Van der Waals epitaxy between the highly lattice mismatched Cu doped FeSe and Bi_2Te_3

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We present a structural and density functional theory study of $Fe_xCu_{1-x}Se$ within the three-dimensional topological insulator Bi_2Te_3. The $Fe_xCu_{1-x}Se$ inclusions are single-crystalline and epitaxially oriented with respect to the Bi_2Te_3 thin film. Aberration-corrected scanning transmission electron microscopy and electron energy loss spectroscopy show an atomically-sharp $Fe_xCu_{1-x}Se/Bi_2Te_3$ interface. The $Fe_xCu_{1-x}Se/Bi_2Te_3$ interface is determined by Se-Te bonds and no misfit dislocations are observed, despite the different lattice symmetries and large lattice mismatch of $\sim$ 19%. First-principle calculations show that the large strain at the $Fe_xCu_{1-x}Se/Bi_2Te_3$ interface can be accommodated via van der Waals-like bonding between Se and Te atoms.

INTRODUCTION

Heterostructured materials are the basis of metal–oxide–semiconductor transistor and data storage technologies. Besides the tremendous importance of multi-layered thin films for device applications, heterostructured interfaces have also been for the past decades a playground for the discovery of novel physical phenomena, e.g., the quantum Hall effect.[1] One of the main criteria for realising new, interface-related heterostructure behaviours is the ability to control the atomic and electronic properties on an atomic level. This typically requires creating epitaxially matched superlattices. One of the main challenges in creating such superlattices is the lattice mismatch between the individual single crystal components.[2,3] Having different symmetries and lattice parameters lead to built-in strain in the interface region[4], which ultimately changes the electronic properties of the system.[5] Due to the strong chemical bonds between the atomic layers at the heterostructure interface, this effect already occurs at very low values of the lattice mismatch.

In contrast to strongly-bonded heterointerfaces, epitaxial heterostructures can also be realised via weak van der Waals (vdW) interactions[6], as demonstrated for heterostructures comprising, e.g., 2D materials, graphene, boron nitride, and transition metal dichalcogenides[7-9] and layered materials such as Bi_2Se_3 and Bi_2Te_3.[10,11] Here, despite the constraints such as large lattice mismatch (up to 19%) and different crystallographic

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orientations, novel heterostructures can be realized, using these layers as Lego-like building blocks, allowing for the design of atomically thin electronic device materials with outstanding properties.[8]

Heterointerfaces have recently also attracted attention as a platform for realizing exotic quantum phenomena, e.g., by combining a superconductor with a topological insulator.[12-17] Proximity effects in this type of interface are predicted to give rise to so-called Majorana fermions.[13,18,19] Experimentally, the proximity effect at the superconductor/topological insulator interface has been investigated in $FeTe/Bi_2Te_3$ heterostructures[15,17,18,20,21], and induced superconductivity in the topological insulator has been observed with a transition temperature (T_c) of around 12 K.[15] Due to the much higher T_c of Cu-based superconductors, other candidates for these heterostructures include CuSe and Cu doped FeSe and FeTe.[22] The main issues in fabricating such heterostructures are the different crystallographic structure, FeSe has tetragonal structure (P 4/nmmS) and Bi_2Te_3 has trigonal structure ($R\overline{3}m$), and the very large lattice mismatch of 19%.

In this work, we demonstrate that single-crystalline, misfit-dislocation free interfaces between tetragonal Cu doped FeSe and trigonal Bi_2Te_3 symmetry lattices with very large lattice mismatch (19%) can be realised, using scanning transmission electron microscopy (STEM) and electron energy loss spectroscopy (EELS). Large inclusions of $Fe_xCu_{1-x}Se$ are fully epitaxially embedded in the Bi_2Te_3 film-matrix. We show that the calculated strain energy associated with the large mismatch is rather small; hence no misfit lattice dislocations are formed. An atomically-resolved interface structure analysis of $Fe_xCu_{1-x}Se$ /Bi_2Te_3 shows that the Se and Te atomic columns are relaxed in-plane, so the overall strain is less than 10%. According to density functional theory (DFT) total energy calculations, the energy cost associated with such displacements of the atomic columns is less than 0.01 eV, which is significantly lower than the thermal energy at room temperature (0.0257 eV).

