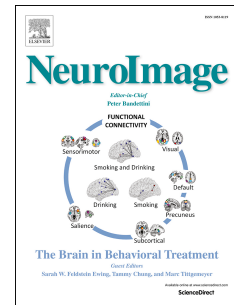


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Interocular interaction of contrast and luminance signals in human primary visual cortex

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4 **Interocular interaction of contrast and luminance signals in human primary visual cortex**
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1. Abstract

Interocular interaction in the visual system occurs under dichoptic conditions when contrast and luminance are imbalanced between the eyes. Human psychophysical investigations suggest that interocular interaction can be explained by a contrast normalization model. However, the neural processes that underlie such interactions are still unresolved. We set out to assess, for the first time, the proposed normalization model of interocular contrast interactions using magnetoencephalography and to extend this model to incorporate interactions based on interocular luminance differences. We used magnetoencephalography to record steady-state visual evoked responses (SSVER), and functional magnetic resonance imaging (fMRI) to obtain individual retinotopic maps that we used in combination with MEG source imaging in healthy participants. Binary noise stimuli were presented in monocular or dichoptic viewing and were frequency-tagged at 4 and 6 Hz. The contrast of the stimuli was modulated in a range between 0 to 32%. Monocularly, we reduced the luminance by placing a 1.5 ND filter over one eye in the maximal contrast condition. This ND filter reduces the mean light level by a factor of 30 without any alteration to the physical contrast.

We observed in visual area V1 a monotonic increase in the magnitude of SSVERs with changes in contrast from 0 to 32%. For both eyes, dichoptic masking induced a decrease in SSVER signal power. This power decrease was well explained by the normalization model. Reducing mean luminance delayed monocular processing by approximately 38 ms in V1. The reduced luminance also decreased the masking ability of the eye under the filter. Predictions based on a temporal filtering model for the interocular luminance difference prior to the model's binocular combination stage were incorporated to update the normalization model. Our results demonstrate that the signals resulting from different contrast or luminance stimulation of the two eyes are combined in a way that can be explained by an interocular normalization model.

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2. Introduction

Visual neurons have a limited dynamic range. To ensure the optimal transduction of contrast signals there is a need to ensure that the responsiveness is set about the prevailing contrast conditions. This is achieved by a normalization (Heeger 1991; Carandini and Heeger, 1994) where the contrast response of a particular neuron is divided by the sum of the contrast responses of neighboring neurons. One consequence of this behavior is that the response to a stimulus is reduced by the presence of another overlaid stimulus, referred to as masking. These effects have been well documented in the human psychophysics literature (Legge and Foley, 1980; Foley 1994) and in many studies of the animal visual system (Cavanaugh et al., 2002). They are also well described by gain control models (Carandini and Heeger, 1994; Busse et al., 2009; Reynaud et al., 2012). Human electrophysiological studies have further developed our understanding of monocular masking as a result of signal normalization and provided insights into its dynamics (Tsai et al., 2012). A similar issue is involved with the combination of left and right eye contrast responses and there is a psychophysical literature on normalization models to describe it (Legge 1984, Ding and Sperling, 2006, Meese et al., 2006). Evidence from functional magnetic resonance imaging (fMRI) data suggests a type of normalization in which the signals from each eye contribute to a normalization of both eyes, so called interocular normalization (Moradi and Heeger, 2009).

In this work, we further examined how the contrast responses between the two eyes interact and how this interaction is altered when one eye is exposed to a different mean luminance, a condition that we argue alters the temporal filtering properties of the visual system (Reynaud et al., 2013). We use a novel steady-state visually evoked response (SSVER) magnetoencephalography protocol combined with a time-resolved

84 neuroimaging approach. This MEG approach uniquely identifies left and right-eye signals (Norcia et al.,
85 2015) and allows an independent examination of the important issue of how signals are combined between
86 the two eyes.

87 We addressed the following key questions regarding binocular processing: 1. Can dichoptic interactions be
88 assessed with MEG and if so, do current interocular normalization models (Ding and Sperling, 2006; Meese
89 et al., 2006; Moradi and Heeger, 2009) provide adequate prediction of such interactions at various contrasts
90 of target and mask? 2. How does the effect of interocular differences in luminance compare to that of
91 interocular differences in contrast at monocular and dichoptic levels, and can this be incorporated into a
92 interocular normalization model? To the best of our knowledge, no previous study has addressed this
93 issue.

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100 **3. Methods**

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102 **3.1 Participants**

103 Five male participants (mean age 31.4 +/- 4.9 years) volunteered for the contrast modulation experiment.
104 Seven participants (1 female, mean age: 29.7 +/- 6 years old) took part in the luminance modulation study.
105 All had normal vision. All participants provided signed informed consent following the procedure
106 approved by the Research Ethics Board of the Montreal Neurological Institute, consistent with the
107 Declaration of Helsinki. One volunteer was later excluded from the luminance modulation study due to
108 head movement artifacts in the collected data; full data analysis was therefore performed on six subjects
109 for that experiment.

