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1 **Understanding cyclic seismicity and ground deformation patterns at volcanoes:**
2 **intriguing lessons from Tungurahua volcano, Ecuador**

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8 Cyclic seismicity and ground deformation patterns are observed on many volcanoes
9 worldwide where seismic swarms and the tilt of the volcanic flanks provide sensitive tools
10 to assess the state of volcanic activity. Ground deformation at active volcanoes is often
11 interpreted as pressure changes in a magmatic reservoir, and tilt is simply translated
12 accordingly into inflation and deflation of such a reservoir. Tilt data recorded by an
13 instrument in the summit area of Tungurahua volcano in Ecuador, however, show an
14 intriguing and unexpected behaviour on several occasions: prior to a Vulcanian explosion
15 when a *pressurisation* of the system would be expected, the tilt signal declines
16 significantly, hence indicating *depressurisation*. At the same time, seismicity increases
17 drastically. Envisaging that such a pattern could carry the potential to forecast Vulcanian
18 explosions on Tungurahua, we use numerical modelling and reproduce the observed tilt
19 patterns in both space and time. We demonstrate that the tilt signal can be more easily
20 explained as caused by shear stress due to viscous flow resistance, rather than by
21 pressurization of the magmatic plumbing system. In general, our numerical models prove

22 that if magma shear viscosity and ascent rate are high enough, the resulting shear stress is
23 sufficient to generate a tilt signal as observed on Tungurahua. Furthermore, we address
24 the interdependence of tilt and seismicity through shear stress partitioning and suggest
25 that a joint interpretation of tilt and seismicity can shed new light on the eruption
26 potential of silicic volcanoes.

27

28 **Keywords**

29 tilt; seismicity; eruption forecasting; shear stress; pressure; anti-correlation

30 **1. Introduction**

31 The combined monitoring of ground deformation and seismicity on active volcanoes
32 provides one of the few direct links to the internal state of volcanic activity and its
33 changes in near real-time. The identification of cyclic patterns in seismicity and
34 deformation offers further insights regarding the temporal behaviour of a particular
35 volcano and is essential to guide forecasting attempts. In many previous studies, surface
36 deformation on active volcanoes has been inferred as caused by pressure changes within
37 magmatic systems at depth (Anderson et al., 2010; Widiwijayanti et al., 2005). The tilt,
38 defined as

$$40 \quad \vartheta = \arctan \frac{dz}{dr} \quad (1)$$

39

41 where z and r are the vertical and radial co-ordinates, respectively, is the change in
42 inclination angle of the volcanic flanks, and is a particularly sensitive indicator of surface
43 deformation. If tilt changes are modelled as caused by shallow, isotropic pressure sources
44 with spherical or cylindrical geometries, they will often require unrealistically high
45 overpressures, large conduit radii or extremely yielding material properties to reach high
46 tilt amplitudes (Voight et al., 1999, 2010). Alternatively, elongated source bodies, such as
47 dykes, can produce high tilt amplitudes in a zone located perpendicular to the strike of the
48 dyke (Hautmann et al., 2009). In our modelling approach we will explore a set of isotropic
49 pressure sources and a wide variety of material parameters and geometries in order to
50 model the observed tilt patterns. An alternative mechanism pointing towards shear stress
51 to generate high tilt amplitudes on volcanoes has been suggested by several studies.
52 Beauducel et al. (2000) noted a striking link between seismicity - as a proxy for magma flux
53 - and deformation. They suggested that the shallow deformation field on Merapi volcano,
54 Indonesia, could be controlled by magma flux rather than by magma pressure variations.
55 For Soufrière Hills volcano, Montserrat, during periods of rapid magma extrusion in 1997,
56 we suggested changes in shear stress within the upper 1000 m of the magmatic system to
57 explain the tilt amplitude of $20 \mu\text{rad}$ as an alternative to magma pressurisation (Green et
58 al., 2006). Other examples using shear stress include Anderson et al. (2010), Albino et al.
59 (2011), Costa et al. (2012), and Kawaguchi and Nishimura (2015).

60 In addition to the high tilt amplitudes, Tungurahua exhibits another striking feature
61 concerning the timing of the tilt signal in relation to its volcanic activity; Vulcanian
62 explosions are often - but not always - preceded by an increase in seismicity and a

63 *decrease* in tilt, hence an apparent deflation of the edifice, or *depressurization* of the
64 volcanic system several days before an eruption.

65 This intriguing pattern of seismicity, tilt and Vulcanian explosions has been observed on
66 about 10 occasions, starting as early as 2006, (Fig 1) and has been utilised by scientists in
67 charge of volcano monitoring in Ecuador in attempts in eruption forecasting. We
68 investigate this pattern in a modelling approach, comparing quantitatively the traditional
69 magma pressurization hypothesis with the effects of shear stresses along the conduit due
70 to viscous flow resistance. We focus on periods associated with explosive activity in 2013
71 and 2014, which displayed strong surface deformation in the upper part of Tungurahua's
72 cone. The results demonstrate how much important information can be obtained from a
73 single, strategically deployed tilt- and seismometer station, and how this can guide
74 forecasting of the short-term eruption potential of Tungurahua.

