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# ON WEIGHTED TWISTED BADLY APPROXIMABLE NUMBERS

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ABSTRACT. For any  $j_1, \dots, j_n \geq 0$  with  $\sum_{i=1}^n j_i = 1$  and any  $\theta \in \mathbb{R}^n$ , let  $\text{Bad}_\theta^\times(j_1, \dots, j_n)$  denote the set of points  $\eta \in \mathbb{R}^n$  for which  $\max_{1 \leq i \leq n} (\|q\theta_i - \eta_i\|^{1/j_i}) > c/q$  for some positive constant  $c = c(\eta)$  and all  $q \in \mathbb{N}$ . These sets are the ‘twisted’ inhomogeneous analogue of  $\text{Bad}(j_1, \dots, j_n)$  in the theory of simultaneous Diophantine approximation. It has been shown that they have full Hausdorff dimension in the non-weighted setting, i.e provided that  $j_i = 1/n$ , and in the weighted setting when  $\theta$  is chosen from  $\text{Bad}(j_1, \dots, j_n)$ . We generalise these results proving the full Hausdorff dimension in the weighted setting without any condition on  $\theta$ . Moreover, we prove  $\dim(\text{Bad}_\theta^\times(j_1, \dots, j_n) \cap \text{Bad}(1, 0, \dots, 0) \cap \dots \cap \text{Bad}(0, \dots, 0, 1)) = n$ .

## 1. INTRODUCTION

The classical result due to Dirichlet: for any real number  $\theta$  there exist infinitely many natural numbers  $q$  such that

$$(1) \quad \|q\theta\| \leq q^{-1},$$

where  $\|\cdot\|$  denotes the distance to the nearest integer, has higher dimension generalisations. Consider any  $n$ -tuple of real numbers  $(j_1, \dots, j_n)$  such that

$$(2) \quad j_1, \dots, j_n \geq 0 \quad \text{and} \quad \sum_{i=1}^n j_i = 1.$$

Then, for any vector  $\theta = (\theta_1, \dots, \theta_n) \in \mathbb{R}^n$ , there exist infinitely many natural numbers  $q$  such that

$$(3) \quad \max_{1 \leq i \leq n} (\|q\theta_i\|^{1/j_i}) \leq q^{-1}.$$

The two results above motivate the study of real numbers and real vectors  $\theta \in \mathbb{R}^n$  for which the right hand side of (1) and (3) respectively

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cannot be improved by an arbitrary constant. They respectively constitute the sets  $\text{Bad}$  of badly approximable numbers and  $\text{Bad}(j_1, \dots, j_n)$  of  $(j_1, \dots, j_n)$ -badly approximable numbers. Hence

$$\text{Bad}(j_1, \dots, j_n) := \left\{ (\theta_1, \dots, \theta_n) \in \mathbb{R}^n : \inf_{q \in \mathbb{N}} \max_{1 \leq i \leq n} (q^{j_i} \|q\theta_i\|) > 0 \right\}.$$

In the 1-dimensional case, it is well known that the set of badly approximable numbers has Lebesgue measure zero but maximal Hausdorff dimension. In the  $n$ -dimensional case, it is also a classical result that  $\text{Bad}(j_1, \dots, j_n)$  has Lebesgue measure zero, and Schmidt proved in 1966 that the particular set  $\text{Bad}(1/2, 1/2)$  has full Hausdorff dimension. But the result of maximal dimension in the weighted setting hasn't been proved until almost 40 years later, by Pollington and Velani [21]. In the 2-dimensional case, An showed in [1] that  $\text{Bad}(j_1, j_2)$  is in fact winning for the now famous Schmidt games -see [22]. Thus he provided a direct proof of a conjecture of Schmidt stating that any countable intersection of sets  $\text{Bad}(j_1, j_2)$  is non empty -see also [2].

Recently, interest in the size of related sets, usually referred to as the 'twists' of the sets  $\text{Bad}(j_1, \dots, j_n)$  has developed. The study of this twist started in the 1-dimensional setting: we fix  $\theta \in \mathbb{R}$  and consider the twist of  $\text{Bad}$ :

$$\text{Bad}_\theta^\times := \left\{ \eta \in \mathbb{R} : \inf_{q \in \mathbb{N}} q \|q\theta - \eta\| > 0 \right\}.$$

The set  $\text{Bad}_\theta^\times$  has a palpable interpretation in terms of rotations of the unit circle. Identifying the circle with the unit interval  $[0, 1)$ , the value  $q\theta$  (modulo 1) may be thought of as the position of the origin after  $q$  rotations by the angle  $\theta$ . If  $\theta$  is rational, the rotation is periodic. If  $\theta$  is irrational, a classical result of Weyl [25] implies that  $q\theta$  (modulo 1) is equidistributed, so  $q\theta$  visits any fixed subinterval of  $[0, 1)$  infinitely often. The natural question of what happens if the subinterval is allowed to shrink with time arises. Shrinking a subinterval corresponds to making its length decay according to some specified function. The set  $\text{Bad}_\theta^\times$  corresponds to considering, for any  $\epsilon > 0$ , the shrinking interval  $(\eta - \epsilon/q, \eta + \epsilon/q)$  centred at the point  $\eta$  and where the specified function is  $\epsilon/q$ . Khintchine showed in [14] that

$$(4) \quad \|q\theta - \eta\| < \frac{1 + \delta}{\sqrt{5}q} \quad (\delta > 0)$$

is satisfied for infinitely many integers  $q$ , and Theorem III in Chapter III of Cassels' book [5] shows that the right hand side of (4) cannot be improved by an arbitrary constant for every irrational  $\theta$  and every

real  $\eta$ . Again the study of the set  $\text{Bad}_\theta^\times$ : Kim [16] proved in 2007 that it has Lebesgue measure zero, and later it was shown by Bugeaud et al [3] that it has full Hausdorff dimension. Furthermore, Tseng proved in [23] that  $\text{Bad}_\theta^\times$  has the stronger property of being winning for any  $\theta \in \mathbb{R}$ .

