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1 **Title:** Emission budgets and pathways consistent with limiting warming to  
2 1.5°C

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## 20 **Opening paragraph**

21 The Paris Agreement has opened debate on whether limiting warming to  
22 1.5°C is compatible with current emission pledges and warming of about  
23 0.9°C from the mid- 19<sup>th</sup>-century to the present decade. We show that limiting  
24 cumulative post-2015 CO<sub>2</sub> emissions to about 200 GtC would limit post-2015  
25 warming to less than 0.6°C in 66% of Earth System Model members of the

26 CMIP5 ensemble with no mitigation of other climate drivers, increasing to  
27 240GtC with ambitious non-CO<sub>2</sub> mitigation. We combine a simple climate-  
28 carbon-cycle model with estimated ranges for key climate system properties  
29 from the IPCC 5<sup>th</sup> Assessment Report. Assuming emissions peak and decline  
30 to below current levels by 2030 and continue thereafter on a much steeper  
31 decline, historically unprecedented but consistent with a standard ambitious  
32 mitigation scenario (RCP2.6), gives a likely range of peak warming of 1.2-  
33 2.0°C above the mid-19<sup>th</sup>-century. If CO<sub>2</sub> emissions are continuously adjusted  
34 over time to limit 2100 warming to 1.5°C , with ambitious non-CO<sub>2</sub> mitigation,  
35 net future cumulative CO<sub>2</sub> emissions are unlikely to prove less than 250 GtC  
36 and unlikely greater than 540GtC. Hence limiting warming to 1.5°C is not yet a  
37 geophysical impossibility, but likely requires delivery on strengthened pledges  
38 for 2030 followed by challengingly deep and rapid mitigation. Strengthening  
39 near-term emissions reductions would hedge against a high climate response  
40 or subsequent reduction-rates proving economically, technically or politically  
41 unfeasible.  
42

43 **Main text:**

44 The aim of Paris Agreement is “holding the increase in global average  
45 temperature to well below 2°C above pre- industrial levels and pursuing efforts  
46 to limit the temperature increase to 1.5°C ”<sup>1</sup>. The Parties also undertook to  
47 achieve this goal by reducing net emissions “to achieve a balance between  
48 anthropogenic sources and removals by sinks of greenhouse gases in the  
49 second half of this century”, and hence implicitly not by geo-engineering  
50 planetary albedo. Under what conditions is this goal geophysically feasible?

51

52 Human-induced warming reached an estimated 0.93°C ( $\pm 0.13$ °C ; 5-95  
53 percentile range) above mid-19<sup>th</sup>-century conditions in 2015 and is currently  
54 increasing at almost 0.2°C per decade <sup>2</sup>. Combined with the effects of El Niño  
55 and other sources of natural variability, total warming exceeded 1°C for the  
56 first time in 2015 and again in 2016<sup>3</sup>. Average temperatures for the 2010s are  
57 currently 0.88°C above 1861-80, which would rise to 0.93°C should the y  
58 remain at 2015 levels for the remainder of the decade. With few exceptions<sup>4,5</sup>,  
59 mitigation pathways that could achieve peak or end-of-century warming of  
60 1.5°C have thus far received little attention. Even the “Paris, increased  
61 ambition” scenario of ref. 6 results in CO<sub>2</sub> emissions still well above zero in  
62 2100 and hence a low chance of limiting warming to 1.5°C.

63

64 Long-term anthropogenic warming is determined primarily by cumulative  
65 emissions of CO<sub>2</sub><sup>7-10</sup>: the IPCC 5<sup>th</sup> Assessment Report (IPCC-AR5) found that  
66 cumulative CO<sub>2</sub> emissions from 1870 had to remain below 615GtC for total  
67 anthropogenic warming to remain below 1.5°C in more than 66% of members

68 of the CMIP5 ensemble of Earth System Models (ESMs)<sup>11</sup> (see Fig. 1a).  
69 Accounting for the 545GtC that had been emitted by the end of 2014<sup>12</sup>, this  
70 would indicate a remaining budget from 2015 of less than 7 years' current  
71 emissions, while current commitments under the Nationally Determined  
72 Contributions (NDCs) indicate 2030 emissions close to current levels<sup>13</sup>.

73

74 The scenarios and simulations on which these carbon budgets were based,  
75 however, were designed to assess futures in absence of CO<sub>2</sub> mitigation, not  
76 the very ambitious mitigation scenarios and correspondingly small amounts of  
77 additional warming above present that are here of interest. Furthermore,  
78 many mitigation scenarios begin reductions in 2010 and are already  
79 inconsistent with present-day emissions, complicating the comparison with  
80 pledges for 2030.

81

## 82 **Updating carbon budgets and scenarios for ambitious mitigation goals**

83 The black cross on Fig. 1a shows an estimate of human-induced warming,  
84 which excludes the impact of natural fluctuations such as El Niño, in 2015  
85 ( $0.93 \pm 0.13^\circ\text{C}$  relative to 1861- 80; 5-95 percentile range) and pre-2015  
86 cumulative carbon emissions ( $545 \pm 75\text{GtC}$  since 1870; 1 standard deviation).

87 While both quantities are individually consistent with the CMIP5 ensemble, in  
88 the mean CMIP5 response (coloured lines) cumulative emissions do not  
89 reach 545GtC until after 2020, by which time the CMIP5 ensemble-mean  
90 human-induced warming is over  $0.3^\circ\text{C}$  warmer than the central estimate for  
91 human-induced warming to 2015. In estimating the outstanding carbon budget  
92 for  $1.5^\circ\text{C}$ , this is an important discrepancy. IPCC-AR5 also calculated the

