**Do healthcare professionals have different views about healthcare rationing than college students? A mixed methods study in Portugal**

**Abstract**

**Objectives:** To investigate the views of healthcare professionals in Portugal about healthcare rationing, and compare them with the views of college students. **Methods:** A self-administered questionnaire was used to collect data from a sample of 60 healthcare professionals and 180 college students. Respondents faced a hypothetical rationing dilemma where they had to order four patients (differentiated by personal characteristics and health conditions) and justify their choices. Multinomial logistic regressions were used to test for differences in orderings, and content analysis to categorize the written justifications. **Results:** Both groups appeared to support three main rationing principles: (i) health maximisation, (ii) priority to the severely ill, and (iii) priority to the young. However, professionals seemed to give less weight to the latter principle. **Conclusion:** professionals have similar views to students about healthcare rationing, though may be slightly less inclined to give priority to the young.

**Keywords:** HealthCare Rationing; Patient Selection; Social Values; Ethics; Attitudes of Health Personnel; Portugal.

**I. Introduction**

Health care policymakers and managers routinely set priorities for the allocation of scarce resources. Health professionals also sometimes find themselves at the cusp of this wider priority setting process, faced with “bedside” healthcare rationing dilemmas about which patient to treat when not all can be treated. In recent years, these bedside rationing dilemmas have become ever more acute and visible, even in high income countries with strong health systems. This is due to a combination of three global trends: (1) the growing economic gap between what healthcare technology can do and what healthcare payers can afford; (2) growing social pressures for transparency and accountability in medicine and (3) demographic changes, with a shift to an older and chronically ill population (Donaldson, 2001; Owen-Smith et. al., 2009; Clark and Weale, 2012; Strech and Danis, 2014).

In response, there is a growing international literature on appropriate ethical principles to take bedside healthcare rationing decisions (Broome, 1984; Butler, 1999; Williams and Cookson, 2000; Powers and Faden, 2008; Persad et al., 2009; White et al., 2009; Scheunemann et al., 2011; Beauchamp and Childress, 2012; Clark and Weale, 2012; Oswald, 2015). In addition, there is a growing empirical literature on the views of different stakeholder groups in different countries. Studies have been performed on the views of physicians (Hurst et. al., 2006, 2015; Lauridsen et al., 2008; Strech et. al. 2009; Bahus et al., 2012; Bahus and Førde, 2016), healthcare policymakers and managers (Ryynänen et. al., 1999; Rosén and Karlberg, 2002; Oddsson, 2003) and the general public (Charny, 1989; Nord et al, 1995; Cookson and Dolan, 1999; Kasemsup et. al., 2008; Gagnon et. al., 2011; Kaplan and Baron-Epel, 2013; Diederich et al., 2011; Diederich and Salzmann, 2015; Exel et. al., 2015; Gu et al., 2015; Rogge and Kittel, 2016). The results seem to indicate that all of these different stakeholder groups favour multiple ethical principles. However, there is little literature comparing and contrasting the views of healthcare professionals with the views of people without specialist expertise in healthcare. This is important because, at the micro level, healthcare professionals are those who ultimately decide which patients should have priority. If healthcare professionals hold systematically different ethical views about healthcare rationing than other stakeholder groups, then this would be cause for concern. If healthcare professionals base their decisions on criteria that are not shared by the citizens they serve, it may undermine popular trust in them and in the functioning of health care systems. This is also a matter of procedural justice, in facilitating transparency and helping to ensure that open and fair decisions making processes are followed (Daniels and Sabin, 1997). To facilitate transparent and fair decision making processes it is important to know if differences exist between who decides and who are affected by those decisions so that processes can be redesigned to ensure that one set of views does not dominate. Numerous studies have shown that individuals are more willing to accept collective decisions against their interest if they result from a fair process and if they have a voice (Daniels and Sabin, 1997; Anand, 2001; Tsuchiya et al, 2005).

There is some evidence suggesting that the views of doctors and the public may differ (Neuberger et. al., 1998; Lees et. al., 2002; Rosén and Karlberg, 2002; Tsuchiya and Dolan, 2007; Arvidsson et al., 2012; Winkelhage et. al., 2013). However, most of these studies elicit preferences at the “macro” level of priority setting rather than the “bedside” level, and those addressing bedside rationing do not analyse, simultaneously, the various and potential conflicting principles of distributing healthcare.

The study reported in this paper, looks at general principles applicable to a broad range of bedside healthcare rationing decisions. In a context of day-to-day health care priority setting decisions taken by physicians, we aim to investigate the ethical principles supported by Portuguese healthcare professionals, and to compare these with those of college students without expertise in healthcare and/or clinical experience.

Our study is also the first time that views about healthcare rationing in Portuguese society have been assessed in a systematic way. The idea of explicit criteria for rationing came to the attention of the Portuguese public only recently, due to a report on healthcare financing drawn up by the National Council of Ethics for the Life Sciences (CNECV, 2012). As healthcare rationing becomes an emerging policy concern in Portugal, it is important to investigate the views held by National Health Service (NHS) staff involved in service delivery. In our study, we compare their views directly to college students in Portugal.