By generalising circle rotations to rotations on torus of higher dimensions, i.e. by considering the sequence  $q\theta$  (modulo 1) in  $[0, 1)^n$  where  $\theta = (\theta_1, \dots, \theta_n) \in \mathbb{R}^n$ , we obtain the ‘twists’ of the sets  $\text{Bad}(j_1, \dots, j_n)$ :

$$(5) \quad \text{Bad}_\theta^\times(j_1, \dots, j_n) = \left\{ (\eta_1, \dots, \eta_n) \in \mathbb{R}^n : \inf_{q \in \mathbb{N}} \max_{1 \leq i \leq n} (q^{j_i} \|q\theta_i - \eta_i\|) > 0 \right\}.$$

In [3] Bugeaud et al proved that the non-weighted set  $\text{Bad}_\theta^\times(1/n, \dots, 1/n)$  has full Hausdorff dimension. Recently, Einsiedler and Tseng [8] extended the results [3] and [23] by showing, among other results, that  $\text{Bad}_\theta^\times(1/n, \dots, 1/n)$  is also winning. It was shown in [18] that such results may be obtained by classical methods developed by Khintchine [15] and V. Jarník [12, 13] and discussed in Chapter V of Cassels’ book [5]. Unfortunately, these methods cannot be directly extended to the weighted setting.

For the weighted setting, less has heretofore been known. Harrap did the first contribution [10] in the 2-dimensional case, by proving that  $\text{Bad}_\theta^\times(j_1, j_2)$  has full Hausdorff dimension provided that the fixed point  $\theta \in \mathbb{R}^2$  belongs to  $\text{Bad}(j_1, j_2)$ , which is a significantly restrictive condition. Recently, under the hypothesis  $\theta \in \text{Bad}(j_1, \dots, j_n)$ , Harrap and Moshchevitin have extended to weighted linear forms in higher dimension and improved to winning the result in [10] (see [11]).

In this paper, we prove that the weighted set  $\text{Bad}_\theta^\times(j_1, \dots, j_n)$  has full Hausdorff dimension for any  $\theta \in \mathbb{R}^n$ . Moreover, the following theorem holds.

**Theorem 1.1.** *For any  $\theta \in \mathbb{R}^n$  and all  $j_1, \dots, j_n \geq 0$  with  $\sum_{i=1}^n j_i = 1$ ,*

$$\dim(\text{Bad}_\theta^\times(j_1, \dots, j_n) \cap \text{Bad}(1, 0, \dots, 0) \cap \dots \cap \text{Bad}(0, \dots, 0, 1)) = n.$$

The same type of theorem holds in the classical -not twisted- setting; it constitutes the work done in [21] (see Theorem 2).

Note that if  $1, \theta_1, \dots, \theta_n$  are linearly dependent over  $\mathbb{Z}$ , then Theorem 1.1 is obvious. Indeed, in this case  $\{q\theta : q \in \mathbb{Z}\}$  is restricted to a hyperplane  $H$  of  $\mathbb{R}^n$ , so  $\text{Bad}_\theta^\times(j_1, \dots, j_n) \supset \mathbb{R}^n \setminus H$  is winning. Hence  $\text{Bad}_\theta^\times(j_1, \dots, j_n) \cap \text{Bad}(1, 0, \dots, 0) \cap \dots \cap \text{Bad}(0, \dots, 0, 1)$  is winning and

in particular has full dimension <sup>1</sup>. Therefore we suppose throughout the paper that  $1, \theta_1, \dots, \theta_n$  are linearly independent over  $\mathbb{Z}$ .

The strategy for the proof of Theorem 1.1 is as follows. We start by defining a set  $\mathcal{V} \subset \text{Bad}_\theta^\times(j_1, \dots, j_n)$  related to the best approximations to the fixed point  $\theta \in \mathbb{R}^n$ . Then we construct a Cantor-type set  $K(R)$  inside  $\mathcal{V} \cap \text{Bad}(1, 0, \dots, 0) \cap \dots \cap \text{Bad}(0, \dots, 0, 1)$ . Finally we describe a probability measure supported on  $K(R)$  to which we can apply the mass distribution principle and thus find a lower bound for the dimension of  $K(R)$ .

Best approximations are defined in Section 2. In Section 3 we define  $\mathcal{V}$  and give the proof of the inclusion  $\mathcal{V} \subset \text{Bad}_\theta^\times(j_1, \dots, j_n)$ . We construct  $K(R)$  in Section 4 and describe the probability measure in Section 5. Finally we compute the lower bound for the dimension of  $K(R)$  in Section 6.

In the following,  $n \in \mathbb{N}$  and we fix an  $n$ -tuple  $(j_1, \dots, j_n) \in \mathbb{R}^n$  satisfying (2) and a vector  $\theta = (\theta_1, \dots, \theta_n) \in \mathbb{R}^n$  such that  $1, \theta_1, \dots, \theta_n$  are linearly independent over  $\mathbb{Z}$ . We denote by  $x \cdot y$  the scalar product of two vectors  $x$  and  $y$  in  $\mathbb{R}^n$ , and by  $\|\cdot\|$  the distance to the nearest integer.