93 percentiles of the CMIP5 distribution that exceeded given thresholds of  
94 warming relative to the average of 1986-2005 (Table 12.3 of ref 14), adding a  
95 further 0.61°C to express these relative to 1850-1900. However, this  
96 reference period and the GCM ensemble used in this table are not identical to  
97 the ESM ensemble used to derive estimates of the carbon budget, for which a  
98 volcano-free reference period is preferred, to focus on human-induced  
99 warming. Moreover, since the discrepancy in warming between ESMs and  
100 observations only emerges after 2000, expressing warming relative to the  
101 1986-2005 reference period does not entirely resolve it and also does not  
102 address the small underestimate in cumulative emissions to date. Fig. 1b  
103 shows an alternative analysis of the CMIP5 ensemble to assess the remaining  
104 carbon budget for an additional 0.6°C of warming beyond the current decade,  
105 a possible interpretation of 'pursuing efforts to limit the temperature increase  
106 to 1.5°C' in light of estimated human-induced warming to date. The median  
107 response of the CMIP5 models indicates allowable future cumulative  
108 emissions (threshold-exceedance budget or TEB<sup>15</sup>) of 223GtC for a further  
109 0.6°C warming above the 2010-2019 average, and a 204GtC remaining TEB  
110 from 2015 to keep warming likely below this value (meaning, by the time  
111 cumulative emissions from 2015 reach 204GtC, 66% of CMIP5 models have  
112 warmed less than 0.6°C above the present decade, consistent with the  
113 methodology for assessing the 2°C carbon budget in IPCC-AR5<sup>16</sup>). Given  
114 uncertainty in attributable human-induced warming to date, differences  
115 between observational products and true global surface air temperature<sup>17</sup>, and  
116 the precise interpretation of the 1.5°C goal in the Paris Agreement (for  
117 example, the choice of pre-industrial reference period which temperatures are

118 defined relative to<sup>18</sup>), budgets corresponding to a range of levels of future  
119 warming should also be considered – see Table 1 and the Supplementary  
120 Information.

121

122 TEBs are useful because peak CO<sub>2</sub>-induced warming is a function (shown by  
123 the grey plume in figure 1) of cumulative CO<sub>2</sub> emissions and approximately  
124 independent of emission path, although threshold behaviour, such as sudden  
125 carbon release from thawing permafrost, might complicate this relationship<sup>19</sup>.

126 This does not apply to non-CO<sub>2</sub> forcing, which is relatively more important for  
127 ambitious mitigation scenarios. The rapid warming from the 2000s to the  
128 2030s in CMIP5 arises partly from strong increases in net non-CO<sub>2</sub> forcing  
129 over this period in the driving RCP scenarios, due to simulated rapid  
130 reductions in cooling aerosol forcing. It remains unclear whether this increase  
131 in non-CO<sub>2</sub> forcing will be observed if future reductions in aerosol emissions  
132 occur because present-day effective non-CO<sub>2</sub> forcing is still highly uncertain<sup>20</sup>.

133 Table 2 shows budgets for thresholds of future warming in the CMIP5  
134 ensemble under an RCP2.6 scenario, a stabilisation scenario in which non-  
135 CO<sub>2</sub> forcing across the rest of the century remains closer to the 2010-2019  
136 average than in the RCP8.5 scenario. This allows more CO<sub>2</sub>-induced warming  
137 for the same total, increasing the median TEB of the CMIP5 distribution for an  
138 additional 0.6°C to 303GtC and the 66<sup>th</sup> percentile to 242GtC.

139

140 In many current ambitious mitigation scenarios (e.g. RCP2.6<sup>21</sup>, dark blue lines  
141 in fig. 2), substantial CO<sub>2</sub> emission reductions begin in 2010, such that both  
142 emissions and forcing are already inconsistent with observed climate state

143 and emission inventories to date. The thick dark green lines in Fig. 2 show an  
144 amended version of RCP2.6 that is more consistent with current emissions  
145 and estimated present-day climate forcing. This scenario, hereafter referred to  
146 as RCP2.6-2017, assumes the same proportional rates of change of  
147 emissions of both CO<sub>2</sub> and other anthropogenic forcing components as in the  
148 standard RCP2.6 scenario from 2010, but with the mitigation start date  
149 delayed by 7 years to 2017 (following the RCP8.5 scenario<sup>22</sup> between 2010-  
150 2017). This is more representative of a possible mitigation pathway from  
151 today: many nations are already planning on policy action to reduce  
152 emissions over the 2015-2020 period, in anticipation of achieving their NDC  
153 commitments in the future. Total anthropogenic radiative forcing peaks in  
154 2050 (at 3.41 Wm<sup>-2</sup>) in RCP2.6-2017, as opposed to in 2043 (at 3.00 Wm<sup>-2</sup>)  
155 under RCP2.6. The grey lines represent emissions pathways from the IPCC  
156 430-480ppm scenario category<sup>23,24</sup> but with proportional decreases in  
157 radiative forcing also delayed by 7 years to start in 2017.

158

159 Figure 2c shows the implications of these scenarios for future warming,  
160 evaluated with a simple climate model that reproduces the response of the  
161 CMIP5 models to radiative forcing under ambitious mitigation scenarios  
162 (Supplementary Material). Like other simple climate models, this lacks an  
163 explicit physical link between oceanic heat and carbon uptake. It allows a  
164 global feedback between temperature and carbon uptake from the  
165 atmosphere, but no direct link with net deforestation. It also treats all forcing  
166 agents equally, in the sense that a single set of climate response parameters  
167 are used in for all forcing components, despite some evidence of component

168 specific responses<sup>25,26</sup>. We do not, however, attempt to calibrate the model  
169 directly against observations, using it instead to explore the implications of  
170 ranges of uncertainty in emissions<sup>12</sup>, and forcing and response derived  
171 directly from the IPCC-AR5, which are derived from multiple lines of evidence  
172 and, importantly, do not depend directly on the anomalously cool  
173 temperatures observed around 2010. Non-CO<sub>2</sub> forcing and the transient  
174 climate response (TCR) co-vary within AR5 ranges to consistently reproduce  
175 present-day externally-forced warming (Methods), and as in figure 1b, we  
176 quote uncertainties in future temperatures relative to this level.