**II. Methods**

This study uses a similar design to a previous mixed methods study in England based on a hypothetical rationing scenario, involving a choice between four patients with different personal and health characteristics (Cookson and Dolan, 1999). Our study has two main objectives: (1) to compare the ordering of the four patients made by two distinct groups of Portuguese society, college students and NHS health professionals and, (2) to identify the reasons associated with each ordering of patients and classify them according to the principles of distributing healthcare most discussed in literature.

Questionnaire

Combinations of quantitative and qualitative data were used to explore the appropriate ethical principles for setting priorities between patients. Data were collected through a self-reported questionnaire. The questionnaire contains questions about the respondents’ socio demographic background and a hypothetical rationing exercise developed elsewhere (Cookson and Dolan, 1999). The health care rationing scenario, reproduced in Table 1, presented four patients differentiated by personal characteristics and health conditions. The description of each one was not accompanied by a photo unlike the original study (Cookson and Dolan, 1999). We did not include photographs since they might introduce biases of various kinds, as suggested by some psychologists and sociologists (Lloyd, 2003). The exercise consisted in establishing an ordering of four patients when financial constraints precluded the treatment of them all. Additionally, the questionnaire contained free text boxes in which respondents were invited to write down the reasons that led to this ranking. The information about each patient was brief and presented dramatically, to throw the ethical dilemmas into sharp relief and help focus respondents on general principles. Respondents were informed of the hypothetical nature of this exercise. Our design differed from the UK design (Cookson and Dolan, 1999) in various ways, including (1) use of self-reported questionnaires rather than focus groups, (2) differences in the medical scenarios, including the medical conditions as well as the names, (3) removing the photos, and (4) different sample selection in focusing on health professionals and students rather than members of the public.

*Table 1 here*

Samples

The questionnaire was administered during 2015 to a sample of 180 college students and 60 NHS health professionals. The group of health professionals consisted of physicians (36%), nurses (32%), pharmacists (20%) and health technicians (12%). The student survey was collected in the classroom and filled out individually without the right to discussion. Health professionals completed the questionnaire during their workday. The participation of all respondents was voluntary and time was granted them to formulate reflective opinions as suggested by Dolan et al. (1999).

The majority of respondents (55.8%) were female with ages ranging between 20 and 54 (students ages ranged between 18 and 44 and health professionals ages ranged between 25 and 54). Most respondents (72.5%) were single, and 57.5% had a net monthly income that exceeds 1.500EUR. Regarding the perception of their health status, almost all respondents (89.6%) considered themselves to have good or very good health. A detailed description of the samples can be found in appendix Table A1. On average, the sample was younger and had higher income than the Portuguese population.

Quantitative Analysis

Descriptive statistics and ordered logistic regressions were performed using SPSS (version 23) and STATA (version 14). We used non-parametric Mann-Whitney tests as a simple initial comparison between the patients orderings made by college students and health professionals, to check whether observed differences could simply have been due to random sampling error or response error. Multinomial logistic regression models based on the pooled sample were then used to: (1) control for respondent socio demographics’ characteristics and (2) explore associations between the distributive principles mentioned by the respondent and the choices of the patient to treat in first place.

Qualitative Analysis – Rationing principles

Taking the whole sample as our unit of analysis, we undertook a content analysis of the reasons stated by respondents (Bardin, 2013). The stated reasons given were interpreted using the classification of the ethical principles that should guide healthcare allocation. Many principles could form the basis of rationing decisions in healthcare, each of which represents a different interpretation of distributive justice. The following have been proposed as valid material of principles of distributing healthcare (Brock, 2002; Persad et al., 2009; Scheunemann et al., 2011; Beauchamp and Childress, 2012): (1) fair chances (including lottery and first-come first served principles), (2) health maximisation; (3) priority to the worse-off (including both current illness severity and lifetime health shortfall); and; (4) desert-based distribution. The relevant considerations were identified and grouped into categories and subcategories. The approach consisted in coding words groups using a classification scheme constructed through an iterative process. The interactive process of categorization ranked the reasons given by respondents into five general categories corresponding to the principles of rationing identified in the literature (and described below). The reasons referred to by the respondents were thus encoded as "Principles" (main category) and "Factors" (subcategory). The principles correspond to the general criteria for the distribution of health care, and the factors depict specific subcategories relating to different aspects or variants of each principle. All the questionnaires were analyzed by two of the authors of the study (first two authors). Discrepancies were resolved by discussion and agreement between these two authors.

