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22 loading produced more hydrogen and deposited carbon, due to higher cracking ability  
23 and the relatively lower interaction between active sites and support. The presence of  
24 Ni in Ni-Fe bimetallic catalyst enhanced the thermal stability and graphitization  
25 degree of produced carbons. The thermal quality of filamentous carbons might be  
26 associated with carbon defects.

27 **Keywords:** waste plastics, hydrogen, carbon nanotubes, Ni-Fe bimetallic catalyst

28

## 29 **1. Introduction**

30 The global demand for plastic increases annually as the rapid economic  
31 development and higher standard of living. However, the increased use of plastic  
32 materials produces substantial quantities of plastic and brings serious environmental  
33 problems. In China, approximately 18 million tons of plastic wastes were generated in  
34 2015 [1]. It was reported that 25 million tonnes of wastes plastics are generated  
35 annually in Europe, and more than 30% of post-consumer waste plastics end up in  
36 landfill or incineration [2, 3].

37 Energy recovery like pyrolysis of plastics for chemical products is a promising  
38 way to exploit the full potential of waste plastics. The thermal and catalytic pyrolysis  
39 of plastics have been extensively studied in different reactors and under various  
40 operational parameters, with the product distributions being dependent on these  
41 conditions [4, 5]. Williams et al. [6] reported that pyrolysis waxes and oil enriched in  
42 aliphatic composition could be produced from low density polyethylene using a  
43 fluidised bed reactor. Ratnasari et al. [7] obtained a gasoline range hydrocarbons yield

44 of 83.15 wt.% from waste plastics in a staged catalysis system with MCM-41 and  
45 ZSM-5. In addition, waste plastics could also be gasified [8] or co-gasified with  
46 biomass [9] for hydrogen production at high catalyst temperatures. There has been  
47 increasing interest in the pyrolysis-catalysis of waste plastics for high-value-added  
48 products. Recently, carbon nanomaterials like CNTs have been obtained with  
49 catalysis-pyrolysis of waste plastics [10, 11]. In that process, the valuable product  
50 CNTs was produced instead of unwanted coke, which may lead to serious catalyst  
51 deactivation. Furthermore, low-cost CNTs from waste plastics have been used as  
52 reinforced material and resulted good performance of tensile and flexural strength,  
53 presenting its great potential in industry application [12, 13].

54 CNTs, since it was firstly reported by Iijima in the early 1990s [14], has been  
55 attracting considerable attentions because of the unique electrochemical and  
56 mechanical properties [15]. It is known that  $\text{CH}_4$ ,  $\text{C}_2\text{H}_2$  that from petrol industry, are  
57 normally used as the carbon precursors for carbon nanotubes production using  
58 chemical vapor deposition (CVD) method, which has been the dominant mode for  
59 large production around the world [16-18]. As those small gases for CNTs production  
60 can also be obtained from pyrolysis of waste plastics, it is an attractive way to apply  
61 the pyrolysis-catalysis process to waste plastics for carbon nanotubes without overdue  
62 consumption of non-renewable resources. Pyrolysis-catalysis process of waste plastics  
63 for producing CNTs has similar principle as traditional CVD method using  $\text{CH}_4$ ,  
64 whereas, the main difference is that pyrolysis of plastic produces complicated carbon  
65 sources. Ni based catalysts are reported to have good reactivity for C-C and C-H bond

66 cleavage, thus they are effective for polymers cracking and reforming reactions [19,  
67 20]. Zhang et al. [21] found that Ni/Al<sub>2</sub>O<sub>3</sub> showed higher activity to multi-walled  
68 CNTs production along with higher H<sub>2</sub> yield compared to Co/Al<sub>2</sub>O<sub>3</sub> and Cu/Al<sub>2</sub>O<sub>3</sub> for  
69 the catalytic reforming of waste tires. Yang et al. [22] synthesized CNTs with 20~30  
70 diameter in a pilot-scale system using H-Ni/Al<sub>2</sub>O<sub>3</sub> catalyst, demonstrating the  
71 feasibility of Ni based catalyst for treating plastics continuously to generate high  
72 value CNTs. Bulk carbon deposition of highly uniform carbon nanotubes as well as  
73 55% of hydrogen yield were observed from methane catalytic decomposition with  
74 Ni/La<sub>2</sub>O<sub>3</sub> catalyst by Pudukudy et al. [23]. Besides, Fe based catalyst is also an  
75 attractive catalyst with cheap and environmental friendly traits for the production of  
76 carbon nanotubes. Acomb et al. [24] investigated the influence of different metal  
77 catalysts for catalytic pyrolysis of LDPE, and found that Fe/Al<sub>2</sub>O<sub>3</sub> gave the highest H<sub>2</sub>  
78 conversion (26.8 %) and carbon yield (26 wt.%), compared with Ni, Co and Cu based  
79 catalysts. The moderate metal-support interaction and iron's large carbon solubility  
80 contributed to its good performance.

81 For many catalysts studies, bimetallic catalysts by integrating different materials  
82 are always suggested when considering both catalytic reactivity and energy  
83 consumption. Some bimetallic catalysts like Ni-Mg, Ni-Mn [12] and Fe-Ru [25] have  
84 been studied for the filamentous carbon production from pyrolysis-catalysis of  
85 polymers. Ni was suggested to be responsible for the formation of carbon nanotubes  
86 while Mn acted as a favorable promoter during carbon growth. The interaction  
87 between Cu and Fe was found to enhance the nucleation of nanotubes over Fe as well

88 as minimize the bulk accumulation of carbon substrates [26]. The advantages of those  
89 bimetallic or trimetallic catalysts always come from good stability, smaller metal  
90 particle size and appropriate interaction or synergy between metals [27].

91 As for the Ni-Fe bimetallic catalysts, it has shown favorable performance for  
92 some studies. Ni-Fe based on bio-char has been performed into biomass gasification  
93 to increase tar conversion in an effective and economical way [28]. H<sub>2</sub> yield and  
94 carbon conversion rate were increased when using Fe-Ni oxides were used for pine  
95 sawdust gasification, resulting from the synergistic effect between Fe<sub>2</sub>O<sub>3</sub> and NiO  
96 [29]. Enhanced methane dehydrogenation and longer life-times activity of catalyst  
97 were found by Shen et al. [30] when using Ni-Fe/Mg(Al)O for CNTs production from  
98 methane. However, there are limited reports about using Ni-Fe bimetallic catalysts for  
99 the coproduction of CNTs and H<sub>2</sub> from waste plastics. Furthermore, the role of Ni or  
100 Fe on CNT and H<sub>2</sub> production are still unclear. Therefore, the catalytic pyrolysis of  
101 waste plastic was investigated the bimetallic Ni-Fe catalyst for the simultaneous  
102 production of H<sub>2</sub> and carbon nanotubes using a two-stage fixed bed reactor. In order to  
103 understand the different catalytic reactivity, the prepared catalysts and solid products  
104 were characterized by spectroscopic, temperature programmed and electronic  
105 microscope analysis. Gas releasing behavior, H<sub>2</sub> yield, morphology and quality of  
106 solid carbon at different Ni to Fe molar ratio were examined.

