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Pritchard, VE orcid.org/0000-0001-5270-4534, Martir, DR, Oldknow, S orcid.org/0000-0002-7506-0062 et al. (5 more authors) (2017) Homochiral self-sorted and emissive Ir(III) metallo-cryptophanes. Chemistry - A European Journal, 23 (26). pp. 6290-6294. ISSN 0947-6539

https://doi.org/10.1002/chem.201701348

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# Homochiral self-sorted and emissive Ir(III) metallo-cryptophanes

Victoria E. Pritchard, [a] Diego Rota Martir, [b] Samuel Oldknow, [a] Shumpei Kai, [c] Shuichi Hiraoka, [c] Nikki J. Cookson, [a] Eli Zysman-Colman\*, [b] and Michaele J. Hardie\*[a]

Dedicated to the memory of Dr Julie Fisher.

Abstract: The racemic ligands (±)-tris(isonicotinoyl)cyclotriguaiacylene (L1), or (±)-tris(4-pyridyl-methyl)-(L2)cyclotriguaiacylene assemble with racemic [lr(ppy)<sub>2</sub>(MeCN)<sub>2</sub>]<sup>+</sup> where ppy = 2-phenylpyridinato to [{Ir(ppy)<sub>2</sub>}<sub>3</sub>(L)<sub>2</sub>]<sup>3+</sup> metallo-cryptophane cages. The crystal structure of  $[\{Ir(ppy)_2\}_3(L1)_2]\cdot 3BF_4$  has  $MM-\Lambda\Lambda\Lambda$  and  $PP-\Delta\Delta\Delta$  isomers, and homochiral self-sorting occurs in solution, a process accelerated by a chiral guest. Self-recognition between L1 and L2 within cages does not occur, and cages show very slow ligand-exchange. Both cages are phosphorescent, with [{Ir(ppy)<sub>2</sub>}<sub>3</sub>(L2)<sub>2</sub>]<sup>3+</sup> having enhanced and blue-shifted emission when compared with  $[{Ir(ppy)_2}_3(L1)_2]^{3+}$ .

Metallo-cages are discrete 3-D coordination assemblies with a hollow interior with applications as hosts and nanoscale vessels.[1] They form through the self-assembly of multidentate ligands with metals, or with metal complexes with controlled available coordination sites ("metallo-tectons"). Luminescent metallo-cages are known.[2-6] with most examples exhibiting fluorescence-active ligands. [2] alongside rarer examples of cages with pendant metalcomplex emissive groups.[3] There are very few examples of metallo-cages constructed from inherently phosphorescent structural components.[4-6] Cyclometalated Ir(III) complexes bearing either two N-donor ligands or one N^N chelating ligand represent an important subclass of phosphorescent materials.[7] Lusby et al reported the enantiopure Ir(III) metallo-cage  $[{Ir(ppy)_2}_{6}(tcb)_4] \cdot (OTf)_6$  (tcb = 1,3,5-tricyanobenzene) [4] which self-assembles, despite the inertness of the  $a^6$  Ir(III) center, as the C,C-cis-N,N-trans arrangement of the ppy ligands has a trans labilising effect. The cage show red-shifted emission compared with a monomeric analogue, and enhanced photoluminescence quantum yields ( $\Phi_{PL}$ ). To date, this is the only report of a 3-D metallo-cage that utilizes [Ir(ppy)2] as the sole metal centre, although mixed metal examples are known.[5]

 [a] Dr V. E. Pritchard, S. Oldknow, Dr N. J. Cookson, Prof. M. J. Hardie School of Chemistry University of Leeds Woodhouse Lane, Leeds LS2 9JT, UK