A brief description of each rationing principle is given below. First, fair chances. According to the “fair chances” argument, every person should have a fair chance of treatment (Brock, 2002). The moral status supports an equal claim to scarce resources (Ramsey, 2002). Two straightforward strategies to treat people equally are lottery and first come, first saved. Patient selection through randomisation may be considered the only fair way to choose especially when explicitly deciding who to treat may be considered unethical or invidious (Coast, 2000). Second, health maximisation. According to the health maximization principle, health care should be distributed in such a way as to increase the sum total health of the whole community (Culyer, 1997). Health maximization is a quasi-utilitarian criterion, and fits with the consequentialist logic of the classical model of rational choice that underpins standard economic theory. The maximization principle can, however, take account of indirect consequences such as the cost of long term care, both financial and in terms of burden to others, either physically or emotionally. Third, priority to the worse-off. This is what philosophers call a “prioritarian” conception of justice (Parfit, 1997). In general terms it attempts to give weighted priority to those who are considered worse-off in situations in which all cannot receive a particular resource (Persad et al., 2009). There are two main ways of defining “worse-off” in a health context. First, by focusing on current severity of illness. The ”rule of rescue” argument is a variant of this criterion with a focus on the psychological impulse to attempt to save those facing immediate death, no matter how expensive (Jonsen, 1986). Second, byfocusing on the worse-off in terms of overall length and quality of life over the whole life course. In general, one implication of this principle is to give priority to younger patients. In a health care context, this “fair-innings argument” implies that younger people ought to be prioritized insofar as they would otherwise not be expected to enjoy a long and healthy life (Williams, 1997). Fourth, desert-based distribution. The desert-based principle supports the idea that patients should get what they deserve and, conversely, should not get what they do not deserve. In a healthcare context, one common desert issue is the lifestyle choices of people whose ill-health is related to those choices (Cappelen and Norheim, 2005). These idea rests in the principle of equality of substantive opportunity for health (LeGrand, 1991), according to which inequality in achieving health outcomes may be acceptable if it arises from unhealthy lifestyle choices for which individuals ought to be held responsible. An implication is that patients who are deemed partly responsible for their own illness should receive lower priority for treatment. Following Cookson and Dolan (1999) we refer to this idea as “choicism”.

**III. Results**

Quantitative results I – the overall rank orderings

Table 2 presents the mean and median of the ranking exercise carried out by students and health professionals. From the full sample of 240 individuals, only four respondents [three students (1,7%) and one health professional (1,7%)] refused to order patients – all the rest gave priority to at least one patient. Pedro (the 8-year old boy with cancer) was the top or joint-top priority, followed by Rosalina (the 65-year old lady needing a hip replacement) and then João (the 18-year old man needing facial surgery), with Maria (the 45-year old lady needing HIV treatment) receiving lowest priority on average. A detailed ranking for all patients can be found in Table A2 in appendix.

*Table 2 here*

Although Pedro emerged as the top priority on average for both groups of respondents (73,4% of students and 50,8% of health professionals), healthcare professionals were significantly less likely than students to give top priority to Pedro (p=0.005; Mann-Whitney test – see appendix Table A2). Furthermore, more healthcare professionals than students placed the young adult (João) in the first priority (difference of 14,1 percentage points) followed by Maria (difference of 6,2 percentage points) and then Rosalina (difference of 2,3 percentage points).

Qualitative results – the stated reasons

Table 3 summarizes the number and proportion of respondents who mentioned a reason that could be classified according to the rationing principles, by student and health professional groups.

*Table 3 here*

The findings suggest that fair chances principles and “choicism” received little explicit support in the qualitative statements. However, this qualitative finding is somewhat at odds with the fact that both student and professional groups gave lowest priority to Maria, the patients with a history of drug consumption. Age, severity of the condition and treatments outcomes were the most frequently mentioned principles by respondents. Support for the health maximization principle was revealed by concerns related to gains in length and quality of life and the avoidance of deterioration in health requiring future treatment. For example, respondents said that, “João and Rosalina are the ones who ensure higher recovery or who will gain greater quality of life”; “João may benefit longer from treatments”; “Rosalina should be prioritized in order to reduce the burden of long term care”. The support for clinical need included comments such as: “Pedro and Rosalina are those with greater urgency”; “Pedro should be treated in the first place because otherwise he dies”.

Health professionals were slightly more likely than students to mention the principle of health maximization reflected particularly by arguments in favour of gains in years or quality of life (5% versus 1%, respectively) and treatments outcomes (13% versus 9%, respectively). Health professionals state, for example, that “Treatments directed to Maria or João have a high change of success” and/or “Pedro has a small expected benefit”. Similarly, the principle of priority to the severely ill and more specifically the “rule of rescue” was much more frequently mentioned by health professionals than students (12% versus 3%, respectively). One health professional stated, for example, that “Maria faces the next, after Pedro, most immediate threat to life” In line with the greatest happiness for the greatest number rooted on the maximization principle, indirect consequences were also mentioned with similar frequency by both groups, including comments relating to the risk of becoming dependent on others (both Pedro and Rosalina) and the emotional impact on family members (in relation to Pedro). Comments here, for example, included “Pedro and Rosalina will become dependent soon”; “Pedro because no father/mother deserves the pain of losing a child”.

Health professionals revealed less tendency to mention principles relating to age (only 20% of health professionals mentioned a principle of this kind, against 45.6% of students) confirming the finding of Table 2. For example, some physician wrote “age is not a value in itself”. Whereas many students appeared to defend the reduction of lifetime inequalities, with comments such as: “Pedro is a child with a whole life ahead, he deserves a chance to live”.

Quantitative results II – logistic regression to explore the determinants of rankings

Multinomial logistic regressions were applied to test for differences in the top priority assigned to patients. We controlled for (1) respondents’ socio demographic characteristics and (2) the stated relevance of each ethical principles. The regressions were run for all the patients. Tables 4 and 5 present the coefficients and the marginal effects for each regression.

