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## **Late Quaternary sea-level changes and early human societies in the central and eastern Mediterranean Basin: an interdisciplinary review**

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## 1 **Abstract**

2 This article reviews key data and debates focused on relative sea-level changes  
3 since the Last Interglacial (approximately the last ~132,000 years) in the  
4 Mediterranean Basin, and their implications for past human populations. Geological  
5 and geomorphological landscape studies are critical to archaeology. Coastal regions  
6 provide a wide range of resources to the populations that inhabit them and coastal  
7 landscapes and resources are increasingly the focus of scholarly discussions from  
8 the earliest exploitation of littoral resources and early hominin cognition, to the  
9 inundation of the earliest permanently settled fishing villages and eventually,  
10 formative centres of urbanisation. In the Mediterranean, these would become hubs of  
11 maritime transportation that gave rise to the roots of modern seaborne trade. As  
12 such, this article represents an original review of both the geo-scientific and  
13 archaeological data that specifically relate to sea-level changes and resulting  
14 impacts on both physical and cultural landscapes from the Palaeolithic until the  
15 emergence of the Classical periods. Our review highlights that the interdisciplinary  
16 links between coastal archaeology, geomorphology and sea-level changes are  
17 important to explain environmental impacts on coastal human societies and human  
18 migration. We review geological indicators of sea level and outline how  
19 archaeological features are commonly used as proxies for measuring past sea  
20 levels, both gradual changes and catastrophic events. We argue that coastal  
21 archaeologists should, as a part of their analyses, incorporate important sea-level  
22 concepts, such as indicative meaning. The interpretation of the indicative meaning of  
23 Roman fishtanks, for example, plays a critical role in reconstructions of late  
24 Holocene Mediterranean sea levels. We identify avenues for future work, which  
25 include the consideration of glacial isostatic adjustment (GIA) in addition to coastal  
26 tectonics to explain vertical movements of coastlines, more studies on Palaeolithic  
27 island colonisation, broadening of Palaeolithic studies to include materials from the  
28 entire coastal landscape and not just coastal resources, a focus on rescue of  
29 archeological sites under threat by coastal change, and expansion of underwater  
30 archaeological studies in combination with submarine geomorphology. This article  
31 presents a collaborative synthesis of data, some of which have been collected and  
32 analysed by the authors, as the MEDFLOOD (MEDiterranean sea-level change and

33 projection for future FLOODing) community, and highlights key sites, data, concepts  
34 and ongoing debates.

35

36 ***Keywords: Sea-level change, Pleistocene, Holocene, Mediterranean Archaeology***

37

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66

67

## 68 1. Introduction

69 The study of past sea-level changes in the Mediterranean Sea has been a focus of  
70 coastal scientists for almost two centuries. While interest in vertical land and sea  
71 movements is recorded at least as early as the Roman Period (e.g., Strabo, 1<sup>st</sup>  
72 century AD), the first modern sea-level studies may be attributed to Lyell (1833) and  
73 Négris (1903a,1903b; 1904). Gignoux (1913), Issel (1914) and Blanc (1920), were  
74 the first to define the 'Tyrrhenian' (the last interglacial) as a chronostratigraphic  
75 subunit along the Tyrrhenian coasts of Italy, especially in Sardinia, Tuscany and  
76 Lazio. Coastal and sea-level studies flourished especially post World War II, with the  
77 early studies of Bonifay and Mars (1959) and Stearns and Thurber (1965) in the  
78 western Mediterranean. In the late 1970s, and through the 1980s and 1990s, the  
79 investigations of Mediterranean Sea levels grew to become a stand-alone scientific  
80 discipline championed by geologists, biologists, geophysicists and geochemists.  
81 Scientists increasingly acknowledged the connection between past sea-level  
82 changes and human migrations along the coasts. Changes in coastal conditions  
83 impacted upon landscapes, waterways, ecological zones and people as the  
84 coastlines migrated as a result of sedimentation, erosion and sea-level change.  
85 In parallel, archaeologists throughout the 20<sup>th</sup> century documented coastal sites,  
86 which demonstrated intensive maritime activity around the Mediterranean basin,  
87 though much of the focus remained on the relatively recent periods since the  
88 adoption of metal and written language, while less attention was given to earlier  
89 periods and the archaeological significance of coastal changes over longer periods  
90 of time. In many respects, the eastern Mediterranean, where Africa and Eurasia  
91 meet, is an ideal study area, and important for the integrated studies of landscape

92 evolution and archaeology; it has contributed significantly to our understanding of  
93 human dispersals and migrations, as well as terrestrial and maritime trade routes.

94 The overarching aim of this article is to define the state of the art of Mediterranean  
95 sea-level studies, a century after its inception, and to consider the impacts of past  
96 sea-level and coastal changes on human-environment interaction. We identify and  
97 highlight the major on-going discussions and gaps in knowledge which we expect to  
98 at least partially define the next decade of integrated sea-level research into past  
99 coastal environments and archaeology (Figure 1). In doing so, we aim to bring  
100 together the research of the geomorphological and archaeological communities and  
101 promote interdisciplinary work specifically related to sea-level change.

102 This article stems from the efforts of the MEDiterranean sea-level change and  
103 projection for future FLOODing (MEDFLOOD) community, and is focused primarily  
104 on the central and eastern Mediterranean basin. This review is not designed to be  
105 geographically all-inclusive and there are some references to specific data or sites  
106 from further afield, for example the western Mediterranean, where they are  
107 representative, especially significant, or where the resolution of data (in the principle  
108 study area) is too low to discuss important concepts in a meaningful way. We base  
109 discussions on our own expertise and use examples from selected regions from the  
110 early Prehistoric, Protohistoric (or 'Later Prehistoric' Bronze and Iron Age periods)  
111 and early Classical periods. Through these lenses, we review the existing evidence  
112 in the geomorphological and archaeological records that document or contextualise  
113 early human-environment interactions.

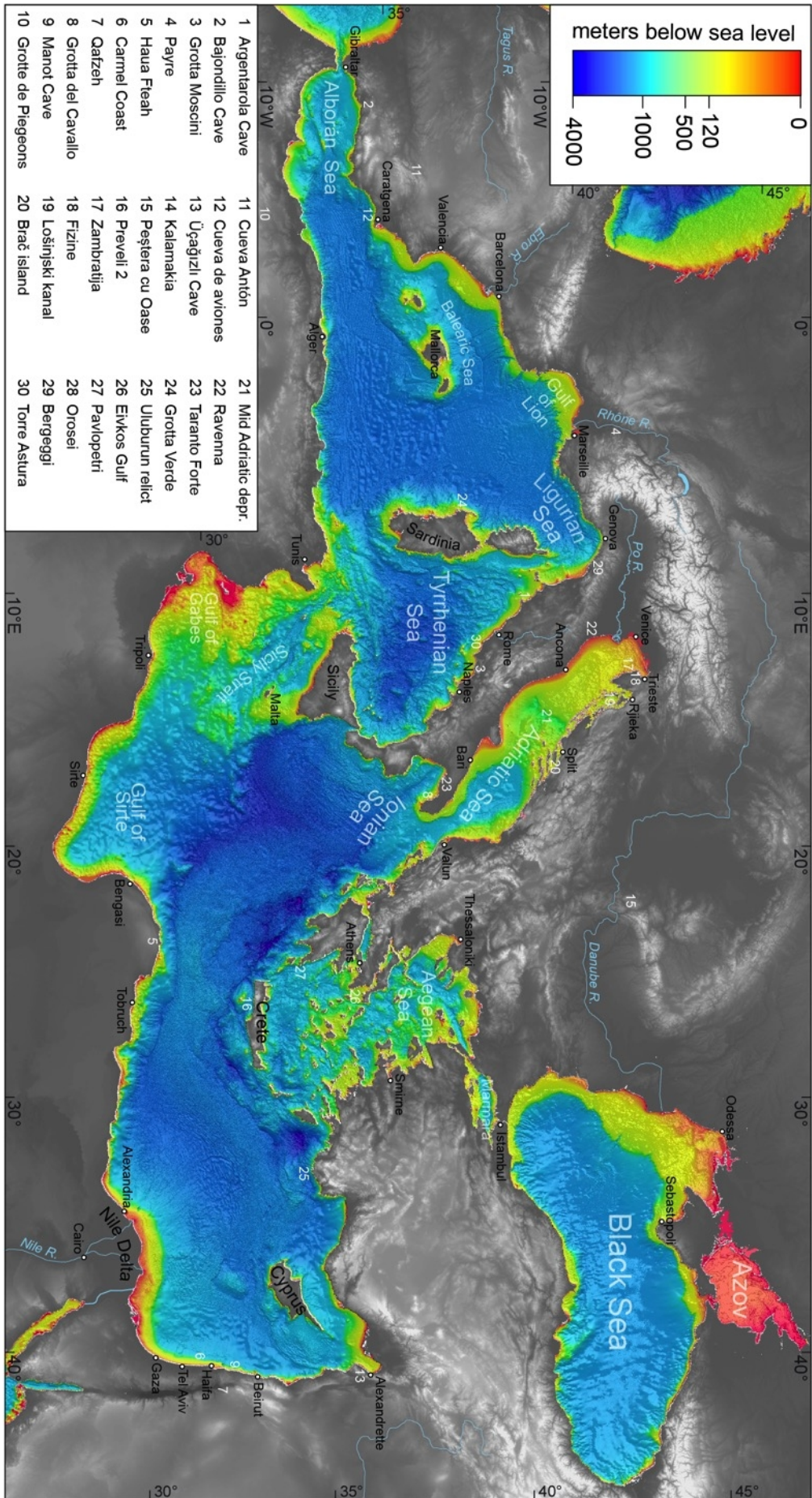
114 The review is sub-divided chronologically from the Last Interglacial to the Holocene  
115 using Marine Isotopic Stages (MIS, Imbrie et al., 1984). Defining the chronological  
116 boundaries from  $\delta^{18}\text{O}$  benthic stacks as applied to coastal and terrestrial records is

117 not straightforward; therefore at the beginning of each subsection we provide an  
118 overview of the timing for each MIS. We base the age attribution of each MIS mainly  
119 on the Lisiecky and Stern (2016)  $\delta^{18}\text{O}$  stack, making reference, where available, to  
120 specific data. For a more detailed discussion on the duration of past interglacials and  
121 their boundaries see Berger et al. (2015).

122 In each section, we first describe the sea-level changes that occurred, followed by  
123 sub-sections on human coastal occupation, contemporary with, and influenced by,  
124 relative sea-level change. We begin with a review of geological sea-level indicators.  
125 Towards the end of the article we include a section on the use of archaeological sea-  
126 level indicators, of interest to both archaeologists and geoscientists.

127 All the elevations in the text are referred to mean sea level, with a '+' prefix if they  
128 are above it or a '-' prefix if they are below modern sea level. Throughout the text we  
129 maintain the distinction between relative sea level (RSL) whenever we refer to local  
130 coastal sea level, uncorrected for tectonic, isostatic and other post-depositional  
131 processes. We use eustatic sea level (ESL) when we refer to global mean sea level  
132 or ice-equivalent sea-level changes. For a more detailed description of RSL and  
133 ESL, we refer the reader to Milne et al. (2009) and Rovere et al. (2016b).





135 **Figure 1.** A topographic map of the Mediterranean Sea region with bathymetric data  
136 derived from the European Marine Observation and Data Network  
137 (<http://www.emodnet.eu/>). Topographic data derived from Shuttle RADAR  
138 Topographic Mission (SRTM, [srtm.csi.cgiar.org](http://srtm.csi.cgiar.org)). Key sites as mentioned in text.  
139 (credit: A. Fontana)  
140

## 141 2. Indicators of past sea-level changes

142

143 RSL variations have left imprints on the modern coastlines and continental shelves  
144 worldwide. Past human cultures have also built infrastructure in close connection  
145 with RSL throughout the Mediterranean dating to at least the Neolithic, and with  
146 increasing intensity through Classical and later periods. A landscape feature, a fossil,  
147 or a sedimentary deposit whereby its elevation can be linked to a former sea level is  
148 considered a RSL indicator. Note that the term ‘relative’ implies that an indicator  
149 measures both the local sea surface change and the sum of all vertical land  
150 movements (e.g. due to tectonics, and/or different forms of isostasy) that affected the  
151 indicator since its formation (for a summary of these, see Rovere et al., 2016b and  
152 references therein). Once a RSL indicator is identified and measured in the field, it is  
153 necessary to establish its indicative meaning (Van de Plassche, 2013; Shennan et  
154 al., 2015). The indicative meaning defines the elevation where the RSL indicator was  
155 formed or was built with respect to the palaeo sea level and includes a measure of  
156 uncertainty. The main RSL indicators that have been used to reconstruct past sea-  
157 level changes in the Mediterranean are listed in Table 1. In the following subsections  
158 we describe these, and eventually we detail the on-going discussions on the  
159 interpretation of their indicative meaning. For a more in depth description of each  
160 marker and examples of their use to reconstruct paleo RSL, the reader is referred to  
161 the works cited in Table 1. In the following paragraphs we describe natural, non  
162 anthropogenic RSL indicators. Anthropogenic (archeological) RSL indicators are  
163 described in a later section as they are only relevant to the Holocene.

165 **Table 1.** Indicators and proxies used to reconstruct past Mediterranean sea levels

Type of RSL marker	Chronology	Typology	Elements improving RSL estimate	References and examples
Tidal notches	Late Quaternary	Geomorphological	Fixed biological indicators	Antonioli et al., 2015; Rovere et al., 2016° Goodman-Tchernov and Katz 2016
Abrasion notch and sea caves	Late Quaternary	Geomorphological	Fixed biological indicators (may be difficult to find due to erosion).	Rovere et al., 2016a; Ferranti et al., 2006
Shore / Abrasion platforms	Late Quaternary	Geomorphological	Biological indicators	Rovere et al., 2016a; Ferranti et al., 2006
Marine terraces	Late Quaternary	Geomorphological/ sedimentary	Fixed biological indicators or sedimentary features	Rovere et al., 2016a; Ferranti et al., 2006; Lambeck et al., 2004b
Speleothems	Late Quaternary	Geomorphological/ sedimentary	Fixed biological indicators	Antonioli et al., 2004; Dutton et al., 2009
Beach deposits	Late Quaternary	Sedimentary	Biofacies, orientation and integrity of shells, sedimentary structures.	Rovere et al., 2016a; Galili et al., 2007, 2015; Goodman et al. 2008, 2009
Beachrocks	Late Quaternary	Sedimentary	Sedimentary structures, types of cement	Vousdoukas et al., 2007; Mauz et al., 2015b
Salt-marsh deposits	Holocene	Sedimentary	Faunal assemblages (foraminifera, ostracods, molluscs) and plant remains	Vacchi et al., 2016b; Lambeck et al., 2004° Nixon et al, 2009
Lagoonal deposits	Holocene	Sedimentary	Faunal assemblages (foraminifera, ostracods, molluscs)	Vacchi et al., 2016; Lambeck et al., 2004a
River deltas	Holocene	Sedimentary	Sedimentary structures	Stanley 1995; Anthony et al. 2014
Fossil fixed bioconstructions	Holocene	Sedimentary	Midlittoral species	Laborel and Laborel-Deguen, 1994; Rovere et al., 2015
Harbour structure (quay, pier, breakwater)	Late Holocene	Archaeological	Fixed biological indicators	Auriemma and Solinas, 2009; Morhange and Marriner, 2015

Fishtanks	Late Holocene	Archaeological	Preservation of all structural parts, presence of fixed biological indicators	Lambeck et al., 2004b; Mourtzas et al., 2012a
Coastal quarries	Late Holocene	Archaeological	Preservation of the lowest quarry level	Lambeck et al., 2004b; Auriemma and Solinas, 2009; Galili and Sharvit 1998
Slipways	Late Holocene	Archaeological	Fixed biological indicators	Lambeck et al., 2010; Anzidei et al., 2014; Morhange and Marriner, 2015
Coastal Water Wells	Holocene	Archaeological	Definition of the ancient water table	Galili and Nir, 1993; Sivan et al., 2004; Rovere et al., 2011

166

167 [2.1 Depositional, bio-constructional and erosional RSL indicators](#)

168 Natural, non-anthropogenic RSL indicators can be divided roughly into three

169 categories: depositional (e.g. estuarine or deltaic brackish sediments, salt-marshes,

170 coastal lagoons, beachrocks, etc.), biological (e.g. encrustations by marine

171 organisms, such as vermetids, algae, etc.) and erosional (e.g. abrasion platforms

172 and marine notches). These sea-level indicators require stabilization of sea level, for

173 at least a short period, for their formation and preservation (Table 1).

