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1	Energy Metrics to Evaluate the Energy Use and Performance of Water Main Assets							
2	Saeed Hashemi ¹ , Yves R. Filion ² , Vanessa L. Speight ³							
4	Abstract: Managing aging infrastructure has become one of the greatest challenges for water							
5	utilities, particularly when faced with selecting the most critical pipes for rehabilitation from							
6	amongst the thousands of candidates. The aim of this paper is to present a set of novel yet							
7	practical energy metrics that quantify energy interactions at the spatial resolution of individual							
8	water mains to help utilities identify pipes for rehabilitation. The metrics are demonstrated using							
9	a benchmark system and two large, complex systems. The results show that the majority of pipes							
10	have a good energy performance but that an important minority of outlier pipes have a low							
11	energy efficiency and high energy losses due to friction and leakage. Pumping and tank							
12	operations tend to drive energy efficiency and energy losses in pipes close to water sources while							
13	diurnal variation in demand drives energy performance of mains located far away from water							
14	sources. The new metrics of energy lost to friction and energy lost to leakage can provide							
15	information on energy performance in a pipe than is complementary to the traditional measures							
16	of unit headloss and leakage flow.							
17 18	Keywords: Energy efficiency, energy metrics, friction loss, leakage loss, pipe rehabilitation,							
19	water distribution systems.							

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22 Introduction

Water distribution systems play host to a multitude of energy interactions on an hourly and 23 daily basis. Pumps and reservoirs supply mechanical energy to the system, while water demand, 24 pipe leaks, and frictional headloss provide output pathways for energy to leave the system, either 25 in the form of work or heat. As water main assets in a system age and deteriorate, they become 26 27 less energy efficient, with more energy leaving the system via unwanted pipe leaks and through frictional headloss (Fontana et al., 2012; Kleiner and Rajani, 2001). The challenge in managing 28 a large, aging water distribution system is to prioritize interventions so that investment returns 29 30 the largest gain in system performance (Alvisi and Franchini, 2009 and 2006, Dandy and Engelhardt, 2001). 31

Energy has long been used as a key concept to understand the performance of engineering 32 systems (Pelli and Hitz 2000; Lambert et al., 1999). Energy use as a modeling concept is 33 germane to understanding the energy performance of water main assets in distribution systems 34 35 because power and energy in water distribution systems depend on pressure and flow – two quantities that are monitored continuously by water utilities (Dziedzic and Karney, 2015; 36 AWWA, 2009; Boulos et al., 2006). While most municipalities extensively monitor their 37 38 systems, few have a firm understanding of the energy efficiency of their systems. Even fewer municipalities have the capability to use pressure and flow data to understand the impact of 39 infrastructure upgrades and operational changes on the energy efficiency of their systems 40 41 (Engelhardt et al., 2000; Roshani and Filion, 2013; Hashemi et al., 2012).

To date, previous research has been focused on characterizing the system-wide energy dynamics in distribution systems. Colombo and Karney (2002) showed that diurnal demand/pressures can affect the manner in which fissures and cracks in pipes conduct leakage.

Results demonstrated that the more distant the leakage sources are from the water sources, the higher is the energy lost from leakage and friction. While, the presence of storage was shown to have a negligible effect on leakage energy, the location of the tanks did influence the leakage level and pumping energy (Colombo and Karney 2005). The research underscored the important role of water mains, and their proximity to pumps and tanks, on the energy balance of a system.

50 Energy metrics developed thus far have focused on the system-wide energy performance of systems. Pelli and Hitz (2000) developed energy indicators to relate system-wide energy 51 efficiency to pump efficiency and reservoir location, without considering leakage impacts. 52 53 Cabrera et al. (2010) presented a set of metrics to characterize the system-wide energy performance that includes losses to friction, leakage, and overpressure. These energy metrics 54 provide a useful set of tools to help water utility managers better understand how far their 55 systems are from an ideal energy-efficient state but fall short of being able to identify individual 56 pipes that are problematic. Building upon their earlier work, Cabrera et al. (2014b) presented 57 58 additional metrics to assess the energy efficiency of a pressurized system and procedures to prioritize interventions on a system-wide basis. Dziedzic and Karney (2014) examined the 59 energy dynamics of groups of pipes and pumps in the Toronto distribution system. While these 60 61 researchers also solved the energy balance to examine the frictional losses in individual pipes of the Toronto system, they did not examine the efficiency, leakage, and other energy 62 characteristics of these pipes. The current paper extends this research direction by considering 63 64 energy transformations that take place in the individual pipes of a distribution system. The aim of this paper is to present a set of novel energy metrics that quantify energy 65

interactions in a distribution system at the spatial resolution of individual water mains. These
 pipe-level metrics can be applied to: 1) characterize the energy performance in water mains in an

unimproved state to establish a benchmark prior to any rehabilitation work; 2) plan infrastructure 68 upgrades and operational changes in areas that exhibit a low energy efficiency alongside 69 information on cost, water quality, and pipe break history, and; 3) characterize the impact of 70 infrastructure upgrades and operational improvements on the energy performance of water mains 71 in a system. In this paper, the new pipe-level metrics are applied to a large *ensemble* of water 72 73 mains across three distribution systems to examine how system operation and system improvements impinge on the spatial and temporal patterns of energy performance in drinking 74 water mains. 75

76 Energy Use in a Pipe

To develop a set of energy metrics, it is instructive to consider the hydraulic grade line with 77 energy inputs and outputs in a single pipe as indicated in Figure 1. Here, the pipe conveys a flow 78 Q (m³/s) at an upstream pressure head H_s (m). The pipe delivers a pressure head H_d (m) to a 79 downstream user that imposes a demand Q_d (m³/s) in the pipe. Users downstream of a pipe 80 impose a demand Q_d (m³/s) that exceeds the minimum needed water use Q_{\min} (m³/s), which 81 represents the most efficient use of water by the user given best-available water technologies 82 (Vickers 2001). There are a number of reasons for this inefficient water use including household 83 84 leaks, inefficiencies in appliances, theft of water (AWWA 2009), water waste through inefficient industrial processes (Morales et al. 2011; Friedman et al. 2011), user perception of appropriate 85 water use (Hoekstra and Chapagain 2007), and unnecessary lawn and garden watering (Askew 86 87 and McGuirk 2004). For the sake of generality, the pipe can have a leak that produces a leakage flow rate of Q_l (m³/s). The pipe also conveys an additional flow $Q_{ds}=Q-Q_d-Q_l$ (m³/s) to users 88 further downstream of the pipe. The upstream pressure head H_s (m) supplied to the pipe is 89 90 greater than the minimum required pressure head H_{\min} (m) needed to provide an acceptable

service to the downstream user. The difference between supplied head H_s (m) and pressure head delivered H_d (m) is made up of local losses H_{local} (m) (e.g., valves, in-line turbines, blockages) and the combined frictional head loss due to demand Q_d (m³/s), leakage Q_l (m³/s), and the additional flow Q_{ds} (m³/s) to provide water service to downstream users. The pressure head delivered to downstream users H_d (m) is made up of the minimum pressure head required, H_{min} (m), and surplus head, H_{surplus} (m).