Table 4 indicates that, of all the respondents’ socio demographic characteristics, only occupation proved to be significant in explaining the first priority assigned to all the patients. It also shows that controlling for socio demographic variables does not alter the basic conclusion from our initial analysis – i.e. students were more likely than health professionals to place Pedro as first choice. Being healthcare professional decreases by 24.9 percentage points (p.p.) the probability of choosing Pedro (the youngest) to be treated first and increases by 13.4 p.p. the probability of choosing João, 1.7 p.p. Maria and 9.97 p.p Rosalina.

*Table 4 here*

**Table 4. Multinomial Logistic Estimates: associations between respondents’ demographic, socio economic and health characteristics and the top priority assigned to patients**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Variables** | **Coef.**  **(std. err.)** | **Marginal effects João**  **(std. err.)** | **Coef.**  **(std. err.)** | **Marginal**  **effects Maria**  **(std. err.)** | | **Coef.**  **(std. err.)** | **Marginal effects Rosalina**  **(std. err.)** | **Marginal effects Pedro**  **(std. err.)** |
| Health Professionals | 1,220\*\* | 0,134\*\* | 0,975\*\* | 0,017\*\* | | 1,272\*\* | 0,097\* | -0,249\*\* |
|  | (0,496) | (0,064) | (0,544) | (0,029) | | (0,608) | (0,057) | (0,064) |
| Age | 0,004 |  | 0,044 |  | | -0,014 |  |  |
|  | (0,024) |  | (0,039) |  | | (0,033) |  |  |
| **Gender** |  |  |  |  | |  |  |  |
| Female | -0,080 |  | 0,129 |  | | -0,493 |  |  |
|  | (0,375) |  | (0,772) |  | | (0,457) |  |  |
| **Marital Status** |  |  |  |  | |  |  |  |
| Single | -0,320 |  | -0,391 |  | | -0,952 |  |  |
|  | (0,535) |  | (0,932) |  | | (0,746) |  |  |
| **Net monthly income** |  |  |  |  | |  |  |  |
| Lower than 1501 € | -0,55 |  | -0,352 |  | | -0,41 |  |  |
|  | (0,402) |  | (0,8137) |  | | (0,462) |  |  |
| **Self Rated Health** |  |  |  |  | |  |  |  |
| Good or Very Good | -0,064 |  | -0,672 |  | | 1,085 |  |  |
|  | (0,585) |  | (0,925) | |  | (1,085) |  |  |
| Constant | -1,379 |  | -4,016 | |  | -1,703 |  |  |
|  | (1,050) |  | (1,937) | |  | (1,556) |  |  |
| Number of observations 235 | | |  | |  |  |  |  |
| LR chi2(18) 21,14 | | |  | |  |  |  |  |
| Prob> chi2 0,000 | | |  | |  |  |  |  |
| McFadden'sAdj R2 0,080 | | |  | |  |  |  |  |

Notes: Significant at \* p < 0.05 level; \*\* p < 0.01 level. The multinomial logistic regression estimates the probability of choosing all the patient to be attended in the first place given that all predictors: Health Professionals (Dummy, 1 = Health professionals, 0 = college students), Age (continuous variable), Gender (Dummy, 1 = Female), Marital Status (Dummy, 1 = single, 0 = otherwise), Net monthly income (Dummy, 1 = lower than1501€, 0 = otherwise) and Self Rated Health (Dummy, 1 good and very good, 0 = otherwise) are set to their mean values. Patient Pedro is the reference case.

The second regression evaluates which principles are more important in explaining the choice of the top priority assigned to patients. Table 5 reveals that the health maximization principle is statistically significant for all regressions. The clinical need principle is only statistically significant in choosing Rosalina to be attended first and the “fair-innings” principle is statistically significant in choosing Rosalina and Pedro to be the first patients to attend. The health maximization principle increases the probability of selecting any patient to be the first to be attended (João by 5.5p.p.; Maria by 0,8p.p. and Rosalina by 1.8p.p.) except for Pedro (decreases by 6.4%). The clinical need principle increase the probability of selecting Rosalina to be attended first by 8.2 p.p. The fair-innings principle decreases the probability of choosing Rosalina by 10.9p.p. and increases the probability of selecting Pedro by 19.1p.p.).

