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1 **Results of the randomized phase IIB ADMIRE trial of FCR with or without mitoxantrone**  
2 **in previously untreated CLL**

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36

37 Conflicts of interest are noted within the manuscript.

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40

41 **ABSTRACT**

42 ADMIRE was a multi-center, randomized-controlled, open, phase IIB superiority trial in  
43 previously untreated Chronic Lymphocytic Leukemia (CLL). Conventional frontline therapy  
44 in fit patients is fludarabine, cyclophosphamide and rituximab (FCR). Initial evidence from  
45 non-randomized Phase II trials suggested that the addition of mitoxantrone to FCR (FCM-R)  
46 improved remission rates. 215 patients were recruited to assess the primary endpoint of  
47 complete remission (CR) rates according to IWCLL criteria. Secondary endpoints were  
48 progression-free survival (PFS), overall survival (OS), overall response rate, minimal residual  
49 disease (MRD) negativity and safety. At final analysis, CR rates were 69.8% FCR vs. 69.3%  
50 FCM-R [adjusted odds ratio (OR):0.97; 95%CI:(0.53-1.79), p=0.932]. MRD-negativity rates  
51 were 59.3% FCR vs. 50.5% FCM-R [adjusted OR:0.70; 95%CI:(0.39-1.26), p=0.231]. During  
52 treatment, 60.0% (n=129) of participants received G-CSF as secondary prophylaxis for  
53 neutropenia, a lower proportion on FCR compared with FCM-R (56.1% vs 63.9%). The  
54 toxicity of both regimens was acceptable. There are no significant differences between the  
55 treatment groups for PFS and OS. The trial demonstrated that the addition of mitoxantrone to  
56 FCR did not increase the depth of response. Oral FCR was well tolerated and resulted in  
57 impressive responses in terms of CR rates and MRD negativity compared to historical series  
58 with intravenous chemotherapy.

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65 **INTRODUCTION**

66 Chronic lymphocytic leukaemia (CLL) is a lymphoproliferative disorder accounting for 30%  
67 of adult leukaemia and 25% of Non-Hodgkin Lymphoma. CLL is the commonest form of  
68 leukaemia above the age of 50 years with a median age of diagnosis of 70 years. The treatment  
69 of CLL is tailored around the physical state of the patient due to toxicity associated with the  
70 chemotherapy-based treatments.

71 CLL is still an incurable disease, and most patients will eventually become resistant to  
72 treatment. For physically fit patients, combination chemo-immunotherapy in the form of  
73 fludarabine, cyclophosphamide and rituximab (FCR) has become the standard of care based on  
74 evidence from large randomised controlled trials(1-3). Updated analysis suggested an  
75 improvement in progression free survival (PFS) and overall survival (OS) in patients treated  
76 with FCR over FC(1, 4). Hence, this combination is considered to be the gold-standard first-  
77 line treatment in patients deemed to be suitable for fludarabine-based treatment.

78 The addition of mitoxantrone to fludarabine-based therapy has been found to induce high  
79 response rates in a variety of lymphoproliferative disorders including follicular NHL(5) and  
80 mantle cell lymphoma(6). The addition of mitoxantrone to fludarabine and cyclophosphamide  
81 (FCM) has been assessed in a phase II clinical trial in which 69 CLL patients requiring therapy  
82 were given this combination as frontline treatment(7). This trial reported a CR rate of 64% with  
83 Minimal Residual Disease (MRD) negativity rate of 26% and Overall Response Rate (ORR)  
84 of 90%. The same group reported the combination of FCM-R in 72 previously untreated  
85 patients resulting in an ORR of 93% and a CR rate of 82% of which 46% achieved an MRD-  
86 negative CR(8) which appeared higher than expected for FCR. FCM-R has also been reported  
87 in patients with relapsed/refractory CLL. Two trials involving 60 and 29 patients with relapsed  
88 refractory CLL reported an ORR with FCM of 78% and 79%, respectively, with 30 (50%) and

89 9 (32%) patients, achieving a CR(9, 10). We previously reported a randomised phase II trial of  
90 52 patients with relapsed CLL, with ORR with FCM and FCM-R of 58% and 65%,  
91 respectively(11) and an acceptable toxicity profile. Eight (15.4%) patients in this trial achieved  
92 MRD negativity.

93 The ADMIRE (Does the ADdition of Mitoxantrone Improve REsponse to FCR chemotherapy  
94 in patients with CLL) trial was designed to assess whether the addition of mitoxantone to FCR  
95 increases the depth of response in previously untreated patients with CLL requiring therapy in  
96 comparison to the standard FCR treatment. The current literature suggests that patients who  
97 respond to therapy and do not have detectable CLL by extremely sensitive techniques have a  
98 significantly prolonged survival(12-14). Therefore, one of the key secondary objectives was to  
99 compare MRD negativity within each treatment group.

100

## 101 **PATIENTS AND METHODS**

### 102 Trial Design

103 ADMIRE was a multi-center, randomized, controlled, open-label, parallel-group, phase IIB  
104 superiority trial assessing FCR (control) versus FCM-R (experimental) for previously untreated  
105 patients with CLL requiring treatment by IWCLL criteria(15). Patients were randomly  
106 allocated via a central computer-generated minimization programme that incorporated a  
107 random element 1:1 to receive oral fludarabine, cyclophosphamide and intravenous rituximab  
108 with or without intravenous mitoxantrone. Randomization was stratified to ensure balance for  
109 center, Binet Stage (Progressive A or B, C), age group ( $\leq 65$ ,  $>65$ ) and sex.

110 The primary objective of the trial was to assess whether the addition of mitoxantrone to FCR  
111 improved CR rates in patients with previously untreated CLL. The results would be used to

112 determine whether a larger randomized Phase III trial to formally assess survival was  
113 appropriate.

