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**Article:**

Meyer, Matthias, Palkopoulou, Eleftheria, Baleka, Sina et al. (18 more authors) (2017) Palaeogenomes of Eurasian straight-tusked elephants challenge the current view of elephant evolution. *eLife*. e25413. pp. 1-14. ISSN 2050-084X

<https://doi.org/10.7554/eLife.25413>

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## FOR PEER REVIEW - CONFIDENTIAL

# Palaeogenomes of Eurasian straight-tusked elephants challenge the current view of elephant evolution

Tracking no: 24-01-2017-SR-eLife-25413R1

Matthias Meyer (Max Planck Institute for Evolutionary Anthropology), Eleftheria Palkopoulou (Harvard Medical School), Sina Baleka (University of Potsdam), Mathias Stiller (Max Planck Institute for Evolutionary Anthropology), Kirsty Penkman (University of York), Kurt Alt (Basel University), Yasuko Ishida (University of Illinois at Urbana-Champaign), Dietrich Mania (State Office for Heritage Management and Archaeology Saxony-Anhalt with State Museum of Prehistory), Swapan Mallick (Harvard Medical School), Tom Meijer (Naturalis Biodiversity Center), Harald Meller (State Office for Heritage Management and Archaeology Saxony-Anhalt with State Museum of Prehistory), Sarah Nagel (Max Planck Institute for Evolutionary Anthropology), Birgit Nicker (Max Planck Institute for Evolutionary Anthropology), Sven Ostritz (Thüringisches Landesamt für Denkmalpflege und Archäologie), Nadin Rohland (Harvard Medical School), Karol Schauer (State Office for Heritage Management and Archaeology Saxony-Anhalt with State Museum of Prehistory), Tim Schüler (Thüringisches Landesamt für Denkmalpflege und Archäologie), Alfred Roca (University of Illinois at Urbana-Champaign), David Reich (Broad Institute of Harvard and MIT), Beth Shapiro (University of California, Santa Cruz), and Michael Hofreiter (University of Potsdam)

### Abstract:

The straight-tusked elephants *Palaeoloxodon* spp. were widespread across Eurasia during the Pleistocene. Phylogenetic reconstructions using morphological traits have grouped them with Asian elephants (*Elephas maximus*), and many paleontologists place *Palaeoloxodon* within *Elephas*. Here we report the recovery of full mitochondrial genomes from four and partial nuclear genomes from two *P. antiquus* fossils. These fossils were collected at two sites in Germany, Neumark-Nord and Weimar-Ehringsdorf, and likely date to interglacial periods ~120 and ~244 thousand years ago, respectively. Unexpectedly, nuclear and mitochondrial DNA analyses suggest that *P. antiquus* was a close relative of extant African forest elephants (*Loxodonta cyclotis*). Species previously referred to *Palaeoloxodon* are thus most parsimoniously explained as having diverged from the lineage of *Loxodonta*, indicating that *Loxodonta* has not been constrained to Africa. Our results demonstrate that the current picture of elephant evolution is in need of substantial revision.

**Impact statement:** DNA sequences from the Middle Pleistocene reveal that the extinct Eurasian straight-tusked elephants were closely related to today's African forest elephants (*Loxodonta cyclotis*) in Africa.

**Competing interests:** No competing interests declared

### Author contributions:

Matthias Meyer: Conceptualization; Data curation; Formal analysis; Supervision; Validation; Investigation; Visualization; Methodology; Writing—original draft; Project administration; Writing—review and editing Eleftheria Palkopoulou: Data curation; Formal analysis; Validation; Investigation; Methodology; Writing—original draft; Writing—review and editing Sina Baleka: Conceptualization; Data curation; Validation; Investigation; Methodology; Writing—review and editing Mathias Stiller: Formal analysis; Validation; Investigation; Writing—review and editing Kirsty Penkman: Resources; Formal analysis; Funding acquisition; Validation; Investigation; Methodology; Writing—original draft; Writing—review and editing Kurt Alt: Resources; Investigation; Writing—review and editing Yasuko Ishida: Resources; Data curation; Formal analysis; Validation; Investigation; Writing—review and editing Dietrich Mania: Resources; Investigation; Writing—review and editing Swapan Mallick: Data curation; Validation; Writing—review and editing Tom Meijer: Resources; Investigation; Writing—review and editing Harald Meller: Resources; Investigation; Writing—review and editing Sarah Nagel: Investigation; Methodology; Writing—review and editing Birgit Nicker: Investigation; Methodology; Writing—review and editing Sven Ostritz: Resources; Investigation; Writing—review and editing Nadin Rohland: Investigation; Methodology; Writing—review and editing Karol Schauer: Investigation; Visualization; Writing—review and editing Tim Schüler: Conceptualization; Resources; Investigation; Writing—review and editing Alfred Roca: Conceptualization; Resources; Data curation; Supervision; Validation; Project administration; Writing—review and editing David Reich: Conceptualization; Data curation; Supervision; Validation; Investigation; Methodology; Writing—review and editing Beth Shapiro: Conceptualization; Data curation; Formal analysis; Validation; Investigation; Methodology; Writing—review and editing Michael Hofreiter: Conceptualization; Data curation; Formal analysis; Supervision; Validation; Investigation; Visualization; Writing—original draft; Project administration; Writing—review and editing

### Funding:

Max Planck Society; Matthias Meyer, Mathias Stiller, Sarah Nagel, Open-access funding; Gordon and Betty Moore Foundation (Gordon E. and Betty I. Moore Foundation); Beth Shapiro; US Fish and Wildlife Service; Yasuko Ishida, Alfred Roca; Wellcome Trust; Kirsty E. H. Penkman; Leverhulme Trust; Kirsty E. H. Penkman; European Research Council; Michael Hofreiter, 310763 GeneFlow The funders had no role in study design, data collection and interpretation, or the decision to submit the work for publication.

### Datasets:

Datasets Generated: Nuclear DNA sequences from two *Palaeoloxodon antiquus* fossils: Palkopoulou E, Baleka S, Mallick S, Rohland N, Reich

D, Hofreiter M, 2017, <http://www.ebi.ac.uk/ena>, PRJEB18563; Mitochondrial DNA sequences from 4 Palaeoboxodon antiquus fossils: Meyer M, Baleka S, Stiller M, Nagel S, Nickel B, Schüler T, Hofreiter M, 2017, [www.ncbi.nlm.nih.gov/genbank/](http://www.ncbi.nlm.nih.gov/genbank/), KY499555 - KY499558 Reporting Standards: N/A

**Ethics:**

Human Subjects: No Animal Subjects: No

**Author Affiliation:**

Matthias Meyer(Evolutionary Genetics,Max Planck Institute for Evolutionary Anthropology,Germany) Eleftheria Palkopoulou(Department of Genetics,Harvard Medical School,United States) Sina Baleka(Institute for Biochemistry and Biology,University of Potsdam,Germany) Mathias Stiller(Evolutionary Genetics,Max Planck Institute for Evolutionary Anthropology,Germany) Kirsty Penkman(Chemistry,University of York,United Kingdom) Kurt Alt(Department of Biomedical Engineering and Integrative Prehistory and Archaeological Science,Basel University,Switzerland) Yasuko Ishida(Department of Animal Sciences,University of Illinois at Urbana-Champaign,United States) Dietrich Mania(N/A,State Office for Heritage Management and Archaeology Saxony-Anhalt with State Museum of Prehistory,Germany) Swapan Mallick(Department of Genetics,Harvard Medical School,United States) Tom Meijer(-,Naturalis Biodiversity Center,Netherlands) Harald Meller(-,State Office for Heritage Management and Archaeology Saxony-Anhalt with State Museum of Prehistory,Germany) Sarah Nagel(Evolutionary Genetics,Max Planck Institute for Evolutionary Anthropology,Germany) Birgit Nicker(Evolutionary Genetics,Max Planck Institute for Evolutionary Anthropology,Germany) Sven Ostritz(-,Thüringisches Landesamt für Denkmalpflege und Archäologie,Germany) Nadin Rohland(Department of Genetics,Harvard Medical School,United States) Karol Schauer(-,State Office for Heritage Management and Archaeology Saxony-Anhalt with State Museum of Prehistory,Germany) Tim Schüler(-,Thüringisches Landesamt für Denkmalpflege und Archäologie,Germany) Alfred Roca(Department of Animal Sciences,University of Illinois at Urbana-Champaign,United States) David Reich(Program in Medical and Population Genetics,Broad Institute of Harvard and MIT,United States) Beth Shapiro(Department of Ecology and Evolutionary Biology,University of California, Santa Cruz,United States) Michael Hofreiter(Department for Mathematics and Natural Sciences,University of Potsdam,Germany)