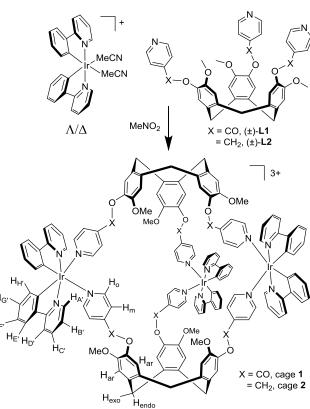
E-mail: m.j.hardie@leeds.ac.uk

D. Rota Martir, Dr E. Zysman-Colman
Organic Semiconductor Centre, EaSTCHEM School of Chemistry
University of St Andrews
St Andrews, Fife KY16 9ST, UK
E-mail: eli.zysman-colman@st-andrews.ac.uk

[c] S. Kai, Prof S. Hiraoka
Department of Basic Science
Graduate School of Arts and Sciences
The University of Tokyo
3-8-1 Komaba, Meguro-ku, Tokyo 153-8902, Japan

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We report herein two metallo-cages of the type  $[\{Ir(ppy)_2\}_3(L)_2]^{3+}$  where L is a chiral tripodal ligand related to the molecular host cyclotriveratrylene (CTV).  $\{M(chelate)\}_3L_2$  cages with CTV-type ligands are known as metallo-cryptophanes, and most examples feature square planar metals.  $^{[8]}$  The  $[\{Ir(ppy)_2\}_3(L)_2]^{3+}$  cages reported here show homochiral sorting on crystallization and in solution, and slow ligand exchange behavior is observed.



Scheme 1. Synthesis of metallo-cryptophane cage species.

Cages  $[\{Ir(ppy)_2\}_3(L1)_2]^{3+}$  **1** and  $[\{Ir(ppy)_2\}_3(L2)_2]^{3+}$  **2** are formed from nitromethane mixtures of  $(\Lambda,\Delta)$ - $[Ir(ppy)_2(MeCN)_2]$ -X  $(X = PF_6^-, BF_4^-)$  and  $(\pm)$ -L1 or  $(\pm)$ -L2 in 3:2 stoichiometry, Scheme 1. Electrospray ionization mass spectrometry (ESI-MS) gives a triply charged m/z peak at 983.1120 (cage **1**) or at 955.2853 (cage **2**), along with  $[\{Ir(ppy)_2\}(L)]^{3+}$  and  $[\{Ir(ppy)_2\}(L)_2]^{3+}$  fragment species (SI Figs. S3, S4). Initial  $^1$ H NMR of  $[Ir(ppy)_2(NCMe)_2]$ -X and L in  $d_3$ -MeNO<sub>2</sub> show considerable broadening of the resonances and chemical shift changes, most saliently the ppy protons ortho to the coordinating N (H<sub>A</sub>-) and C (H<sub>H</sub>-) move upfield and downfield, respectively, and for cage **2** the previously sharp CH<sub>2</sub> bridge singlet of L2 at 5.19 ppm becomes a complex multiplet as free rotation is hindered (Fig. S15). ROESY spectra of **1** and **2** 

give expected couplings, including between  $H_{H^1}$  on the ppys and the *ortho* pyridyl protons of L (Figs. S8, S16). Diffusion ordered NMR in  $d_3$ -MeNO<sub>2</sub> for  $1\cdot3$ PF<sub>6</sub> (Fig. S9) gave a hydrodynamic radius of 18.99 Å.

The structure of  $1.3BF_4\cdot n(MeNO_2)$  was confirmed by crystallography, Fig. 1. [9] There are two independent cage 1 cations that show minor structural differences. Anions and additional solvent were not located due to significant disorder. Each cage has three pseudo-octahedrally coordinated Ir(III) centers, each with two ppy ligands and the pyridyl groups from two L1 ligands in a *cis* arrangement. The two L1 ligands bridge between three Ir(III) centers. Average torsion angle between *cis* pyridyl groups is  $38.04^\circ$ , typical for [Ir(ppy)<sub>2</sub>(pyridyl)<sub>2</sub>]-type complexes [10] with the bowl shape of CTV-type ligands able to accommodate these torsion angles within the cage structure.