97

The energy components indicated in Figure 1 are defined in Table 1 and described below.

$$E_{\text{supplied}} = E_{\text{delivered}} + E_{\text{ds}} + E_{\text{leak}} + E_{\text{friction}} + E_{\text{local}} \quad \text{(Joules)} \tag{1}$$

where $E_{\text{supplied}} = \text{energy supplied}$ to the upstream end of the pipe (Joules); $E_{\text{delivered}} = \text{energy}$ delivered to the user (in Joules) to satisfy demand Q_d (m³/s) at pressure head H_d (m); $E_{\text{ds}} = \text{energy}$ that flows out of the pipe to meet downstream user demands (Joules); $E_{\text{leak}} = \text{leak}$ energy (Joules); $E_{\text{local}} = \text{local energy losses}$ (Joules). The term α is equal to 1.85 in the Hazen-Williams friction loss model and $\alpha = 2$ in the Darcy-Weisbach model; K = pipe resistance and $\Delta t = \text{the}$ hydraulic time step (3,600 seconds or 1 hour) used in the 24-hour diurnal simulation.

104 Methods

105 Metrics to Evaluate Energy Performance at the Pipe Level

Five metrics have been developed to characterize the gross and net energy efficiencies, energy needed by user, energy lost to friction, and energy lost to leakage in the pipes of a water distribution network.

<u>Gross and Net Efficiencies:</u> The gross energy efficiency (*GEE*) in Equation 2 compares the energy delivered to the users serviced by a pipe to the energy supplied to that pipe. The theoretical maximum value for *GEE* is 100 percent, which means that all the energy supplied to the pipe is delivered to its user, even though this is impossible to achieve in practice. The theoretical minimum value for *GEE* is 0 percent, which means that none of the energy supplied to the pipe is delivered to its users, as all the energy is lost along the pipe.

$$GEE = \left(\frac{E_{\text{delivered}}}{E_{\text{supplied}}}\right) \cdot 100\% \tag{2}$$

The net energy efficiency (*NEE*) in Equation 3 compares the energy delivered to users serviced by a pipe to the net energy in that pipe. Here, net energy is defined as the energy supplied to the pipe minus the energy supplied to users located downstream of the pipe and not directly serviced by the pipe. The maximum value of *NEE* is 100 percent, where all the energy supplied (exclusively to the pipe) is delivered to its users. The theoretical minimum value is 0 percent, where none of the energy supplied to the pipe is delivered to its users.

$$NEE = \left(\frac{E_{\text{delivered}}}{E_{\text{supplied}} - E_{\text{ds}}}\right) \cdot 100\%$$
(3)

Energy Needed by User: The energy needed by the users (*ENU*) at a node in Equation 4 compares the energy delivered to the users serviced by a pipe against the minimum energy needed by those users. A value of *ENU* below 100 percent indicates that there is an insufficient level of energy to meet the service expectations of the users (either in the form of flow, pressure head, or both), and a value of 100 percent means that energy delivered to the users is equal to the minimum energy needed to meet their service expectations. Values of *ENU* above 100 percent denote a surplus energy over and above the level needed.

$$ENU = \left(\frac{E_{\text{delivered}}}{E_{\text{need}}}\right) \cdot 100\% \tag{4}$$

The minimum mechanical energy in the water needed to meet the minimum needs of the downstream user in Equation 4 is calculated by integrating the minimum needed power by a 130 defined period of use Δt

$$E_{\text{need}} = \gamma Q_{\min} H_{\min} \Delta t \qquad \text{(Joules)} \tag{5}$$

where γ = unit weight of water (approximately 9,810 N/m³ at 18°C); Q_{\min} = minimum water 131 use needed by users (m³/s); H_{min} = minimum pressure head required to deliver acceptable water 132 service to users (m); Δt = time step over which minimum needed power is integrated (seconds). 133 (Note that integration can be used to calculate minimum energy needed over a continuous diurnal 134 135 demand period.). Determining the minimum water use (Q_{\min}) is difficult because minimum water use varies between individual users within the same user type (Friedman et al. 2013). The 136 minimum pressure head (H_{\min}) required is usually determined by water utility standards but in 137 reality can vary across users depending on their subjective perception of the minimum pressure 138 139 required to perform their individualized water use activities (Mays 2002, City of Toronto 2009, Region of Peel 2010, Denver Water 2012). In this paper, the minimum pressure of approximately 140 141 30 metres (m) commonly imposed by North American water utilities (City of Toronto, 2009; 142 Region of Peel, 2010; Denver Water, 2012) was used to calculate the minimum mechanical 143 energy.