114 An independent Data Monitoring Committee (DMC) was established to review the safety and  
115 ethics of the trial. The DMC reviewed unblinded safety data on a six-monthly basis and  
116 unblinded safety and trial progress reports on an annual basis. The DMC reported to an  
117 established trial steering committee (TSC) that provided general oversight for the trial.

118 The trial was approved by relevant institutional ethical committees and regulatory review  
119 bodies, and was conducted in accordance with the Declaration of Helsinki and Good Clinical  
120 Practice. The trial was registered as an International Standard Randomized Controlled Trial  
121 (ISRCTN42165735); and on the European Clinical Trials Database (EudraCT: 2008-006342-  
122 25).

## 123 Patients

124 The trial was planned to include 218 patients from hospitals around the United Kingdom (UK).  
125 Eligible patients had: progressive CLL requiring treatment by IWCLL criteria(15); no prior  
126 treatment for CLL; WHO performance status 0-2; Binet Stage progressive A, B or C; and  
127 provided written consent. Patients were not eligible if they had Hepatitis B or C; an active  
128 secondary malignancy (excluding basal cell carcinoma of the skin); an active infection; or past  
129 history of anaphylaxis following exposure to rat- or mouse-derived complementarity  
130 determining region (CDR)-grafted humanized monoclonal antibody. Patients with creatinine  
131 clearance greater than 30 ml/min were allowed to enter the trial with guidance on dose  
132 reduction for fludarabine. Patients with a 17p-deletion were eligible for enrollment due to lack  
133 of treatment options at the time of designing the trial. Patients were able to withdraw from the  
134 trial at any time.

135 Treatment and Assessments

136 Treatment with FCR or FCM-R was repeated every 28 days for a total of six cycles.  
137 Fludarabine and cyclophosphamide were administered orally at doses of 24 mg/m<sup>2</sup>/day and  
138 150 mg/m<sup>2</sup>/day, respectively, for the first five days of each cycle. These doses are  
139 pharmacologically equivalent to the doses used when FCR is given intravenously for CLL(16).  
140 Mitoxantrone was administered intravenously on day 1 at a dose of 6 mg/m<sup>2</sup> in the FCM-R  
141 group. Rituximab was administered intravenously at 375 mg/m<sup>2</sup> on day 1 of cycle 1 and 500  
142 mg/m<sup>2</sup> in cycles 2-6. In participants with lymphocyte counts greater than 25x10<sup>9</sup>/L, the dose  
143 of rituximab was split to 100 mg on day 1 with the remaining dose given on day 2 to reduce  
144 the risk of infusion related reactions. Participants unable to tolerate oral chemotherapy were  
145 permitted to receive equivalent intravenous doses of fludarabine (25 mg/m<sup>2</sup>/day for 3 days) and  
146 cyclophosphamide (250mg/m<sup>2</sup>/day for 3 days). All participants were given allopurinol at least  
147 in cycle 1. PCP prophylaxis and acyclovir were given throughout the treatment. Secondary  
148 prophylaxis with granulocyte-colony stimulating factor (G-CSF) was recommended for  
149 patients experiencing scheduled delays due to neutropenia. Appropriate dose reductions were  
150 recommended in patients with therapy-related cytopenias.

151 Participants were assessed for response at 3 months post treatment and at 12, 18 and 24 months  
152 post randomization in the absence of disease progression requiring treatment. Long-term  
153 annual follow-up for survival is being performed until death.

154 Endpoints

155 The primary endpoint was CR rate (including CRi) at 3 months post treatment. Response was  
156 centrally assessed according to IWCLL criteria(15) by two independent, experienced CLL  
157 haematologists blinded to treatment allocation. An independent arbiter reviewed discordant



158 reports.

159 Secondary endpoints at 3 months post treatment included: MRD negativity assessed in the bone  
160 marrow by highly sensitive multi-parameter flow cytometry with a level of detection below 1  
161 CLL cell in 10 000 leukocytes(14); ORR defined as at least partial remission (PR); and safety  
162 and toxicity as graded by CTCAE V3.0(17).

163 Longer-term secondary endpoints included PFS, OS and time to MRD relapse in participants  
164 who became MRD negative.

#### 165 Sample Size

166 The sample size was based on testing the null hypothesis of no difference in CR rates between  
167 the treatment groups. The CR rate with FCR was estimated to be 50%, with a clinically  
168 important improvement considered to be 20%. With a 2-sided 5% level of significance and  
169 80% power, 103 participants were required in each group. Allowing for a 5% dropout rate, the  
170 recruitment target was 218 participants.

#### 171 Statistical Methods

172 All analyses were conducted on the intention-to-treat (ITT) population, in which participants  
173 were included according to their randomized treatment. Safety analyses included participants  
174 according to treatment received.

175 Methods for handling missing endpoint data were pre-specified and approved by the Chief  
176 Investigator. Participants with a missing assessment who died from CLL or treatment-related  
177 toxicity prior to their primary endpoint assessment, or discontinued treatment early due to non-  
178 response or toxicity, were treated as non-responders/MRD-positive. In the formal statistical  
179 analysis of the primary endpoint, for participants with at least a PR but missing trephine data  
180 to confirm a CR, imputation methods treated MRD-negative participants as having a CR and

181 MRD-positive as not, although summaries report the un-imputed data. Participants without an  
182 available endpoint assessment were not included in the formal statistical analysis of the primary  
183 endpoint. This was appropriate as it can be assumed that data are missing completely at random  
184 (MCAR), since assessments were most likely unavailable due to samples being un-assessable  
185 or missed in error, rather than participant refusal due to level of response or treatment  
186 allocation. Sensitivity analyses assessed the robustness of the assumptions regarding missing  
187 primary endpoint data.

188 Binary logistic regression models compared CR rates, proportions with undetectable MRD and  
189 ORR between the treatment groups, adjusted for the minimization factors, excluding center. The  
190 differences in proportions are reported with 95% confidence intervals (CIs).