Both L1 ligands within each cage 1 are the same enantiomer, giving the chiral anti-cryptophane isomer. Each  $[\text{Ir}(ppy)_2]$  unit within a cage has the same chirality, such that only the enantiomeric  $\textit{MM-}\Lambda\Lambda\Lambda$  and  $\textit{PP-}\Delta\Delta\Delta$  cage isomers are observed in the structure. Given the  $\Lambda$  and  $\Delta$  enantiomers of the  $[\text{Ir}(ppy)_2]^+$  moieties and the M and P enantiomers of the L-types ligands are present in the reaction mixture, there are twelve possible stereoisomers of the cage.

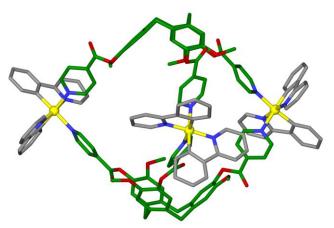


Figure 1. A  $[\{Ir(pp)_2\}_3(L1)_2]^{3+}$  cage from the crystal structure of 1-3BF<sub>4</sub>·n(CH<sub>3</sub>NO<sub>2</sub>), L1 and ppy ligands shown in green and grey respectively.

The <sup>1</sup>H NMR spectra of both cages 1 and 2 undergo significant sharpening upon standing (Figs. S7 and S15), and fully equilibrate after several months. The <sup>1</sup>H NMR spectrum of cage 1.3PF<sub>6</sub> collected after 3 months of standing is virtually identical to that of the single crystals of 1·3BF<sub>4</sub>·n(CH<sub>3</sub>NO<sub>2</sub>) re-dissolved in d<sub>3</sub>-MeNO<sub>2</sub>, Fig. 2a/b. (±)-L1 was resolved into its constituent enantiomers by chiral HPLC,[11] and each L1 enantiomer reacted with each of  $\Lambda$ -[Ir(ppy)<sub>2</sub>(MeCN)<sub>2</sub>]·BF<sub>4</sub> and  $\Delta$ -[Ir(ppy)<sub>2</sub>(MeCN)<sub>2</sub>]·BF<sub>4</sub>. As expected, two combinations were mis-matched pairs of enantiomers that gave poorly resolved <sup>1</sup>H NMR spectra (Figs. S10-11) while two combinations were matched pairs (presumably  $M-\Delta$  and  $P-\Lambda$ ) gave sharp spectra in short timeframes that were similar to the fully sorted cage mixture (Figs. 2d, S12-13). ESI-MS of matched and mis-matched pairs are similar with all combinations showing cage formation (Fig. S14). The observed <sup>1</sup>H NMR spectral sharpening is therefore indicative of equilibration involving chiral self-sorting of an initial mixture of cage stereoisomers, as was also seen in our previous studies of a [Pd<sub>6</sub>(L1)<sub>8</sub>]12+ cage but where only the ligand was a chiral

component.  $\ensuremath{^{[12]}}$  We could not resolve the sorted cages by analytical chiral HPLC.

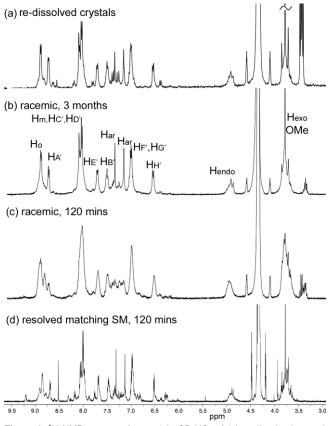


Figure 2. ¹H NMR spectra of cage 1 in  $CD_3NO_2$  of (a) re-dissolved racemic single crystals of MM- $\Lambda\Lambda\Lambda$  and PP- $\Delta\Lambda\Delta$  cages of  $1\cdot 3BF_4$ ; (b)  $(\Lambda,\Delta)$ -[Ir(ppy)<sub>2</sub>(MeCN)<sub>2</sub>]·PF<sub>6</sub> and (±)-L1 3 months after mixing; (c)  $(\Lambda,\Delta)$ -[Ir(ppy)<sub>2</sub>(MeCN)<sub>2</sub>]·PF<sub>6</sub> and (±)-L1 two hours after mixing; (d) matched pair of  $\Delta$ -[Ir(ppy)<sub>2</sub>(MeCN)<sub>2</sub>]· and one L1 enantiomer after 2 hrs.