Energy Lost to Friction: The energy lost to friction (*ELTF*) in Equation 6 compares the magnitude of friction loss in the pipe (to satisfy the demand and leakage at the end of the pipe, and demands downstream of the pipe) to the net energy supplied to the pipe. This indicator can be used to characterize the effectiveness of pipe relining, pipe replacement, and leak repair to reduce frictional losses. The metric *ELTF* can range between 0 and 100 percent, where a value of 0 percent means that there are no frictional energy losses in the pipe, and a value of 100 percent means that all the net energy supplied to the pipe is lost to friction along the pipe.

$$ELTF = \left(\frac{E_{\text{friction}}}{E_{\text{supplied}} - E_{\text{ds}}}\right) \cdot 100\%$$
(6)

Energy Lost to Leakage: The energy lost to leakage (ELTL) in Equation 7 compares the 151 152 magnitude of energy lost to leakage relative to the net energy supplied to the pipe. The leakage term in the numerator includes leak energy, E_{leak} , and the frictional energy loss along the pipe 153 required to meet the leakage flow, Q_l , at the end of the pipe $E_{\text{friction(leak)}}$ (see Table 1). The *ELTL* 154 155 metric can range between 0 and 100 percent, where a value of 0 percent means that there is no energy loss due to leakage in the pipe and a value of 100 percent means that all the net energy 156 supplied to the pipe is lost to leakage and friction to satisfy the leak in the pipe. The *ELTL* metric 157 can be used to characterize the effectiveness of leakage repair and pressure management in 158 159 reducing leakage energy loss.

$$ELTL = \left(\frac{E_{\text{leak}} + E_{\text{friction(leak)}}}{E_{\text{supplied}} - E_{\text{ds}}}\right) \cdot 100\%$$
(7)

160 Calculation of Energy Metrics

The pipe-level energy metrics presented above are evaluated by following a number of steps. 161 First, the EPANET2 (Rossman 2000) network solver is used to calculate the hydraulic head at 162 163 model nodes and pipe flow in model links over a diurnal period. Because the pipe flow direction may change over a day, the hydraulic head at both ends of each pipe are compared at each time 164 step and the node with the higher hydraulic head is identified as the upstream node. Further, to 165 166 correctly recognize to which pipes a node is an upstream node and to which pipes a node is a downstream node, the mechanical energy that a pipe delivers to the users at its downstream node 167 (multiple-link node) is proportional to its flow rate and is weighted by its flow rate into its 168 corresponding downstream pipes, such that 169

$$(E_{\text{delivered}})_{i,j} = \gamma \left(\frac{Q_i}{\sum_{k=1}^m Q_k}\right) D_j H_j \Delta t \quad \text{(joules)}$$
 (8)

where $(E_{\text{delivered}})_{i,j}$ = energy delivered by pipe *i* to multiple-link node *j* (joules); D_i = demand at 170 171 downstream multiple-link node *i* located downstream of pipe *i* (m^3/s); H_i = hydraulic head at multiple-link node *i* located downstream of pipe *i* (m); Q_i = flow in pipe *i* (m³/s); *m* = number of 172 k = 1, 2, 3, ..., m upstream pipes connected to the multiple-link node *j*. For example in Figure 2a, 173 upstream pipes P-1 and P-2 with flow rates of 1.3 litres per second (L/s) and 1.6 L/s are 174 connected to downstream node J-1 (multiple-link node) with a demand of 2.1 L/s. Pipes P-3 and 175 P-4 are located downstream of node J-1. The mechanical energy $(\gamma D H \Delta t)$ delivered by Pipe 1 176 177 is weighted by the ratio of its flow to the total flow conveyed by the upstream pipes, or 178 1.3/(1.3+1.6).

Once the upstream and downstream nodes of each pipe have been determined, and the energy delivered to each node resolved as described above, the hydraulic heads and pipe flows simulated over the diurnal period are used to calculate the energy components in Table 1 to evaluate the pipe-level metrics in Equations 2-7. An example is shown in Equation 9 where hourly values of $E_{delivered}$ and $E_{supplied}$ are aggregated together throughout the day to calculate a single value of *GEE* that is representative of the entire day

$$GEE = \left[\frac{(E_{\text{delivered}})_{t=1} + (E_{\text{delivered}})_{t=2} + \dots + (E_{\text{delivered}})_{t=24}}{(E_{\text{supplied}})_{t=1} + (E_{\text{supplied}})_{t=2} + \dots + (E_{\text{supplied}})_{t=24}} \right] \cdot 100\%$$
(9)

185 Hydraulic Proximity Indicator

In the following sections of this paper, the proximity of a pipe to a water source is considered as a factor that can influence the energy performance of a pipe. In anticipation of this, an indicator that characterizes the hydraulic proximity of a pipe to a nearby water source is defined in 189 Equation 10. The hydraulic proximity indicator is based on the general observation that hydraulic head or pipe flow (or both) tend to decrease as one moves away from a water source to the 190 periphery of the system where pipes generally convey smaller flow to downstream users. The 191 hydraulic proximity indicator is a function of the role of the pipe (transmission or distribution) 192 and its location relative to the water source of the system or pressure zone in which it is found. It 193 194 is important to note that hydraulic proximity is not an indicator of the linear distance that separates a pipe from a water source, but rather an indirect indicator of the proximity of a water 195 196 main asset to a water source.

Proximity Indicator =
$$Q \cdot H_s(m^4/s)$$
 (10)

in which Q is the pipe flow (m³/s) and H_s is the hydraulic head provided at the upstream node of a pipe (m) calculated with the EPANET2.0 hydraulic model. (All heads are calculated according to a fixed datum of 0 m.) High values of the hydraulic proximity indicator as defined in Equation 10 suggest that the water main is located near a water source, whereas low values suggest that the main asset is located away from a water source.

202 Application of Pipe-Level Metrics to Three Distribution Systems

203 The new pipe-level metrics were applied to a large *ensemble* of water mains across three distribution systems to examine how system operation and system improvements impinge on the 204 spatial and temporal patterns of energy performance in drinking water mains. System #1 (Figure 205 2b) is reported in Cabrera et al. (2010) and comprises 14 pipes (40 km), an elevated tank and a 206 pumping station controlled by minimum and maximum tank levels. The system has a total daily 207 demand of 79.8 ML/day with peaks at 8 am (peaking factor of 1.3) and 4 pm (peaking factor of 208 209 1.3) (Figure 3). Approximately 15 percent of the total demand is lost to leakage throughout the day. The leakage is assigned to the nodes using emitter coefficients in EPANET2.0 (Cabrera et 210

al., 2010). Leakage is thus a function of time and pressure. At each time step, EPANET2 is used
to calculate pressure head and leakage loss to evaluate the energy lost to leakage (*ELTL*). The
average daily pressure in System #1 is approximately 35 m.

System #2 (Figure 4a) is a medium-sized distribution system in the US Midwest that includes
1,183 pipes (166 km), 4 pumping stations and 4 elevated tanks. The water distribution system is
comprised of three pressure zones to overcome an elevation difference of 99.7 m to serve a
population of 20,000 people. The system has a total daily demand of 237.9 ML/day with an 8 am
morning peak (peaking factor of 1.25) and a 10 pm evening peak (peaking factor of 1.67) (Figure
3). The daily mean pressure is 57 m and higher than in System #1. No leakage is considered in
this network.