174 [2.1.1 Depositional sea-level indicators](#)

175 Some of the most useful and precise depositional indicators are salt-marsh

176 foraminifera and testate amoebae (Scott and Medioli, 1978; Gehrels, 1994; Edwards

177 and Horton, 2000; Barnett et al., 2017). Salt marshes are abundant in northeastern

178 Italy, Croatia and Greece, for example, and host a limited number of cosmopolitan

179 foraminiferal taxa. Some have very restricted depth and salinity constraints, which

180 allow for decimetre-scale sea-level reconstructions (e.g., Serandrei Barbero et al.,

181 2006; Shaw et al., 2016). Similarly, vertical distributions of ostracods and

182 malacofauna of Mediterranean coastal lagoons and estuarine or deltaic brackish

183 areas, has proved to be useful for sea-level reconstructions (e.g., Mazzini et al.,

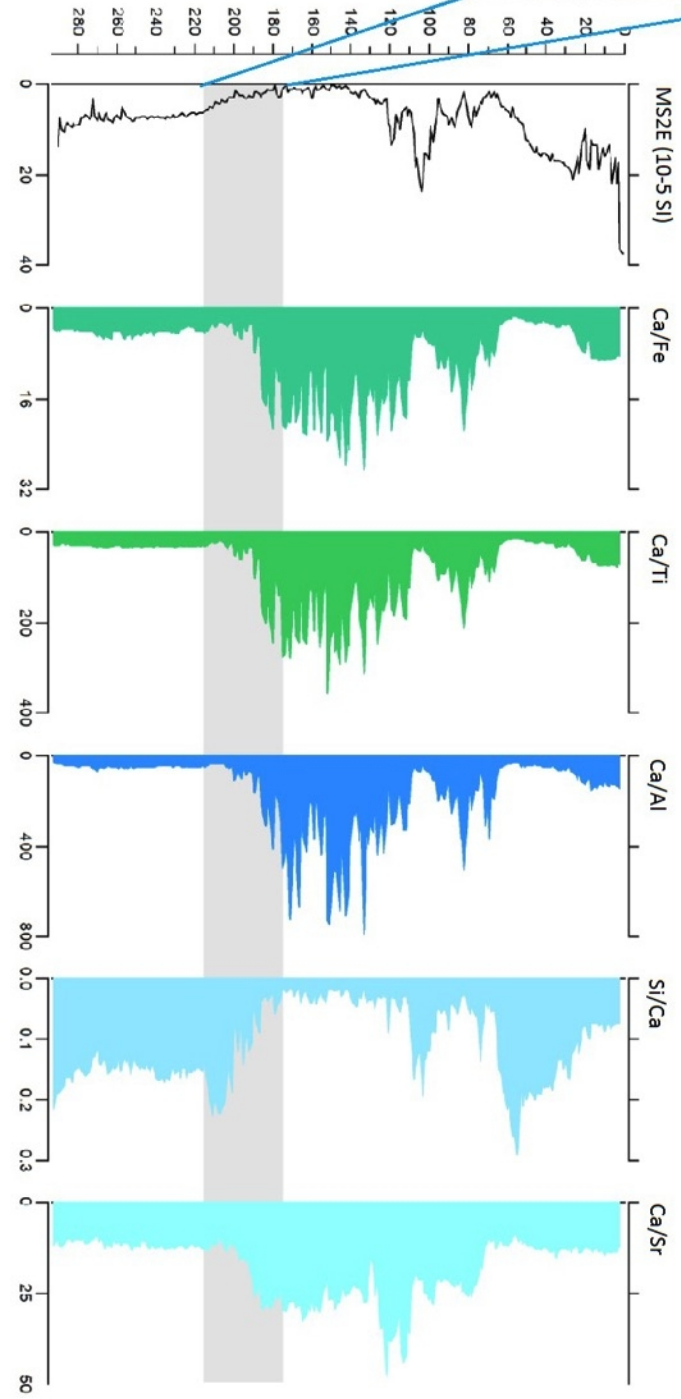
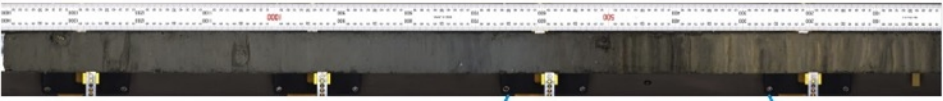
184 1999; Marco Barba et al., Vacchi et al., 2016a). Typically, studies incorporating these  
185 methods apply coring campaigns along shore-perpendicular transects to identify the  
186 salt-marsh, lagoonal or other brackish facies and determine their age by radiocarbon  
187 dating.

188 Another widely used depositional Holocene sea-level indicator are beach deposits  
189 and beachrocks. A beachrock is a form of calcarenite that is present within the littoral  
190 zone and often is characterised by significant amounts of marine-associated  
191 inclusions such as shell or broken coral remains, and depending on its age and  
192 composition can be crumbly and pliable. Beachrocks represent the hard fossilised  
193 section of a former sandy/gravel coast, of both clastic and biogenic origin, rapidly  
194 cemented by the precipitation of carbonate cements (e.g., Mauz et al., 2015b).

195 Beachrock formation is traditionally associated with an interface between stable  
196 seawater and fresh groundwater, but may also form in the absence of groundwater  
197 (Kelleter, 2006) by river floods, storms, or tsunamis (Vött et al., 2010; May et al.,  
198 2012). Regardless of its genesis, beachrock forms within a limited distance of the  
199 coastline and is therefore regarded as a proxy for sea level (Mauz et al., 2015b). The  
200 presence of soda bottles and modern trash incorporated into some beachrock today  
201 reinforce arguments that diagenesis can be rapid. In best cases, beachrock can  
202 provide one-metre vertical accuracy (Hopley, 1986). Cementation occurs either in  
203 the intertidal or in the swash/backwash and spray zone under complex  
204 physicochemical and biological conditions, under low wave energy conditions and  
205 possibly in the presence of meteoric water. Intertidal sediments may be difficult to  
206 determine on the basis of the cement alone (Hopley, 1986). However, analysis of  
207 sedimentary structures and cement microstratigraphy of Mediterranean beachrocks

208 can result in very detailed RSL reconstructions (e.g., Desruelles et al., 2009; Vacchi  
209 et al., 2012; Mauz et al., 2015a; Ozturk et al., 2016)

210 The Mediterranean shelf contains multiple depressions that flooded during high  
211 stands but which are isolated from the sea during lowstands, and therefore can  
212 preserve records of marine flooding during the Quaternary. Examples of such places  
213 include the Sea of Marmara (Taviani et al., 2014b), the Maltese shelf (Micallef et al.,  
214 2012) or the Evoikos Gulf (Drinia et al., 2014). The flooded karst depressions along  
215 the eastern Adriatic Coast, such as at the Lošinjski Canal Bay, contain records of  
216 multiple marine incursions, which are controlled by the relative mean sea-level  
217 position and the elevation of a sill (Figure 2). Sediment cores from such basins hold  
218 valuable information on sedimentary architecture, chronology, geochemical and  
219 biological proxies related to Quaternary sea-level changes.



221 **Figure 2.** A split core from the karst depression in the Lošinjski Kanal Bay (-72 m),  
222 isolated from the Northern Adriatic Sea by a sill at -50 m. The core displays multiple  
223 marine flooding (gray homogeneous sediment, low Ca/Sr ratio, top and bottom parts  
224 of the Core) and a lake sediment sequence (laminated sediments-high Ca/Sr ratio).  
225 (Photo: S. Miko)

226

### 227 2.1.2 Biological sea-level indicators

228 Some examples of biological RSL indicators include the bioconstructions created by  
229 coral reefs or vermetids. Some shallow water coral reefs can yield sea-level  
230 reconstructions that are accurate to within  $\pm 1$  m (Lighty et al., 1988). Other  
231 bioconstructions, such as large reefs formed by vermetids, for example *Dendropoma*  
232 *petraeum*, are formed within a vertical range of  $\pm 0.10$  m and are excellent sea-level  
233 indicators (Laborel, 1986; Antonioli et al., 1999; Sivan et al., 2010). Fossil remains  
234 fixed to former sea cliffs, such as *L. lithophaga*, *Cerastoderma glaucum*, limpets and  
235 barnacles, particularly in conjunction with other sea-level indicators, have also been  
236 used as past sea-level indicators (van de Plassche, 2013; Rovere et al., 2015 and  
237 references therein). Biological indicators can also be erosive in nature, when the  
238 former sea level can be reconstructed from bioeroded surfaces (see next section).  
239 The most common features produced by bioerosion are borings left on rocky  
240 carbonate coasts by molluscs (such as *L. lithophaga*) or by sponges (such as  
241 Clionadae).

### 242 2.1.3 Erosional sea-level indicators

243 Marine notches and abrasion platforms are commonly used as erosional sea-level  
244 indicators in the Mediterranean. Tidal notches have been classically divided into: i)  
245 tidal notches, formed in sheltered rocky areas in the intertidal zone; ii) infra-littoral  
246 notches, formed in the sub-tidal zone under exposed conditions and high surf; iii)  
247 surf notches, formed under exposed conditions in the supra-littoral zone (Pirazzoli,



248 1986). Abrasion platforms (shore platforms) are the product of marine erosion in  
249 exposed rocky coasts under periods of stable sea level (Trenhaile, 1987; Kennedy,  
250 2015).

251 Tidal notches can be very precise sea-level indicators, as their width is related to the  
252 tidal range of the locality where they form and the deeper point of the notch is closely  
253 correlated to mean sea level (Antonioli et al., 2015 and references therein). The rate  
254 of notch formation is faster in seaward, less sheltered, sites, as well as in softer or  
255 more porous matrixes (e.g. Goodman-Tchernov and Katz 2016). Measurements of  
256 tidal notches at 73 sites in the Central Mediterranean Sea suggested that several  
257 processes contribute, at varying rates, to the formation of tidal notches (Furlani et al.,  
258 2014a). These processes include bioerosion, weathering, hyperkarst and mechanical  
259 erosion: the relative dominance of each process can produce a slightly different  
260 notch morphology. One of the main factors favouring the development of a tidal  
261 notch is the existence of submarine fresh-water springs which enhance rock  
262 dissolution (Furlani et al., 2014b). We note the current, on-going debate regarding  
263 whether or not tidal notches are disappearing due to the recent increasing rates of  
264 sea-level rise. While this view is supported by studies in Greece (Evelpidou et al.,  
265 2012; Pirazzoli and Evelpidou, 2013; Evelpidou and Pirazzoli, 2015), other studies  
266 elsewhere in the Mediterranean reject this hypothesis (Boulton and Stewart, 2013;  
267 Antonioli et al., 2015, 2016, Goodman-Tchernov and Katz 2016).

268



269

270 **Figure 3.** Measurement of a fossil tidal notch (Orosei Gulf, Sardinia Italy) using a  
271 metered rod. The measured height of the notch is 8.7 m. (Photo: F. Antonioli).

272

### 273 3. MIS 5

274

#### 275 3.1 Sea level

276 ESL in the last 2 million years reached positions as low as 130 m below the present

277 mean sea level during glacial periods, and highstands up to ~ +6 m and possibly

278 +13-15 m during interglacial periods (Rohling et al., 1998; Dutton et al., 2015;

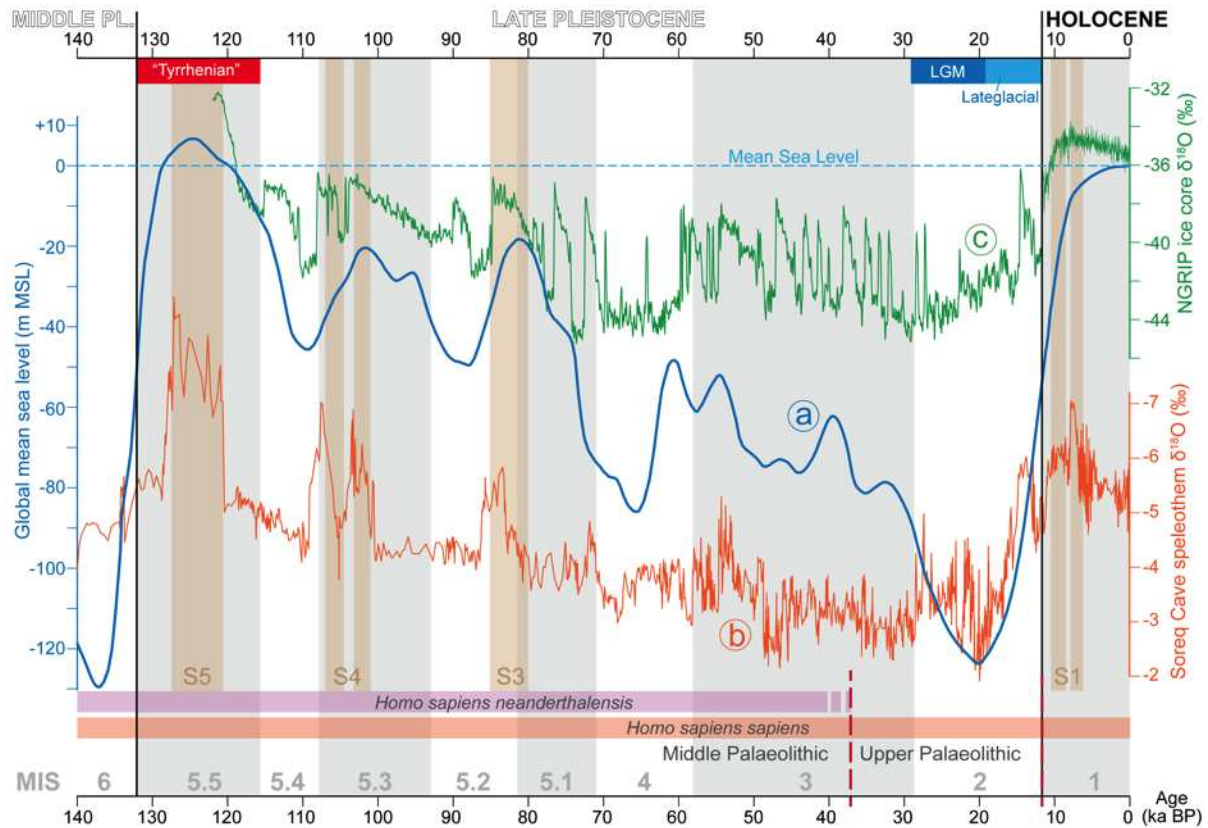
279 Lisiecki and Raymo, 2005; Grant et al., 2014; Raymo and Mitrovica, 2012; Spratt

280 and Lisiecki, 2016; see also Figure 4 herein). MIS 5 includes several sub-stages that

281 were characterised by both higher and lower-than-modern sea levels: MIS 5.5, MIS

282 5.3 and MIS 5.1. These three substages are described separately in the following

283 sections, detailing examples of sea-level indicators found in the Mediterranean Sea.



284

285 **Figure 4.** Comparison between the reconstruction of the past global mean sea level  
 286 and palaeoclimatic, palaeoenvironmental and archaeological data for the  
 287 Mediterranean Sea since 140 ka. a) Global mean sea-level curve with uncertainty  
 288 indicated in light blue (Waelbroeck et al., 2002). As a palaeoclimatic proxy for the SE  
 289 Mediterranean region the  $\delta^{18}\text{O}$  composition of the Soreq Cave speleothem (b) is  
 290 plotted, while for the palaeoclimate of the Northern Hemisphere, the  $\delta^{18}\text{O}$   
 291 composition of NGRIP ice core (c) is represented (NGRIP members, 2004; Kindler et  
 292 al., 2014). Grey and white rectangles indicate the MIS according with the LS16  $\delta^{18}\text{O}$   
 293 stacked benthic composition (d) (Lisiecky and Stern, 2016). Brown dashed shading  
 294 indicates the period of sapropel deposition (Rohling et al., 2015).

295

### 296 3.1.1 MIS 5.5

297 In a global sense, the Last Interglacial (MIS 5.5) in the  $\delta^{18}\text{O}$  benthic record begins,  
 298 chronologically at 127.5 ka, approximately in sync with the ESL highstand. The end  
 299 of the interglacial shows a significant regional variability (Lisiecky and Stern, 2016).  
 300 Atlantic benthic  $\delta^{18}\text{O}$  increases in fact gradually from 122 to 111 ka, whereas Pacific  
 301 benthic  $\delta^{18}\text{O}$  increases rapidly from 119 to 116 ka (Lisiecky and Stern, 2016). Dutton  
 302 et al. (2015) and Kopp et al. (2009) set the beginning of the interglacial to ~129 ka,

303 while Hibbert et al. (2016) report that, globally, corals above present sea level  
304 attributed to MIS 5.5 date from 139 to 111 ka.

305 Sea-level deposits associated with MIS 5.5 in the Mediterranean Sea have been  
306 referred to as 'Tyrrhenian', despite the fact that, in the formal terminology, the  
307 Tyrrhenian Stage encompasses a time period longer than MIS 5.5 (260 to 11 ka),  
308 while MIS 5.5 corresponds to the Eutyrrhenian subunit (e.g. Gignoux, 1913; Asioli et  
309 al., 2005). Global estimates based on the analysis of RSL indicators corrected for  
310 GIA and tectonics constrain the maximum MIS 5.5 ESL between +5.5 to +9 metres  
311 (Dutton and Lambeck, 2012; Kopp et al., 2009).

