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1 **Late relapses in stage I testicular cancer patients on surveillance**

2

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19 **Keywords:** Late relapse, Stage I germ cell cancer, Surveillance, Seminoma, Nonseminoma.

20 **Abstract:**

21 **Background:** Comprehensive data on late relapse (LR) and very late relapse (VLR) in patients with
22 clinical stage I (CS-1) testicular cancer followed on surveillance are missing. These data are
23 essential for the planning of optimal follow-up.

24 **Objective:** 1) assess incidence and outcome of LR (>2 years) and VLR (>5 years) in a large cohort of
25 CS-1 surveillance patients and 2) examine differences in clinical characteristics of patients with
26 early relapse (ER), LR and VLR.

27 **Design, setting and participants:** CS-1 surveillance patients diagnosed 1984-2007 were identified
28 from the retrospective DaTeCa database.

29 **Outcome measurements and statistical analysis:** Survival and relapse probabilities estimated and
30 compared with log-rank tests and Cox regression analyses. Differences in patient characteristics
31 compared with chi-square, Fisher's exact, and Mann-Whitney tests.

32 **Results and limitations:** 3366 (2000 seminoma and 1366 nonseminoma) patients were included.
33 Median follow-up: 15 years. Five-year conditional risk of LR: 5.0% and 2.1% for seminoma and
34 nonseminoma patients, respectively. There were no significant differences in disease specific or
35 overall survival when comparing the LR(+VLR) and ER patients by log-rank, but Cox regression
36 adjusted for age showed a significant effect of time-to-relapse on survival for seminoma patients.
37 Apart from significantly more ER nonseminoma patients with elevated hCG at relapse, there were
38 no significant differences in patient characteristics at orchiectomy or relapse. Limitations include
39 retrospective design and exclusion of patients offered adjuvant therapy.

40 **Conclusion:** The risk of VLR is minimal and the patients carry a good prognosis. Patient
41 characteristics of CS-1 surveillance patients with LR(+VLR) do not differ significantly from patients
42 with ER.

43 **Patient summary:** We compared stage I testicular cancer surveillance patients with early relapse
44 with late relapsing (>2 years) patients. Late relapse patients as a group did no worse than early
45 relapse patients, though increased time to relapse was negatively associated with survival for
46 seminoma patients.

47

48 **Introduction**

49 Late relapse (LR) > 2 years after primary successful treatment for testicular cancer (TC) is a rare
50 event. Data on clinical stage I patients (CS-1) followed on surveillance are sparse. The rate of LR for
51 these patients is estimated to be 1-3%¹⁻⁴. Most studies on late relapse for CS-1 patients are
52 hampered by short follow-up, non-consecutive series of patients, and lack of descriptions of
53 clinical outcome. Accordingly, the primary aim of the present study was to assess the incidence
54 and clinical outcome for late relapsing chemotherapy-naïve patients in a large unselected cohort
55 of CS-1 patients undergoing surveillance. The secondary aim was to examine differences in risk
56 factors in patients with early (ER), late and very late relapses (VLR).

57

58 **Patients and methods**

59 From the DaTeCa database (supplemental file 1), we identified all CS-1 patients undergoing
60 surveillance from 1984 through 2007 ($n = 3774$). Patients receiving adjuvant treatment ($n = 373$)
61 and patients with synchronous bilateral testicular cancer ($n = 35$) were excluded, leaving 3366
62 patients for the present analysis.

63 All patients underwent primary inguinal orchiectomy followed by staging with measurements of
64 tumour markers (TM): alpha fetoprotein (AFP), human chorionic gonadotropin (hCG), lactate
65 dehydrogenase (LDH)), CT scan of the abdomen, and thoracic x-ray/CT scan.

66 Patients were offered five years of follow-up after orchiectomy and were included in the analyses
67 irrespective of their adherence to the surveillance programme.

68 A core biopsy was performed at relapse except for some cases with clear progression on CT-scan
69 and/or significant increase of TM. Patients with relapse were offered radiotherapy (seminoma
70 stage IIA/B) or chemotherapy (bleomycin, etoposide, and cisplatin (BEP)). In case of residual
71 tumour after chemotherapy, surgical removal of the tumour was performed.

72 Clinical data from time of orchiectomy and relapse were obtained from patient files and included
73 in the DaTeCa database. Information on the DaTeCa database including data on prognostic factors
74 for relapse and detection of relapses are thoroughly described in two previous papers^{5,6}. Through
75 linkage with several Danish Registries⁷⁻¹⁰ we identified patients with relapse after termination of
76 the follow-up programme and patients lost to follow-up. Data were updated November 30, 2012.