177

178 The limits of the green plume in Fig. 2c show peak warming under the  
179 RCP2.6-2017 scenario is likely between 1.24-2.03°C (1.12-1.99°C for 210 0  
180 warming) given a 2015 externally-forced warming of 0.92°C . The IPCC-AR5  
181 did not propose a 'best-estimate' value of the TCR, but using a central value  
182 of 1.6°C (the median of a log-normal distribution consistent with IPCC-AR5  
183 likely ranges, the typical shape of most reported TCR distributions in ref. 16),  
184 RCP2.6-2017 gives a median peak warming of 1.55°C above pre-industrial  
185 (1861-1880 mean) and 1.47°C in 2100, approximately consistent with as likely  
186 as not (50% probability) of warming below 1.5°C in 2100.

187

188 The shaded green bands show the central four probability sextiles of the  
189 distribution of responses to RCP2.6-2017 for a log-normal distribution for TCR  
190 (see Supplementary Material for alternative distributions). Under RCP2.6-  
191 2017, peak warming is likely below 2°C, and well below 2°C by the end of the  
192 century. However, such a scenario cannot exclude a non-negligible probability

193 of peak warming significantly in excess of 2°C, particularly given the  
194 possibility of non-linear climate feedbacks for which there is some evidence in  
195 more complex GCMs<sup>27</sup>.

196

197 Emissions in Fig. 2a are diagnosed from radiative forcing in Fig. 2b using a  
198 version of the IPCC-AR5 carbon cycle impulse-response function<sup>28</sup>, with a  
199 minimal modification to account for the change in the impulse response  
200 between pre-industrial and 21<sup>st</sup> century conditions due to atmospheric CO<sub>2</sub>  
201 and temperature-induced feedbacks on carbon uptake, as observed in Earth  
202 System Models<sup>29</sup>. This simple model reproduces the response of ESMs to  
203 ambitious mitigation scenarios (Supplementary Information) including, with  
204 best-estimate parameters, near-constant temperatures following a cessation  
205 of CO<sub>2</sub> emissions. The temperature response of the UVic Earth System  
206 Climate Model (UVic ESCM)<sup>30-32</sup> driven by the diagnosed RCP2.6-2017  
207 emissions scenario and non-CO<sub>2</sub> forcing is shown in Fig. 2c (orange line),  
208 which is emulated well by the simple carbon-cycle-climate model with  
209 equivalent climate response parameters (thin green line, see Methods).  
210 Carbon-cycle feedback uncertainties (see Methods) only have limited scope  
211 to influence the allowable emissions under scenarios in which concentrations  
212 and temperatures peak at a relatively low level.

213

214 Since RCP2.6-2017 represents a scenario with ambitious CO<sub>2</sub> and non-CO<sub>2</sub>  
215 mitigation, it currently lies near the lower limit of 2100 anthropogenic forcing  
216 available in the literature<sup>4,15</sup>, as shown by the grey lines in Figure 2. We have  
217 not assumed any additional non-CO<sub>2</sub> mitigation beyond RCP2.6, but

218 uncertainties in mitigation technologies and demand reduction measures  
219 decades into the future mean that non-CO<sub>2</sub> mitigation may yet play a larger  
220 role than indicated here.

221

## 222 **Adaptive mitigation paths and implications for carbon budgets**

223 The Paris Agreement establishes a regime of continuously updated  
224 commitments informed by on-going scientific and policy developments and  
225 the overarching temperature and emission reduction goal. We therefore re-  
226 estimate carbon budgets, accounting for the present-day climate state and  
227 current uncertainty in the climate response, and assuming mitigation efforts  
228 are perfectly adapted over time to achieve a warming in 2100 of 1.5°C for a  
229 range of possible realisations of the climate response<sup>2,33</sup>. Figure 3a shows a  
230 distribution of future temperature trajectories, for different climate responses,  
231 that are all consistent with observed attributable warming in 2015 and a  
232 smooth transition to 1.5°C in 2100. The limits of the green plume show  
233 temperature trajectories associated with IPCC-AR5 likely ranges for TCR and  
234 equilibrium climate sensitivity (ECS), with bands delineating the central four  
235 sextiles of the distribution. These temperatures initially follow the responses to  
236 the RCP2.6-2017 scenario (the green plumes in Figure 2c) but are then  
237 smoothly interpolated over the coming century to the trajectory given by the  
238 best-estimate response (see Supplementary Methods). This provides a simple  
239 representation of goal-consistent pathways for a range of possible climate  
240 responses<sup>34</sup>. In contrast to a scenario-driven, forward-modelling approach  
241 (e.g. ref. 6 and Fig. 2), the temperature trajectories in Figure 3a define the  
242 scenario, from which corresponding CO<sub>2</sub> emission pathways (Figure 3b) are

243 derived, similar to the temperature-tracking approach used by ref 10. This  
244 implicitly assumes that information on the emerging climate response is  
245 available and acted upon instantaneously. In reality, both resolving the  
246 response and adapting policies will be subject to delay, although the impact  
247 can be reduced if policies respond to both observed and decadal predictions  
248 of human-induced warming, which are much better constrained than long-  
249 term projections of, for example, ECS.

250

251 Green bands in Fig. 3b show emissions compatible with the goal-consistent  
252 temperature trajectories and climate responses of Figure 3a, computed using  
253 the modified IPCC-AR5 impulse-response function with carbon-cycle  
254 feedback uncertainty assumed positively correlated with TCR (see Methods).  
255 Such an assumption may be pessimistic, but uncertainty in these feedbacks  
256 may also be underestimated in CMIP5 – the impact of thawing permafrost, for  
257 example, is generally not represented.