312 A large database focussed on MIS 5.5 RSL indicators in Italy was published by  
313 Ferranti et al. (2006) and was later used by Pedoja et al. (2011, 2014) in the  
314 framework of a global synthesis (Figure 5a). From the spread of elevations of MIS5.5  
315 RSL indicators in the Mediterranean, it is evident that some areas are tectonically  
316 highly active, producing rapid rates of subsidence and uplift, with shorelines now  
317 found at elevations between -105 and +210 m since MIS 5.5 (Anzidei et al., 2014). In  
318 other areas, the elevation of MIS 5.5 RSL indicators is constrained between +2 to +3  
319 m (e.g. Mallorca, Balearic Islands; Vesica et al., 2000) and +7 to +8 m (e.g. western  
320 Italy; Antonioli et al., 2006a) or up to +7m at the end of MI5.5 in the east  
321 Mediterranean (Sivan et al., 2016). These areas are generally considered as  
322 tectonically 'stable', despite slight variations in the elevation of the MIS 5.5 RSL  
323 indicators.

324 Commonly used MIS 5.5 sea-level indicators in the Mediterranean include marine  
325 terraces, tidal notches (e.g. in the well dated MIS 5.5 notches of the Galilee coast,  
326 Israel; Sisma-Ventura et al., 2017), beachrocks, coastal conglomerates and  
327 sediments containing diagnostic fauna (e.g. Hearty, 1986; Galili et al., 2015, Sivan et

328 al., 2016). In the Mediterranean, the key fossil indicator for MIS 5.5 found in palaeo  
329 beach deposits is the gastropod currently named as *Persististrombus latus* (Taviani,  
330 2014a), but generally described in the literature with its former name, *Strombus*  
331 *bubonius* (e.g., Gignoux, 1913; Sivan et al., 1999; 2016; Zazo et al., 2003, 2013,  
332 Figure 5b). This gastropod is the most conspicuous specimen of the “Senegalese  
333 fauna” (Figure 5b-g), which indicates a relatively warm coastal and littoral  
334 environment. *Strombus*-bearing terraces are mainly attributed to MIS 5.5, although  
335 they also occur in terraces assigned to MIS7 in the western Mediterranean (Zazo et  
336 al., 2013).

337 Another important element found in fossil MIS 5.5 deposits is *Cladocora caespitosa*  
338 (Fig 5c), a stony coral of the subclass Hexacorallia. This coral can still be found living  
339 in the Mediterranean today (Peirano et al., 1998) and has been used to infer MIS 5.5  
340 temperatures (Peirano et al., 2004). In Mediterranean deposits, this is one of the few  
341 fossils that can be dated by U-series (e.g. Jedoui et al., 2003; Muhs et al., 2015).



342

343 **Figure 5.** A) Average elevation per area of the MIS 5.5 shoreline (data from Ferranti  
 344 et al., 2006; Pedoja et al., 2014); B) MIS 5.5 deposit containing *Strombus latus* (ex  
 345 bubonius); C) *Lithophaga lithophaga* in the marine cave of Bergeggi (Italy, Liguria,  
 346 Western Mediterranean). Each borehole has a diameter of ~2–3 cm; D-G) typical  
 347 senegalese fauna, from deposits in Mallorca (Spain, Catalunya, Western  
 348 Mediterranean, collection J. Cuerda Barceló): D) *Cladocora caespitosa*, one of the  
 349 few corals in the Mediterranean that can be used to obtain reliable U-series ages  
 350 (the fossil length is ~5cm); E) *Arca noae* (the fossil length is ~7cm); F) *Patella*  
 351 *lusitanica* (the fossil length is ~4cm); G) *Persistrombus latus* (ex *Strombus*  
 352 *bubonius*) (the fossil length is ~10cm). (Photos: A. Rovere).

353 Despite studies on MIS 5.5 RSL indicators dating back at least to the beginning of  
354 the last century (Gignoux, 1913; Issel; 1914; Blanc, 1920), several geological  
355 research questions remain unanswered. These are briefly outlined below.

356 It is uncertain if the Mediterranean RSL indicators point to the stability of ESL during  
357 MIS 5.5 or to a sea level characterised by significant variations and pulses of  
358 meltwater. The ongoing debate over the sea-level behaviour during MIS 5.5 is global  
359 in scope (as summarised in Long et al., 2015). Whether eustatic sea level during  
360 MIS 5.5 was stable around a certain value (typically +5.5 to +9 m, Dutton and  
361 Lambeck, 2012), fluctuating with peaks (Rohling et al., 2008b; Kopp et al., 2009), or  
362 around +2 to +3 m for most of the interglacial with drastic rising peaks towards the  
363 end (Hearty et al., 2007; O'Leary et al. 2013) is still under debate. While some of the  
364 classic MIS 5.5 sites in the Mediterranean preserve the evidence of two sea-level  
365 highstands during this period (e.g., deposits of Cala Mosca, Sardinia, Italy, see  
366 Ulzega and Hearty, 1986 for a detailed description), other sites preserve only a  
367 single RSL highstand (e.g., Capo San Vito Sicily, Italy; see Antonioli et al., 2006b).  
368 More detailed studies, elevation measurements and GIA models are needed  
369 particularly for those Mediterranean MIS 5.5 indicators that point to a stepped MIS  
370 5.5 relative sea-level history (e.g. Sivan et al., 2016).

371 Another important issue is that, while low tidal ranges and relatively low wave energy  
372 favor the development of precise RSL indicators (i.e. with relatively small indicative  
373 meaning), there is, in the Mediterranean, a scarcity of deposits bearing corals for  
374 which relative chronologies within MIS 5.5 can be obtained through U-series dating  
375 and that fulfil the criteria of reliable U-series ages (Brocas et al., 2016).

376 A large portion of Mediterranean MIS 5.5 sea-level evidence is represented by  
377 erosional RSL indicators, in particular fossil tidal notches (Ferranti et al., 2006)  
378 (Figure 3). While one of the main advantages of tidal notches is that they can be  
379 tightly related to palaeo mean sea level (see section 2.1.3), their main disadvantage  
380 is that, being erosional in nature, they cannot be dated directly. This difficulty is  
381 overcome when it is possible to correlate the tidal notch with deposits for which the  
382 MIS 5.5 age can be either inferred (e.g. deposits containing *Persististrombus* fossils)  
383 as in the case of the Galilee notch in Israel where MIS5.5 sediments infill the notch  
384 (Sisma-Ventura et al., 2017) or calculated with analytical tools (e.g. U-series ages on  
385 deposits containing the coral *Cladocora caespitosa*). At present, there is no known  
386 methodology to directly date fossil tidal notches and other erosional RSL indicators,  
387 although advancements in the application of cosmogenic dating (e.g.  $^{36}\text{Cl}$   
388 cosmogenic dating, Mitchell et al., 2001) to limestone surfaces might open, in the  
389 future, new possibilities to give more precise age constraints to these important  
390 landforms.

391 Another important application of MIS 5.5 RSL indicators in the Mediterranean is their  
392 use to assess neotectonic uplift rates. The general procedure used is the subtraction  
393 of a global eustatic sea-level estimate (usually 6 – 9 m) from the elevation of the RSL  
394 indicator. The result is then divided by the age of the indicator (e.g. Antonioli et al.,  
395 2006b). This process does not take into account the effect of the solid earth  
396 response to melting ice (Lambeck and Purcell, 2005; Creveling et al., 2015), a  
397 process that in the Mediterranean has been occasionally considered qualitatively  
398 (Antonioli et al., 2006a; Mauz et al., 2012), but has been quantified only in some MIS  
399 5.5 studies (e.g. Rovere et al., 2016a; Sivan et al., 2016). Predictions of GIA for MIS  
400 5.5 can have, however, large variations and efforts are still ongoing to obtain reliable



401 GIA predictions for this period (e.g. see Lambeck and Purcell, 2005). Such modelling  
402 studies will need to consider varying mantle viscosities and varying ice-sheet  
403 configurations to obtain reliable uncertainties on the predicted GIA contribution to the  
404 departure from eustasy in MIS 5.5 Mediterranean records. The extrapolation of  
405 tectonic rates since MIS 5.5 to calculate modern vertical movements of coastal areas  
406 (e.g. Antonioli et al., 2017) should be considered within this context.

407 When the elevation of a MIS 5.5 RSL indicator is not corrected for GIA (for a  
408 discussion on MIS 5.5 GIA corrections, see Creveling et al., 2015), both the  
409 comparison with global eustatic sea levels and the calculation of tectonics from the  
410 elevation of MIS 5.5 RSL indicators should be treated with a degree of caution.

411

### 412 3.1.3 MIS 5.1 – MIS 5.3

413 Temporally, the peak of MIS 5.3 and MIS 5.1 in the  $\delta O^{18}$  benthic record correlates  
414 with Northern Hemisphere summer insolation maxima at, respectively, ca. 93-108 ka  
415 and ca. 80–85 ka (Lisiecky and Raymo, 2005; Lisiecky and Spratt, 2016). The dating  
416 of RSL indicators for these two periods is less constrained than for MIS 5.5, as there  
417 are very few reliable U-series ages for RSL indicators attributed to this period (see  
418 Creveling et al., 2017).

419 Regarding sea levels, and in terms of oxygen isotope records, Waelbroek et al.  
420 (2002) place global ESL during MIS 5.1 at -21 m. A recent global compilation of field  
421 data (with related GIA calculations) placed ESL during MIS 5.1 in a range between -  
422 22 and +1 m, and MIS 5.3 sea level between -24 to +2 m, using the best dated field  
423 records available globally (Creveling et al., 2017).

424 The lack of available GIA corrections for the Mediterranean Sea in this period makes  
425 it difficult to compare regional MIS 5.1 measurements with global data. Gzam et al.  
426 (2016) associated alignments of submerged fossil dunes in the Gulf of Gabes,  
427 Tunisia, where palaeoshorelines formed during the MIS 5.1 are found at about -8 m  
428 (with MIS 5.3 at -19 m and MIS 5.5 at +3 m ). Rovere et al. (2011, Table 1) reported  
429 on submerged RSL indicators across the Italian peninsula, and discussed their  
430 possible attribution to MIS 5.1 and 5.3 or older periods (e.g. MIS 7). On the Island of  
431 Krk (Croatia) two stalagmites, collected from -14.5 m and -18.8 m, have been  
432 interpreted to infer two RSL peaks at ~84 ka and ~77 ka based on the absence of  
433 tectonic deformation (Surić et al., 2009). Further evidence comes from Mallorca,  
434 where a phreatic speleothem sampled in a partially submerged cave was dated to  
435 MIS 5.1 (Dorale et al. 2010), support RSL reconstruction at ca. +1 m. Also on  
436 Mallorca, other deposits containing fossils of *Cladocora caespitosa* were recently  
437 dated to MIS 5.5 at an elevation between +1 and 2 m RSL, having previously been  
438 attributed to MIS 5.1 (Muhs et al., 2015).

439

### 440 3.2 Human populations during MIS 5

441 Coastal regions provide a wide range of resources to the populations that inhabit  
442 them, and this was particularly important to hunter-gatherers and mobile groups of  
443 foragers during prehistory (Erlandson, 2001; Bailey and Milner, 2002). The  
444 exploitation of coastal landscapes and resources has been the subject of major  
445 discussion in recent years. Debates include the identification of the earliest  
446 systematic exploitation of littoral resources and its significance for hominin cognition  
447 (e.g. Marean, 2014), the role of coastal regions in facilitating dispersals of *Homo*  
448 *sapiens* populations out of Africa (Stringer, 2000; Mellars et al., 2013), and the ability

449 of coastal regions to act as refuges during environmental downturns (e.g. Finlayson,  
450 2008; Jennings et al., 2011; Garcea 2012; Shtienberg et al., 2016). Understanding  
451 the spatial relationship between populations and coastlines in the Mediterranean  
452 during MIS 5 is therefore crucial to understand interactions of early human  
453 populations with the landscape, and also human migrations and extinctions.

454 The MIS 5 archaeological record in the Mediterranean, and in particular MIS 5.5, is  
455 the most promising period for examining the role of coastal resources during the  
456 Middle Palaeolithic (a period defined by lithic technology which broadly corresponds  
457 to 300ka – 40 ka). The fact that, in most areas, MIS 5.5 RSL proxies are found  
458 above present sea level means that coastlines from this period should be largely  
459 accessible for research today, except in areas of subsidence. In tectonically active  
460 sites, it is possible that MIS5.3 and 5.1 coastal records may too be preserved above  
461 present sea level, unlike the period from MIS 4 to the early Holocene (Bailey and  
462 Flemming, 2008). The archaeological record of occupation in the period 129 – 71 ka  
463 around the Mediterranean is relatively rich and is characterised by Middle  
464 Palaeolithic/Middle Stone Age (MP/MSA) industries, manufactured by two  
465 populations of Homo species: *H. neanderthalensis* (or *H. sapiens neanderthalensis*)  
466 in Europe and *H. sapiens* (or *H. sapiens sapiens* / Anatomically Modern Humans,  
467 [AMH]) in North Africa. Archaeological deposits of Middle Paleolithic coastal  
468 dwellers embedded in beach deposits, however, are relatively rare.

469 The MP/MSA archaeological record in the Levant contains the first evidence for *H.*  
470 *sapiens* dispersals into Eurasia during MIS 5.5-3, with their remains preserved in  
471 terrestrial contexts at Skhul and Qafzeh caves dated to 100–130 ka (#7 in Figure 1,  
472 Grün and Stringer, 1991; Grün et al 2005). Both Neanderthals and *H. sapiens*  
473 populations utilised MP/MSA technology in the Levant during this period (Shea,

474 2003). The extent to which these populations exploited the coastal regions and the  
475 resources they contained is becoming clearer with targeted research into the origins  
476 of marine exploitation. Outside of the Mediterranean, evidence for exploitation of  
477 marine resources (molluscs, mammals etc.) by *H. sapiens* is known from MIS 6  
478 contexts in southern Africa, with populations there developing a full 'coastal  
479 adaptation' by ~110,000 ka (Marean, 2014). Possible occupation of coastal  
480 environments during MIS 5.5, presumably by *H. sapiens*, are also suggested from  
481 the Red Sea region (Walter et al., 2000; Bailey et al., 2015).

482 Exploitation of marine molluscs and fauna preserved in cave sites can be observed  
483 in the archaeological record around the Mediterranean. Such evidence exists from  
484 ~150 ka at Bajondillo Cave, southern Spain (Cortés-Sánchez et al., 2011) and  
485 evidence for freshwater fish processing by Neanderthals in Payre, France 250–125  
486 ka (Hardy and Moncel, 2011). Neanderthal subsistence strategies included  
487 exploitation of marine molluscs during early MIS 5 at sites such as Vanguard Cave,  
488 Gibraltar (Stringer et al., 2008) and Grotta dei Moscini, Italy (Stiner, 1993). Similar  
489 levels of sporadic marine mollusc exploitation are shown in North Africa by *H.*  
490 *sapiens* at the Haua Fteah, Libya (Klein and Scott, 1986; Barker et al., 2010, 2012),  
491 although caves in the Maghreb do not contain much evidence for the collection of  
492 molluscs for subsistence purposes until after MIS 5 (Marean, 2014).

493 Tracing the importance and use of marine resources in open air sites remains  
494 difficult. In the Levant, activity in coastal environments during early MIS 5 is shown in  
495 the form of MP stone industries in several MIS 5.5 beach deposits on the Israeli  
496 coast (Galili et al., 2007; Ronen et al., 2008), where assemblages containing  
497 molluscs, animal bones and Middle Palaeolithic flint implements were recovered  
498 from such deposits on the Carmel coast at Nahal Bir Ibdawiya and Nahal Me'arot

499 (Figure 6; #6 in Figure 1). Whilst the molluscs in these beach deposits may be  
500 naturally occurring, the deposition of stone tools and animal bones highlights an  
501 occupation and use of the wider coastal landscape. It appears that whilst marine  
502 resources were exploited by both *H. sapiens* and Neanderthal populations, these  
503 economies likely used marine molluscs as part of a range of resources available  
504 during this period of relative environmental stability and high sea levels around the  
505 Mediterranean.

506 There is also evidence that interactions with coastlines during MIS 5 were not  
507 necessarily limited to consumption of resources. Shell tools were manufactured by  
508 Neanderthals during MIS 5.1 in Mediterranean cave sites such as Grotta del Cavallo  
509 (#8 in Figure 1, Romagnoli et al., 2015). That technology persisted until ~50 ka  
510 (Douka and Spinapolice, 2012). Perforated shell beads from early MIS 5 cave  
511 contexts associated with *H. sapiens* dated to 100–135 ka (MIS 5.5-5.3) are known  
512 from Skhul (e.g. Vanhaeren et al., 2006) as well as Qafzeh where, dated to ~90–100  
513 ka (MIS 5.3), they were stained with pigment (Bar-Yosef Mayer et al., 2009). Both  
514 cave sites were ca. 35 km from the coastline, indicating specific transport of personal  
515 ornaments away from the coast (Bar-Yosef Mayer et al., 2009). Similar beads have  
516 also been found in cave sites in the Maghreb around 82 ka, again linked to *H.*  
517 *sapiens* populations (Bouzouggar et al., 200, 7D'Errico et al., 2009). There are no  
518 known symbolic uses of marine shells by Neanderthals until MIS 4 (see below). In  
519 North Africa these ornaments seem to disappear from the archaeological record after  
520 70 ka, remaining absent until around 50–40 ka, indicating that they may have been  
521 part of specific symbolic adaptations, the disappearance of which may have marked  
522 a cultural discontinuity (D'Errico et al., 2009).