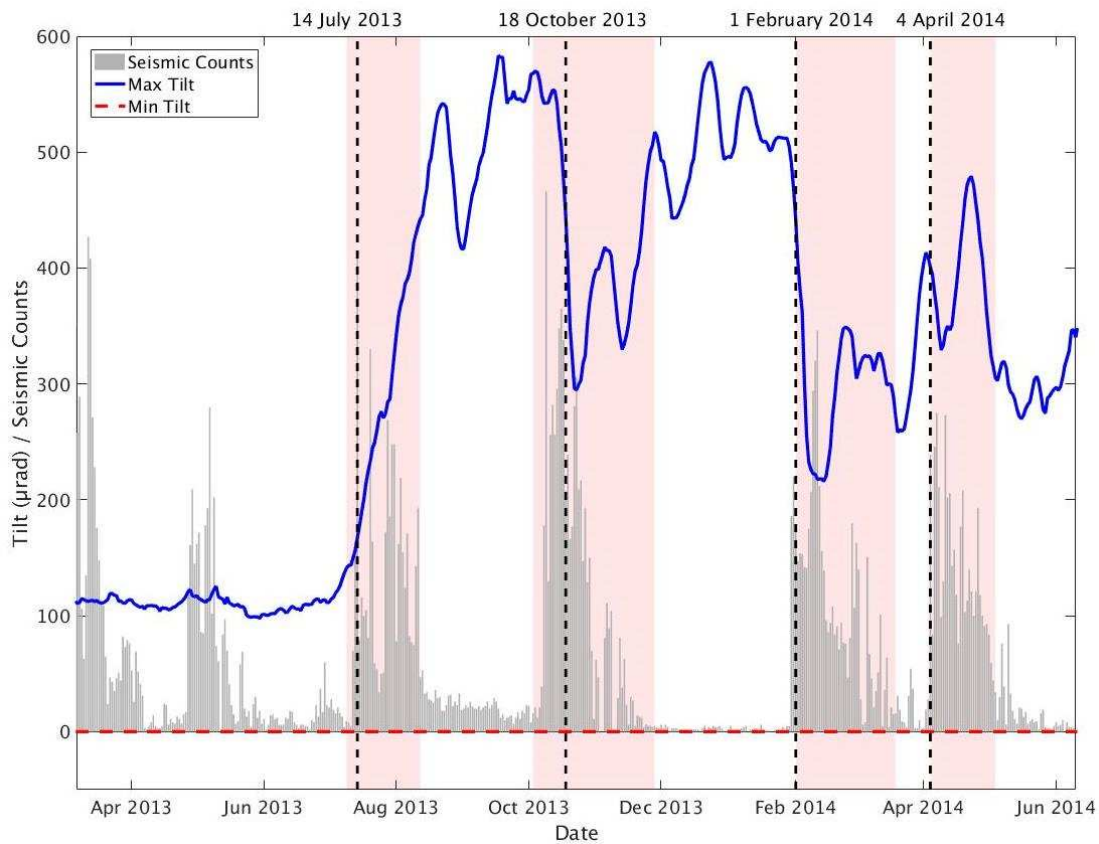
75

76 **2. Cyclic Deformation Associated with Vulcanian-Style Eruptions at Tungurahua 2013 -** 77 **2014.**

78 Tungurahua volcano is an andesitic strato-volcano with historical eruptions ranging from 2
79 to 4 on the VEI scale. Its steep-sided, 3000 m relief cone has collapsed on several
80 occasions and pyroclastic flows and ash falls are frequent hazards (Hall et al., 1999; Le
81 Pennec et al., 2008). The present eruptive phase started in 1999 after nearly 80 years of
82 repose (Mothes et al., 2015). Eruptions during the last 16 years have been accompanied
83 by strong degassing (Hidalgo et al., 2014), long-period seismic activity (Kim et al., 2014),

84 notable infrasound signals (Fee et al., 2013; Mothes et al., 2015) and ground deformation
85 (Biggs et al., 2010; Champenois et al., 2014). We base our study on the tilt cycles and
86 seismicity associated with four eruptive periods: three Vulcanian eruptive events on 14
87 July 2013, 18 October 2013 and 1 February 2014, and a fourth episode on 4 April 2014
88 involving both Strombolian and Vulcanian-style activity.

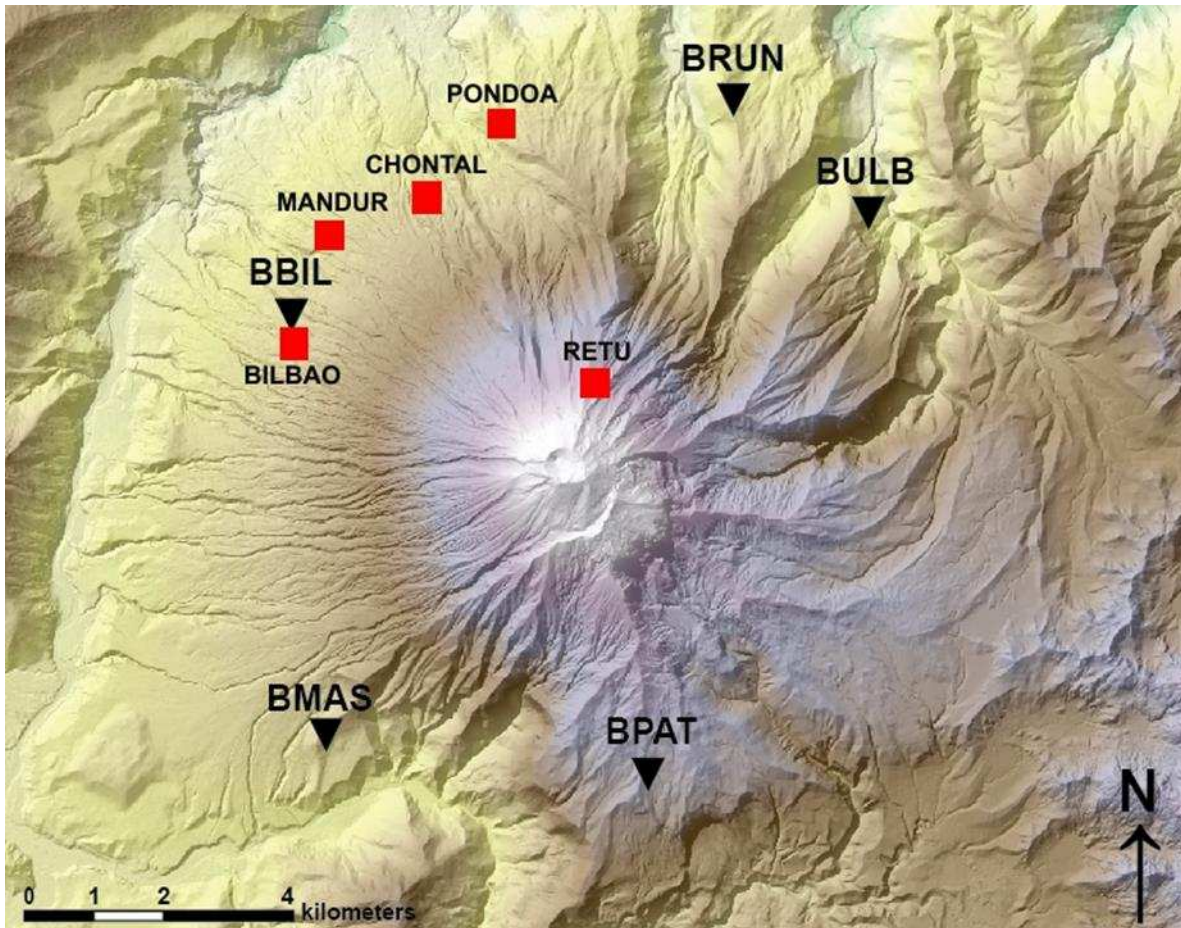
89 Deformation data are obtained from an electronic tilt meter at station RETU, located at
90 3950m elevation on the northern flank of the volcano, 2000 m north, and 1000 m below
91 the summit vent (Fig. 2). The RETU tilt meter is a dual-axial platform analogue-output,
92 model AGI, 711-2A series, with a 1 μ rad resolution.