191 Kaplan-Meier curves are presented for the PFS and OS endpoints. Restricted mean survival  
192 time (RMST), used in the event of non-proportional hazards (18), estimated the area under the  
193 PFS curves, and treatment groups were compared using generalized linear regression, adjusted  
194 for the minimization factors, excluding center. Cox regression analysis formally compared OS  
195 between treatment groups. Participants without evidence of an event at the time of analysis  
196 were censored at the last date they were known to be alive and event-free.

197 Safety analyses summarized the number of safety events occurring after randomization  
198 including treatment-related mortalities (within 3 months post-treatment) and incidence of  
199 secondary cancers.

200 Pre-specified exploratory subgroup analyses assessed the heterogeneity of the treatment effect  
201 among subgroups of interest for the primary endpoint, PFS and OS. Formal statistical testing  
202 between subgroups was not appropriate due to multiple testing errors and the reduced numbers  
203 in each subgroup. Subgroup analyses were interpreted with caution and treated as hypothesis  
204 generating.

205

206 **RESULTS:**

207 Patient Characteristics

208 Two-hundred and fifteen participants were recruited between July 2009 and April 2012 (FCR:  
209 107, FCM-R: 108) from 29 UK institutions with local ethical and management approval. The  
210 planned recruitment period ended before the target of 218 could be met. At the time of  
211 reporting, it has been approximately 7 years since the trial opened to recruitment, with a median  
212 follow-up of 5 years.

213 The CONSORT diagram(19) (Figure 1) shows the flow of participants through the trial. The  
214 baseline characteristics are displayed in Table 1. The median age was 62 years (range 33–77)  
215 with 74 participants (34.4%) aged >65 years. There was a male predominance [163 (75.8%)]  
216 and 27 participants (12.6%) were Binet stage progressive A, 111 (51.6%) stage B and 77  
217 (35.8%) stage C. A majority of participants [124 (57.7%)] were WHO performance status (PS)  
218 0, with 83 (38.6%) PS 1 and 8 (3.7%) PS 2. Overall, 98 participants (45.6%) had B-symptoms  
219 [FCR: 51 (47.7%); FCM-R: 47 (43.5%)], whilst 123 (57.2%) had a  $\beta$ 2-microglobulin  
220 concentration of  $\geq$ 4mg/L and 30 (14.0%) had creatinine clearance levels of 30-60 mls/min. Of  
221 the evaluable participants, 14/203 (6.9%) had a 17p deletion (FCR: 9/100 (9.0%); FCM-R:  
222 5/103 (4.9%)) and 38/203 (18.7%) an 11q deletion (FCR: 18/100 (18.0%); FCM-R: 20/103  
223 (19.4%)). 127/201 participants (63.2%) were considered to be ‘poorer risk’ in terms of V<sub>H</sub>  
224 mutational status i.e. V<sub>H</sub> unmutated or involving the V<sub>H</sub>3-21 gene [FCR: 68/101 (67.3%);  
225 FCM-R: 59/100 (59.0%)].

226 Treatment

227 Of the 215 participants, 154 (71.6%) received 6 cycles of treatment [FCR: 82 (76.6%); FCM-  
228 R: 72 (66.7%)] (Table 2), and 24 (11.2%) received  $\leq 3$  cycles of treatment [FCR: 11 (10.3%);  
229 FCM-R: 13 (12.0%)]. Four participants did not receive any protocol treatment [FCR: 3 (2.8%);  
230 FCM-R: 1 (0.9%)], three did not meet the eligibility criteria, and one participant allocated to  
231 receive FCR was removed by the treating clinician (Figure 1). Sixty-one participants (28.4%)  
232 discontinued treatment prematurely [FCR: 25 (23.4%); FCM-R: 36 (33.3%)] (Table 2).  
233 Reasons included: toxicity (n=43); progressive disease (n=2); stable disease with no/minimal  
234 response (n=2); ineligibility (n=4); participant choice (n=3); clinician decision (n=5); other  
235 (n=2). Overall, 129 (60.0%) participants received G-CSF during treatment as recommended in  
236 the protocol as secondary prophylaxis, with a higher proportion in the FCM-R group [FCR: 60  
237 (56.1%); FCM-R: 69 (63.9%)]. Twenty participants unable to tolerate oral chemotherapy  
238 received equivalent intravenous doses [FCR: 8 (7.5%), FCM-R: 12 (11.1%)].

### 239 Efficacy

240 Of the 215 participants, 125 (58.1%) achieved a CR [FCR: 60 (56.1%); FCM-R: 65 (60.2%)]  
241 (Table 3). In the formal analysis of the primary endpoint including imputation based on MRD  
242 outcome, 137/197 (69.5%) achieved a CR, with a similar proportion in each treatment group  
243 [FCR: 67/96 (69.8%); FCM-R: 70/101 (69.3%)] (Table 3). The difference in response rates  
244 (FCM-R – FCR) was -0.5% (95% CI: -13.3%, 12.4%). In the logistic regression analysis, the  
245 odds ratio (OR) for achieving a CR with FCM-R compared to FCR was 0.97 (95% CI: 0.53,  
246 1.79), p-value=0.932, concluding that the difference between the groups is not significant at  
247 the 5% level. The sensitivity analyses did not alter the findings.

248 There were no large differences in proportion of participants achieving a CR by gender [Male:  
249 100/148 (67.6%), Female: 37/49 (75.5%)], age group [ $\leq 65$ : 91/130 (70.0%),  $>65$ : 46/67  
250 (68.7%)] or Binet stage [Progressive A/B: 93/130 (71.5%), C: 44/67 (65.7%)]. A significantly

251 higher proportion of participants who received >3 cycles of treatment achieved a CR [>3cycles:  
252 135/183 (73.8%); ≤3 cycles: 2/14 (14.3%); difference (95%CI): -59.5% (-78.9%, -40.1%)].