METHODS

The Bi_2Te_3 thin films were grown by molecular beam epitaxy (MBE) on c-plane sapphire substrates using a growth protocol described in detail in Ref.[23] Bi, Fe, Cu and Te were evaporated from standard effusion cells, whereas Se was supplied out of a cracker cell. All elements were of 99.9999% purity. Their flux was monitored using a beam-flux monitor. The base pressure of the growth chamber was 1×10^{-10} Torr. The x-ray diffraction (XRD) measurements were carried out on a Rigaku Smartlab diffractometer using a rotating Cu anode (incident Cu K-α_1 radiation) in the standard Bragg-Brentano geometry.

Cross-sectional transmission electron microscopy (TEM) specimen preparation was carried out by focused ion beam (FIB) using a FEI Nova 200 NanoLab instrument. First a $\sim$ 10-nm-thick layer of carbon was thermally evaporated onto the film surface outside of the FIB chamber to reduce possible charging effects, as well as protecting the surface from damage. Before starting the milling procedure in the FIB, a $\sim$ 500-nm-thick Pt sacrificial layer was

deposited using the electron beam to protect the film from Ga-ion implantation, followed by a ~ 3-µm-thick Pt layer using the Ga beam to further protect the surface from any implantations and damage during the FIB process. For milling the trenches on both sides of the Pt-deposited area, we first tilted the stage ±2° from the position in which the Ga beam is perpendicular to the surface (52°), and then used an ion beam at 30 kV acceleration voltage and 6.5 nA beam current to mill the material out. In order to thin down the sample to the thickness required for 100 kV STEM imaging, after attaching the lamella to the Cu grid, we used a four steps procedure. First, we tilted the lamella by ±2° and used a 16 kV beam with 0.47 nA, then tilted ±1.5° and used a 16 kV beam, with 0.13 nA and 45 pA. After that, we tilted the specimen for ±1° and used a current of 21 pA. For the last thinning step, we tilted the lamella by ±0.5° and used 5 kV and 16 pA as beam parameters. When the sample approximately reached the required thickness, we performed a cleaning step by tilting the lamella by 45° and using a 2 kV Ga beam with 10 pA of current.

STEM imaging and EELS measurements were performed in a Nion UltraSTEM100 equipped with a Gatan Enfina spectrometer. The microscope was operated at 100 kV, with a convergence angle of 30 mrad; in these optical conditions the electron probe size is estimated to be ~ 0.9 Å. The inner detector angle for high-angle annular dark field (HAADF)-STEM imaging was 76 mrad. The native energy spread of the electron beam for EELS measurements was 0.3 eV, with the spectrometer dispersion set at 1 eV/channel. The EELS collection angle was 33 mrad. For enhancing the contrast of the atomically-resolved spectra, a noise-reduction routine was applied using principal component analysis (CiMe- plugin for Gatan's Digital Micrograph 2.3 software suite).[24]

Structure optimization, total-energy and electronic-structure calculations were performed from first-principles using the plane-wave DFT program CASTEP[25] with the generalized gradient approximation (GGA) of Perdew-Burke-Ernzerhof (PBE)[26] for the exchange-correlation functional. Ultrasoft pseudopotentials were used for each element, with 600 eV plane-wave cut-off energy for FeSe and FeTe formation energy calculations. Furthermore, a 500 eV plane-wave cut-off energy has been used for the Se bonding energy calculations to investigate the bonding nature of Se atoms in various positions on top of the Bi_2Te_3. Internal atomic positions were fully optimized until the force on each atom is ≤ 0.05 eV Å^{-1} and the total energy converges within 0.02 meV/atom. The Brillouin zone was sampled using a Monkhorst-Pack[27] $\mathbf{k}$-mesh of 6∗6∗6, 5∗5∗5, 7∗7∗7, 6∗6∗4, 9∗9∗6, and 5∗5∗1 for bulk Fe, Se, Te, FeSe, FeTe, and Bi_2Te_3, respectively. Trigonal and tetragonal lattice constants for bulk Bi_2Te_3 (a = 4.43 Å and c = 30.53 Å) and FeSe ($a = b$ = 3.68 Å and c = 5.03 Å) were also calculated from first-principles. A semi-empirical dispersion correction (SEDC)[28] is included to account for the weak vdW interactions, as well as a 30 Å-thick space of vacuum on top of the Se atom in the bonding energy calculations.