## 2. BEST APPROXIMATIONS

**Definition 2.1.** *An  $n$ -dimensional vector  $m = (m_1, \dots, m_n) \in \mathbb{Z}^n \setminus \{0\}$  is called a best approximation to  $\theta$  if for all  $v \in \mathbb{Z}^n \setminus \{0, -m, m\}$  the following implication holds:*

$$\max_{1 \leq i \leq n} (|v_i|^{1/j_i}) \leq \max_{1 \leq i \leq n} (|m_i|^{1/j_i}) \implies \|v \cdot \theta\| > \|m \cdot \theta\|.$$

Note that the condition  $1, \theta_1, \dots, \theta_n$  are  $\mathbb{Z}$ -linearly independent allows us to demand a strict inequality in the right hand side of the implication above.

Note also that when  $n = 1$  the best approximations to a real number  $x$  are, up to the sign, the denominators of the convergents to  $x$ .

Since  $1, \theta_1, \dots, \theta_n$  are  $\mathbb{Z}$ -linearly independent, we have an infinite number of best approximations to  $\theta$ . They can be arranged up to the sign -so that two vectors of opposite sign do not both appear- in an infinite sequence

$$(6) \quad m_\nu = (m_{\nu,1}, \dots, m_{\nu,n}) \quad \nu \geq 1,$$

<sup>1</sup>We recall that winning sets in  $\mathbb{R}^n$  have maximal Hausdorff dimension, and that countable intersections of winning sets are again winning. We refer the reader to [22] for all necessary definitions and results on winning sets.

such that the values

$$(7) \quad M_\nu = \max_{1 \leq i \leq n} (|m_{\nu,i}|^{1/j_i})$$

form a strictly increasing sequence, and the values

$$(8) \quad \zeta_\nu = \|m_\nu \cdot \theta\|$$

form a strictly decreasing sequence. Hence each value  $M_\nu$  corresponds to a single best approximation  $m_\nu$ . The quantity  $M_\nu$  can be referred to as the ‘height’ of  $m_\nu$ .

Best approximation vectors have been introduced since a long time inside proofs in an unexplicit form. In particular, Voronoi [24] selected some points in a lattice that correspond exactly to the best approximation vectors (see also [7]). A recent survey on the topic is due to Chevallier [6]. Some important properties of the best approximation vectors are discussed in [19, 20]. The definition 2.1 of best approximation vectors is used in [11], and similar constructions were introduced in [17] or Section 2 of [4].

For each  $\nu \geq 1$ , it is easy to see that the region

$$\left\{ (x_0, \dots, x_n) \in \mathbb{R}^{n+1} : \max_{1 \leq i \leq n} (|x_i|^{1/j_i}) < M_{\nu+1}, \left| x_0 + \sum_{i=1}^n x_i \theta_i \right| < \zeta_\nu \right\}$$

does not contain any integer point different from 0. Since this region has volume  $2^n M_{\nu+1} \zeta_\nu$  (see Lemma 4 in Appendix B of [5]), it follows from Minkowski’s convex body theorem that

$$(9) \quad \zeta_\nu M_{\nu+1} \leq 1.$$

**Lemma 2.2.** *For every  $\nu \geq 1$ , we have*

$$M_{\nu+2^{n+1}} \geq 2M_\nu.$$

**Proof.** Given  $\nu \geq 1$ , we must prove that we have at most  $2^{n+1}$  vectors  $m_{\nu+r}$  with  $r \geq 0$  and  $M_{\nu+r} < 2M_\nu$ . The goal is to show that the 0-symmetric region

$$(10) \quad \left\{ (x_0, \dots, x_n) \in \mathbb{R}^{n+1} : \max_{1 \leq i \leq n} (|x_i|^{1/j_i}) < 2M_\nu, \left| x_0 + \sum_{i=1}^n x_i \theta_i \right| \leq \zeta_\nu \right\}$$

contains at most  $2^{n+2}$  integer points other than 0. We can partition the region (10) into sets of the form

$$T(\xi) = \left\{ (x_0, \dots, x_n) \in \mathbb{R}^{n+1} : \max_{1 \leq i \leq n} (|x_i - \xi_i|^{1/j_i}) \leq M_\nu, \text{ and } \left| x_0 - \xi_0 + \sum_{i=1}^n (x_i - \xi_i) \theta_i \right| \leq \zeta_\nu \right\},$$

with

$$(11) \quad \xi_i = 2kM_\nu^{j_i}, \quad \xi_0 = -\sum_{i=1}^n \xi_i \theta_i$$

where  $k \in \mathbb{Z}$  is such that  $-2^{j_i-1} \leq k \leq 2^{j_i-1}$ . Each region  $T(\xi)$  is the translated by  $(\xi_0, \dots, \xi_n)$  of the set

$$\left\{ (x_0, \dots, x_n) \in \mathbb{R}^{n+1} : \max_{1 \leq i \leq n} (|x_i|^{1/j_i}) \leq M_\nu, \left| x_0 + \sum_{i=1}^n x_i \theta_i \right| \leq \zeta_\nu \right\},$$

which contains exactly three integer points: 0 and two best approximations with opposite sign. Hence each  $T(\xi)$  contains at most four integer points. Since there are  $2^n$  possible choices for  $(\xi_0, \dots, \xi_n)$  satisfying (11), the set (10) contains at most  $2^{n+2}$  integer points.  $\square$

### 3. THE SET $\mathcal{V}$ INCLUDED IN $\text{Bad}_\theta^\times(j_1, \dots, j_n)$

The following proposition allows us to work with a set defined by the best approximations to  $\theta$  instead of working directly with  $\text{Bad}_\theta^\times(j_1, \dots, j_n)$ .

