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1 A Research Paper for *Journal of Quaternary Science*

2 **Continuous human presence without extensive ecological**
3 **disturbance over the past 2500 years in an aseasonal Amazonian**
4 **rainforest**

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18 **Abstract**

19 The magnitude of the impact of pre-Columbian indigenous communities on forest cover in
20 Amazonia is highly contentious, particularly for the wettest forests. To contribute to this
21 debate, we studied the vegetation and fire history recorded at Quistococha which lies within
22 the aseasonal forests of the northern Peruvian Amazon and is associated with independently
23 dated pre-Columbian and recent human occupation. Paired cores taken from swamp and lake
24 environments were used to distinguish landscape-scale changes in vegetation from local-scale
25 successional patterns, and the record of human occupation associated with the expansion of the
26 city of Iquitos allowed us to compare the paleoecological signature of extensive, recent
27 disturbance with the previous impact of indigenous communities. A rise in the abundance of
28 disturbance-adapted taxa in the pollen record from the lake, but not swamp, since c. AD 1850
29 likely reflects an increase in landscape-scale deforestation rates since the earliest days of
30 Iquitos. However, previous persistent occupation of the site by pre-Columbian indigenous
31 communities, indicated by the charcoal record from the lake site, is not associated with
32 evidence for similarly extensive disturbance of the landscape. The unique features of this site
33 therefore demonstrate that occupation by indigenous communities over thousands of years was
34 not associated with large-scale deforestation. These results support an emerging model of
35 persistent but localised impacts by pre-Columbian indigenous communities on aseasonal
36 Amazonian forests.

37 **Keywords:** Holocene; charcoal; paleoecology; palynology; disturbance; peatland

38 **Introduction**

39 Convincing evidence from archaeology and paleoecology clearly indicates that forest cover in
40 at least some parts of Amazonia was strongly affected by prehistoric societies, particularly
41 where climate is strongly seasonal and along navigable rivers (Bush et al., 2015). These impacts
42 include forest clearance and burning, and construction of earthworks and field systems, often
43 on a landscape scale (e.g. Balée and Erickson, 2006; McMichael et al., 2012, 2015; Carson et
44 al., 2014; Bush et al., 2015; Watling et al., 2017). Such extensive perturbation may have left a
45 substantial imprint in present-day patterns of biodiversity (Levis et al., 2017) and forest
46 composition and dynamics (McMichael et al., 2017). However, the magnitude of past impacts
47 in comparison to modern deforestation remains unclear.

48 The growing evidence for manipulation of some Amazonian landscapes also raises the issue of
49 their spatial variability (e.g. McMichael et al., 2011, 2012, 2015). In particular, aseasonal

50 forests – those that occur where there is no strong dry season – were probably more difficult to
51 clear by burning or felling than the less dense, periodically dry vegetation of seasonal forests.
52 Aseasonal forests are therefore, in general, likely to have been less heavily affected than more
53 seasonal forests, and this appears to match the broad spatial distribution of evidence for impacts
54 of prehistoric societies. However, the palaeoecology of aseasonal forests has been relatively
55 understudied compared with drier parts of the Amazon. A better understanding of spatial
56 variation in the magnitude of past impacts is needed to assess the links with current patterns of
57 forest dynamics and diversity, including whether the high biodiversity of aseasonal forests (ter
58 Steege et al., 2003) is associated with high levels of pre-Columbian human impact.

59 Addressing this problem requires paired archaeological and paleoenvironmental studies where
60 evidence for settlement and environmental change can be compared directly, and an
61 understanding of how deforestation within the historic period is represented in these records
62 (Mayle and Iriarte, 2014). Here we present a new palaeoenvironmental dataset from a lake,
63 Quistococha, situated on the outskirts of the city of Iquitos in NE Peru (Fig. 1). We compare
64 the new record from the lake to an existing adjacent record from a peat swamp forest adjacent
65 to the lake (Roucoux et al., 2013) and to the timing of independent records of pre-Columbian
66 settlements. Uniquely for an Amazonian site, our interpretations of the pollen and charcoal data
67 from the new lake sediment sequence are constrained by (a) comparisons with a pollen record
68 from the adjacent swamp, which helps us to separate local from regional pollen inputs, and (b)
69 the signature of significant 19th–21st century forest clearance, which provides a benchmark
70 against which to measure the evidence for human impact related to both horticulturalists and
71 hunter-gatherer phases in earlier parts of the sequence.

72 **Study site**

73 The site of Quistococha, located on the outskirts of Iquitos, is one of the most well-studied sites
74 in Peruvian Amazonia. Quistococha itself is a small, shallow lake (‘-cocha’), c. 1 km² in area
75 and up to c. 3 m deep, occupying an abandoned channel of the Amazon River, which has since
76 migrated c. 10 km to the east (Räsänen et al., 1991; Roucoux et al., 2013). The lake is bounded
77 to the south and east by a c. 5 km² palm swamp containing up to 4 m of peat (Lähteenoja et al.,
78 2009a), to the north by a shallow levee, and to the west by the edge of a c. 15 m fluvial terrace
79 incised into Miocene-Pliocene sands, thought to mark the western extremity of the Holocene
80 floodplain of the Amazon.

81 The climate, as measured at Iquitos, is hot and wet all year round (Marengo, 1998). Annual
82 precipitation is 3087 mm and relative humidity is typically 80-90%. There is only a weakly-
83 defined dry season; monthly precipitation varies between c. 165 mm (in August) and 295 mm
84 (in March and April). Mean monthly temperatures vary between 25 and 27°C.