*Table 5 here*

**Table 5. Multinomial Logistic Estimates: associations between ethical principles mentioned**

**and top priority assigned to patients**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Variables** | **Coef.**  **(std. err.)** | | | **Marginal effects João**  **(std. err.)** | | **Coef.**  **(std. err.)** | | **Marginal effects Maria**  **(std. err.)** | | **Coef.**  **(std. err.)** | | **Marginal effects Rosalina**  **(std. err.)** | | **Marginal effects Pedro**  **(std. err.)** | |
| **P1. Fair Chances** | 0,842 | | |  | | 0,016 | |  | | 0,565 | |  | |  | |
|  | (0,496) | | |  | | (0,642) | |  | | (0,671) | |  | |  | |
| **P2. Health Maximization** | 0,509\*\*\* | | | 0,055\*\*\* | | 0,162\*\* | | 0,008\*\* | | 0,328\*\* | | 0,018\*\* | | -0,064\*\*\* | |
|  | (0,107) | | | (0,009) | | (0,386) | | (0,012) | | (0,149) | | (0,010) | | (0,017) | |
| **P3.1. Clinical Need** | -0,450 | | |  | | -0,496 | |  | | 0,599\*\*\* | | 0,082\*\*\* | |  | |
|  | (0,277) | | |  | | (0,426) | |  | | (0,161) | | (0,620) | |  | |
| **P3.2. Fair-Innings** | -0,849 | | |  | | -0,587 | |  | | -0,548\*\*\* | | -0,109\*\*\* | | 0,191\*\*\* | |
|  | (0,423) | | |  | | (0,755) | |  | | (0,655) | | (0,052) | | (0,061) | |
| **P4 Choicism** | -0,601 | | |  | | 0,573 | |  | | -0,630 | |  | |  | |
|  | (0,327) | | |  | | (0,042) | |  | | (0,549) | |  | |  | |
| **Constant** | -1,434\*\*\* | | |  | | -2,5388\*\*\* | |  | | -2,197\*\*\* | |  | |  | |
|  | (0,285) | | |  | | (0,482) | |  | | (0,370) | |  | |  | |
| Number of observations 235 |  | | |  | |  | |  | |  | |  | |  | |
| LR chi2(15) 11,08 |  | | |  | |  | |  | |  | |  | |  | |
| Prob> chi2 0,0000 |  | | |  | |  | |  | |  | |  | |  | |
| McFadden'sAdj R2 0,067 | |  |  | |  | |  | |  | |  | |  | |  |

Notes: Significant at \* *p <* 0.05 level; \*\* *p <* 0.01; \*\*\* *p <* 0.001 level. The multinomial logistic regression estimates the probability of choosing all the patients to be attended in first place given that all predictors (P1. Fair Chances, P2.Health Maximization, P3.1. Clinical Need, P3.2.Fair-Innings and P4.Choicism), are set to their mean values. Patient Pedro is the reference case.

**IV. Discussion**

This study compares the views of healthcare professionals about bedside rationing with those of college students without professional expertise or experience working in the healthcare field. The results indicate that Portuguese healthcare professionals have similar views to Portuguese students. Both groups gave the same average ranking of four patients, and in their open ended responses they seemed to endorse three main principles of justice: (1) health maximization; (2) priority to the severely ill, and (3) priority to the young. However, healthcare professionals seemed to give slightly less weight to priority to the young. For example, only 50,8% placed the 8-year old child as first priority in this exercise, contrasting with 73,4% of students. Healthcare professionals were less likely to mention principles relating to age, and tended to give higher priority according to the capacity to benefit from treatments. This seems to explain the higher priority given to João, Maria and Rosalina when compared to Pedro. One possible explanation for why healthcare professionals may have given less priority to the 8 years old boy in the exercise is that they may have adopted less optimistic clinical assumptions about the effectiveness of the treatment for the boy’s cancer. That would not be a difference in ethical views, just a difference in factual views about clinical prognosis. This finding is supported by evidence suggesting that physician’s give precedence to the patient’s biological rather than chronological age (Werntoft and Edberg, 2009). Furthermore, students did not prioritize the young adult (João) more than health professionals. So it is possible that their concern focused specifically on young children, rather than being a generalized concern with reducing inequalities in people’s lifetime experience of health. Moreover, international findings suggest that the general public are divided on the question of whether age in general versus priority to young children in particular should be a factor in decision making (Diederich et al., 2011 for a review). Robust research evidence explaining why professionals and non-professionals may differ in their preferences concerning healthcare allocation is lacking. However, we can speculate as follows. According to “construal level theory”, health-related attitudes and preferences might change depending on psychological distance from a disease or illness (Trope and Liberman, 2010). The difference in the preferences could then be explained by health professionals having closer contact with both young and elderly patients experiencing pain and suffering due to their illness, while non-professionals have greater psychological distance from situations of illness and hence are more susceptible to be influenced by abstract principles of justice such as the ‘fair innings’ argument. It may also be possible that professional attitudes and preferences are influenced by professional medical training and codes of conduct that emphasise treating all patients on the basis of need (Epstein and Nicholson, 2009), which preferences may reinforced by professional experiences and culture (Levine-Taub et al., 2015).

The lack of association between respondent age and stated choices reported in our findings is noteworthy. As the student sample consists mostly of young people, one might have expected them to identify with João (the young adult). Similarly, older respondents did not show a greater tendency to choose Rosalina (the older patient).

One key strength of our study is its mixed methods approach, which allowed in-depth investigation of the views of Portuguese health professionals and students. A second strength is our use of vignettes covering multiple different disease areas, allowing us to elicit general principles rather than views focusing only on one particular disease area. The results should be interpreted with appropriate caution, however, given the non-random nature of the sample. The findings cannot be generalised to the Portuguese healthcare professionals or the Portuguese general public. In particular, for the students sample a large empirical literature suggests that age and level of education affect people’s attitudes toward distributional preferences (Nord et al., 1995; Mossialos and King, 1999; Ryynänen et al,, 1999; Linos and West, 2003; Jaeger et al., 2006; Winkelhage and Diederich, 2012; Rogge and Kittel, 2016). However, the main aim here was not to achieve an accurate representation of the opinions of the Portuguese people in general, but to set up a comparison whereby the value judgments made by two distinct groups could be juxtaposed. Nevertheless, and while recognising the methodological differences between our study and the one conducted in UK (Cookson and Dolan, 1999), the views expressed by the Portuguese college students in our survey are similar to those expressed by members of the public in England. Thus it seems that Portuguese health professionals may have similar views to Portuguese students and the English public, though may give less priority to younger patients.