System #3 (Figure 4b) is a large distribution network in the US Midwest that comprises 27,231 pipes (5,500 km), 28 pumping stations, and 27 elevated tanks that serves approximately 1 million customers. This system has a total daily demand of 12,765 ML/day with an 8 am morning peak (peaking factor of 1.18) and a 9 pm evening peak (peaking factor of 1.40). The system has an average nodal pressure of 53 m. Leakage is modelled as a constant demand assigned by area to model nodes based upon the results of a detailed leakage study conducted by the water utility.

228 **Results**

229 System #1

System #1 is a simple system and thus an ideal network with which to demonstrate the new pipe-level metrics by way of two management scenarios (Figure 2b). The first scenario is the Baseline (B) scenario where the pipes are unimproved. The second scenario is the Leakage Reduction (L) scenario where pipe leakage is reduced by 50 percent by reducing emitter

coefficients in the model. In this paper, the energy metrics are dimensionless and expressed as a percentage of i) energy supplied to the pipe ($E_{supplied}$), or ii) minimum energy needed at the downstream node (E_{need}), or iii) the net energy in the pipe ($E_{supplied} - E_{ds}$). For the sake of consistency, numerical values of the metrics that range between 0 and 30 percent are considered "low", while values that range between 30 and 70 percent are considered "moderate", and values that range between 70 and 100 percent are considered "high".

Baseline Scenario (No Improvements): The baseline results in Table 2 indicate that the 240 presence of both frictional losses and leakage in the system produce low to moderate values of 241 242 GEE that range between 8 to 45 percent. This association is evident in the pipes closest to the source and that carry higher flow rates (e.g., pipes 11, 12, 111, and 113) because these pipes 243 must convey flows destined to locations further downstream in the network. Similarly, the 244 presence of leakage in the system produces values of *NEE* that range between 29 to 76 percent. 245 The results in Table 2 indicate that pipes 22 and 113 have an ENU that ranges from 110 to 246 247 113 percent. These pipes are located between the tank (dominant source of water in this system) and the highest nodal demand at junction J-22, and thus the large energy surplus reflects the 248 delivery of water to this location from the source. The pipes 31, 121, and 122 located further 249 250 away from the elevated tank tend to have less surplus energy, and these pipes show an energy deficit and a numerical value of ENU that ranges between 91 to 97 percent; these pipes deliver 251 less energy to their users due to water losses between the sources and these demand locations. 252 253 The baseline values of *ELTF* suggest that friction losses comprise 39 to 66 percent of net energy in pipes 11 and 111, both of which are in close proximity to the pumping station and 254 255 carry high flows. Friction comprises 1.3 to 8.0 percent of net energy in the other pipes that 256 convey smaller flows. Also, the results for leakage losses and *ELTL* suggest that pressure and not

257	leak size (as reflected in the emitter coefficient), drives the level of leakage and results in high
258	values of <i>ELTL</i> . For example, even though pipes 113 and 123 both have a low value of emitter
259	coefficient, their proximity to the tank in a high-pressure zone causes them to have a high
260	leakage levels and high values of <i>ELTL</i> that range from 18.8 to 22.2 percent.
261	The results also show that NEE in Pipe 121, located far from the tank, is driven almost
262	exclusively by the demand at the downstream node of this pipe ($NEE = 55$ to 61 percent from 12
263	am to 6 am; $NEE = 75$ to 82 percent from 6 am to 6 pm), whereas the net efficiency in Pipe 11
264	near the pump is influenced by the pumping and tank operations of the system ($NEE = 10$ to 20
265	percent during pumping periods of 12 am to 3 am and 1 pm to 5 pm). This finding highlights
266	how the proximity to pumps and tanks and the role of pipes in the global hydraulic performance
267	affects the net efficiency and energy lost to friction observed in individual pipes.
268	Leakage Reduction Scenario (from 15 to 8 percent of demand): The results for the leakage
269	reduction scenario in Table 2 indicate that reducing leakage flow from 15 to 8 percent produces a
270	0.2 to 11.0 percent increase in the GEE relative to baseline because it narrows the gap between
271	energy delivered and energy supplied. This relationship is especially true for the pipes located
272	further downstream (e.g., pipes 121, 122, 123, 31 and 32). Similarly, all pipes see a 3.9 to 18.8
273	percent increase in NEE relative to baseline as a result of leakage reduction. A reduction in
274	leakage also increases the ENU (or reduces the energy deficit) by 1.7 to 10.1 percent relative to
275	baseline because energy lost to leakage is decreased in the pipes. In most pipes, a reduction in
276	leakage is tantamount to reduced pipe flow and therefore less energy lost to leakage and friction.
277	For example, a reduction in leakage produces a 0.8 to 8.0 percent decrease in <i>ELTF</i> in pipes 112,
278	113, and 121 relative to baseline. However, in smaller pipes located further downstream in the
279	system (e.g., pipes 31, 32), the friction losses tend to increase because of an increase in pipe

flow-a result of reduction in leakage between the water source and these pipes. Lastly, a

reduction in leakage causes a 47.2 to 57.3 percent decrease in *ELTL* in all pipes.

282 System #2

In System #2, the energy metrics were evaluated only for those pipes (approximately 600 283 pipes or 60 percent of the total number of pipes) that have a non-zero downstream demand. 284 285 Because leakage was not modelled for this system, only metrics GEE, NEE, ENU, and ELTF were evaluated for the baseline scenario; the impact of interventions such as leakage reduction 286 on energy dynamics was not considered. System #2 was simulated with assumed leakage levels 287 288 (no leakage, 15 percent, 30 percent) and the results (not shown) suggest that the presence of leakage produces a similar frequency distribution of the numerical values of the four energy 289 metrics as shown in Figures 5 and 9. The absence of leakage data for System #2 does not 290 preclude the comparison of energy dynamics in System #2 with the other two systems (Systems 291 #1 through #3). 292

The histogram results in Figure 5 show that the GEE follows a bimodal distribution. Here, 293 over 60 percent of the pipes have a low value of GEE that ranges from 0 to 10 percent while 294 approximately 14 percent of the pipes have a high value of *GEE* that ranges from 90 to 100 295 296 percent. It is noted that low values of *GEE* in Figure 6a do not necessarily point to a poor energy performance as these pipes tend to be located near the major system components and supply a 297 large number of users downstream. Pipes with a high GEE tend to be located near dead-end 298 299 zones where most of the energy supplied to the pipe is used to satisfy demand at the downstream node of the pipe. Over 90 percent of the pipes have a *NEE* that ranges from 90 to 100 percent 300 301 (Figure 5). Figure 6b indicates that there are trunk mains and distribution mains near pumps and 302 tanks with low to high values of net efficiency (0.1 to 80 percent).