253 Lower proportions of participants with a 17p-deletion, 11q-deletion and 'poorer risk' V<sub>H</sub>  
254 mutational status achieved a CR [17pdel: 5/11 (45.5%); no 17pdel: 124/176 (70.5%)], [11qdel:  
255 23/37 (62.2%); no 11qdel: 106/150 (70.7%)], [V<sub>H</sub> unmutated or V<sub>H</sub>3-21: 76/117 (65.0%); V<sub>H</sub>  
256 mutated: 52/69 (75.4%)].

257 Of the 215 participants, 191 (88.8%) achieved at least a PR [FCR: 93 (86.9%), FCM-R: 98  
258 (90.7%)] Of the assessable participants, the ORR was 97.0% (191/197), with a similar  
259 proportion in each treatment group [FCR: 93/96 (96.9%), FCM-R: 98/101 (97.0%), with a  
260 difference (FCM-R – FCR) of 0.15% (95% CI: -4.6%, 5.0%). A binary logistic regression  
261 analysis was unable to be performed due to the small number of participants in the non-  
262 responders group.

263 Of the 215 participants, 101 (47.0%) achieved MRD negativity assessed in the bone marrow  
264 three-months post-therapy [FCR: 54 (50.5%); FCM-R: 47 (43.5%) (Table 3). In the formal  
265 analysis of MRD (excluding participants with a missing MRD assessment), 101/184 (54.9%)  
266 achieved MRD negativity [FCR: 54/91 (59.3%), FCM-R: 47/93 (50.5%)]. There was a non-  
267 significant trend towards FCM-R resulting in lower MRD negativity rates at 3 months post-  
268 treatment with a difference (FCM-R – FCR) of -8.8% (95% CI: -23.1%, 5.5%), adjusted OR:  
269 0.70 [95% CI: (0.39, 1.26), p=0.231] (Table 3).

270 At the time of analysis (4-years post-randomization of the final participant), 42 (19.5%)  
271 participants have died [FCR: 24 (22.4%), FCM-R: 18 (16.7%)], and 89 (41.4%) have either  
272 progressed or died [FCR: 44 (41.1%), FCM-R: 45 (41.7%)]. Figure 2 presents the PFS and OS  
273 Kaplan-Meier curves by treatment group. The mean PFS time up to a restricted time of 72  
274 months post randomization was 51.7 and 52.3 months in the FCR and FCM-R groups,

275 respectively. The difference in the restricted mean survival between the treatment groups was  
276 not significant [FCM-R vs FCR: parameter estimate: 0.48, SE: 3.23, p=0.8823]. For OS, the  
277 hazard ratio (HR) (FCM-R vs FCR) was not significant in the adjusted Cox regression model  
278 [HR&95%CI: 0.75 (0.41, 1.39), p=0.3596].

279 Of the 101 participants who were MRD negative in the bone marrow at 3 months post treatment  
280 (Table 3), 23 (22.8%) have either relapsed at the MRD level in the peripheral blood or  
281 progressed [FCR: 11/54 (20.4%), FCM-R: 12/47 (25.5%)]. The curves are not presented due  
282 to the small number of events.

283 For the planned subgroup analyses, Kaplan-Meier curves demonstrated an improved PFS for  
284 participants who achieved a CR or MRD negativity at 3 months post-treatment, and for those  
285 with a V<sub>H</sub> mutated gene (and not V<sub>H</sub>3-21) i.e. 'standard risk' patients (Figure 3). Subgroup  
286 analyses for OS show similar trends.

## 287 Safety and Toxicity

288 The safety population included 212 participants (Figure 1). 156 SAEs were reported from 97  
289 (45.8%) participants, a lower proportion receiving FCR (41.9%) compared to FCM-R (49.5%).  
290 116 Serious Adverse Reactions (SARs) were reported from 76 (35.8%) participants [FCR: 55  
291 events from 36 (34.3%); FCM-R: 61 events from 40 (37.4%)]. The most commonly reported  
292 SARs, 65.5% of events (n=76) were infections and infestations. Ninety-two (43.4%)  
293 participants required hospitalization for an SAE [FCR: 43 (41.0%); FCM-R: 49 (45.8%)]  
294 (Table 4).

295 One Suspected Unexpected Serious Adverse Reaction (SUSAR) was reported from a  
296 participant receiving all 6 cycles of FCM-R. They experienced prolonged myelosuppression

297 and had a hypoplastic marrow on their 3-month post-treatment bone marrow aspirate. The  
298 event was suspected to be related to F, C and M.

299 Non-serious adverse events (AEs) were reported from 210 (99.1%) participants, with similar  
300 proportions in each treatment group. Of the 2914 AEs reported, 468 (16.1%) were graded as  
301 CTCAE grade 3 or above [FCR: 222 (15.9%); FCM-R: 246 (16.2%)] (Table 4).

302 There was one treatment-related mortality reported within 3 months of the end of protocol  
303 treatment from a participant receiving FCR.

304 Within 5 years of participants ending treatment, 39 participants (18.4%) had been diagnosed  
305 with a secondary cancer [FCR: 19 (18.1%); FCM-R: 20 (18.7%)]. The most commonly  
306 reported secondary cancers were non-melanoma skin cancers in 6.1% (n=13) of participants,  
307 followed by non-hematological solid tumors in 5.7% of participants (n=12) (Table 4).