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1 **Palaeogenomes of Eurasian straight-tusked elephants challenge the**  
2 **current view of elephant evolution**

3 Matthias Meyer<sup>1</sup>, Eleftheria Palkopoulou<sup>2</sup>, Sina Baleka<sup>3</sup>, Mathias Stiller<sup>1</sup>, Kirsty Penkman<sup>4</sup>,  
4 Kurt W. Alt<sup>5</sup>, Yasuko Ishida<sup>6</sup>, Dietrich Mania<sup>7</sup>, Swapan Mallick<sup>2</sup>, Tom Meijer<sup>8</sup>, Harald Meller<sup>7</sup>,  
5 Sarah Nagel<sup>1</sup>, Birgit Nickel<sup>1</sup>, Sven Ostritz<sup>9</sup>, Nadin Rohland<sup>2</sup>, Karol Schauer<sup>7</sup>, Tim Schöler<sup>9</sup>,  
6 Alfred L. Roca<sup>6</sup>, David Reich<sup>2</sup>, Beth Shapiro<sup>10</sup>, Michael Hofreiter<sup>3</sup>

7  
8 *<sup>1</sup>Max Planck Institute for Evolutionary Anthropology, Deutscher Platz 6, D-04103 Leipzig,*  
9 *Germany.*

10 *<sup>2</sup> Department of Genetics, Harvard Medical School, Boston, Massachusetts 02115, USA.*

11 *<sup>3</sup>Evolutionary Adaptive Genomics, Institute for Biochemistry and Biology, Department for*  
12 *Mathematics and Natural Sciences, University of Potsdam, Karl-Liebknechtstr. 24-25, 14476*  
13 *Potsdam, Germany.*

14 *<sup>4</sup>Department of Chemistry, University of York, York YO10 5DD, York, UK.*

15 *<sup>5</sup>Center of Natural and Cultural History of Man, Danube Private University, Steiner*  
16 *Landstrasse 124, A – 3500 Krems-Stein, Austria, and Department of Biomedical Engineering*  
17 *and Integrative Prehistory and Archaeological Science, Basel University, Gewerbestr. 14-*  
18 *18, CH-4123 Basel-Allschwill and Spalenring 145, CH-4055 Basel, Switzerland.*

19 *<sup>6</sup>Department of Animal Sciences, University of Illinois at Urbana-Champaign, Urbana, IL*  
20 *61801 USA.*

21 *<sup>7</sup> State Office for Heritage Management and Archaeology Saxony-Anhalt with State Museum*  
22 *of Prehistory, Richard-Wagner-Strasse 9, 06114 Halle (Saale), Germany.*

23 *<sup>8</sup>Naturalis Biodiversity Center, P.O. Box 9517, NL-2300 RA Leiden, The Netherlands.*

24 *<sup>9</sup>Thüringisches Landesamt für Denkmalpflege und Archäologie, Humboldtstr. 11, D-99423*  
25 *Weimar, Germany.*

26 *<sup>10</sup> Department of Ecology and Evolutionary Biology, University of California, Santa Cruz,*  
27 *Santa Cruz, CA 95064, USA.*

28

29 **Abstract**

30 The straight-tusked elephants *Palaeoloxodon* spp. were widespread across Eurasia  
31 during the Pleistocene. Phylogenetic reconstructions using morphological traits have  
32 grouped them with Asian elephants (*Elephas maximus*), and many paleontologists place  
33 *Palaeoloxodon* within *Elephas*. Here we report the recovery of full mitochondrial  
34 genomes from four and partial nuclear genomes from two *P. antiquus* fossils. These  
35 fossils were collected at two sites in Germany, Neumark-Nord and Weimar-Ehringsdorf,  
36 and likely date to interglacial periods ~120 and ~244 thousand years ago, respectively.  
37 Unexpectedly, nuclear and mitochondrial DNA analyses suggest that *P. antiquus* was a  
38 close relative of extant African forest elephants (*Loxodonta cyclotis*). Species previously  
39 referred to *Palaeoloxodon* are thus most parsimoniously explained as having diverged  
40 from the lineage of *Loxodonta*, indicating that *Loxodonta* has not been constrained to  
41 Africa. Our results demonstrate that the current picture of elephant evolution is in need  
42 of substantial revision.

43 Main text

44 **Introduction**

45 In the late Miocene in Africa, the last of several major radiations within Proboscidea  
46 gave rise to the family Elephantidae, which comprises living elephants and their extinct  
47 relatives including mammoths (genus *Mammuthus*) and various dwarf elephant species  
48 from Mediterranean islands. The three living elephant species (the African savanna  
49 elephant, *Loxodonta africana*, the African forest elephant, *L. cyclotis* and the Asian  
50 elephant, *Elephas maximus*), represent the last remnants of this family and of the  
51 formerly much more widely distributed and species-rich order Proboscidea. Apart from  
52 mammoths, the elephant genus with the most abundant fossil record in Eurasia is  
53 *Palaeoloxodon* (straight-tusked elephants; Figure 1), which appears in Eurasia around  
54 0.75 million years ago (Ma) (Lister 2015). Based on morphological analyses,  
55 *Palaeoloxodon* is widely accepted as being more closely related to the extant Asian  
56 elephant than to mammoths or extant African elephants (Shoshani et al. 2007, Todd  
57 2010), and is often subsumed into the genus *Elephas* (Maglio 1973, Sanders et al. 2010).  
58 Across its range from Western Europe to Japan, *Palaeoloxodon* probably comprised  
59 several species (Shoshani et al. 2007), and based on morphological comparisons all of  
60 them are considered to be derived from the African *Palaeoloxodon* (or *Elephas*) *recki*  
61 (Maglio 1973, Saegusa and Gilbert 2008), which was the predominant proboscidean  
62 lineage in Africa during the Pliocene and Pleistocene but went extinct around 100  
63 thousand years ago (ka) (Owen-Smith 2013). Straight-tusked elephants may have  
64 survived in mainland Eurasia until around 35 ka, although the youngest reliably dated  
65 remains are from the last interglacial, 115-130 ka (Stuart 2005).

66         Recent technological progress has pushed back the temporal limit of ancient DNA  
67 research, enabling, for example, recovery of a low coverage genome of a ~700,000 year-  
68 old horse preserved in permafrost (Orlando et al. 2013). For more temperate regions,  
69 however, evidence of DNA preservation reaching far beyond the last glacial period is still  
70 limited to a single locality, Sima de los Huesos in Spain, where DNA has been recovered  
71 from ~430 ka old hominin and bear remains (Dabney et al. 2013, Meyer et al. 2016).  
72 While genetic analyses of the extinct interglacial fauna remain a challenging  
73 undertaking, recent advances in ancient DNA extraction (Dabney et al. 2013) and

74 sequencing library construction (Meyer et al. 2012) have improved access to highly  
75 degraded DNA.

## 76 **Results and Discussion**

77 To better understand the evolutionary relationships between the extinct straight-tusked  
78 elephants and other elephant species, we attempted DNA extraction and sequencing  
79 from several *P. antiquus* fossils, four of which we investigated in-depth. Three of these,  
80 which were all unambiguously assigned to *P. antiquus* based on their morphology, were  
81 from Neumark-Nord (NN) 1 in Germany, a fossil-rich site that has been proposed to date  
82 to MIS 5e (~ 120 ka) or MIS 7 (~ 244 ka) or both (Mania 2010, Schüler 2010, Penkman  
83 2010). This site has yielded one of the largest collections of *P. antiquus* remains known  
84 to date. The fourth fossil was recovered during recent active mining in the travertine  
85 deposits of Weimar-Ehringsdorf (WE), Germany, a quarry that has for more than a  
86 century yielded a rich collection of fossils representing a typical European interglacial  
87 fauna (Kahlke 1975). Weimar-Ehringsdorf is best known for the discovery of  
88 Neanderthal remains in the early 20<sup>th</sup> century, and the assemblage is dated to MIS 7  
89 (Mallick and Frank 2002). The *Paleoloxodon* bone fragment from Weimar-Ehringsdorf is  
90 morphologically undiagnostic with respect to species. However, it was found in the  
91 Lower Travertine, which was dated to ~ 233 ka (Schüler 2003) and where *P. antiquus* is  
92 the only elephantid found so far. We performed DNA extraction, library preparation,  
93 hybridization capture and high-throughput sequencing on all four fossils (Figure 2 –  
94 source data 1) and obtained full mitochondrial genome sequences for all of them (Figure  
95 2 – figure supplement 1). All sequences show short fragment lengths (Figure 2 – figure  
96 supplement 2) and signals of cytosine deamination compatible with the old age of the  
97 specimens (Figure 2 – figure supplement 3).