The majority of pipes (almost 80 percent) exhibit a low *ELTF* between 0 and 10 percent (Figure 5). However a minority of pipes (almost 15 percent) had high frictional energy losses, with *ELTF* between 90 and 100 percent. These pipes are large-diameter trunk mains that carry large flows with a high average unit headloss, and are located in close proximity to a pump or tank. (In this paper, average unit headloss is calculated by taking the arithmetic average of unit headloss in a pipe over the 24-hour diurnal period.)

The energy performance of two representative pipes (Pipes 463 and 926 – see Figures 4a 309 and 6) during the 24-hour diurnal period was also examined (Figure 7). Pipe 463 is a 300 mm CI 310 311 water main located near pumping station P1 in System #2 and conveys flows between 15-86 L/s throughout the service day. Not surprisingly, the *ELTF* in Pipe 463 varies in lockstep with the 312 flow in the pipe, whereby *ELTF* varies between 0.1 to 3 percent during low-demand periods 313 and *ELTF* varies between 5 to 27 percent during high-demand periods. The net energy efficiency 314 in Pipe 463 varies widely during the 24-hour diurnal period, with values of NEE between 72 and 315 86 percent during high-demand periods and values between 92 to 100 percent during low-316 demand periods. By contrast, Pipe 926 is a 150 mm CI main located near the periphery of the 317 system (Figure 4a). This pipe conveys a near-constant flow of less than 0.10 L/s. Not 318 319 surprisingly, *ELTF* is correspondingly low (near 0 percent throughout the whole day in Figure 7) and the net energy efficiency of this pipe is at a near-constant level of 100 percent. The results 320 suggest that the energy performance (in this case efficiency and friction) of a pipe is contingent 321 322 on the proximity of that pipe to a pump or tank.

The influence of the distance between a pipe and a major component on the energy performance of that pipe was examined further. This was done by plotting *ELTF* calculated with Equation 6

and the max/min hourly value of energy lost to friction (*ELTF*-max, *ELTF*-min, Equation 9)

observed over the 24-hour diurnal period against the hydraulic proximity indicator (Equation 10) 326 in Figure 8 for an *ensemble* of 684 pipes. The results suggest that *ELTF* is smaller in distribution 327 mains located further away from water sources that convey low flows and incur small losses 328 (*ELTF*-min near 0 percent). Pipes located close to water sources tend to have a value of *ELTF*-329 max of 100 percent (this occurs during the peak demand period). Figure 8 shows a high variation 330 331 in *ELTF*-max in pipes located far away from water sources. This variability is likely owing to differences in diameter, roughness, and service flows across the smaller water distribution mains 332 located on the periphery. 333

334 System #3

The energy metrics were evaluated for over 21,000 pipes, which represents approximately 77 335 percent of pipes in System #3. In general, the findings for System #3 are similar to those for 336 System #2 in that the frequency distribution of the numerical values of metrics follows a bimodal 337 shape (Figure 9). The bimodal nature of the results emphasizes the variability of energy 338 performance in complex systems when compared to a simpler system like System #1. The 339 majority of pipes exhibit a good energy performance (high net energy efficiency, small frictional 340 losses) and a minority of outlier pipes exhibit a poor energy performance (low efficiency, high 341 342 losses).

The histogram in Figure 9 indicates that approximately 80 percent of pipes have a value of *GEE* that ranges between 0 and 20 percent. As noted before, low values of *GEE* do not necessarily point to a poor energy performance; in these trunk pipes the majority of the energy supplied to the pipe is transferred to users well downstream of the pipe and only a small fraction of the energy is delivered to users at the end of the pipe. Figure 9 also indicates that 2 percent of pipes have a value of *GEE* that ranges between 90 and 100 percent. In these distribution mains

near cul-de-sac areas, most of the energy is transferred to users directly at the end of the pipe.
Approximately 90 percent of pipes have a *NEE* that ranges between 9 and 100 percent (Figure 9)
but a minority of pipes (4 percent) have a low to moderate net energy efficiency that ranges
between 10 and 50 percent. A detailed analysis showed that no single factor accounted for the
low values of net energy efficiency in these pipes.

354 More than 95 percent of pipes have an ENU that ranges between 100 and 120 percent (Figure 9) and over 90 percent of pipes have a low *ELTF* that ranges between 0 and 10 percent. Leakage 355 performance for this system is good with over 95 percent of pipes having a low *ELTL* that ranges 356 357 between 0 and 10 percent. Despite this generally good performance, there are a small number of outlier pipes (approximately 3 percent of total) with a moderate to high *ELTF* that ranges 358 between 40 and 100 percent. Many of these poorly performing pipes were found to be large-359 diameter trunk mains that convey large flows from water sources to the rest of the system. A 360 small number of pipes (2.5 percent of total) were also found to have a moderate to high ELTL 361 that ranges between 40 and 100 percent, and this is a direct result of the assigned leakage values 362 from the water utility leakage study. 363

The diurnal variation of *NEE* and *ELTF* in select pipes of System #3 were examined (results 364 365 not shown). As before, the results suggest that proximity to a water source and magnitude of pipe flow conveyed by the pipe are both factors that have a large impact on the diurnal variation of 366 367 net energy efficiency and energy lost to friction. Generally, pipes located far away from water 368 sources convey little flow (with small headloss) and have values of NEE near 100 percent and *ELTF* near 0 percent throughout the day. In larger trunk mains located closer to water sources 369 370 with comparatively high flow rates, NEE and ELTF track closely with diurnal variations in 371 pumped flow in these pipes, as was also observed in System #2.