258

259 Fig. 3c shows cumulative emissions (net carbon budgets) consistent with  
260 limiting warming to 1.5°C warming in 2100 under the climate response  
261 uncertainty distribution and these goal-consistent pathways. The median (*'as*  
262 *likely as not'*) case corresponds to a cumulative budget of 370GtC  
263 (1400GtCO<sub>2</sub> - all carbon budgets given to 2 significant figures) from 2015 to  
264 2100, including ~10GtC of net negative emissions in the final decades.  
265 Compared to this, higher cumulative CO<sub>2</sub> emissions budgets are associated  
266 with lower climate responses and vice versa (hence the ordering of the  
267 coloured bands in 3a and 3b). Assuming completely successful adaptive CO<sub>2</sub>

268 mitigation to achieve a warming of 1.5°C in 2100 (allowing for mid-century  
269 temperature overshoots, assuming non-CO<sub>2</sub> forcing following RCP2.6-2017,  
270 and imposing no restrictions on the rate of net carbon dioxide removal), the  
271 cumulative carbon budget from 2015 to 2100 is unlikely (<33% probability) to  
272 be less than 250GtC (920GtCO<sub>2</sub>), in good agreement with the 242GtC TEB  
273 for the 66<sup>th</sup> percentile of the CMIP5 distribution for 0.6°C warming above the  
274 2010-2019 average in the RCP2.6 scenario (Table 2). Conversely, cumulative  
275 future emissions from 2015 compatible with a warming of 1.5°C in 2100 are  
276 unlikely to be greater than 540GtC (the top of the 50-67% band in Figure 3c)  
277 even under such an idealised perfectly responsive mitigation policy. The  
278 relationship between CO<sub>2</sub>-induced future warming compatible with the  
279 cumulative emissions shown in Fig. 3c is also broadly consistent that  
280 expected from the IPCC-AR5 likely range of TCRE (see Fig. S4), which, when  
281 combined with varying contributions from non-CO<sub>2</sub> forcing, informs the all-  
282 forcing budgets quoted here.

283

284 The small difference that varying TCR makes to warming between 2015 and  
285 2030 (Fig. 3a) highlights both the importance of continuous quantifications of  
286 human-induced warming in any stock-take of progress to climate stabilization,  
287 and the need for a precautionary approach even under an adaptive mitigation  
288 regime<sup>34</sup>. Although more progress has been made on constraining TCR than  
289 ECS, uncertainties are unlikely to be resolved rapidly. Allowing emissions to  
290 rise in the hope of a low climate response risks infeasible subsequent  
291 reductions should that hope prove ill founded. Conversely, the risk of “over-  
292 ambitious” mitigation is low: the darkest green plume in fig. 3b shows that the

293 difference between a TCR of 1.3°C and 1°C has a substantial impact on the  
294 allowable carbon budget for 1.5°C, but the probability of a TCR in that range  
295 is already assessed to be low. Since IPCC-AR5 a number of studies have  
296 suggested an increase in the lower bound on TCR towards 1.3°C (e.g ref. <sup>25</sup>),  
297 whilst others indirectly support a 1.0°C lower bound through upward revisions  
298 of radiative forcing<sup>35,36</sup>. Using a TCR likely range of 1.3-2.5°C and an ECS  
299 likely range of 2.0-4.5°C, the remaining budget for a 1.5°C warming would be  
300 unlikely greater than 400GtC and unlikely less than 220GtC (see  
301 Supplementary Information figure S18).

302

### 303 **Discussion and implications for the ‘emissions gap’**

304 Much recent policy discussion has centred on the ‘emissions gap’ between  
305 the NDCs emerging from the Paris Agreement and emission scenarios  
306 consistent with 1.5°C and 2°C <sup>13,37</sup>. The extent of any ‘gap’ depends on the  
307 uncertain climate response; the definition of the Paris Agreement goals; the  
308 interpretation, delivery and/or revision of the NDCs, and in particular the  
309 technical and/or socio-economic feasibility of subsequent emissions  
310 reductions.

311

312 Considerable uncertainties are associated with the NDCs themselves<sup>13,38</sup>.  
313 Modelling indicates that the NDCs could be consistent with global fossil fuel  
314 and land-use change CO<sub>2</sub> emissions in 2030 only slightly above 2015  
315 values<sup>6,13</sup> (lower limit of the brown bar in Fig. 2a and 3b), close to the RCP2.6-  
316 2017 scenario. This would imply that if (i) NDCs are fully implemented  
317 (including all conditional elements), with plausible values for Chinese

318 emissions in 2030, and (ii) RCP2.6-2017 mitigation rates are maintained after  
319 2030, then the NDCs would still remain inconsistent with future scenarios  
320 projected to correspond to a peak warming likely below 2°C and a 2100  
321 warming as likely as not below 1.5°C. However, a modest strengthening of the  
322 pledges corresponding to an approximate 10% reduction in proposed 2030  
323 emissions could achieve consistency with such scenarios. Hence the NDCs  
324 as they stand do not necessarily imply a commitment to a fundamentally  
325 different approach, such as resorting to solar radiation management (SRM), to  
326 achieve a warming of 1.5°C in 2100, if the climate response is close to or less  
327 than our central estimate and if emissions can be rapidly reduced after 2030.  
328 The RCP2.6-2017 scenario involves a smooth transition to slightly negative  
329 net CO<sub>2</sub> emissions after 2080, which may require challenging rates of  
330 deployment of CO<sub>2</sub> removal (CDR). Figure 3b shows that returning warming to  
331 1.5°C in 2100 under a higher climate response potentially requires very  
332 substantial rates of CDR, which may not be technically feasible or socio-  
333 economically plausible.

334

335 An additional caveat to assessments of a 2030 “emissions gap” is that most  
336 NDCs are formulated in terms of CO<sub>2</sub>-equivalent (CO<sub>2</sub>-eq) emissions, a  
337 composite metric of warming impact of different gases based on Global  
338 Warming Potentials (GWPs) from various IPCC reports. It is therefore  
339 impossible to assess precisely the 2030 emissions of CO<sub>2</sub> itself that are  
340 compatible with these pledges without additional assumptions, because CO<sub>2</sub>-  
341 eq pledges could be attained through varying combinations of long-lived and  
342 short-lived forcer mitigation<sup>39–41</sup>. Separate reporting of long-lived and short-

343 lived greenhouse gases in national pledges would help clarify their long-term  
344 implications<sup>41,42</sup>.

345

346 Aside from scientific uncertainties and the interpretation of the NDCs, a crucial  
347 issue is the feasibility of achieving sufficient rates and levels of  
348 decarbonisation required by these ambitious mitigation scenarios. Rapid  
349 decarbonisation relies on societies being able to swiftly replace existing  
350 capital with new investments at massive scales. Inertia within the economic  
351 system is an important constraint on realisable mitigation pathways<sup>43</sup>.