98 We inferred a phylogeny using the four *Paleoloxodon* mitochondrial genomes and  
99 mitochondrial genomes from 16 *M. primigenius*, two *E. maximus* and 13 *Loxodonta*  
100 individuals. The latter were chosen for a diversity of haplotypes, including forest  
101 elephant derived ('F-clade') haplotypes as well as 'S-clade' haplotypes found only among  
102 savanna elephants (Debruyne 2005). For calibration, we used an estimated divergence  
103 of the African elephant lineage from that of Asian elephants and mammoths of 6.6-8.6  
104 Ma (Rohland et al. 2007). Surprisingly, *P. antiquus* did not cluster with *E. maximus*, as  
105 hypothesized from morphological analyses. Instead, it fell within the mito-genetic

106 diversity of extant *L. cyclotis*, with very high statistical support (Figure 2). The four  
107 straight-tusked elephants did not cluster together within this mitochondrial clade, but  
108 formed two separate lineages that share a common ancestor with an extant *L. cyclotis*  
109 lineage 0.7-1.6 Ma (NN) and 1.5-3.0 Ma (WE) ago, respectively. However, mitochondrial  
110 DNA represents a single, maternally inherited locus and does not reflect the full  
111 evolutionary history of populations or species. Furthermore, the transfer of  
112 mitochondrial DNA between hybridizing species is not unusual when gene flow is  
113 strongly male-mediated (Petit and Excoffier 2009, Li et al. 2016, Cahill et al. 2013), as is  
114 the case with elephants. For example, mitochondrial sequences of the F-clade have also  
115 been found in some *L. africana* individuals (Debruyne 2005) despite the very substantial  
116 divergence of their nuclear genomes (Roca, Georgiadis, and O'Brien 2005, Rohland et al.  
117 2010), a pattern that has been attributed to mitochondrial gene flow from forest to  
118 savanna elephants (Roca, Georgiadis, and O'Brien 2005).

119 We therefore performed shotgun sequencing of DNA libraries prepared from the  
120 two best-preserved NN individuals (a petrous bone and a molar) to recover nuclear DNA  
121 sequences. When mapped to the *L. africana* reference genome, 39% and 28% of the  
122 sequence reads generated from these specimens were identified as elephant,  
123 respectively (Figure 2 – source data 1). A neighbor-joining phylogenetic tree based on  
124 ~770M (petrous) and ~210M (molar) base pairs of *P. antiquus* nuclear DNA placed *P.*  
125 *antiquus* and *L. cyclotis* as sister taxa to the exclusion of *L. africana* (Figure 2). A tree  
126 with identical topology was obtained using coding sequences only and a maximum  
127 likelihood approach (Figure 2 – figure supplement 4). Despite the high sequence error  
128 rates associated with the low coverage genomes generated from the *P. antiquus*  
129 specimens, all nodes in the nuclear trees show maximal bootstrap support. The  
130 mitochondrial and nuclear phylogenies thus support a sister group relationship between  
131 *P. antiquus* and *L. cyclotis*.

132 Despite their geographical proximity, the WE and NN specimens are found in  
133 different positions in the mitochondrial tree. Given that the three NN specimens show  
134 highly similar mitogenome sequences, we considered whether the sites date to different  
135 interglacials. Electron Spin Resonance (ESR) dating of tooth enamel has been applied to  
136 both sites, suggesting an age of ~ 117 ka (range 97 – 142 ka (Schüler 2010)) for the NN1  
137 layers from which our samples originate and of 233 ka (range 216 – 250 ka (Schüler  
138 2003)) for the WE specimen. In order to better estimate the age of the NN1 site, we used

139 amino acid racemization of snail opercula (Penkman et al. 2011), including samples  
140 from the continental Eemian type-site of Amersfoort (Zagwijn 1961) (Figure 2 – source  
141 data 1), which is correlated with MIS 5e (Cleveringa et al. 2000). The NN1 opercula show  
142 similar (perhaps slightly lower) levels of biomolecular degradation compared to  
143 Amersfoort, suggesting an Eemian age for NN1 (Figure 2 – figure supplement 5).  
144 Importantly, NN1 shows very similar levels of amino acid racemization in intra-  
145 crystalline protein decomposition as a second site at Neumark-Nord (NN2), indicating  
146 that both sites are of the same age. Considerable evidence supports an Eemian age for  
147 NN2, including palaeomagnetic data that shows a correlation with the MIS 5e Blake  
148 event and thermoluminescence dating of flint to  $\sim 126 \pm 6$  ka (Sier et al. 2011). These  
149 results therefore indicate an Eemian age also for NN1. Since the WE specimen likely  
150 dates to the previous interglacial, this suggests that the very different mitogenomes  
151 between WE and NN1 may reflect the contraction and re-expansion of the range of *P.*  
152 *antiquus* across glacial cycles.

153 Our results have implications both for understanding elephant evolutionary  
154 history and for the use of morphological data to decipher phylogenetic relationships  
155 among elephants. The combination of strongly supported mitochondrial and nuclear  
156 DNA phylogenies clearly demonstrate that *Palaeoloxodon antiquus* is more closely  
157 related to *Loxodonta* than to *Elephas* (Figure 3), suggesting that *Elephas antiquus* should  
158 not be used synonymously for *Paleoloxodon antiquus* when referring to the taxon. The  
159 new phylogeny suggests a remarkable degree of evolutionary transformation, from an  
160 ancestor that possessed the features of the cranium and dentition of *Loxodonta*, shared  
161 by both *L. africana* and *L. cyclotis* (Maglio 1973, Sanders et al. 2010), to a descendant  
162 that is highly similar to *Elephas* (*sensu stricto*, the lineage of the Asian elephant) in many  
163 morphological features. However, it should be noted that currently available genomic  
164 data from elephantids only allow for reconstructing the broad picture of elephant  
165 evolution. More complex evolutionary scenarios are conceivable, which might explain  
166 the presence of some *Elephas*-like traits in *P. antiquus*. These could for example involve  
167 gene flow, as has been shown for *L. africana* and *L. cyclotis* based on mitochondrial  
168 evidence (Roca, Georgiadis, and O'Brien 2005). In addition, the very large effective  
169 population size of the forest elephants (Rohland et al. 2010) could have allowed the  
170 retention of ancestral traits by incomplete lineage sorting.

171 In summary, the molecular results presented here urge for a re-examination of  
172 morphology across the Elephantidae. This is especially important as the fossil record for  
173 elephants dates back several million years, well beyond the survival of ancient DNA. If, for  
174 example, *P. recki*, which was the most abundant Pleistocene elephant species in Africa, is  
175 indeed ancestral to *P. antiquus* and thus also represents a member of the *Loxodonta*  
176 lineage, the interpretation of the fossil record of elephantids in Africa is in strong need of  
177 revision. Furthermore, in contrast to the genera *Mammuthus* and *Elephas*, which also  
178 had their origin in Africa, the lineage of *Loxodonta* is generally assumed never to have  
179 left Africa. Although Osborn (1942) placed *Palaeoloxodon* in the Loxodontinae on the  
180 basis of several cranial characters, later authors (Shoshani et al. 2007, Todd 2010) have  
181 rejected this placement in favour of a placement in Elephantinae, restricting *Loxodonta*  
182 (and Loxodontinae) geographically to Africa. However, our data reveal that the  
183 *Loxodonta* lineage (as *Paleoloxodon*) also colonized the Eurasian continent. Last, the  
184 finding that *L. africana* is genetically more distant from *L. cyclotis* than is *P. antiquus*  
185 strongly supports previous evidence that urged recognition of *L. cyclotis* and *L. africana*  
186 as distinct species and underlines the importance of conservation efforts directed  
187 towards African forest elephants.