372	The influence of the distance between a pipe and a major component on the energy
373	performance of that pipe was examined in System #3. Figure 10 plots the <i>ELTL</i> and the max/min
374	value of energy lost to leakage (ELTL-max and ELTL-min over a 24-hour period) for each pipe
375	(y-axis) against the hydraulic proximity indicator (x-axis). The values of the energy loss metrics
376	ELTL, ELTL-max, and ELTL-min are moderate (30 to 60 percent) near water sources (proximity
377	ranges between 3,000 and 6,000 m ⁴ /s) and moderate to high (30 to 100 percent) at the periphery
378	of the system (proximity ranges between 0 and 250 m ⁴ /s). This relationship can be explained by
379	two factors: 1) the trunk water mains close to a water source have a low level of leakage while
380	the smaller distribution mains near the periphery of the system have a higher level of leakage,
381	and 2) the values of net energy supplied to the pipe ($E_{supplied} - E_{ds}$, denominator of <i>ELTL</i>) are
382	large and outweigh the energy lost due to leaks ($E_{\text{leakage}} + E_{\text{friction(leak)}}$, numerator of <i>ELTL</i>)
383	because of the low level of leakage at locations near water sources. There is also a high degree of
384	variability in the values of ELTL and ELTL-max near the periphery of the system as shown in
385	Figure 10 (proximity ranges between 0 and 250 m ⁴ /s).
386	Comparison of Energy Metrics With Average Unit Headloss and Pressure Head
387	The usual practice is to use average unit headloss to identify pipes with high frictional line
388	losses and pressure head (or excess pressure head) to identify which pipes are delivering excess
389	mechanical energy to customers. Here, the energy lost to friction (ELTF) was compared to
390	average unit headloss to assess their effectiveness in identifying pipes with high frictional energy
391	losses. To do this, the five pipes with the highest values of <i>ELTF</i> and the five pipes with the
392	highest values of average unit headloss were selected from the ensemble of 1,183 pipes in
393	System #2 and their corresponding annual frictional energy loss was calculated. (Annual
394	frictional energy loss was calculated by multiplying the frictional energy loss in a pipe over the

395	24-hour diurnal period and multiplying this daily energy use by 365 days.) This was repeated for
396	System #3 (ensemble of 21,156 pipes). The results in Table 3 indicate the five pipes with the
397	highest values of <i>ELTF</i> and average unit headloss sorted in descending order of annual frictional
398	energy loss. Table 3 indicates that in System #2, ELTF and average unit headloss identified the
399	same four pipes (69, 159, 117, 41) with the highest annual frictional energy loss, and in System
400	#3, ELTF and average unit headloss both identified pipe 3464 as having the highest annual
401	frictional energy loss. It it noted that average unit headloss identified four pipes with higher
402	annual frictional energy loss than the ELTF. A possible reason for this is that average unit
403	headloss relates more directly to annual frictional energy loss than ELTF.
404	In Table 4, the energy needed by user (ENU) and energy lost to leakage (ELTL) were
405	compared to pressure head to determine their effectiveness in identifying pipes that experience
406	the highest energy losses to leakage. Similar to the above, the five pipes with the highest values
407	of ENU and the highest values of pressure head were selected from the ensemble of pipes in
408	System #3 and sorted in descending order of annual energy lost to leakage. (Annual energy lost
409	to leakage was calculated by multiplying the leak energy at the downstream node of a pipe over
410	the 24-hour diurnal period and multiplying this daily energy use by 365 days.) The results in
411	Table 4 suggest that the pipes identified with ENU and ELTL had higher values of annual energy
412	lost to leakage than those identified with pressure head. The metrics of gross energy efficiency
413	(GEE) and net energy efficiency (NEE) were not compared to average unit headloss and pressure
414	head. The interested reader can find the model data and the implementation code for the new
415	energy metrics in the supplemental data files appended to this manuscript.

417 Discussion

Previous research has shown that reducing leakage flow in distribution systems produces a 418 corresponding reduction in energy use (Colombo and Karney 2002, 2005). Cabrera et al. (2010) 419 found that leak-free systems required less energy per cubic metre of water delivered. Not 420 surprisingly, the observations made in System #1 of this paper corroborate these observations, 421 422 whereby a 50 percent reduction in leakage flow produced a near proportional decrease in energy lost to leakage and improved gross and net efficiency and reduced energy lost to friction. 423 Additional observations on more realistic and more complex systems are needed to verify that 424 425 this near one-to-one relationship holds for most systems. The analysis of Systems #2 and #3 showed that the statistical distribution of energy 426 performance of the pipes in these two large systems is bimodal where the majority of pipes have 427 a good energy performance (high efficiency, low energy losses) but that an important minority of 428 outlier pipes also have a poor energy performance (low efficiency, high energy losses to friction 429 and leakage). The research of Dziedzic and Karney (2014) showed an asymmetrical energy 430 performance across the Toronto distribution system such that water mains immediately 431 downstream of treatment works had higher energy dissipation rates than pipes located further 432 433 away from treatment plants. The results of the current paper corroborate this previous finding. In all three systems examined, pipes near components tended to have low gross and net efficiencies 434 435 and high energy losses due to friction and leakage, while pipes located far away from 436 components had high gross and net efficiencies and low friction and leakage losses. Pipes near components that experienced surplus pressures generally met the minimum energy needed by the 437 438 users (ENU > 100 percent) even if their *ELTL* was generally high. However, pipes in lower-439 pressure regions further away from components generally fell short of meeting the minimum

energy needed by the users ($ENU \le 100$ percent) and showed lower energy losses to leakage. 440 The findings of this paper showed that there is also a strong diurnal variation in energy 441 inputs and outputs at the scale of the individual pipe. For all systems examined, the diurnal 442 variation of energy efficiency and energy lost to friction in pipes close to components tended to 443 be influenced heavily by pumping periods and tank-draining periods when pipe flows and losses 444 445 were high in these pipes. Diurnal variation of energy efficiency and energy lost to friction in pipes located far away from components tended to be more influenced by diurnal variation in 446 demand. These pipes had a low efficiency and high frictional losses during high-demand periods 447 and high efficiency and low frictional losses during low-demand periods. These finding support 448 the previous research that showed wide diurnal variations in global energy efficiencies in the 449 Toronto distribution system, where low frictional losses and high efficiencies were observed in 450 the night time when demand was low (Dziedzic and Karney 2014). 451

The results of this study also showed that the new metrics of ELTF, ENU, and ELTL may be 452 complementary indicators of energy performance in a pipe to the traditional indicators of average 453 unit headloss and pressure head. The results showed that the average unit headloss was on the 454 whole more successful than the *ELTF* metric in identifying pipes with the highest annual energy 455 456 frictional losses. This shows that average unit headloss is still an important measure because it is directly tied to the pumping costs borne by a water utility. Nevertheless, the ELTF metric could 457 be used to evaluate the contribution of frictional losses relative to energy lost to leakage and 458 459 energy lost at the point of demand in pipes selected for rehabilitation with the average unit headloss variable. Arguably, this could help water utilities understand the relative importance of 460 461 friction in the context of other energy losses in their system.