107

## 108 **2. Experimental material and methods**

### 109 2.1 Experimental materials

110 The waste plastics used in this research are some disposable drink cups, lunch  
111 boxes, and plastic wraps (Mingjin Plastic Ltd, China), which are widely used for food  
112 packing in daily life. They were crushed and mixed using a liquid nitrogen grinder  
113 with particle size between 0.1 and 0.5 mm. The composition was 40 wt.% sample  
114 bottles (mainly HDPE), 35 wt.% plastic bags (mainly LDPE), 20 wt.% preservative  
115 boxes (mainly PP) and 5 wt.% lunch boxes (mainly PS). The ultimate analysis of the  
116 material was 84.51 wt.% C, 13.85 wt.% H, 1.51 wt.% O and 0.13 wt.% S. Ash content  
117 of the mixed plastics was less than 1 wt.%.

118 Bimetallic Ni-Fe catalysts with different Ni to Fe molar ratio were prepared  
119 using impregnation method. Metal nitrates and gamma  $\text{Al}_2\text{O}_3$  (obtained from Sigma  
120 Aldrich, UK) were used as the materials. The initial metal loading was 10 wt.%. As an  
121 example,  $\text{Ni}(\text{NO}_3)_2 \cdot 6\text{H}_2\text{O}$  and  $\text{Fe}(\text{NO}_3)_3 \cdot 9\text{H}_2\text{O}$  were firstly dissolved in ethanol with  
122 Ni to Fe molar ratio of 1 to 3, then 10g gamma  $\text{Al}_2\text{O}_3$  was added. Then the precursors  
123 were stirred for 4 h using magnetic stirrer at 50 °C, and dried at 100 °C overnight,  
124 followed by calcination at 800 °C for 3 hours holding time under air atmosphere with  
125 a heating rate of 10 C min<sup>-1</sup>. The other catalysts were prepared following the same  
126 procedure, but with different Ni to Fe ratio. It need to be pointed out that no reduction  
127 prior to the catalytic pyrolysis as the gases produced during pyrolysis-catalytic  
128 process such as  $\text{H}_2$  and  $\text{CH}_4$  might reduce the metal oxides in situ [31]. The five  
129 catalysts prepared here were denoted as NiFe13, NiFe12, NiFe11, NiFe21 and NiFe31  
130 separately (corresponding to the molar ratio of Ni:Fe of 1:3, 1:2, 1:1, 2:1 and 3:1).

131

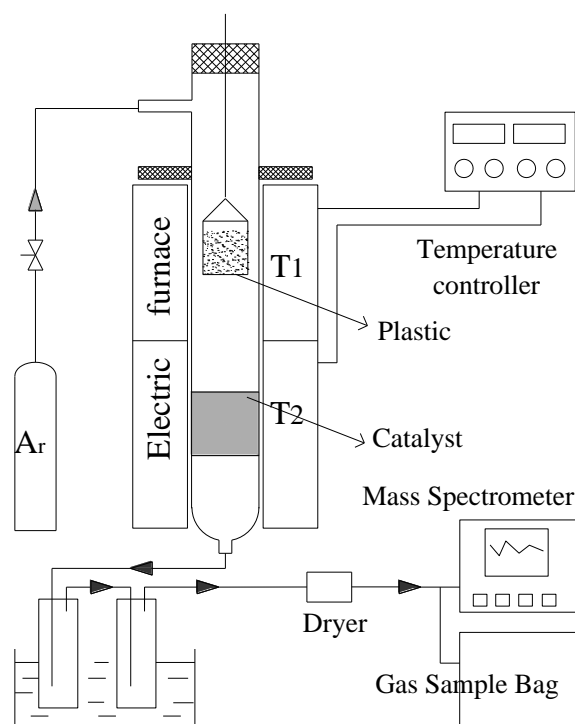
## 132 2.2 Experimental setup and procedure

133 The pyrolysis-catalytic process of waste plastics was carried out in a two-stage  
134 fixed bed reactor (Fig. 1). The reaction system consists essentially of a quartz tube  
135 reactor (I.D. 40mm) with two temperature ranges (upper: pyrolysis zone, 310 mm  
136 height; under: catalysis zone, 310 mm height), a gas supplying system, gaseous  
137 product condensing system with ice and water mixture, a gas cleaning system  
138 followed by gas online and offline measurement system.

139 Before each experiment, 0.5g catalyst was supported by ~0.2g stainless steel  
140 wire mesh on the top of a perforated plate, which was placed in the middle of second  
141 stage, where the temperature was heated to 800 °C. A quartz basket with 1g waste  
142 plastic was hold in the top of first reactor. High purity of Argon (99.99%) was  
143 supplied as inert gas at 110ml min<sup>-1</sup>. After the catalyst temperature reached to selected  
144 temperature and kept stable, the basket containing plastic sample was introduced into  
145 the middle of first stage, and the pyrolysis temperature was programmed to increase  
146 from room temperature to 500 °C with a heating rate of 10 °C min<sup>-1</sup> and held at 500°C  
147 for 15 min. After pyrolysis-catalytic process, condensable vapors were collected by a  
148 two-stage ice-water condenser. A small branch of the non-condensable gases was  
149 introduced into mass spectrometer (MS) (Ominstar TM—GSD320, Pfeiffer Vaccum,  
150 Germany) to monitor gas evolution online with a data acquisition frequency of 1 s<sup>-1</sup>.  
151 The signals identified as the atomic mass units of 2, 16, 26, 28, 30, 44 corresponded  
152 to the main produced gas H<sub>2</sub>, CH<sub>4</sub>, C<sub>2</sub>H<sub>2</sub>, CO+C<sub>2</sub>H<sub>4</sub>, C<sub>2</sub>H<sub>6</sub> and CO<sub>2</sub> respectively,  
153 according to the molecular weights of gases. The main stream was sampled with a 20



154 L gasbag, and gas composition was determined using a dual-channel gas  
155 chromatograph (GC) (Micro-GC 3000A, Agilent Technology, USA) equipped with  
156 thermal conductivity detectors. H<sub>2</sub>, CO and CH<sub>4</sub> were detected by channel A  
157 (molecular sieve 5A) and CO<sub>2</sub>, C<sub>2</sub>H<sub>2</sub>, C<sub>2</sub>H<sub>4</sub>, C<sub>2</sub>H<sub>6</sub> were measured by channel B  
158 (polystyrene chromatographic column). Each experiment was repeated twice to ensure  
159 the reliability of the results.