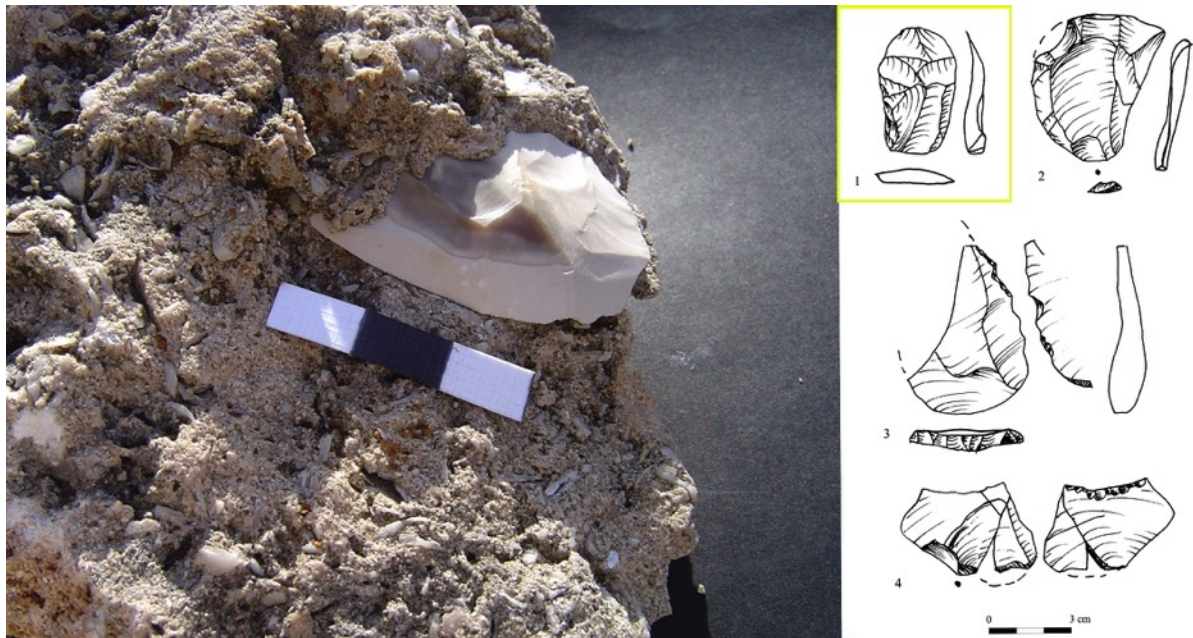
523 Only a few marine fish bones have been recovered in coastal Middle Palaeolithic

524 sites of the Levant, while at inland sites of that period there is evidence for fresh  
525 water fishing (Van Neer et al., 2005). However recent studies by Zohar (2017) show  
526 that exploitation of marine resources during the Middle Palaeolithic did occur; marine  
527 material has been identified in Kebara cave, Mount Carmel, some five kilometres  
528 inland.

529 The Palaeolithic colonisation and occupation of Mediterranean islands remains a  
530 matter of debate. Lower and Middle Palaeolithic finds from Preveli 2 on Crete have  
531 been assigned to at least MIS 5.3 and 5.1 based on geomorphological context  
532 (Strasser, 2011). That interpretation is contested, however, as it would indicate sea  
533 crossings during these periods. Mousterian Palaeolithic artefacts are also known  
534 from the Ionian islands (e.g. Ferentinos et al., 2012), closer to the mainland, but their  
535 age is not assessed by securely dated finds (Phoca-Cosmetatou and Rabett,  
536 2014b). Palaeolithic island colonisation is therefore a research theme where further  
537 interdisciplinary study is needed.

538 To further our understanding of the relationships between populations and coastlines  
539 during MIS 5, we must continue to trace marine mammal and mollusc exploitation  
540 through the recovery of shells and faunal remains from deep cave stratigraphies that  
541 dominate the Mediterranean archaeological record. It is also important to consider  
542 the occupation of wider littoral environments and the opportunities they produce  
543 including, but not limited to, marine mollusc exploitation (Bailey et al., 2008). This will  
544 require further survey of coastal deposits and areas linked to the MIS5.5 high sea  
545 stands and palaeoshoreline features (Bailey and Flemming, 2008; Bailey et al.,  
546 2015) to locate evidence of activity within the coastal zone, as at Nahal Bir Ibdawiya  
547 and Nahal Me'arot (Galili et al., 2007), as well as areas where deposits created by  
548 the later high stands are preserved above present day sea level. In broadening the

549 focus to the whole of the coastal biome, not simply to one strand of coastal  
550 resources, and by combining material from surface and landscape contexts, we can  
551 begin to reconcile how Middle Palaeolithic populations interacted with their  
552 coastlines. In that way we can assess how these populations responded to the  
553 impacts of coastal change.



554

555 **Figure 6.** Middle Palaeolithic stone tools from the coastal Levant (Carmel Coast,  
556 Israel, #6 in Figure 1) contemporary with the highstand at MIS 5.5 (after Galili et al.,  
557 2007).

558

## 559 4. MIS 4, MIS 3 and MIS 2

### 560 4.1 Sea level

561

562 Throughout most of the last glacial-interglacial cycle, ESL was tens of metres lower  
563 than its present position (Waelbroeck et al., 2002, Grant et al., 2014). The extended  
564 periods when sea level was low (MIS 4 and MIS 3) and reached its maximum  
565 lowstand (MIS 2) (see Figure 4a) were crucially important in shaping the present  
566 Mediterranean basin, as large portions of the seabed were exposed and coastlines

567 were further seaward than at present. The cold peak in the MIS 4  $\delta^{18}\text{O}$  benthic  
568 records occurs between 67 ka and 63 ka (Spratt and Lisiecky, 2016), while MIS 3  
569 can be generally constrained between 60 ka and 29 ka (Clark et al., 2009; Hughes et  
570 al., 2013). MIS 2 follows MIS 3 and ends chronologically with the beginning of the  
571 Holocene, 11.7 ka.

572 Several factors make investigations of coastal and marine processes (and sea level)  
573 during these periods difficult: i) the areas where suitable coastal geomorphic features  
574 or coastal sediments formed are currently submerged, often at depths of few tens of  
575 meters below present sea level, and their accessibility is therefore difficult; ii) the  
576 preservation of geomorphic features and sedimentary records is limited; iii) the  
577 dating of most deposits has been considered to be prone to methodological  
578 problems; iv) in places there is a lack of high resolution bathymetry and subsurface  
579 survey at the scale required to assess seabed conditions. This final point highlights  
580 the need for more submarine surveys and exploration.

581 In many areas, the ESL rise after the Last Glacial Maximum (LGM) eroded and  
582 reworked older stratigraphic and morphologic evidence, especially through the  
583 development of ravinement surfaces. Moreover, long periods of subaerial exposure  
584 altered and/or eroded pre-existing deposits and fluvial and aeolian processes, as  
585 well as weathering, soil-forming activity and karstification affected large sectors of  
586 the Mediterranean coastal areas. Recent work by Shtienberg et al. (2016, 2017)  
587 demonstrate how the study of the seabed, through marine seismic interpretation,  
588 paired with terrestrial geotechnical analysis, can be used to investigate the shelves  
589 that are now (partially) submerged, but which were previously uninterrupted  
590 landscapes, exploited by humans as a result of sea-level fall.



591 Because of the incomplete sea-level record in the Mediterranean Sea, data from  
592 other regions (e.g. the Red Sea, Tahiti) or a 'global eustatic' curve are commonly  
593 used for the period 116-20 ka (e.g. Imbrie et al., 1984; Bard et al., 1996; Waelbroeck  
594 et al., 2002; Rohling et al., 2008a). Moreover, a detailed history of ice-volume  
595 changes in the Mediterranean has been proposed only as far back as 35 ka  
596 (Lambeck et al., 2014), while geophysical models describing the sea-level evolution  
597 before 20 ka are not usually available for the Mediterranean Sea (Lambeck et al.,  
598 2011).

599 Isotopic analyses of speleothems in coastal caves in karstic areas can produce  
600 records of submergence and emergence. Along the eastern Adriatic, where the  
601 rocky coast consists of limestone (Pikelj and Juračić, 2013; Furlani et al., 2014b),  
602 more than 140 submarine caves with speleothems are known to exist, some of which  
603 have been studied for sea-level reconstructions (Surić et al., 2005, 2009, 2010). The  
604 deepest speleothems along the Croatian coast reach depths of -71 m near the island  
605 of Brač in southern Dalmatia (Garašić, 2006). In some other caves, speleothems  
606 formed during MIS 3 show evidence of subaerial formation and confirm that sea level  
607 was at an elevation lower than -40 m (Surić et al., 2005). Despite the potential of the  
608 eastern Adriatic, reliable constraints of RSL during MIS 4 and 3 remain lacking.  
609 Nevertheless, this and other karstic coasts of the Mediterranean are key areas for  
610 future research into Quaternary coastal evolution, early human populations,  
611 migration routes and coastal settlement and resource exploitation. Submerged caves  
612 have also been identified as a major opportunity for future archaeological  
613 prospection and submerged karstic regions throughout the Mediterranean are likely  
614 to yield well preserved organic material (Benjamin et al. 2011; Campbell 2017).

615 In ESL curves, a relative short-lived highstand occurred c. 52 ka at about ~-60 m  
616 (Shackleton et al., 2000). Three other sea-level fluctuations are shown for MIS 3,  
617 with amplitudes of 20–30 m and their peaks centered at about 55 ka, 45 ka and 38  
618 ka respectively. At the transition to MIS 2, ESL fell at a relatively sharp rate to nearly  
619 -80 m, subsequently culminating in a lowstand between 29 ka and 21 ka, when ESL  
620 is usually estimated to be between -120 m and -140 m (Lambeck et al., 2014).

621 In the Po Plain, south of the present Po Delta, between -75 m and -25 m, cores  
622 containing deposits dated to MIS 4 and MIS 3 indicate alluvial environments in this  
623 long period (Amorosi et al., 2004). In the central Adriatic, geophysical surveys have  
624 found clear sedimentary traces of forced regressions occurring during the sea-level  
625 fall following MIS 5.5 (Ridente et al., 2009; Maselli et al., 2010; Pellegrini et al.,  
626 2017).

627 The formation and growth of the Alpine ice sheet during the LGM only minimally  
628 affected the eustatic curve because of its limited volume as compared to polar ice  
629 sheets (e.g. Lambeck et al., 2004a). Notwithstanding their limited effect on ESL, the  
630 glacial advances in the Alps and partly in the Dinarides and Pyrenees, strongly  
631 affected the general environmental conditions of the northern side of Mediterranean  
632 basin. In particular, the Po river dramatically enlarged its catchment basin during the  
633 marine lowstand (De Marchi, 1922; Maselli et al., 2010). Moreover, the fluvial  
634 systems of the southern Alps received enhanced sedimentary input supplied by  
635 glacial activity, allowing the widespread aggradation and progradation of alluvial fans  
636 and megafans, that prograded for tens of kilometres over the exposed shelf in the  
637 Adriatic (Fontana et al., 2014) and in the Gulf of Lion (Jouet et al., 2006).

638 The lowstand deposits produced by the Po River mainly consist of a sequence of  
639 prodeltaic deposits that prograded for 40 km in the foredeep basin and reached a

640 maximum thickness of about 350 m in the Middle Adriatic Depression and of 70 m on  
641 the shelf (Trincardi et al., 2004; Pellegrini et al., 2017). Topset beds of the LGM delta  
642 can be recognised through geophysical soundings from a depth of -100 m and  
643 below, and this elevation is a constraint for the LGM sea-level position (Ridente et  
644 al., 2008; Trincardi et al., 2011a, 2011b; Amorosi et al., 2016; Pellegrini et al., 2017).  
645 In the Tyrrhenian Sea, submerged depositional terraces are documented at variable  
646 depths between -50 m and -200 m. Between -90 m and -150 m they are interpreted  
647 as the evidence of the LGM shoreline (Chiocci et al., 1997; Milli et al., 2016). Direct  
648 evidence of the LGM lowstand is known from offshore near Termini Imerese in  
649 northern Sicily, where a piston core collected a sample dated to 21.8 ka at a depth of  
650 -127 m (Caruso et al., 2011). Another location with LGM shoreline deposits has been  
651 found near the Asinara Island, in northern Sardinia, through grab sampling at a depth  
652 of -129 m; this yielded an age of 19.2 ka (Palombo et al., in press).

653

#### 654 [4.2 Human populations from MIS 4 to MIS 2](#)

655 The period from the onset of MIS 4 to the MIS 2 was characterised globally by major  
656 and sometimes relatively rapid oscillations in temperature and ESL, changes that are  
657 documented around the Mediterranean (e.g. Almogi-Labin et al., 2009; Moreno et al.,  
658 2002, 2005; Shtienberg, et al., 2016, 2017).

659 The onset of MIS 4 brought hyperaridity in the Sahara, leaving potential refuges  
660 along the North African Mediterranean coast, such as Cyrenaica and the Maghreb  
661 (Garcea, 2012), and the advance of the ice sheets in northern Europe forced the  
662 contraction of human populations into southern European refuges (Van Andel et al.,  
663 2003). Many of these refuges were in coastal regions such as southern Iberia,  
664 Gibraltar (Jennings et al., 2011) and the Levant (Belmaker and Hovers, 2011; Bailey

665 et al., 2008; Finlayson, 2008; Stewart and Stringer, 2012). Understanding the  
666 relationship between populations and coastlines is therefore a key to understanding  
667 the ways in which populations adapted to these shifting environments and the  
668 cultural changes we see in the archaeological record during this period.

669 This period of climatic instability documents significant biological and cultural  
670 changes around the Mediterranean basin. *H. sapiens* populations carrying late  
671 Middle Palaeolithic to early Upper Palaeolithic technology dispersed out of Africa into  
672 the Levant. By  $54.7 \pm 5.5$  ka they had reached Manot Cave, Israel (Hershkovitz et  
673 al., 2015), spreading across Europe as early as ~45-43 ka (Benazzi et al., 2011)  
674 and, further afield (e.g. into Australia as early as c.55 ka; Hiscock 2008). It is  
675 possible that these *H. sapiens* populations interbred with Neanderthals in the Levant  
676 between 65-47 ka (Sankararaman et al., 2012). Neanderthal populations had almost  
677 disappeared by 41-39 ka (Higham et al., 2014). However, a population of  
678 Neanderthals in Iberia/Gibraltar persisted to at least 28 ka (Finlayson et al., 2006). In  
679 North Africa, the spread of Upper Palaeolithic (UP) / Later Stone Age (LSA)  
680 technology was not accompanied by the spread of a new species as the region was  
681 already occupied by *H. sapiens* populations and our understanding of the  
682 mechanisms of this spread remains poorly understood. Genetic evidence may  
683 suggest a migration of *H. sapiens* populations from southwest Asia between 40–45  
684 ka, utilising the southern Mediterranean coast to move into North Africa from the  
685 Levant (Olivieri et al., 2006). The current available data for the region, however,  
686 remain insufficient to confirm this hypothesis. It is, however, clear that UP/LSA  
687 industries are present after 43 ka in Cyrenaica at the Haua Fteah, Libya (Douka et  
688 al., 2014), and 30–29 ka onwards in the Maghreb at the Grotte de Pigeons, Taforalt,  
689 Morocco (Barton et al., 2007).

690 These population movements and cultural changes took place when sea level was  
691 far below that of the present day in areas with low-lying shelves. In areas where  
692 narrow continental shelves existed, sea-level change would have had little impact on  
693 travel times to the coastline and coastal resources were still exploited as they had  
694 been during MIS 5 (Colonese et al., 2011). In Gibraltar, at Gorham's and Vanguard  
695 caves, Neanderthals exploited marine mammals and molluscs during MIS 3. The  
696 quantity of marine shells and other marine indicators in these caves is limited, but  
697 there is no evidence that it was any greater in the Upper Palaeolithic levels,  
698 indicating a consistent level of exploitation through the Late Pleistocene (Brown et  
699 al., 2011; Stringer et al., 2008). Exploitation of marine molluscs at the Haua Fteah,  
700 Libya is low during the late MSA/Early LSA levels (Klein and Scott, 1986), but this  
701 may be due to a more ephemeral occupation at the site during these periods.

702 Shell beads were manufactured in large numbers in the Upper Palaeolithic layers at  
703 Üçağızlı Cave II in southern Turkey (#13 in Figure 1) between 40–23 ka (Stiner et  
704 al., 2013) and became a feature of Upper Palaeolithic contexts across Europe (Bar-  
705 Yosef 2002). Evidence for Neanderthal shell tool manufacture in MP contexts,  
706 focussed on *Callista chione* and *Glycymeris sp.* shells, continued until around ~50 ka  
707 (Douka and Spinapolice, 2012). Examples of retouched shell tools, produced by  
708 Neanderthals before 40 ka were found in Kalamakia Cave, Greece (#14 in Figure 1;  
709 Darlas, 2007; Douka and Spinapolice, 2012).