352 RCP2.6-like scenarios imply decarbonisation at over 0.3GtC/yr/yr in the 2030s  
353 and 2040s – or 4-6% per year sustained for multiple decades. If applied to  
354 gross CO<sub>2</sub> emissions, such rates of reduction have historically only been  
355 observed globally for short periods, such as in the 1930s Great Recession  
356 and the 2<sup>nd</sup> World War, and regionally in the collapse of the former Soviet  
357 Union<sup>44</sup>. Sustained decarbonisation at these rates, and the associated capital  
358 displacement (run-down and replacement of fossil-fuel infrastructure), would  
359 be historically unprecedented, though the parallel between intentional policy-  
360 driven decarbonisation in the future and historical rates remains unclear.

361

362 Longer-term deep decarbonisation also relies on many energy system  
363 innovations, including development and deployment on an unprecedented  
364 scale of renewable energy as well as, as yet undemonstrated, amounts of  
365 carbon capture and storage and CDR<sup>45</sup>. Given possible limits to rates of  
366 decarbonisation, near-term mitigation ambition and delays in mitigation start  
367 dates may strongly influence peak and 2100 warming. The purple dashed

368 lines in Fig. 2 illustrate this point with a simple scenario in which CO<sub>2</sub>  
369 emissions reduce linearly (at 0.17GtC/yr/yr, about 0.6GtCO<sub>2</sub>/yr/yr) from 2020  
370 in order to achieve approximately the same warming as RCP2.6-2017 in  
371 2100. Under this scenario, maximum rates of decarbonisation are much lower  
372 than in RCP2.6-2017, in both absolute and percentage terms, demonstrating  
373 the potential advantage of more ambitious near-term mitigation given the risk  
374 that subsequent RCP2.6-like rates of decarbonisation may be unachievable.

375

376 More ambitious near-term mitigation may be more feasible than previously  
377 thought. The rapid growth of global emissions 2000-2013 was dominated by  
378 increases in Chinese emissions<sup>46</sup>, driven, at least in part, by unprecedented  
379 levels of debt-fuelled investment in carbon-intensive industries and capital  
380 stock<sup>47</sup>. Sustaining such expansion is likely to be neither necessary (the  
381 infrastructure is now built) nor feasible (the debt levels are likely to prove  
382 unsustainable)<sup>47</sup>. For these reasons, the possibility that both Chinese and  
383 global emissions are at or near their peak<sup>46,48</sup> and could reduce from 2020,  
384 seems less far-fetched than it did. This could allow for the required  
385 strengthening of the NDCs in the 2020 review towards an RCP2.6-2017  
386 trajectory or beyond, more readily consistent a 1.5°C goal.

387

388 Regular review of commitments is built in to the Paris Agreement. This  
389 stocktake should be extended to relate commitments directly to the long-term  
390 temperature goal. As human-induced warming progresses, the question must  
391 be asked: “Are we on track to reduce net emissions to zero to stabilise climate  
392 well below 2°C as agreed in Paris ”? Regular updates of human-induced

393 warming based on a standard and transparent methodology would allow  
 394 countries to adapt commitments to the emerging climate response. Our  
 395 analysis suggests that ‘pursuing efforts to limit the temperature increase to  
 396 1.5°C’ is not chasing a geophysical impossibility, but likely requires a  
 397 significant strengthening of the NDCs at the first opportunity in 2020 in order  
 398 to hedge against the risks of a higher-than-expected climate response and/or  
 399 economic, technical or political impediments to sustained reductions at  
 400 historically unprecedented<sup>34</sup> rates after 2030.

401

402 **Tables**

	Percentiles of CMIP5 models				
Warming above 2010-2019 average (°C)	90%	66%	50%	33%	10%
0.3	80	106	119	142	189
0.4	107	133	155	172	242
0.5	137	168	186	209	299
0.6	164	204	223	250	333
0.7	199	245	256	289	387
0.8	231	279	301	333	438
0.9	274	321	348	376	505
1.0	306	358	382	421	579
1.1	332	395	416	464	653

403

404 **Table 1:** Future cumulative budgets (GtC) from January 2015 for percentiles  
 405 of the distribution of RCP8.5 simulations of CMIP5 models and various levels  
 406 of future warming above the modelled 2010-2019 average. Percentiles  
 407 correspond to the percentage of CMIP5 models that have greater cumulative  
 408 emissions for the given level of warming.

409

	Percentiles of CMIP5 models				
Warming above 2010-2019 average (°C)	90%	66%	50%	33%	10%
0.3	89	106	118	133	245
0.4	106	152	173	193	NA
0.5	126	191	214	258	NA
0.6	143	242	303	NA	NA
0.7	170	291	NA	NA	NA
0.8	177	372	NA	NA	NA
0.9	277	NA	NA	NA	NA
1.0	468	NA	NA	NA	NA
1.1	NA	NA	NA	NA	NA

410

411 **Table 2:** Future cumulative budgets (GtC) from January 2015 for percentiles  
412 of the distribution of RCP2.6 simulations of CMIP5 models and various levels  
413 of future warming above the modelled 2010-2019 average. Percentiles  
414 correspond to the percentage of CMIP5 models that have greater cumulative  
415 emissions for the given level of warming. If an insufficient number of models  
416 warm above a particular threshold to calculate a given percentile of the total  
417 model distribution a value of NA is given.