Besides this sampling limitation, there are also limitations in using a count of whether or not a participant mentions an issue to indicate whether or not they consider that issue to be important. It is well-acknowledged in the qualitative literature that the number of times a particular phenomenon is mentioned does not necessarily correlate with its social significance (Denzin and Lincoln, 2000). Likewise, the fact that a respondent did not mention a particular priority setting principle in their free text response does not necessarily mean they disagree with it. It is our contention that these drawbacks are overcome by the contribution of this study.

In follow up research, it would be useful more specifically to investigate potential differences in views on age rationing between healthcare professionals and stakeholder groups. It would also be useful to conduct further international comparative research to compare views across a range of different countries using a common study design – either this one or another common format – in order to explore cultural differences and trace patterns of common distributive principles.

Overall, our findings suggest that healthcare professionals do not have ethical views that are grossly discordant with those of the wider general public they serve. However, our study does provide suggestive evidence of a possible tension between the opinions of NHS health professionals and students regarding priority to young children. Differences between the views of public and health professionals raise interesting questions about the role that citizen participation in health decision making should take and how the results of studies such as this should be used. For example, previous evidence (Botelho et. al., 2014) suggest that Portuguese population wish to be consulted in matters of rationing health care but do not want the responsibility of having to make those kinds of decisions i.e. they believe doctors should play the most important role in rationing decisions (Botelho et. al., 2014). Whoever makes the decisions, however, it is important to ensure that those decisions are not grossly discordant with the views of members of the public on whose behalf the decisions are being made. Given the increasing pressure on health care budgets and the need to prioritise within health care systems, it becomes important to have knowledge regarding public and professional views on these topics. Only with such knowledge can decision makers develop explicit health care rationing policies and assure that transparency and accountability in medicine are achieved.

**V. Conclusions**

This study examined whether the value judgments expressed by health professionals concerning bedside rationing decisions are aligned with those of college students. We used an in-depth mixed methods approach, based on a hypothetical healthcare rationing exercise that elicits both quantitative and qualitative responses. We found that healthcare professionals have broadly similar views to students, in that they support three main principles of healthcare rationing: (1) health maximisation, (2) priority to the severely ill, and (3) priority to the young. However, we found some evidence that healthcare professionals may give less priority to younger patients than the students group. This potential tension between professional and non-professional value judgments merits further investigation, to help inform healthcare policy makers in the process of developing guidance for health professionals on appropriate principles for healthcare rationing.

**References**

Anand, P. (2001) ‘Procedural fairness in economic and social choice: evidence from a survey of voters’. *Journal of Economic Psychology*, 22: 247-70.

Arvidsson, E.; André, M.; Borgquist, L.; Andersson, D & Carlsson, P. (2012) ‘Setting priorities in primary health care – on whose conditions? A questionnaire study’ BMC Family Practice, 13: 114

Bahus, M. & Førde, R. (2016). ‘Discussing end-of-life decisions in a clinical ethics committee: An interview study of Norwegian doctors’ experience’. *HEC Forum*. ISSN 0956-2737: 1-12

Bahus, M.; Steen, P. & Førde, R. (2012) ‘Law, ethics and clinical judgment in end-of-life decisions- How do Norwegian doctor’s thing?’*Resuscitation*, 83: 1369-1373.

Bardin, L. (2013). *Análise de conteúdo*. Lisboa: Edições 70.

Beauchamp, T. & Childress, J. (2012). *Principles of biomedical ethics*. New York: Oxford University Press.

Botelho, A.; Pinho, M. & Veiga, P. (2014). ‘Who should participate in health care priority setting and how should priorities be set? Evidence from a Portuguese survey’. *Rev. Portuguesa Saúde Pública*, 31(12), 214-22.

Brock D. (2002) Fairness and health. in: C. Murray ed. *Summary measures of population health: concepts, ethics, measurement and applications*. Geneva (Switzerland): World Health Organization, 717–26

Broome, J. (1984). ‘Selecting people randomly’. *Ethics*. 95, 38-55.

Butler, J. (1999*). The ethics of health care rationing: Principles and practices*. London: Cassell.

Cappelen, A. & Norheim, O. (2005) ‘Responsibility in healthcare: a liberal egalitarian approach’ *Journal of Medical Ethics*, 31(8), 476-80.

Charny, M. (1989) ‘Choosing who should not be treated in NHS’ *Social Science & Medicine*, 28(12), 1331-8.

Clark, S. & Weale, A. (2012). ‘Social values in health priority setting: a conceptual framework’. *Journal of Health Organization and Management*, 26, 293–316.

CNECV - Conselho Nacional Ética para as Ciências da Vida (2012) Parecer sobre um modelo de deliberação para financiamento do custo dos medicamentos. 64/CNECV/2012.Lisboa. 2012. Available at: <http://www.cnecv.pt/admin/files/data/docs/1348745574_Parecer%2064_2012%20CNECV%20Medicamentos%20SNS.pdf>. Acessed 16 December 2015.