**Proposition 3.1.** *If  $\eta \in \mathbb{R}^n$  satisfies*

$$(12) \quad \inf_\nu \|m_\nu \cdot \eta\| > 0,$$

then  $\eta \in \text{Bad}_\theta^\times(j_1, \dots, j_n)$ .

**Proof.** Let  $\eta = (\eta_1, \dots, \eta_n) \in \mathbb{R}^n$  satisfy

$$\|m_\nu \cdot \eta\| > \gamma \quad \forall \nu \geq 1$$

for some  $\gamma > 0$ . For all  $q \in \mathbb{N}$  and  $\nu \geq 1$ , we have the identity

$$m_\nu \cdot \eta = m_\nu \cdot (\eta - q\theta) + q m_\nu \cdot \theta,$$

from which we obtain the inequalities

$$(13) \quad \gamma < \|m_\nu \cdot \eta\| \leq n \max_{1 \leq i \leq n} (|m_{\nu,i}| \cdot \|\eta_i - q\theta_i\|) + q\zeta_\nu.$$

Since  $\zeta_\nu$  is strictly decreasing and  $\zeta_\nu \rightarrow 0$  as  $\nu \rightarrow \infty$ , there exists  $\nu \geq 1$  such that

$$(14) \quad \frac{\gamma}{2\zeta_\nu} \leq q \leq \frac{\gamma}{2\zeta_{\nu+1}}.$$

On the one hand, from the inequalities (13) and the upper bound in (14), we deduce that

$$(15) \quad \max_{1 \leq i \leq n} (\|\eta_i - q\theta_i\| \cdot |m_{\nu+1,i}|) > \frac{\gamma}{2n}.$$

On the other hand, from the lower bound in (14) and the inequality (9), it follows that

$$q \geq \frac{\gamma}{2} M_{\nu+1}.$$

We deduce that

$$(16) \quad q^{j_i} \geq c |m_{\nu+1, i}| \quad \forall i = 1, \dots, n,$$

where

$$c = \min_{1 \leq i \leq n} \left( \left( \frac{\gamma}{2} \right)^{j_i} \right).$$

Finally, by combining (15) and (16), we have that

$$\max_{1 \leq i \leq n} (\|\eta_i - q\theta_i\| q^{j_i}) > \frac{\gamma c}{2n}.$$

This concludes the proof of the proposition. □

We define the set

$$\mathcal{V} := \left\{ \eta \in \mathbb{R}^n : \inf_{\nu \geq 1} \|m_\nu \cdot \eta\| > 0 \right\}.$$

Clearly

$$(17) \quad \mathcal{V} \subset \text{Bad}_\theta^\times(j_1, \dots, j_n).$$

#### 4. THE CANTOR-TYPE SET $K(R)$

In this section we construct the Cantor-type set  $K(R)$  inside  $\text{Bad}_\theta^\times(j_1, \dots, j_n) \cap \text{Bad}(1, 0, \dots, 0) \cap \dots \cap \text{Bad}(0, \dots, 0, 1)$ . In order to lighten the notation, throughout this section we denote by  $\mathcal{M}$  the set of best approximations in the sequence (6), and for each  $m \in \mathcal{M}$ , by  $M_m$  the quantity defined by (7), i.e.

$$M_m = \max_{1 \leq i \leq n} (|m_i|^{1/j_i}).$$

Hence

$$\mathcal{V} = \left\{ \eta \in \mathbb{R}^n : \inf_{m \in \mathcal{M}} \|m \cdot \eta\| > 0 \right\}.$$

We define the following partition of  $\mathcal{M}$ :

$$(18) \quad \mathcal{M}_k := \{m \in \mathcal{M} : R^{k-1} \leq M_m < R^k\} \quad (k \geq 0).$$

Note that  $\mathcal{M}_0 = \emptyset$ . We have that  $\mathcal{M} = \bigcup_{k=0}^{\infty} \mathcal{M}_k$ .

We also need, for each  $1 \leq i \leq n$ , the following partitions of  $\mathbb{N}$ :

$$(19) \quad \mathcal{Q}_k^{(i)} := \{q \in \mathbb{N} : R^{(k-1)j_i/2} \leq q < R^{kj_i/2}\} \quad (k \geq 0)$$

Note that  $\mathcal{Q}_0^{(i)} = \emptyset$  and for each  $1 \leq i \leq n$ , we have that  $\mathbb{N} = \bigcup_{k=0}^{\infty} \mathcal{Q}_k^{(i)}$ .

At the heart of the construction of  $K(R)$  is constructing a collection  $\mathcal{F}_k$  of hyperrectangles  $H_k$  inside the hypercube  $[0, 1]^n$  that satisfy the following  $n$  conditions:

- (0)  $|m \cdot \eta + p| > \epsilon \quad \forall \eta \in H_k, \forall m \in \mathcal{M}_k, \forall p \in \mathbb{Z};$
- (1)  $q|q\eta_1 - p| > \epsilon \quad \forall \eta \in H_k, \forall q \in \mathcal{Q}_k^{(1)}, \forall p \in \mathbb{Z};$
- $\vdots$
- ( $n$ )  $q|q\eta_n - p| > \epsilon \quad \forall \eta \in H_k, \forall q \in \mathcal{Q}_k^{(n)}, \forall p \in \mathbb{Z}$

for some  $\epsilon > 0$ .