110

111 **3.2 Stimuli**

112 The experimental presentation was coded in the Psychophysics toolbox (Brainard, 1997; Pelli, 1997) in
 113 Matlab. Before running the current experiment, we performed a pilot study on one of our participants
 114 aiming to select the best stimulus to demonstrate monocular response as well as the masking ability at
 115 various contrast levels. The results of that pilot investigation are presented in Supplementary Figure 1.
 116 Based on the obtained results, we selected our stimulus to be a checkerboard pattern of binary noise with a
 117 box size of 10 pixels that translated into 0.1 degrees of visual angle (Figure 1a). The contrast calculations
 118 were expressed as Michelson contrast units expressed as a percentage. The visual stimuli were presented
 119 dichoptically; the contrast to each eye could be varied independently. The steady-state visually evoked
 120 response paradigm was adapted from Norcia et al. (2015) with a temporally contrast modulated stimulus
 121 (onset/offset mode) at frequencies of 4 Hz and 6 Hz (Figure 1A). The stimuli occupied 8 degrees in the
 122 visual field. The trial duration was 4 seconds, with a 1.5-second inter-trial interval. A fixation cross was
 123 placed at the center of the visual field at all times. We used a 60-Hz refresh rate gamma-corrected passive
 124 3D LCD LG D2342P monitor (23'', 1920 X 1080, active area 509 X 290 mm). The monitor was viewed with
 125 polarized glasses to enable dichoptic stimulation, hence odd and even scan lines were displayed to each
 126 eye and blocked to the other eye respectively. This induced a decrease to about 40% of the initial monitor
 127 luminance, resulting in a viewed mean luminance of 47 cd/m² through the polarizers. The screen was
 128 placed 170 cm from the observer in a dark magnetically shielded MEG room.

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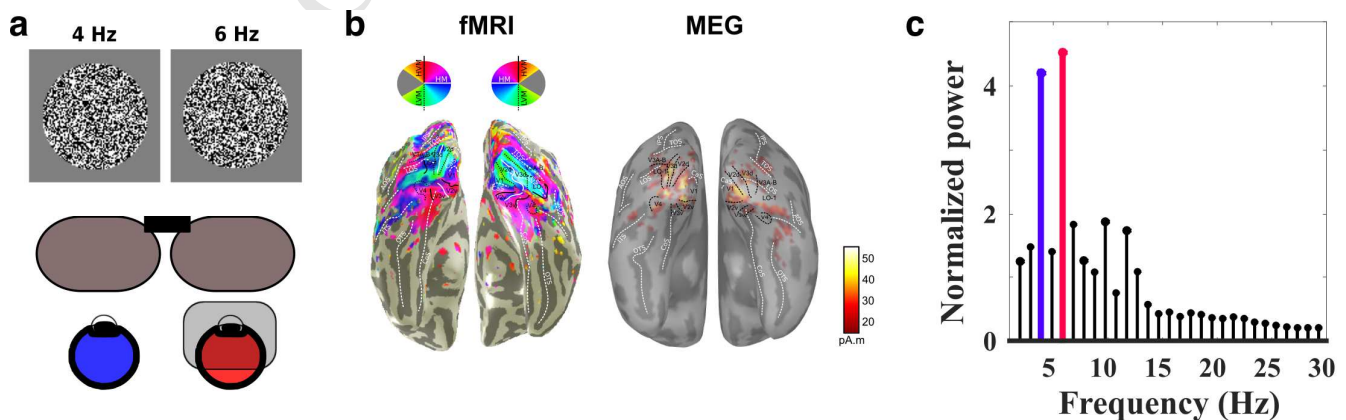
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139 **Figure1. Illustrations of experimental setup and methods.**

140 a. Binary noise pattern was projected at flicker frequencies of 4 and 6 Hz to non-dominant and
141 dominant eyes accordingly. The stimulus was shown on a 3D LG monitor and viewed through a
142 pair of LG polarizers. During the reduced luminance trials, a 1.5 ND filter was applied to the
143 dominant eye (6 Hz eye).

144 b. The regions of interests (left and right V1) were extracted from individually obtained fMRI
145 retinotopy maps.

146 c. Sample power spectrum density of the response to the dichoptic stimulation in V1. The
147 fundamental frequencies are shown in color (4 Hz in blue and 6Hz in red).

148

149

150 3.3 Procedure

151 Data collection consisted of two sessions, recorded separately: Session 1 followed a contrast variation
152 protocol with a fixed mean luminance; Session 2 consisted of a luminance variation protocol with fixed
153 contrast.

154 The contrast modulation experiment (Session 1) consisted of five blocks of trials, each comprising 10
155 repetitions of each of 10 tested conditions. The 10 different conditions consisted of 5 contrasts (0%, 4%, 8%,
156 16% and 32%) presented monocularly (i.e. with 0% contrast mean gray shown to the other eye) and under
157 the dichoptic mask of 32% contrast. The fixed contrast mask was projected to the dominant eye (left eye for
158 3 participants) and was tagged at 6Hz, whereas the stimulus with a condition-dependent contrast was
159 tagged at 4Hz. The condition with 0% contrast in both eyes (blank condition) was later used for
160 normalizing the data for each participant.

161

162 The luminance modulation experiment (Session 2) consisted of six trial blocks: 3 with normal luminance
163 and 3 with monocularly reduced luminance. The blocks were randomly interleaved between participants
164 and lasted 10 minutes each. Luminance reduction was achieved by placing a 1.5 ND filter in front of the
165 right eye. The stimulus to the right eye was tagged at 6Hz. Each block included 20 repetitions of 4

166 conditions tested: 0% contrast to both eyes (blank), 32% contrast in the right eye (monocular right), 32%
167 contrast in the left eye (monocular left), 32% contrast in both eyes (dichoptic) randomly ordered, for a total
168 of 60 repetitions for each condition. Subjects were instructed to maintain fixation, looking at a central
169 crosshair.