188 **Material and Methods**

189 ***Sampling, DNA extraction and library preparation***

190 In January 2014, a fragment of an elephant long bone was discovered during work  
191 at the Ehringsdorf quarries. The specimen was removed from the lower travertine (~3m  
192 above the basis and 2.5 m below the Pariser horizon), which has been dated by micro  
193 probe U/Th-series dating of primary travertine (16) and ESR dating of tooth enamel  
194 (Schüler 2003) to ~ 233 ka. A piece of the bone (inventory number 14/18-1) was  
195 transferred to the ancient DNA laboratory at the MPI-EVAN in Leipzig. Ten extracts were  
196 prepared using between 36 and 53 mg of bone (totaling 425 mg) material following the  
197 method of Dabney et al. 2013 (Dabney et al. 2013). From these extracts, 30 libraries  
198 were prepared using single-stranded library preparation (Gansauge and Meyer 2013)  
199 with input volumes of 4, 8 and 12 µl DNA extract (of 25 µl extract volume), respectively.  
200 The number of library molecules was determined by digital droplet PCR using the  
201 QX200 system (Bio-Rad) with EvaGreen chemistry (QX200 ddPCR EvaGreen Supermix,  
202 Bio-Rad) and primers IS7 and IS8 (Meyer and Kircher 2010) following the  
203 manufacturer's instructions (Figure 2 – source data 1). Libraries were then amplified  
204 using AccuPrime Pfx DNA polymerase (Thermo Fisher Scientific) (Dabney and Meyer  
205 2012) and labeled with two sample-specific indices (Kircher, Sawyer, and Meyer 2012).

206 Ancient DNA work on the Neumark-Nord specimens was carried out in the ancient  
207 DNA laboratory at the University of Potsdam. Initially, 8 specimens were screened for  
208 the presence of elephant DNA of which the two best preserved ones (individual 23,  
209 Landesmuseum Halle museum inventory number HK 2007:25.285,117; a tusk fragment  
210 [NEU2A] and a fragmentary upper jaw [NEU8B]; inventory number HK 92:990) were  
211 selected for further analyses. In addition, in 2013, the petrous bone of individual 30  
212 (NEPEC; inventory number HK 2007:25:280 = E15.1.96) was sampled and also used in  
213 the analysis. Fourteen DNA extracts were prepared from individual 30, and 6 from each  
214 of the two other specimens, using approximately 50 mg of bone powder in each  
215 extraction. DNA extraction and library preparation were performed as described above  
216 but including *Archaeoglobus fulgidus* uracil-DNA glycosylase in library preparation  
217 (Gansauge and Meyer 2013), which removes the majority of uracils that are typically  
218 present in ancient DNA fragments. In addition, to maximize yields in library preparation,  
219 two extracts (25 µl each) from each specimen were combined and 40 µl were used as  
220 input for library preparation. Reaction volumes in steps 1-3 of the protocol were

221 doubled to accommodate larger input volumes of extract. Optimal amplification cycle  
222 numbers were established using qPCR (PikoReal Real-Time PCR system, Thermo Fisher  
223 Scientific) with primers IS7 and IS8 (Gansauge and Meyer 2013). Libraries were then  
224 amplified and labeled with one sample-specific index. After purification (MinElute PCR  
225 purification kit, Qiagen), the different libraries for each sample were pooled.

### 226 ***Enrichment and sequencing of mtDNA***

227 52-mer capture probes for the enrichment of mtDNA sequences from elephants  
228 were designed using the published mtDNA genome sequences of African forest elephant  
229 (NC\_020759), Asian elephant (NC\_005129), African savanna elephant (NC\_000934) and  
230 the mastodon (NC\_009574), with one probe starting at each position in these genomes.  
231 Probes containing simple repeats longer than 24bp (repetition of the same 1-8 bp  
232 sequence motif) were removed. Single-stranded biotinylated DNA probes were  
233 generated as described elsewhere (Fu et al. 2013) and used for two successive rounds of  
234 hybridization capture following a bead-based protocol (Maricic, Whitten, and Pääbo  
235 2010). Enriched libraries were pooled and sequenced on one lane of an Illumina  
236 HiSeq2500 in paired-end mode (2x 76 cycles plus 2x 7 cycles index reads; Weimar-  
237 Ehringsdorf libraries) or on an Illumina NextSeq 500 (2x 76 cycles plus 1x 8 cycles index  
238 read; Neumark-Nord libraries).

### 239 ***Mitochondrial sequence data processing and consensus calling***

240 Sequences were assigned to their source library requiring perfect matches to one  
241 of the expected indices or index pairs and overlap-merged to reconstruct full-length  
242 molecule sequences (Renaud, Stenzel, and Kelso 2014). Due to the different properties  
243 of the data obtained from Weimar-Ehringsdorf and Neumark-Nord with regard to  
244 sequence length distribution and damage patterns (Figure 2 – figure supplements 2 and  
245 3), two different strategies were used for mapping and consensus calling. To minimize  
246 the loss of alignments due to the high frequencies of damaged-induced substitutions in  
247 the Weimar-Ehringsdorf data, mapping to the *L. cyclotis* mtDNA genome (JN673264)  
248 was performed as previously described for the Sima de los Huesos mtDNA assemblies  
249 (Dabney et al. 2013), using BWA and allowing up to five C to T substitutions but not  
250 more than three of other types. The sequences from Neumark-Nord were mapped with  
251 ‘ancient’ parameters as described elsewhere (Meyer et al. 2012). PCR duplicates were  
252 removed with bam-rmdup (Stenzel (2014) Biohazard, available from

253 <https://bitbucket.org/ustenzel/biohazard>) by calling a consensus from sequences with  
254 identical alignment start and end coordinates. Sequences shorter than 30 bp were  
255 discarded. An overview of the DNA extracts, libraries and sequences generated in this  
256 study is provided in Figure 2 – source data 1.

257         When visually inspecting the Weimar-Ehringsdorf sequence alignments, we  
258 identified several regions in the mitochondrial genome where more than one sequence  
259 variant was present. Based on BLAST searches on a subset of these sequences, we found  
260 that they derived from present-day human or microbial contamination. We thus aligned  
261 all sequences to the identified contaminant genomes (GenBank accession nos.  
262 NC\_012920, AF365635 and CP008889) and removed sequences that showed a greater  
263 similarity to one of the contaminants than to the African forest elephant mtDNA. No  
264 removal of contaminant sequences was necessary for the Neumark-Nord samples. To  
265 minimize the impact of damage-derived C to T substitutions on consensus calling, all T  
266 occurring in the first and last three positions of the Weimar-Ehringsdorf sequences were  
267 substituted by N. Next, a position-based tabular output was generated from the  
268 alignment files using the ‘mpileup’ function of SAMtools (Li et al. 2009). This file was  
269 used to call the consensus at positions with minimum sequence coverage of 3 if the  
270 sequences were in at least 67.0% agreement. At three positions in the mtDNA genome  
271 (positions 384, 8467, 8469) with low consensus support, we spotted obvious alignment  
272 errors in one or all specimens and determined the consensus base manually. Apart from  
273 a ~500 bp stretch of repetitive sequence in the D-loop, which cannot be reconstructed  
274 with short DNA fragments, only 4 positions remain undetermined in the Weimar-  
275 Ehringsdorf sequence and even fewer (between none and 3) in the Neumark-Nord  
276 sequences.

### 277 ***MtDNA phylogenetic reconstructions***

278         We estimated mitochondrial phylogenies using the software BEAST (Drummond  
279 et al. 2012) v 1.8.2 and a data set including 31 complete mitochondrial genomes  
280 (GenBank accession nos.; *L. cyclotis*: JN673264, JN673263, KJ557424, KJ557423,  
281 KY616976, KY616979, KY616978; *L. africana*: WA4020, KR0014, KR0138, NC000934,  
282 DQ316069, AB443879; *E. maximus*: NC005129, DQ316068; *M. primigenius*: DQ316067,  
283 NC007596, EU155210, EU153449, EU153455, EU153456, EU153458, EU153445,  
284 EU153446, EU153447, EU153448, EU153452, EU153453, EU153454, JF912200; *M.*