160

161 **Fig. 1.** Schematic diagram of the pyrolysis-catalysis process of waste plastics.

162

### 163 2.3 Catalyst characterization

164 Crystal structure and species identification of the fresh catalysts were determined  
165 by a X-ray diffraction (XRD) analyzer (X'Pert PRO, PANalytical B.V., Netherlands),  
166 with a scanning step of 0.026° in the 2θ range from 5° to 85°. Peaks were identified  
167 using High Score Plus software package. Temperature programmed reduction (TPR)

168 was also performed to characterize the fresh catalyst in a Shimadzu thermo  
169 gravimetric analyzer (TGA). Approximately 30 mg of catalyst sample was preheated  
170 to 150 °C at a heating rate of 20 °C min<sup>-1</sup> and held for 30 min in reduction  
171 atmosphere (5 % H<sub>2</sub> / 95 % N<sub>2</sub>), and then heated to 900 °C at 10 °C min<sup>-1</sup>. The BET  
172 surface area of the five Ni/Fe catalysts were calculated from N<sub>2</sub> adsorption and  
173 desorption isotherms on an automatic adsorption equipment (ASAP2020,  
174 Micromeritics, USA) operating at 77K.

175 The morphologies of CNTs were obtained using a scanning electron microscopy  
176 (SEM) operating at 20 kV (JSM-5610LV, JEOL, Japan), and transmission electron  
177 microscope (TEM) observation was also carried out on a FEI Tecnai TF20. The  
178 thermal stability of carbon deposited on the catalysts was determined with  
179 temperature-programmed oxidation (TPO) in a TGA (PerkinElmer Instruments, USA).  
180 A total of 10 mg of the reacted catalyst was heated from room temperature to 800 °C  
181 in air (100 ml min<sup>-1</sup>) with a heating rate of 10 °C min<sup>-1</sup> and a holding time of 10 min  
182 at 800 °C. Raman spectroscopy of deposited carbon was carried out to determine the  
183 graphitic quality. And the spectrograms were obtained using a LabRAM HR800  
184 (Horiba JobinYvon, Japan) Raman spectrometer at a wavelength of 532 nm with  
185 Raman shift from 200 to 3500 cm<sup>-1</sup>.

186

#### 187 2.4 Analysis methods

188 Concentrations of the gases collected in the sample bag were obtained from gas  
189 chromatography, and then the mass of each gas could be calculated based on the

190 concentrations and flow rate of carrier gas. Carbon deposition (solid) production was  
191 determined as the mass difference between fresh and reacted catalyst. The liquid yield  
192 of each experiment was obtained from the weight difference of the condenser before  
193 and after the experimental test. The total gas, liquid and carbon deposition yields were  
194 calculated by each product in relation to the total weight of waste plastics. Mass  
195 balance was then obtained based on the sum of gas, liquid and solid yield to check the  
196 reliability of each experiment. The mass balance in the presence of catalyst showed  
197 good results, ranging from 95.7 to 101.4 wt.%, and a standard deviation of 0.22  
198 vol. % of gas content was obtained for the repeated experiments. In order to better  
199 present the hydrogen conversion from plastic, H<sub>2</sub> yield was defined as the mass of H<sub>2</sub>  
200 in the product gas divided by the theoretical H content in the feedstock according to  
201 the ultimate analysis.

202

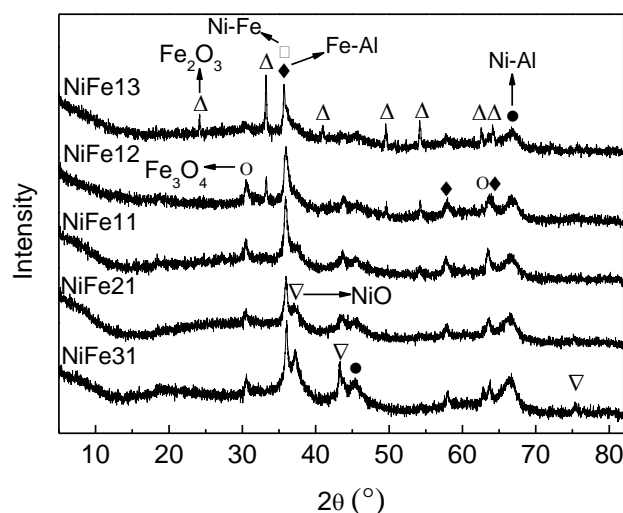
### 203 **3. Results and discussion**

#### 204 3.1 Characterization of fresh catalyst

205 BET surface area of the fresh catalysts was 112.71, 109.72, 111.68, 106.90,  
206 104.07 m<sup>2</sup> g<sup>-1</sup> for the catalyst NiFe13, NiFe12, NiFe11, NiFe21, NiFe31 respectively,  
207 and the BJH average pore diameter was much similar in the range of 50 to 58 Å. It  
208 seems these five catalysts were prepared with similar structure properties. The  
209 crystalline structure of the fresh prepared Ni-Fe catalysts was shown in Fig. 2. There  
210 are notable differences of crystal composition between catalysts with different Ni to  
211 Fe ratio. Iron was observed with different oxidation state. The diffraction peak of

212 Fe<sub>2</sub>O<sub>3</sub> was obviously detected with NiFe13. Both of Ni-Al and Fe-Al spinel were  
213 observed, indicating the interaction between active metals and support, it is a key  
214 factor for CNT production [24]. For NiFe12, the intensity of Fe<sub>2</sub>O<sub>3</sub> was weak while  
215 more Fe<sub>3</sub>O<sub>4</sub> with a relatively lower valence state of Fe was found. And at higher ratio  
216 of Ni to Fe, the peak of Fe<sub>2</sub>O<sub>3</sub> can hardly be detected. It seems that the exiting of Ni  
217 lead to part reduction of ferric iron, which might influence the reduction or cracking  
218 ability of catalyst.

219

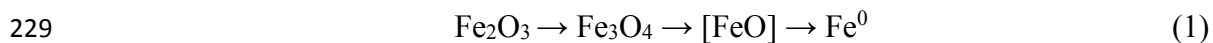


220

221 **Fig. 2.** X-ray diffraction profiles of the fresh Ni-Fe catalysts.