94 *Figure 1: Daily averaged tilt (μrad) and daily event rate of long-period earthquakes*
95 *recorded at RETU. East and North tilt components are rotated into the direction of*
96 *maximum and minimum tilt plotted here, maximum tilt is used for further analysis. The*
97 *four Vulcanian eruptions of interest are indicated (dashed lines) along with the associated*
98 *eruptive phases (shaded) and described in the text. Note the remarkable magnitude of the*
99 *maximum tilt. See the supplementary material for a zoomed view into the last three events*
100 *Fig. S1.*

101 It is anchored to a massive lava flow and buried in an insulated barrel that minimizes
102 diurnal temperature changes. Data are recorded every 5 minutes and sent via analogue
103 radio to the Tungurahua Volcano Observatory where they are decimated and stored in
104 daily files. Several other continuously recording tilt meter stations are located lower on
105 the volcano's flanks (Fig. 2) and have similar instrumentation, data recording and
106 transmitting procedures. However, due to the larger distance from the conduit, these
107 stations have been less sensitive to movements near the vent and conduit.

108



109

110 *Figure 2: The seismic-acoustic (triangles) and deformation (squares) network at*
 111 *Tungurahua Volcano. RETU is also equipped with a short-period seismometer.*

112 There is little apparent evidence for correlation between the data patterns at these distal
 113 sites with pre- and co-eruptive seismic patterns and explosive events. Therefore, in this
 114 study, we concentrate on the data from the RETU station comprising a tilt meter and a
 115 short-period seismometer.

116 For the current study, we use daily averaged tilt data and seismic counts recorded at RETU
 117 station. A higher resolution tilt record shows only the usual temperature dependent, small
 118 daily fluctuations, which are not relevant for the overall tilt behaviour. East and North tilt

119 components are rotated into the direction of maximum tilt which is then used for further
120 analysis. In contrast to other studies, we prefer to derive the direction of tilt from the data
121 rather than assigning an assumed source location and splitting the data into “radial” and
122 “tangential” components. Note that the back-azimuth derived from the maximum tilt does
123 not necessarily point to the location of the deformation source, as it may be biased by
124 topography. This bias is taken into account in our numerical model results by employing a
125 high-resolution digital elevation model.

126 For at least 2 years prior to the first eruptive event of our study (14 July 2013), the tilt
127 followed a fairly unremarkable, linearly increasing trend of approx. 100 μrad over two
128 years with small oscillations of up to 10 μrad . The tilt behaviour prior to this period is not
129 known. A sharp increase began in mid-June 2013 accompanied by the occurrence of 24 VT
130 seismic events between 1 June and 13 July 2013. The accumulated tilt in the two weeks
131 leading up to the 14 July 2013 eruption amounts to 60 μrad , which is significantly higher
132 than the background trend of the previous 2 years. In the 12 hours prior to the explosion,
133 the RETU seismic station recorded 332 low-amplitude LP seismic events, in comparison to
134 the 641 events recorded in the previous 6 weeks. Furthermore, 3800 LP earthquakes
135 occurred in the month following the main Vulcanian event. A typical example of seismic
136 swarms recorded at RETU is depicted in the supplementary material Fig. S2.

137

138 While this initial event was preceded and accompanied by increased seismicity, there was
139 no associated decrease in tilt. Following the explosion, the tilt at RETU continued to

140 increase, resulting in an accumulated tilt of 440 μrad over 2 months, thereby marking the
141 beginning of a remarkable tilt cycle and seismic pattern which is the main focus of this
142 study.

143 Each of the following three events were preceded by increased seismicity, and the onset
144 of a downward trending tilt 2-6 days prior to the eruption. In the case of the 18 October
145 2013 eruption, seismic counts increased significantly 10 days before the eruption
146 occurred. The onset of increased seismicity coupled with the downward trending tilt
147 allowed scientists from the Instituto Geofísico, Ecuador, responsible for the monitoring of
148 Tungurahua, to provide a warning 36 hours before the explosive event of 1 February 2014.

149 The latter three events were followed by continued, decreasing tilt lasting 5-12 days after
150 the explosive episode, and lingering seismicity. The elevated seismicity continued for 1-2
151 months after the eruptive events, before returning to the background level of up to six
152 events per day.