170

171 *3.4 MEG data acquisition*

172 All recordings started with a 2-minute MEG noise recording, to capture daily environmental noise statistics
173 (sample data covariance across MEG channels) that were later used for MEG source modeling.

174 MEG data were collected using a CTF OMEGA System with 275 axial gradiometers, inside a 3-layer
175 magnetically shielded room. A Polhemus Isotrak system was used to digitize the participants'
176 fiducial landmarks (nasion and pre-auricular points) and head shape, using approximately 60 face and
177 scalp points. Three head position indicator coils were fixed to the participants' head and referenced to the
178 other digitized landmarks, to localize the head's position with the MEG system at the beginning of each
179 block. Two EOG electrodes aimed at recording the eye blinks and saccades were placed above and below
180 the left eye. Two electrodes were placed across the plane of the chest to collect electrocardiographic (ECG)
181 signals. Data were initially sampled at 2.4 kHz.

182

183 *3.5 Individual retinotopic atlas from fMRI*

184 MEG source analyses were constrained to each participant's anatomy and retinotopically (functionally)
185 defined regions of interest (ROIs). These ROIs were obtained from fMRI data of the same participants for
186 other studies (Figure 1b, Clavagnier et al., 2015). Volume segmentation of structural T1 MRI was
187 performed with Freesurfer (<http://surfer.nmr.mgh.harvard.edu/>). We used the methods described in
188 Dumoulin and Wandell (2008) and Clavagnier et al. (2015) to derive the population receptive fields from
189 our fMRI data. This analysis was performed in mrVista
190 (http://white.stanford.edu/newlm/index.php/Main_Page). The borders of cortical visual area V1 were
191 identified for every subject based on the location of the visual meridians (Engel et al., 1994). This region

192 (V1) was imported into FreeSurfer as a custom atlas and then subsequently used for source analysis in
193 Brainstorm.

194 *3.6 Co-registration procedure*

195 The scalp and cortical surface envelopes were obtained from FreeSurfer and brought to Brainstorm
196 (<http://neuroimage.usc.edu/brainstorm/>; Tadel et al., 2011). Brainstorm automatically imports surface-
197 based anatomical atlases, and the FreeSurfer ROIs were used for co-registration. The high-resolution
198 cortical surfaces of approximately 160,000 vertices were down-sampled to 15,000 vertices, to serve as image
199 supports for cortically-constrained, distributed MEG source imaging (Baillet et al., 2001).

200

201 *3.7 Data preprocessing*

202 MEG data preprocessing and data analysis were also performed in Brainstorm, following good-practice
203 guidelines (Gross et al., 2013). The standard steps consisted of finding and removing the artefactual
204 contributions from heart rate and eye blinks/saccades to the MEG traces. Occurrence of eye blinks and
205 heartbeats were detected from previously mentioned EOG and ECG electrodes in Brainstorm. Signal-space
206 projection vectors were then calculated for each type of artefact (Uusitalo & Ilmoniemi, 1997), and the
207 component with the highest eigenvalue was rejected for each artefact type. The data were finally down-
208 sampled to 1000Hz.

209 *3.8 MEG source reconstruction*

210 We used the empty room noise recording to build the noise covariance matrix across MEG channels from
211 each session. These noise statistics were used in the estimation of cortical currents with a depth-
212 weighted L2-minimum norm approach (Baillet et al., 2001). Source analysis resulted in a linear kernel that
213 was applied to MEG sensor data to obtain MEG source time series at each of the vertices of the subjects'
214 cortical surface. The data were processed in Brainstorm with default depth weighting parameters (order =
215 0.5; maximum amount = 10).

216

217 **3.9 Power spectrum analysis**

218 Power spectral density (PSD) of MEG source time series was computed for all trials from 0.5 s to 4 s across
219 all vertices (1000 ms window overlap ratio of 50%). We removed the first 500ms of each trial from our
220 analysis to consider only the steady state portion of the visual response in the analysis (Cottreau et al.,
221 2011). Each PSD value was standardized to the PSD in the zero-contrast condition at the tagging frequency
222 of interest, averaged across trials and left and right regions in every subject. A sample V1 PSD graph is
223 shown in Figure 1C. Subsequently an offset of one was subtracted so that the response at the noise level
224 was zero. Then, in order to normalize the data acquired in 4Hz and 6Hz bands, the power response at 6Hz
225 was scaled so that the monocular response at 6Hz matches the monocular response at 4Hz.