85 A small artificial beach has been constructed on a narrow strip of land between the western
86 shore of the lake and the terrace, and is used for recreation, with a small complex of cafes and
87 other amenities. The remainder of the lake is bordered by palm swamp forest overlying peat.
88 The present-day vegetation in one forest census plot in the peat swamp was described by
89 Roucoux et al. (2013). Three species, *Mauritia flexuosa*, *Mauritiella armata* (Mart.) Burret
90 (both Arecaceae) and *Tabebuia insignis* Sandwith (Bignoniaceae), together represent 82% of
91 the individuals. The aquatic plants on the lake itself occupy small patches mostly within 10 m
92 of the shore line. There are small (c. 5 x 5 m) floating mats of Cyperaceae and Poaceae near to
93 the eastern shore line, and larger patches of floating Nymphaeaceae around the lake margin
94 (aff. *Nymphaea amazonum*).

95 Several previous studies have investigated both the lake of Quistococha and the adjacent
96 peatland. Räsänen et al. (1991) dated a core from the lake and estimated its age at c. 6000 cal
97 a BP (calendar years before present, i.e. AD 1950). Aniceto et al. (2014a, b) examined the
98 sedimentology of three cores from the lake, concluding that an apparent hiatus from 4600–
99 2000 cal a BP implied a period of climatic drying. In the peat swamp forest adjacent to the
100 lake, Lähteenoja et al. (2009a, b) studied the carbon density and inorganic geochemistry of
101 several peat sequences, showing that the peats were up to 2320-2350 cal a BP at the base, and
102 that the peatland is likely fed by a mixture of rainwater and groundwater. Roucoux et al. (2013)
103 and Lawson et al. (2014) presented a detailed study of the palynology and geochemistry of a 6
104 m core from the peatland, QT-2010-1, which will be discussed further below. Kelly et al.
105 (2014) measured the hydraulic conductivity of the peats in order to explore their past and
106 present sensitivity to climatic change, and Teh et al. (2017) studied present-day methane and
107 nitrous oxide fluxes in the peatland.

108 Archaeological investigations began near Quistococha in 2005 with the discovery by A.
109 Ovuela-Caycedo and colleagues of cultural soils and artefacts on the terrace immediately above
110 the western margin of the lake (Fig. 1d). Archaeological excavations extending to the very edge
111 of the terrace have produced abundant fragments of pottery, charcoal, phytoliths of palms and
112 grasses, and cobs of corn (*Zea mays*), dating to two periods, 1740–1880 and 2350–2690 cal a

113 BP (Rivas Panduro, 2006; Rivas Panduro et al., 2006). These finds make Quistococha a
114 regionally important archaeological site, being one of the few in Western Amazonia to contain
115 *terra preta* or *terra mulata* (black or dark brown) soils (Rivas Panduro, 2006). *Terra preta* and
116 *terra mulata* soils, which are more widespread in Eastern Amazonia, are considered to be
117 anthropogenic, amended by additions of manure, charcoal and refuse, and indicative of human
118 occupation and horticulture (Glaser and Woods, 2004). Below the strata containing ceramics
119 was a further, undated, c. 50 cm thick stratum with its base up to 2.45 m below the modern
120 surface. This stratum consisted of black earth with charcoal, but without ceramics or stone
121 tools; it was interpreted as the first archaeological evidence for hunter-gatherer populations
122 discovered from a floodplain-edge terrace of the Peruvian Amazon (Rivas Panduro, 2006). A
123 similar deposit, this time with stone tools, has subsequently been discovered in the Cachiyacu
124 River basin, closer to the eastern slope of the Andes (S. Rivas Panduro, unpublished data).

125 Since the mid-19th century, the landscape surrounding Quistococha has been deforested to
126 accommodate the growth of Iquitos, which grew to international prominence during the rubber
127 boom of 1890–1920. Currently, Iquitos has approximately 600,000 inhabitants. However,
128 expansion of Iquitos has always been limited by its location on a peninsula of land locked
129 between three rivers, and it is not connected to the national road network. The only road that
130 leaves Iquitos, passing close to Quistococha and continuing south to the river port of Nauta 100
131 km away, was only paved in the 2000s. There has never been extensive deforestation for
132 commercial agriculture, as for example, in the southern Brazilian Amazon. As a result, present-
133 day deforestation in the area around Quistococha is moderate, at <50% within 10 km of the
134 lake, which is important for interpreting the signal of this disturbance in the palaeoecological
135 record.

136

137 **Materials and Methods**

138 *Core sampling*

139 A 364 cm long core from the lake at Quistococha was collected using a Russian-type corer
140 (Jowsey, 1966) operated from a floating platform. Core sections were wrapped in cling-film
141 and placed into protective plastic gutters before being wrapped in a further layer of thick plastic
142 sheeting. The top 30 cm of the lake sediments, which were poorly consolidated, were collected
143 using a kajak-type gravity corer (Renberg, 1991) into polythene sample bags at 5 cm intervals.
144 The sampling location (03.8297°S, 073.3200°W, 94 m above sea level) was recorded using a

145 Garmin handheld GPS. The core was transported to the UK and stored under license at 4°C at
146 the University of Leeds until analysis.

147 *Dating*

148 Twelve samples 1–2 cm³ in volume were chosen for radiocarbon dating. Only a single plant
149 macrofossil (an unidentified leaf) was recovered from the core for radiocarbon dating in the
150 gyttja above 148 cm. Bulk organic lake gyttja samples were therefore used to obtain dates in
151 this part of the core. In the lower, mineral-rich sediments, a comparison of bulk samples and
152 picked samples of (unidentified) plant macrofossils was made to establish whether there was
153 an old carbon offset. Samples were pre-treated in 2M HCl for 4 h before being washed in
154 deionised water, and their $\delta^{14}\text{C}$ and $\delta^{13}\text{C}$ content was determined through accelerator mass
155 spectrometry (AMS) by the NERC Radiocarbon Facility in East Kilbride, UK. An age model
156 for the lake core was produced using the BACON package (Blaauw and Christen, 2011) in R
157 (R Core Team, 2015).