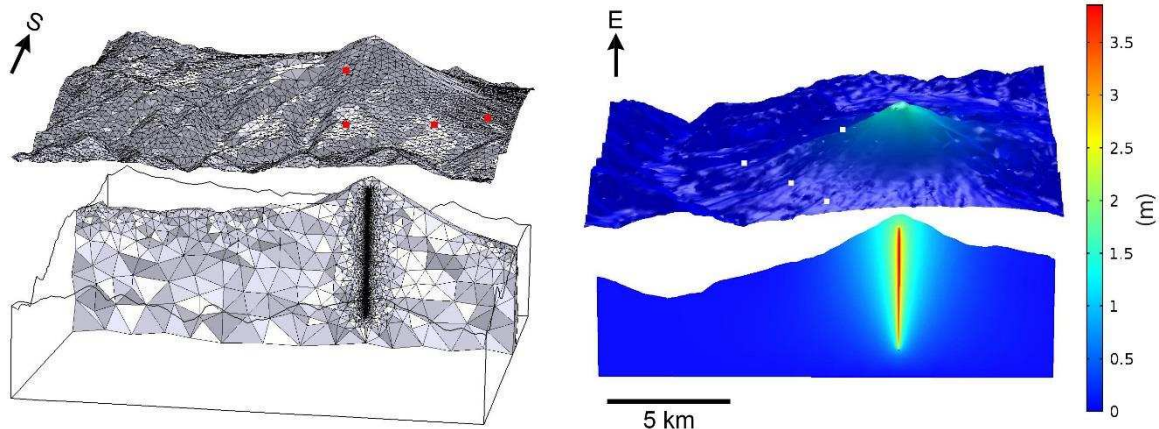
153 In the event of 4 April 2014, the accumulated tilt and consecutive decrease were not as
154 extreme with a drop of only 77 μrad , compared to 262 μrad and 302 μrad for the 18
155 October 2013 and 1 February 2014 events, respectively (Fig. 1).

156 All of the eruptive events involved modest Vulcanian explosions with ash ejected up to 10
157 km above the active vent, and associated pyroclastic flows went down the western flank
158 of the volcano. Metre-sized bombs were ejected up to 4km from the vent, with some
159 landing very close to the Pondoá community, located NW of the vent (Fig. 2).

160

161 **3. Numerical Modelling of the Tilt Meter Data**

162 We use the finite element software COMSOL Multiphysics 5.2 to construct a set of models
163 investigating the deformation field at Tungurahua associated with changes in the stress
164 tensor, either by pressure sources or shear stress. This approach allows us to consider
165 simultaneously source processes, and the response of the elastic medium as well as
166 topographic effects at several tilt meter sites on Tungurahua. Scenarios we consider
167 include pressurisation of magma reservoirs with elliptical and cylindrical geometry, as well
168 as ascending magma exerting both pressurisation and shear stress across the conduit wall.
169 A Digital Elevation Model (DEM) of the edifice and surrounding area with a coverage of
170 approx. 16 x 16 x 10 km and a resolution of 10 m is used (Fig. 3). Into this geometry
171 different sources are inserted and modelled as voids in order to decrease the number of
172 triangular and tetrahedral mesh elements. For topographical details and the region
173 immediately surrounding the sources a finer mesh is used to ensure the accuracy of the
174 results in the immediate area of interest. Mesh element size increases with depth and
175 with lateral distance from the conduit. The largest model is run with a minimum element
176 size of 5 m and a total of 240,000 elements resulting in 6 million degrees of freedom.



177

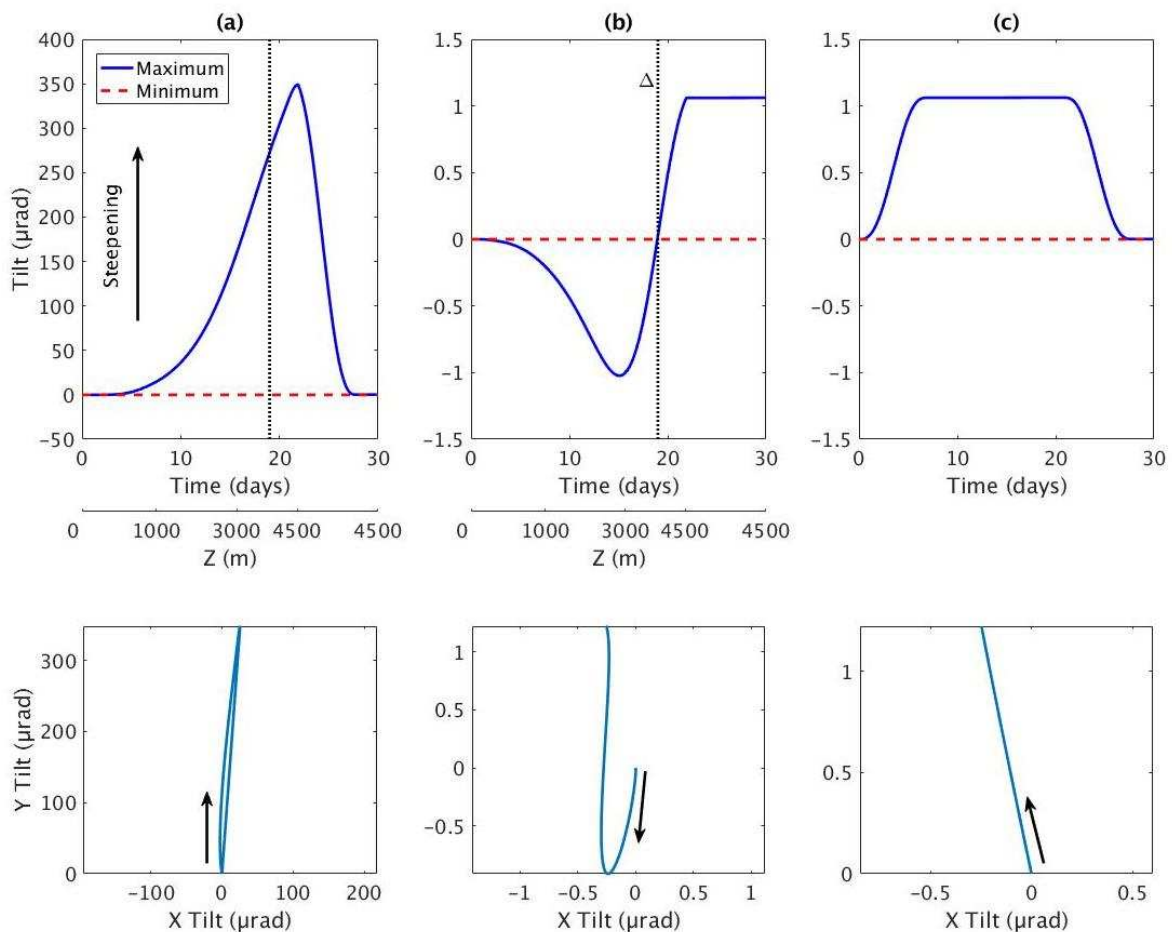
178 *Figure 3: (left) Tungurahua model and mesh geometry and (right) Digital Elevation Model*
 179 *used with a cross-section through the conduit. View looking East, with the locations of four*
 180 *tilt meter stations marked by white/red dots; RETU closest to the volcanic summit and the*
 181 *distal stations of PONDOA, MANDUR and BILBAO (E – W). Colouration depicts the shear*
 182 *stress induced displacement in metres for a 4.5 km long conduit coinciding with maximum*
 183 *tilt. Note the different orientation right and left to show mesh at tilt sites and site locations*
 184 *more clearly.*