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227 **3.10 Phase analysis**

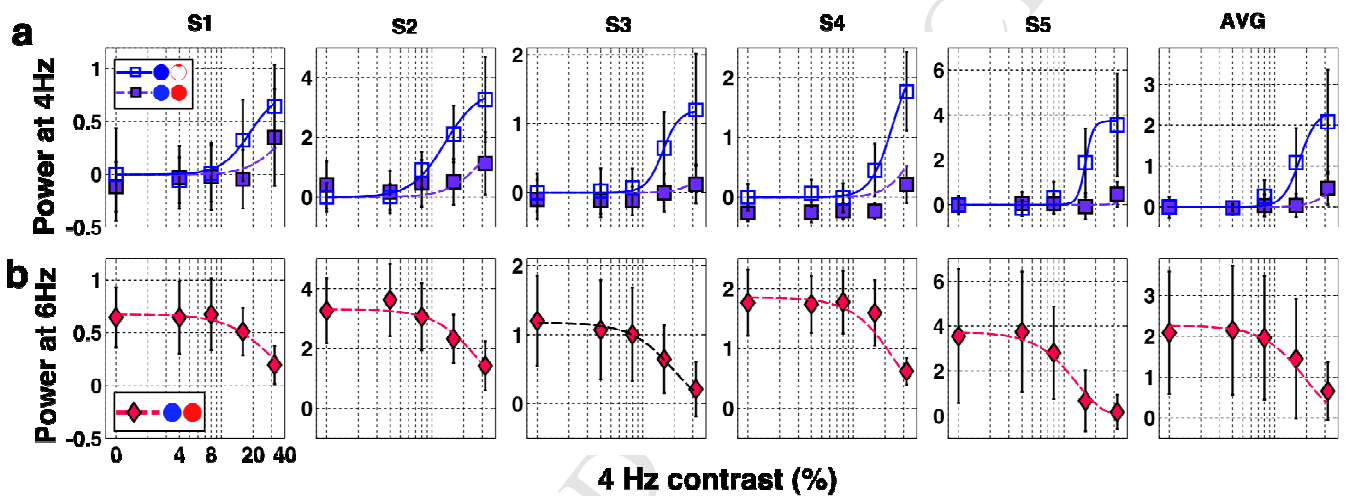
228 The fast Fourier transform (FFT) was used to estimate the phase of SSVER signals at each of the tagging
229 frequencies and each vertex in the V1 ROI at 4 and 6Hz over the 0.5 s - 4 s time window, for each trial. The
230 source location consistently responding with a maximal amplitude at both stimulation frequencies over all
231 trials was identified and selected within V1 ROI for phase analysis. Hence only one vertex per ROI with a
232 consistently strongest respond was used for the phase analysis. We used this approach as it gave a better
233 representation of the resultant direction for the ROI than the average or the sum of total vertices present in
234 the ROI. The average and variance of the phase angle at the tagged frequency across trials was then
235 calculated. Only phase measures with a variance below 0.5 rad^2 were kept for further analysis. In cases
236 when the phase variability exceeded 0.5 rad^2 , the phase value result was not taken into consideration for
237 finding the average between subjects' left and right V1. This conservative strategy was chosen to make sure
238 that the delay we calculate is based on the true representation of the signal originating in each eye from our
239 stimulation rather than the background noise. Since a variance reaching 0.5 rad^2 is approaching the noise
240 level, it therefore indicates a weak inconsistent signal, the phase of which would possess no interpretable
241 value.

242 The phase was always reported relative to monocular condition at maximal stimulation contrast (32%). The

243 group average and standard deviation were calculated across subjects in each corresponding ROI.
 244 Phase delays were transformed into time delays measured in milliseconds: ($[\text{angle in radians}] \times 1000 /$
 245 ($\text{tagging frequency} \times 2 \times \pi$)). Therefore, we report all phase results as a delay observed relative to the
 246 monocular stimulation condition at maximum contrast and luminance, in milliseconds.
 247 All phase data analysis was performed using the circular statistics toolbox in Matlab
 248 (philippberens.wordpress.com/code/circstats/).

249 4. Results

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251

252 **Figure 2. Dichoptic masking.**

253 a. Monocular (target, blue at 4 Hz, open squares symbols) and dichoptic (masked target, purple at 4
 254 Hz, filled squares symbols) contrast response functions for the range of contrasts (0, 4, 8, 16 and
 255 32%) for individual participants (S1-S5) and their average (AVG) at 4Hz (target eye) in primary
 256 visual cortex.

257 In panels S1-S5, the error bars indicate standard deviation. Solid and dotted lines represent the
 258 normalization model for monocular and dichoptic presentations respectively. In the last panel
 259 (average), the error bars indicate the standard deviation between the subjects and the lines
 260 correspond to the model reconstruction using the average of the parameters estimated for
 261 individual subjects.

262 b. Dichoptic contrast response functions in the primary visual cortex responses to 32% contrast
 263 stimuli (red diamonds) presented at 6 Hz while the contrast is increased in the other eye (0, 4, 8, 16
 264 and 32%) for individual participants (S1-S5) and their average (AVG). Dotted lines represent the
 265 interocular normalization model fits.

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269 **4.1 Contrast modulation**

270 Using the average power in V1, we built the contrast response function for monocular and dichoptic
 271 conditions (Figure 2). As the contrast of the monocular stimulus at 4Hz increased from 0 to 32%, the power
 272 of responses also increased (one-way ANOVA: main effect of target contrast; $F=11.993$, $p=0.019$, GG
 273 corrected), responses at 16% and 32% contrast were significantly different from noise level ($p<0.05$; Figure
 274 2A). Addition of the dichoptic mask at 6Hz markedly decreased the response at 4Hz (two-way ANOVA:
 275 main effect of mask ($F=16.539$, $p = 0.015$) and target ($F=14.697$, $p=0.013$, GG corrected). There was a
 276 significant interaction between the masked and the monocularly presented target at 32% and 16% contrast
 277 ($F= 8.718$, $p=0.032$, GG corrected). The response to the mask presented at 6Hz and fixed at 32% contrast
 278 also showed progressive decrease as the contrast of the dichoptically presented 4-Hz stimulus was
 279 increased in the other eye (Figure 2B) (one-way ANOVA: main effect of target contrast, $F=9.764$, $p<0.001$).