We start by constructing a collection  $(\mathcal{G}_k^{(0)})_{k \geq 0}$  of hyperrectangles satisfying condition (0). This construction is done by induction. Then we define a subcollection  $\mathcal{G}_k^{(1)} \subset \mathcal{G}_k^{(0)}$  of hyperrectangles that also satisfy condition (1), a subcollection  $\mathcal{G}_k^{(2)} \subset \mathcal{G}_k^{(1)}$  that also satisfies condition (2), etc. This process ends with a subcollection  $\mathcal{G}_k^{(n)}$  that satisfies the  $n$  conditions above. We would like to quantify  $\#\mathcal{G}_k^{(n)}$ . We can give a lower bound, but we cannot quantify the exact cardinal. So we refine the collection  $\mathcal{G}_k^{(n)}$  by choosing a right and final subcollection  $\mathcal{F}_k$  that we can quantify.

Let

$$j_{\min} = \min_{1 \leq i \leq n} (j_i), \quad j_{\max} = \max_{1 \leq i \leq n} (j_i).$$

Let  $R > 4^{1/j_{\min}}$  and  $\epsilon > 0$  be such that

$$(20) \quad \epsilon < \frac{1}{2R^{2j_{\max}}}.$$

The parameter  $R$  will be chosen later to be sufficiently large in order to satisfy various conditions.

**4.1. The collection  $\mathcal{G}_k^{(0)}$ .** For each  $m \in \mathcal{M}$  and  $p \in \mathbb{Z}$ , let

$$\Delta(m, p) := \{x \in \mathbb{R}^n : |m \cdot x + p| < \epsilon\}.$$

Geometrically,  $\Delta(m, p)$  is the thickening of a hyperplane of the form

$$(21) \quad \mathcal{L}(m, p) := \{x \in \mathbb{R}^n : m \cdot x + p = 0\}$$

with width  $\epsilon/m_i$  in all the  $x_i$ -coordinate directions. The set  $\mathcal{V}$  consists of points in  $\mathbb{R}^n$  that avoid the thickening  $\Delta(m, p)$  of each hyperplane  $\mathcal{L}(m, p)$ ; alternatively, points in  $\mathbb{R}^n$  that lie within any such neighbourhood are removed.

Next we describe the induction procedure in order to define the collection  $(\mathcal{G}_k^{(0)})_{k \geq 0}$ . We work within the closed hypercube  $H_0 = [0, 1]^n$  and set  $\mathcal{G}_0^{(0)} = \{H_0\}$ . For  $k \geq 0$ , we divide each  $H_k \in \mathcal{G}_k^{(0)}$  into new hyperrectangles  $H_{k+1}$  of size

$$R^{-(k+1)j_1} \times \dots \times R^{-(k+1)j_n}.$$

Among these new hyperrectangles, we denote by  $\mathcal{G}^{(0)}(H_k)$  the collection of hyperrectangles  $H_{k+1} \subset H_k$  satisfying

- $G_n \subset G_{n-1}$ ,
- $H_{k+1} \cap \Delta(m, p) = \emptyset \quad \forall m \in \mathcal{M}_k, \forall p \in \mathbb{Z}$ .

We define

$$\mathcal{G}_{k+1}^{(0)} := \bigcup_{H_k \in \mathcal{G}_k^{(0)}} \mathcal{G}^{(0)}(H_k).$$

Hence  $\mathcal{G}_{k+1}^{(0)}$  is nested in  $\mathcal{G}_k^{(0)}$  and it is a collection of ‘good’ hyperrectangles with respect to all the best approximations  $m$  satisfying  $M_m < R^k$  and all the integers  $p$ . The collection  $\mathcal{G}^{(0)}(H_k)$  is the collection of ‘good’ hyperrectangles that we obtain from the division of  $H_k$ .

Next we give a lower bound for  $\#\mathcal{G}_k^{(0)}$ . Actually, for a fixed hyperrectangle  $H_k \in \mathcal{G}_k^{(0)}$ , we give a lower bound for the number of hyperrectangles  $H_{k+1} \in \mathcal{G}^{(0)}(H_k)$ . Alternatively, we give an upper bound for the number of ‘bad’ hyperrectangles in  $H_k$ ; these are the hyperrectangles  $H_{k+1} \subset H_k$  that intersect the thickening  $\Delta(m, p)$  of some hyperplane  $\mathcal{L}(m, p)$  with  $m \in \mathcal{M}_k$ . Fact 1 and Fact 2 bound the number of thickenings  $\Delta(m, p)$  with  $m \in \mathcal{M}_k$  and  $p \in \mathbb{Z}$  that intersect  $H_k$ . Fact 3 bounds the number of hyperrectangles  $H_{k+1} \subset H_k$  that each  $\Delta(m, p)$  as above intersects.

**Fact 1.** We show that for each  $k \geq 1$ , the set  $\mathcal{M}_k$  contains at most  $2^{n+1}(1 + \log_2(R))$  best approximations. Let  $k \geq 0$  and  $r$  be the non-negative integer such that

$$2^r \leq R^{k-1} < 2^{r+1}.$$

By (18), each  $m = m_\nu \in \mathcal{M}_k$  satisfies

$$(22) \quad M_\nu \geq 2^r.$$

Now Lemma 2.2 implies that

$$\begin{aligned} M_{\nu+2^{n+1}(1+\log_2(R))} &\geq 2^{1+\log_2(R)} M_\nu \\ &\geq 2^{1+\log_2(R)+r} \\ &> R^k. \end{aligned}$$

Therefore, there are at most  $2^{n+1}(1 + \log_2(R))$  best approximations in  $\mathcal{M}_k$ .