285 *columbi*: JF912199). For three of the *L. cyclotis* mitogenomes (KY616976, KY616979 and  
286 KY616978), only partial mitochondrial sequences were previously published. Full  
287 genome sequences were obtained using previously collected samples (Ishida et al. 2013)  
288 and the amplification and sequencing strategy detailed by (Brandt et al. 2012), except  
289 that additional primers were used in sequencing (Figure 2 - source data 1). The  
290 complete mitochondrial genome sequences were partitioned prior to analysis into four  
291 partitions, representing concatenated genes (with ND6 reversed), tRNAs, rRNAs, and the  
292 control region, and analyses were performed with and without the control region  
293 fragment. All BEAST analyses were performed assuming the flexible skygrid coalescent  
294 model (Gill et al. 2013) and the uncorrelated lognormal relaxed molecular clock  
295 (Drummond et al. 2006). We calibrated the molecular clock using the ages of ancient tips  
296 and a lognormal prior with a mean of 7.6 million years and standard deviation of  
297 500,000 years for the divergence of the *Loxodonta* and *Elephas/Mammuthus* lineages  
298 (Rohland et al. 2007). Ages of the ancient samples were sampled from normal  
299 distributions derived from stratigraphic and previously estimated radiometric dates:  
300 Neumark-Nord: 142-92 ka (Schüler 2010); Ehringsdorf 250-216 ka (Mallick and Frank  
301 2002). Separate evolutionary rates and models of nucleotide substitution, as estimated  
302 using jModelTest (Posada 2008), were estimated for each partition in the alignment. We  
303 ran two MCMC chains for 60 million iterations each, with trees and model parameters  
304 sampled every 6 thousand iterations. Chain convergence and parameter sampling were  
305 examined by eye using Tracer v 1.6 (Rambaut A, Suchard MA, Xie D & Drummond AJ  
306 (2014) Tracer v1.6, available from <http://beast.bio.ed.ac.uk/Tracer>). The first 10% of  
307 samples were discarded from each run after which the two runs were combined. Trees  
308 were summarized and maximum clade credibility (MCC) trees identified using  
309 TreeAnnotator v 1.8.2, which is distributed as part of the BEAST package. MCC trees  
310 were edited and annotated using FigTree v1.4.2  
311 (<http://tree.bio.ed.ac.uk/software/figtree/>).

### 312 ***Shotgun sequencing of nuclear DNA***

313 Libraries from the three Neumark-Nord samples and another sample (not  
314 included in this study) were pooled in equimolar concentrations and shotgun-sequenced  
315 on a NextSeq 500 (2x 76bp cycles) at Harvard Medical School. Following determination  
316 of endogenous content and complexity in each library, two of them (NEPEC from the  
317 petrous bone and NEU2A from the molar fragment) were chosen for additional

318 sequencing and were pooled together with another sample (not included in this study)  
319 for one additional run on Illumina's NextSeq 500.

### 320 ***Nuclear sequence data processing***

321 Sequences were assigned to their source library according to their index allowing  
322 for one mismatch. Adapters were trimmed and paired-end sequences were merged with  
323 SeqPrep 1.1 (<https://github.com/jstjohn/SeqPrep>) using default parameters but with a  
324 modification in the source code to retain the best base quality scores in the merged  
325 region. Merged sequences shorter than 30bp were discarded. Alignment to the African  
326 savanna elephant reference genome (loxAfr4; downloaded from  
327 <ftp://ftp.broadinstitute.org/distribution/assemblies/mammals/elephant/loxAfr4/>) was  
328 performed with BWA's version 0.7.8 (Li and Durbin 2009) using 'ancient' parameters  
329 and SAMtools' v.0.1.19 'samse' command (Li et al. 2009). A custom script was used to  
330 remove duplicates, which takes into account the alignment coordinates of both ends of  
331 the sorted sequences and their orientation.

332 From the first sequencing run, 47% and 35% of the sequences from the libraries  
333 from the petrous bone and the molar fragment (NEPEC and NEU2A, respectively)  
334 aligned to the reference genome while only 0.5% of the sequences from the third library  
335 (NEU8B) aligned to the reference genome. The high percentage of mapped sequences in  
336 the petrous sample is consistent with previous reports on the superior DNA  
337 preservation in this part of the skeleton (Gamba et al. 2014). Following the second  
338 sequencing run, the total endogenous content of the first two libraries was estimated to  
339 39% and 28%, with an average sequence length of 39bp and 38bp, respectively (Figure  
340 2 – source data 1). The average depth of coverage was 0.65-fold for NEPEC and 0.14-fold  
341 for NEU2A. Both of them showed low frequencies of C to T to substitutions at the 5' and  
342 3' end, which are characteristic for *Afu* UDG-treated single-stranded libraries (Gansauge  
343 and Meyer 2013), except for in CpG context, where deamination of 5-methylcytosine  
344 leaves thymine and not uracil (Figure 2 – figure supplement 3).

345 We also processed sequencing data from an African forest elephant (SL0001) that  
346 was sequenced to high-coverage at the Broad Institute and re-processed sequencing  
347 data of an Asian elephant from (Lynch et al. 2015). We trimmed adapters with SeqPrep  
348 1.1 using default parameters and aligned paired-end reads to loxAfr4 using BWA's 'aln'  
349 algorithm and SAMtools' 'sampe' command. Duplicate reads were removed with

350 SAMtools's 'rmdup'. Moreover, we used the high-coverage genome of a woolly mammoth  
351 (Wrangel) from (Palkopoulou et al. 2015). The woolly mammoth alignments were re-  
352 processed for removal of duplicate reads with the custom script mentioned above.

### 353 ***Nuclear DNA phylogenetic reconstruction***

354 To determine the phylogenetic relationships between the two *P. antiquus*  
355 specimens and other members of the *Elephantidae* family, we called pseudo-haploid  
356 consensus sequences for all autosomes of the two *P. antiquus* samples (~770 and ~210  
357 million sites, respectively). Sites with base quality below 30 and reads with mapping  
358 quality below 30 were filtered out. To exclude post-mortem damage-derived C to T  
359 substitutions, we trimmed 2bp from the ends of all reads. We included regions of the  
360 loxAfr4 genome for which at least 90% of all possible 35-mers do not find a match at  
361 another position allowing for up to one mismatch, similar to the mappability filter  
362 described in (Prüfer et al. 2014). We used a majority-allele calling rule that required at  
363 least one read aligned at each position of the genome. Using the same approach, we  
364 called sequences for an Asian elephant (Uno (Lynch et al. 2015)), a woolly mammoth  
365 (Wrangel (Palkopoulou et al. 2015)) and an African forest elephant (SL0001; Broad  
366 Institute). We also used the reference sequence loxAfr4, as an African savanna elephant.  
367 We estimated the number of differences per base-pair for pairwise comparisons of all  
368 sequences and constructed a distance matrix, from which we built a Neighbor-joining  
369 (NJ) tree using PHYLIP version 3.696 (Felsenstein 2005). To obtain support values for  
370 the nodes of the tree, we performed a bootstrap analysis (100 replicates) by splitting all  
371 autosomes in blocks of 5Mb and randomly sampling blocks with replacement and built a  
372 majority-rule consensus tree.

373 We also extracted coding DNA sites (CDS) of protein-coding genes using the  
374 Ensembl 87 release for the loxAfr3 genome (downloaded from  
375 <http://www.ensembl.org/>) from each elephant genome sequence. CDS mapping to  
376 unknown chromosomes as well as CDS containing partial codons were excluded,  
377 resulting in a total of 86,212 CDS. Multiple sequence alignments were generated for each  
378 gene using MAFFT -ginsi (Katoh et al. 2002, Katoh and Standley 2013) with 1,000  
379 iterations, which were concatenated into a single fasta file. Maximum likelihood  
380 phylogenetic analysis was performed with RAxML v8.2 (Stamatakis 2014) with the  
381 GTRGAMMA model of nucleotide substitutions and 100 bootstrap trees. The resulting

382 phylogeny is identical in its topology to that of the NJ tree with 100% bootstrap support  
383 (Figure 2 – figure supplement 4).

#### 384 ***Dating the specimens***

385 Amino acid racemization (AAR) analyses were undertaken on the intra-  
386 crystalline protein from four individual *Bithynia tentaculata* opercula from the Eemian  
387 type-site, Amersfoort (Cleveringa et al. 2000): Amersfoort-1, upper depth 27.71, lower  
388 depth 28.50 (NEaar 2982-3, 3972 & 4681) and compared with previously published  
389 data from a single horizon at Neumark-Nord 1 (15.5.87/2, Schluffmudde, 25 cm under  
390 Anmoor = surface of the lower shore area; NEaar 5698-5703 (Penkman 2010)) and  
391 several horizons from Neumark-Nord 2 (Sier et al. 2011). All samples were prepared  
392 using procedures of isolating the intra-crystalline protein by bleaching (Penkman et al.  
393 2008). Two subsamples were then taken from each shell; one fraction was directly  
394 demineralised and the free amino acids analysed (referred to as the 'free' amino acids,  
395 FAA, F), and the second was treated to release the peptide-bound amino acids, thus  
396 yielding the 'total' amino acid concentration, referred to as the 'total hydrolysable amino  
397 acid fraction (THAA, H\*)'. Samples were analysed in duplicate by RP-HPLC. During  
398 preparative hydrolysis, both asparagine and glutamine undergo rapid irreversible  
399 deamination to aspartic acid and glutamic acid respectively (Hill 1965). It is therefore  
400 not possible to distinguish between the acidic amino acids and their derivatives and they  
401 are reported together as Asx and Glx respectively. The D/L values of aspartic  
402 acid/asparagine, glutamic acid/glutamine, alanine and valine (D/L Asx, Glx, Ala, Val) are  
403 then assessed to provide an overall estimate of intra-crystalline protein decomposition  
404 (Penkman et al. 2011).