185

186 The exterior lateral boundary conditions of the modelled geometry are set to “roller”
 187 which allows only vertical motion at the boundary; the basal boundary is fixed. To induce
 188 deformation the boundary conditions at the conduit source are set to either normal stress
 189 representing pressure or vertical shear stress. We introduce two types of time-
 190 dependence in our models: (i) For simulating an ascending magma column within the
 191 conduit, we apply constant pressure or shear stress to the growing length of the magma
 192 column that propagates from depth towards the surface with a constant magma ascent

193 velocity. This creates an evolving deformation field of a moving source with constant
 194 amplitude. (ii) In contrast, to simulate a stationary source, we apply pressure and shear
 195 stress to the entire conduit from depth to surface, but vary the amplitude with time. This
 196 model simulates on the one hand a pressurisation of an emplaced magma column, or, on
 197 the other, variations in shear stress due to velocity or viscosity changes, applied to the
 198 entire magma column.

199 We simplify the potentially rather complex setting by modelling the volcanic edifice as
 200 homogeneous with a Poisson's ratio of 0.25. We consider variations in Young's modulus,
 201 conduit radius, stress magnitude, as well as extent and position of the source region.



202

203 *Figure 4: Results for three model runs with a conduit radius of 15 m, shear stress or*
204 *pressure magnitude of 20 MPa along a conduit length of 4.5km, where Z indicates*
205 *elevation. (a) ascending magma exerting shear stress along the conduit-wall boundary, (b)*
206 *ascending and pressurising magma, and (c) pressurisation of a filled conduit. Tilt has been*
207 *converted to maximum and minimum where the vertical line marks the elevation of the tilt*
208 *meter (Top). The trajectory of the tilt meter for each scenario is also shown (Bottom).*

209

210 Our initial numerical models without topography have been benchmarked against
211 analytical solutions for spherical chamber models, dykes and cylindrical conduits provided
212 by Segall (2010). This enabled us to ascertain the optimum model set-up and ensure that
213 the tilt meter locations are sufficiently distant from the exterior model boundaries such
214 that their effect on the results is negligible.

215 The modelling results for Tungurahua tilt meter RETU are depicted in Figure 4. In general,
216 the tilt amplitude is dependent upon source location and size, material properties
217 (Young's modulus) and applied stress (pressure vs. shear stress). In the following we
218 discuss the impact of these factors on the observed tilt signal on Tungurahua.

219 We find that a shear stress of 20 MPa is sufficient to explain the tilt of up to 480 μ rad (Fig
220 4a) for a conduit radius of 15 m and Young's modulus of 1 GPa. However, a conduit
221 pressure of several hundreds of MPa is required to reach the observed tilt. At shallow
222 depths within the volcano, such high pressurisation would exceed the mechanical strength

223 of any rock. Unsurprisingly, the results for a conduit with a 15 m radius that is pressurized
224 by 20 MPa fail to explain the observations by two orders of magnitude (Fig. 4b and c).

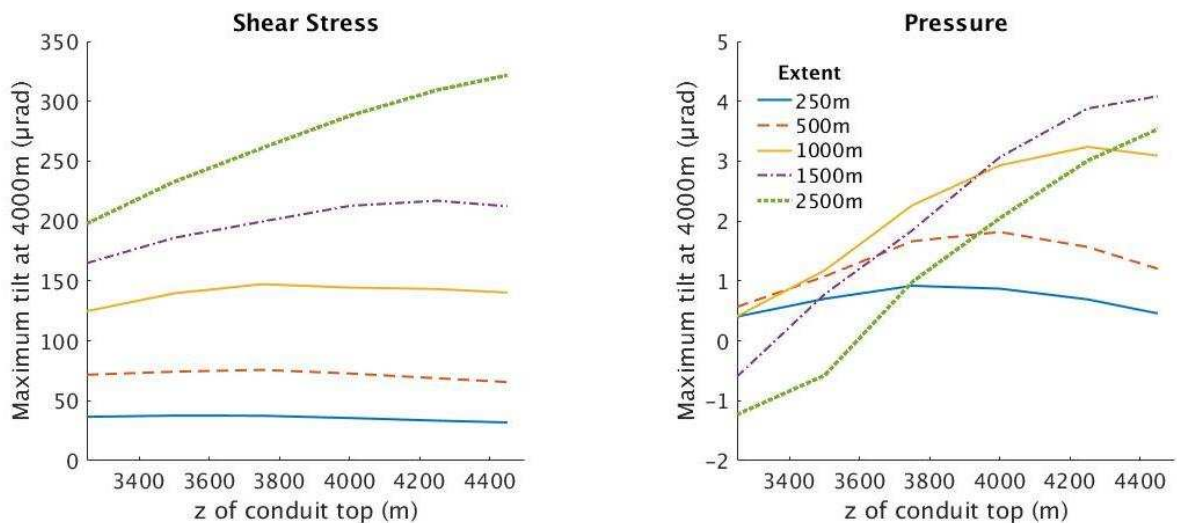
225 With a Young's modulus of 1GPa (e.g. Young & Gottsmann, 2015) we assume a low rigidity
226 representing the upper part of the volcanic edifice probably weakened by hydrothermal
227 activity and fractured rocks. Lowering Young's modulus by another order of magnitude
228 would still result in unrealistically high pressures necessary to explain the tilt amplitude.

