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Immunomodulatory therapy of visceral leishmaniasis in HIV coinfecting patients

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WA, JV, GV, LK, TD, PK wrote and conceived the review

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Abstract

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Patients with visceral leishmaniasis (VL) – human immunodeficiency virus (HIV) coinfection experience increased drug toxicity and treatment failure rates compared to VL patients, with more frequent VL relapse and death. In the era of VL elimination strategies, HIV coinfection is progressively becoming a key challenge, because HIV coinfecting patients respond poorly to conventional VL treatment and play an important role in parasite transmission. With limited chemotherapeutic options and a paucity of novel anti-parasitic drugs, new interventions that target host immunity may offer an effective alternative. In this review, we first summarize current views on how VL immunopathology is significantly affected by HIV coinfection. We then review current clinical and promising preclinical immunomodulatory interventions in the field of VL and discuss how these may operate in the context of a concurrent HIV infection. Caveats are formulated as these interventions may unpredictably impact the delicate balance between boosting of beneficial VL-specific responses and deleterious immune activation/ hyperinflammation, activation of latent provirus or increased HIV-susceptibility of target cells. Evidence is lacking to prioritize a target molecule and a more detailed account of the immunological status induced by the coinfection as well as surrogate markers of cure and protection are still required. We do however argue that virologically suppressed VL patients with a recovered immune system, in whom effective antiretroviral therapy alone is not able to restore protective immunity, can be considered a relevant target group for an immunomodulatory intervention. Finally, we provide perspectives on the translation of novel theories on synergistic immune cell cross-talk into an effective treatment strategy for VL-HIV coinfecting patients.

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1 **IMMUNOMODULATORY THERAPY OF VISCERAL**
2 **LEISHMANIASIS IN HIV COINFECTED PATIENTS**

3
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28 **Abstract**

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41 activation/hyperinflammation, activation of latent provirus or increased HIV-susceptibility of
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43 the immunological status induced by the coinfection as well as surrogate markers of cure and
44 protection are still required. We do, however, argue that virologically suppressed VL patients
45 with a recovered immune system, in whom effective antiretroviral therapy alone is not able to
46 restore protective immunity, can be considered a relevant target group for an
47 immunomodulatory intervention. Finally, we provide perspectives on the translation of novel
48 theories on synergistic immune cell cross-talk into an effective treatment strategy for VL-HIV
49 coinfecting patients.

50 1. Introduction

51 Visceral leishmaniasis (VL), also called kala-azar, is a vector-borne protozoan infection
52 caused by species of the *Leishmania donovani* complex, which mainly targets tissue
53 macrophages of systemic organs, such as spleen, liver and bone marrow (1). Characteristics of
54 the disease include chronic fever, hepatosplenomegaly, and pancytopenia (1). Untreated, overt
55 disease is universally lethal (1). Zoonotic VL, with dogs as the main reservoir, is mainly
56 prevalent in the Mediterranean basin and in South America, and is caused by *Leishmania* ~~(L.)~~
57 *infantum*. Anthroponotic VL is prevalent on the Indian subcontinent and in East Africa and is
58 typically caused by *L. donovani* (2). According to the recent World Health Organization
59 (WHO) report, VL is endemic in 75 countries with an estimated 50,000 to 90,000 new cases
60 occurring each year (3). Ninety percent of the global disease burden occurs in just six
61 countries: India, Bangladesh, Sudan, South Sudan, Brazil and Ethiopia (3).

62
63 Chemotherapy is currently the sole form of treatment in clinical practice. The pentavalent
64 antimonial (Sb^V) compounds (sodium stibogluconate (SSG) commercialized as Pentostam®;
65 meglumine antimoniate commercialized as Glucantime®) have been the cornerstone of first-
66 line treatment of VL over the last 70 years. ~~However, these compounds, but~~ are far from
67 optimal due to severe toxicity and the emergence of antimonial resistance on the Indian
68 subcontinent (1, 4). Newer drugs that are increasingly used include paromomycin,
69 miltefosine, pentamidine, and conventional and liposomal amphotericin B. All these drugs
70 have ~~a number of important~~ several important disadvantages ~~as shown in~~ (Table 1). While
71 various combination therapy regimens designed to overcome some of the shortcomings are
72 highly efficacious in India, disappointing findings on some combination regimens have been
73 recently reported in East Africa (5-10). ~~As of Until today, no comparative studies have been~~
74 ~~conducted done to explain this geographical difference, but the parasite genetic diversity~~
75 ~~diverse parasite species and host immune phenotypesity genotypes are assumed as key~~
76 ~~factors.~~ Novel chemotherapeutic drugs are in the initial development pipeline ~~and but~~ are
77 therefore unlikely to be widely available within the next few years. Nevertheless, over 90% to
78 95% of immunocompetent patients display a good clinical response to currently
79 recommended conventional treatment regimens, with treatment unresponsiveness, death or
80 severe toxicity ~~observedseen~~ in less than 5% to 10% of patients (11). Less than 5% of
81 immunocompetent individuals who initially cure develop a relapse, most commonly within 6-
82 12 months after treatment (5). Treatment outcomes however vary substantially between
83 different geographic regions and depend on the drug(s) used, drug exposure, parasite
84 susceptibility to the drug, severity of disease, host immunity and the presence of coinfections
85 (11-13).

86 1.1. Emerging challenge of VL-HIV coinfection

87 Human immunodeficiency virus (HIV) has been identified as one of the emerging challenges
88 facing the control of VL (14). The immunological status of HIV-infected patients is
89 particularly favorable for the multiplication of *Leishmania* parasites. HIV coinfection
90 substantially increases the risk of progression from asymptomatic *Leishmania* infection to
91 active disease (15, 16). On the other hand, VL accelerates HIV disease progression towards
92 acquired immunodeficiency syndrome (AIDS) and could induce expression of latent
93 proviruses (14). HIV has fueled the re-emergence of VL in Southern Europe and Brazil,
94 where up to 70% of VL cases are associated with HIV infection (7). ~~Tand~~ the problem is
95 currently particularly severe in areas such as Northern Ethiopia, where up to ~~430%~~ 43% of all
96 patients with VL patients are coinfecting with HIV (17). Since 2001, 35 countries have
97 reported between 2 to 30% of VL cases as co-infected with HIV, but thise percentages
98 isare most probably underestimations (14). Because the disease affects the most poor and
99 most neglected patients within an already neglected disease population, under-reporting in

100 most endemic areas is common due to a lack of facilities to diagnose one or both of the
101 diseases and to poor reporting systems. Importantly, VL-HIV coinfecting patients are also
102 often considered super-spreaders of VL, and thus pose a major threat to current elimination
103 strategies (18).

104
105 Since 1996, combined antiretroviral treatment (cART), comprising three antiretroviral drugs,
106 constitutes the cornerstone of HIV treatment. The treatment options continue to expand with
107 new drugs and co-formulations; by the end of 2016, there were 40 antiretroviral drugs from
108 six different classes approved by the Food and Drug Administration. In most resource-
109 constrained settings, the standardized WHO guidelines are used for ART, which currently
110 recommends a combination of tenofovir, lamivudine and efavirenz as first line treatment.
111 WHO recommended first line regimens have been found highly effective in resource-
112 constrained settings (19). The main aim of cART is sustainable~~urable~~ suppression of HIV
113 replication, and with good adherence, this can generally be achieved, leading to a close to
114 normal life expectancy (20).

115
116 VL is one of the AIDS-defining conditions, requiring anti-leishmanial treatment and cART
117 irrespective of CD4⁺ T cell count (7). Although there are limited *in vitro* data suggesting that
118 HIV-1 protease inhibitors and possibly some other antiretroviral drugs might directly exert
119 inhibitory effects on *Leishmania*, there is insufficient evidence for their clinical use against
120 VL, and standard ART regimens are currently recommended in VL-HIV coinfection (5). In
121 low income countries, this is provided by standardized first and second line regimens in a
122 public health approach (21, 22).