158

159

160 *Pollen and charcoal analysis*

161 Sample preparation followed standard methods (Faegri and Iverson, 1989), including
162 acetolysis, and digestion in hydrofluoric acid where necessary. Samples were mounted in
163 silicone oil. Pollen and charcoal analysis was undertaken using a Leica DMLS binocular
164 microscope, routinely at 1000x. A minimum total of 300 total land pollen (TLP) was counted.
165 The pollen sum excluded spores of the Pteridophyta and the pollen of the aquatic plant *Pistia*
166 *stratiotes*. Unknown pollen types were included in the pollen sum. Microcharcoal fragments in
167 the pollen slides between 5 and 180 μm in size were counted.

168 Pollen identifications were based on pollen reference slides, pollen atlases (Roubik and
169 Moreno, 1991; Colinvaux et al., 1999), the Neotropical Pollen Database (Bush and Weng,
170 2006) and other literature (Absy, 1979; Walker and Walker, 1979; Weber et al., 1999; van
171 Geel, 2001; Nowicke and Takahashi, 2002; Dias Saba, 2007; Burn and Mayle, 2008). *Mauritia*
172 type pollen grains were measured to help separate *Mauritia* from *Mauritiella* (Kelly et al.,
173 2017). Optimal splitting by sum of squares was applied to produce a pollen zonation scheme
174 in Psimpoll (Bennett, 2007). Only pollen taxa that exceeded 5% in one or more samples were
175 included; spores and aquatic taxa were excluded.

176 *Sedimentological analysis*

177 Sediment descriptions were made in the field following Troels-Smith (1955), and checked in
178 the laboratory. Volumetric magnetic susceptibility (MS) was measured using a Bartington MS2
179 meter and MS2c loop sensor at 2 cm intervals prior to subsampling. No magnetic susceptibility
180 measurements were made on the poorly-consolidated material in the top 30 cm of the sequence.
181 Loss-on-ignition (LOI) was carried out on 1 cm³ sub-samples at 4 cm intervals (or on
182 subsamples of the 5 cm thick samples from the top 30 cm) at 550°C for four hours (Heiri et al.,
183 2001). For carbon and nitrogen analyses, 1 cm³ sub-samples were dried at 105°C and milled to
184 homogenize the sub-sample. Each sub-sample was then weighed into a tin cap prior to analysis
185 with a Eurovector Turboflash CNS combustion analyser. Vanadium pentoxide was used as a
186 catalyst. The peat standard NJV942 was used with all sample batches, and experimental values
187 for carbon and nitrogen were within 95% of the certified value for all sample runs.

188 **Results**

189 In this section we describe the new radiocarbon dates (Table 1) and sedimentological (Fig. 2)
190 and palynological data (Fig. 3) for core QT-2010-3, using the pollen zonation as a framework
191 and with reference only to depths down-core; the construction of an age-depth model for the
192 core is described in the next section. A complete pollen diagram showing all taxa recorded is
193 presented as Fig. S1 (Supplementary Information). The interpretation of the data in terms of
194 past environments is discussed later.

195 The pollen diagram is divided into five zones, A (at the base) to E (see Table 2 for detailed
196 descriptions). The clearest division in the pollen dataset is between zone A and zones B–E; a
197 large number of significant indicator taxa (Table 3) collectively make zone A very distinctive
198 compared with the others. This fundamental division of the sequence is also very clear in the
199 sedimentology, e.g. in the loss-on-ignition data. Pollen zones B–E are comparatively alike in
200 terms of palynology and sedimentology, and the zonation reflects gradual changes (e.g. a more
201 or less steady increase in *Mauritia* t. throughout zones B–D) as well as sharper boundaries (e.g.
202 the increase in *Cecropia* sp. across the zone D–E boundary).

203 *Pollen Zone A*

204 From 364–156 cm, which equates to Pollen Zone A, the core consists of sandy and clayey silts,
205 characterised by high magnetic susceptibility, low organic carbon concentrations, and low C/N
206 ratios. Their pollen assemblages are dominated by *Cecropia* sp.; Cyperaceae and Poaceae are
207 also important in this zone. Pollen concentrations are low and preservation is less good than in

208 overlying zones, consistent with the pollen having been transported. Charcoal abundance is
209 very low. The six radiocarbon dates from this part of the sequence have calibrated ranges
210 between 5603 and 2748 cal a BP, and are not all in stratigraphic sequence.

211 *Transition between Pollen Zones A and B–E*

212 The boundary between pollen zones A and B is gradational rather than abrupt, spanning much
213 of the interval between 180 and 148 cm, and many taxa or variables begin to change well below
214 the formal zone boundary (at 156 cm). The sediments gradually transition upwards from sandy
215 and clayey silts to lake gyttjas similar to those being deposited today, with much lower
216 magnetic susceptibility and higher carbon concentrations and C/N ratios. Different proxies
217 respond at different rates: in particular, the main increase in pollen and charcoal concentrations
218 and C/N ratios occurs some 20 cm below the main increase in loss-on-ignition values. Within
219 the pollen assemblages, the major compositional change occurs at the zone boundary, but
220 percentages of e.g. *Cecropia* sp., Moraceae undiff., and *Brosimum* sp. begin to change c. 20
221 cm below the boundary and continue their trajectory for several centimetres above it.