RESULTS AND DISCUSSION

Figure 1a shows a cross-sectional HAADF-STEM overview of the Bi_2Te_3 thin film grown on c-plane Al_2O_3 by MBE. Due to the atomic number dependence of the HAADF imaging ($\sim Z^{1.8}$), the Bi_2Te_3 film is clearly outlined with respect to the Al_2O_3 substrate. The film has a uniform thickness of 120 nm and a flat surface morphology. The XRD results further shows the single-crystalline nature of the grown film on a larger length scale (Figure 1b). The observed family of (003) peaks are representative of the rhombohedral symmetry class of Bi_2Te_3 ($R\bar{3}m$). Note, however, that the occurrence of the (0 0 9), (0 0 12), and (0 0 24) peaks is characteristic of until cells distortions, e.g., due to doping[29,30]. Atomic-resolution HAADF imaging (Figure 1c) shows the distinctive Te-Bi-Te-Bi-Te quintuple layer repeat unit of the Bi_2Te_3 film. The lower intensity regions, close to the interface, correspond to CuSe inclusions, as outlined with dashed arrows in Figure 1a.

In order to reveal the atomic structure of the $Fe_xCu_{1-x}Se$ phase, and the ordering at the $Fe_xCu_{1-x}Se$ /Bi_2Te_3 interface, we performed atomically resolved HAADF-STEM imaging. Figure 2 shows a typical region of $Fe_xCu_{1-x}Se$ /Bi_2Te_3 acquired in a $[11\bar{2}0]$ zone axis. Figure 2a shows details of the interfacial region containing the $Fe_xCu_{1-x}Se$ inclusion. Higher magnification HAADF-STEM images from the regions outlined in Figures 2a and 2b show the atomic stacking of $Fe_xCu_{1-x}Se$, as well as the interface between $Fe_xCu_{1-x}Se$ and Bi_2Te_3 (Figures 2b and 2c). The $Fe_xCu_{1-x}Se$ inclusion is crystalline, with an atomically sharp interface with the Bi_2Te_3 film. Based on the atomic column Z-dependent contrast, the identification of the atomic structure at the interface can be carried out. The large difference between the atomic number of Bi and Te enables an easy differentiation between Bi and Te atomic columns. Similarly, the difference between the Cu and Se columns can be established, even though the intensity change is much smaller due to low difference in Z (Figure 2c). The HAADF intensities indicate that the bonding across the interface consists of Se-Te bonds.

Next we present the chemical structure analysis of the inclusions and the interface between $Fe_xCu_{1-x}Se$/Bi_2Te_3 by EELS elemental mapping. Figure 3a shows a HAADF-STEM survey image from the $Fe_xCu_{1-x}Se$/Bi_2Te_3 interfacial area selected for chemical mapping. Figure 3b shows the HAADF-STEM image from the region of interest outlined in Figure 3a which is simultaneously acquired with the EELS elemental maps for Te, Fe, Cu and Se (Figures 3c-f). The $L_{2,3}$ edges were used to map Fe, Cu and Se, and $M_{4,5}$ for mapping Te. The chemical maps confirm the suggestion from HAADF imaging that the inclusion consists of Fe, Cu and Se (see Figures 3d-f). Since both FeSe and CuSe share the same structure, and Cu and Fe have similar size, Cu can easily substitute Fe within the tetragonal inclusion structure, as indicated in the Figures 3d and 3e. The relative amount of Cu within FeSe inclusions is within $25\pm10\%$ range, varying spatially among the inclusions. We note that Fe is continuously evaporated during the Bi_2Te_3 growth, hence outside the inclusion acts as a dopant for Bi_2Te_3 film.[31] The Se-Te atomic structure of the inclusion film interface is further supported by the EELS mapping from interface region shown in Supplementary Figure S1.