222

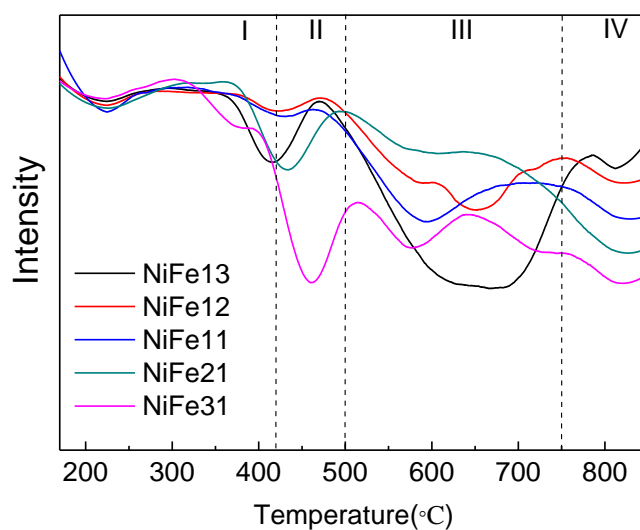
223 The reduction of Ni-Fe bimetallic catalysts is complex and presents in a number  
224 of asymmetric stages (Fig.3). TPR results of NiFe13 show a peak around 400 °C in  
225 addition to a broader peak from 500 to 750 °C and a peak higher than 750 °C. It  
226 suggests that more than two metal species contributes to the reduction of NiFe13.  
227 According to Al-Dossary et al. [32], the reduction of Fe<sub>2</sub>O<sub>3</sub> undergoes two or even  
228 three steps with the following sequence and occur in specified temperatures:



230 The first step was reported corresponding to the reduction of hematite into  
231 magnetite, it mainly happens at around 400 °C. Further, it is reduced into [FeO]  
232 (wustite, unstable) and metallic Fe occur at high temperatures and they always coexist,  
233 which means it may produce some asymmetric and overlapped peaks [24, 32]. And  
234 reduction kinetics change from chemical to diffusion controlling mechanism because  
235 of high metal loading always lead to a higher reduction peak [33]. In order to classify  
236 the reduction process clearly, four stages of reduction are indicated in Fig. 3.  
237 Therefore, in this work, H<sub>2</sub> consumption peak observed at stage I belongs to the  
238 reduction of Fe<sub>2</sub>O<sub>3</sub> into Fe<sub>3</sub>O<sub>4</sub>, while the H<sub>2</sub> consumption at stage III was caused by  
239 the subsequent reduction of Fe<sub>3</sub>O<sub>4</sub> into FeO or Fe. For the NiFe12 and NiFe11, the  
240 tiny peak at lower temperature suggests that the catalyst is not easy to be reduced,  
241 indicating a strong interaction between metal and support. This is consistent with the  
242 XRD results (Fig. 2) that Ni and Fe display a co-spinel state with support, and less  
243 Fe<sub>2</sub>O<sub>3</sub> or NiO was found. A peak at stage II can be seen for Ni-Fe bimetallic catalysts  
244 at higher Ni to Fe ratio, and it attributes to the reduction of free NiO which interacts  
245 weakly to  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> [34]. In addition, the peak intensity turns higher for NiFe31, and  
246 results are consistent with the XRD results that more NiO sites are detected at high Ni  
247 loading. NiFe31 also presents an obvious peak from 550 to 600 °C, which is related to  
248 the reduction of NiO with high interaction with support according to [35]. All the five  
249 catalysts show a reduction peak above 750 °C (stage IV), which is associated to a  
250 spinel-metal phase where Ni or Fe has migrated into the support Al<sub>2</sub>O<sub>3</sub> and is hardly

251 to be reduced [36]. In addition, as the hydrogen consumption of this metal-spinel  
252 increased with the rising Ni to Fe ratio and it can be seen a larger proportion of Ni in  
253 case of Ni-Al spinel than Fe in the Fe-Al spinel from TPR results, the overall  
254 interaction between metal oxides and Al<sub>2</sub>O<sub>3</sub> was enhanced with the rising Ni to Fe  
255 ratio.

256



257

258 **Fig. 3.** Temperature programmed reduction profiles of the fresh Ni-Fe catalysts.