405

406

407 **Figure and data legends**

408

409 **Figure 1:** *Palaeoloxodon antiquus*, geographic range based on fossil finds (after Pushkina  
410 2007). White dots indicate the locations of Weimar-Ehringsdorf and Neumark-Nord.

411

412 **Figure 2:** Phylogenetic trees relating the mitochondrial and nuclear sequences of *P.*  
413 *antiquus* (NN and WE) to other elephantids. **(A)** Maximum clade credibility (MCC) tree  
414 resulting from a BEAST (Drummond et al. 2012) analysis of 35 complete mitochondrial  
415 genomes using 15,447 sites. Node bars and numbers show the 95% highest posterior  
416 density estimates for node ages and clade support, respectively. Mitochondrial  
417 partitioning scheme and molecular and coalescent models are described in 'Materials  
418 and methods'. **(B)** Pairwise-distance Neighbor-joining tree from between 210 million  
419 and 2.5 billion base pairs of nuclear shotgun sequence data. Bootstrap support values  
420 from 100 replicates are shown inside nodes. Summary statistics of the underlying  
421 sequence data are available in Figure 2 – source data 1.

422

423 **Figure 3:** A revised tree of phylogenetic relationships among elephantids, color-coded  
424 by their presumed geographical range.

425

426 **Figure 2 -figure supplement 1:** Sequence coverage of the NN and WE mitochondrial  
427 genomes.

428

429 **Figure 2 – figure supplement 2:** DNA fragment size distribution inferred from full-  
430 length mtDNA sequences.

431

432 **Figure 2 – figure supplement 3:** Frequency of C to T substitutions for each position in  
433 the sequence alignments. **(A)** Substitution frequencies in mitochondrial alignments.  
434 Substitution frequencies are depressed in the Neumark-Nord libraries due treatment  
435 with uracil-DNA-glycosylase (UDG). **(B)** In nuclear sequence alignments, the

436 deamination signal could be partly restored by limiting analysis to cytosines in CpG  
437 content. Since the majority of cytosines in CpG dinucleotides are methylated in  
438 mammalian genomes, deamination leaves thymines, which are not excised by UDG.

439

440 **Figure 2 - figure supplement 4:** Maximum likelihood tree from concatenated nuclear  
441 protein-coding sequences with bootstrap support values shown inside nodes.

442

443 **Figure 2 - figure supplement 5:** Amino acid racemization data. D/L values of Asx, Glx,  
444 Ala and Val for the free amino acid (FAA, panels on the left) and total hydrolysable  
445 amino acid (THAA, panels on the right) fraction of bleached *Bithynia tentaculata*  
446 opercula from Amersfoort, Neumark-Nord 1 and 2. Ranges for samples from UK sites  
447 correlated with MIS 5e and MIS 7 are indicative only, as effective diagenetic  
448 temperatures are likely to have differed significantly between Britain and continental  
449 Europe. The boundary of the box closest to zero indicates the 25th percentile, the  
450 dashed line within the box marks the mean and the boundary of the box farthest from  
451 zero indicates the 75th percentile. The 10th and 90th percentiles are represented by  
452 lines above and below the boxes. The results of each duplicate analysis are included in  
453 order to provide a statistically significant sample size.

454

#### 455 **Figure 2 - source data 1**

456 This spreadsheet contains summary statistics of all sequence data generated in this  
457 study, the sequences of PCR primers used for reconstructing mtDNA sequences of extant  
458 elephants, as well as amino acid racemization data on opercula of *Bithynia tentaculata*  
459 from Amersfoort.

460 **Acknowledgments**

461 We thank the Elephant Genome Sequencing Consortium, especially Elinor Karlsson and  
462 Jessica Alfoldi, for permission to use the African forest elephant genome data. We thank  
463 Chloé Piot for help with preparing the figures, A. Brandt and T. Perrin-Stowe for  
464 sequence information and Adrian Lister for comments on the manuscript. Mitochondrial  
465 genome sequences were deposited in GenBank under accession nos. KY499555 -  
466 KY499558, nuclear sequences alignments are available through the European  
467 Nucleotide Archive (ENA) project no. PRJEB18563.

468

469 **Funding – separate metainformation**

470 MM is supported by the Max Planck Society. BS was supported by the Gordon and Betty  
471 Moore Foundation. AR and YI were supported by the US Fish and Wildlife Service. The  
472 amino acid analyses were supported by Wellcome Trust and Leverhulme Trust funding  
473 to KP, with thanks to Sheila Taylor for technical support. MH is supported by ERC  
474 consolidator grant 310763 GeneFlow.

475

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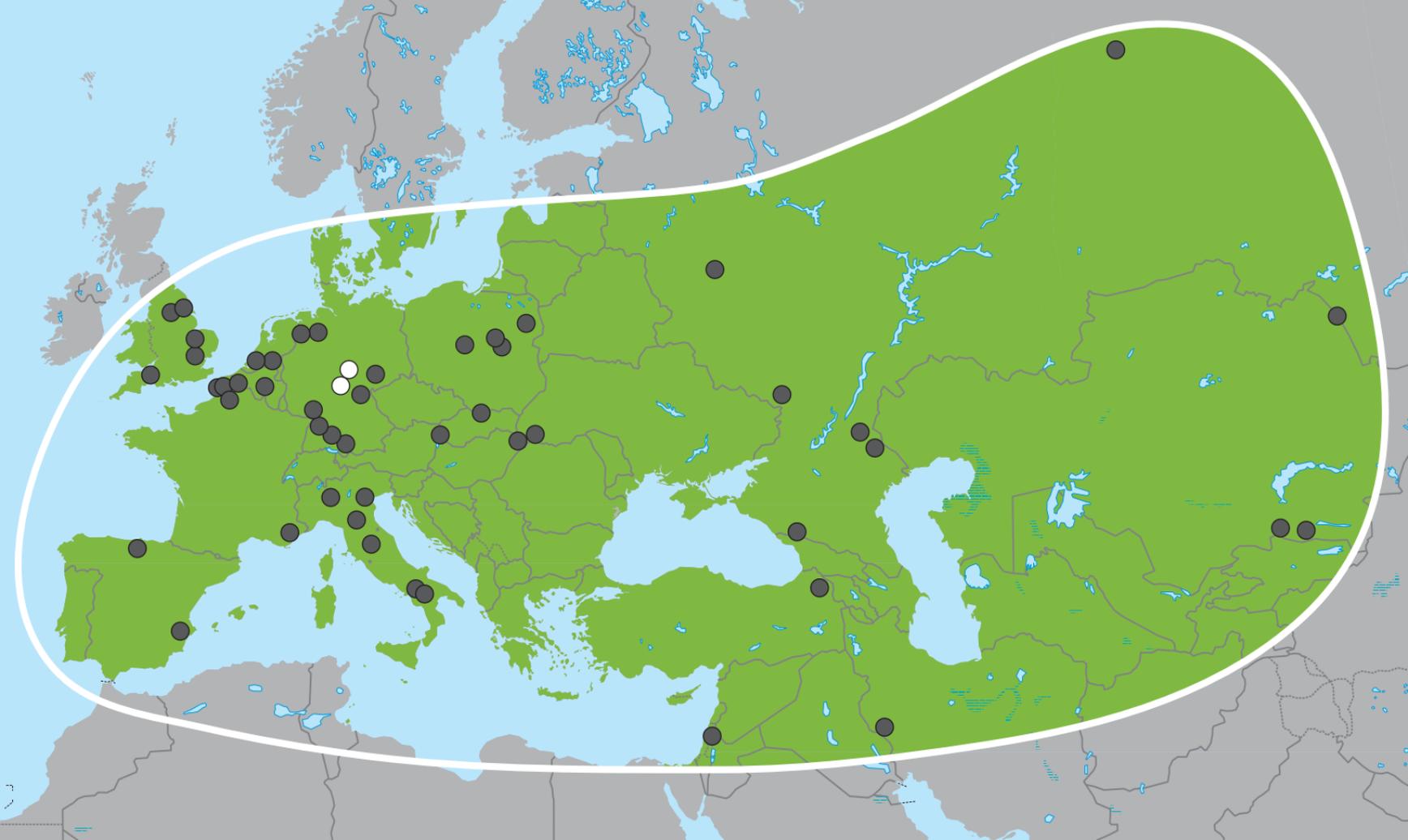
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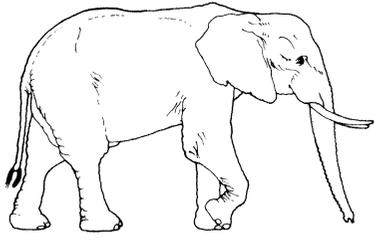
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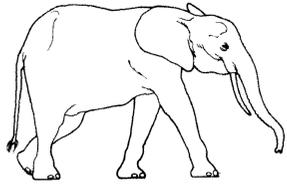
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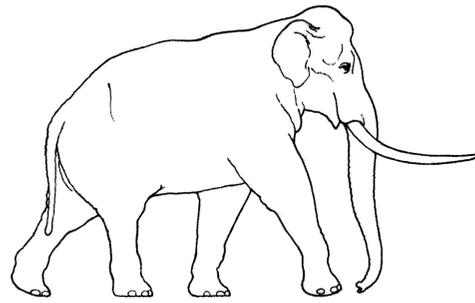