222 *Pollen Zones B–E*

223 The six radiocarbon dates that fall within Zones B–E are in stratigraphic sequence and have
224 calibrated ranges between 2299 and 560 cal a BP. In general, Pollen Zones B–E contrast with
225 Zone A in having lower proportions of *Cecropia* sp. (except for Zone E), Cyperaceae and
226 Poaceae, and increased proportions of a range of tree and shrub taxa including *Ilex* sp.,
227 Melastomataceae/Combretaceae, *Brosimum* sp., *Alchornea* sp., *Euterpe* t. and *Mauritia* t.

228 Pollen Zone B is characterized by a number of indicator taxa including types typically found
229 in wetland forest settings, including *Symmeria paniculata*, *Ilex* sp., and Myrtaceae undiff.; ferns
230 are also abundant, and *Mauritia* t. begins to expand. In Zone C ferns decline, and *Mauritia* t.
231 becomes consistently abundant at around 15%, accompanied by an increase in *Euterpe* t. Zone
232 D is marked mainly by another increase in *Mauritia* t. to around 25%, at the expense of *Euterpe*
233 t. Zone E is chiefly characterized by a renewed increase of *Cecropia* sp. For more detail, see
234 Table 2.

235 Charcoal concentrations in Zones B–D are typically high by comparison with the
236 concentrations in the lower part of Zone A, but with substantial variations; they are lower again
237 in Zone E. The sediment is gyttja throughout, with minor and gradual variations in composition,
238 the most marked of which as a decline in C/N ratios from the middle of Zone C upwards, and
239 an increase in loss-on-ignition in the upper half of Zone D.

240

241 **Age model**

242 In the clay-rich sediments below 148 cm, some of the radiocarbon dates are out of stratigraphic
243 sequence (Table 1). The sample from 280–282 cm (SUERC-46369) returned a calibrated age
244 of 5333–5603 cal a BP, significantly older than the basal sample (357–360 cm, 4833–4967 cal
245 a BP, SUERC-37523). Sample SUERC-46369 was composed of small picked fragments and,
246 despite best efforts to extract as much material as possible from the core section, the sample
247 size available for ¹⁴C determination was <300 µg C, meaning that it may be unreliable.
248 Accordingly, we chose not to include it in our age model.

249 Three further dates were also rejected. Two samples of picked plant fragments from the clayey
250 silts, SUERC-37524 and SUERC-37521, produced radiocarbon dates which were at least 1000
251 years younger than bulk dates obtained from the same levels. An offset between macrofossil
252 and bulk dates was anticipated by Räsänen et al. (1991), who argued that bulk dates on Amazon
253 floodplain sediments were likely to contain old carbon introduced by fluvial inwash. The
254 confirmation of an old carbon offset in the mineral-rich sediments calls into question the
255 chronologies recently developed for three cores from Quistococha by Aniceto et al. (2014a, b).
256 They inferred a hiatus in deposition between 4900 and 2600 cal yr BP, but this coincides with
257 the transition from clayey silts to gyttja at the top of their cores. In our view, the old carbon
258 offset in the mineral-rich sediments is sufficient to account for this ‘hiatus’. There is no
259 sedimentological indication of a hiatus in QT-2010-3, which instead shows a gradual change
260 from inorganic to organic sediments. The very low C/N ratio of organic matter in the clayey
261 silts was interpreted by Aniceto et al. (2014a) as indicating that the organic matter had largely
262 been reworked from soils, consistent with our interpretation of the dates. The age model for
263 QT-2010-3 presented here consequently relies only on picked macrofossil samples in the lower
264 part of the sequence, and the bulk samples SUERC-37522 and SUERC-37524 were rejected.

265 One other macrofossil date was rejected: the sample from 229–231 cm (2751–2842 cal a BP,
266 SUERC-37523) returned an age almost identical to that from 196–198 cm (2748–2838 cal a
267 BP, SUERC-37521). Given no strong indication of which of these two macrofossil dates was
268 more reliable, the one most in keeping with the rest of the dates (SUERC-37521) was retained.

269 Although we took these decisions to exclude four dates on the basis of the arguments presented
270 here, a run of BACON using all of the dates treated the same four dates as outliers, which
271 provides an independent line of support for our age model based on a different set of priorities

272 (i.e. a preference for avoiding large changes in sedimentation rate, rather than taking into
273 account the composition and size of individual samples).

274 In total therefore, eight of the twelve radiocarbon dates were used to produce an age model for
275 the lake core (Figure 2). The age model appears to be reliable in the gyttjas above 148 cm, but
276 should be treated with more caution in the mineral-rich sediments below.

277 **Palaeoenvironmental reconstruction**

278 Here we interpret the new palaeoenvironmental dataset from the lake core QT-2010-3 in terms
279 of palaeoenvironments, taking into account the published data from the peatland core QT-2010-
280 1 (Fig. 4; Roucoux et al., 2013; Lawson et al., 2014).

281 *Zone A (c. 4490–2180 cal a BP)*

282 In QT-2010-3 the sedimentology of Zone A, dominated by sands and silts, is interpreted as
283 representing a combination of lake sediments and the sediment-laden flood deposits of a
284 channel of the Amazon. The pollen assemblages should be interpreted cautiously, as they likely
285 represent a mixture of pollen blown in from around the lake, and fluvially-transported pollen
286 deposited during floods. The domination of pollen assemblages by *Cecropia* sp. is typical of
287 river-influenced sediments; *Cecropia* species are pioneer trees found in abundance on
288 riverbanks and disturbed floodplains (Pennington et al., 2004). Sedges (Cyperaceae) and
289 grasses (Poaceae), two other indicator taxa in this zone, are also typically abundant plants in
290 disturbed riparian environments. Within this zone the evidence for a gradual change in
291 conditions, with magnetic susceptibility generally declining and loss-on-ignition slowly
292 increasing, probably reflects a gradual decline in the influence of the River Amazon on the site,
293 i.e. a reduction in the frequency and volume of sediment-laden floodwaters reaching the
294 western edge of the floodplain, as the main river channel migrated eastwards.