123
124 Increased toxicity and parasitologically-confirmed treatment failures (up to 30%) were
125 observed in VL-HIV coinfecting patients treated with Sb^V, with case fatality rates up to 24%
126 (14, 17, 23). While liposomal amphotericin B was consistently found to have excellent
127 tolerability, VL cure rates in HIV coinfecting individuals have been rather disappointing in
128 East Africa. For example, at a total dose of 30 mg/kg, around 16% of primary VL and 56% of
129 VL relapse cases demonstrate parasitological failure in northern Ethiopia (17). WHO now
130 proposes a total dose of 40 mg/kg (7, 24, 25). Experience with miltefosine in VL-HIV
131 coinfection is limited, but suggests moderate efficacy and an acceptable toxicity profile (23,
132 26-29). To date, only one clinical trial in HIV coinfecting patients has been conducted with
133 miltefosine, with 18% of patients displaying initial parasitological treatment failure and 25%
134 relapsing, although deaths were excluded (23). The role of combination therapy in VL-HIV
135 coinfection is currently under exploration in clinical trials in India and East-Africa.

136
137 While in Europe widespread use of cART has resulted in a pronounced (i.e. 60 %) reduction
138 in the incidence of VL-HIV coinfection, relapse in coinfecting subjects remains substantial at
139 up to 60% after one year (14, 30, 31) and secondary prophylaxis has only a partial effect (32).
140 In a pentamidine secondary prophylaxis trial in Ethiopia, the relapse-free survival rate at two
141 years was only 58.3% (Diro 2017, CID, in press). Even with access to all current
142 chemotherapies, the prognosis in VL-HIV coinfection remains dire. Currently, it is believed
143 that VL can only be effectively treated in HIV patients before profound immune deficiency
144 has developed.

145
146 VL-HIV coinfection has a number of unique clinical and immunological features. In contrast
147 to many other HIV-associated opportunistic infections, CD4⁺ T cell reconstitution is severely
148 delayed (even if virological suppression is reached) and the immune reconstitution
149 inflammatory syndrome (IRIS) to a *Leishmania* infection after initiation of cART appears
150 relatively rare, indicating a persistent suppression of host immunity (33, 34). Atypical clinical
151 presentations can occur and amastigotes have been detected in tissues such as the intestine,

152 where parasites are mostly undetectable in the immunocompetent host (14, 35). After clinical
153 remission, parasitemia also appears to persist, at least intermittently (36). A
154 chronic/intermittent course of VL lasting several years has been described, labelled as “active
155 chronic visceral leishmaniasis” (36). Consequently, HIV-infected patients will develop
156 multiple VL relapses and often become progressively more difficult to treat, ultimately
157 leading to a stage of complete treatment unresponsiveness. Hence, there is an urgent need for
158 innovative and effective alternative therapies against VL-HIV coinfection.

159 1.2. Promising role of immunomodulatory therapy

160 It has become increasingly clear that the host immune response is a critical factor determining
161 VL treatment response and control, acting in synergy with anti-leishmanial drugs (37). This
162 implies that in immunosuppressed individuals, targeting parasites alone with conventional
163 anti-leishmanial drugs but without enhancing the immune response might simply not be
164 sufficient. This interaction between drugs and the immune system was first suggested in
165 animal models of VL, where the efficacy of pentavalent antimony (Sb^v) was lower after T cell
166 depletion (38). ~~This was~~ probably related to the decreased cellular uptake of Sb^v into
167 interferon gamma (IFN γ) activated macrophages, where it is normally converted
168 intracellularly into its active trivalent form (Sb^{III}) (4). While this finding should be
169 extrapolated with caution, this mechanism may explain the observations that
170 immunocompromised patients with VL failed to respond to antimonial drugs.

171 Immunotherapy is defined as the use of biological molecules or pharmacological compounds
172 to modulate immune responses directly or in combination with drugs. A combination of
173 immunomodulatory and direct anti-parasitic drugs could enhance the efficacy of
174 chemotherapy and even prevent drug resistance (39). On top of its successful use in treating
175 several non-infectious disorders (e.g. cancer, rheumatoid arthritis, etc.), the use of immune-
176 based combination therapy ~~is increasingly being explored in infectious diseases such as~~
177 ~~tuberculosis (40) and leprosy (41) has proven successful in malaria, tuberculosis and leprosy.~~
178 Despite several candidates being in the drug development pipeline, there are no
179 immunotherapeutic agents or vaccines against VL currently registered for human use in
180 routine clinical practice ~~due to multiple reasons (e.g. high costs of clinical trials, limited and~~
181 ~~remote patient populations, ineffectiveness, safety concerns etc.)~~ (42). Experimental immune-
182 based approaches are also being explored in the domain of HIV, where many have reached
183 Phase I and some Phase II clinical trials ~~but as of until today have failed to provide enough~~
184 ~~immune restoration, potent effectiveness, sustainable benefits, delay of clinical progression or~~
185 ~~good safety profiles~~ (40, 43-46). However, VL-HIV coinfecting patients are often excluded or
186 neglected in such studies, although both individual patients as well as public health
187 approaches in general could benefit from these interventions.

188
189 Here, we first summarize current views on how host immunity against VL is affected during
190 HIV coinfection, and then discuss the potential of current immunomodulatory therapies
191 against VL in the context of concurrent HIV infection (both human studies and promising
192 experimental approaches, excluding prophylactic studies). In particular, key targets and
193 potential caveats are emphasized to guide future research on immunomodulatory therapies
194 against VL and support the inclusion of HIV coinfecting patients in clinical research.

196 2. Immunopathogenesis of VL-HIV coinfection

197 Macrophages represent an important common reservoir for HIV and *Leishmania* and serve as
198 vehicles that disseminate both virus and parasite throughout the host. In addition, both
199 pathogens may interact with each other to exacerbate immune suppression (Figure 1). In fact,
200 both pathogens severely alter the antigen processing and presentation capacities of dendritic

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201 cells and macrophages, and synergistically escape immune surveillance using an array of
202 strategies yet to be fully understood (47).

203
204 The control of VL in experimental models has been robustly associated with a strong T helper
205 1 (Th1) immune response, with large amounts of IL-2 and IFN γ (48) (Figure 1). In addition, a
206 M2 polarization of macrophages has been associated with suppression of cell-mediated
207 immunity, that confers susceptibility to intracellular infection. However, the immune
208 mechanisms modulating VL in murine models or humans differs significantly. Human studies
209 have shown a Th1/Th17 protective pattern with a somewhat different T cell functionality
210 compared to experimental models, but lack comprehensive longitudinal data (49, 50). CD8⁺ T
211 cells have also been shown to produce IFN γ that can contribute to VL control (51). The
212 immunosuppressive effects of IL-10, and the regulatory role of other cytokines such as IL-27,
213 have been implicated in the development of the different clinical pictures (50). Impaired
214 neutrophil effector function has also been suggested to play a key role in the pathogenesis of
215 VL (52). Partly due to the lack of good animal or in vitro models, it is currently unknown
216 whether and how these protective and immunosuppressive patterns of VL are modulated by
217 HIV and ART and how they define the pertinent clinical outcomes of VL-HIV patients.

218
219 HIV-1 causes a general profound impairment of cell-mediated immunity with low levels of
220 CD4⁺ Th1 cells, the main protective cells in VL (Figure 1). HIV also skews the host immunity
221 towards a Th2 response that only becomes affected at the later stages of the viral infection,
222 potentially provoking parasite replication. ~~Th17 cells are also associated with protection in~~
223 ~~The o VL. andbut are protection associated T helper subset of Th17 cells are is~~ highly
224 permissive to HIV infection. ~~Tand t~~ and their frequency is significantly and preferentially
225 reduced in the gastrointestinal tract, even in patients with undetectable plasma viral load
226 under ART (53). ~~DTheir depletion of Th17 cells from the gut~~-associated lymphoid tissue
227 together with a series of immunopathological events occurring at the gastrointestinal tract
228 mucosa leads to microbial translocation and consequently higher non-specific immune
229 activation and hyper-inflammation (54). This microbial translocation has been postulated as
230 one of the factors causing non-specific early T cell exhaustion and senescence (55), which
231 may further weaken protective immunity towards VL. Likewise, VL was reported as an
232 independent cause of increased non-specific immune activation, T cell senescence and the
233 lack of immune recovery in virologically-suppressed coinfecting HIV patients (56, 57). In line
234 with T cell exhaustion, chronic immune activation was recently associated with recurrent
235 relapse of VL in HIV patients (58). Recent research in VL-HIV patients also suggested that
236 weak antigen-specific functional responses or proliferation of T cells after in vitro stimulation
237 was an important predictor of relapse (59). Despite the pivotal role of CD8⁺ T cells in viral
238 and parasite clearance, their contribution in VL-HIV control and level of exhaustion remains
239 unknown. Likewise, it is still unclear as to what impact *Leishmania* infection could have on
240 the capacity of resting memory CD4⁺ T cells to act as a stable reservoir of latent HIV
241 infection. ~~WYor vice versa, it remains unknown w~~ what impact a spike in viral replication may
242 have on anti-leishmanial immunity (e.g. by bystander activation of *Leishmania* specific
243 memory cells) ~~also remains unknown~~ (60, 61).