**Fact 2.** Fix  $m \in \mathcal{M}_k$ . We show that there are at most  $2^n n$  thickenings  $\Delta(m, p)$  that intersect  $H_k$ . Indeed, suppose that two different thickenings  $\Delta(m, p)$  and  $\Delta(m, p')$  intersect the same edge of  $H_k$ . This edge of  $H_k$  is a segment of a line which is parallel to an  $x_l$ -axis. Let  $P = (y_1, \dots, y_n)$  and  $P' = (y'_1, \dots, y'_n)$  denote the points of intersection of this line parallel to the  $x_l$ -axis with  $\mathcal{L}(m, p)$  and  $\mathcal{L}(m, p')$  respectively. The fact that  $P$  and  $P'$  respectively belong to  $\mathcal{L}(m, p)$  and  $\mathcal{L}(m, p')$  is described by the equations

$$(23) \quad m \cdot y + p = 0, \quad m \cdot y' + p' = 0.$$

The fact that  $P$  and  $P'$  both belong to a line parallel to the  $x_l$ -axis, implies that  $y_i = y'_i \forall i \neq l$ . Hence, by subtracting the second equation in (23) to the first one, we have that

$$(24) \quad |y_l - y'_l| - \frac{2\epsilon}{|m_l|} \geq \frac{|p - p'|}{|m_l|} - \frac{2\epsilon}{|m_l|} > \frac{1}{R^{kj_l}} - \frac{1}{2R^{kj_l}} = \frac{1}{2}R^{-kj_l}.$$

Since the size of  $H_k$  in the  $x_l$ -direction is  $R^{-kj_l}$ , the inequality (24) implies that there are not more than two thickenings intersecting  $H_k$ . Thus the number of thickenings  $\Delta(m, p)$  that intersect  $H_k$  is at most twice the number of edges of  $H_k$ , and this is  $2^n n$ .

**Fact 3.** Given a thickening  $\Delta(m, p)$ , we give an upper bound for the number of hyperrectangles  $H_{k+1} \subset H_k$  that intersect  $\Delta(m, p)$ . Fix  $m \in \mathcal{M}_k$  and  $p \in \mathbb{Z}$ . Denote by  $l$  the index such that  $M_m = |m_l|^{1/j_l}$ . The inequalities

$$\frac{\epsilon}{|m_l|} < \frac{1}{2R^{(k+1)j_l}} \quad \text{and} \quad \frac{\epsilon}{|m_l|} \leq \frac{\epsilon}{|m_i|} \quad (1 \leq i \leq n)$$

imply that  $\Delta(m, p)$  intersects at most two hyperrectangles on each line of hyperrectangles along the  $x_l$ -direction. Since there are  $[R^{j_1}] \times \dots \times [\widehat{R^{j_l}}] \times \dots \times [R^{j_n}]$  lines of hyperrectangles along the  $x_l$ -direction, the thickening  $\Delta(m, p)$  intersects at most

$$2[R^{j_1}] \times \dots \times [\widehat{R^{j_l}}] \times \dots \times [R^{j_n}] \leq 2R^{1-j_l}$$

hyperrectangles  $H_{k+1} \subset H_k$ . Hence we conclude that  $\Delta(m, p)$  intersects at most  $2R^{1-j_l}$  hyperrectangles  $H_{k+1} \subset H_k$ .

**Conclusion.** There are at most  $[2^{n+1}n(1 + \log_2(R))R^{1-j_{\min}}]$  hyperrectangles  $H_{k+1} \subset H_k$  that intersect some  $\Delta(m, p)$  with  $m \in \mathcal{M}_k$ ,

$p \in \mathbb{Z}$ . Hence

$$\#\mathcal{G}^{(0)}(H_k) \geq [R] - [2^{n+1}n(1 + \log_2(R))R^{1-j_{\min}}].$$

4.2. **The subcollections  $\mathcal{G}_k^{(i)}$ .** For each  $q \in \mathbb{N}$  and  $p \in \mathbb{Z}$ , consider the sets

$$(25) \quad \Gamma_i(q, p) := \{x \in \mathbb{R}^n : q|qx_i - p| < \epsilon\} \quad (1 \leq i \leq n).$$

Geometrically, each  $\Gamma_i(q, p)$  is a thickening of a hyperplane described by the equation  $x_i = p/q$  with width  $\epsilon/q^2$  in the  $x_i$ -coordinate direction.

We construct a tower of subcollections

$$\mathcal{G}_k^{(n)} \subset \dots \subset \mathcal{G}_k^{(1)} \subset \mathcal{G}_k^{(0)},$$

where each  $\mathcal{G}_k^{(i)}$  consists of hyperrectangles in  $\mathcal{G}_k^{(i-1)}$  which points avoid each thickening  $\Gamma_i(q, p)$  for  $q \in \mathcal{Q}_k^{(i)}$ . More precisely, for  $1 \leq i \leq n$ , we form  $\mathcal{G}_k^{(i)}$  by letting

$$\mathcal{G}^{(i)}(H_k) := \left\{ H_{k+1} \in \mathcal{G}^{(i-1)}(H_k) : H_{k+1} \cap \Gamma_i(q, p) = \emptyset \ \forall q \in \mathcal{Q}_k^{(i)} \right\}$$

and

$$\mathcal{G}_{k+1}^{(i)} := \bigcup_{H_k \in \mathcal{G}_k^{(i-1)}} \mathcal{G}^{(i)}(H_k).$$

Clearly the hyperrectangles in  $\mathcal{G}_{k+1}^{(i)}$  satisfy the conditions (0),(1),..., (i), so  $\mathcal{G}_k^{(n)}$  satisfies the  $n$  conditions (0),..., (n).