308

## 309 **DISCUSSION**

310 This multi-center collaborative trial demonstrates that oral FCR results in extremely high  
311 response and MRD negative rates (ORR: 97%, CR: 70%, MRD negativity: 59%). Trial follow-  
312 up is still relatively immature (median 5 years) and there are a high number of censored  
313 observations but to date the PFS and OS are favorable compared to previous studies. The mean  
314 PFS for both trial arms is similar with no significant difference. PFS was improved in  
315 participants achieving CR and MRD negativity. Participants with mutated V<sub>H</sub> genes (excluding  
316 V<sub>H</sub>3-21) had improved PFS compared to those with unmutated V<sub>H</sub> genes or using V<sub>H</sub>3-21. The  
317 FCM-R group results appear equivalent, but the depth of responses was no higher with the  
318 addition of mitoxantrone to FCR (OR rate: 97%; CR rate: 69%; MRD negativity rate: 51%).  
319 The MRD negativity rate in bone marrow at 3 months post treatment is lower in the FCM-R

320 group, although the difference is not statistically significant ( $p=0.231$ ). The median age of  
321 participants was 62 years, which is comparable to other front-line CLL trials of fludarabine-  
322 based therapies. 89% of the participants received greater than three cycles of treatment, and  
323 72% of the participants received all six cycles of treatment. PCP and acyclovir prophylaxis was  
324 recommended for all participants. Secondary prophylaxis with G-CSF was administered to  
325 60% of participants, enabling the delivery of a maximum number of treatment cycles. This may  
326 explain the high response and MRD-negative rates in our trial. The dose of fludarabine was  
327 reduced by 50% in participants with creatinine clearance between 30-60 mls/min. The 30  
328 (14%) participants with creatinine clearance of 30-60 mls/min had a similar CR/CRi rate of  
329 73.4%. This might suggest that selected participants considered unfit for FCR due to renal  
330 dysfunction can tolerate dose-modified FCR with high response rates.

331 A slightly higher proportion of participants experienced a SAR with FCM-R (FCR: 34.3% vs  
332 FCM-R: 37.4%) and the number of SARs reported overall was higher for FCM-R (FCR: 55 vs  
333 FCM-R: 61). A similar proportion of grade 3 or 4 AEs were experienced in each treatment  
334 group (FCR: 15.9% vs FCM-R: 16.2%).

335 In summary, we have demonstrated that the addition of mitoxantrone to frontline FCR did not  
336 improve responses but slightly increased toxicity. In view of this, FCM-R will not be taken  
337 forward into a larger definitive Phase III trial. The trial demonstrated that oral FCR given at an  
338 equivalent dose to intravenous FCR yields extremely high response rates compared to historical  
339 series and was well tolerated. This is consistent with the outcome of its companion trial  
340 ARCTIC comparing FCR with FCM-miniR (reported in the companion paper). The  
341 explanation for the high response rates is not certain but is possibly due to the fact that in the  
342 oral regime the same dose of chemotherapy is spread over 5 rather than 3 days and that the  
343 duration of therapy exposure per cycle may be critical. In addition, dose intensity was  
344 optimised by mandating primary prophylaxis with acyclovir and co-trimoxazole, and



345 secondary prophylaxis with G-CSF. It was also possible to use dose adjusted FCR for  
346 participants with impaired renal function.

347 FCR therefore remains the gold-standard therapy for CLL in participants considered fit for  
348 fludarabine-based therapy against which the novel targeted therapies must be tested, with oral  
349 administration of FC giving results at least as good as those obtained with IV administration.

350

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### 361 **CONFLICT OF INTEREST**

362 Prof. Hillmen received research funding and speakers' fees from Roche Pharmaceuticals. Dr.  
363 Rawstron reports personal fees from Roche Pharmaceuticals. Dr. Munir reports personal fees  
364 from Roche Pharmaceuticals. Dr. Bloor reports personal fees, consultancy/advisory fees and  
365 speakers' fees from Roche Pharmaceuticals. Dr. Fegan reports personal fees from Roche  
366 Pharmaceuticals. Dr. Hamblin reports personal fees from Roche Pharmaceuticals. Dr. Gribben  
367 reports personal fees and expenses from Roche Pharmaceuticals.

368 There are no other conflicts of interest to declare in relation to the work described.

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448 **FIGURE LEGENDS**

449 Figure 1: CONSORT Diagram

450 Figure 2: Kaplan Meier Curves for Progression-Free and Overall Survival

451 a. Progression-Free Survival by treatment group

452 b. Overall Survival by treatment group

453 Figure 3: Kaplan-Meier Curves for Progression-Free Survival Subgroup Analyses

454 a. PFS by CR status at three months post-treatment

455 b. PFS by MRD status at three months post-treatment (assessed in the bone marrow)

456 c. PFS by V<sub>H</sub> mutational risk status

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458 Table 1: Baseline Characteristics