77 The following definitions of time to relapse were used: Early relapse (ER): relapse 0- 24 months
78 after orchiectomy. Late relapse (LR): relapse 25-60 months after orchiectomy. Very late relapse
79 (VLR): relapse after completing the 60-months follow-up program.

80

81 *Statistics*

82 Our primary aim was to assess the incidence and clinical outcome of patients with late relapse. To
83 estimate this, we calculated relapse- and survival probabilities by the Kaplan-Meier method.

84 Patients were censored at time of emigration ($n = 67$), if lost from the Danish civil registers ($n = 4$),
85 at time of a metachronous testicular cancer ($n = 70$), or at time of linkage to the national registries
86 (November 30, 2012). Time to relapse was defined as time from date of orchiectomy until date of
87 relapse diagnosis. Conditional survival estimates were used to assess the 5-year risk of LR by
88 calculating the 5-years cumulative incidence of relapse, given the patients were relapse free for

89 the first two years of follow-up. Conditional 10-years risk of VLR was calculated as cumulative
90 incidence given the patients were relapse-free during the five-year follow-up programme. Disease
91 specific survival (DSS) and overall survival (OS) after relapse were calculated from date of first
92 relapse until death from TC or treatment complications (DSS) or until death of any cause (OS).
93 Median follow-up was calculated using the reverse Kaplan-Meier method with the status indicator
94 (relapse) reversed¹¹. To examine the specific relationship between time to relapse and DSS & OS,
95 Cox proportional hazards regression models were constructed. The models included time from
96 orchiectomy to relapse and age at relapse; with both variables added to the model using restricted
97 cubic splines, with three to four knots, to account for nonlinearity. Due to the small number of
98 VLRs, the groups LR and VLR were merged into one group comprising all patients relapsing after 24
99 months for subsequent analyses: LR(+VLR). Survival after relapse was compared between patients
100 with ER and patients with LR(+VLR) using log-rank statistics.

101 To assess our second aim, differences in patient characteristics for ER and LR(+VLR), we used chi-
102 square test or Fisher's exact test for categorical and Mann-Whitney U-test for continuous
103 variables. The following characteristics were compared: age, tumour size, vascular invasion,
104 invasion of rete testis, invasion of epididymis, AFP and hCG elevation at time of orchiectomy and
105 at time of relapse as well as presence of embryonic carcinoma, endodermal sinus tumour,
106 chorionic carcinoma and teratoma at time of diagnosis for nonseminoma patients.

107 All analyses were done separately for seminoma and nonseminoma patients. P-values were two-
108 sided and considered significant when $p < 0.05$. Statistical analyses were performed using SPSS
109 (Version 22.0. Armonk, NY: IBM Corp.) and R 2.15.3 (R Foundation for Statistical Computing,
110 Vienna, Austria, 2013).

111

112 Results

113 The final cohort consisted of 2000 patients with seminoma and 1366 patients with nonseminoma.

114 SEMINOMA PATIENTS**115 INCIDENCE AND OUTCOME**

116 Median follow-up for patients was 15 years (inter quartile range (IQR): 9 - 21). A total of 388
117 patients relapsed with a median time to relapse of 13 months (IQR: 7 - 25); 288 had ER, 83 had LR
118 and 17 relapsed after termination of the five years surveillance programme (VLR). The 2-year
119 cumulative risk of ER was 14.5% (95% Confidence interval [CI]:13.0-16.9) (figure I).

120 The five-year conditional risk of LR was 5.0% (95% CI: 4.0-6.1) while the 10- year conditional risk of
121 VLR was 1.0% (95% CI: 0.6-1.7).

122 Twelve seminoma patients died of TC or treatment complications: Four of the ER, one of the LR
123 and two of the VLR died of disseminated disease, while three ER, one LR and one VLR patient died
124 of treatment complications. One of the two VLR patients had nonseminoma histology in the
125 relapse (table 3).

126 Comparing ER with LR(+VLR) patients by log-rank test, the two groups demonstrated no significant
127 differences in DSS ($p = 0.13$) or OS ($p = 0.5$). For patients with LR(+VLR), ten years DSS and OS were
128 94.9% (95%CI: 88.1-97.8) and 89.3% (95% CI: 80.2-94.4), respectively.