244
245 The consequences of infection by two immune suppressive pathogens could therefore be a
246 symbiotic and persistent incapacitation of the host's immune system, favoring a state of
247 immunological anergy, ultimately being fatal to the patient. A better understanding of the
248 immune response against *Leishmania* infection in HIV coinfecting patients is crucial to
249 establish a rational approach for immunomodulatory therapy.

250 3. Status of immunotherapeutic interventions in human VL and their application in 251 HIV patients

252 Due to the lack of a protective role of anti-*Leishmania* antibodies in early studies, passive
253 immunization was not further explored, while active immunization with immunomodulators
254 and vaccine therapy was investigated (62). Early studies by Murray et al. (38, 63, 64) showed
255 the therapeutic utility of interleukin-2 (IL-2), IL-12, interferon-gamma (IFN γ) and
256 granulocyte-macrophage colony stimulating factor (GM-CSF) in murine VL models (38, 63,
257 64). Although the Th1/Th2 dichotomy of immunity to VL is not fully upheld in humans,
258 clinical immunotherapeutic studies on VL patients have been skewed towards Th1-associated
259 cytokine adjuvanted therapy and are discussed below (see Table 2). For VL-HIV coinfection,
260 only five published case reports using recombinant IFN γ , IL-2 and GM-CSF combined
261 chemotherapy were found in literature (see Table 2).

262 3.1. Interferon- γ (IFN γ)

263 There has been limited success in small-scale clinical trials with combined therapy of IFN γ
264 and Sb^V for treating VL. This combination therapy displayed stronger parasitological and
265 clinical cure rates in VL patients (mainly children) from Brazil, Kenya and India compared
266 with the drug alone, but these studies had several limitations (see Table 2 for details). In a
267 subsequent larger randomized controlled trial (RCT) in India, these improved treatment
268 outcomes could not be confirmed (65). Importantly, treatment response in this particular study
269 was generally poor as drug resistance was emerging in that region.

270
271 There are a few case reports, mostly from the pre-ART era, providing information on
272 whether IFN γ can be safely administered in VL-HIV patients (see Table 2), which is of
273 relevance since IFN γ also has a vital but ambiguous role in the pathogenesis of HIV (66).
274 IFN γ appeared to be fairly well tolerated but showed inconclusive results (67-69). In one old
275 case report of a patient with VL-HIV coinfection, acceleration of Kaposi's sarcoma has been
276 reported (70). The therapeutic potential of IFN γ to treat HIV coinfections, was supported by
277 two Phase II trials, evaluating adjunctive IFN γ to improve treatment response to antifungals in
278 HIV patients with cryptococcal meningitis (71, 72). However, in the early 1990s, a
279 multicenter clinical trial of SSG plus IFN γ for VL in HIV coinfecting patients in Spain was
280 suspended following an interim analysis indicating that there was an excess of severe
281 secondary effects and no benefit over drug alone (69). The findings themselves have never been
282 published but suggested a limited value of IFN γ therapy for VL-HIV coinfection.

283 3.2. Granulocyte macrophage colony stimulating factor (GM-CSF)

284 GM-CSF can inhibit the intracellular replication of protozoa such as *Leishmania*. The
285 justification to explore GM-CSF as immunotherapeutic agent stems from documented effects
286 such as monocyte mobilization, macrophage activation, the production of pro-inflammatory
287 cytokines and amelioration of neutropenia (63). GM-CSF combined with Sb^V was
288 successfully explored in 20 neutropenic VL patients in Brazil. All responded well to VL
289 treatment, neutropenia rapidly improved and secondary infections decreased (73) (Table 2).
290 The authors did however not include a control arm, ~~however~~, making it unclear whether the
291 effect of GM-CSF, if any, could be due to the reversal of neutropenia (and might hence not
292 apply in those without neutropenia) or whether other mechanisms were involved. On the other
293 hand, *in vitro* studies have recently suggested that GM-CSF could contradictorily promote
294 *Leishmania* growth by inducing monocyte proliferation and induction of intracellular dNTP
295 production (74), but whether this would also occur in humans remains unknown.

296
297 In terms of safety, several older clinical trials of GM-CSF administration in HIV patients
298 indicated that it might accelerate HIV replication (75). In contrast, more recent RCTs have
299 demonstrated benefits of using GM-CSF in virologically suppressed patients as an adjunct to
300 conventional ART or therapeutic HIV vaccination (75, 76). This would argue against using

301 GM-CSF in pre-ART patients, but might suggest it to be safe in those stable on ART. With
302 regard to coinfections, some case reports were published on successful GM-CSF therapy of
303 resistant-to-standard-therapy mycobacterial infection and pulmonary aspergillosis in HIV
304 patients (77, 78). There is a single successful case report on immunotherapy targeting primary
305 VL in an Italian AIDS patient, whereby human GM-CSF was combined with liposomal
306 amphotericin B (Table 2) (79). Presently the evidence for beneficial effects of GM-CSF on
307 HIV disease is limited, but GM-GSF adjuvanted therapy could provide a potential value for
308 treatment of neutropenic VL in stable ART patients.

309 **3.3. Interleukin-2 (IL-2)**

310 IL-2 induces clonal expansion of specific T cells, promotes natural killer (NK) and CD8⁺ T
311 cell cytotoxicity, cytokine secretion by Th1, Th2, and Th17 cells, and modulates programmed
312 cell death (42). Hence, IL-2 is necessary for the protection against *Leishmania* in
313 immunodeficient mice, in which IL-2 restores the activity of Sb^V (38, 80). The impairment in
314 IL-2 production is also one of the first functional defects described in untreated HIV-positive
315 patients and its administration to boost the quantitative and/or qualitative CD4⁺ T cell
316 restoration in HIV-infected patients has been evaluated in Phase I, II and III trials (42). These
317 early results provided evidence that IL-2 therapy combined with existing cART has the
318 potential to enhance quantitative and qualitative immune restoration, without triggering HIV
319 replication, even when ART alone had failed to do so. However, restoring CD4⁺ T cell counts
320 with IL-2 failed to show long-term clinical benefits in two large Phase III clinical trials,
321 ESPRIT and SILCAAT (81). IL-2 recipients in the STALWART trial even experienced more
322 opportunistic infections, death or grade 4 adverse events (AEs) during IL-2 administration,
323 than those not receiving IL-2 (82).

324
325 To date, no clinical trial for rIL-2 administration in VL patients has been reported. There has
326 been one case report on the use of rIL-2 in a VL-HIV coinfecting patient failing to respond to
327 anti-leishmanial and HIV treatment with low CD4 counts and incomplete HIV suppression
328 despite ART use (83). This report indicated no benefit. Importantly, increased *Leishmania*
329 parasitemia was observed at each rIL-2 cycle, which might have favored the progression of
330 HIV infection and possibly explains the reported progressive decline in CD4 T cell count
331 (83). In a BALB/c mouse model, IL-2 seemed to have a short protective effect against VL
332 only at the priming phase, without any lasting benefit (84). Such a phase-specific effect could
333 explain the lack of long-term clinical benefits. In general, the small therapeutic window,
334 critical dosage with potential high toxicity and challenging treatment conditions suggest IL-2
335 is an unlikely candidate for boosting immunity in VL-HIV coinfecting patients.