280

281 The data obtained were fitted using a normalization model derived from the binocular combination model
 282 of Moradi and Heeger (2009). This model accounts for the way the signals from the two eyes are combined
 283 binocularly, with the activity from each eye reducing the gain for the other eye as well as for itself. Since
 284 we experimentally assigned a different frequency band to each eye, the fitting was performed
 285 independently for the two eyes contributions R_L (equation 1) and R_R (equation 2) before the combination
 286 stage. We therefore set each numerator to contain only one eye's input, whereas the denominator
 287 contained the inputs of the full normalization pool (Foley, 1994; Carandini et al., 1997; Busse et al. 2009;
 288 Reynaud et al., 2012)

289

$$R_L = R_{max} \frac{C_L^n}{C_{50}^n + (\sqrt{C_L^2 + C_R^2})^n} \quad (1)$$

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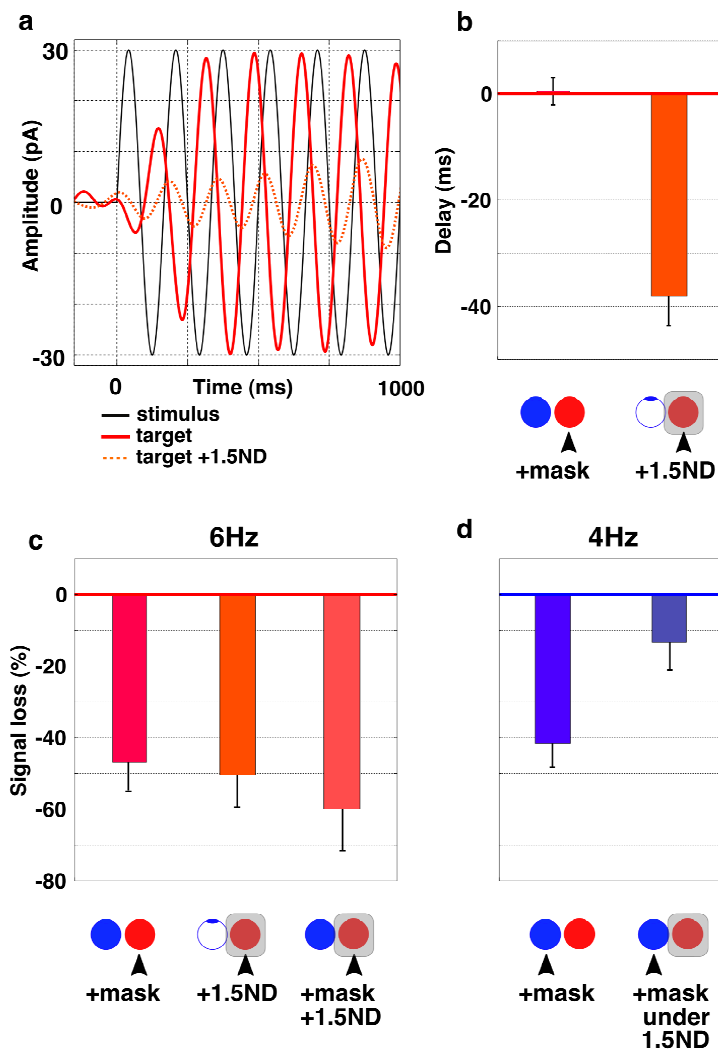
$$R_R = R_{max} \frac{C_R^n}{C_{50}^n + (\sqrt{C_L^2 + C_R^2})^n} \quad (2)$$

292

293

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295 C_L and C_R represent the input contrasts amplitude at different temporal frequency bands seen respectively
 296 by the left and right eyes. The amplitude R_{max} , the semi-saturation constant C_{50} and the slope n are the
 297 estimated parameters. The same set of parameters was used for the two eyes inputs. The model fitted the
 298 data correctly (mean $R^2 = 0.9703$, Table 1), indicating that the model fully described the experimental data
 299 with as few as 3 free parameters. The continuous and dashed lines in Figure 2A and 2B show the model fit
 300 for individual subjects S1 to S5. In the rightmost panels the group average data is presented with model
 301 predictions computed from the average estimated parameters. The coefficient of determination of this
 302 prediction is still very high ($R^2=0.9734$) indicating a remarkable consistency of the results between subjects.



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322 Figure 3. Signal loss and delay in masking and under reduced luminance.

- 323 a. V1 response as a function of time to a 6Hz monocular stimulation with (dotted line) and without
324 (solid line) 1.5 ND filter applied, band pass filtered between 5 and 7 Hz. Black sinusoidal
325 curve corresponds to the time course of the stimulus appearance on the screen.
- 326 b. Delay from monocular 32% contrast condition (6Hz) computed from phase angle during dichoptic
327 stimulation ('mask') and during monocular 1.5 ND filter application over the 6Hz stimulus
328 ('1.5ND').
- 329 c. Mean power loss at 6Hz compared to monocular 32% contrast condition (6Hz) during dichoptic
330 stimulation ('mask'), during monocular 1.5 ND filter application over the 6Hz stimulus ('1.5ND')
331 and during dichoptic stimulation while the filter was kept over the 6Hz stimulus ('mask+1.5ND').
- 332 d. Mean power loss at 4Hz compared to monocular 32% contrast condition (4Hz) during dichoptic
333 stimulation ('mask') at normal luminance and dichoptic stimulation while the 1.5 ND filter was
334 kept over the 6Hz stimulus ('mask under 1.5ND'). The 1.5 ND filter over the masking eye
335 reduced the masking effect.