418

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- 556
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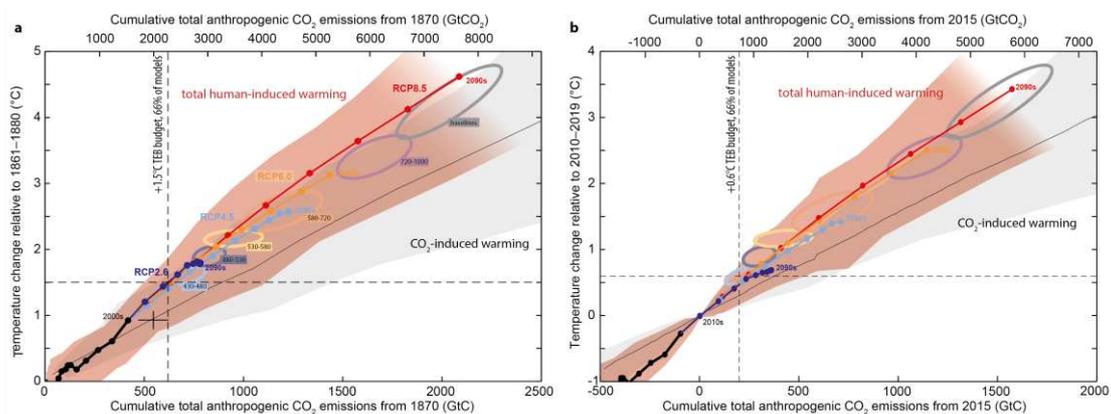
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571 of the manuscript along with JSF, MG, PF and MRA. All authors contributed to  
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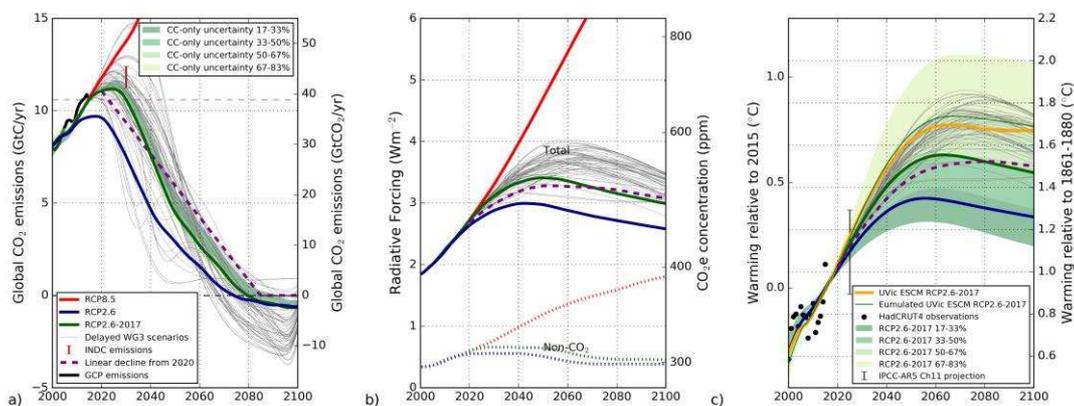
575

## 576 **Figures**



577

578 **Figure 1:** Warming as a function of cumulative CO<sub>2</sub> emissions in the CMIP5  
579 ensemble. (a) Cumulative emissions since 1870 and warming relative to the  
580 period 1861-80, adapted from figure 2.3 of ref 11. The red and grey plumes  
581 show the 5-95% range of model response under the RCPs and 1% annual  
582 CO<sub>2</sub> increase scenarios respectively. Thick coloured lines show ensemble  
583 mean response to the RCP forcing scenarios. Ellipses show cumulative  
584 emissions and warming in 2100 for different categories of future emissions  
585 scenario. Black cross shows uncertainty in 2015 human-induced warming and  
586 observed cumulative emissions. (b) As for a) but with cumulative emissions  
587 given since January 2015 and warming relative to the period 2010-2019.  
588 Dashed vertical grey lines show the threshold exceedance budgets (TEBs)  
589 below which over 66% of models have warmed less than 1.5°C above 1861-  
590 80 in panel (a), and less than 0.6°C above 2010- 19 in panel (b).

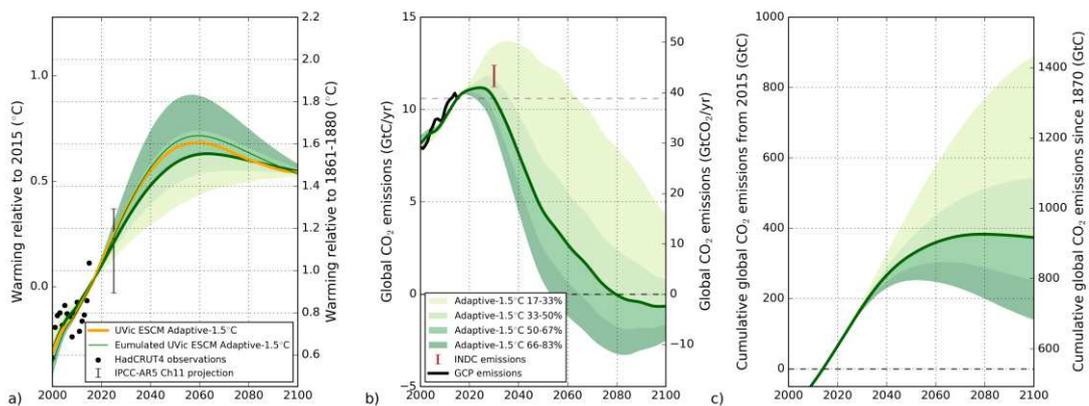


591

592 **Figure 2:** Emissions, forcing and temperature response associated with  
593 various mitigation scenarios. Solid lines in panel (b) show total anthropogenic  
594 forcing for RCP8.5 (red), RCP2.6 (dark blue), RCP2.6-2017 (dark green) and  
595 delayed IPCC-WG3 430-480ppm (grey) scenarios. Dotted lines show non-  
596 CO<sub>2</sub> forcing. Solid lines in panel (a) shows median diagnosed emissions, with

597 green shading showing the central 4 probability sextiles in the carbon-cycle  
 598 feedbacks distribution. The brown bar denotes projected emissions in 2030  
 599 based on current NDCs. Solid lines in panel (c) show median temperature  
 600 response, with green shading showing central 4 probability sextiles of  
 601 response to RCP2.6-2017 radiative forcing. Black bar shows the likely range  
 602 for the IPCC-AR5 scenario-independent projection for the average of the  
 603 2016-2035 period<sup>49</sup>, while black dots represent HadCRUT4 observations  
 604 (relative to right hand axis only). The response of the UVic ESCM (orange),  
 605 and the simple climate model with identical climate response parameters (thin  
 606 green), both driven by the diagnosed RCP2.6-2017 emissions scenario are  
 607 shown in panel (c). These two lines correspond to the left hand axis only.  
 608 Purple dashed lines in all panels show a hypothetical scenario with linear  
 609 emissions decline from 2020 giving similar median warming in 2100 to  
 610 RCP2.6-2017.