Homochiral metallo-cages with *tris*-chelate metal coordination are known both from achiral [13a-b] and resolved chiral ligands. [13c-e] Metallo-cages that show homochiral self-sorting from a racemic mixture of ligand enantiomers observed in solution are rare, [14] though include Pd(II) metallo-cryptophanes. [8a] The simultaneous chiral self-sorting of both ligand and pre-formed inert metallo-tecton as reported here has not been previously reported.

In a preliminary investigation of the influence of chiral guests on the self-assembly of cage 1 globular additives were included in 3:2 mixtures of  $(\Lambda,\Delta)$ -[Ir(ppy)<sub>2</sub>(MeCN)<sub>2</sub>]·PF<sub>6</sub> and (±)-L1. Addition of chiral *R*-camphor or *S*-camphor led to noticeably faster sharpening of the <sup>1</sup>H NMR spectra than in their absence, but this was not observed for addition of achiral adamantane (Fig. S15-S20). Interestingly, addition of the related anionic species *R*-(or *S*-)-10-camphorsulfonic acid to the reaction mixture prevents cage formation presumably as carboxylate is a competing ligand for the iridium (Fig. S21-22).

The cages do not show self-recognition of L-ligand species. ESI-MS of a MeNO<sub>2</sub> solution of L1, L2 and [Ir(ppy)<sub>2</sub>(MeCN)<sub>2</sub>]·BF<sub>4</sub> shows a statistical mixture of  $1:[\{Ir(ppy)_2\}_3(L1)(L2)]^{3+}:2$  cage species, Fig. 3. Mixing  $1\cdot3$ BF<sub>4</sub> and  $2\cdot3$ BF<sub>4</sub> in MeNO<sub>2</sub> results in very slow exchange between L1 and L2 with appreciable ligand

**Table 1.** Photophysical properties of complexes  $1 \cdot 3(BF_4)$  and  $2 \cdot 3(BF_4)$ .

	λ <sub>em</sub> (nm)			Φ <sub>PL</sub> (%) <sup>[d]</sup>			τ <sub>e</sub> (ns) <sup>[g]</sup>		
	DCM [a,b,f]	film [c,f]	powder	DCM [a]	Film [c,e]	powd er [e]	DCM [a]	film [c]	powder
1	604	481 (0.7), 514 (1), 556 (0.8)	648	1	5.5	1.3	59 (0.7), 129 (0.3)	634 (0.4), 2319 (0.6)	55 (0.6), 203 (0.4)
2	485 (0.8), 516 (1), 547 (0.6)	486 (0.8), 515 (1), 545 (0.6)	519	15	10	1.6	523 (0.4), 887 (0.6)	688 (0.7), 3042 (0.3)	141 (0.4), 1175 (0.6)

[a] Measurements in degassed DCM at 298 K. [b] Quinine sulfate employed as the external reference ( $\Phi_{PL}=54.6\%$  in 0.5 M  $H_2SO_4$  at 298 K). [c] PMMA doped films (5 wt % of cage) formed by spin-coating deposition on quartz substrate. [d]  $\Phi_{PL}$  measurements were carried out under nitrogen ( $\lambda_{exc}=360$  nm). [e] values obtained using an integrating sphere. [f] Principal emission peaks listed with values in brackets indicating relative intensity. [g]  $\lambda_{exc}=378$  nm; Values in parentheses are pre-exponential weighting factor, in relative % intensity. of the emission decay kinetics.