Next, for each  $1 \leq i \leq n$  and  $H_k \in \mathcal{G}_k^{(i-1)}$ , we give a lower bound of  $\#\mathcal{G}^{(i)}(H_k)$ .

Fix  $i \in \mathbb{N}$ ,  $i \leq n$  and  $H_k \in \mathcal{G}_k^{(i-1)}$ . Suppose that there are two pairs  $(q, p)$  and  $(q', p')$  in  $\mathcal{Q}_k^{(i)} \times \mathbb{Z}$  such that

$$H_k \cap \Gamma_i(q, p) \neq \emptyset, \quad H_k \cap \Gamma_i(q', p') \neq \emptyset.$$

In other words, suppose there exist  $\eta, \eta'$  in  $H_k$  such that

$$(26) \quad q|q\eta_i - p| < \epsilon, \quad q|q'\eta'_i - p'| < \epsilon.$$

Then, by (19) and (20), we have

$$(27) \quad \left| \frac{p}{q} - \frac{p'}{q'} \right| - \frac{\epsilon}{q^2} - \frac{\epsilon}{q'^2} \geq \frac{1}{qq'} - \frac{\epsilon}{q^2} - \frac{\epsilon}{q'^2} > \frac{1}{R^{kj_i}} - \frac{1}{2R^{kj_i}} = \frac{1}{2}R^{-kj_i}.$$

Since the size of  $H_k$  in the  $x_i$ -direction is  $R^{-kj_i}$ , the inequality (27) implies that at most two thickenings of the form (25) can intersect  $H_k$ .

Now, from (26), (19) and (20), it follows that if  $\eta \in \Gamma_i(q, p)$ , then

$$\left| \eta_i - \frac{p}{q} \right| < \frac{\epsilon}{q^2} < \frac{1}{2} R^{-kj_i}.$$

The inequality above implies that each thickening  $\Gamma_i(q, p)$  intersects at most

$$2[R^{j_1}] \times \dots \times [\widehat{R^{j_i}}] \times \dots \times [R^{j_n}] \leq 2R^{1-j_i}$$

hyperrectangles  $H_{k+1} \subset H_k$ .

Therefore, there are at most  $[4R^{1-j_{\min}}]$  hyperrectangles  $H_{k+1} \subset H_k$  that do not satisfy condition (i). Hence

$$(28) \quad \#\mathcal{G}^{(i)}(H_k) \geq [R] - [2^{n+1}n(1 + \log_2(R))R^{1-j_{\min}}] - [4iR^{1-j_{\min}}].$$

**4.3. The right subcollection  $\mathcal{F}_k$ .** We choose a subcollection of  $\mathcal{G}_k^{(n)}$  that we can exactly quantify in the following way. Let  $\mathcal{F}_0 := \mathcal{G}_0^{(0)}$ . Choose  $R$  sufficiently large so that  $[R - 2^{n+1}n(1 + \log_2(R))R^{1-j_{\min}} - 4nR^{1-j_{\min}}] > 1$ . For  $k \geq 0$ , for each  $H_k \in \mathcal{F}_k$ , we choose exactly  $[R - 2^{n+1}n(1 + \log_2(R))R^{1-j_{\min}} - 4nR^{1-j_{\min}}]$  hyperrectangles from the collection  $\mathcal{G}^{(n)}(H_k)$ ; denote this collection by  $\mathcal{F}(H_k)$ . Trivially,

$$(29) \quad \#\mathcal{F}(H_k) = [R - 2^{n+1}n(1 + \log_2(R))R^{1-j_{\min}} - 4nR^{1-j_{\min}}] > 1,$$

so each hyperrectangle  $H_k \in \mathcal{F}_k$  gives rise to exactly the same number of hyperrectangles  $H_{k+1}$  in  $\mathcal{F}(H_k)$ . Finally, define

$$\mathcal{F}_{k+1} := \bigcup_{H_k \in \mathcal{F}_k} \mathcal{F}(H_k).$$

This completes the construction of the Cantor-type set

$$K(R) := \bigcap_{k=0}^{\infty} \mathcal{F}_k.$$

By construction, we have  $K(R) \subset \mathcal{V} \cap \text{Bad}(1, 0, \dots, 0) \cap \dots \cap \text{Bad}(0, \dots, 0, 1)$ . Moreover, in view of (29), we have

$$(30) \quad \#\mathcal{F}_{k+1} = \#\mathcal{F}_k \#\mathcal{F}(H_k)$$

$$(31) \quad = [R - 2^{n+1}n(1 + \log_2(R))R^{1-j_{\min}} - 4nR^{1-j_{\min}}]^{k+1}.$$