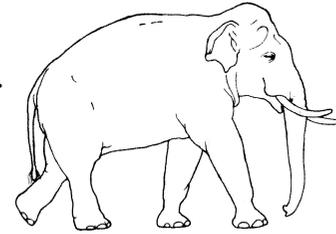
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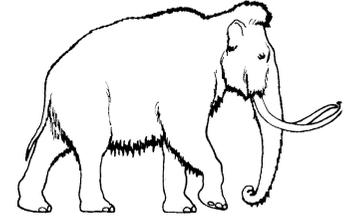
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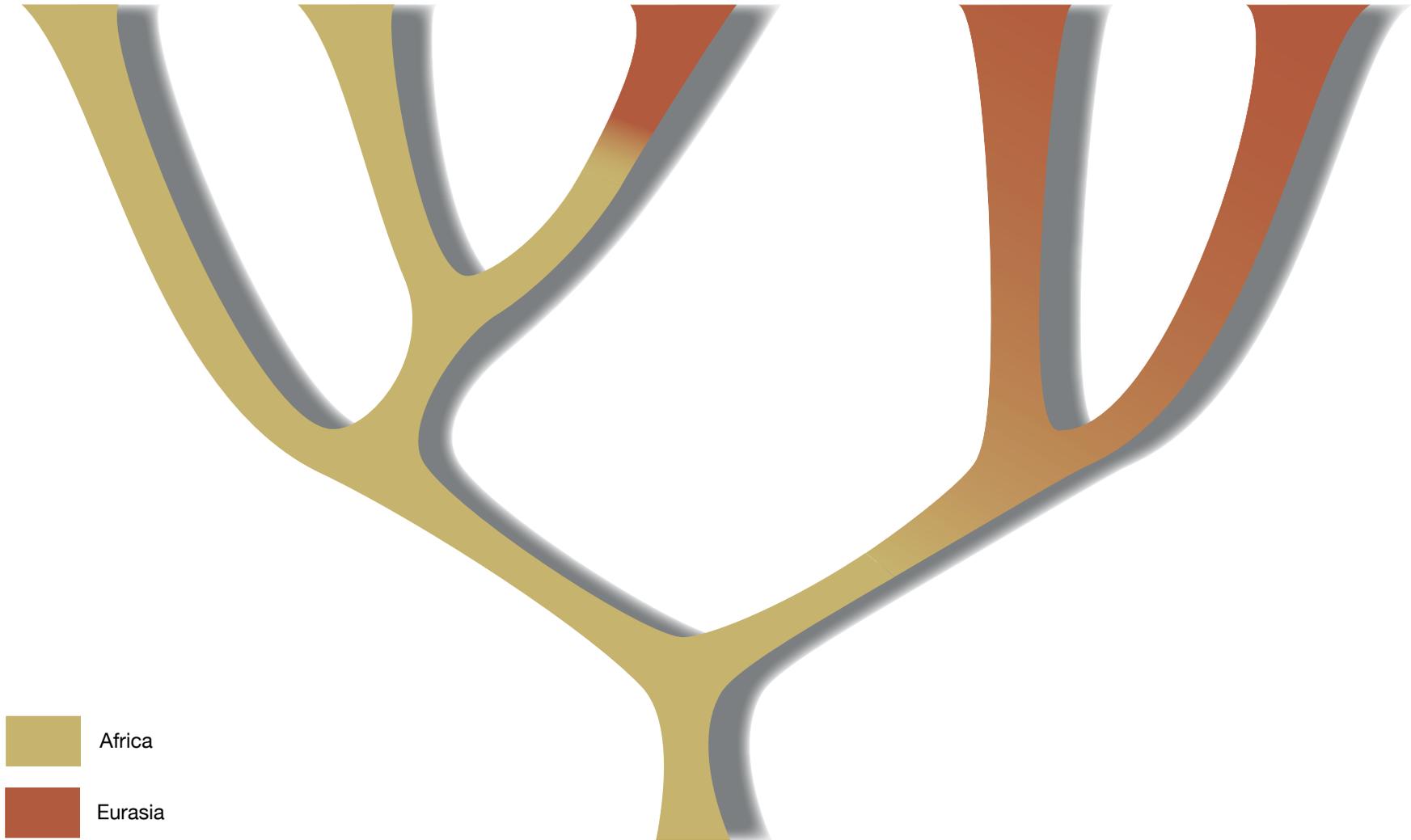
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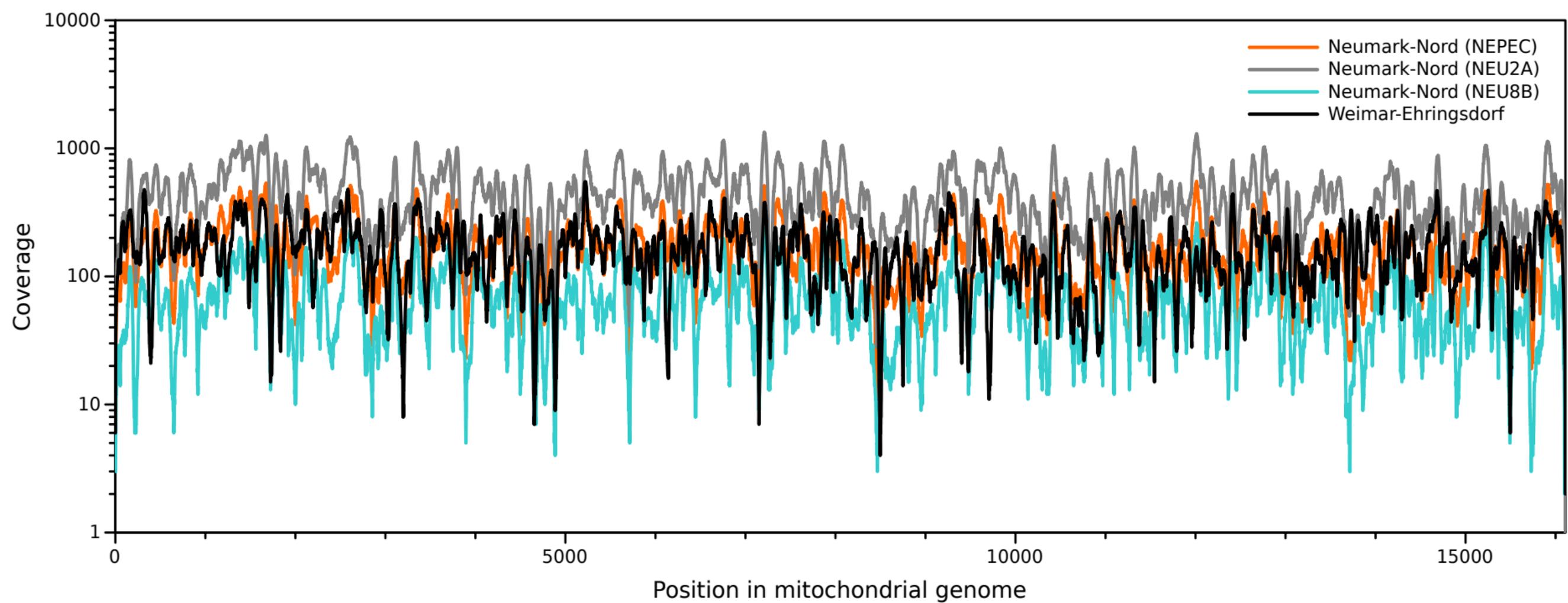