We also would like to note that the tetragonal phase of the inclusions is due to FeSe since the CuSe lowest structural phase is the hexagonal phase in comparison to tetragonal and orthorhombic phases.[32,33] HAADF-STEM image simulations clearly show the tetragonal structure of the inclusions (Supplementary Figure S2). Furthermore DFT calculations prove that in an environment in which both Se and Te atoms are present, the formation of FeSe is more favourable than FeTe by ~ 0.78 eV/formula unit.

The atomic resolution images of the interface further help identify the crystallographic orientation between $Fe_xCu_{1-x}Se$ and Bi_2Te_3 as: $Fe_xCu_{1-x}Se(100)||Bi_2Te_3(11\bar{2}0)$ and $Fe_xCu_{1-x}Se(001)||Bi_2Te_3(0001)$. Bearing in mind the different crystallographic structures of $Fe_xCu_{1-x}Se$ and Bi_2Te_3, as well as their lattice mismatch of 19%, one should expect a significant strain to develop at the $Fe_xCu_{1-x}Se/Bi_2Te_3$ interface. However, as shown next, no misfit dislocation are found at the interface indicating that the bonding between tetragonal $Fe_xCu_{1-x}Se$ and trigonal Bi_2Te_3 is rather weak, i.e., vdW-like. Figure 4a shows a top view model of the interfacial Te and Se atomic planes. The positions of the first Se layer are shown with blue rectangles on top of the red Te atoms. For clarity, the cross-sectional view of these two layers is also shown. The geometrical matching between the two lattices, e.g., along the $[10\bar{1}0]$ direction, shows that the coincidental lattice spots appear for every 5th Te atom, i.e., every 6th Se atom, which gives $\sim 19\%$ mismatch of the lattices. The realisation of such a structure is strongly unfavourable due to very large strain at the interface. Hence the formation of misfit dislocations is generally the mechanism that governs either covalently or ionically bonded heterostructures. However, a misfit dislocation network is not observed in our HAADF images. By using the atomic resolution images of the interface, we calculate the atomic column displacement of the Se and Te interface columns with respect to the columns away from the interface region, to which we refer as 'bulk' columns. Figure 4b shows the variation of the interfacial atomic column positions with respect to the bulk for both Se and Te. The data shows the absence of the periodic interface atomic displacement (present when dislocation network forms), and arbitrary (random-like) variation with respect to the average bulk Se/Te atomic columns distances, with Se atomic columns having slightly larger displacements compared to Te. This implies that the bonding between the CuSe and Bi_2Te_3 is rather weak.

In order to gain insight into the nature of the bonding between the $Fe_xCu_{1-x}Se$ and Bi_2Te_3 layers, we performed first-principle calculations. Since the interface bonding is mostly determined by the bonding between the first neighbouring atomic planes, we focused the analysis on calculating the bonding energy of the Se atom with respect to high symmetric points of the Bi_2Te_3 unit cell, i.e., the so-called top, bridge, and hollow sites, respectively. In addition to these high symmetric points we also calculated the bonding energy at positions in-between them along the main paths within the unit cell, e.g., $[10\bar{1}0]$, $[11\bar{2}0]$ and $[01\bar{1}0]$ (Figure 5a). In total we performed energy calculations for 32 distinct configurations, in which Se is displaced by $\sim$0.1 Å along the calculated paths. Figure 5b gives a summary of the results, where representative configurations of the Se-Bi_2Te_3 unit cell are shown. First, it is

worth noting that the energy only changes by a small amount as the position of the Se atoms change. Even up to 19% displacement of the Se with respect to the top Te positions only changes the bond energy by less than 20 meV. This is less than the corresponding energy for room temperature ($k_B T$ = 25.7 meV). These results demonstrate that the bonding between $Fe_xCu_{1-x}Se$ and Bi_2Te_3 has to be vdW-like, hence the experimentally observed 'insensitivity' of the atomic stacking registry at the interface.