229 For a 15 m conduit and a pressurisation of 20 MPa, a Young's modulus as low as 10^{-3} GPa
230 would be required to explain the tilt magnitudes observed at Tungurahua. Even though
231 the tilt meter is at a horizontal distance of 2000 m away from the conduit, one could argue
232 that the entire upper edifice is fractured and incoherent to such a degree that the elastic
233 rheology we use should be replaced by inelastic or plastic behaviour. However, the fast
234 recovery and rebound of the edifice argues against this suggestion.

235 The ascending magma column (Fig. 4b) induces negative tilt as long as magma ascends
236 below the tilt station and only steepens the flank (positive tilt) once the top of the magma
237 column has exceeded the tilt meter elevation. If we used a more realistic magma-static
238 pressure in addition to the uniformly applied constant pressure, most of the
239 pressurisation would be exerted below the tilt station, hence, increasing the negative tilt
240 amplitude. The non-linear trajectory of ground motion (Fig 4b, bottom) is due to
241 topography and does not occur in a radially symmetric cone model. If an elongated
242 pressure source of large dimensions (e.g. 100 x 700 m) is employed with the longer axis
243 exactly perpendicular to the radial (maximum) tilt direction, the model provides
244 amplitudes similar to the observation, and will show a linear trajectory of particle motion

245 during a tilt cycle. If the tilt meter is deployed at any other angle relative to the elongated
 246 source the deformation will show a curved trajectory, resulting in both radial and
 247 tangential tilt components (Hautmann et al., 2009). This is only the case for elongated,
 248 pressurized sources, or due to topography (Fig. 4b) but not for tilt caused by shear stress
 249 (Fig. 4a). Therefore, when combined with other data the tilt trajectory may be useful to
 250 discriminate between the different source processes, either elongated pressure source or
 251 shear stress across the conduit wall. Considering that the East and North component of
 252 the RETU tilt station display a perfectly linear trajectory (see Figure S7, supplementary
 253 material), the direction of which we refer to as the maximum tilt component, and given
 254 the high tilt amplitude, we suggest the tilt at RETU is not a result of an elongated,
 255 pressurized conduit.

256



257

258 *Figure 5. Maximum tilt modelled at RETU for localised pressure (right) and shear stress*
 259 *sources (left) of varying conduit length and its upper limit elevation z. Models are*

260 *stationary and vary between 250 m and 2500 m in conduit length. The tilt meter is located*
261 *at $z = 4000$ m. Note that pressurisation leads to mainly horizontal deformation while shear*
262 *stress results in vertical deformation which is dependent on the integral over the conduit*
263 *length affected by the shear stress. Small negative tilt values are generated in the models*
264 *by pressurising the edifice below the tilt meter station.*

265

266 Assuming a conduit pressure of 20 MPa with a conduit radius of 15 m and Young's
267 modulus of 1 GPa, the maximum tilt reaches only 1.5 μrad . In contrast, a variety of shear
268 stress locations and extents are capable of generating the required tilt. A conduit radius of
269 100 m would generate only 60 μrad of tilt in response to 20 MPa pressure. A pressure
270 source with a significantly larger radius of a few hundred meters would produce an
271 increased tilt amplitude (see supplementary material Fig. S3). However, our modelling
272 shows that the pressure source needs to be located above the level of the tilt meter
273 station in order to show high, positive tilt amplitudes (Fig 5). Such an extended source in
274 the upper part of the volcanic edifice seems to be very unlikely. Therefore, we use a
275 conduit radius of 15 m (Mothes et al, 2015) in the models presented in this study. This is in
276 agreement with Ruiz et al. (2006) who estimated a conduit radius of approximately 10 m
277 based on FLIR images of the Tungurahua vent in March 2003 taken by Samaniego et al.
278 (2003).

279 In summary, large, shallow over-pressurization can be a natural consequence of higher
280 viscosities at the conduit top, however even a large overpressure is not large enough to

281 produce the observed tilt signal. In order to explain the tilt signal by a pressurised
 282 conduit, either unrealistically high pressures, or extremely weak material, or a huge
 283 conduit would have to be assumed as listed in Table 1.

