a natural mechanical alignment of more than  $3^\circ$ <sup>30</sup>.  
239 Because of the great variations in limb alignment and the fact that 98% of normal limbs

240 do not have a neutral limb alignment, the correction of the arthritic knee to a neutral  
241 mechanical axis does not represent a correction to normal<sup>28,29</sup>.

242 There are some limitations to this study. Firstly, surgical technique such as approach  
243 technique and implant selection was not consistent in five RCTs. However, all  
244 procedures in KATKA group were performed using patient-specific guides made from  
245 MRI data or navigation positioning in kinematic alignment to minimize the technical  
246 variations and inaccurate component placement. Secondly, the follow up periods of  
247 included RCTs were one year or two years. Ideally, multicenter RCT with longer follow  
248 up period are needed to clarify the definitive difference between two procedures in  
249 particular the issue of increased wear. Thirdly, we could not clarify the relationship  
250 between preoperative patient conditions and postoperative clinical outcomes from this  
251 study. Further studies are required to clarify the effect of preoperative limb deformity to  
252 the postoperative outcomes both after KATKA and MATKA.

253 It is important that future studies provide these answers, have adequate sample size and  
254 a meaningful follow up to understand the actual potential of KATKA.

255

256 **Conclusions**

257 Better clinical outcomes were obtained in KATKA and component placement in  
258 KATKA is significantly different from that in MATKA. Even though follow up periods  
259 were short, there was no increase of patients with poor clinical results due to implant  
260 position especially for varus placement of tibial component.

261 This systematic review of five RCTs suggests that KATKA is of potential alternative  
262 method to MATKA. However, RCT with longer follow up period will be required to  
263 clarify its longevity.

264

265 **List of abbreviations:**

266 C-KSS: Combined Knee Society Score

267 EQ-5D: EuroQol five dimensions questionnaire

268 KA: Kinematically Aligned

269 KFS: Knee Function Score

270 KSS: Knee Society Score

271 MA: Mechanically Aligned

272 PRISMA: Preferred Reporting Items for Systematic reviews and Meta-Analyses

273 RCT: Randomized Controlled Trial

274 ROM: Range Of Motion

275 TKA: Total Knee Arthroplasty

276 WOMAC: The Western Ontario and McMaster Universities Osteoarthritis Index

277

278 **Conflict of interest**

279 The authors have no financial conflict of interest in this study.

280

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282 None.

283

284 **Ethical approval**

285 This is a systematic review so ethical approval was waived.

286

287 **Written informed consent**

288 Not applicable.

289

290 **Contribution of authors**

291 TT, JA, and HP designed the study, conducted literature search, systematic review of the  
292 literature, and statistical analysis. All authors read and approved the final manuscript.

293

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402

403 **Figure captions**

404 Figure 1. PRISMA flow diagram

405 Figure 2. Forest plot for postoperative WOMAC between KA (Experimental) and MA

406 (Control) TKA

407 Figure 3. Forest plot for postoperative OKS between KA (Experimental) and MA

408 (Control) TKA

409 Figure 4. Forest plot for postoperative Combined KSS between KA (Experimental) and

410 MA (Control) TKA

411 Figure 5. Forest plot for postoperative KFS between KA (Experimental) and MA

412 (Control) TKA

413 Figure 6. Forest plot for postoperative ROM between KA (Experimental) and MA

414 (Control) TKA

415 Figure 7. Forest plot for major complications between KA (Experimental) and MA

416 (Control) TKA

417 Figure 8. Forest plot for angle of femoral component between KA (Experimental) and

418 MA (Control) TKA

419 Figure 9. Forest plot for angle of tibial component between KA (Experimental) and MA

420 (Control) TKA

421 Figure 10. Forest plot for tibial component slope between KA (Experimental) and MA

422 (Control) TKA

423