129 Increasing time to relapse was significantly associated with worse DSS and OS after relapse, in an
130 approximately linear fashion (p -values for linear effects 0.03 and 0.01, respectively, p -values for

131 non-linear effects 0.08 and 0.18). In the Cox regression model, 10-years DSS after relapse at two
132 and five years were 98.5% (95%CI: 96.7-100) and 96.3% (95% CI: 92.8-99.9), respectively, for a
133 patient 38 years old at time of relapse. The 10-years OS after relapse at two and five years were
134 98.2% (95% CI: 96.9-99.5) and 96.9% (95% CI: 94.6-99.3), respectively. The very small number of
135 events following VLR did result in very uncertain estimates of the effect of late time to relapse on
136 survival, however.

137 DIFFERENCES IN PATIENT CHARACTERISTICS

138 Table 1 shows patient characteristics at time of orchiectomy and table 2 summarizes the
139 characteristics and treatment at time of relapse. Univariate analyses of patient characteristics at
140 time of orchiectomy and at time of relapse did not show any significant differences between ER
141 and LR(+VLR) (Supplemental table 2).

142 Eight of the LRs were detected at the final CT-scan at five years follow-up. All VLR were detected
143 due to patient symptoms (stomach and back pain and enlarged cervical lymph nodes). Details of
144 the 17 VLR are shown in table 3. The hCG level was increased in eight (47%) of these patients.
145 Biopsies of their relapses revealed seminoma and none of the eight had elevated tumour markers
146 at time of orchiectomy.

147 All relapsing patients were in the good prognostic group, apart from two patients with LR, who
148 were in the intermediate prognostic group (one due to hCG level of 6975 IU/L and nonseminoma
149 histology, the other due to bone metastases).

150

151 NONSEMINOMA PATIENTS

152 INCIDENCE AND OUTCOME

153 Median follow-up was 15 years (IQR: 10-22). In total, 424 patients relapsed; 400 had ER, 20 had

154 LR, and four had VLR. Median time to relapse was 5 months (IQR: 3-10).

155 The 2-year cumulative risk of ER was 29.4% (95% CI: 27.1-31.9) (figure I) .The 5-year conditional

156 cumulative risk of LR relapse was 2.1% (95% CI: 1.4-3.3) and the 10 year cumulative risk of VLR was

157 0.3% (95% CI: 0.1-1.1).

158 In total 15 of the nonseminoma patients died of TC or treatment complications: Nine ER patients

159 died of progressive disease, while five ER patients and one LR patient died of treatment

160 complications.

161 There was no significant effect of time to relapse on DSS or OS after relapse (all p-values >0.1); and

162 visual inspection of the estimated relationships between time to relapse and DSS / OS showed no

163 apparent effect, either. Similarly, log-rank comparison of ER versus LR(+VLR) was non-significant

164 for both DSS (p = 0.8) and OS (p = 0.8). For LR(+VLR) ten years DSS and OS were 95.8% (95% CI:

165 73.9-99.4) and 89.8% (95% CI: 64.3-97.4), respectively.

166 DIFFERENCES IN PATIENT CHARACTERISTICS

167 Table 1 shows patient characteristics at time of orchiectomy and table 2 summarizes the

168 characteristics at time of relapse. There were no significant differences in primary histology

169 between the two groups. Likewise, we did not detect any significant differences in other patient

170 characteristics between ER and LR(+VLR) at time of diagnosis. At time of relapse, more patients in

171 the ER group than in the LR(+ VLR) group had increased levels of hCG (p=0.001) (Supplemental

172 table 2). Transformed teratoma was seen in two ER patients' residual tumours after BEP
173 treatment.

174 Five of the LR were detected at the final five-year follow-up CT-scan. All VLR were detected due to
175 patients' symptoms (stomach pain, back pain and shortness of breath). Details of the four patients
176 with VLR are shown in table 3.

177 While the vast majority of relapses were in the good prognostic group, 3 (15%) of the LRs and 17
178 (4%) of the ERs were in the intermediate group. Poor prognosis was only seen in three ER patients.
179 Details of treatment are found in table 2.

180

181 **Discussion**

182 In this large study of 3366 CS-1 patients followed on surveillance, we found a conditional 5-year
183 cumulative risk of LR of 5.0% for seminoma patients and 2.1% for nonseminoma patients.