462 The results also suggested that the *ENU* and *ELTL* metrics are more successful than pressure

head in identifying the pipes that have the greatest energy losses to leakage. This is because ENU 463 and ELTL account for both flow and pressure head at the point of leakage that drive the 464 mechanical energy that exits the system. These results suggest that ENU and ELTL have the 465 potential to be good indicators of energy lost to leakage in distribution systems. However, the 466 results of System #1 suggest that it is the pipes that have both high pressure and high leakage 467 468 flow which tend to have the highest energy loss to leakage. For this reason, the results of this study suggest that pressure head or leakage flow alone are not good indicators of energy lost to 469 470 leakage.

While the location of the pipe in the system has been found to have an important influence on energy use, there are likely synergistic effects between the proximity to a water source and other factors such as pipe diameter, pipe flow, leakage level, unit headloss that work together to determine energy performance in a pipe. This paper did not examine the underlying, combined effects of these key factors on the energy performance of pipes.

In order for the metrics of this paper to provide an accurate picture of energy performance in 476 water mains, a calibrated network model is needed with good pipe data (e.g., wall roughness and 477 diameter) and good data on the magnitude and spatial distribution of leakage. It is noted that 478 479 many municipalities in Canada and the US do not have good spatially-disaggregated data on leakage and pipe roughness/diameter in their typically large pressure zones. Increasingly, these 480 municipalities are quantifying leakage levels and pipe flows by metering small well-defined 481 482 DMA (district metering area) areas that are smaller in size than traditional pressure zones. DMA sectorization and flow/leak monitoring is already well-established in European countries and 483 484 other parts of the world and the metrics can be applied with good accuracy in these jurisdictions. 485 Conclusion

Previous research has shown the usefulness of energy metrics to examine the global or system-486 wide energy performance of water distribution systems (Cabrera et al. 2010; Cabrera et al. 487 2014a, 2014b; Dziedzic and Karney 2014) and the balance between inputs and outputs of energy 488 through friction and leakage losses. The current paper offered a complementary approach in the 489 form of novel metrics that resolve energy performance at the spatial scale of the individual water 490 491 main. The results of the paper showed that average unit headloss is on the whole more successful than ELTF in identifying pipes with high frictional energy losses, but that the new ENU and 492 *ELTL* metrics are more successful than pressure head in identifying pipes that experience the 493 494 highest energy losses to leakage. These metrics have the potential to assist water utilities in understanding the energy performance of unimproved pipes alongside cost, structural and water 495 quality concerns. While outside the scope of this paper, water utilities can potentially leverage 496 this pipe-level energy analysis to perform life-cycle costing that compares the cost of pipe 497 rehabilitation against the surplus energy cost (from leakage and frictional losses) incurred in a 498 pipe when not rehabilitated (do-nothing option) to characterize the payback period of the 499 rehabilitation intervention. 500

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Table 1. Energy inputs and outputs linked to fluid flow in a pipe.

- Table 2. Numerical values of metrics *GEE*, *NEE*, *ENU*, *ELTF*, and *ELTL* for the baseline and
- leakage reduction scenarios in System #1 (reported in Cabrera et al. (2010). *GEE:* Gross Energy
- 585 Efficiency; *NEE:* Net Energy Efficiency; *ENU:* Energy Needed by User; *ELTF:* Energy Lost to
- 586 Friction; *ELTL*: Energy Lost to Leakage.
- Table 3. Pipes with the highest values of average unit headloss and energy lost to friction (*ELTF*)
- in System #2 (ensemble of 1,183 pipes) and System #3 (ensemble of 21,156 pipes). (Pipes are
- sorted by annual frictional energy loss in descending order.)
- 590 Table 4. Pipes with the highest values of pressure, energy needed by user (*ENU*), and energy lost
- to leakage (*ELTL*) in System #3 (*ensemble* of 21,156 pipes). (Pipes are sorted by annual energy
- 592 lost to leakage in descending order.)
- 593

594 Table 1.

$E_{\text{supplied}} = \gamma Q H_s \Delta t$
$E_{\text{delivered}} = \gamma Q_d H_d \Delta t$
$E_{\rm need} = \gamma Q_d H_{\rm min} \Delta t$
$E_{\rm ds} = \gamma Q_{\rm ds} H_d \Delta t$
$E_{\text{leak}} = \gamma Q_l H_d \Delta t$
$E_{\text{friction(demand)}} = \gamma [K(Q_d)^{\alpha}] Q_d$
$E_{\text{friction(leak)}} = \gamma [K(Q_l)^{\alpha}] Q_l \Delta t$
$E_{\text{friction}(\text{ds})} = \gamma [K (Q_{\text{ds}})^{\alpha}] Q_{\text{ds}} \Delta t,$ where $Q_{\text{ds}} = Q - Q_d - Q_l$

607 Table 2.

	GEE		NEE		ENU		ELTF (percent)		ELTL	
Pipe	(percent)		(percent)		(percent)				(percent)	
	B	L	В	L	В	L	В	L	В	L
11	8	9	29	29	103	106	66	68	4	2
12	8	8	52	52	106	108	39	42	7	3
113	22	23	73	73	110	115	8	8	19	9
123	42	47	70	70	101	111	4	4	22	11
111	22	24	48	48	103	108	39	40	10	5
121	45	48	73	73	97	104	5	5	14	7
122	43	47	72	72	91	98	2	2	18	9
22	37	37	76	76	113	116	6	7	9	5
21	33	35	75	75	104	109	5	6	12	6
31	37	39	73	73	95	102	1	2	15	7
32	42	45	71	71	104	112	2	2	18	9
112	33	36	74	74	106	111	7	7	15	7