336

337

338 4.2 Luminance modulation

339 Luminance reduction using a 1.5 ND filter was applied in both the monocular and dichoptic conditions at
340 32% contrast. We compared the respective effects of luminance and of contrast masking (dichoptic
341 condition) as well as their combined effects in V1 (Figure 3).

342

343 Decreasing luminance affected the dynamics of the response by introducing delays and reducing the
344 response amplitude and power. These changes were readily observable in band-passed (between 5 and
345 7Hz) filtered source traces (Figure 3A) and were quantified by computing differences between phase
346 values (Figure 3B, see Methods) and power (Figure 3C and 3D) across conditions. Phase analysis revealed
347 distinctly different effects of the dichoptic mask and reduced luminance conditions than those observed
348 using signal power measures. Indeed, the presence of the dichoptic mask at 4Hz (32% contrast) did not
349 affect the phase of the response to the 6Hz stimulus ($T(11)=0.33$; $p=0.745$), whereas the addition of the 1.5
350 ND filter produced a strong phase effect ($T(11)=18.80$, $p<0.001$), introducing a delay equivalent to 38ms on
351 average. The addition of a 4-Hz dichoptic mask resulted in a 47% reduction of the 6-Hz cortical response

352 (Figure 3C, $T(5) = -5.92$, $p < 0.01$). Applying a 1.5 ND filter over the monocularly viewed stimulus resulted in
353 a similar loss of power at 6Hz of approximately 50% ($T(5) = -5.72$, $p < 0.01$). The combination of the two
354 conditions (dichoptic stimulus plus a 1.5 ND filter over the 6-Hz stimulus eye) resulted in a stronger
355 decrease in cortical response at 6Hz of 60%, relative to the monocular response ($T(5) = -5.28$, $p < 0.01$).

356 The dichoptic condition was explored at 4Hz as well, as the notations “target” and “mask” can be used
357 interchangeably depending upon which eye is being analyzed (Figure 3D). Adding the dichoptic mask at
358 6Hz resulted in a 42% signal loss compared to the response to the monocular stimulus at 4Hz ($T(5) = -5.97$,
359 $p < 0.01$). When the 1.5 ND filter was applied over the 6-Hz dichoptic mask, the power restored to 13%
360 below the monocular power value (not different from the monocular condition, $p = 0.12$, but different from
361 the response power under the dichoptic mask: $T(5) = 4.71$, $p < 0.01$), which demonstrates the “unmasking”
362 effect of the filter.

363 The finding that the signal loss observed in the reduced luminance condition (Figure 3A) was accompanied
364 by a delay (Figure 3A) suggests the requirement of an additional temporal factor to be taken into account
365 in models of interocular interactions. We therefore set out to incorporate this temporal aspect into a more
366 general binocular interaction model.

367

368 4.3 Model Simulations

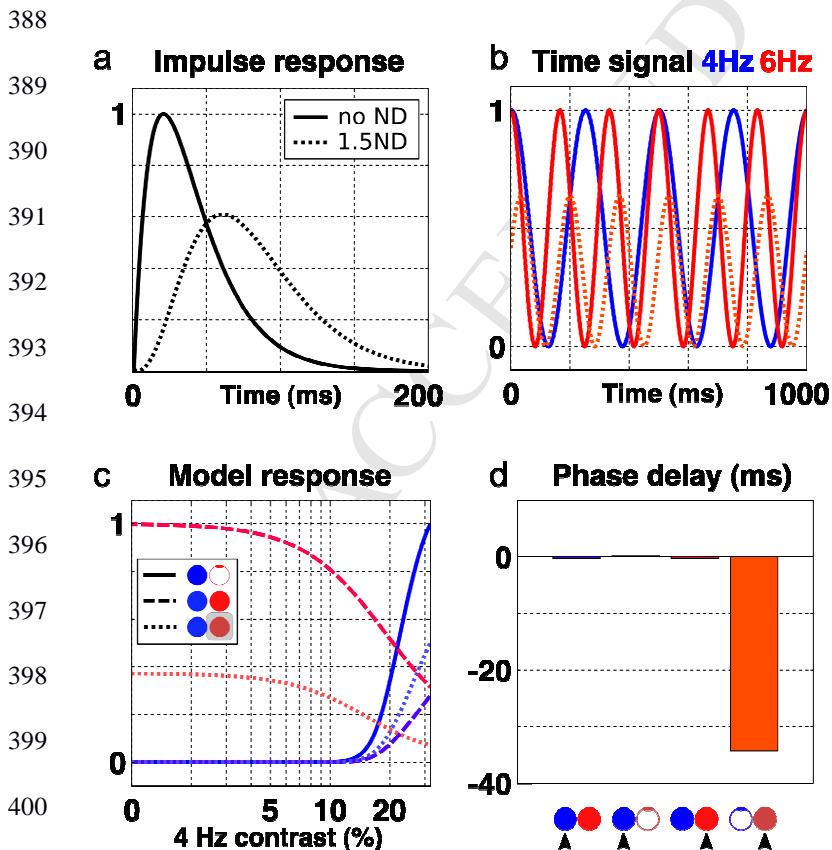
369 We have shown that a binocular normalization model explains the power of MEG signals in the dichoptic
370 contrast masking experiment (Figure 2) and that reducing luminance delays the cortical processing of the
371 stimulus. Specifically, it has been suggested that reduced luminance results in low-pass temporal filtering
372 of the neural responses (Katsumi et al., 1986; Reynaud et al., 2013). In order to test this hypothesis, we ran
373 simulations of the binocular combination model on temporal signals. The model parameters c_{50} and n
374 were calibrated using the average of our previous estimates (Supplementary Table1). Monocular temporal
375 signals at 4 and 6Hz served as inputs. These signals were filtered at the monocular stage with a gamma