Coast, J. (2000). ‘Explicit rationing, deprivation, disutility and denial disutility: evidence from a qualitative study’ in C. Ham& A. Coulter (eds). *The Global Challenge of Health Care Rationing.* Open University Press, Buckingham:192–200.

Cookson, R. & Dolan, P. (1999). ‘Public views on health care rationing: a group discussion study’. *Health Policy*, 49, 63-74.Culyer, A. (1997). ‘The principle objective of the NHS should be to maximize aggregate health’ in B. New (ed.) *Rationing: talk and action in health care*. London: King’s Fund and British Medical Journal Publishing Group: 95-100.

Daniels, N. & Sabin, J. (1997) ‘Limits to health care: fair procedures, democratic deliberation, and the legitimacy problem for insurers’. *Philosophy and Public Affairs*, 26(4), 303-350.

Denzin, N. & Lincoln Y. (2000). *Handbook of qualitative research*. Thousand Oaks (CA): Sage Publications: 1-28.

Diederich, A., Winkelhage, J. & Wirsik, N. (2011) ‘Age as a criterion for setting priorities in health care? A survey of the German public view’. *PlosOne*, 6(8), e23930

Diederich, A. & Salzmann, D. (2015)‘Public preferences regarding therapeutic benefit, costs of a medical treatment and evidence-based medicine as prioritization criteria’. *Jornal of Public Health,* 23(3), 137-48.

Dolan, P.; Cookson, R. & Ferguson, B. (1999). ‘Effect of discussion and deliberation on the public’s views of priority setting in health care: Focus group study’.*BMJ*, 318, 916-19.

Donaldson, L. (2001). ‘Professional accountability in a changing world’. *Postgrad Med J.*, 77, 65-67.

Epstein, A. & Nicholson, S. (2009) ‘The formation and evolution of physician treatment styles: an application to cesarean sections’ *Journal of Health Economics*, 28(6), 1126-1140

Exel, J.; Baker, R.; Mason, H.; Donaldson, C. & Brouwer, W. (2015). ‘Public views on principles for health care priority setting: Findings of a European cross-country study using Q methodology’. *Social Science & Medicine*, 126, 128-137.

Gagnon, M-P.; Desmartis, M.; Lepage-Savary, D.; Gagnon, J.; St-Pierre, M.; Rhainds, M.; Lemieux, R.; Gauvin, F.-P.; Pollender, H. & Légaré, F. (2011). ‘Introducing patients' and the public’s perspectives to health technology assessment: A systematic review of international experiences’. *International Journal of Technology Assessment in Health Care*, 27, 31-42.

Gu, Y.; Lancsar, E.; Ghijben, P.; Butler, J. & Donaldson, C. (2015) ‘Attributes and weights in health care priority setting: A systematic review of what counts and to what extent’. *Social Science & Medicine*, 146, 41-52.

Hurst, S.; Slowther, A-M.; Forde, R.; Pegoraro, R.; Reiter-Theil, S.; Perrier, A.; Garrett-Mayer, E. & Danis, M. (2006). ‘Prevalence and Determinants of Physician Bedside Rationing Data from Europe’. *J Gen Intern Med,* 21(11), 1138–1143.

Hurst, S.; Forde, R.; Slowther, A. & Danis, M. (2015). The interaction of bedside rationing and the fairness of healthcare systems: Physicians views In M. Danis, S. Hurst, L. Fleck, R. Ford & R. Slowther (eds) *Fair Resource Allocation and Rationing at the Bedside*. Oxford. Oxford University Press. Part One. Chapter 2.

Jonsen, A. (1986). ‘Bentham in a box: Technology assessment and health care allocation’. *Law Medicine and Health Care*, 14, 172-174.

Kaplan, G. & Baron-Epel, O. (2013). ‘The public's priorities in health services’. *Health Expect*, 18(5), 904-17.

Kasemsup, V.; Schommer, J.; Cline, R. & Hadsall, R. (2008). ‘Citizen´s preferences regarding principles to guide health care allocation decisions in Thailand’. *Value in Health*, 11(7), 1194-202.

Jaeger, P.; Subramaniam, M.; Jones, C. & Bertot, J. (2006) ‘Diversity and LIS education: Inclusion and the age of information’*. Journal of Education for Library and Information Science*. 52(2), 166-183.

Lauridsen, S.; Norup, M. & Rossel, P. (2008) ‘Bedside rationing by general practitioners: A postal survey in the Danish public healthcare system’. *BMC Health Services Research*, 8, 192-201.

Lees, A.; Scott,N.; Scott,S.; MacDonald, S. &Campbell, C. (2002). ‘Deciding how NHS money is spent: a survey of general public and medical views’. *Health Expectations,* 5, 47–54.

LeGrand, J. (1991). *Equity and Choice. An Essay in Economics and Applied Philosophy*. Routledge. Taylor & Francis Group. London.

Levine-Taub, A.; Kolotilin, A.; Gibbson, R. & Berndt, E. (2015) ‘The diversity of concentrated prescribing behavior: an application to antipsychotics’, *Journal of Health Economics*, 40, 26-39.