336 **3.4. Therapeutic vaccines**

337 Historically, leishmanization (inoculation with live parasites) was shown to have benefit for
338 protection against re-infection with cutaneous leishmaniasis (CL) and this evidence has driven
339 the search for an effective vaccine against VL (85). Besides prophylactic vaccine
340 development, various approaches employing therapeutic vaccines have been tested
341 experimentally and clinically; and currently resulted in three licensed vaccines for canine VL
342 but none for human VL (86). Therapeutic immunization with a first generation vaccine of
343 aluminum hydroxide precipitated autoclaved *L. major* (Alum-ALM) + Bacille Calmette-
344 Guérin (BCG) was found clinically effective in CL, mucocutaneous leishmaniasis and
345 persistent post-kala-azar dermal leishmaniasis (PKDL) cases, with studies progressing to
346 Phase III clinical trials (87-93), but application to VL has not been reported (62). Similarly,
347 LeishF1/F2 vaccine (alternatively called Leish-111f) a promising second generation (i.e.
348 recombinant protein) vaccine for CL, showed insufficient protection against VL in dogs (94).
349 A modified version of these second generation vaccines, called LeishF3, which

350 accommodated changes to enhance its efficacy against VL has been shown to be safe and
351 immunogenic in a Phase I trial in healthy human volunteers, but therapeutic trials in patients
352 have not been reported (Table 2) (95). A third generation (i.e. DNA-based), adenovirus
353 vaccine (ChAd63-KH) was designed to induce *Leishmania*-specific CD8⁺ T cells and aimed
354 at therapeutic use in VL/PKDL patients. It was shown to be safe and immunogenic in healthy
355 volunteers (96) and is currently in Phase II trial in persistent PKDL patients in Sudan.

356
357 A careful risk-benefit assessment needs to be made when considering therapeutic vaccination
358 against VL in HIV patients, with depressed immunity. Safety concerns surely exist, but
359 should not be overstated and should not impede evaluation of therapeutic VL vaccination
360 studies in virally-suppressed HIV patients as potential benefits can outweigh existing
361 theoretical risks. In essence, these patients have a higher risk of developing VL and are most
362 in need of an enhanced immune response upon VL development. Post-marketing trends
363 suggest that routinely used inactivated (non-VL) vaccines have similar safety profiles among
364 HIV-uninfected and HIV-infected persons on stable ART (97). Although data are still limited,
365 HIV-infected individuals who are on ART with well-controlled HIV RNA levels and CD4⁺ T
366 cell counts of >200 cells/ μ L (or \geq 15%) may even receive indicated live-virus vaccines (97).
367 In addition, modern post cART era studies did not indicate that vaccines are important
368 triggers of HIV replication or disease progression (98). With regard to efficacy, a highly
369 immunogenic vaccine will be needed, as well as detailed studies to define the optimal timing
370 and dosing for vaccination among those with advanced disease. Despite the concerns of
371 depressed immunity and sparse efficacy data for other types of vaccines, studies have clearly
372 demonstrated the protective benefit of influenza and *Streptococcus pneumoniae* vaccinations
373 even among advanced HIV patients. In summary, these data merit a concurrent evaluation of
374 therapeutic VL vaccines in coinfecting patients who are virologically suppressed at the time of
375 VL presentation.

376 4. Promising pipeline immunomodulatory molecules/interventions

377 While both the pharmacokinetics and pharmacodynamics of a drug, but also the nature of
378 drug-immune interactions in animals and humans may differ considerably, animal models
379 may still provide new clues to potential approaches. Here, we selected the most promising
380 molecules or interventions for their potential in an immunosuppressive environment of the
381 coinfecting individual and refer to recent review papers for a more extensive list (39, 44, 45,
382 86, 99). The formats discussed below are limited to active immunotherapy attempts including
383 non-antigen specific strategies such as cytokines that stimulate immunity or suppress the viral
384 replication; antibodies that block negative regulatory pathways; and indirect
385 immunomodulation (Figure 2). Antigen-specific strategies such as therapeutic vaccination and
386 adoptive strategies such as cell therapy are also briefly discussed. Whether the ~~se below listed~~
387 molecules listed below could serve as putative targets for human immunotherapy remains to
388 be demonstrated.

389 4.1. Non-antigen-specific strategies

390 The above listed clinical trials with cytokine-adjuvant chemotherapy were based on limited
391 data from experimental models of VL conducted in the 1990's. Our knowledge of immune
392 mechanisms has substantially expanded since ~~thenis time~~. For instance, IL-12, a pluripotent
393 cytokine that plays a central role in the initiation/maintenance of Th1 responses and
394 potentiates T cell IFN γ production, was shown to have similar effects as IFN γ in both CL and
395 VL when injected in mice (64, 100) as well as dogs (101) and human PBMC from treated
396 Sudanese VL patients (102). Likewise, IL-12 preconditioning of monkeys during acute SIV
397 infection markedly delayed disease progression (103). While rhIL-12 administration was
398 well-tolerated and safe, no evidence of improvement in HIV antigen-specific immune

399 response could be observed in a Phase I RCT (104). While this suggests that IL-12 therapy is
400 unlikely to provide major benefits in the chronic phase of an HIV infection, it might still be
401 valuable in the context of opportunistic infections that are best met with Th1-like effector
402 immune responses. In line with this, rIL-12 adjuvanted chemotherapy was successfully
403 evaluated for patients with Kaposi's sarcoma (105). In addition, it has been tested as part of a
404 combination therapy for cryptosporidiosis in two AIDS patients that demonstrated signs of a
405 brisk immune response and consequently symptomatic improvement, but with severe side
406 effects that outweighed the clinical benefits (106). Data on the role of IL-12 as an
407 immunotherapeutic agent or vaccine adjuvant for HIV coinfections could be promising and
408 merits further research, although potential broad side effects due to its pluripotent role should
409 be limited (e.g. tissue-targeted delivery, well-timed short boosting approach, etc.).
410 Unfortunately, the incorporation of IL-12 into larger vaccine trials has lagged, ~~in large~~
411 ~~part~~largely due to the early setback in a renal carcinoma Phase II trial. ~~However, even~~
412 ~~though~~ the mechanisms underlying the severe acute toxicities that led to two deaths and 12
413 hospitalizations have been ascribed to an inappropriate dose and administration schedule
414 (107).

415
416 Like IL-12, many chemokines or cytokines contributing to protection / pathogenesis of VL
417 are regulated during HIV coinfection. For instance, Th17 cells are highly depleted from the
418 gut in HIV-infected patients. Recent work in humans ~~has,~~ however, demonstrated the
419 importance of IL-17 and IL-22 in protection against VL progression from asymptomatic
420 infection to disease (49). ~~Interestingly, in addition,~~ elevated serum IL-27 concentrations
421 were linked to severity of VL. IL-27 seems to regulate the Th1/Th17 profiles in a *L. infantum*
422 mouse model of VL by suppressing the IL-17-induced neutrophil response (108). The IL-27-
423 Th17-IL-17 axis thus seems to be strongly involved in resistance against VL and merits
424 further therapeutic exploration, especially in HIV coinfecting patients with a Th-17-depleted
425 immune response.

426
427 Despite the central role of IL-7 cytokine therapy in HIV patients in the past, this molecule has
428 not been evaluated in VL-HIV coinfecting patients and remains under-investigated in
429 experimental models of VL (109). IL-7, like IL-2, has a critical role in peripheral T cell
430 homeostasis. IL-7 ~~has,~~ however, a more pleiotropic role and was shown to drive CD4⁺ T cell
431 restoration in HIV patients, even when HIV replication is controlled. It is also able to promote
432 Th1 responses, enhance memory T cell expansion (on top of naive T cell response) and
433 increase CD8⁺ T cell counts and cytotoxicity in HIV patients (42). Moreover, damage to
434 hepatocytes during full-blown VL may impair IL-7 production, as IL-7 is also produced by
435 liver cells in response to inflammation (110). Recombinant IL-7 administration thus has the
436 potential to safeguard the long-term survival of effector CD4⁺ T cells in response to persisting
437 parasites in a VL-HIV coinfection. However, in the ERAMUNE 01 RCT, rIL-7 and dual ART
438 intensification induced an amplification of the HIV reservoir in well-controlled HIV patients
439 (111). The authors reasoned that this was the result of the expansion of central memory CD4⁺
440 T cells, carrying HIV DNA, thus limiting this IL-7 based strategy. In the context of VL-HIV
441 coinfection, this strategy should only be considered if a pronounced clinical benefit to VL
442 treatment outweighs its potential negative effects.