611



612

613 **Figure 3:** Temperature trajectories and associated emissions consistent with  
 614 1.5°C warming in 2100 for a range of climate responses under an adaptive  
 615 mitigation regime. Dark green line in panel (a) shows median response to  
 616 RCP2.8-2017 scenario as in Fig. 2c, green plume shows temperature

617 trajectories corresponding initially to central 4 sextiles of the response to  
618 RCP2.6-2017, then smoothly interpolated over 2017-2117 to the median  
619 response. The orange line shows the response of the UVic ESCM driven by  
620 diagnosed emissions from the simple climate-carbon-cycle model consistent  
621 with the interpolated temperature trajectory corresponding to the UVic ESCM  
622 climate response parameters. The thin green line shows the response of the  
623 simple climate-carbon-cycle model driven by the same emissions as the UVic  
624 ESCM with identical climate response parameters to UVic ESCM and  
625 identical carbon-cycle parameters to the standard RCP2.6-2017 scenario in  
626 Fig 1a. These two lines correspond to the left hand axis only. Panel (b) shows  
627 diagnosed emissions consistent with temperature trajectories in panel (a) and  
628 the corresponding response percentile. Brown and black bars shows INDC  
629 emission range and near-term temperature projection as in Fig. 2. Panel (c)  
630 shows cumulative emissions from 2015, or relative to 1870 (right hand axis)  
631 assuming the observed best-estimate of 545GtC emissions 1870-2014.

632

633

634

635

## 636 **Methods**

637 We refer to “climate response” as a specified combination of TCR and ECS  
638 throughout this paper. Our median estimate climate response (TCR=1.6°C,  
639 ECS=2.6°C) is defined as the median of log-normal distributions consistent  
640 with IPCC-AR5 likely bounds on TCR and ECS (TCR: 1.0-2.5°C; ECS: 1.5-  
641 4.5°C). From this the likely above/below values are found from the 33<sup>rd</sup> and

642 66<sup>th</sup> percentiles of the distribution (TCR: 1.3-1.9°C; ECS: 2.0-3.3°C ). The  
643 median TCR of this log-normal distribution is significantly lower than in the  
644 IPCC-AR5 ESM ensemble but is more consistent with observed warming to  
645 date than many ensemble members (see Supplementary Methods), indicative  
646 of the multiple lines of evidence used to derive the IPCC-AR5 uncertainty  
647 ranges. Although IPCC-AR5 did not explicitly support a specific distribution,  
648 there is some theoretical justification<sup>50</sup> for a log-normal distribution for a  
649 scaling parameter like TCR. Reconciling IPCC-AR5 best-estimate of  
650 attributable warming trend over 1951-2010 with the best-estimate effective  
651 radiative forcing requires a best-estimate TCR near to 1.6°C under the simple  
652 climate model used here, consistent with a log-normal distribution. As a  
653 sensitivity study, we also assume a Gaussian distribution for TCR (see  
654 Supplementary Methods) that raises the 2015 attributable warming to 1.0°C  
655 but only marginally affects the remaining carbon budget for a 1.5°C warming  
656 above pre-industrial (the likely below budget is reduced to 240GtC).

657

658 The ECS distribution used here is derived directly from the IPCC-AR5 likely  
659 bounds that drew on multiple lines of evidence, so our conclusions are not  
660 directly affected by uncertainties in the efficacy of ocean heat uptake that  
661 affect purely observational constraints on ECS<sup>51</sup>. We are not here arguing for  
662 the revision of uncertainty estimates on any climate response parameters,  
663 although any such revision would of course affect our conclusions. The  
664 implications of an increased lower bound on the climate response are shown  
665 in figure S18.

666

667 Reproducing present day temperatures with differing values for both TCR and  
668 ECS requires these parameters to co-vary with present-day net anthropogenic  
669 radiative forcing<sup>52</sup>. In the best-estimate forcing case (Figure 2b), past and  
670 future effective radiative forcing components are individually scaled  
671 (multiplicatively) to match the respective best-estimate values for each  
672 component in 2011 as given in IPCC-AR5<sup>25</sup>. Figures 2 and 3 scale past and  
673 future anthropogenic aerosol effective radiative forcing (the most uncertain  
674 forcing component<sup>28</sup>), along with accounting for combined uncertainty in the  
675 non-CO<sub>2</sub> effective radiative forcing components that were assessed to have  
676 Gaussian distributed uncertainty in IPCC-AR5 (draws from this distribution are  
677 taken at a percentile equal to the TCR distribution draw). The aerosol  
678 radiative forcing scaling factor is chosen to give externally-forced warming  
679 above 1861-1880 equal to that under the median climate response (i.e.  
680 0.92°C in 2015) for all draws from the climate response distribution. In all  
681 cases shown the scaled 2011 aerosol forcing is within IPCC-AR5 assessed  
682 uncertainty bounds. A summary of climate system properties used is given in  
683 Table S1: in only one case (the TCRC value implied by the lowest, 17<sup>th</sup>,  
684 percentile) are these outside the AR5 “likely” ranges, and this parameter  
685 combination is only used in the figures, not our headline conclusions.