295 *Zone B (c. 2180–1660 cal a BP)*

296 Beginning around 24 cm below (~300 years before) the base of Zone B, a faster transition to
297 much more organic sediments takes place. We interpret this transition as marking the effective
298 isolation of the basin from regular flooding by sediment-laden waters from the Amazon. At the
299 present day the peatland around the lake floods in exceptional years but there is very little
300 mineral material in the peats (Lawson et al., 2014), indicating that the floodwater originates
301 primarily from groundwater and/or rainfall on the floodplain. Unlike the previous studies of
302 Aniceto et al. (2014a, b), we find no evidence to suggest that sediment accumulation in the lake
303 was discontinuous. This is important because it implies that this Amazonian floodplain lake, at

304 least, contains a reliable record of environmental change, and that its hydrological balance has
305 not been as strongly affected by past climatic change as has previously been argued.

306 From this point on we interpret the QT-2010-3 pollen record as representing the vegetation
307 growing in and around the lake, including on the terrace as well as in the peat swamp, which
308 was beginning to accumulate peats around the same time (Lähteenoja et al., 2009a; Roucoux
309 et al., 2013).

310 The sequence of vegetation communities reconstructed from the peatland record QT-2010-1 is
311 echoed in the new QT-2010-3 pollen record, but as might be expected from a lake record with
312 a wider source area integrating over a somewhat heterogenous environment, changes appear
313 more gradual and the pollen zones less distinct than in the peat core. Small-grained pollen types
314 such as *Cecropia* sp. and Moraceae are always much more abundant in the lake core, while
315 insect-pollinated taxa such as *Symmeria paniculata* and *Ilex* sp. are less abundant. These
316 discrepancies are consistent with the usual taphonomic bias of lake sites towards well-
317 transported anemophilous pollen types; *Cecropia*-type pollen typically occurs at 15–20% in
318 lake sequences, even where *Cecropia* is not locally dominant (Bush and Colinvaux, 1988; Bush
319 and Rivera, 1998, 2001; Weng et al., 2002; Gosling et al., 2009).

320 The peat core pollen record indicates that at its location, the vegetation in the first century of
321 peat accumulation was open with abundant Cyperaceae. The lake record suggests that this was
322 the case widely across the basin; not only are Cyperaceae abundant at the start of Zone B, but
323 the high abundance of Melastomataceae/Combretaceae (many members of which are shrubs)
324 and several fern taxa such as *Nephrolepis* sp. and *Polypodium* t. is strongly indicative of open
325 conditions. Myrtaceae, typically shrubs of deeply-flooded environments in these types of
326 landscapes, are also relatively abundant in this zone. Whereas the record from the peatland
327 indicates little presence of *Mauritia/Mauritiella* in the early period of peat accumulation, the
328 lake record suggests that suitable habitat for these palms (and others such as *Euterpe*) was
329 present somewhere in the pollen catchment from the outset.

330 Charcoal is continuously present and much more abundant in Zones B-D than in Zone A,
331 indicating that the vegetation was subjected to burning. The substantial variations in the
332 charcoal concentration throughout this period suggest variations in the fire regime over time.
333 Charcoal was all but absent in pollen slides from core QT-2010-1 so, unless QT-2010-1 was
334 an exceptional location within the peatland, the charcoal in QT-2010-3 is very likely to

335 originate from outside the permanently-wet peatland, most likely on the drier terrace above the
336 lake.

337 *Zone C (1660–680 cal a BP)*

338 In Zone C the pollen evidence indicates a further step-like expansion of the palms
339 *Mauritia/Mauritiella*, accompanied by a sharp decline in the abundance of ferns, which
340 indicate open environments, and taxa such as Myrtaceae that are indicative of deeply-flooded
341 hardwood communities. This shift in assemblages probably reflects the gradual lessening of
342 the depth and/or frequency of flooding, due to the infilling of the basin with peat and the
343 continued migration of the Amazon away from the site, and the closing up of the palm swamp
344 forest canopy.

345 *Zone D (680–90 cal a BP)*

346 Zone D is marked by a further small step-expansion of *Mauritia/Mauritiella* at the expense of
347 tree diversity in general, and Melastomataceae/Combretaceae and *Euterpe* in particular. This
348 decline in diversity was also found in the peatland pollen record, which was taken to indicate
349 that the very low tree diversity recorded at the peatland core site today is of relatively recent
350 origin (Roucoux et al., 2013). The new lake record suggests that this was a general pattern
351 across the Quistococha basin. It remains unclear whether this decline in diversity is the result
352 of environmental changes such as homogenization of the peat swamp environment due to
353 infilling, or the outcome of centuries of inter-specific competition.

354 *Zone E (90 to -60 cal a BP)*

355 The key characteristic of this uppermost zone is a renewed expansion of the disturbance
356 indicator *Cecropia* sp. This likely reflects recent human activities around the lake, presumably
357 focused on the western shore and the terrace above where much of the land has been cleared
358 of forest (Fig. 1c). Perhaps counter-intuitively, charcoal concentrations are lower in Zone E
359 than is typical in Zones B and C (Zone D being transitional), which suggests that the rate of
360 burning (in terms of biomass per year) were higher in the more distant past than in recent
361 decades.