exchange only observed after 4 weeks, and near-statistical mixing reached after 10 weeks (Figure S6). Thus these cages have a high degree of kinetic stability but are not completely inert. It is interesting to note that this speciation behavior is in contrast with recently reported  $[\text{Pd}_3\text{L}_2]^{6+}$  metallo-cryptophanes, which exclusively formed homocages from two different L-type ligands, with no ligand exchange.  $^{[8a]}$ 

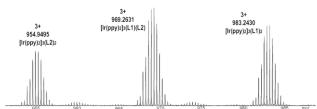


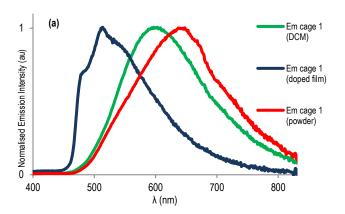
Figure 3. ESI-MS of a 1:1:3 mixture of L1:L2: [Ir(ppy)<sub>2</sub>(MeCN)<sub>2</sub>]·BF<sub>4</sub> in MeNO<sub>2</sub> showing formation of statistical mixture of homoleptic and heteroleptic cages.

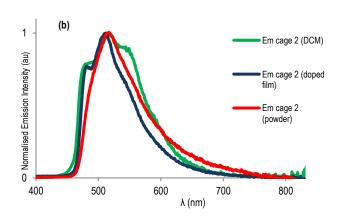
The absorption spectra of **1** and **2** in dichloromethane (DCM) are similar to other  $[Ir(ppy)_2(N^N)]^+$  systems,  $^{[7]}$  and characterised by two intense ligand centered ( $^1LC$ ) transitions between 260 and 320 nm localised on the ppy and three lower intensity broad bands at below 380 nm that consist of spinallowed and spin-forbidden mixed metal-to-ligand and ligand-to-ligand charge transfer ( $^1MLCT/^1LLCT$  and  $^3MLCT/^3LLCT$ ) transitions (Fig. S26). The weak CT transition observed for **1** at 470 nm was not reported for the monomeric  $[Ir(ppy)_2(4-pyCO_2Et)_2]^+$  ( $4-pyCO_2Et=4$ -ethyl isonicotinate), $^{[10c]}$  pointing to increased conjugation in **1** due to the CTV scaffold. For both **1** and **2**, the excitation spectra in DCM match the absorption spectra and indicate a single photophysically-active species.

Cages 1 and 2 are emissive in DCM solution and in the solid state. Upon photoexcitation of 1, a broad and unstructured emission is observed both in DCM and in the powder, Fig. 4a, due to emission from a mixed  $^3MLCT/^3LLCT$  state.  $^{[7]}$  The photoluminescence spectrum in the powder is red-shifted ( $\lambda_{max}=$ 

648 nm) compared to that in DCM ( $\lambda_{max}$  = 604 nm); however, 1 possesses similarly low  $\Phi_{PL}$  of around 1% and bi-exponential decay kinetics in both media, Table 1. Due to the increased conjugation into the CTV scaffold, cage 1 shows red-shifted emission and similar  $\Phi_{PL}$  compared to [lr(ppy)<sub>2</sub>(4-pyCO<sub>2</sub>Et)<sub>2</sub>]<sup>+</sup> ( $\lambda_{max}$  = 560 nm;  $\Phi_{PL}$  = 2%).[10c] Lusby's [{lr(ppy)<sub>2</sub>}<sub>6</sub>(tcb)<sub>4</sub>]<sup>6+</sup> cage also showed red-shifted emission ( $\lambda_{max}$  = 575 nm) when compared with the corresponding [lr(ppy)<sub>2</sub>(NCPh)<sub>2</sub>]OTf complex ( $\lambda_{max}$  = 525 nm); however, unlike for cage 1 and other lr(ppy)<sub>2</sub> discrete supramolecular systems,[15] the  $\Phi_{PL}$  for the Lusby cage was enhanced compared with that of the mononuclear complex ( $\Phi_{PL}$  = 4% cf.  $\Phi_{PL}$  = < 1%).[4]

In order to mitigate non-radiative vibrational motion in the cage we spin-coated 5 wt % of 1 in polymethyl methacrylate (PMMA), which serves as an inert matrix. The emission in the thin film was blue-shifted and more structured ( $\lambda_{\text{max}} = 514$  nm) compared to both the powder and solution spectra. The  $\Phi_{\text{PL}}$  of 5.5% was enhanced as a result of the rigidification conferred by the PMMA host and the emission lifetimes were significantly longer ( $\tau_{\text{e}} = 634$  and 2319 ns).