608 B = baseline scenario; L = leakage reduction scenario.

610 Table 3.

611

Pipe	Average Unit Headloss	Annual Frictional Energy Loss	Pipe	ELTF	Annual Frictional Energy Loss			
ID	(m/km) ^c	(WWN) ^u	ID	(Percent)	$(\mathbf{W}\mathbf{W}\mathbf{n})^{\mathbf{u}}$			
		System	1 #2					
69 ^a	470.8	2,971.6	69ª	99.9*f	2,971.5			
159	277.1	963.3	159	99.9*	963.3			
431	131.3	644.4	117	99.9*	178.8			
117	88.9	178.8	41	99.9*	150.0			
41	478.2	150.0	P-97	99.9*	59.9			
	System #3							
3464 ^b	3.9	39,552.0	3464 ^b	99.9*f	39,552.0			
26688	2.3	28,081.6	10959	99.9*	1,313.0			
9706	0.1	3,908.0	8735	99.9*	894.7			
10942	0.2	1,804.4	11236	99.9*	326.0			
11209	0.1	1,097.2	26528	99.9*	307.3			

612

a. Pipes with the highest average unit headloss and energy lost to friction (*ELTF*) in the *ensemble* of 1,183
pipes in System #2 were sorted by annual frictional energy loss in descending order.

b. Pipes with the highest average unit headloss and energy lost to friction (*ELTF*) in the *ensemble* of 21,156
pipes in System #3 were sorted by annual frictional energy loss in descending order.

c. Average unit headloss was calculated by taking the arithmetic average of hourly values of unit headloss in a
pipe over the 24-hour diurnal period.

d. Annual frictional energy loss was calculated by multiplying the frictional energy loss in a pipe over the 24 hour diurnal period and multiplying this daily energy use by 365 days.

e. Energy lost to friction (*ELTF*) was calculated by taking the arithmetic average of hourly values of *ELTF* in a
pipe over the 24-hour diurnal period.

623 f. Numerical values of *ELTF* were truncated to the tenth of a percent in the table.

	Average Pressure	Annual Energy Lost to			Annual Energy Lost to
Pipe	Head	Leakage	Pipe	Metric	Leakage
ID ^a	(m) ⁰	(MWh) ^g	ID ^a	(Percent)	(MWh) ^g
		Metric:	ENU ^{c,d}		
14509	94.3	10.5	6873	123.0	17.5
14510	91.9	10.3	3443	123.4	15.8
P1379	163.1	7.3	19728	122.4	8.3
10942	92.3	6.3	19729	122.8	7.7
26572	98.8	5.3	6882	123.1	7.5
		Metric:	ELTL ^{e,f}		
14509	133.4	10.5	9540	99.0	52.2
14510	130.0	10.3	11538	97.4	15.5
19729	124.3	7.7	5898	97.8	15.2
10942	130.5	6.3	P423	99.5	11.1
19732	125.6	6.1	5877	100.0	10.2

628 a. Pipes with the highest average pressure head in the *ensemble* of 21,156 pipes in System #3 were sorted by 629 annual energy lost to leakage in descending order.

- b. Average pressure head was calculated by taking the arithmetic average of hourly pressure head values in the
 upstream and downstream nodes of a pipe over the 24-hour diurnal period.
- c. Energy needed by user (*ENU*) was calculated by taking the arithmetic average of hourly *ENU* values in a
 pipe over the 24-hour diurnal period.
- 634 d. Pipes with the highest energy needed by user (*ENU*) in the *ensemble* of 21,156 pipes in System #3 were 635 sorted by annual energy lost to leakage in descending order.
- e. Energy lost to leakage (*ELTL*) was calculated by taking the arithmetic average of hourly *ELTL* values in a
 pipe over the 24-hour diurnal period.
- f. Pipes with the highest energy lost to leakage (*ELTL*) in the *ensemble* of 21,156 pipes in System #3 were
 sorted by annual energy lost to leakage in descending order.
- 640 g. Annual energy lost to leakage was calculated by multiplying the leak energy (E_{leak} indicated in Table 1) at 641 the downstream node of a pipe over the 24-hour diurnal period and multiplying this daily energy use by 365 642 days.





(b)























Figure 1. Hydraulic grade line and energy inputs and outputs in a pipe.

Figure 2. a) Example calculation of energy delivered at a model node connected to upstream and downstream pipes; b) model layout of System #1 (reported in Cabrera et al. (2010)) (L = pipe length; D = pipe diameter; P-10 = pipe ID; J-10 = node/junction ID; Q = pipe flow; El = node elevation).

Figure 3. Diurnal demand pattern for Systems #1 through #3 (24-hour period).

Figure 4. a) Model layout of System #2 (medium-sized US Midwest); b) model layout of System #3 (large-sized US Midwest).

Figure 5. Histogram that indicates the percentage of pipes with numerical values of gross energy efficiency (*GEE*), net energy efficiency (*NEE*), energy needed by the users (*ENU*) and energy lost to friction (*ELTF*) in System #2 (medium-sized US Midwest) for the baseline scenario.

Figure 6. a) Numerical values of gross energy efficiency (*GEE*) and (b) net energy efficiency (*NEE*) in pipes of System #2 (medium-sized US Midwest) for the baseline scenario.

Figure 7. Hourly values of net energy efficiency (*NEE*) and energy lost to friction (*ELTF*) in Pipe 463 (near pump station P1) and Pipe 926 (located further away from pump station P1) over the 24-hour diurnal period in System #2 for the baseline scenario. (Flow in Pipes 463 and 926 are also indicated.)

Figure 8. Energy lost to friction (*ELTF*) (as calculated in Eq. 6) and max/min values of energy lost to friction observed over the 24-hour diurnal period (*ELTF*-max, *ELTF*-min) versus proximity to a a pump or tank component in System #2 (medium-sized US Midwest) for the baseline scenario.

Figure 9. Histogram that indicates the percentage of pipes with numerical values of gross energy efficiency (*GEE*), net energy efficiency (*NEE*), energy needed by users (*ENU*), energy lost to friction (*ELTF*), and energy lost to leakage (*ELTL*) in System #3 (large-sized US Midwest) for the baseline scenario.

Figure 10. Energy lost to leakage (*ELTL*) (as calculated in Eq. 7) and max/min values of energy lost to leakage observed over the 24-hour diurnal period (*ELTL*-max, *ELTL*-min) versus proximity to a pump or tank in System #3 (large-sized US Midwest) for the baseline scenario.