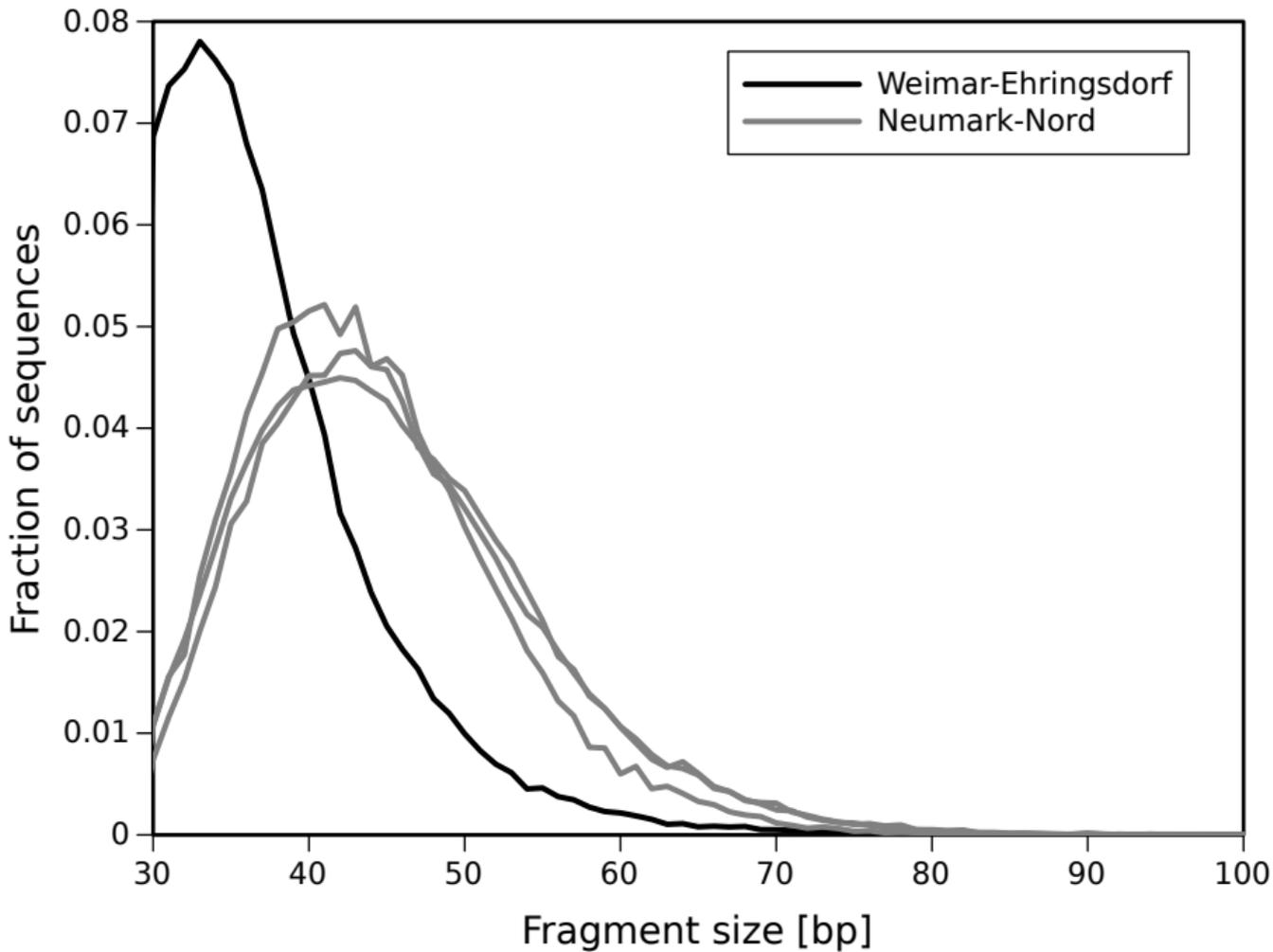
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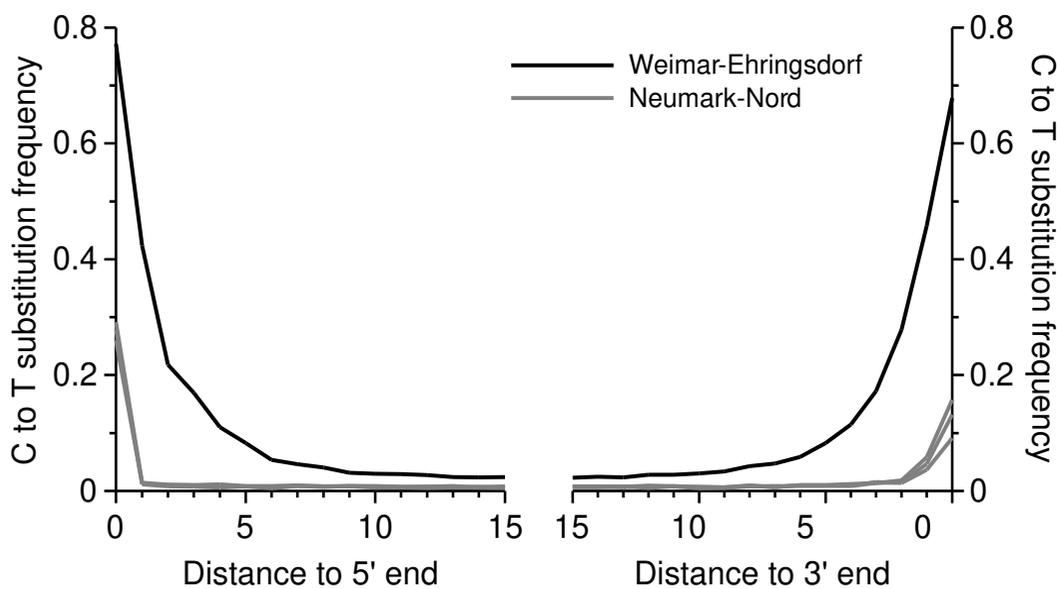
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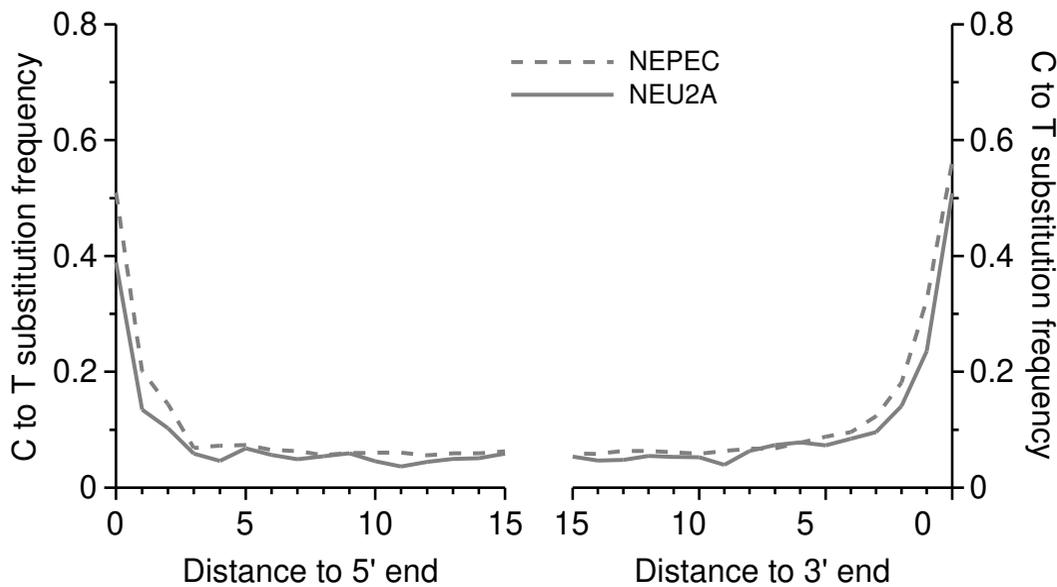




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B





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