**Figure 4.** Normalised photoluminescence spectra of a) 1·3BF<sub>4</sub> and b) 2·3BF<sub>4</sub>. Dotted lines de-areated DCM solution; dashed lines PMMA doped films with 5 wt % of cages spin-coated on a quartz substrate; red lines bulk powders.

The photoluminescence spectrum of cage  ${\bf 2}$  in DCM is more structured and blue-shifted ( $\lambda_{max}=516$  nm) compared to  ${\bf 1}$ , indicating emission that is more predominantly ligand-centered ( $^3LC$ ) (Fig. 4(b)). The blue-shifted emission of  ${\bf 2}$  compared to  ${\bf 1}$ 

was expected considering the presence of the electron-withdrawing ester moieties located on L1 in 1, which stabilise the LUMO.<sup>[10c]</sup> Cage 2 shows a significantly enhanced  $\Phi_{PL}$  and longer  $\tau_e$  compared to 1 in DCM ( $\Phi_{PL}$  = 15%,  $\tau_e$  = 523, 887 ns).

Unlike for 1, as a powder the emission of 2 is not significantly red-shifted ( $\lambda_{max}=519$  nm) though the emission profile is less structured, showing less well-resolved vibrational bands as shoulders of the main emission peak. The emission profile for 2 in PMMA doped thin film is likewise very similar to that in DCM. Though  $\Phi_{PL}$  values are low in the powder ( $\Phi_{PL}=1.6\%$ ), in doped film they are higher ( $\Phi_{PL}=10$ %). Emission lifetimes are expectedly longer in doped films than in powder, Table 1. Attempts to synthesize an analogous mononuclear complex of 4-phenoxymethylpyridine for comparison were not successful due to ligand oligomerization.

In summary, phosphorescent  $[\{Ir(ppy)_2\}_3(L)_2]^{3+}$  metallocryptophanes can be synthesized in high yields, with the CTVtype ligands able to accommodate torsion angles typical of [lr(ppv)<sub>2</sub>(L)<sub>2</sub>] complexes to form rare examples of 3-D lr(III) cyclometallated coordination cages. These cages undergo ligand exchange processes over months, and show a remarkably high degree of homochiral self-sorting of both ligand and metallotecton, but not self-recognition between similar L-type ligands. Chiral sorting is enhanced by the presence of neutral chiral additives. For cage 1 chiral self-sorting occurs relatively rapidly upon crystallization through an induced seeding effect, but on a timescale of months in solution. Luminescence properties of the two cages are quite distinct, pointing to an ability to tune the photophysical properties of these systems. Cage 2 showed an enhanced and blue-shifted emission compared to 1, reaching a  $\Phi_{\text{PL}}$  of 15% in DCM solution and 10% in doped film. These are promising systems for a variety of applications: as semiochemical hosts, photoredox catalysts and in energy conversion materials.

## **Acknowledgements**

We thank the EPSRC (DTG award 1238852, EP/K039202/1, EP/M02105X/1, EP/J001325/1), Leverhulme Trust (RPG-2014-148), University of St Andrews, and the MEXT/JSPS Grants in Aid for Scientific Research (JP25102005 and JP25102001) for funding; Simon Barrett for assistance with NMR; Martin Huscroft for assistance with HPLC, and Stephen Boyer for elemental analysis measurements.

**Data accessibility**. Data supporting this work can be accessed at DOI:##########.

**Keywords:** supramolecular chemistry; cage compounds • homochiral self-sorting • phosphorescence

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