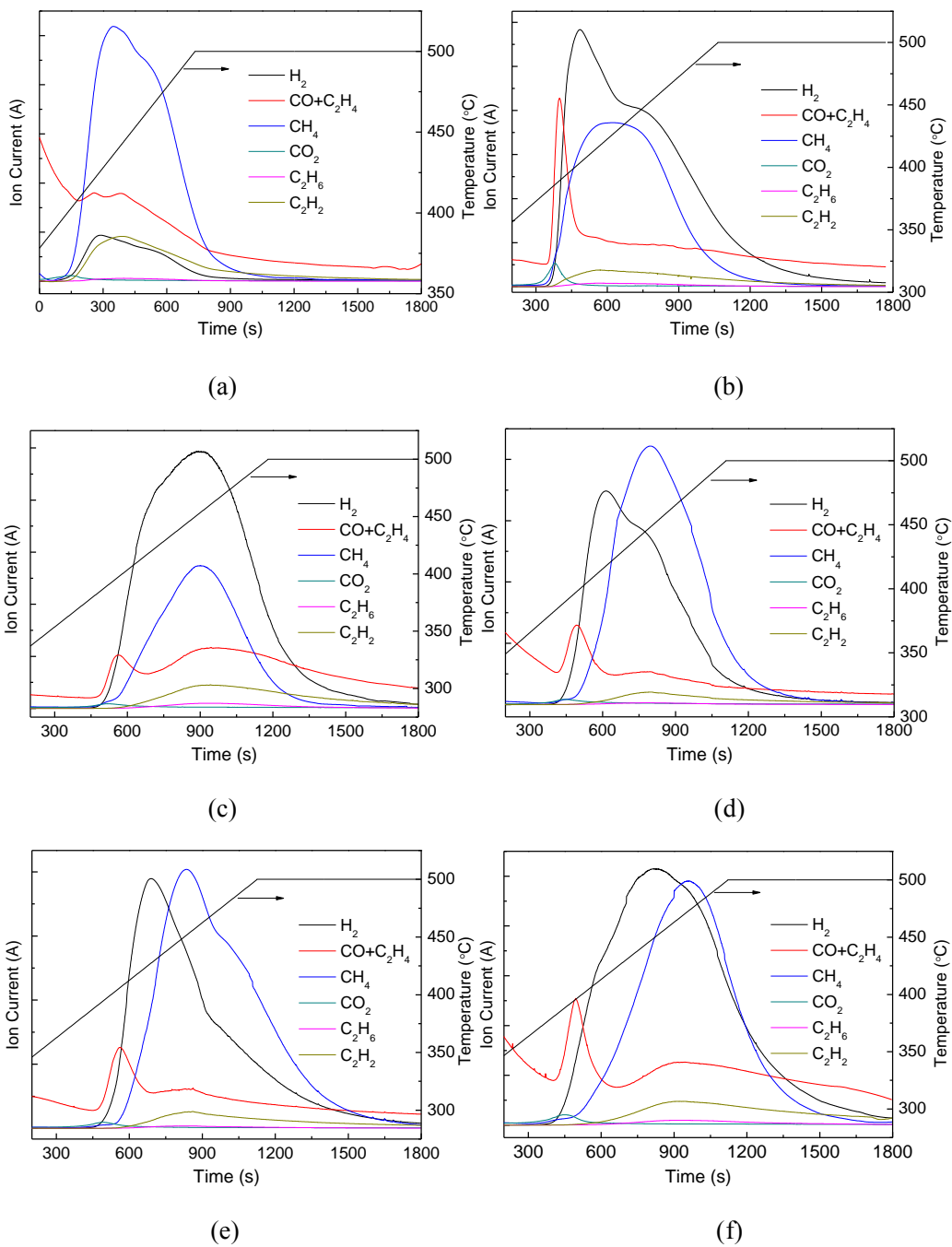
259

### 260 3.2 Gas releasing property of catalytic pyrolysis of waste plastics

261 For each experiment, less than 0.001g of pyrolysis char (~0.1 wt.%) was left for  
262 each experiment (the balance has 1 mg readability), indicating that the plastics were  
263 almost converted into vapours completely. Information about product evolution and  
264 distribution can be obtained by means of mass spectrometer analysis. Ion-current  
265 changes versus time during pyrolysis-catalytic process of plastics with/without  
266 catalysts are shown in Fig. 4, and real-time temperature for each trial was also plotted.  
267 As the oxygen content of plastics was very limited and oxygenic groups exist in side

268 chain of polymer, it is suggested that oxygen-contained compounds like CO and CO<sub>2</sub>  
269 are easily released at the beginning of the reaction. In that sense, for the signal of 28  
270 a.m.u, which is the superposition of C<sub>2</sub>H<sub>4</sub> and CO, the first peak was due to the  
271 evolution of CO and the second was for the C<sub>2</sub>H<sub>4</sub>. It can be seen that gas from only  
272 thermal cracking of plastic wastes (the no catalyst trial in Fig.4 (a)) mainly consist of  
273 CH<sub>4</sub>, C<sub>2</sub>H<sub>4</sub>. While CH<sub>4</sub> and C<sub>2</sub>H<sub>4</sub> have been reported as good carbon sources for the  
274 catalytic reaction for the production of CNTs [37], it is effective to use the Ni-Fe  
275 catalyst for the following carbon formation reactions. When Ni-Fe catalysts were  
276 applied, more H<sub>2</sub> was produced, with the maximum value achieved in the range of  
277 430 to 450 °C. However, as the H<sub>2</sub> maximum peak without catalyst was around  
278 420 °C, it seems that the gas release when using catalysts was delayed slightly. It may  
279 due to the fact that more complexed reactions happened like catalyst redox and carbon  
280 deposition. The ion intensity of C<sub>2</sub>H<sub>4</sub> was considerably weak during the whole  
281 catalysis process and it can be identified from the overlapped peak. It can be seen  
282 NiFe12 and NiFe13 have higher H<sub>2</sub> selectivity than other catalysts as the plotted line  
283 of H<sub>2</sub> was much higher than that of other gases. Gas emissions are similar at higher  
284 ratio of Ni to Fe. The gas was released in the following order: CO<sub>x</sub> (x=1, 2), H<sub>2</sub>, C<sub>x</sub>H<sub>y</sub>  
285 (including CH<sub>4</sub>, C<sub>2</sub>H<sub>4</sub>, C<sub>2</sub>H<sub>2</sub> and other hydrocarbons).

286



287

288

289

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291

292

293 **Fig. 4.** Gas releasing behaviours during the pyrolysis-catalysis process of waste plastics. (a) no

294 catalyst, (b) NiFe13, (c) NiFe12, (d) NiFe11, (e) NiFe21, (f) NiFe31.

295

296 In order to compare these five catalysts in terms of different products quantities,

297 the gas yield, gas composition, solid yield as well as mass balance are summarized in

298 Table 1. Pyrolysis of waste plastics resulted in 50.51 wt.% gas yield, 2.2 wt.% carbon



299 deposits and 11.42 % hydrogen yield without catalyst (sand was used in place of  
300 catalyst). The mass balance was 80.51 wt.% in the absence of catalyst. The low mass  
301 balance may due to the inadequate decomposition of pyrolysis vapours that condensed  
302 on the walls of reactor or converted to hydrocarbon gases which were hard to be  
303 collected. H<sub>2</sub> yield was significantly increased using Ni-Fe bimetallic catalysts, and  
304 reached maximum value of 61.17 % (84.72 mg g<sup>-1</sup> plastic) in the presence of NiFe13  
305 catalyst. The lowest H<sub>2</sub> yield of 48.92 % was obtained with NiFe21 among all the  
306 catalytic experiments. Regarding the total gas yield, catalysts with higher Ni to Fe  
307 ratio showed relatively higher activity for total gas production than NiFe13 and  
308 NiFe12, while the trend was opposite for the yield of carbon deposition. The carbon  
309 deposition using NiFe13 was 50.9 wt.%, which was higher than that of NiFe11,  
310 NiFe21 or NiFe31 (about 45 wt.%). It appears that NiFe13 and NiFe12, which contain  
311 more Fe species, are more favorable for carbon deposits, than those catalysts with Ni  
312 to Fe ratio higher than 1. It may due to the fact that the interaction between  
313 metal-support of NiFe13 is moderately weak (from TPR results), and result in a high  
314 yield of carbons [38]. This result agrees well with Acomb et al. [24], who found  
315 Fe/Al<sub>2</sub>O<sub>3</sub> generated a higher yield of carbon deposits than Ni/Al<sub>2</sub>O<sub>3</sub> during  
316 pyrolysis-catalytic process of low density polyethylene.

317 Table 1 also shows the volumetric content of gases. The controlled trial without  
318 catalyst generated the highest amount of CH<sub>4</sub> and C<sub>2</sub>H<sub>4</sub>, with a content of 49.36  
319 vol. % and 19.81 vol.%, respectively. And the content of CH<sub>4</sub> was twice of H<sub>2</sub>, which  
320 was consistent with the releasing trend observed in Fig. 4. The introduced five Ni-Fe

321 catalysts reduced hydrocarbon gases and accordingly increased H<sub>2</sub> content as a result  
 322 of the catalytic cracking reactions (Reaction (2)). As more C was converted into solid  
 323 state instead of gaseous product with the catalytic cracking reactions, hence the lower  
 324 gas yield was observed with catalysts adding. The highest (73.93 vol.%) content of H<sub>2</sub>  
 325 was observed over NiFe13 catalyst, followed by NiFe12 and NiFe31, with the H<sub>2</sub>  
 326 content of 73.59 vol.% and 69.98 vol.%, respectively. It suggested the highest  
 327 cracking ability of NiFe13, which was attribute to the presence of many reducible  
 328 metal oxides observed from XRD (Fig. 2) and TPR (Fig. 3) results. NiFe11 and  
 329 NiFe21 produced the relatively lower hydrogen content (around 64 vol.%) and higher  
 330 CH<sub>4</sub> content (around 27 vol.%) among five Ni-Fe catalysts, which was also found in  
 331 Fig. 4.