443
444 Blocking the action of immune-suppressive factors could prove more efficient as it might
445 allow restoration of protective immunity in a more controlled manner. IL-10 correlates very
446 well with the parasite load during VL infection. Moreover, in animals, IL-10 blockade (by
447 means of anti-IL-10R or anti-IL-10 monoclonal antibody) has been proven successful in
448 lowering parasite burden when combined with conventional treatment in multiple studies in
449 mice (112, 113). These effects were confirmed in cultures of splenocytes or PBMCs from
450 Indian and Sudanese VL patients (102, 114). However, in immunodeficient mice treated with

451 anti-IL-10R monoclonal antibody, Murray *et al.* were not only able to show an acceleration of
452 Sb^V-associated killing, but ~~also they~~ reported a >10-fold Sb^V dose-sparing effect (115).
453 Despite the clinical and experimental data suggesting IL-10 as a key target in the
454 immunopathogenesis of VL, a clinical trial using a monoclonal antibody against IL-10 failed
455 to start following the decision of the company to stop its production (NCT01437020,
456 clinicaltrials.gov).

457
458 Increased serum IL-10 concentrations are also observed in HIV-infected patients with disease
459 progression, in contrast to non-progressing patients where levels were stable (116). In
460 addition, ART has a clear down-regulating effect on IL-10. On the other hand, increasing
461 evidence suggests that IL-10 impacts many aspects of HIV pathogenesis, including the
462 regulation of HIV-specific CD4⁺ and CD8⁺ T cell functions, as well as modulation of HIV
463 replication in PBMC subsets. Genetic polymorphisms in the IL-10 gene promoter that lead to
464 decreased IL-10 expression have been associated with more rapid disease progression in late
465 stages of HIV infection, suggesting that the anti-inflammatory effects of IL-10 may be solely
466 protective in the setting of chronic immune activation and blocking IL-10 function would
467 only make sense in an acute setting (117). When considering VL-HIV coinfection, these data
468 would advocate the blocking of excessive IL-10 levels during the acute stage of VL in HIV
469 patients (in particular pre-ART patients) to allow a beneficial acute response which should
470 however be time limited to retain the beneficial role of IL-10 in controlling side damage of
471 chronic HIV and parasitic infections. To reduce the unwanted side effects due to blockage of
472 normal, and beneficial, biological activities, novel IL-10 signaling inhibitors with for instance
473 shorter half-lives are first needed (118).

474
475 The concept of immune exhaustion and senescence as a stepwise and progressive loss of T
476 cell function and proliferative potential, respectively, and evolving to complete T cell
477 unresponsiveness has been robustly discussed in the context of HIV infection (119). The
478 driving force is believed to be chronic antigen exposure and consequently extensive non-
479 specific immune activation. Increased immune activation in patients on long-term suppressive
480 cART has been associated with increased mortality, the occurrence of non-AIDS-defining
481 conditions, and a poorer recovery in CD4⁺ T cell count (120, 121). Similarly, increased levels
482 of Programmed death-ligand 1 (PD-L1) expression on monocytes, B cells and T cells from
483 untreated HIV patients correlated directly with plasma viral load and inversely with CD4⁺ T
484 cell count (122). This mechanism could partly explain the disappointing long-term effects of
485 IL-2 therapy in HIV patients, as IL-2 was recently shown to upregulate the PD1-PD-L1/L2
486 pathway (123). While the causative factors of immune exhaustion or senescence are not
487 completely understood, chronic immune activation, residual HIV-replication and coinfections
488 are likely main drivers of this process. Recent studies have also focused on the role of this
489 process in the context of VL and other parasitic infections, showing an accelerated T cell
490 senescence during VL infection (124). Likewise, a parasite-induced T cell anergy has been
491 proposed (124). Hence, a modulatory approach to reverse this process or temporarily breaking
492 the regulatory feedback loop using antibody therapies targeting PD-1, CTLA-4 or its ligands
493 could prove efficient in coinfecting individuals with a potential double-driven T cell
494 unresponsiveness. Such an approach to reverse the reported T cell unresponsiveness has
495 proved very effective in experimental VL (57, 125-127). In SIV-infected rhesus macaques,
496 anti-PD-1 (in the absence of ART) was shown to enhance virus-specific CD8⁺ T cell activity,
497 to reduce viral load and to prolong survival (46). Similarly, anti-PD-L1 antibody therapy
498 showed promising in a recent Phase I RCT on 6 ART patients, arguing in favor of its potential
499 use in virologically-suppressed VL-HIV patients (128). Recently, the major HIV cell reservoir
500 was shown to be composed of PD-1⁺ CD4⁺ memory T cells, suggesting an additional positive
501 effect of anti-PD-1 therapy to combat the concomitant HIV infection (129).

502 **4.2. Antigen-specific and adoptive strategies**

503 There are multiple studies in which diverse antigens and adjuvants showed promising results
504 as immunoprophylactic or therapeutic tools in animal models of VL, recently summarized in a
505 review by Jain and Jain (86). Apart from the current clinically explored strategies and the
506 safety/efficacy concerns in HIV patients (see above), a promising approach would be to
507 vaccinate with a non-pathogenic *L. tarentolae* strain, genetically modified to improve its
508 immunogenic potential as a live vaccine (130). Likewise, a novel third generation T cell
509 epitope-enriched DNA vaccine (LEISHDNAVAX) showed significant efficacy when co-
510 administered with a single dose of AmBisome in *L. donovani*-infected mice (131). The
511 vaccine is based on minimalistic immunogenically defined gene expression (MIDGE) vectors
512 encoding five conserved antigens developed for efficient induction of Th1 immune responses.
513 This candidate vaccine has yet to enter clinical Phase I trials.

514
515 Another cutting-edge approach to induce antigen-specific T cell immunity is dendritic cell-
516 based immunotherapy (99, 132). While macrophages are one destination of *Leishmania*
517 parasites in the human host, dendritic cells can also harbor parasites, but in addition present
518 antigen and regulate immune mechanism governing control or progression of infection.
519 Adoptive transfer of dendritic cells primed with different kinds of *Leishmania* antigens has
520 been shown very effective in murine VL, improving both cellular and humoral immunity
521 (132). Compared to the modest efficacy of immune therapy and therapeutic vaccines against
522 HIV infection, *ex vivo* generated dendritic cell therapeutic vaccines aimed at inducing
523 effective HIV-specific immune responses have yielded the best results in this field (133). The
524 outcomes of monocyte-derived dendritic cell based therapeutic vaccines still needs
525 optimization as functional cure was not reached and most patients needed to restart ART, but
526 this method could provide a strong immunogenic window for concomitant VL-targeted
527 therapy of coinfecting individuals. Due to high costs and required state-of-the-art equipment,
528 adoptive cell transfer therapy may prove difficult to implement in low-resource settings of
529 disease endemic countries.

530 **4.3. Indirect strategies**

531 An alternative approach is to indirectly stimulate host immunity to optimize protection against
532 infection. Such indirect immunomodulators can be obtained by many different types of
533 substances, including natural products that have immunomodulatory activity. Such
534 immunomodulators, however, carry the risk of inducing excessive immunopathology and side
535 effects. Many compounds have been evaluated in VL animal studies over the years, including
536 CpG oligodeoxynucleotides, acetyl salicylic acid (ASA) and L-arginine (99). Most of these
537 molecules increase T cell activation through enhanced antigen presentation by costimulation-
538 based therapy or acting on Toll-like receptors (TLRs) (e.g. TLR4/GP29 or MPL; TLR2/Ara-
539 LAM or Pam3Cys). This could be particularly beneficial in HIV coinfecting patients, as TLR-
540 agonists such as TLR7 or TLR9 agonists have shown reduction of viral DNA or the viral
541 reservoir and enhancement of HIV-specific CD8⁺ T cell immunity in experimental and human
542 HIV (134, 135). Whether such a multi-TLR targeting approach would benefit human VL-HIV
543 patients remains unclear and merits further research.