376 probability density function that described the impulse response function (IRF) of the visual
 377 system (equation 3, Figure 6A, Robson and Troy, 1987; Boynton et al., 1996; David et al., 2006).

$$378 \frac{\beta^\alpha}{\Gamma(\alpha)} t^{\alpha-1} e^{-\beta t}$$

379 The low-pass temporal effect of the 1.5 ND filter can be reproduced empirically by increasing the value of
 380 the shape parameter α of the gamma function (Figure 4A, shape and scale parameters α and β are 2 and 20
 381 for normal viewing, and 4 and 20 for reduced luminance; Robson and Troy, 1987; Wright et al, 2014).

382 Figure 4B depicts the monocular signals after passing through the first stage of monocular IRF filtering
 383 before the binocular combination step. The blue and red solid curves represent the signals at 4 and
 384 6Hz after normal IRF filtering whereas the dotted red curve represents the 6-Hz monocular response after
 385 the low-pass IRF filtering instead. This can be compared against the solid and dotted red curves in Figure
 386 3A, to show that the low pass IRF filtering accounts for the delay and the reduced amplitude observed in
 387 the low luminance condition.



401

402 **Figure 4. Delay and phase variability in dichoptic masking and luminance reduction**

403 a. Impulse response functions with different shape parameters describing the filtering effect of the 1.5
404 ND filter.

405 Shape parameter for gamma probability function is changed to approximate the temporal low pass
406 filtering effect of the 1.5 ND filter on the visual processing. Continuous line: no filter condition:
407 shape parameter = 2, scale parameter = 20; Dotted line: 1.5 ND filter condition: shape parameter
408 = 4, scale parameter = 20).

409 b. 4Hz (solid blue) and 6Hz (solid red) sinusoids simulating the signal obtained from the two eyes in
410 SSVER tagging protocol through the standard filter (solid curve in a). The dotted red line represents
411 the 6Hz signal through the low-pass filter (dotted curve in a) inducing a delay and an
412 amplitude reduction in the signal.

413 c. Response predictions at various contrast levels at normal and reduced luminance in V1 based on
414 our model. The icons in the panel indicates five different conditions as follows:
415 Solid blue: monocular response at 4Hz;
416 Dashed blue: power loss due to a 6Hz dichoptic mask;
417 Dashed red: response to a 6Hz mask;
418 Dotted red: response to a 6Hz mask through the low-pass filter;
419 Dotted blue: unmasking of a 4Hz response when the eye receiving the 6Hz signal is reduced in
420 luminance.

421 d. Predicted delay of phase in (left to right): masked condition at 4Hz, monocular viewing at 4Hz with
422 a 1.5ND filter applied over the fellow eye, masking condition at 6 Hz and monocular reduced
423 luminance condition at 6Hz.

424

425 We performed simulations using these time-varying signals inputs to the binocular normalization model
426 described in equations 1 and 2. The model predictions for the power of the responses reflecting binocular
427 interactions for normal and reduced luminance are reported in Figure 4C (compare to actual data shown in
428 Figure 2A and 2B). The monocular response at 4Hz was predicted to increase in power as a function of
429 contrast (solid blue line). Once a 6Hz mask is applied dichoptically at 32% contrast, a loss in power is
430 anticipated for both the 4Hz target (dashed blue line) and the 6Hz mask itself (dashed red line). The
431 prediction for the 6Hz mask response under the reduced luminance condition with a growing contrast in
432 the target eye has not been fully tested experimentally (dotted red line). However, the initial point of the
433 curve when the 6Hz mask appears at 32% contrast and a 4Hz target is at 0% contrast corresponded to our
434 experimental findings (about 50% reduction in power compared to normal luminance condition (Figure

435 3C). The rest of the curve predicted a slow decay in the mask signal as a function of the target increasing in
436 contrast. Finally, the 4Hz response was predicted to become “unmasked” when the 6Hz dichoptic mask is
437 covered by a low-pass filter (dotted blue line). Figure 4D represents the model predictions for the temporal
438 effect of contrast masking and luminance reduction (compare to actual data from Figure 3B). The
439 monocular phase under the reduced luminance condition was predicted to be delayed by approximately
440 34ms which is comparable to the actual observation of a 38ms delay in our experimental MEG data. No
441 delay was predicted for the binocular interaction in normal luminance.

442

443 5. Discussion

444 The interaction between left and right eye contrast signals can be described by a binocular contrast
445 normalization process, whereby the response of each eye is divided by the combined responses of both
446 eyes. Our work demonstrates such interaction for SSVER signals, using a frequency-tagging approach. We
447 used signal power derived at specific temporal frequencies (those used to individually tag the left or right
448 eye responses). Monocularly, our data demonstrates a monotonic increase in power in response to
449 increasing contrast. These results are well in line with previous studies of responses to monocular contrast
450 increases in EEG (Tsai et al., 2012) and MEG (Hall et al., 2005). Interestingly, Hall et al. (2005) also
451 observed no signs of saturation of the contrast response in V1 using a different source reconstruction
452 method (minimum norm estimate vs. the SAM beamformer by Hall et al. 2005).