362 **Discussion**

363

364 A key feature of our record is the high abundance of microcharcoal from c 2450 cal a BP until
365 approximately 200 years ago. In general, charcoal is considered a key indicator of human
366 presence (Bush et al., 2015), and this inference appears to be valid in this region. Although rich

367 in pottery, few archaeological sites in Western Amazonia contain stone tools (Lathrap, 1970;
368 Rivas Panduro et al., 2008) and without stone tools it would have been very difficult for people
369 to substantially alter or clear forests without the use of fire (Bush et al., 2015). In addition, the
370 majority of soil cores taken in interfluvial areas in Western Amazonia by McMichael et al.
371 (2012) did not contain any charcoal, which indicates that natural fires are extremely rare and
372 small-scale; Mayle and Power (2008) separately estimated the natural fire return interval in
373 Western Amazonia at 900 years. We therefore interpret the microcharcoal record as indicative
374 of the presence of people at the site.

375 The first increase in microcharcoal in the new lake record at c. 2450 cal a BP coincides with
376 the change from minerogenic sediment to organic sediment in the sequence. It possibly
377 indicates a taphonomic change rather than the arrival of people at Quistococha. However, this
378 date coincides with the earliest known ceramics on the adjacent terrace, dated to 2350–2690
379 cal a BP (Rivas Panduro, 2006; Rivas Panduro et al., 2006). Charcoal is thereafter present in
380 quantity in every sample in the lake record and it seems likely that, despite an apparent gap in
381 the direct archaeological evidence, people were present in the area near Quistococha
382 persistently up to the present day.

383 There is no clear indication in the Quistococha lake sequence (beyond its charcoal record) for
384 a substantial impact by people on the surrounding *terra firme* forest until modern times. The
385 main structural changes in the pollen assemblages are explainable in terms of changes in the
386 peat swamp forest, which Roucoux et al. (2013) accounted for by a combination of a changing
387 degree of influence from the River Amazon as it migrated away from Quistococha, and
388 autogenic succession. *Mauritia* t. appears to have become generally more abundant over the
389 last 2000 years in many parts of Amazonia, and this expansion has been linked to the use of
390 fire, suggesting that humans may have been involved (Rull and Montoya, 2014). However,
391 Roucoux et al. (2013) did not find it necessary to invoke human activities to explain the
392 vegetation changes reconstructed from their peatland record, and they found little evidence to
393 suggest burning.

394 Given the strong and varying representation of peatland taxa in the lake sediment pollen record,
395 a very detailed reconstruction of the history of *terra firme* forest would not be justifiable, but
396 some important inferences can nevertheless be made. The strongest palynological indicator of
397 human impact in the new lake sediment record is the record of the key disturbance indicator
398 *Cecropia* sp. It expands several times in Zones C and D, but never to the levels reached since

399 the 19th century (Zone E), which still represent less than total deforestation: even today, most
400 of the land around Quistococha remains covered in forest (Fig. 1). Pollen of the Moraceae
401 family, typical of *terra firme* rainforest (Gosling et al., 2005), remains abundant throughout the
402 last c. 2200 years. The pollen data are therefore consistent with, at most, small-scale clearances,
403 and not landscape-scale deforestation.

404 This interpretation is consistent with the results of a previous study of soil cores from the region
405 around Iquitos (McMichael et al., 2012, 2015), which found no evidence for deforestation (in
406 three soil cores sampled for phytoliths) and much less evidence for past burning than in other
407 parts of Amazonia (charcoal was present in nine out of 40 soil cores, a much lower proportion
408 than in most other parts of Amazonia studied by McMichael et al. [2012, 2015]). By contrast,
409 in many drier parts of Amazonia there is evidence for very extensive clearance of forest in pre-
410 Columbian times. Networks of fields and geoglyphs from e.g. the Beni basin/Llanos de Mojos,
411 Bolivia (Erickson and Balée, 2006), eastern Acre (Mann, 2008), or the upper River Xingu
412 (Heckenberger et al., 2003), may imply largely unforested landscapes. A benchmark for the
413 palaeoecological expression of this type of landscape is provided by Carson et al. (2014), who
414 studied two lake sediment sequences (Lagunas Granja and Orícore, Fig. 1) close to a complex
415 of geometric earthworks in the seasonally-dry Beni region of NE Bolivia. Their pollen data
416 indicate that the vegetation was substantially more open than it is today, both before and during
417 the period of earthwork construction, with >50% Poaceae (grass) pollen and <30% tree pollen
418 in their sequences. Forest cover has expanded only in the last few centuries, possibly due to a
419 combination of land abandonment and climatic change. However, such extensive maintenance
420 of open environments was not ubiquitous, even in seasonally-dry regions: for example, in the
421 Upper Beni, two lake records have been produced which indicate little reduction of the forest
422 cover by pre-Columbian people (Lakes Chalalán and Santa Rosa: Urrego et al., 2013).

423 Another point of comparison is provided by palaeoecological research on lakes and soils in
424 aseasonal, densely forested regions of Ecuador and SE Peru. Here Bush et al. (2007) and
425 McMichael et al. (2011, 2012, 2015), in studies of five lakes (Ayauchi, Gentry, Parker, Vargas,
426 Werth) and numerous soil sequences in interfluvial settings which were not associated with
427 archaeological sites, showed that past human impact (crop pollen and charcoal) was patchy,
428 with three out of five lakes producing temporally discontinuous charcoal records, and two lakes
429 and many soil cores producing no charcoal at all; nowhere did they find indications of
430 landscape-scale forest clearance (Bush et al., 2007a; McMichael et al., 2011, 2012, 2015).
431 Continuity of forest cover over the past c. 5000 years was also inferred at Lake Kumpaka in

432 the Andean foothills of eastern Ecuador (Liu and Colinvaux, 1988) and, in the lowlands, at
433 Maxus 5, despite a continuous charcoal record (Athens and Ward, 1999).