459 Table 2: Treatment Summaries

460 Table 3: Efficacy Summaries

461 Table 4: Safety and Toxicity Summaries

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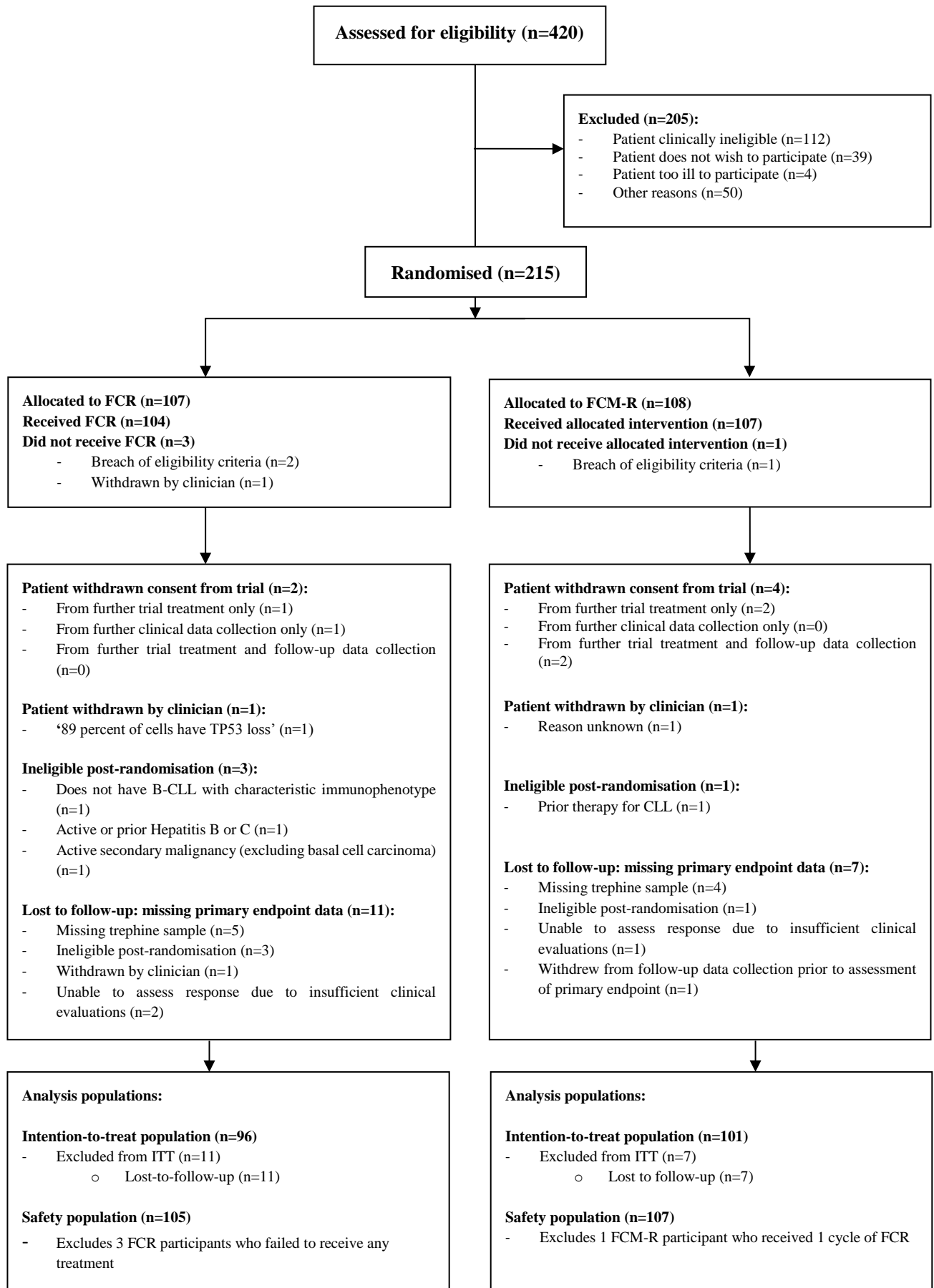
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470 **Figure 1. CONSORT Diagram**

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472 **Table 1. Baseline Characteristics**

	<b>FCR (n=107)</b>	<b>FCM-R (n=108)</b>	<b>Total (n=215)</b>
<b>Age (at randomization)</b>			
≤65	70 (65.4%)	71 (65.7%)	141 (65.6%)
>65	37 (34.6%)	37 (34.3%)	74 (34.4%)
Mean (s.d.)	61.5 (8.0)	61.7 (8.1)	61.6 (8.0)
Median (range)	61 (38, 76)	63 (33, 77)	62 (33, 77)
<b>Sex</b>			
Male	82 (76.6%)	81 (75.0%)	163 (75.8%)
Female	25 (23.4%)	27 (25.0%)	52 (24.2%)
<b>Binet Stage</b>			
Progressive A	13 (12.1%)	14 (13.0%)	27 (12.6%)
B	59 (55.1%)	52 (48.1%)	111 (51.6%)
C	35 (32.7%)	42 (38.9%)	77 (35.8%)
<b>B-symptoms</b>			
Yes	51 (47.7%)	47 (43.5%)	98 (45.6%)
No	56 (52.3%)	60 (55.6%)	116 (54.0%)
Missing	0 (0.0%)	1 (0.9%)	1 (0.5%)
<b>WHO performance status</b>			
0	59 (55.1%)	65 (60.2%)	124 (57.7%)
1	43 (40.2%)	40 (37.0%)	83 (38.6%)
2	5 (4.7%)	3 (2.8%)	8 (3.7%)
<b>Beta-2 microglobulin concentration (mg/L)</b>			
<4 mg/L	39 (36.4%)	45 (41.7%)	84 (39.1%)
≥4 mg/L	64 (59.8%)	59 (54.6%)	123 (57.2%)
Missing	4 (3.7%)	4 (3.7%)	8 (3.7%)
<b>Creatinine clearance (mls/min)</b>			
30-60mls/min	17 (15.9%)	13 (12.0%)	30 (14.0%)
>60mls/min	85 (79.4%)	93 (86.1%)	178 (82.8%)
Missing	5 (4.7%)	2 (1.9%)	7 (3.3%)



	<b>FCR (n=107)</b>	<b>FCM-R (n=108)</b>	<b>Total (n=215)</b>
<b>17p deletion</b>			
Yes (poorer risk)	9 (8.4%)	5 (4.6%)	14 (6.5%)
No (standard risk)	91 (85.0%)	98 (90.7%)	189 (87.9%)
Missing	7 (6.5%)	5 (4.6%)	12 (5.6%)
<b>11q deletion</b>			
Yes (poorer risk)	18 (16.8%)	20 (18.5%)	38 (17.7%)
No (standard risk)	82 (76.6%)	83 (76.9%)	165 (76.7%)
Missing	7 (6.5%)	5 (4.6%)	12 (5.6%)
<b>V<sub>H</sub> mutational risk status</b>			
V <sub>H</sub> unmutated or V <sub>H</sub> 3-21 (poorer risk)	68 (63.6%)	59 (54.6%)	127 (59.1%)
V <sub>H</sub> mutated and not V <sub>H</sub> 3-21 (standard risk)	33 (30.8%)	41 (38.0%)	74 (34.4%)
Unknown	6 (5.6%)	8 (7.4%)	14 (6.5%)