710 Isotopic analysis suggests that *H. sapiens* from across Europe exploited marine and  
711 freshwater resources between 40–24 ka, with freshwater fishing at Peștera Oase  
712 (#15 in Figure 1), Romania, potentially accounting for high nitrogen values in the  
713 Oase 1 individual (Richards and Trinkaus 2009). Whilst there is some evidence for  
714 pelagic fish exploitation on a global scale (ie. from 42 ka in Jerimalai, East Timor;

715 O'Connor et al., 2011), there is little direct evidence that marine fishing was carried  
716 out in the Mediterranean until the late Palaeolithic and Late Glacial (Stiner and  
717 Munro 2011) (see section 5.2). Whilst in Haua Fteah, northern Libya (#5 in Figure 1),  
718 important coastal records for this period were preserved above modern sea level due  
719 to their geographic setting, the now submerged landscapes and coastlines  
720 accessible during MIS 4 and the LGM are one of the main areas where future  
721 research must focus.

722 Underwater archaeological prospection for Palaeolithic sites and artefacts may be  
723 more difficult than that of later periods given the nature of the record left by hunter-  
724 gatherer populations, prior to sedentism. The archaeological signature is mostly void  
725 of recognisable architectural remains and the sites themselves ephemeral in nature.  
726 However, recent discoveries by archaeologists working in the Atlantic at La Mondrée  
727 off the coast of Normandy illustrate that artefacts from these periods can be  
728 preserved (Cliquet et al., 2011), and that the records of human movement and  
729 occupation in coastal areas during this period were not necessarily destroyed by late  
730 glacial and Holocene sea-level rise (Flemming et al., 2012; Stanford et al., 2015). In  
731 deeper water the probability of recovering significant submerged traces of prehistoric  
732 activity is limited by the fact that those environments were above sea level and  
733 habitable for shorter durations. Thus, there is higher probability of tracing submerged  
734 remains of later human occupations in shallower waters, than those from earlier  
735 periods in deeper waters. This should not be confused, however, with any notion that  
736 such deeper, older sites would be less significant archaeologically. In fact, future  
737 interdisciplinary research undertaken by archaeologists and marine scientists may  
738 further demonstrate the value of submerged Pleistocene sites.

739 As well as presenting a taphonomic challenge to archaeologists wishing to trace past  
740 coastal occupation, lower sea levels during MIS 4 and MIS 3 would have exposed  
741 landscapes that may have been crucial to the movement and occupation of areas of  
742 the Mediterranean. Although seafaring probably did not develop until after the LGM  
743 (Broodbank, 2006), lower sea levels would have connected many present-day  
744 islands, and reduced the distance between others, making them accessible via short  
745 crossings (Phoca-Cosmetatou and Rabett, 2014a). Large areas of exposed land also  
746 provided new opportunities for populations to migrate, for example in the northern  
747 Adriatic, where a large plain was exposed during periods of low sea level  
748 (Correggiari et al., 1996; Spry-Marqués 2012).

749 New investigations of the landscape submerged since the LGM, alongside further  
750 research in areas where sea-level change had little impact on the position of the  
751 coastline, are therefore needed in order to better understand human-coastal  
752 interactions around the Mediterranean rim, and their implications for global histories.  
753 In particular, rescue surveys and research operations should be considered as a  
754 priority by heritage managers in places where coastal and underwater erosion and  
755 development activities may adversely impact submerged prehistoric remains. The  
756 European Marine Board has published a position paper (Flemming et al. 2014) which  
757 highlights the strategic need to better understand and manage submerged  
758 landscape archaeology, from the Palaeolithic through later periods and has identified  
759 the concern of preservation and modern threats to underwater archaeology.

## 760 5. Significant palaeoenvironmental phases of the Upper 761 Pleistocene

762  
763 In this short section, we digress to provide a short overview of the main  
764 environmental events or phases which occurred in the Upper Pleistocene, discussing

765 their main characteristics and chronology. It is important that archeologists are  
766 aware of the major environmental changes that occurred during the Late  
767 Pleistocene, even if they are not directly linked to changes in sea level. The period  
768 between MIS 5 and MIS 2 has been characterised by the occurrence of some  
769 climatic and environmental variations with a relatively short duration (i.e. from  
770 decades to few millennia). Parts of these fluctuations are clearly recorded in the  
771 Mediterranean and, even if some of them are not directly related to coastal change,  
772 they affected the evolution of the basin and its environmental and oceanographic  
773 settings. Thus, part of these short-lived variations could have impacted on past  
774 human populations. Some of the palaeoenvironmental fluctuations generated marker  
775 layers in the stratigraphy or left their signature in other proxy records, allowing the  
776 cross correlations between different archives and regions, with potential applications  
777 in coastal and maritime archaeology.

778 In the eastern Mediterranean, the depositional sequences of deep waters are  
779 characterised by the quasi-cyclical occurrence of dark layers, rich in organic carbon,  
780 called 'sapropels' (Negri et al., 2012; Rohling et al., 2015; Grant et al., 2016 and  
781 reference therein). They correspond to hypoxic or anoxic episodes that are recorded  
782 east of the Sicily Strait (Figure 1) and during which oxygen starvation occurred in  
783 deep basins and caused the collapse of the deep ecosystems, but affected the entire  
784 water column (e.g. Cramp and O'Sullivan, 2001). The most recent sapropel has a  
785 Holocene age (Figure 7), while another 4 sapropels are documented during the Late  
786 Pleistocene (Figure 4). In different parts of the Mediterranean they can display some  
787 noticeable differences in their age limits and duration (De Lang et al., 2008; Grant et  
788 al., 2016). The causes that led to the sapropel formations are still a question of  
789 debate, but their deposition was influenced by astronomical forces and generally



790 correspond to periods of enhanced monsoon rainfall (e.g. Rossignol-Strick et al.,  
791 1982; Grant et al., 2016). In the late Quaternary, the sapropels generally caused  
792 notable sedimentation during periods of major fresh-water input, probably in  
793 connection with enhanced discharge of the Nile River linked with monsoon activity  
794 (e.g. Rohling et al., 2015).

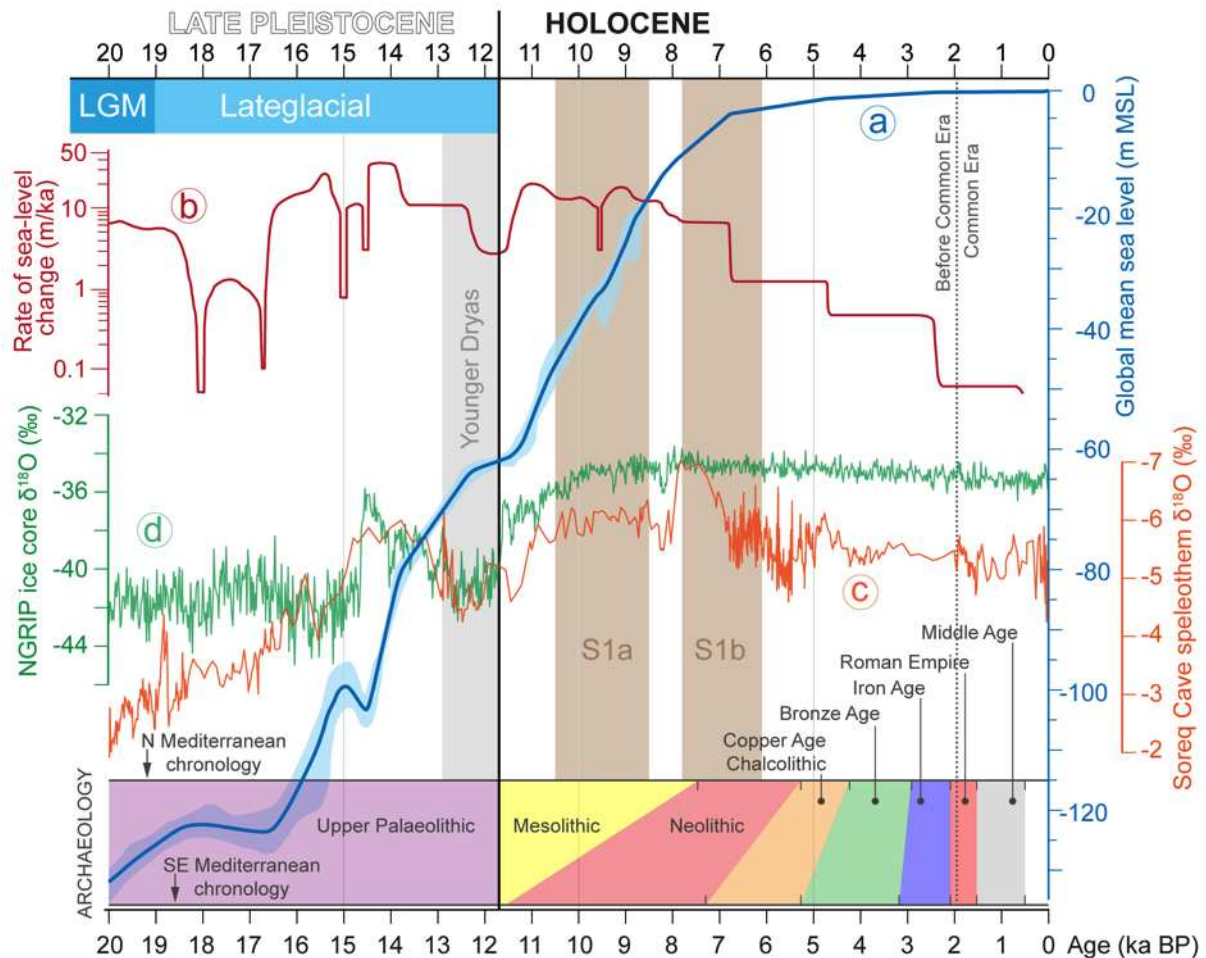
795 During MIS 4 and especially MIS 3, several rapid climatic variations occurred.  
796 Among these fluctuations, the so-called Heinrich Events (HEs) are of particular  
797 importance, because they represent global climatic episodes that are widely  
798 recognised in many archives of the Upper Pleistocene (e.g. Hemming, 2004;  
799 Lisiecky and Stern, 2016). The HEs correspond to periods of important collapse of  
800 the ice shelves of the northern hemisphere, which caused the release of massive  
801 cold fresh-water inputs in the North Atlantic and induced a sensitive drop in the sea  
802 surface temperature (Hemming, 2004; Naughton et al., 2009). HEs have been  
803 noticeably recorded in the Alboran Sea (Cacho et al., 1999), while their footprint is  
804 not clearly evident in the central and eastern Mediterranean (though for further  
805 discussion see Wulf et al., 2004). In the eastern and central sectors of the  
806 Mediterranean Basin a peculiar role is played by HE4, which occurred ca. 39 ka. It  
807 overlaps with the Laschamp geomagnetic excursion (Tric et al., 1992) and is  
808 contemporaneous with the eruption that generated the Campanian Ignimbrite ca.  
809 39.3 ka (De Vivo et al., 2001). This volcanic event, which originated in the Campi  
810 Flegrei area in southern Italy, represents one of the largest late-Quaternary eruptions  
811 in Europe and the related tephra has been recognised in many marine cores and  
812 continental sequences (Fedele et al., 2008). Another well-recorded volcanic episode  
813 produced by the same volcanic area is represented by Neapolitan Yellow Tuff (ca.  
814 14.5 ka), but also some tephra layers related to the explosive activity of the Hellenic

815 Arc are important in the eastern Mediterranean, such as the Cape Riva tephra from  
816 Santorini Island (ca. 22 ka, Wulf et al., 2002).  
817 Apart from the catastrophic events themselves, layers of non-visible ash  
818 (cryptotephra) are relatively diffuse in the marine cores and their occurrence  
819 provides a correlation between marine and terrestrial archives at a Mediterranean  
820 and European scale (e.g. Lowe et al. 2007; Bourne et al., 2010; Davis et al., 2012).  
821 Moreover, tephra layers are a major tool in the chronostratigraphy of MIS 3 and  
822 previous periods, because they can be independently dated through isotopic  
823 geochemistry (e.g.  $^{40}\text{Ar}/^{39}\text{Ar}$ ; K/Ar). This is of particularly value for the deposits older  
824 than 40 ka, which can be difficult to date because they are at the boundary or  
825 radiocarbon dating, compounded with the marine reservoir effect of the biogenic  
826 fossils (i.e. shells, foraminifers), which is generally unknown for this period. Tephra  
827 stratigraphy may also have applications to coastal and maritime archaeology,  
828 especially for correlating in time human occupation sites across the Mediterranean  
829 and beyond.

## 830 6. LGM through the early Holocene

831

832 While we respect a need for consistency in general, for the Holocene (starting at ca.  
833 11,700 BP) we intentionally change the dating conventions, from thousand years ago  
834 (ka) to calibrated years before present (BP), which facilitates the discussion of the  
835 links between archaeology and coastal geomorphology in the Holocene.



836

837 **Figure 7.** Comparison between the reconstructed curve of global mean sea level  
 838 and palaeoclimate, palaeoenvironmental and archaeological data for the  
 839 Mediterranean Sea in the last 20 ka. a) Global mean sea level curve with indication  
 840 of the uncertainty shown in pale light blue (Lambeck et al., 2014); b) rate of sea-level  
 841 change (Lambeck et al., 2014); c)  $\delta^{18}\text{O}$  composition of the Soreq Cave speleothem;  
 842 d)  $\delta^{18}\text{O}$  composition of NGRIP ice core (NGRIP members, 2004). Brown shading  
 843 indicates the period of deposition of sapropel1 (Rohling et al., 2015). The durations  
 844 of the main archaeological phases are reported in the lower portion of the plot,  
 845 according to the general chronology of south-eastern and northern Mediterranean  
 846 (cf. Broodbank, 2013).

847

## 848 5.1 Sea level

849 Since the end of the Last Glacial Maximum (LGM), significant volumes of meltwater  
 850 have been released into the global oceans as a consequence of ice sheets melting,  
 851 resulting in a global sea-level rise of about 120 m (Fairbanks, 1989; Edwards, 2006;  
 852 Clark et al., 2009). Sea level rose during the period between 19 ka – 7000 BP by a

853 mean rate of 10 mm/yr. Although the rise is measured generally to have been  
854 consistent and sustained, at least two major punctuated episodes of ice melting are  
855 known. The first significant addition of meltwater may have started about 19 ka when  
856 ocean levels rose 10–15 m in less than 500 years (Clark et al., 2004). An even more  
857 significant phase of accelerated sea-level rise, known as Meltwater Pulse (MWP) 1A.  
858 The exact timing of this event and the magnitude of the pulse have been subject to  
859 debate. Weaver et al. (2003) reported that MWP-1A occurred between 14.6-13.5 ka  
860 when global sea level may have increased by as much as 16 to 24 metres. Other  
861 studies have suggested sea-level rise during MWP 1A of 20 metres during the period  
862 between 14.3-13.8 ka, sourced from both the Laurentide and Antarctic ice sheets  
863 (Bard et al., 1996, Clark et al., 2002; Rohling et al., 2004; Siddall et al., 2010).  
864 Deschamps et al. (2012) dated MWP-1A to 14.65-14.31 ka with sea levels rising 14-  
865 18 m, coincident with the Bølling warming in the Northern Hemisphere. They  
866 suggested that the rate of eustatic sea-level rise exceeded 40 mm/yr during MWP-  
867 1A (Deschamps et al., 2012). That rate of change would be noticeable by humans  
868 living in coastal areas during a single generation, particularly in low-lying areas and  
869 especially where coastal resources were a significant source of dietary protein, fuel  
870 and other aspects of economy.

871 The last deglaciation was abruptly interrupted by the Younger Dryas event, which  
872 began approximately 12.8 ka. In this short interval the rate of sea-level rise slowed,  
873 as documented in Tahiti (Bard et al., 1996, 2010), the Huon Peninsula, New Guinea  
874 (Edwards et al., 1993; Cutler et al., 2003), Vanuatu (Cabioch et al., 2003), and  
875 Barbados (Peltier and Fairbanks, 2006), consistent with the overall cooling in the  
876 Northern Hemisphere.

877 In the Northern Adriatic, the slowdown led to the formation of the well developed  
878 deltaic complex of the Po River. This sedimentary body is partly preserved at a depth  
879 around -40 m between 40–60 km offshore of the city of Ravenna (Correggiari et al.,  
880 1996; Cattaneo and Trincardi, 1999). Slightly north of this area, lagoon-barrier  
881 systems were formed under transgressive conditions during the early Holocene. RSL  
882 indicators dating to the interval 11,000 – 10,000 BP are found between -38 m and -  
883 35 m (Moscon et al., 2015). Some lagoonal deposits dating to 10,000 – 9500 BP are  
884 found near the coastline of the present Po River mouth (Amorosi et al., 2008), and in  
885 other sites, at -30 m (Correggiari et al., 1996; Trincardi et al., 2011b). The  
886 transgression of the Adriatic reached the area of Trieste by approximately 9000 BP  
887 (Antonioli et al., 2009; Trincardi et al., 2011b).