686

687 Temperature anomalies are computed using a two-timescale impulse-  
688 response model from ref. <sup>29</sup> and <sup>28</sup>, in which surface temperatures adjust to an  
689 imposed radiative forcing with a fast and slow timescale characterising the  
690 uptake of heat into the upper and deep ocean (set at 8.4 and 409.5 years  
691 respectively as in ref. <sup>28</sup>). The lower limit of the TCR likely range requires a

692 total anthropogenic forcing of  $3.54\text{Wm}^{-2}$  in 2011, slightly greater than the  
693 upper bound of the IPCC-AR5 confidence interval ( $3.33\text{Wm}^{-2}$ ). Natural forcing  
694 is taken as given at <http://www.pik-potsdam.de/~mmalte/rcps/> and is  
695 smoothed with a 10-year standard deviation Gaussian filter beyond 2015 in all  
696 scenarios.

697

698 In constructing temperature trajectories in Figure 3a, a smooth cosine  
699 interpolation of the  $\text{CO}_2$ -induced warming is applied over the period 2017 to  
700 2117 between the response for a specific climate response parameter set to  
701 RCP2.6-2017 and the total warming under the RCP2.6-2017 median climate  
702 response (which meets the goal of  $1.5^\circ\text{C}$  in 2100). Non-  $\text{CO}_2$  warming remains  
703 as originally simulated under the climate response parameter set for RCP2.6-  
704 2017 and only  $\text{CO}_2$ -induced warming is adapted to force the total warming to  
705 asymptote towards the median response of RCP2.6-2017, corresponding to a  
706 scenario in which only  $\text{CO}_2$  policy responds to the emerging signal.

707

708  $\text{CO}_2$  emissions in Figure 2a and 3b are derived using the simple carbon-cycle  
709 impulse-response formulation in ref. <sup>28</sup>, modified to make airborne fraction a  
710 linear function of both warming and cumulative carbon uptake by terrestrial  
711 and ocean sinks<sup>29</sup>. Emissions in all figures are smoothed with a Gaussian  
712 filter with a standard deviation of 2 years: note that our use of an acausal filter  
713 implies that emissions are continuously adjusted to projected human-induced  
714 warming over this timescale in addition to warming to date. Cumulative  
715 emissions (Figure 3c) are more robust than emission rates in any given year,

716 since rates depend on the method used to construct these goal-consistent  
717 pathways.

718

719 The strength of carbon cycle feedbacks (a single scaling factor applied to  
720 default  $r_T$  and  $r_C$  coefficients in ref. <sup>29</sup>) varies from 0-2, consistent with CMIP5  
721 RCP2.6 ensemble (Sup. Info.). We assume that this scaling factor range  
722 corresponds to the 5-95 percentiles of a Gaussian distribution. In Figure 3,  
723 draws from this carbon-cycle feedback scaling factor distribution are taken at  
724 an equal percentile to that from the TCR distribution. This correlation between  
725 the TCR and carbon-cycle feedback distribution is chosen to maximise the  
726 range of carbon budgets calculated from Figure 3. For each carbon-cycle  
727 feedback strength, total airborne fraction is adjusted (via the  $r_0$  parameter in  
728 ref. <sup>29</sup>) to reproduce observed CO<sub>2</sub> emissions in 2014 and leads to a range of  
729 historical cumulative CO<sub>2</sub> emissions of 467-598GtC (17<sup>th</sup>-83<sup>rd</sup> percentile of  
730 distribution), with a median estimate of 542GtC, under carbon-cycle only  
731 uncertainty.

732

733 Figures 2c and 3a show a version of the simple carbon-cycle-climate model  
734 (thin green lines) with thermal climate response parameters as represented in  
735 the UVic Earth System Climate Model (version 2.9 - TCR=1.9°C and  
736 ECS=3.5°C) <sup>31,32</sup> and default carbon-cycle parameters given in ref. <sup>29</sup>. These  
737 parameters achieve a good emulation of the UVic ESCM response when  
738 driven with the RCP4.5 scenario (see Supplementary Methods). In Figure 2c,  
739 UVic ESCM and the UVic ESCM-emulation simple carbon-cycle-climate  
740 model version are driven by RCP2.6-2017 emissions, diagnosed from the

741 simple climate-carbon-cycle model using the median climate response and  
742 carbon-cycle parameters (dark green line in Figure 2a) and RCP2.6-2017  
743 non-CO<sub>2</sub> radiative forcing scaled as discussed previously, for a 1.9°C TCR. In  
744 Figure 3a, UVic ESCM and the UVic ESCM-emulation simple carbon-cycle-  
745 climate model version are driven by diagnosed emissions corresponding to an  
746 interpolated temperature pathway at a 1.9°C TCR, consistent with the method  
747 described previously.

748

749 We add an estimate of the 2030 land-use emissions in RCP2.6-2017 (2023 in  
750 RCP2.6) as derived from the MAGICC model<sup>53</sup> ([http://www.pik-  
751 potsdam.de/~mmalte/rcps/](http://www.pik-potsdam.de/~mmalte/rcps/)), to the fossil fuel and industry emissions  
752 consistent with the NDCs from ref 12 for the brown bars in Figures 2 and 3.

753

754 In analysis of the CMIP5 ensemble budgets given in Table 1 and 2, budgets  
755 are calculated in an identical fashion to ref. <sup>54</sup> (both in terms of models and  
756 initial condition ensemble members used). Budgets are TEBs and are derived  
757 from percentiles of the distribution of decadal means of CMIP5 RCP8.5  
758 integrations, linearly interpolating between adjacent rank-ordered ensemble  
759 members. In Table 2, where insufficient models cross a particular future  
760 warming threshold to calculate a particular percentile of the total model  
761 distribution at that threshold, no value is reported. For the grey (1%/yr CO<sub>2</sub>  
762 increase) plume in Figure 1, cumulative emissions and temperatures  
763 expressed from the beginning of the increase (1a) and relative to a ten-year  
764 period centred around the year in which concentrations reach the 2015 value

765 of 398ppm (1b). Scenarios that peak and decline emissions were excluded  
766 from the red plume in Figure 1b.

767 **Code availability:** Code will be available on request to the corresponding  
768 author.

769 **Data availability:** RCP forcing data used in this study is available at  
770 <http://www.pik-potsdam.de/~mmalte/rcps/>

771  
772 **Supplementary Information:** Supplementary methods are included with this  
773 submission.

774 **References only in Methods:**

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