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474 WHO: World Health Organisation

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489 **Table 2. Treatment Summaries**

	<b>FCR (n=107)</b>	<b>FCM-R (n=108)</b>	<b>Total (n=215)</b>
<b>Discontinued treatment prematurely (received &lt;6 cycles)?</b>			
Yes	25 (23.4%)	36 (33.3%)	61 (28.4%)
No	82 (76.6%)	72 (66.7%)	154 (71.6%)
<b>Treatment cycles received</b>			
≤ 3 cycles	11 (10.3%)	13 (12.0%)	24 (11.2%)
> 3 cycles	96 (89.7%)	95 (88.0%)	191 (88.8%)
<b>Received G-CSF during treatment (cycles 2 - 6)?</b>			
Yes	60 (56.1%)	69 (63.9%)	129 (60.0%)
No	43 (40.2%)	34 (31.5%)	77 (35.8%)
Unknown	4 (3.7%)	5 (4.6%)	9 (4.2%)

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491 G-CSF: Granulocyte-colony stimulating factor was given if there was significant neutropenia  
 492 on a previous cycle of treatment

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<b>MRD NEGATIVITY</b>			
<b>MRD status</b>	<b>FCR (n=107)</b>	<b>FCM-R (n=108)</b>	<b>Total (n=215)</b>
MRD negative	54 (50.5%)	47 (43.5%)	101 (47.0%)
MRD positive	37 (34.6%)	46 (42.6%)	83 (38.6%)
Missing	16 (15.0%)	15 (13.9%)	31 (14.4%)
<b>MRD status</b>	<b>FCR (n=91)</b>	<b>FCM-R (n=93)</b>	<b>Difference in MRD- negative rates &amp; 95% CIs (FCM-R - FCR)</b>
MRD negative	54 (59.3%)	47 (50.5%)	-8.8% (-23.1%, 5.5%)
MRD positive	37 (40.7%)	46 (49.5%)	
<b>Logistic regression analysis for the % of participants achieving MRD negativity</b>			
<b>Parameter*</b>	<b>Parameter estimate</b>	<b>SE</b>	<b>OR &amp; 95% CIs</b>
FCM-R vs FCR	-0.36	0.30	0.70 (0.39, 1.26)
<b>COMPLETE RESPONSE</b>			
<b>CR status (prior to imputation using MRD)</b>	<b>FCR (n=107)</b>	<b>FCM-R (n=108)</b>	<b>Total (n=215)</b>
Achieved a CR	60 (56.1%)	65 (60.2%)	125 (58.1%)
Did not achieve a CR	22 (20.6%)	27 (25.0%)	49 (22.8%)
Missing	25 (23.4%)	16 (14.8%)	41 (19.1%)
<b>CR status (post imputation using MRD)</b>	<b>FCR (n=107)</b>	<b>FCM-R (n=108)</b>	<b>Total (n=215)</b>
Achieved a CR	67 (62.6%)	70 (64.8%)	137 (63.7%)
Did not achieve a CR	29 (27.1%)	31 (28.7%)	60 (27.9%)
Missing	11 (10.3%)	7 (6.5%)	18 (8.4%)
<b>CR status (post imputation using MRD)</b>	<b>FCR (n=96)</b>	<b>FCM-R (n=101)</b>	<b>Difference in CR rates &amp; 95% CIs (FCM-R - FCR)</b>
Achieved a CR	67 (69.8%)	70 (69.3%)	-0.5% (-13.3%, 12.4%)
Did not achieve a CR	29 (30.2%)	31 (30.7%)	
<b>PRIMARY ENDPOINT ANALYSIS</b>			
<b>Logistic regression analysis for the % of participants achieving a CR</b>			

<b>Parameter*</b>	<b>Parameter estimate</b>	<b>SE</b>	<b>OR &amp; 95% CIs</b>
FCM-R vs FCR	-0.03	0.31	0.97 (0.53, 1.79)

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508 CR: Complete remission (CR/CRi)

509 MRD: Minimal Residual Disease

510 SE: Standard error

511 OR: Odds ratio

512 \*Adjusted estimate of the treatment effect from the multivariable logistic regression model,  
513 adjusted for the minimization factors

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533 **Table 4 Safety and Toxicity Summaries**

	<b>FCR (n=105)</b>	<b>FCM-R (n=107)</b>	<b>Total (n=212)</b>
<b>Serious Adverse Events (SAEs)</b>			
<b>Number of participants experiencing an SAE</b>	44 (41.9%)	53 (49.5%)	97 (45.8%)
<b>Total number of SAEs reported</b>	72	84	156
<b>Number of participants requiring hospitalization for an SAE</b>	43 (41.0%)	49 (45.8%)	92 (43.4%)
<b>Serious Adverse Reactions (SARs)</b>			
<b>Number of participants experiencing a SAR</b>	36 (34.3%)	40 (37.4%)	76 (35.8%)
<b>Total number of SARs reported</b>	55	61	116
<b>SARs by MedDRA System Organ Class*</b>			
Blood and lymphatic system disorders	4 (7.3%)	7 (11.5%)	11 (9.5%)
Cardiac disorders	1 (1.8%)	1 (1.6%)	2 (1.7%)
Gastrointestinal disorders	4 (7.3%)	2 (3.3%)	6 (5.2%)
General disorders and administration site conditions	9 (16.4%)	8 (13.1%)	17 (14.7%)
Hepatobiliary disorders	0 (0.0%)	1 (1.6%)	1 (0.9%)
Infections and infestations	36 (65.5%)	40 (65.6%)	76 (65.5%)
Injury, poisoning and procedural complications	0 (0.0%)	1 (1.6%)	1 (0.9%)
Renal and urinary disorders	1 (1.8%)	0 (0.0%)	1 (0.9%)
Respiratory, thoracic and mediastinal disorders	0 (0.0%)	1 (1.6%)	1 (0.9%)
<b>Adverse Events (AEs)</b>			
<b>Number of participants experiencing an AE</b>	103 (98.1%)	107 (100%)	210 (99.1%)