544
545 In a similar manner, it has been suggested that TLR4 and TLR9, two TLRs contributing to the
546 immune response against *Leishmania* infection, play a role in the anti-leishmanial mechanism
547 of miltefosine (136). An alternative strategy, could thus be to concurrently capitalize on the
548 indirect immunological effects of the combined anti-leishmanial drug in a immuno-
549 chemotherapeutic approach. The relevance and impact of these immunomodulatory actions of
550 current anti-leishmanials in HIV coinfecting VL patients remains to be determined. Besides a
551 direct mechanism of action, anti-leishmanials can increase nitric oxide and reactive oxygen

552 species production due to activation of infected macrophages, leading to elimination of the
553 parasite. This indirect activation of macrophages has been shown for amphotericin B (137),
554 miltefosine (138), antimonials (139), and paromomycin (140). Induction of macrophage-
555 derived cytokine release promoting a Th1 response (IL-2, IL-12, IFN γ) has been noted for all
556 conventional anti-leishmanials such as amphotericin B (137, 141), miltefosine (138, 141),
557 paromomycin (141), and sodium stibogluconate (139, 141), even though contradictory results
558 have been reported, e.g. for miltefosine (142). Related to this, miltefosine restored IFN γ
559 responsiveness in *Leishmania*-infected macrophages (138). Another immunostimulatory
560 property contributing to anti-leishmanial activity is a drug-induced increase in macrophage
561 membrane fluidity, ameliorating defects in antigen-presentation and enhancing T cell
562 stimulation. This has been shown after exposure of infected host cells to higher concentrations
563 of miltefosine, paromomycin and sodium stibogluconate (141). For both antimonials (143)
564 and miltefosine (144), it has been shown that they increase the phagocytic capacity of
565 monocytes and macrophages. There are currently no data available whether all these effects
566 are clinically relevant in terms of short-term treatment response, relapse, final cure, and the
567 risk of development of PKDL. Despite the current lack of data on clinical relevance, these
568 background effects should be taken into consideration in future combined
569 immunochemotherapeutic strategies to incite an effective synergistic effect. The general lack
570 of response to anti-leishmanial treatment in HIV coinfecting patients and the relevance of
571 concomitant cART for the efficacy of current anti-leishmanials possibly indicate that these
572 indirect effects are not negligible for a therapeutic response.

573 5. **Concluding Perspectives**

574 Despite the growing research in immunotherapy against VL (partly reviewed above), no
575 immunotherapeutic approach has yet been licensed for use in human VL. HIV coinfecting
576 patient groups, in particular, are often excluded from the above described clinical intervention
577 studies due to the presumed hazards and challenging logistics. Although a vulnerable
578 population, we would argue that VL-HIV patients should be considered as a relevant target
579 group for an immunomodulatory approach against VL due to an intensified defect in T cell
580 immunity, dependence of current anti-leishmanial drugs on the latter, inadequate treatment
581 outcomes and higher chronicity of the parasitic infection with frequent relapse. In addition,
582 HIV-targeted immunomodulatory approaches, despite their drawbacks to achieve long-term
583 functional cure in HIV patients, might find a temporarily window of opportunity in
584 opportunistic coinfections such as VL, where cART alone is not able to restore protective
585 immunity. The challenge, however, of immunomodulatory therapy in VL-HIV coinfecting
586 patients is boosting effective VL-specific T cell responses while avoiding activation of latent
587 provirus and inappropriate immune activation (in virologically-suppressed ART patients) or
588 HIV recrudescence and increased HIV-susceptibility of target cells (in unstable HIV/AIDS
589 patients). Clinical ~~studies-trials~~ are a necessity to study treatment effects, due to the lack of
590 good animal or in vitro models mimicking VL-HIV coinfection.

591
592 In Figure 2, we summarized the discussed interventions against VL and highlighted those that
593 have also been clinically evaluated in the context of HIV. Evidence is lacking to prioritize a
594 target molecule, but attempts at immunotherapy in VL-HIV patients should best be performed
595 in ART patients with a recovered immune system. Appropriate adjuvants can be included to
596 enhance the efficacy of the response, but caution should be taken to avoid excessive and
597 broad immune activation. The following perspectives are best taken into consideration when
598 designing or evaluating an immunomodulatory approach in VL-HIV coinfecting patients:

599
600 **COMBINATION STRATEGIES** - As current anti-leishmanial drugs are highly dependent
601 on host immunity, it is recommended to potentiate chemotherapeutic agents with various
602 immunomodulators in HIV coinfecting patients. While the increment in immunocompetent

603 patients could be potentially low, HIV coinfecting patients are probably in more need of a
604 boost in effective T cell immunity against VL to decrease the high mortality and treatment
605 failure rates typically observed in coinfecting patients.

606
607 The current clinically explored techniques of single cytokine-adjuvanted therapy in VL have
608 the inherent danger of a very pluripotent effect in HIV coinfecting patients, due to the
609 intricacies of cytokine networks, and may unpredictably impact the delicate balance between
610 beneficial VL-specific responses and deleterious immune activation. Future therapeutic use of
611 broad immunomodulators will most likely lead to unwanted side effects in coinfecting patients
612 until a system-level understanding of their mode of action is available and thus a more
613 selective and well timed approach can be performed (145). However, they could potentially
614 prove valuable as a well-timed adjuvant in a more targeted immunomodulatory approach.
615

616 The other clinically explored strategy in VL is therapeutic vaccination. However, as T cell
617 senescence and exhaustion could have occurred by persistent HIV replication, further
618 stimulating effector-memory T cells could be futile or even harmful in VL-HIV patients.
619 Perhaps a concurrent strategy to reverse this T cell exhaustion (e.g. anti-PD-1 therapy) could
620 increase vaccine efficacy. It remains to be seen whether VL-based therapeutic vaccines
621 deployed in HIV coinfecting patients are safe and whether a strong enough response can be
622 induced against VL. In severely CD4⁺ depleted patients in particular, a concurrent need may
623 be to first encourage immune reconstitution before vaccination. Combination strategies of
624 diverse immunomodulators and drugs will thus be crucial in these patients to reach an
625 effective treatment, perhaps with a more individualized approach.
626

627 **STRATIFICATION** – Among patients with tuberculous meningitis, different inflammatory
628 patterns governed by host genetics are recognized, converging on dysregulated levels of TNF.
629 At one end of the extreme, a hyper inflammatory phenotype was shown to benefit from
630 steroid administration; at the other end, where inflammation is inadequate, other immuno-
631 modulatory interventions would be required (146). In a similar manner, subgroup analyses in
632 HIV-associated cryptococcal meningitis suggested that the greatest benefit of a short-course
633 IFN γ adjuvant therapy was gained among patients with a lack of Cryptococcus-specific
634 IFN γ /TNF CD4⁺ T cell responses (147). In most settings, VL-HIV coinfecting individuals will
635 also be (severely) malnourished upon VL diagnosis, and micro- and macro-nutrient deficiency
636 can have profound immunological effects. These alterations could critically affect the efficacy
637 of any immunomodulatory interventions, yet may also provide opportunities for
638 complementary interventions. We therefore argue that there is a need to assess immune risk
639 profiles based on functional T cell assays, RNA signatures and other parameters that identify
640 patients that are more likely to benefit from immune adjuvanted therapy, across the
641 heterogeneous group of VL-HIV patients.
642

643 **TIMING** – VL-HIV coinfection is a dynamic process with diverse stages of infection and
644 regardless of choice of immunomodulatory intervention, timing will be critical to success. For
645 instance, high IL-17 levels appeared protective for early VL progression, but its role is still
646 debatable in chronic infection. The optimal timing of immunotherapy among HIV coinfecting
647 adults in regard to HIV stage and receipt of antiretroviral therapy also remain important
648 unanswered questions. Most benefit is probably to be gained in early stages of HIV infection
649 as well as in under-therapy suppressed patients, who are able to effectively respond to
650 immunomodulators. Therefore, we would argue for a primary evaluation of novel approaches
651 in stable ART patients that have a somewhat reconstituted CD4⁺ T cell immunity and
652 suppressed viral load, including frequent monitoring of blips in viral load and CD4⁺ T cell
653 count. It remains to be investigated whether HIV patients with a severe suppression in T cell

654 immunity are also able to respond to immune stimulators or whether virological suppression
655 first has to be prioritized to enable T cell responsiveness.