334 **Table 1**

335 Mass balance and gas production with different Ni-Fe catalysts.

|                                 | No<br>catalyst <sup>1</sup> | NiFe13 | NiFe12 | NiFe11 | NiFe21 | NiFe31 |
|---------------------------------|-----------------------------|--------|--------|--------|--------|--------|
| H <sub>2</sub> yield (%)        | 11.42                       | 61.17  | 56.15  | 49.85  | 48.92  | 52.30  |
| Gas yield (wt. %)               | 50.51                       | 39.48  | 38.01  | 43.24  | 43.87  | 39.64  |
| Carbon deposits (wt. %)         | 2.2                         | 50.9   | 49.9   | 45.8   | 45.1   | 45.8   |
| Liquid yield (wt.%)             | 27.8                        | 8.8    | 13.5   | 7.1    | 9.9    | 10.3   |
| Mass balance (%)                | 80.51                       | 99.18  | 101.41 | 96.14  | 98.87  | 95.74  |
| <i>Gas composition (vol. %)</i> |                             |        |        |        |        |        |
| H <sub>2</sub>                  | 24.74                       | 73.93  | 73.59  | 64.81  | 63.84  | 69.98  |
| CO                              | 2.98                        | 3.90   | 3.74   | 4.19   | 3.76   | 4.37   |
| CH <sub>4</sub>                 | 49.36                       | 16.77  | 15.12  | 26.40  | 27.16  | 19.15  |
| CO <sub>2</sub>                 | 0.67                        | 0.62   | 0.64   | 0.77   | 0.65   | 0.76   |
| C <sub>2</sub> H <sub>4</sub>   | 19.81                       | 3.43   | 4.88   | 3.12   | 3.60   | 4.15   |
| C <sub>2</sub> H <sub>6</sub>   | 2.24                        | 1.30   | 1.97   | 0.63   | 0.92   | 1.56   |
| C <sub>2</sub> H <sub>2</sub>   | 0.20                        | 0.04   | 0.05   | 0.08   | 0.07   | 0.04   |

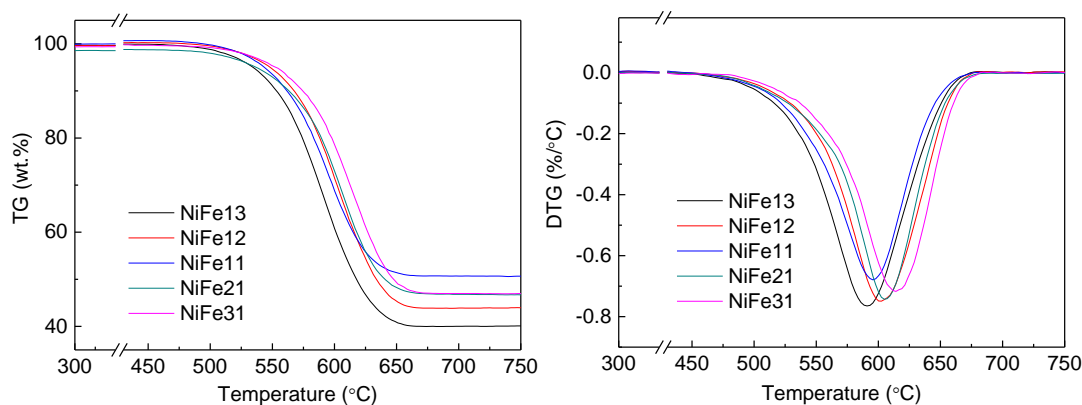
336 1:  $T_1=500\text{ }^\circ\text{C}$ ,  $T_2=800\text{ }^\circ\text{C}$ , 0.5g silica sand.

337

### 338 3.3 Carbon nanotubes production

339 The oxidation properties of carbon nanomaterial obtained from the surface of  
340 used catalyst samples were studied by temperature-programmed oxidation (TPO) as  
341 shown in Fig. 5. According to the loss weight ratio of catalysts after oxidation, the  
342 metal residues increased from 40 wt.% to 52 wt.% when Ni to Fe ratio increased from  
343 1:3 to 3:1, suggesting a lower amount of metal-assisted carbon growth with more Ni  
344 loading. It is consistent with the previous carbon yield results (Table 2) that NiFe13  
345 catalysts produced the higher carbon deposits. The derivative TPO plots show that  
346 most of the carbons were oxidized after  $550\text{ }^\circ\text{C}$ . Acomb et al [39] defined two types of  
347 carbon with oxidation temperature in his work, where a lower temperature of TPO  
348 peak from  $350\text{ to }450\text{ }^\circ\text{C}$  was related to amorphous carbons and a higher temperature  
349 between  $500\text{ and }700\text{ }^\circ\text{C}$  was associated to the filamentous carbons. Yang et al. [22]  
350 assigned the weight loss at  $500\text{ }^\circ\text{C}$  to the amorphous carbon, and the oxidation at  $600\text{ to }700$   
351  $^\circ\text{C}$  to the multi-walled CNTs. As such, most of carbons formed in this study were  
352 filamentous carbons. The carbon deposited on catalyst became less reactive when Ni  
353 to Fe molar ratio increased, as the oxidation peak from DTG plots moved to higher  
354 temperature with Ni content increased. It indicates that Ni composition in the catalyst  
355 enhanced the thermal stability and graphitization of formed carbons. Sivakumar et al.  
356 [40] synthesized Ni and Fe catalysts on active carbon for multi-walled carbon  
357 nanotubes production from methane, and reported that CNTs formed on Ni exhibited  
358 higher thermal stability than Fe.

359



360

361

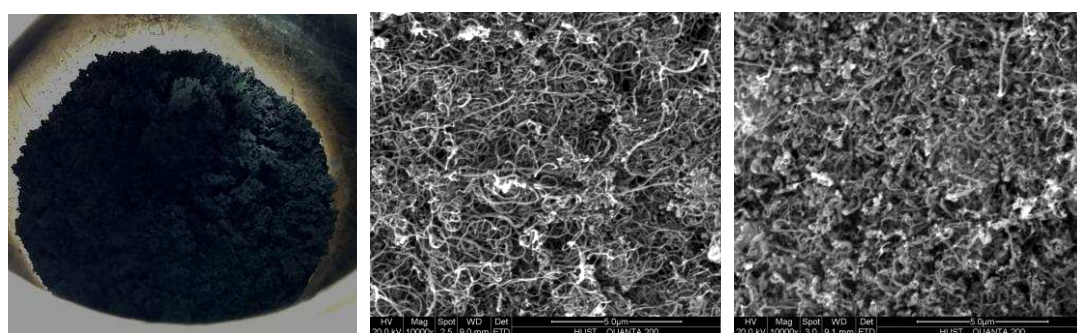
**Fig. 5.** Temperature program oxidation (TPO) of reacted catalysts.