434 Our dataset thus fits into an emerging pattern, whereby pre-Columbian landscapes in the wetter
435 climatic regions of Amazonia were typically less likely to be deforested than those in
436 seasonally dry climatic regions. Importantly, our dataset makes it clear that, even where there
437 is direct archaeological evidence for human settlement adjacent to the palaeoecological record,
438 forest cover could remain largely intact.

439 It is highly likely that people used forest and wetland resources in ways that are palynologically
440 and archaeologically invisible, but there is no direct evidence in the new dataset, nor from the
441 peatland pollen records from Quistococha (Roucoux et al., 2013) and San Jorge (Kelly et al.,
442 2017), of human activities in the peatlands themselves. Phytoliths of palms which may have
443 originated in the swamp were recovered from the archaeological site, although remains of the
444 dominant palm *Mauritia flexuosa* were not observed (Rivas Panduro, 2006).

445 Our dating evidence indicates that hunter-gatherer communities were already living close to
446 Quistococha before the lake became fully isolated from the Amazon. This is consistent with
447 the so-called ‘bluff model’ of pre-Columbian settlement (Denevan, 1996), whereby settlements
448 in the interior of the Amazon basin tend to occur on high, rarely-flooded terraces adjacent to
449 navigable rivers. The later horticultural, ceramic-using occupations attested in the Quistococha
450 archaeological record were likely living in a different landscape context, with less direct access
451 to the main-stem Amazon, but still able to rely on the lake and wetland for important resources
452 such as fish and maize cultivation on the open, seasonally flooded floodplain of the river. Our
453 palaeoenvironmental reconstruction confirms that in this region of Amazonian Peru, pre-
454 Columbian settlement was not restricted to the immediate shores of navigable rivers, but could
455 extend at least to the edge of the active floodplain. Today Quistococha is separated from the
456 main channel of the Amazon by 10 km of forested floodplain.

457 **Conclusions**

458 The palaeoenvironmental record from Quistococha and its associated archaeological dataset
459 together provide an important piece of information that helps us to judge the extent of past
460 human impact in this aseasonal region of Amazonia. The new pollen record from the lake
461 provides evidence for prehistoric human activity in the form of a charcoal record which
462 indicates continuous human activity since before the lake was isolated from Amazon
463 floodwaters. This indicates that gaps in the archaeological record from the site adjacent to the

464 lake do not imply an absence of human populations from the wider area. Occupation apparently
465 persisted throughout the last c. 2500 years, even after the Amazon abandoned the Quistococha
466 basin and the site became set back from the main navigable waterway. Crucially, throughout
467 this period the relative abundance of the key forest disturbance indicator *Cecropia* remained
468 low, before increasing sharply towards the very top of the sequence over the last 100 years.
469 This indicates that pre-Colombian societies did not, even partially, deforest this landscape and
470 that the present, moderate extent of forest disturbance around Quistococha is without precedent
471 in the last 2500 years.

472 Small floodplain lakes occur frequently in the region south and west of Iquitos, and those that
473 occur far from the present channel may well hold sediment records as old, continuous, and
474 well-resolved as that at Quistococha. They provide an excellent opportunity for further
475 investigation of the spatial variation in past human interaction with forests in Peruvian
476 Amazonia, especially if integrated into regional archaeological research programmes.

477 **Supplementary Information**

478 Additional supporting information can be found in the online version of this article:

479 **Figure S1** Full pollen percentage diagram for core QT-2010-3.

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Figure captions

Figure 1: Location of sites discussed in the text. (a) Location of the main study area. Published records referred to in the Discussion: 1, Ayauchi; 2, L. Kumpaka; 3, Lakes Werth, Gentry, Parker and Vargas; 4, Lakes Chalalán and Santa Rosa; 5, Lagunas Granja and Oricore. (b) Map indicating the context of Quistococha on the Amazon floodplain. The paleoecological site of San Jorge (open circle; Kelly et al., 2017) and the town of Tamshiyacu and city of Iquitos (filled circles) are shown for reference. Darker shading indicates land over 120 m above sea level, inferred from Shuttle Radar Topography Mission (SRTM) data (<http://srtm.csi.cgiar.org>). (c) False-colour Landsat TM image of the study site, covering the same area as panel d. Colours follow Draper et al. (2014): red and dark green indicate forest; black, open water; white and light blue, bare or open ground. (d) Key features of the area shown in panel c, including the location of cores QT-2010-1 and QT-2010-3.

Figure 2: Bayesian age-depth model for core QT-2010-3. The shaded area indicates the 95% probability interval of the model, given the assumptions underlying it (i.e. the prior information specified). The red line indicates the best-fit (most probable) age-depth relationship. For the key to lithological symbols see Figure 3.

Figure 3: Selected palynological and sedimentological data for core QT-2010-3. For the full pollen dataset, see Figure S1 (Supplementary Information). The dashed vertical line in the plot of *Mauritia* t. grain diameter indicates the approximate division between samples that are richer in *Mauritiella* (typically <40 µm) and samples that are richer in *Mauritia* (typically >40 µm). Measurements are indicated with a dot where only one measurement was possible, and with a horizontal bar indicating the 95% confidence interval of the mean where more than one measurement was made. Abbreviations: *Dal./Mach.*, *Dalbergia/Machaerium*; *Mela.Comb.*, *Melastomataceae/Combretaceae*; *part.*, particles; *t.*, type..