Linos, K. & West, M. (2003) ‘Self-interest, social beliefs, and attitudes to redistribution. Re-addressing the issue of cross-national variation’. *European Sociological Review*, 19(4), 393-409.

Lloyd, A. (2003). ‘Threats to the estimation of health benefits: are preferences elicitation methods accurate?’*Health Economics,* 12(5): 393-402.

Mossialos, E. & King, D. (1999) ‘Citizens and rationing: Analysis of a European Survey’. *Health Policy*, 49, 75-135.

Neuberger, J.; Adams, D.; MacMaster, P.; Maidment, A. & Speed, M. (1998). ‘Assessing priorities for allocation of donor liver grafts: survey of public and clinicians’. *BMJ*, 317, 172–175.

Nord, E.; Richardson, J. Street, A.; Kuhse, H. & Singer, P. (1995) ‘Maximizing health benefits vs egalitarianism – An Australian survey of health issues’, *Social Science & Medicine*, 41,1429-1437.

Oddsson, K. (2003). ‘Assessing attitude towards prioritizing in healthcare in Iceland’. *Health Policy*, 66(2), 135-146.

Oswald, M. (2015) ‘In a democracy, what should a healthcare system do? A dilemma for public policymakers’. *Politics, Philosophy & Economics*, 14(1), 23-52.

Owen-Smith, A.; Coast, J. & Donovan, J. (2009). ‘I can see where they’re coming from, but when you’re on the end of it you just want to get the money and the drug: Explaining reactions to explicit healthcare rationing’. *Social Science & Medicine*, 68, 1935-42.

Parfit, D. (1997) ‘Equality and priority’ *Ratio*, 10, 202-21.

Persad, G.; Wertheimer, A. & Emanuel, E. (2009). ‘Principles for allocation of scarce medical interventions’. *Lancet,* 329, 224-7.

PORDATA – Bases de Dados Portugal Contemporâneo (2015), Fundação Francisco Manuel dos Santos. Available at: http:www.pordata.pt. Accessed 10 January 2015.

Powers, M. & Faden, R. (2008). *Social justice: The Moral Foundations of Public Health and Health Policy.* New York: Oxford University Press.

Ramsey, P. (2002) *The patient as person: exploration in medical ethics*. New Haven, CT: Yale University Press.

Rogge, J. & Kittel, B. (2016) ‘Who should not be treated: Public attitudeson setting health care priorities by person based criteria in 28 nations’. *Plos One*,11(6): e0157018.

Rosén, P. & Karlberg, I. (2002). ‘Opinions of Swedish citizens, health-care politicians, administrators and doctors on rationing and health-care financing’. *Health Expectations,* 5,148-155.

Ryynänen, O-P.; Myllykangas, M.; Kinnunen, J. & Ta-Kkala, J. (1999). ‘Attitudes to health care prioritization methods and criteria among nurses, doctors, politicians and the general public’. *Social Science & Medicine*, 49(11), 1529-1539.

Scheunemann, L.; Douglas, B. & White, M. (2011) ‘The ethics and reality of rationing in medicine’ *Chest*, 140(6), 1625-1632.

Strech, D.; Persad, G.; Marckmann, G. & Danis, M. (2009). ‘Are physicians willing to ration health care? Conflicting findings in a systematic review of survey research’. *Health Policy,* 90(2-3), 113–124.

Strech, D. & Danis, M. (2014) ‘How can bedside rationing be justified despite coexisting inefficiency? The need for ‘benchmarks of efficiency’. *Journal of Medical Ethics*, 40(2), 89-93.

Trope, Y. & Liberman, N. (2010) ‘Construal-level theory of psychological distance’ *Psychol. Rev*., 117(2), 440.

Tsuchiya, A. & Dolan, P. (2007). ‘Do NHS clinicians and members of the public share the same views about reducing inequalities in health?’*Social Science & Medicine,* 64(12), 2499–503.

Tsuchiya, A.; Miguel, L.; Edlin, R.; Wailoo, A. & Dolan, P. (2005) ‘Procedural justice in public health care resource allocation’. *Applied Health Economic and Health Policy*, 4(2), 119-27.

Werntoft, E. & Edberg, A-K. (2009) ‘The views of physicians and politics concerning age-related prioritization in healthcare’. *Journal of Health Organization and Management*, 23(1), 38-52.

White, D., Katz, M., Luce, J. & Lo, B. (2009) ‘Who should receive life support during a public health emergency? Using ethical principles to improve allocation decisions’. *Ann Intern Med*., 150(2), 132-138.

Williams, A. & Cookson, R. (2000). *Equity in health* in A. Culyer & P. Newhouse (Eds). Handbook of Health Economics, North-Holland: Elsevier.

Williams, A. (1997). ‘Intergenerational equity: An exploration of the Fair-Innings argument’. *Health Economics*, 6, 117-132.

Winkelhage, J., & Diederich, A. (2012). ‘The relevance of personal characteristic in allocating health care resources – Controversial preferences of laypersons with different educational backgrounds’. *Int. J. Environ. Res. Public Health, 9*, 223-243.

Winkelhage, J.; Schreier, M. & Diederich, A. (2013). ‘Priority setting in health care: Attitudes of physicians and patients’. *Health,* 5(4), 712-719.