Young's Modulus (GPa)	Radius (m)	Pressure (MPa)	Tilt (μrad)
1	15	400	350
10^{-3}	15	20	350
1	100	20	60
1	500	20	350
Young's Modulus (GPa)	Radius (m)	Shear Stress (MPa)	Tilt (μrad)
10	15	200	350
1	15	20	350

284

285 *Table 1. Combinations of Young's modulus, conduit radius and pressure/shear-stress*
 286 *required to simulate the tilt signal of 350 μrad measured at the station RETU.*

287

288 Nevertheless, one could argue that shear stress is not necessarily the only explanation for
 289 the observed tilt signal. However, our numerical modelling explained in the next section
 290 demonstrates that shear stress provides the *sufficient condition* for the observed
 291 deformation field. Realistic magma viscosity values of around 10^{11} Pas and ascent rates as
 292 low as of 0.0015ms^{-1} will result in a shear stress of 20 MPa at the conduit wall. And shear
 293 stress of that magnitude will result in a deformation field that is observed as tilt on nearby
 294 tilt stations. Hence, for RETU, located at a horizontal distance of 2000m from the conduit,
 295 shear stress provides the most suitable explanation for the strong deformation. The lower
 296 elevation tilt meter stations of PONDOA, MANDUR and BILBAO (Fig 2 and supplementary

297 material Fig. S4) display only minor deformation compared to RETU, which is also
298 corroborated through our modelling results (supplementary material Fig S5). This fact
299 suggests that shear stress as a deformation source is only applicable for monitoring sites
300 proximal to the uppermost 1000 m of the conduit where the shear stress originates from
301 ascending magma and its traction along the conduit-wall boundary. Hence, shear stress as
302 a deformation source is not at all unique to Tungurahua volcano but should be considered
303 for other silicic systems. It is the proximity of the tilt meter site to the conduit that plays
304 the deciding role which dominant source process causing the deformation field is
305 observed. This has important implications for monitoring strategies and the selection of
306 suitable sites for tilt meters.

307

308 **4. Discussion**

309 Assuming that the preferred source mechanism for the tilt cycles on Tungurahua is
310 explained by shear traction across the conduit wall, which is counteracted by elastic
311 deformation of the surrounding edifice and gravity, we shall shed some further light on
312 the fundamental processes that govern the generation of the shear stress due to viscous
313 magma flow.

314 For Newtonian flow, the vertical shear stress σ is given by

$$315 \quad \sigma = \frac{d\varepsilon}{dt} \mu = \frac{dV}{dr} \mu \quad (2)$$

316 where μ is the magma viscosity, $d\varepsilon/dt$ is the shear strain rate, which equals dV/dr , the
 317 lateral gradient of the magma ascent velocity across the conduit (Neuberg et al., 2006).
 318 Hence, variations in shear stress resulting in tilt changes can be caused by either temporal
 319 or spatial magma viscosity changes as magma ascends, or simply by variations in magma
 320 ascent velocity.

321 In the following we test if magma viscosity, shear stress at the conduit wall and ascent
 322 rate are in the right ballpark. We consider velocity changes and estimate the shear stress
 323 at the conduit wall for a given radius and magma ascent velocity. Assuming a constant
 324 magma viscosity across the conduit, the velocity for ascending magma is given by the
 325 Hagen–Poiseuille flow, showing a parabolic velocity profile, $V \sim r^2$,

$$326 \quad V = \frac{1}{4\mu} \frac{\Delta P}{\Delta z} (R^2 - r^2) \quad (3)$$

327 with conduit radius R . The ascent velocity at the centre of the conduit $r = 0$ is

$$328 \quad V_{max} = \frac{1}{4\mu} \frac{\Delta P}{\Delta z} R^2 \quad (4)$$

329 hence, using eq 3 and 4 the strain rate at the conduit wall ($r = R$) is given by

$$330 \quad \left. \frac{dV}{dr} \right|_{r=R} = -2 \frac{V_{max}}{R} \quad (5)$$

331 where the shear stress is

$$332 \quad \sigma = 2 \mu \frac{V_{max}}{R}. \quad (6)$$

333 Using the petrological analysis for andesite erupted in 2006 from Tungurahua (Samaniego
334 et al., 2011) in the viscosity calculator of Giordano et al. (2008), we estimate, for the
335 degassed upper conduit, a melt viscosity range of 10^8 - 10^{10} Pas. Taking crystallinity into
336 account and assuming a magma viscosity of 10^{11} Pas, a shear stress of 20MPa along the
337 conduit wall, which is consistent with the observations, can be generated by an ascent
338 rate as low as 0.0015 ms^{-1} .

339 In addition to all the considerations given to the high tilt amplitude, the time history of the
340 RETU tilt record with respect to the Vulcanian explosion provides another clue as to the
341 origin of the deformation. It is hard to imagine that the volcanic plumbing system
342 depressurizes just a few days prior to a VEI 2 or 3 eruption. However, if interpreted as an
343 ascending magma column where tilt is caused by shear stress exerted along the entire
344 conduit, an ascending magma batch that encounters increased friction at the limited
345 section of the degassed top of the conduit will slow down the entire magma column. At
346 Tungurahua, numerous microlites are present within the eruptive products of July and
347 October 2013, and February 2014 (Gaunt et al., 2015). This is a strong indication that with
348 decreasing temperature and increasing crystal load, the magma viscosity and, therefore,
349 shear resistance will increase in the upper conduit. This will result in a decrease in the tilt
350 amplitude, which is proportional to the magma ascent velocity of the entire magma
351 column.

352 The tilt signal is generated by the superposition of the shallow section with increased
353 shear stress and by traction along the entire conduit where slowing ascent velocity leads
354 to decreasing shear stress. Hence, as shown in Figure 5 the entire conduit dominates the

355 final tilt amplitude, rather than the limited zone where seismicity is generated. With
356 increasing viscosity, generated by a higher proportion of crystals the magma column slows
357 down, and so does the tilt amplitude.

358 While the overall tilt signal remains positive, the lower shear stress allows the elastic and
359 gravitational rebound of the edifice, hence a decrease in the tilt amplitude. As seen in our
360 modelling, a negative tilt signal could only be produced by viscous magma descending the
361 conduit, or by unrealistically high overpressure acting below the altitude of the tilt meter
362 site.