888 The early Holocene sea-level rise was probably punctuated by smaller meltwater  
889 peaks due to the episodic deglaciation of the Laurentide Ice Sheet (Carlson et al.,  
890 2008). For example, a multi-millennial interval of enhanced rates of sea-level rise  
891 between 11,000 – 8800 BP included a probable peak rate of rise of 13-15 mm/yr  
892 (67% confidence) at around 9500 BP (Stanford et al., 2011). The 8.2 ka cold event  
893 may have been preceded by a sea-level jump of one or two metres (Törnqvist and  
894 Hijma, 2012) that also affected the Mediterranean Sea. Some have argued that this  
895 flood led to the sudden loss of farming land and the abrupt migration of some  
896 Neolithic groups (Turney and Brown, 2007).

897

## 898 [5.2 Human populations during the early Holocene](#)

899 The transition from the LGM to early Holocene saw major changes to both coastal  
900 landscape evolution and human populations. The Neolithic transition, or  
901 'Neolithisation' process, can be described as a cultural shift beginning with the

902 emergence of agriculture and animal husbandry; it is one of the pivotal  
903 developments in human evolution, comparable in scale with language, tool use and  
904 bipedalism (Zeder, 2006). This is particularly relevant to the Mediterranean Basin,  
905 which is thought to have played a significant role in its spread, coinciding with a  
906 period of rapid sea-level change and the Neolithisation process, which begins  
907 approximately at the transition between the terminal Pleistocene and the beginning  
908 of the Holocene in the Levant.

909 The scope and span of the area in question and the inevitable research bias  
910 resulting from the much greater studied northern coastlines of the Basin makes a  
911 survey of this period feel naturally incomplete. Nevertheless, sufficient historical  
912 interest and data have been generated to enable some overview synopses across  
913 the region's coastal zones, though mainly through European material. The Neolithic  
914 emerges from the Epipalaeolithic (as it is referred to in the Levant) and the Mesolithic  
915 (as it is known in European archaeology).

916 Summarising the coastal Mediterranean populations of the European Mesolithic,  
917 Pluciennik (2008) points out that the Mesolithic begins and ends in a somewhat  
918 arbitrary way; it is a transitional period that generally describes the last hunter-  
919 gatherer groups, or the pre-farming/agricultural communities of the early Holocene  
920 approximately 13,000 – 9000 BP in the Mediterranean region (and progressively  
921 later in an east-west direction as well as a north-south direction from its origin in the  
922 middle east). This period saw dramatic change in both the cultural and  
923 environmental records, with much debate centred around cause-and-effect of this  
924 human-environment interaction and, possibly what is described as environmental  
925 determinism (see Wright, 1993). Avoiding the intricacies of such debates here, it will  
926 suffice to say that during a time when postglacial sea-level rise was relentlessly

927 redrawing the coastlines of the Mediterranean map, so too were dramatic changes  
928 taking place amongst the cultural practices of the people who occupied the region.

929 Sea-level rise changed the physical landscape, inundated coastal sites, displaced  
930 fishing and shellfishing grounds and created isolated environments in the form of  
931 new islands, bays and straits, which would have been culturally occupied throughout  
932 these processes of evolution and occasionally punctuated events of both eustatic  
933 and tectonic origin. Questions surrounding the apparently low population of the late  
934 Mesolithic, which has left little archaeological signature across entire regions of the  
935 coastal Mediterranean landscape (e.g. Forenbaier and Miracle, 2005) may be  
936 answered, in part by underwater archaeology (Fitzpatrick et al., 2015: 3), though this  
937 has only begun to scratch the surface of potential in the Mediterranean (cf. Galili et  
938 al., 1993, Galili and Rosen, 2011; Bailey and Flemming, 2008; Ammerman et al.,  
939 2011; Benjamin et al., 2011; Flemming et al 2014).

940 The classic debates by archaeologists in the 20<sup>th</sup> century centred on the Mesolithic-  
941 Neolithic transition and often focused around the questions of demic diffusion (e.g.  
942 Ammerman and Cavalli-Sforza, 1971), or modified versions of demic diffusion (e.g.  
943 Van Andel and Runnels, 1995), which described the migration of people in the form  
944 of a 'wave of advance' model hypothesis (for clarification see Ammerman, 1989).  
945 This was opposed to the transmission of cultural practices, including indigenous  
946 adoption of new 'technology' and substance by existing populations. Theories  
947 generally posit that incoming populations replaced or advanced from the southeast  
948 and east, or via a 'leapfrog' process by maritime pioneers, an idea popularised by  
949 Zilhão (1997, 2001). Much focus has been placed on domesticated flora and fauna,  
950 however other indicators have also played a key role in establishing cultural  
951 typology, particularly pottery. Budja (2009) provides a historical overview on this

952 topic and compares results with genetic data as this technique was becoming  
953 popularised in the last decade. Population expansion is often at the centre of these  
954 debates, with many discussions related to a decline in late Mesolithic population  
955 (e.g. Van Andel and Runnels, 1995; Forenbaheer and Miracle, 2005). The  
956 archaeological discussion has sometimes centred on whether late Mesolithic  
957 populations were reduced significantly in numbers prior to the arrival of Neolithic  
958 people or the Neolithic cultural 'package' (or marker components of the package), or  
959 whether the archaeological signatures for those cultures pre-dating the Neolithic  
960 have largely not survived. Some have argued that the notion of a single migration is  
961 in itself incorrect and that repeated dispersals, each replacing the previous, would  
962 have been a more likely scenario (eg. Zvevlebil and Zvevlebil, 1988).

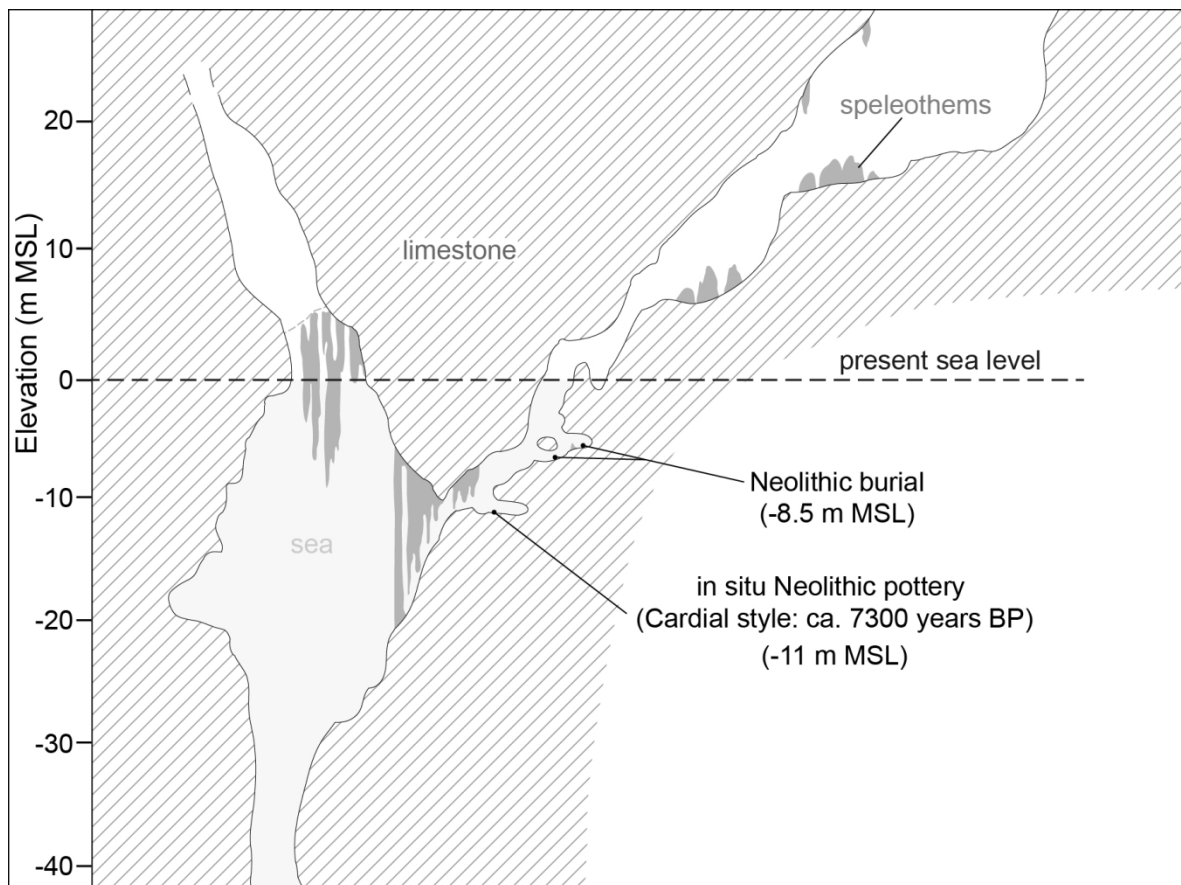
963 It is now generally accepted that the extended process, which saw the human  
964 inhabitants of the Mediterranean basin during the early Holocene shift from a  
965 subsistence model of hunter-gatherer to herder-farmer, can be considered a  
966 complex and dynamic process which took some three millennia to complete its  
967 course along Mediterranean coasts (Zeder, 2008, Figure 2) and which has even  
968 been characterised as a 'mixed migrationist/diffusionists model' (Richards, 2003).  
969 Recent focus has shifted away from early models of a steady 'wave of advance'  
970 popularised by Ammerman and Cavalli-Sforza (1971), which predicted an annual  
971 rate of the westward spread of the Neolithic package, mainly domesticated plants  
972 and animals. Studies of demography (e.g. Bocquet-Appel, 2011), burial practices  
973 (e.g. Hershkovitz and Galili, 1990) as well as those focused on early herding (e.g.  
974 Mlekuz, 2003), have continued to make a significant contribution to the key debates.  
975 Such discussions also have been impacted by recent studies in ancient DNA (e.g.  
976 Richards, 2003; Haak et al., 2010; Skoglund et al., 2012).



977 Early maritime voyages have also been suggested to have played a key role in pre-  
978 Neolithic life in the eastern Mediterranean (Simmons, 2007). Other island  
979 colonisation debates remain unresolved. Antonioli et al. (2014) suggested that the  
980 earliest presence of *H. sapiens* on Sicily coincided with the land-bridge connection  
981 during the LGM. Palombo et al. (2016), on the other hand, found that the oldest *H.*  
982 *Sapiens* from Sardinia is dated to 8500 BP. Colonisation of uninhabited islands  
983 aside, it can be difficult to establish the extent of impact the rising sea-levels had on  
984 past societies when much of the archaeological record has been lost, or remains  
985 under water, undiscovered. For example, Van Andel and Runnels (1995) reject that  
986 a large coastal Mesolithic population could have existed, in their example, along the  
987 Black Sea coast. They regard the “complete wipe-out” of coastal Mesolithic cultures  
988 as “implausible” (Van Andel and Runnels, 1995, 481). While well known specialists  
989 are eager to point out the likelihood of Mesolithic marine resource exploitation  
990 leading to increased coastal and riverine populations, including potentially sedentary  
991 communities (e.g. Zvelebil and Zvelebil, 1988; Richards, 2003), they have often  
992 stopped short of considering the true impact of Mediterranean sea-level rise which  
993 may have preserved material of this nature, as it has done in the Baltic Sea (e.g.  
994 Fischer, 1995). Indeed, materials have been preserved of Bronze Age (e.g.  
995 Henderson et al., 2011), Copper Age (i.e. Chalcolithic/Eneolithic; Benjamin et al.,  
996 2011), and early Neolithic period (Galili and Nir 1993).

997 The Zambratija Bay site, in northern Croatia, (#17 in Figure 1), which remains to be  
998 explored in detail, represents a submerged settlement in the northern Adriatic  
999 (Benjamin et al., 2011, Fig 16.4). It is still unclear as to why the site was abandoned,  
1000 though early indications do not exclude sea-level rise as a direct cause. The site  
1001 represents an important opportunity in this respect, and further, detailed study will be

1002 required to resolve the abandonment question (Benjamin and Bonsall, 2009;  
1003 Benjamin, 2010).  
1004 The Grotta Verde in Sardinia (Italy, #24 in Figure 1) has yielded submerged  
1005 archaeological material in the form of cardial ceramics at -10m depth and human  
1006 remains at -8 m (Antonioli et al., 1996) in what appears to be a submerged grave,  
1007 dated to approximately 7300 BP (Figure 8).



1008

1009 **Figure 8.** The Grotta Verde (Green Cave), Sardinia, Italy. Submerged Neolithic  
1010 material was located associated with associated human remains in what appears to  
1011 have been a ritualised burial in the cave prior to inundation (after Antonioli et al.,  
1012 1996; Palombo et al., 2016).

1013

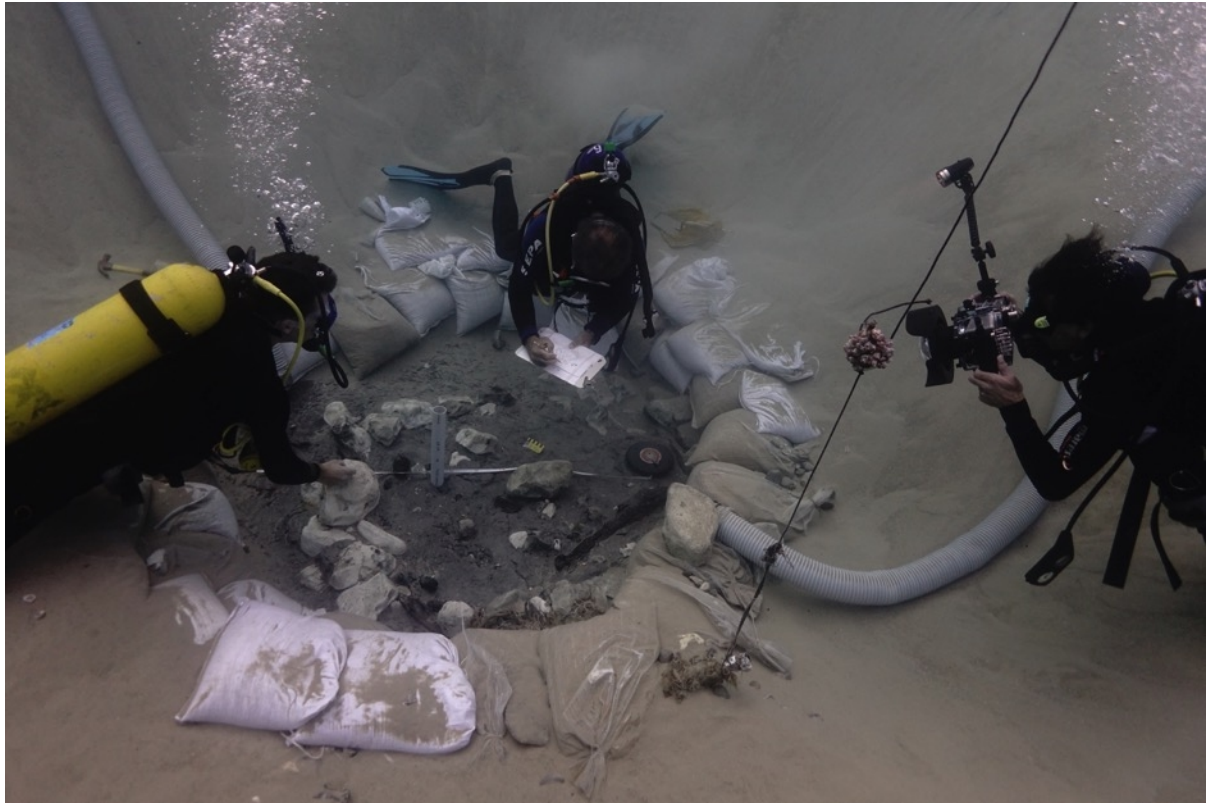
1014 The oldest submerged Neolithic site known in the Mediterranean, Atlit Yam in Israel,  
1015 dates to 9000 BP and is contemporaneous with much of the Mesolithic hunter-gather

1016 societies occupying central, western and northern Europe at that time (Galili et al.,  
1017 1993; Galili and Rosen 2011). The Pre Pottery Neolithic C (PPNC) site is located in  
1018 an area 200 to 400 m offshore, at -8 to -12 m in the North Bay of Atlit (Galili and Nir,  
1019 1993) and radiocarbon determinations range from 9250–7970 BP. Excavations have  
1020 revealed human burials, rectilinear structures and rich assemblages of implements  
1021 made on flint, stone, bone and wood, as well as faunal and floral remains (Galili and  
1022 Rosen, 2011). The village economy was based on hunting, herding, fishing and  
1023 agriculture.

1024 A further six settlement sites from the later Pottery Neolithic belonging to the Wadi  
1025 Rabah culture were also discovered on the Israeli Carmel coast. These sites, dated  
1026 to the 8<sup>th</sup> millennium BP, are located close to the present coastline at a depth of 0 to  
1027 –5 m (Galili and Weinstein-Evron, 1985; Galili and Nir, 1993; Galili and Rosen,  
1028 2011). The Kfar Samir well indicates that during the Pottery Neolithic, some 7000–  
1029 8000 years ago, sea level on the Carmel coast was at -9 to -10 m (Figure 9). The  
1030 archaeological studies of the Carmel Coast indicate that sea level rose from -35 m to  
1031 -7 m between 9000–6500 BP, at an average rate of 11 to 13 mm/yr. This  
1032 demonstrates how the coastal Neolithic population was responding to the rising sea  
1033 level as the older settlements were abandoned and new sites were established  
1034 landward.