362

363 The carbon residues obtained exhibited very fluffy solid particles (Fig. 6(a)),  
364 accumulating a layer with thickness in the range of 10 to 15 mm (the catalyst layer  
365 before reaction was 4mm). Fig. 6 also shows the SEM morphology of the synthesized  
366 nanomaterials produced with five different catalysts. The filamentous type of carbon  
367 could be clearly observed, and only a little proportion of disordered or amorphous  
368 carbon were found, which was also suggested by TPO results.

369 The TEM images shown in Fig. 7 further confirmed that the carbon  
370 nanomaterials winded on the surface of catalysts were carbon nanotubes with a  
371 tubular-like form. These tubes have outer diameters ranging from 10 to 40 nm with  
372 wall thicknesses from 3 to 10 nm. And the length can be up to a few micrometres (at  
373 lower magnifications). Encapsulated catalyst nanoparticles can be seen in the middle  
374 or at the top of the tubes, while the tube wall extended and coated on the surface of  
375 the catalyst particle, forming a closed shell. Two different growth mode of carbon  
376 nanotubes have been mentioned according to the metal position [41]. It appears the tip

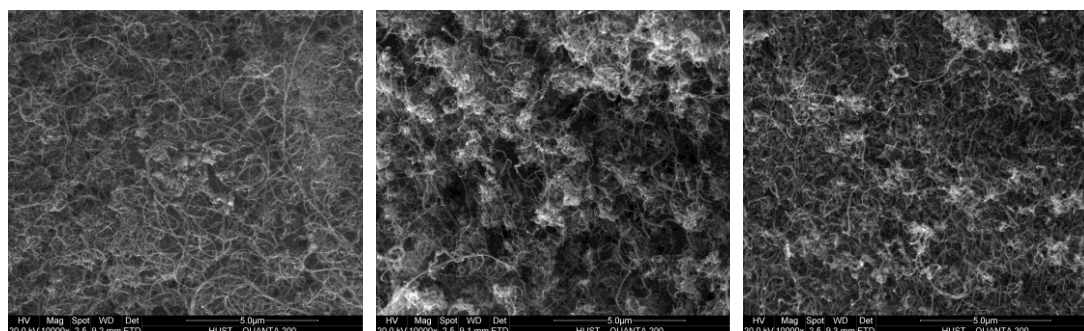
377 growth mechanism of CNTs formation was deduced during the catalysis-pyrolysis of  
378 plastic wastes in this work. Besides, it can be seen that the diameters of carbon  
379 nanotubes are approximately equal to the size of catalyst particles encapsulated in it.  
380 It is indicated that the morphology of CNTs is related to the particle size of the  
381 catalyst used [42]. And a strong metal-support interaction always lead to well  
382 dispersed small catalyst particles [43]. From both SEM and TEM images, the CNTs  
383 formed on NiFe21 and NiFe31 were found much thinner than those on NiFe13 and  
384 NiFe12, simultaneously the stronger interaction between metal oxides and support  
385 was observed at higher Ni to Fe ratio based TPR results. Similar results were also  
386 found that, a narrower diameter of filamentous carbon was inclined to be observed  
387 with a stronger metal-support interaction of catalyst [44]. In addition, more  
388 homogeneous and longer nanotubes were seen in the NiFe31 catalyzed specimen, as  
389 shown in Fig. 7(e).



390  
391 (a)

(b)

(c)



392

393

(d)

(e)

(f)

394

**Fig. 6.** Carbon residues after reaction (observation with naked eye) (a) and SEM analysis of

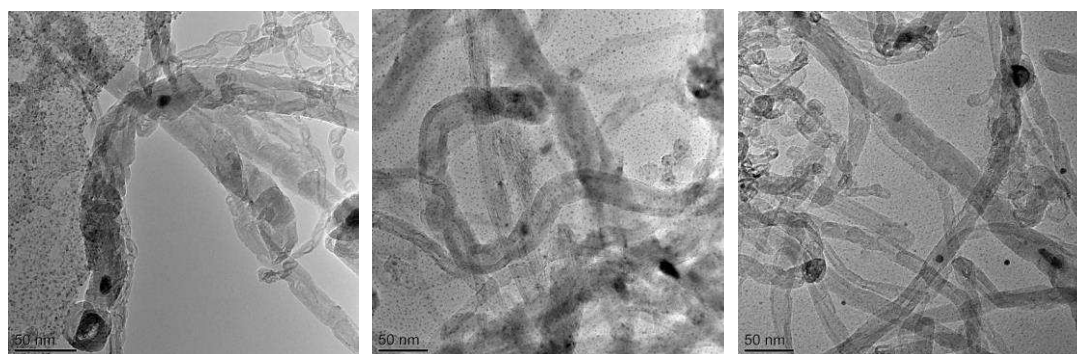
395

reacted catalysts with different Ni to Fe mole ratio (b) NiFe13, (c) NiFe12, (d) NiFe11, (e)

396

NiFe21, (f) NiFe31.

397



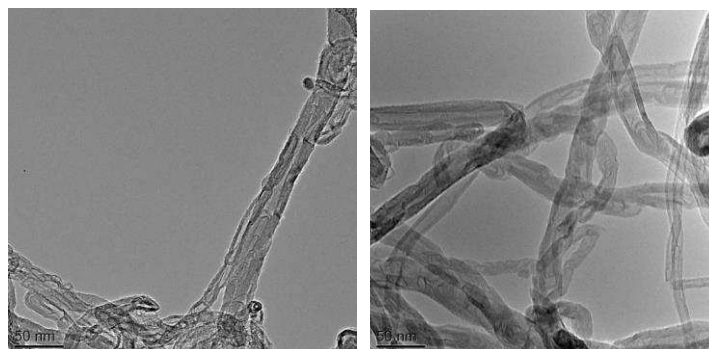
398

(a)

(b)

(c)

399



400

(d)

(e)

401

402

**Fig. 7.** TEM analysis of reacted catalysts with different Ni to Fe mole ratio. (a) NiFe13, (b)

403

NiFe12, (c) NiFe11, (d) NiFe21, (e) NiFe31.