656
657 **TARGETED STRATEGIES** – The delivery system is also an important part of an immune
658 based strategy and implementation of various novel approaches based on liposomes,
659 electroporation, dendrimers, carbon nanotubes etc. can boost efficacy (86). For instance, as an
660 alternative for broad cytokine adjuvants, more effective and tolerable approaches are being
661 explored like encapsulation in micro or nanoparticles, restricting the delivery to APCs and/or
662 the co-delivery with another immunomodulatory molecule via transducing vectors. Similar
663 techniques such as microRNA or small interference RNA based therapy could be explored,
664 but these novel drugs will be most likely unaffordable in most countries where the disease is
665 endemic.

666
667 **ACCESSIBILITY** – The target population is largely living in very rural and/or poor areas,
668 where a highly controlled clinical trial setting can be challenging and costly to implement. It
669 will be imperative to strengthen human and infrastructural capacity in disease endemic areas
670 to ensure a sustainable base for immunotherapeutic research and to assess safety and efficacy
671 of novel interventions. Moreover, designed therapeutics should become affordable and
672 accessible to the patient population, suggesting innovative low-resource-demanding methods
673 ideally without the need of a cold chain.

674
675
676 **CONCLUSION** - To advance the development of immunomodulatory approaches for VL-
677 HIV coinfection, a more detailed account of the immunological status induced by the
678 coinfection and surrogate markers of cure and protection are still required, as a forerunner to
679 inclusion of such patients in clinical intervention studies. The main limitation for
680 comprehensive immunological research is, however, the need for human samples of
681 longitudinal studies and trials in (often very remote) low-resource settings. With more
682 research aimed at discovering key synergistic pathways of immune cell cross-talk and
683 renewed efforts to translate these findings into effective treatment modalities that target
684 *Leishmania* without promoting HIV replication, the goal of improved patient outcome and
685 clinical management of this neglected population may be achievable.

686

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688

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690

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In review

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Figures and Tables

Table 1. The main drugs currently used for treatment of visceral leishmaniasis, adapted from (5)

Drug	Toxicity	Main limitations
Pentavalent Antimonials (Sb ^V)	Frequent, potentially severe <ul style="list-style-type: none"> - Pancreatitis - Cardiotoxicity - Nephrotoxicity - Hepatotoxicity 	Toxicity (high mortality in HIV coinfecting African patients) Painful injection (im) Length of treatment Resistance in India
Conventional Amphotericin B deoxycholate	Frequent infusion-related reactions <ul style="list-style-type: none"> - Nephrotoxicity - hypokalemia 	Lengthy hospitalization (in-patient care) Slow iv infusion Nephrotoxicity
Liposomal Amphotericin B (AmBisome)	Uncommon and mild <ul style="list-style-type: none"> - Nephrotoxicity (limited) 	High price Slow iv infusion Heat instability (<25° C) Accessibility Single dose not effective in East Africa
Miltefosine	Common, usually mild and transient <ul style="list-style-type: none"> - Gastro-intestinal - Hepatotoxicity 	Relatively limited efficacy data in East Africa Possibly teratogenic Potential for resistance ^b Patient compliance (oral drug) High price
Paromomycin Sulphate (aminosidine)	Common <ul style="list-style-type: none"> - Ototoxicity - Nephrotoxicity - Hepatotoxicity 	Toxicity (Oto- and nephrotoxicity) Resistance readily obtained in lab isolates Efficacy variable between and within regions (less in Sudan)
Pentamidine	Common <ul style="list-style-type: none"> - Gastro-intestinal - Cardiotoxicity - Pancreatitis - (ir)reversible diabetes mellitus 	Low efficacy Toxicity (diabetes, renal failure) Length of treatment

^b Due to long half-life + low genetic barrier (resistance readily obtained in lab isolates)
iv: intravenous injection; im: intramuscular injection

Table 2. Published clinical reports on the use of immuno(chemo)therapy against VL and VL-HIV

Ref	Country; year; design	Patient characteristics	Chemo agent	Immuno agent	Outcome (EOT)	Comments
VISCERAL LEISHMANIASIS MONO-INFECTION						
(148)	Brazil; 1990; case series	[1] SSG-unresponsive VL (n=8); <18 years (8/8); Mean age: 6.5 years	SSG 20 mg/kg	IFNγ (100-400 μ g/m ² for 10-40 days)	6/8 cured EOT (75%) No relapse during study period	<i>Higher cure rates in both groups compared to historical controls Tolerability acceptable (fever)</i>
		[2] Severely ill primary VL (n=9) <18 years (8/9) Mean age: 9.8 years	SSG 20 mg/kg	IFNγ (100-400 μ g/m ² for 10-40 days)	8/9 cured EOT (89%) No relapse during study period	
(149)	Brazil; 1993; case series	[1] Primary VL (n=8) Predominantly children Median age: 5 years	SSG 20 mg/kg	IFNγ (100-400 μ g/m ² for 10-40 days)	8/8 cured EOT Cure 12M: 8/8 (100%) 1/8 relapsed 12/14 cured	<i>Both groups: more severe cases than in 1990</i>
		[2] SSG-unresponsive refractory VL (n=14) Median age: 4 years	SSG 20 mg/kg	IFNγ (100-400 μ g/m ² for 10-40 days)	Cure 12M: 9/14 (64%) 6/12 relapsed	
(150)	Kenya; 1993; RCT	[1] Primary VL (n=10) <18 years: 7/10	SSG 20 mg/kg	IFNγ (100 μ g/m ² every two days - 30 days)	24/24 cured EOT Week 1: 50% cured Week 2: 75% cured Week 4: 100% cured	<i>Control group included no relapse cases a non-significant accelerated response with SSG + IFNγ</i>
		[2] Primary VL (n=14) <18 years: 11/14	SSG 20mg/kg	/	Week 1: 22% cured Week 2: 58% cured Week 4: 88% cured	
(73)	Brazil; 1994; RCT	[1] 10 neutropenic primary VL	SSG 10-20 mg/kg for 10 days	GM-CSF (5mg/kg for 10 days)	Cure M3: 100%	<i>Study focused on hematological evaluation and secondary infections</i>
		[2] 10 neutropenic primary VL	SSG 10-20 mg/kg for 10 days	Placebo	Cure M3: 100%	<i>Secondary infections occurred in 3 GM-CSF and in 8 placebo recipients</i>