	<b>FCR (n=105)</b>	<b>FCM-R (n=107)</b>	<b>Total (n=212)</b>
<b>CTCAE grade</b>			
<3	1171 (83.9%)	1269 (83.6%)	2440 (83.7%)
≥3	222 (15.9%)	246 (16.2%)	468 (16.1%)
Missing	3 (0.2%)	3 (0.2%)	6 (0.2%)
<b>Total</b>	<b>1396 (100%)</b>	<b>1518 (100%)</b>	<b>2914 (100%)</b>
<b>Secondary Cancers</b>			
<b>Number of participants reporting each secondary cancer</b>			
Hematological (Lymphoma)	4 (3.8%)	2 (1.9%)	6 (2.8%)
Hematological (AML/MDS)	3 (2.9%)	3 (2.8%)	6 (2.8%)
Skin (Non-melanoma)	4 (3.8%)	9 (8.4%)	13 (6.1%)
Skin (Melanoma)	1 (1.0%)	2 (1.9%)	3 (1.4%)
Non-hematological (Solid tumors)	6 (5.7%)	6 (5.6%)	12 (5.7%)
Unknown	1 (1.0%)	1 (0.9%)	2 (0.9%)

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535 \*Percentages out of the total number of SARs reported

536 MedDRA: Medical Dictionary for Regulatory Activities

537 CTCAE: Common Terminology Criteria for Adverse Events

538 AML: Acute myeloid leukemia

539 MDS: Myelodysplastic syndrome

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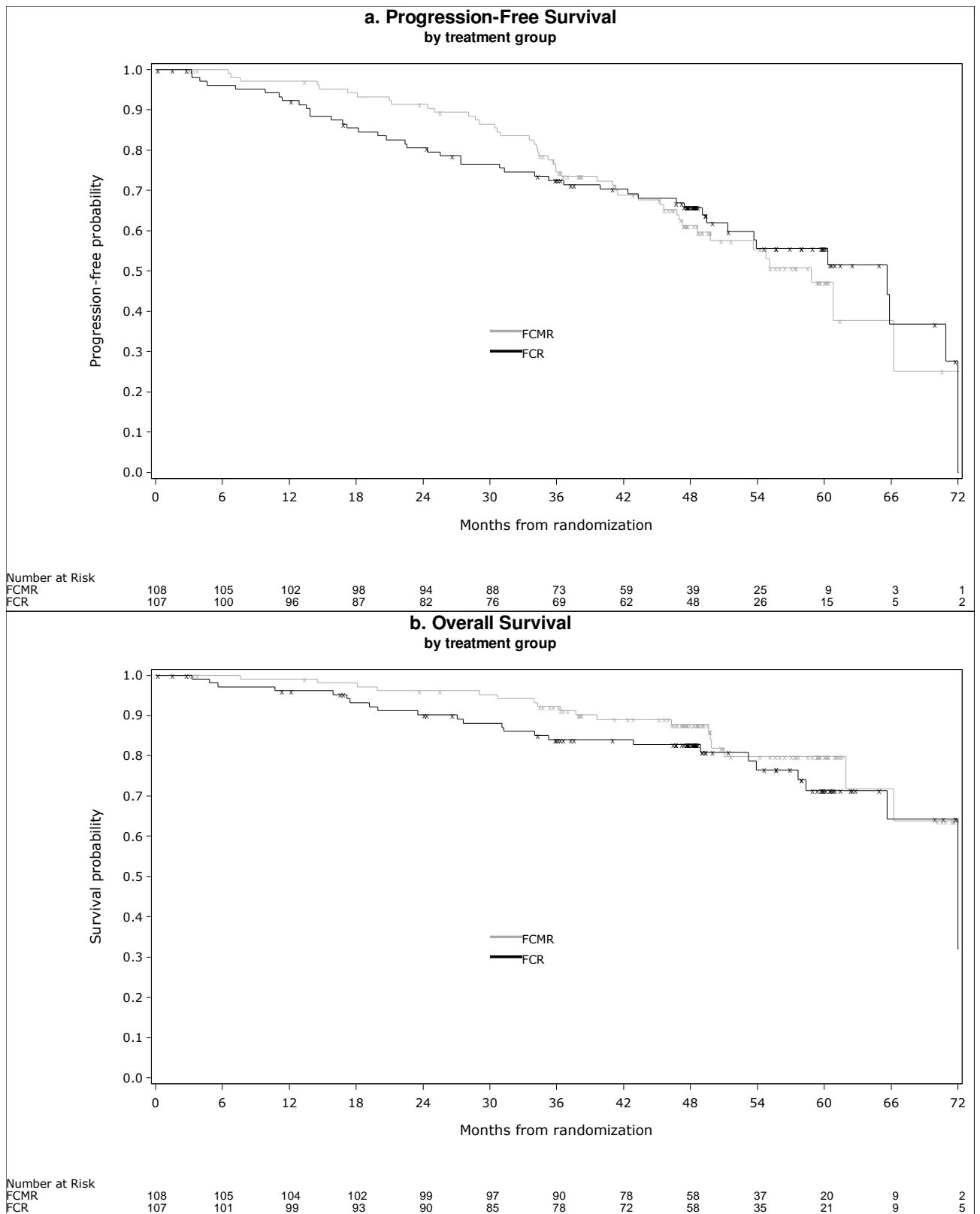
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545 **Figure 2. Kaplan-Meier Curves for Progression-Free and Overall Survival**



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549 **Figure 3. Kaplan-Meier Curves for Subgroup Analyses for Progression-Free Survival**