404

405

Raman analysis (Fig. 8) was performed to evaluate the structure, crystallinity and

406

graphitization degree of carbons formed over Ni-Fe catalysts during the

407

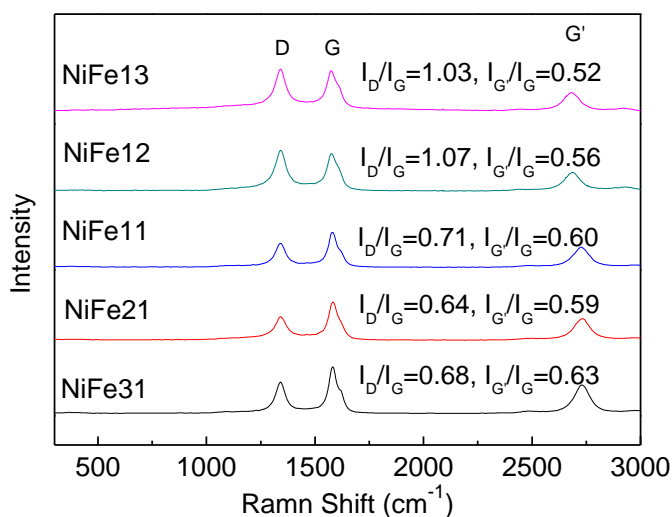
pyrolysis-catalytic process. The D band around wavelength of  $1350\text{ cm}^{-1}$  is ascribed to

408 amorphous or disordered carbon, while the G band at around  $1580\text{ cm}^{-1}$  is caused by  
409 tangential vibration of the ordered graphite carbon atoms [45]. The peak intensity  
410 ratio of  $I_D/I_G$  and  $I_{G'}/I_G$  are used to estimate the defects and graphitization degree of  
411 carbon deposits. It can be seen that all of the current catalysts have the  $I_D/I_G$  ratio  
412 between 0.64 and 1.03, and the  $I_{G'}/I_G$  ratio from 0.52 to 0.63, comparably with the  
413 CNTs in commercial application or with other literatures [46]. In addition,  $I_D/I_G$  ratio  
414 decreased and  $I_{G'}/I_G$  increased at higher ratios of the Ni to Fe catalysts. It appears  
415 NiFe21 and NiFe31 have fewer defects than other three catalysts, similar to results  
416 observed by TEM. As thermal stability also increased with the increasing ratio of Ni  
417 to Fe (from TPO analysis), indicating the thermal stability of carbon is related to the  
418 carbon defects (from Raman analysis). Tian et al. [47] also ascribed the good  
419 performance of CNTs in heat treatment to the clean and smooth wall surfaces. As for  
420 the Ni-Fe catalyst investigated in this work, it can be seen that Ni improves the purity  
421 and graphitization degree of carbon nonmaterial.

422 In order to make a comparison between our results and those of literatures, yields  
423 of hydrogen and carbon nanotubes using different waste plastics and Ni-Fe based  
424 catalysts are gathered in Table 2. Details of morphology and quality of CNTs are also  
425 present. It can be seen, compared with other catalysts with similar operation  
426 conditions (catalysis temperature: 750 to 800, no steam feeding, fixed bed reactor),  
427 bimetallic Ni-Fe catalysts exhibited better performance for simultaneous  $H_2$  and CNTs  
428 production from waste polymers. It may be because C-C bond cleavage and cracking  
429 activity of catalyst were enhanced by using bimetallic catalysts and therefore

430 increasing H<sub>2</sub> and carbon yields [27]. The relatively lower I<sub>D</sub>/I<sub>G</sub> also implies better  
 431 morphology and purity of carbons obtained over Ni-Fe catalyst.

432



433

434 **Fig. 8.** Raman analysis of the five reacted Ni-Fe catalysts.

435

436 **Table 2**

437 Comparison of H<sub>2</sub>, CNTs yield and Raman indicators between our results and those reported in  
 438 literatures.

| Material          | Catalyst                              | H <sub>2</sub> yield<br>(g/100g sample) | H <sub>2</sub><br>content<br>(Vol. %) | Carbon<br>(g/100g sample) | I <sub>D</sub> /I <sub>G</sub> | Source    |
|-------------------|---------------------------------------|---|---------------------------------------|---------------------------|--------------------------------|-----------|
| Mixed plastics    | NiFe31/Al <sub>2</sub> O <sub>3</sub> | 7.24                                    | 69.98                                 | 46                        | 0.68                           | this work |
| LDPE              | Ni/ Al <sub>2</sub> O <sub>3</sub>    | 3.30                                    | 58.30                                 | 52                        | 0.59                           | [48]      |
| PS                | Ni/ Al <sub>2</sub> O <sub>3</sub>    | 2.70                                    | 77.20                                 | 25                        | 0.93                           | [48]      |
| PP and PE mixture | H-Ni/ Al <sub>2</sub> O <sub>3</sub>  | 5.72                                    | 36.13                                 | 31                        | —                              | [22]      |
| LDPE              | Fe/ Al <sub>2</sub> O <sub>3</sub>    | 3.90                                    | 51.00                                 | 27                        | 0.51                           | [39]      |
| Waste tires       | Fe/ Al <sub>2</sub> O <sub>3</sub>    | 1.50                                    | 33.12                                 | 38                        | 0.89                           | [21]      |
| Mixed plastics    | Ni-Mn-Al                              | 12.2                                    | 75.6                                  | 46                        | 0.9                            | [10]      |

439

#### 440 4. Conclusions

441 H<sub>2</sub>-rich syngas and high yield of carbon nanotubes were produced with bimetallic  
 442 Ni-Fe catalysts from real-world waste plastics. The effect of different Ni to Fe molar



443 ratios during catalyst preparation on the gas product and properties of carbon deposits  
444 was studied. The maximum H<sub>2</sub> yield of 8.47 g g<sup>-1</sup> plastic and H<sub>2</sub> content of 73.93  
445 vol.% were obtained with the NiFe13 catalyst having the highest fraction of Fe. TGA,  
446 TEM and Raman analysis have revealed that highly graphitized carbon nanotubes  
447 were obtained over all Ni-Fe catalysts. The yield of deposited carbon was related to  
448 the metal-support interaction, and higher yield of carbon was obtained for the  
449 catalysts with higher Fe loading. However carbon nanotubes with narrower diameters  
450 and uniform distributions were grown with higher Ni ratio. The presence of Ni  
451 enhanced the thermal stability of the produced carbon products with less carbon  
452 defects and higher graphitization degree of carbon, and a higher thermal stability of  
453 filamentous carbon over the NiFe31 catalyst was obtained. There is potential  
454 flexibility of the bimetallic catalyst for this process, where by adjusting the molar  
455 ratio of Ni to Fe the final products can be turned for the production of hydrogen or  
456 carbon nanotubes with higher purity.

457

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