1035 The early Neolithic village at Atlit Yam (Galili et al.,1993; Galili and Rosen, 2011),  
1036 and the Neolithic and Chalcolithic material from Grotta Verde and Zambratija further  
1037 demonstrate the potential of submerged archaeology to contribute significantly to  
1038 prehistory and sea-level studies as foreseen by Masters and Flemming (1983) in  
1039 their benchmark interdisciplinary volume *Quaternary Coastlines and Marine*  
1040 *Archaeology*. Increased research into coastal and submarine geomorphology and

1041 archaeology will continue to increase knowledge of the significant environmental  
1042 and cultural transitions which took place throughout the early and middle Holocene.



1043  
1044 **Figure 9.** Archaeologist (E. Galili) records a Pottery Neolithic water well at the Kfar  
1045 Samir site (dated to ca. 7000 BP), now submerged at a depth of -5 m. Such  
1046 archaeological sites are useful indicators of sea-level change and provide limiting  
1047 dates for transgression. (Photo: J. Benjamin)

1048

## 1049 7. Middle and late Holocene

1050

### 1051 7.1 Sea level

1052 Evidence for middle and late Holocene sea-level changes in the Mediterranean are  
1053 based on geomorphological evidence (such as tidal notches and beachrocks), fixed  
1054 biological indicators (such as coralline algae, boring molluscs, oyster beds and the  
1055 fixed vermetidae *Dendropoma petraeum*) and archaeological indicators. The most  
1056 precise sea-level indicators are specific types of fixed biological indicators (Laborel

1057 1996; Laborel and Laborel-Deguen, 1994; Morhange et al., 2001; Sivan et al., 2010;  
1058 Rovere et al. 2015).

1059 Holocene sea-level curves have been constructed in Italy (e.g., Lambeck et al  
1060 2004a, 2011), Croatia and Slovenia (e.g., Antonioli et al., 2007; Faivre et al., 2013),  
1061 southern France and Corsica (e.g., Laborel and Laborel-Deguen, 1994; Vacchi et al.,  
1062 2016a); Turkey (Anzidei et al., 2011a), Greece (e.g., Pirazzoli, 2005; Vött, 2007;  
1063 Pavlopoulos et al., 2011; Vacchi et al., 2014; Mourtzas et al., 2016; Kolaiti and  
1064 Mourtzas, 2016; Mourtzas and Kolaiti, 2016) Tunisia and Libya (Anzidei et al.,  
1065 2011b), the Aeolian Islands (Anzidei et al., 2014a; 2016), Israel (Sivan et al., 2001;  
1066 2004, Toker et al., 2012; Anzidei et al., 2011b; Galili et al. 1988, 2005) and Lebanon  
1067 (Morhange et al., 2006; Sivan et al., 2010).

1068 Data collected from tectonically stable regions, some characterised by negligible  
1069 isostatic effects (Sivan et al., 2001; 2004; Toker et al., 2012) for the last 4000 years,  
1070 indicate that sea level was close to present levels by 4000–3600 BP (Galili et al.,  
1071 2005; Galili and Sharvit 1998; Porat et al., 2008). Depending on the location in the  
1072 Mediterranean, RSL fluctuated either below or slightly above the present since that  
1073 time (Sivan et al., 2004; Toker et al., 2012; Vacchi et al., 2016). As an example, RSL  
1074 along the coastlines of Israel rose from -7 m to the present level at a rate of 2.5 to  
1075 3.5 mm/yr between 6800–4000 BP. At the same location, RSL was approximately  
1076 between -2.5 m and -5 m during the Chalcolithic period (6000–5700 BP). By the  
1077 Middle Bronze Age (~4000 BP) the sea had reached its present level and the  
1078 coastline reached its current form. Since then, RSL has been relatively stable with  
1079 possible fluctuations of no more than 0.5 m vertically (Galili et al., 2005, Sivan et al.,  
1080 2001, 2004; Anzidei et al., 2011a). A recent notch study by Goodman-Tchernov and

1081 Katz (2015) does however question this stability and theorises that a more  
1082 punctuated rise may have occurred during the Holocene.

1083 Although the Mediterranean basin lies beyond the direct influence of ice sheets, ice-  
1084 sheet loading had a pronounced effect on the shape of Mediterranean sea-level  
1085 curves. This is seen in the output of GIA models, which produce lower sea levels  
1086 when ice loading is increased (e.g. Lambeck and Purcell, 2005; Stocchi and Spada,  
1087 2009). In the eastern and southern regions of the Mediterranean, the ice-loading  
1088 effect is least significant and along coasts where tectonics can be discarded (e.g.  
1089 Lybia) the regional sea level approximates the global eustatic value (Milne and  
1090 Mitrovica, 2008). In most of the Mediterranean, water loading (hydro-isostasy) is an  
1091 important contributor to middle and late Holocene relative sea-level change, but  
1092 because the glacio-isostatic signal is of the opposite sign, middle Holocene sea-level  
1093 highstands are not found across most of the Mediterranean basin (Lambeck and  
1094 Purcell, 2005; Stocchi and Spada, 2007). An exception is the coast of the Gulf of  
1095 Gabes (Tunisia), where it has been proposed that relative sea level between 6000–  
1096 5000 BP was close to +1.5 m (Mauz et al., 2015a, 2015b; Vacchi et al., 2016b;  
1097 Morhange and Pirazzoli, 2006). This highstand is correctly predicted by the GIA  
1098 model of Lambeck and Purcell (2005), but their predicted highstand in the northern  
1099 Adriatic, supposedly due to the Alpine glacial load, is not supported by sea-level field  
1100 data (e.g. Antonioli et al., 2007; 2009).

1101

## 1102 [7.2 Human populations: protohistory and urbanisation](#)

1103

1104 The end of prehistory and the beginnings of urbanisation in the eastern

1105 Mediterranean have yielded an extensive record of archaeological sites and material.

1106 For a recent and comprehensive overview, Broodbank (2013) has devoted multiple

1107 chapters of the *Making of the Middle Sea* to these periods of intense development,  
1108 innovation and technological and cultural changes. Broodbank's recent work is also  
1109 significant to this discussion because it is a mainstream archaeological text that  
1110 focuses heavily on maritime peoples, their way of life and relationship with the sea,  
1111 past and present. It also draws upon evidence from the submerged sites discussed  
1112 in sections above and serves to highlight that submarine geoarchaeology has  
1113 become indispensably linked to the terrestrial record. This current section, therefore  
1114 avoids an impossible attempt at a comprehensive review of all Mediterranean  
1115 coastal archaeology. Here we focus on human-sea-level interaction, and highlight  
1116 representative sites from across our geographical and temporal remit.

1117 The Protohistoric coastal structures of the Mediterranean Basin and their  
1118 archaeological signatures, have suffered from the development of later societies:  
1119 many sites were destroyed, incorporated or generally transformed the pre-existing  
1120 archaeological evidence. In particular, widespread diffusion and large stone  
1121 construction (especially during the Classical periods) have resulted in the loss of the  
1122 protohistoric features, through human reuse and recycling of materials. Thus,  
1123 information on sea levels in the Bronze and Iron Age based on coastal settlements'  
1124 remains is not as well documented as in later periods and is often related to a few  
1125 selected sites, as in the case of the harbour of Marseille (Morhange et al., 2001), the  
1126 Northern Cyclades, the central and eastern Crete (Mourtzas and Kolaiti, 2016;  
1127 Mourtzas et al., 2016), or along the coast of modern day Israel (Sivan et al., 2001).

1128 The rare finding of a protohistoric vessel that would have entered a harbour might  
1129 offer an extraordinary window on maritime life and seafarers ways (e.g. Uluburun in  
1130 Turkey, #25 in Figure 1; Bass et al., 1986), however shipwrecks of the open seas do  
1131 not provide good indication of past sea levels. Conversely, relicts of beached or

1132 abandoned vessels (particularly vernacular vessels used for every day short-range  
1133 activity), which can be confidently determined to have been left at or near sea level  
1134 at the time, may contribute information related to sea level and environment.

1135 Once the Holocene sea-level rise had slowed down, various areas throughout the  
1136 eastern Mediterranean continued to undergo significant regional and micro  
1137 landscape changes owing to adjustment in land level caused by tectonic activity. The  
1138 Bronze Age site at Pavlopetri (Greece, #27 in Figure 1), was first investigated in the  
1139 1960s for its archaeological implications and for its contribution to sea-level studies  
1140 in the Peloponnese (Flemming, 1978) and later revisited and systematically mapped  
1141 by Henderson et al. (2011). The changes in landscape around similar coastlines  
1142 would certainly not have gone unnoticed by local populations and oral traditions are  
1143 likely to persist in the region's modern collective memory.

1144 During the Middle and Late Bronze Ages (ca. 3600 – 3100 BP) of northwestern  
1145 Adriatic, society flourished along the rims of the lagoons of Venice, Carole and  
1146 Grado-Marano. Villages developed on slightly elevated fluvial ridges entering in the  
1147 lagoon, and also on salt marshes. Several archaeological structures constrain sea  
1148 level to  $-3.0 \pm 0.6$  m around 4000 BP and  $-2.0 \pm 0.6$  m at 3000 BP (Fontana et al.,  
1149 2017). This symbiotic relationship between lagoon and dwelling sites existed in the  
1150 area also in the Iron Age, as clearly depicted in the 1<sup>st</sup> century BC by the geographer  
1151 Strabo in his description of the cities of the Venetian people, that “stand in the midst  
1152 of water like islands, others are only partially surrounded. Such as lie above the  
1153 marshes in the interior are situated on rivers navigable for a surprising distance”  
1154 (Strabo, Geografia, V, 1, 5). Thus, it seems that the settlement system of the Bronze  
1155 Age represents the early evidence of an Adriatic culture strongly related to the  
1156 brackish environments. This was later developed in the same area during the Iron



1157 Age and the Roman period with the harbour cities of Aquileia, Concordia Sagittaria,  
1158 Altinum, Adria, Spina and Ravenna (#22 in Figure 1), and later, during the early  
1159 Middle Age, by Venice and its Republic.

1160 While submergence occurred in some parts of the world due to tectonics or loading  
1161 of the underlying deltaic sediments, other coastal cities from the same periods are  
1162 now positioned several kilometres inland as a result of river sedimentation. Sites  
1163 such as Troy (Kraft et al., 2003), Miletus (Brückner et al., 2006), Liman tepe  
1164 (Goodman-Tchernov et al., 2009b), and Acco (Morhange et al., 2016) provide such  
1165 examples. There, the slowing of sea-level rise allowed the build-up of alluvial  
1166 sediment that ultimately closed these anchorages and proto-harbors, leaving the  
1167 settlements some distance from the sea. Liman Tepe, located in the Bay of Izmir,  
1168 Turkey, for example, has indications for a large bay that closed just before the  
1169 construction of an archaic harbour on the new shoreline. Today, the presence of  
1170 those features and settlements are useful markers for reconstructing the process of  
1171 coastal progradation and its relation to sea-level change.

1172 Sites lost to the sea due to subsidence and tectonic activity are not unique to  
1173 prehistory and as noted by Broodbank (2013) 'the end of the beginning' or the  
1174 emergence of classical periods, saw entire cities submerged. The now well known  
1175 'sunken cities' of Egypt (Robinson and Goddio, 2015; see also Stanley and  
1176 Bernasconi, 2007) are also in contrast to the earlier site at Pavlopetri (Late Bronze  
1177 Age), because they appear to have been submerged during a period of their  
1178 flourishing, and not after their abandonment. The cognitive impacts on lost habitat  
1179 would have differed from that of earlier periods, particularly pre-Neolithic settled  
1180 societies; the scale of these proto-urban and fully urban submerged sites would have  
1181 resulted in a much greater cumulative impact than during the Neolithic or earlier

1182 periods, due to population size and overall settlement scale. Imprints on the  
1183 collective histories, both oral and written, relating to sea levels, land loss, and their  
1184 practical and spiritual impacts require increased consideration by the archaeological  
1185 community for both fully sedentary and mobile societies.

1186

## 1187 8. Archaeological RSL indicators

1188

1189 Archaeological indicators of RSL are most precise when they can be associated with  
1190 the biological remains of organisms living in close connection with tidal ranges. A  
1191 classic example of such remains used by geoscientists and archaeologists alike, is  
1192 that of barnacles (*balanidae*) which once clung to the inside harbour walls and other  
1193 fixed structures (e.g. in Marseille, France, Morhange et al., 2001). Classical and  
1194 historic archaeological features can be useful palaeo-sea-level indicators, however  
1195 those from earlier prehistory tend to be less precise and less common, particularly  
1196 those from hunter gatherer contexts (e.g. shell middens, cave deposits). But sea  
1197 level and geomorphic impacts upon these earlier societies (and the human  
1198 responses they resulted in) are no less important – and can inform on debates such  
1199 as dispersals, migrations, and even the development of capabilities such as sea  
1200 faring.



1201

1202 **Figure 10.** Archaeological features partially submerged at Caesarea, Israel. While  
1203 harbor features are useful sea-level markers, it is important to understand the  
1204 original function and position of the features. (Photo: B. Goodman-Tchernov).

1205

### 1206 8.1 Early, middle and late Holocene archeological sea-level indicators

1207 Archaeological evidence for early and middle Holocene sea-level rise is found  
1208 throughout Europe (e.g. Benjamin et al., 2011), but excellent examples are found in  
1209 the Mediterranean Sea, especially the Neolithic sites of the Carmel Coast of Israel.  
1210 Though the submerged Neolithic (described above) is relatively sparse compared  
1211 with later periods, it is very informative for sea-level studies. Its settlements and  
1212 burial sites provide evidence of ancient populations, and in some instances, how  
1213 people coped with environmental changes and their response and resilience to  
1214 coastline shifts and sea-level rise (Galili and Rosen 2011).

1215 In the later Holocene, direct evidence of submerged terrestrial land surfaces and  
1216 many types of archaeological features associated with the coastal and marine

1217 environment can be used as highly accurate sea-level indicators (e.g. Morhange and  
1218 Marriner, 2015). Keys to the successful application of any archaeological element to  
1219 reconstructing past sea levels are: (i) careful measuring of the site elevation relative  
1220 to the present sea level; (ii) the definition of the site function, and (iii) determining its  
1221 association with the sea level in the past (e.g. Blackman, 1973; Flemming, 1969;  
1222 Galili et al., 1988, 2005, 2015; Galili and Sharvit, 1998, Sivan et al., 2001, 2004;  
1223 Toker et al., 2012, Antonioli et al., 2006a; Goodman et al., 2008; Lambeck et al.,  
1224 2004b; Vacchi et al., 2016b).

1225 Coastal structures may be found today in situations that prevent them from  
1226 functioning for one or several reasons: i) RSL change resulting from vertical land  
1227 movements (e.g. Galili and Sharvit, 1998; Stiros et al., 2010; Anzidei et al., 2014,  
1228 2016;) generated by local or regional tectonics, as well as isostatic adjustments, or  
1229 sea-level rise or fall; ii) settling of structures into unconsolidated sediments; iii)  
1230 erosion and collapse of structures; iv) progradation of the coastline (e.g., Morhange  
1231 et al., 2013). While archaeological features can directly benefit sea-level  
1232 reconstructions, supporting (multi-proxy) datasets can significantly improve  
1233 interpretations of past sea level (Vacchi et al., 2016b).

1234 Later Holocene archaeological features used as sea-level markers can be divided  
1235 into two broad categories (Flemming, 1978; Blackman, 1973; Galili and Sharvit,  
1236 1998; Sivan et al., 2001, Lambeck et al., 2010; Morhange and Marriner, 2015):

1237 i) Features that need to be at or partially below sea level in order to function  
1238 properly. These include pools that are fed by seawater driven by gravity,  
1239 slipways, harbour installations, salt production installations, etc. These  
1240 structures typically mark the uppermost or lowermost sea level at the time  
1241 of construction (Figure 11).

1242 ii) Features that are located normally only on dry land, including dwellings,  
1243 quarries, roads, water-wells, freshwater pools, etc. These structures  
1244 usually provide the uppermost sea level at the time of construction  
1245 (Pirazzoli, 1976, 1986, Galili and Sharvit, 1998).



1246

1247 **Figure 11.** The submerged site at Fazine, Slovenia (northern Adriatic Sea, #18 in  
1248 Figure 1) is interpreted to be a Classical *vivarium* or fishtank. Stones from the  
1249 shallower sections of this site have been removed for re-use during historical  
1250 periods. (Photo: J. Benjamin)

1251 An alternative classification, as described by Mourtzas et al. (2016) and Mourtzas  
1252 and Kolaiti (2013), describes archaeological sea-level indicators in further detail.

1253 They are modified here as:

1254 i) Ancient coastal settlements and buildings that were constructed above sea  
1255 level along the coast, but lack accurate position in relation to the past  
1256 shoreline. Their present position provides only limiting data to past sea  
1257 level and should be used with caution.