In summary, we presented a structural study of single-crystalline $Fe_xCu_{1-x}Se$ inclusions embedded in a Bi_2Te_3 film. $Fe_xCu_{1-x}Se$ and Bi_2Te_3 are fully epitaxial despite different lattice symmetries and a lattice mismatch of 19%. Atomic level structural and spectroscopic studies carried out using aberration-corrected transmission electron microscopy revealed atomically-sharp interfaces between the tetragonal phase of $Fe_xCu_{1-x}Se$ and the Bi_2Te_3 thin film. The very large lattice mismatch at the $Fe_xCu_{1-x}Se/Bi_2Te_3$ interface does not lead to the formation of any network of misfit dislocations. First-principles energy calculations show that the formation of an abrupt and chemically ordered interface is realised through van der Waals-like bonding between the Se and Te atomic planes at the interface. This study shows that epitaxial heterostructures of materials with significant differences in lattice symmetry with corresponding large lattice mismatch can be realised through van der Waals bonding across the interface. This study is of importance for the realisation of epitaxially-stacked chalcogenide superconductor materials, i.e., CuSe, FeSe, $Fe_xCu_{1-x}Se$ and 3D topological insulators.

CNFLICT OF INTEREST

The authors declare no conflict of interest.

ACKNOWLEDGEMENTS

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FIGURE CAPTIONS:

Figure 1 HAADF-STEM image and X-ray diffraction spectrum of $Fe_xCu_{1-x}Se$ inclusions in the Bi_2Te_3 film on the Al_2O_3 substrate. (**a**) Low-magnification HAADF-STEM image of the Bi_2Te_3 film on the Al_2O_3 substrate; the dashed arrows outline the $Fe_xCu_{1-x}Se$ inclusions in the Bi_2Te_3 film; (**b**) X-ray diffraction spectrum showing both Al_2O_3 substrate (red labels) and Bi_2Te_3 film peaks (blue labels); (**c**) atomically resolved HAADF-STEM image shows the quintuple layer structure of the Bi_2Te_3 film.

Figure 2 Higher magnifications HAADF-STEM image of $Fe_xCu_{1-x}Se$ inclusions in the Bi_2Te_3 film. (**a**) Overview image of the $Fe_xCu_{1-x}Se$ inclusion close to the Bi_2Te_3/ Al_2O_3 interface; (**b**) magnified HAADF-STEM image from the region of interest outlined in (**a**); both the film and the $Fe_xCu_{1-x}Se$ inclusion show good crystallinity; (**c**) high-magnification HAADF-STEM image from the interfacial region between the Bi_2Te_3 film and the $Fe_xCu_{1-x}Se$ outlined in (**b**).

Figure 3 EELS elemental mapping of $Fe_xCu_{1-x}Se$ inclusions and the Bi_2Te_3 film. (**a**) HAADF-STEM survey image from the Bi_2Te_3 film and the $Fe_xCu_{1-x}Se$ inclusion. (**b**) HAADF-STEM signal from the region outlined by a dashed rectangle in (**a**), obtained simultaneously with the EELS data; (**c-f**) show Te $M_{4,5}$, Fe $L_{2,3}$, Cu $L_{2,3}$, and Se $L_{2,3}$ EELS signals at the $Fe_xCu_{1-x}Se$ / Bi_2Te_3 interface, respectively.

Figure 4 Schematic position and measured displacement of the Se and Te atoms at the interface between the $Fe_xCu_{1-x}Se$ inclusions and the Bi_2Te_3 film. (**a**) Top view model of the Bi_2Te_3 lattice shows the trigonal crystal structure of the film with its top Te atoms (red spheres); the blue rectangles show the positions of the Se atoms on top of the Bi_2Te_3 film at the interface region with the $Fe_xCu_{1-x}Se$; the blue arrows show the positions in which the yellow Se atoms are located on top of the red Te atoms; (**b**) variation of the interfacial atomic column positions with respect to bulk Se and Te; the blue and red graphs correspond to the Se and Te atomic columns at the interface, respectively; the Se (blue) graph has been shifted up vertically for clarity; the values on the second y-axis correspond to the minimum and maximum displacement percentages with respect to the bulk average (a_b), respectively.

Figure 5 Energy calculations of Se atoms with respect to the bottom Te atomic position. (**a**) Top view image of the Bi_2Te_3 unit-cell; the grey dashed lines show the positions of which 32 different sampling has been done for the Se bonding energy calculations; (**b**) relative energy variations along the three main crystallographic paths outlined in (**a**); the green dashed line shows the corresponding energy at room temperature (0.0257 eV).