5. THE MEASURE  $\mu$  ON  $K(R)$

We now describe a probability measure  $\mu$  supported on the Cantor-type set  $K(R)$  constructed in the previous section. The measure we define is analogous to the probability measure used in [21] and [2] on a Cantor-type set of  $\mathbb{R}^2$ . For any hyperrectangle  $H_k \in \mathcal{F}_k$  we attach a weight  $\mu(H_k)$  which is defined recursively as follows: for  $k = 0$ ,

$$\mu(H_0) = \frac{1}{\#\mathcal{F}_0} = 1$$

and for  $k \geq 1$ ,

$$\mu(H_k) = \frac{1}{\#\mathcal{F}(H_{k-1})} \mu(H_{k-1}) \quad (H_k \in \mathcal{F}(H_{k-1})).$$

This procedure defines inductively a mass on any hyperrectangle used in the construction of  $K(R)$ . Moreover,  $\mu$  can be further extended to all Borel subsets  $X$  of  $\mathbb{R}^n$ , so that  $\mu$  actually defines a measure supported on  $K(R)$ , by letting

$$\mu(X) = \inf \sum_{H \in \mathcal{C}} \mu(H)$$

where the infimum is taken over all coverings  $\mathcal{C}$  of  $X$  by rectangles  $H \in \{\mathcal{F}_k : k \geq 0\}$ . For further details, see [9], Proposition 1.7.

Notice that, in view of (30), we have

$$\mu(H_k) = \frac{1}{\#\mathcal{F}_k} \quad (k \geq 0).$$

A classical method for obtaining a lower bound for the Hausdorff dimension of an arbitrary set is the following mass distribution principle (see [9] p. 55).

**Lemma 5.1** (mass distribution principle). *Let  $\delta$  be a probability measure supported on a subset  $X$  of  $\mathbb{R}^n$ . Suppose there are positive constants  $c, s$  and  $l_0$  such that*

$$(32) \quad \delta(S) \leq cl^s$$

*for any hypercube  $S \subset \mathbb{R}^n$  with side length  $l \leq l_0$ . Then  $\dim(X) \geq s$ .*

The goal in the next section is to prove that there exist constants  $c$  and  $l_0$  satisfying (32) with  $\delta = \mu$ ,  $X = K(R)$  and  $s = n - \lambda(R)$ , where  $\lambda(R) \rightarrow 0$  as  $R \rightarrow \infty$ . Then from the mass distribution principle it will follow that  $\dim(K(R)) = n$ .

6. A LOWER BOUND FOR  $\dim(K(R))$ 

Recall that

$$j_{\min} = \min_{1 \leq i \leq n} (j_i).$$

Let  $k_0$  be a positive integer such that

$$(33) \quad R^{-kj_i} < R^{-(k+1)j_{\min}} \quad \forall j_i \neq j_{\min} \text{ and } k \geq k_0.$$

Consider an arbitrary hypercube  $S$  of side length  $l \leq l_0$  where  $l_0$  satisfies

$$(34) \quad l_0 < R^{-(k_0+1)j_{\min}}$$

together with a second inequality to be determined later. We can choose  $k \geq k_0$  so that

$$(35) \quad R^{-(k+1)j_{\min}} < l \leq R^{-kj_{\min}}.$$

From the inequality (33) it follows that

$$(36) \quad l > R^{-kj_i} \quad \forall j_i \neq j_{\min}.$$

Then it is easy to see that  $S$  intersects at most  $2^n l^{n-1} \prod_{j_i \neq j_{\min}} R^{kj_i}$  hyperrectangles  $H_k \in \mathcal{F}_k$ , so

$$\mu(S) \leq 2^n l^{n-1} \prod_{j_i \neq j_{\min}} R^{kj_i} \mu(H_k) = 2^n l^{n-1} R^{k-kj_{\min}} \frac{1}{\#\mathcal{F}_k}.$$

Since  $R^{(k+1)j_{\min}} > l^{-1}$  (see (35)), we have that

$$\begin{aligned} \mu(S) &\leq 2^n l^n R^{j_{\min}} R^k \frac{1}{\#\mathcal{F}_k} \\ &\leq 2^n l^n R^{j_{\min}} (1 - 4nR^{-j_{\min}}(2^{n-1}(1 + \log_2(R)) + 1))^{-k} \end{aligned}$$

by applying (30). We want to choose  $k$  and  $\lambda(R)$  so that

$$(37) \quad R^{j_{\min}} (1 - 4nR^{-j_{\min}}(2^{n-1}(1 + \log_2(R)) + 1))^{-k} \leq R^{kj_{\min}\lambda(R)}.$$

Remember we mentioned in Section 3 that later we would choose the parameter  $R$  big enough so that it satisfies various conditions. We choose  $R$  so that

$$4nR^{-j_{\min}}(2^{n-1}(1 + \log_2(R)) + 1) \leq \frac{1}{2}.$$

Then, on taking

$$k \geq \log(R) \quad \text{and} \quad \lambda(R) = \frac{1 + \log(2)}{j_{\min} \log(R)},$$

we have that

$$\begin{aligned} j_{\min} \log(R) - k \log(1 - 4nR^{-j_{\min}}(2^{n-1}(1 + \log_2(R)) + 1)) \\ \leq j_{\min} \log(R) + k \log(2) \\ \leq k(1 + \log(2)) \\ = kj_{\min} \lambda(R) \log(R), \end{aligned}$$

and so the inequality (37) is satisfied. Since  $R^{kj_{\min}} \leq l^{-1}$  (see (35)), it follows that

$$\mu(S) \leq 2^n l^{n-\lambda(R)}.$$

Finally, by applying the mass distribution principle we obtain

$$\dim K(R) \geq n - \lambda(R) \rightarrow n \quad \text{as } R \rightarrow \infty.$$

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