- 1258 ii) Maritime constructions that were partially built below sea level (e.g.  
1259 harbours, piers, quays etc.). Such structures may be dated, with variable  
1260 confidence, where recorded in ancient literature. These are generally more  
1261 reliable than the previous category.
- 1262 iii) Ancient maritime constructions whose function was strictly related to past  
1263 sea levels, where age is confidently determined. Fish tanks and ancient  
1264 ship sheds where spatial proximity to the contemporary shore can be  
1265 determined, may provide reliable data.
- 1266 iv) Coastal water tables and their changes in response to sea-level change.  
1267 Usually coastal aquifers are in hydraulic connection with the adjacent sea.  
1268 Therefore, sea-level rise may result in the flooding of water installation  
1269 supplies of archaeological sites built on land near the shore (Mourtzas,  
1270 2010; Pagliarulo et al., 2013).
- 1271 v) Indications of relative sea level-change based on historical sources such  
1272 as ancient texts, drawings, etc.
- 1273 Harbour installations such as breakwaters, jetties, docks and quays were  
1274 originally built, at least partly, under water and thus are good limiting markers for  
1275 sea levels. It is generally agreed that walking and working surfaces in harbours  
1276 were planned to be above sea level. However, determining sea level using these  
1277 features has some limitations: i) it is not always possible to determine whether  
1278 the uppermost surface found today represents the original surface used in the  
1279 past because some courses of stones may have been removed either by natural  
1280 or cultural agents (Blackman 1973: 124); ii) it is possible that some small harbour  
1281 and coastal installations were not designed to function year-round and in all sea

1282 conditions; iii) some constructions seemed to be built deliberately under water  
1283 and there is historical evidence for such activities (Galili and Sharvit 1998: 158;  
1284 Marsden as cited in Blackman 1973: 138) iv) compaction and liquefaction of  
1285 unconsolidated sediments may cause settling and subsidence of harbour  
1286 installations.

1287 At Caesarea, south of Haifa in Israel, there are ample indications for relative sea-  
1288 level and tectonic stability in the past 2000 years (Sivan et al., 2001, 2004,  
1289 Goodman-Tchernov and Katz 2015). One of the best indicators is the presence  
1290 throughout the site within Roman and later coastal features of notch features in  
1291 both pools and harbour features. However, while the portions of the large Roman  
1292 harbour of Caesarea that were built directly on nearshore bedrock remain at the  
1293 correct level relative to sea level, the offshore portions of it are today submerged  
1294 between -1 and -5 m (Figure 10). This is most likely due to the combined effects  
1295 of tsunami damage and liquefaction (Reinhardt et al., 2006; Goodman-Tchernov  
1296 et al., 2009a; Dey and Goodman-Tchernov, 2010, Goodman-Tchernov and  
1297 Austin 2015). Such variables, in an otherwise tectonically stable environment,  
1298 serve as a reminder that local dynamic processes do have a profound impact on  
1299 sea-level records which can influence the archaeological interpretation of key  
1300 processes and events.

## 1301 1302 [8.2 The debate on Roman fishtanks](#)

1303 Several studies have reconstructed past sea levels from rock-cut coastal fish tanks  
1304 (Figure 12) in Italy (Dreghorn, 1981; Auriemma and Solinas, 2009; Evelpidou et al.,  
1305 2012), Greece (Kolaiti and Mourtzas, 2016), Israel (Galili and Sharvit, 1998; Toker et  
1306 al., 2012), Croatia (Florido et al., 2011) and Cyprus (Galili et al., 2015). Lambeck et  
1307 al. (2004b) compiled an exhaustive analysis of the central Tyrrhenian Sea fish tanks

1308 placing sea level in the Roman period at -1.3 m RSL. This level corresponds to that  
1309 reported by Mourtzas (2012a, b) along the coasts of central and eastern Crete  
1310 (~1.25 m) and by Schmiedt et al. (1972) for some sites in Italy. The archaeological  
1311 interpretation in Lambeck et al. (2004b) was based on field surveys and analysis of  
1312 ancient Latin publications. An important fish tank feature is represented by the  
1313 channel systems equipped with sluice gates (*cataracta*) that controlled the water  
1314 exchange between the tanks and the open sea, preventing the fish from escaping.  
1315 Water exchange took place through multiple channels, sometimes carved in  
1316 bedrock. A breakwater is often built around the fish tank to protect the inner basin  
1317 from sea waves. The latter are often delimited by foot walks (*crepido*), generally  
1318 occurring at two or three levels that were not recognised or interpreted in earlier  
1319 studies. These levels, together with the sluice gates, are key in interpretations of the  
1320 position of former sea level in relation to the fish tanks.

1321 Lambeck et al. (2004b) demonstrated that the top of the sluice gate corresponds to  
1322 the elevation of the lowest level foot-walk (*crepido*) (Figure 12). According to the Latin  
1323 treatise *De Re Rustica XIII*, (*Columella, early 1<sup>st</sup> century AD*) the *crepido* should lie  
1324 above the highest tidal level, as also reported in the description by Pliny the Elder  
1325 (23-79 A.D., in *Naturalis Historia*) in a constructional part that looks at the water  
1326 (*marginum eam partem, quae aquas spectat*).

1327 Using sites with complete preservation of channels, sluice gates and foot-walks,  
1328 Lambeck et al. (2004b) estimated that the palaeo high tide in Roman time was about  
1329 0.2 m below the lowest *crepido*. Further, Lambeck et al. (2004b), and subsequently  
1330 Auriemma and Solinas (2009) and Mourtzas (2012a, 2012b), suggested that the flow  
1331 of water inside the fish tanks was tidally controlled and that the palaeo mean sea  
1332 level was placed at the middle of the sluice gate, while mean low tide was denoted

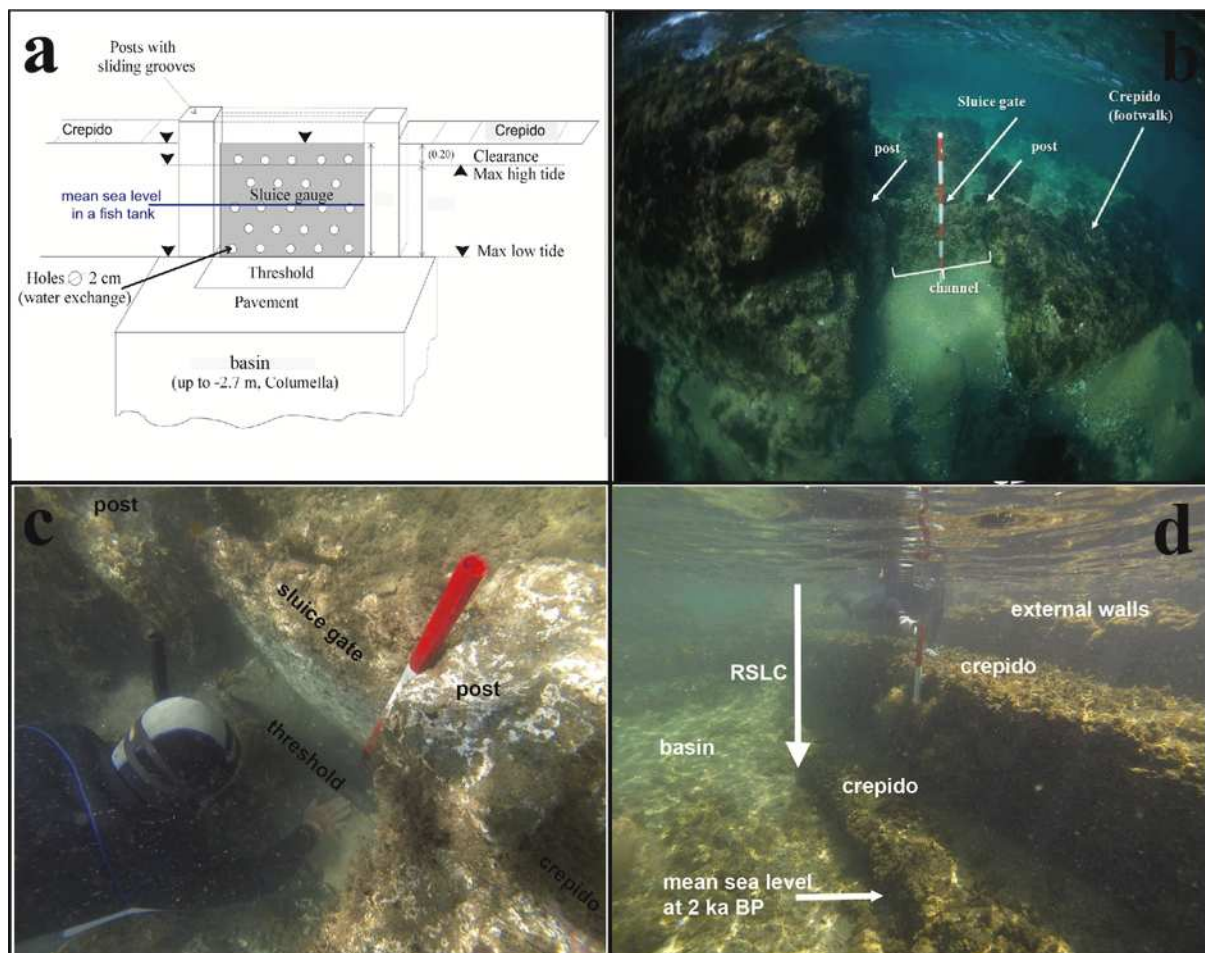


1333 by the channel thresholds, often corresponding to the base of the mobile *cataracta*.  
1334 Past RSL was then constrained by these structural features of the fishtanks (Figure  
1335 12). Such interpretation was also applied at a number of sites throughout the  
1336 Mediterranean (e.g. Antonioli et al., 2007; Anzidei et al., 2011a; Anzidei et al.,  
1337 2014b).

1338 Evelpidou et al. (2012) also performed a detailed survey of some Tyrrhenian Sea fish  
1339 tanks, previously observed by Schmiedt et al. (1972) and Lambeck et al. (2004b)  
1340 and proposed that RSL in Roman period ranged between  $\sim -0.6$  and  $\sim -0.3$  m.  
1341 Evelpidou et al. (2012) disagreed with the interpretation of an original supratidal  
1342 position of the lowest *crepido* proposed by Lambeck et al. (2004b). Further, they  
1343 stated that the height of the *cataracta* proposed by Lambeck et al. (2004b) would not  
1344 be sufficient for the fish tanks to function properly. They suggested that the upper  
1345 part of the *cataracta* corresponds to the upper *crepido* instead of the lower one.  
1346 Evelpidou et al. (2012) assumed that the top of the upper *crepido* was high enough  
1347 to prevent the fish tank from flooding by sea surges, which could lead to a loss of  
1348 fish. Pirazzoli and Evelpidou (2013) stated that the heights of the channel threshold  
1349 and the base of the *cataracta* can vary and they argued, therefore, that this structural  
1350 feature was weak to precisely reconstruct the palaeo RSL.

1351 In absence of a definitive interpretation of the sea level related to the fishtanks of the  
1352 Mediterranean, Vacchi et al. (2016b) adopted the conservative solution to consider  
1353 both the archaeological interpretations summarised above in the calculation of the  
1354 palaeo sea level. As these structures are highly relevant for the reconstruction of sea  
1355 level in the Common Era, it is necessary to implement field strategies beyond the  
1356 archaeological interpretation of these indicators, and couple them with other  
1357 independent sea-level proxies (e.g. sea level reconstruction from nearby or

1358 contextual fixed biological indicators). As an example, in the Tiber Delta, in *Portus*,  
 1359 the harbour of ancient Rome, Goiran et al. (2009, 2010) placed RSL during Roman  
 1360 time at  $-0.8 \pm 0.2$  m using fixed biological indicators. This is the only study that  
 1361 obtained dates on sessile fauna found *in situ* on a maritime archaeological structure  
 1362 in the area, and places the Roman-era sea level in between the two previously  
 1363 described interpretations.



1364

1365 **Figure 12.** The typical features of a fish tank, used as an archaeological sea-level  
 1366 marker. a) Sketch of the channel and the sluice gate with sliding posts, threshold and  
 1367 lowest level of crepido. The complete gate consist of: (i) a horizontal stone surface  
 1368 that defines the threshold with a groove to receive the gate; (ii) two vertical posts  
 1369 with grooves to guide the movement of the gate; (iii) an upper stone slab (missing in  
 1370 this specific case) with horizontal slot to extract the gate; (iv) the gate itself with small  
 1371 holes for water exchange. b) Underwater photo of the in situ sluice gate, channel and  
 1372 crepido at the fish tank La Banca, at Torre Astura (Italy). (Adapted from Lambeck et  
 1373 al. 2004; photos: F. Antonioli & M. Anzidei)

1374

## 1375 9. Concluding remarks

1376

1377 This article has summarised the current knowledge and discussed some of the key  
1378 gaps and debates in sea-level studies from geomorphological and archaeological  
1379 perspectives, spanning ca. 132,000 years of human-environment interaction around  
1380 the Mediterranean Sea. Focus has been mainly on the eastern and central  
1381 Mediterranean, though some data have been introduced from other parts of the  
1382 Mediterranean Sea to support overall themes of sea-level change and its impact on  
1383 past human societies and the integration of archaeological and geomorphological  
1384 data to study past coastal and sea-level changes, particularly where data remains  
1385 sparse or fragmented.

1386 In the past decade, issues related to modern climate change and future sea-level  
1387 rise have motivated some archaeological studies to focus on the direct  
1388 consequences of past climate change (e.g. Van de Noort, 2011, 2013) and the  
1389 'Attacking Ocean' (Fagan 2013). Weninger et al. (2006, 2014) describe the rapid  
1390 climate change which would have occurred immediately following the collapse of the  
1391 Laurentide ice sheet and Hudson Bay outflow for its impact on contemporary  
1392 populations. While it is possible that gradual inundation rates would have been slow  
1393 enough to be invisible during a single generation, it is likely that oral histories would  
1394 have conveyed this process culturally through time. It is all but certain that these  
1395 climate events would have had a direct and profound impact on those past cultures  
1396 who were unlucky enough to have chosen to live on low-lying coastal margins.  
1397 Evidence of oral traditions may be difficult to obtain, however the archaeological  
1398 signature of coastal defense or population retreat, may be a boon to the next

1399 generation of those studying past societies and sea-level change of the  
1400 Mediterranean.

1401 The Mediterranean, the micro-tidal cradle of western civilisation with low rates of  
1402 isostasy, has a long-standing and important role to play in determining the global  
1403 sea-level history in the late Holocene. Mediterranean data have featured prominently  
1404 in late Holocene sea-level sections of various IPCC assessment reports. It is critical  
1405 to establish the rate of late Holocene global sea-level rise, because it forms the  
1406 background against which modern accelerations of sea level rise are evaluated  
1407 (Gehrels 2010a, b; Gehrels et al., 2011). Local rates of Holocene relative sea-level  
1408 rise are important for estimating future sea levels as they reflect the rate of long-term  
1409 background sea-level rise to which predictions, such as those by the IPCC, can be  
1410 added to generate local predictions of relative sea-level rise that are of interest to  
1411 coastal management. Moreover, local rates allow us to evaluate in detail the tectonic  
1412 displacement rates that closely relate to the same just aforementioned questions.  
1413 Whilst many Mediterranean sea-level studies address local tectonics, the regional  
1414 vertical land movements produced by GIA processes are also important; the  
1415 modelling of these, not only for Holocene but also for Last Interglacial sea levels,  
1416 remains a challenge that should be addressed in future work.

1417 Relative sea-level changes in the Mediterranean Sea are complex and variable,  
1418 primarily due to tectonics and volcanism, although, as mentioned, glacio-isostatic  
1419 adjustment also plays a crucial role. Sea-level rise is predicted to increase up to five  
1420 fold in the next century compared to the past 100 years. This will take place against  
1421 a background of rapid vertical land motion in many coastal areas that have  
1422 produced, and continue to produce, changes in the relative positions of land and  
1423 sea, as demonstrated by geological and archaeological methods outlined in this

1424 review. Humans will continue to adapt to coastal change so it is of utmost societal  
1425 importance that the past history of relative sea level is taken into account on local  
1426 and regional scales for effective and strategic coastal management.

1427 A fully integrated study of the entire Mediterranean Basin, including a comprehensive  
1428 analysis of the greater region's sea-level history and its impacts on past societies,  
1429 should include more data from the western Mediterranean and, especially, include  
1430 increased attention to the shorelines of North Africa, where studies are scarce. This  
1431 goal will require increased European-African cooperation by the communities of  
1432 geoscientists and archaeologists. As with many other aspects of physical  
1433 environmental and archaeological sciences, there is a huge, largely untapped,  
1434 opportunity for study along the Mediterranean coast of North Africa; the data gaps  
1435 encountered by this review have highlighted this discrepancy between  
1436 Mediterranean Eurasia and Mediterranean Africa, and their need to be filled. Filling  
1437 these gaps will require a concerted multi-disciplinary effort by both archaeologists  
1438 and geo-scientists. Such an approach will require the will, support and international  
1439 cooperation of scientists, governments, funding agencies and industry partners from  
1440 across the region. We hope this contribution will have highlighted the necessity for  
1441 interdisciplinary research and trans-border collaboration and has gone some way to  
1442 propel future regional studies related to Mediterranean sea levels, environment and  
1443 culture.

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