363 Several undulations in the tilt behaviour (Fig 1, supplementary material Fig S5)) can,
364 therefore, likely be attributed to changes in magma ascent velocities. Following a more
365 drastic decrease in magma ascent velocity, the subsequent local pressurization by a few
366 tens of MPa in the upper portion of the conduit (Sparks, 1997) will lead to the Vulcanian
367 explosion. High internal pressure gradients are also evidenced by the remarkable
368 infrasound values of these explosions and by the ballistic ejection of metre-sized rocks up
369 to 4 km distance (Fee and Matoza, 2013; Mothes et al, 2015). However, as our modelling
370 has shown, even such high pressurization of the upper conduit would not translate into a
371 significant tilt signal at RETU.

372 The long-period (LP) seismicity observed on the RETU seismometer (Fig 1) during these
373 episodes points to a viscous-brittle transition of the crystal rich magma. In general, LP
374 earthquakes are triggered by shear failure. Two end-member models use either stick-slip
375 motion (Iverson et al., 2006) or brittle failure in the magma near the conduit wall where

376 the strain rate is highest (Neuberg et al., 2006). In both cases shear stress across the
377 conduit wall will drop by the amount used to generate the seismicity, and tilt will decrease
378 accordingly. Such anti-correlated behaviour between LP seismicity and tilt was noted by
379 several studies on Soufrière Hills volcano, Montserrat (Neuberg et al., 2006; Voight et al.,
380 1998), however, without explaining the causal link. During each tilt cycle on Soufrière Hills,
381 both the beginning and end of a period of seismicity coincided with a curvature change in
382 the tilt signal. This indicates the interference of competing physical processes. Shear stress
383 partitioning between the generation of seismicity on the one hand, and surface
384 deformation and tilt on the other can explain this observation. On Tungurahua, we
385 observe a very similar, anti-correlated behaviour associated with the Vulcanian
386 explosions. However, conditions seem to be different for the first and most violent
387 explosion on 14 July 2013, which initiated the transition to increased volcanic activity. We
388 speculate that the first explosion was a consequence of a pressurised magma body that
389 was already emplaced. The small tilt signal preceding the explosion indicates little upward
390 magma motion accompanied by accelerating seismic LP swarms as the magma plug with
391 high crystallinity is forced out. With a seismic network denser than that on Tungurahua,
392 one could also obtain precise locations of the LP swarms, constraining the migration of the
393 magma plug.

394 The seismic signature of accelerating LP occurrence (see supplementary material Fig S6) is
395 typical in preceding events like explosions or lava dome collapses (Hammer and Neuberg,
396 2006; De la Cruz-Reyna et al., 2001). The explosion evacuates large parts of the conduit

397 which is then refilled by buoyant, new magma, the ascent of which produces a strong
398 increase in tilt, a behaviour repeated after each subsequent explosion.

399 **5. Conclusion**

400 We have demonstrated through a set of numerical models that the strong tilt signal
401 recorded close to the magma conduit of Tungurahua can be most realistically explained by
402 shear stress exerted by magma movement rather than by pressurization of a magma
403 body. Some unrealistic input values for conduit radius, Young's modulus or pressure are
404 required in the modelling to match the tilt observations. While using shear stress as a
405 deformation source does not constitute a necessary condition, it is sufficient to explain
406 the tilt observations: we have demonstrated that magma with a representative viscosity
407 and ascent rate will yield a realistic shear stress that is capable of generating the observed
408 tilt signal. This alternative interpretation of monitoring data is essential as it replaces the
409 more traditionally assumed *inflation* of a magma body through *magma ascent*, and more
410 importantly, explains why the assumed *deflation* is not necessarily caused by
411 depressurization, but rather by a *decrease in shear stress* and resumption of elastic and
412 gravitational rebound of the edifice. For Tungurahua, this interpretation also explains the
413 intriguing timing of alleged deflation prior to Vulcanian explosions. We explain the cyclic
414 tilt behaviour by changes in magma ascent velocity. After each explosive episode fresh
415 magma ascends exerting shear stress along the conduit wall inducing surface deformation
416 and tilt. The entire ascending magma column slows down when viscosity increases due to
417 crystallisation in the upper conduit. This decrease in ascent velocity causes the
418 corresponding drop in tilt amplitude. Seismicity is generated where magma goes through

419 the ductile- brittle transition, and shear stress drops further by the amount dedicated to
420 the generation of this seismicity. This leads to an anti-correlated behaviour between
421 seismicity and tilt as evidenced by the observations.

422 The strategic deployment of a tilt meter high up on the edifice, and close to a magma
423 conduit combined with any seismic monitoring tool offers the possibility to obtain
424 essential parameters for short-term volcano forecasting from a minimal instrumental set-
425 up. Both Tungurahua and Soufrière Hills demonstrate the importance of such a joint
426 interpretation due to the anti-correlated relationship between seismicity and tilt
427 amplitude, i.e. seismicity increases while tilt decreases. This suggests shear stress
428 partitioning between the two competing processes. Based on the interpretation of tilt as
429 caused by shear stress generated by magma tracking up the conduit, and seismicity as a
430 consequence of stick-slip or brittle failure, both of these two processes point towards
431 magma ascent in the last few hundreds of meters below the conduit top. Hence,
432 combining tilt and seismicity can give a quantitative measure of magma ascent rate.
433 However, the exact partitioning of shear stress also depends on magma properties such as
434 yield strength and viscosity. Non-Newtonian behaviour and shear thinning will also affect
435 shear stress in the upper conduit, and therefore provide a further contribution to the tilt
436 signal (Caricchi et al., 2007; Costa, 2005). Shear heating in parts of the conduit might play
437 an important role in the viscosity distribution and the resulting shear stress along the
438 conduit wall. Further studies into temperature- and strain rate-dependent magma
439 viscosity will help to constrain our models of magma ascent rate which is the critical
440 parameter that controls the eruption style: lower ascent rates lead to effusive magma

441 extrusion while fast rates can result in explosive behaviour that generates heightened
442 levels of hazards. Hence, being able to estimate the magma ascent rate will increase the
443 chances of early warning.

444

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