(151)	India; 1995; RCT	[1] Primary VL (n=16) Mean age 21 years (range 6-52)	SSG 20 mg/kg for 20-30 days	IFNγ (100 μ g/m ²)	Cure D10: 10/15 (63%) Cure D20: 14/15 (93%) Cure D30: 15/15 (100%) Cure M6: 13/15 (87%)	<i>D10 and D20 difference statistically significant</i> <i>No relapse up to M24</i>
		[2] Primary VL (n=15) Mean age 27 years (range 5-58)	SSG 20 mg/kg for 20-30 days	/	Cure D10: 1/15 (7%) Cure D20: 6/15 (40%) Cure D30: 11/15 (73%) Cure M6: 9/15 (60%)	<i>Treatment was discontinued early in the 14 IFNγ treated responders after D20</i>
(65)	India, 1997	[1] Primary VL (n=52) Mean age 20 years; 60% male	SSG 20 mg/kg for 30 days	IFNγ (100 μ g/m ² for 30 days)	Cure (EOT): 25/47 Relapse: 1 6M cure: 24/49 (49%)	<i>High failure rate with standard therapy (SSG-resistance?)</i> <i>Differences not statistically significant</i>
		[2] Primary VL (n=52) Mean age 18 years; 58% male	SSG 20 mg/kg for 30 days	IFNγ (100 μ g/m ² for 15 days)	Cure (EOT): 22/50 Relapse: 1 6M: 21/50 (42%)	
		[3] Primary VL (n=52) Mean age 20 years; 69% male	SSG 20 mg/kg for 30 days	/	Cure (EOT): 20/48 Relapse: 2 6M cure: 18/50 (36%)	
(95)	USA, 2012, Phase I RCT	[1] Healthy volunteers (n=12)	/	Leish F3 (20ug) + GLA-SE (5ug)	Safe and immunogenic D84: 10/10	<i>Subunit vaccine: single recombinant fusion protein of 2 preserved proteins</i>
		[2] Healthy volunteers (n=12)	/	Leish F3 (20ug) + GLA-SE (2ug)	Safe and immunogenic D84: 8/8	
		[3] Healthy volunteers (n=12)	/	Leish F3 (20ug)	Safe and immunogenic D84: 9/9	
(96)	UK, 2016, Phase I trial	[1] Healthy volunteers (n=20) n=5 low dose n=15 high dose	/	Ch1d63-KH (1x10¹⁰ vp or 7.5x10¹⁰ vp)	Safe and immunogenic D90: 20/20	<i>Adenovirus vector encoding 2 Leishmania proteins</i> <i>Dose escalation study</i>
HIV & VISCERAL LEISHMANIASIS COINFECTION						
(68)	CASE REPORT; 1990	Full blown AIDS patient with recurrent VL 19y old Algerian male	Meglumine antimoniate (dose unknown)	IFNγ (175 μ g/d iv or sc for 21 days)	1 relapse treated Resistance to antimoniate	

			Pentamidine (2 mg/kg iv 3 times/w, 1w/mo)	IFNγ (175 μ g/d sc 3 times/w, 1w/mo)	3 relapses treated Cure 6M: Only two mild relapses with minimal adverse events
(67)	CASE REPORT; 1993	Three full blown AIDS patients	Meglumine antimoniate (dose unknown)	IFNγ (dose unknown)	Clinical improvement Reduction in parasite burden
(70)	CASE REPORT; 1994	Full blown AIDS patient with KS 40y old German male	SSG (dose unknown)	IFNγ (dose unknown)	Aggravated Kaposi syndrome (KS)
(79)	CASE REPORT; 2004	Primary VL 37y old Italian male CD4 <50 mcl On ART	Amphotericin B (4mg/kg for 5 days + 5 non-consequent days)	GM-CSF (150 mcg/twice a week for 12 weeks)	Dramatic Clinical improvement No adverse event
(83)	CASE REPORT; 2007	Unresponsive VL 36y old Italian woman CD4: 98 cells/ μ l On ART	Amphotericin B (between every cycle)	IL-2 (twice/day for 5 days – 7cycles every 4-8 weeks (cycle 1-4: 3MIU; cycle 5-7: 6MIU)	No benefit Increase in <i>Leishmania</i> DNA

IFN: interferon; SSG: sodium stibogluconate; VL: visceral leishmaniasis; EOT: End of Treatment; M: month

Figure 1: Current views on synergistic mechanisms in T cell immunity against VL due to HIV coinfection inciting persistent viral and parasite replication in VL-HIV coinfecting patients.

APC: antigen presenting cell; Th: T-helper; GALT: gut-associated lymphoid tissue; CTL: cytotoxic T cell; IL: Interleukin; ART: antiretroviral therapy; IFN: interferon; LPS: lipopolysaccharide; TNF: Tumor necrosis factor

Figure 2: Overview of described clinical and preclinical immunomodulatory interventions in human visceral leishmaniasis and their application in (VL)-HIV (co)infection.

IL: interleukin; IFN: interferon; PD-(L)1: programmed cell death-(ligand)1; GM-CSF: Granulocyte macrophage colony stimulating factor; CTLA: Cytotoxic T lymphocyte-associated molecule; CD: Cluster of differentiation ; BCG: Bacillus Calmette-Guérin; Alu-ALM: aluminum hydroxide precipitated autoclaved L. major; DC: dendritic cell; GP: Glycoprotein; Ara-LAM: Arabinosylated lipoarabinomannan; Pam3Cys: synthetic bacterial lipopeptide; CpG Odn: CpG oligodeoxynucleotides; ASA: Acetyl Salicylic Acid; MPL: monophosphoryl lipid

In review

Figure 1.JPEG

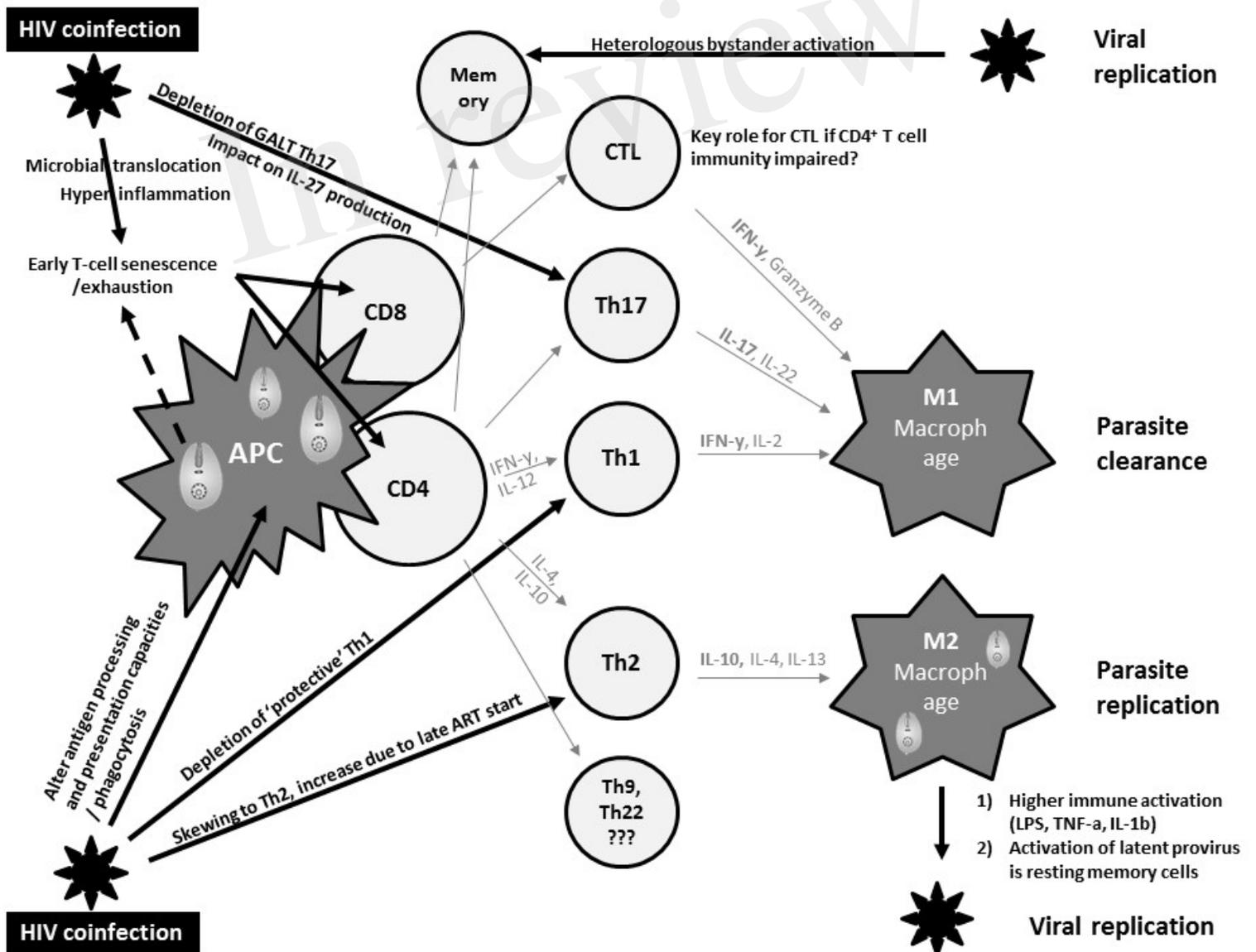


Figure 2.JPEG