453

454 Under conditions of dichoptic signal presentation, the contrast response function was shifted rightwards
455 and significantly reduced in amplitude for signal power measures. This represents a signature of gain
456 control, or divisive normalization (Moradi and Heeger, 2009), the purpose of which is to adjust the
457 sensitivity of a system to keep the responses invariant. Thus, at the macroscopic levels captured in MEG,
458 the response measured due to a change in contrast (e.g., from 32% to 16% contrast) or to the addition of the

459 mask in the other eye (32% contrast to both eyes) reflects the population response to relative rather than
460 absolute contrast. Baker et al. (2015) reported only weak masking in their healthy observers (significant
461 masking was only achieved at 26% contrast) that could potentially be explained by the lower sensitivity of
462 EEG for measuring binocular interactions at the selected tagging frequencies (10 and 12Hz). We did not
463 observe a shift in phase associated with the addition of the contrast mask. This is especially interesting
464 given the similar effect both the mask and the ND filter had in the power domain.

465

466 Decrease in the luminance of interocular signals resulting from the monocular application of a 1.5 ND filter
467 introduced a delay of 38ms and a 50% loss of power in the V1 response, compared to the monocular, non-
468 filtered condition. ND filters alter luminance without affecting contrast. However, it could be argued that
469 the delay we found could be due to a reduced contrast sensitivity, observed at low luminance levels (Hess
470 1990). This is unlikely. First, the change in contrast sensitivity would have been small, only involving high
471 spatial frequencies within our noise stimulus (van Nes et al., 1967) and second, as previously discussed,
472 changes in dichoptic contrast are not normally associated with changes in response phase.

473 The effect of an interocular imbalance in luminance stimulation is different from that of an interocular
474 imbalance in contrast stimulation. While the effects of a luminance and contrast imbalance can both, in
475 principle, reduce signal power, their effects in combination are sub-additive. Furthermore, the effects of a
476 monocular reduction in luminance can mitigate against the effects of a dichoptic contrast mask (Figure 3
477 and Supplementary Figure 2). Importantly, dichoptic contrast masking does not produce any marked
478 temporal change in the response, unlike a luminance imbalance, which results in a temporally delayed
479 response (i.e. a phase delay). This could be a consequence of luminance reductions affecting signal
480 transduction earlier in the pathway (i.e. slowing responses at the retina).

481

482 The 38ms delay we report here slightly exceeds that reported for similar ND strengths. Carkeet et al. (1997)

483 reported the delay introduced by various intensities of ND filters, as measured with a psychophysical of
484 adjustment method. From their Figure 6, the delay introduced by a 1.5 ND filter varies between 15 to 30 ms
485 between subjects. In a comparable task, Reynaud and Hess (2017) observed delays of approximately 16ms
486 with filters of 1ND. Heravian-Shandiz et al. (1991) reported visually evoked responses to pattern
487 stimulation being delayed by approximately 20 ms due to a filter of comparable strength (as estimated
488 from figures). The reduction in response power associated with decreased luminance is comparable with
489 our own data's and ranges between 30 and 50% (as estimated from Heravian-Shandiz et al., 1991). Finally,
490 Katsumi et al. (1986) presented a range of phase and amplitude changes resulting from the application of
491 ND filters in the range of 0 and 3ND. However, their results cannot be compared directly with ours
492 because they applied the filters binocularly and reported only the monocular/binocular advantage. Finally,
493 we demonstrated that reducing luminance to one eye reduces that eye's contribution to binocular
494 processing of other signals, as can be seen by the reduced signal loss to dichoptic contrast masking in the
495 fellow eye (compared to high percentage signal loss in normal luminance dichoptic condition). Our
496 simulations provide a new understanding of the effects of interocular changes in luminance in terms of
497 temporal filtering and demonstrates their importance for models of binocular signal combination in
498 general, when the inputs from the two eyes are imbalanced.

499 The delay created by the reduced luminance can be appreciated from the retina all the way to the cortical
500 level (Bieniek et al., 2013, Tobimatsu et al., 1993). Interestingly, such delays seem to occur spontaneously in
501 conditions such as amblyopia, which has not been taken advantage of for the therapeutic use for such
502 patients yet. The relation between the contrast attenuation and the delay, with a subsequent individual
503 adjustment of both the parameters for the amblyopic eye in a training program is a viable therapeutic
504 venue for the amblyopic patients. Interestingly, the reduced interocular interaction in a form of unmasking
505 that we observe when the ND filter is placed over the 6 Hz mask, is accompanied by the delay we describe
506 above. This unmasking effect due to the interocular delay could also serve as an explanation for the
507 reduced interocular interaction in the amblyopic population.

508 In summary, using a novel steady-state MEG approach we showed how the signals from the two eyes are
509 combined as a function of interocular luminance and contrast. We applied an interocular binocular
510 normalization model derived from psychophysics (Ding and Sperling, 2006; Meese et al. 2006) and fMRI
511 (Moradi and Heeger 2009) studies to describe interocular changes in contrast, showed that it can be
512 evaluated using MEG techniques. We extended this model to account for interocular luminance changes.
513 Overall, this work therefore provides a foundation for future research concerning how the normal pattern
514 of binocular interactions is altered by experimental manipulations and disease states; for example, after
515 short-term monocular deprivation (Lunghi et al., 2011; Zhou et al., 2013) and in amblyopia (Sengpiel et al.,
516 1996, 2006), where one eye's signal totally suppresses the response of the other eye.

517

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519

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527 7. References

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