Figure 4: Selected pollen taxa from cores QT-2010-3 (lake: this study) and QT-2010-1 (peat: Roucoux et al., 2013) plotted against age.

Table 1: Results of radiocarbon dating analyses undertaken on samples from the lake core at Quistococha (QT-2010-3). Analytical uncertainty is shown to 1 standard deviation (s.d.). Samples were analysed at the NERC facility at East Kilbride. (Note that sample marked ‡ contained <300 µgC). * denotes sample rejected from age model.

Laboratory code	Depth (cm)	Material	¹⁴ C age (a BP)	s.d.	δ ¹³ C	Calibrated age (cal a BP)
SUERC-44979	40–41	Bulk gyttja	703	37	-25.9	560–710
SUERC-44980	60–61	Bulk gyttja	927	35	-26.2	765–927
SUERC-44981	88–89	Plant macrofossil	1357	37	-31.6	1182–1357
SUERC-38477	95–96	Bulk gyttja	1710	37	-29.0	1540–1705
SUERC-37520	127–128	Bulk gyttja	1942	37	-32.3	1830–1930
SUERC-44982	152–153	Bulk gyttja	2117	37	-31.3	1992–2299
SUERC-37521	196–198	Picked plant fragments	2669	37	-21.8	2748–2838
SUERC-37522*	196–198	Bulk	3728	35	-22.9	3914–4070
SUERC-37523*	229–231	Picked plant fragments	2678	37	-16.3	2751–2842
SUERC-37524*	229–231	Bulk	3667	35	-21.2	3926–4082
SUERC-46369*	280–282	Picked plant fragments ‡	4792	78	-37.1	5333–5603
SUERC-44986	357–360	Picked plant fragments	4311	36	-28.2	4833–4967

Table 2: Pollen assemblage zone descriptions for core QT-2010-3.

Zone (depths, age)	Pollen assemblage zone characteristics
E (1–10 cm, 90 to -60 cal a BP)	<i>Cecropia</i> sp. increases towards the top of this zone where it reaches 40%. <i>Mauritia</i> t. remains abundant (max. 22%); <i>Alchornea</i> sp. (max. 10%) and Moraceae (max. 17%) remain moderately abundant; <i>Euterpe</i> t. becomes rare (max. 2.5%). All fern spore types are rare, with many <1% in most samples.
D (10–44 cm, 680–90 cal a BP)	<i>Mauritia</i> t. increases to >20% for the first time and peaks at the top of this zone (38%). <i>Euterpe</i> t. declines to 3% at the top of this zone, and Cyperaceae and Poaceae decline further, dropping to <1% at the top of this zone. <i>Alchornea</i> sp. (max. 13%) and <i>Cecropia</i> sp. (max. 25%) remain abundant, and <i>Brosimum</i> sp. continues to be moderately abundant (max. 7%). Amongst the minor types, <i>Amanoa</i> sp. peaks towards the top of this zone (2%).
C (44–108 cm, 1660–680 cal a BP)	Moraceae, <i>Alchornea</i> sp. and <i>Cecropia</i> sp. remain abundant. <i>Mauritia</i> t. is moderately abundant in this zone (max. 16%), as is <i>Euterpe</i> t. which peaks at 72 cm (12%). Cyperaceae declines to <5%. Amongst the minor types, <i>Ilex</i> sp. declines from its values in the zone below, and is mostly <1% in this zone. Asteraceae declines to <1%. <i>Tapirira</i> t. peaks towards the top of this zone (max. 2.6%). <i>Trema</i> t. is most abundant in this zone (max. 3.5%).
B (108–156 cm, 2180–1660 cal a BP)	<i>Cecropia</i> sp. declines to <20%. Moraceae peaks in this zone at 128 cm (28%). Cyperaceae remains moderately abundant (max. 11.5%), and Poaceae declines to <5%. <i>Brosimum</i> sp. increases to >5% for the first time, and <i>Alchornea</i> sp. increases towards the top of this zone (max. 10%). <i>Mauritia</i> t. and <i>Euterpe</i> t. both increase to >5% for the first time. Amongst the minor types, <i>Ilex</i> sp., Asteraceae and Myrtaceae are consistently present. Several fern spore types are moderately abundant; <i>Nephrolepis</i> sp. (max. 10%), <i>Polypodium</i> t. (max. 6%), and Monolete spores (max. 14%) all peak in this zone.
A (156–330 cm, 4490–2180 cal a BP)	<i>Cecropia</i> sp. is dominant (max. 67%), with Moraceae, Poaceae, Cyperaceae and <i>Alchornea</i> sp. also abundant. Amongst the minor types, <i>Piper</i> sp., Mel./Comb., Asteraceae, and <i>Iriarteia deltoidea</i> are all consistently present. Fern spore types never exceed 10%.

Table 3: Statistically significant indicator species ($p < 0.05$) identified for each pollen assemblage zone in core QT-2010-3.

Taxon	Zone	Indicator value	Probability
<i>Pouzolzia</i>	E	0.4578	0.012
<i>Pourouma</i>	E	0.4631	0.011
<i>Euterpe</i> t.	C	0.3691	0.047
<i>Symmeria</i>	B	0.4563	0.026
Moraceae	B	0.2879	0.020
<i>Ilex</i>	B	0.4480	0.011
Myrtaceae	B	0.4153	0.004
Melastomataceae/Comb.	B	0.3580	0.002
Asteraceae	A	0.3527	0.028
Cyperaceae	A	0.4234	0.013
<i>Piper</i>	A	0.5249	0.009
<i>Cecropia</i>	A	0.3450	0.003
Poaceae	A	0.6166	0.001

Supplementary information

Figure S1: Full pollen percentage diagram for core QT-2010-3.