184 Comparing the two groups by log-rank, there were no significant differences in DSS or OS for ER
185 versus LR(+VLR) patients. However, for seminoma patients we found a significant association
186 between time to relapse, considered as a continuous variable, and DSS and OS. We found no
187 significant differences in patient characteristics at time of orchiectomy and at time of relapse in ER
188 versus LR(+VLR), apart from more ER nonseminoma patients with elevated hCG at time of relapse.

189 With more than 15-years follow-up and 124 late relapses, the present study adds substantial
190 information on both patient characteristics and survival for LR and VLR surveillance patients. We
191 have not been able to identify any long-term studies with comparable data on the incidence of LR.

192 The largest study of LR in patients on surveillance included 28 patients and was not able to
193 calculate the incidence of LR¹².

194 A study from Memorial Sloan-Kettering Cancer Center reported a five-year cancer specific survival
195 of 93% in 18 chemotherapy-naïve patients with LR¹³. This is in line with our results. Fedyanin et al.
196 analyzed data on 169 relapsing chemotherapy-naïve stage 1 patients, including 29 patients with
197 LR¹⁴. They found significantly worse outcome for seminoma patients with LR: Three year OS was
198 91% and 65% for ER and LR, respectively. There were no significant differences in OS for the
199 nonseminoma patients. In the present study, three of the VLR seminoma patients died of
200 progressive disease or complications. All were in the good prognostic group and none of them had
201 comorbidity that could explain the outcome for these patients, but one of them had
202 nonseminoma histology in the relapse. Increasing time to relapse resulted in reduced DSS and OS
203 for seminoma patients; however, with only five disease related deaths and 13 deaths overall
204 among the LR(+VLR) seminoma patients, these results regarding effects of time to relapse should
205 be interpreted with caution.

206 A large part of the VLR seminoma patients (47%) had elevated hCG at time of relapse. This finding
207 is in line with a study of surgical management of LR in CS-1 patients on surveillance, which also
208 identified hCG as the predominant tumour marker at relapse¹², however, only two of the patients
209 in that study had seminoma. A study of LR in nonseminoma CS-I patients undergoing surveillance
210 concluded that seminoma was the predominant histology at relapse in 56% of 9 relapses⁴. We
211 could not confirm this finding in the present study. Nonseminoma histology was predominant in
212 most relapse biopsies (67%) of LR(+VLR) in nonseminoma patients.

213 Various studies advocate for long, even lifelong, follow-up for the surveillance patients^{13,15-18}. In
214 the present study the 10-year cumulative risk of relapse for seminoma patients without relapse
215 during the 5-years follow-up programme was 1%. Accordingly, in a surveillance setting with annual
216 CT scans, approximately 100 patients would need an annual scan for five years to detect one
217 relapse. This is not only costly; it also adds a significant burden to the patients and the hospitals.
218 The potential risk of second cancers after repeating CT-scans in this group of patients is
219 controversial¹⁹⁻²¹. Additionally, the risk of dying of other causes will by far exceed the risk of dying
220 of TC for these individuals. Hence, we agree with the authors of the Kollmannsberger study¹ that
221 extending surveillance imaging schedules for all - for the benefit of the few - may not add overall
222 value.

223 The few relapses after five years follow-up were all diagnosed due to patient symptoms.
224 Consequently, it is of utmost importance to educate patients and general practitioners on the risk
225 of VLR to avoid treatment delay. Measurement of tumour markers beyond five years follow-up in
226 patients with a history of CS-1 might be valuable but is not sufficient on its own as half of the VLR
227 did not have elevated tumour markers at time of relapse in the present study.

228 The retrospective nature adds some limitations to our study. Early in the study period, 384
229 seminoma patients (most with tumour size > 6 cm) received adjuvant treatment (not included in
230 the present study). Thus, the relapse rate for seminoma patients could possibly have been higher
231 with these patients included. On the other hand, this is by far the largest study of LR in CS-1
232 surveillance patients. The very long follow-up and the population-based nature of the study add
233 strength to the applicability to other surveillance populations.

234

235 **Conclusion**

236 Chemotherapy-naïve surveillance patients with LR(+VLR) have a good prognosis and their
237 characteristics do not differ from patients with ER. We believe that patients with LR(+VLR) safely
238 can be managed by following the general recommendations for relapsing CS-1 patients²². The
239 conditional risk of VLR is minimal, nevertheless patients and physicians should be aware of this risk
240 to minimize treatment delay. Future studies should focus on the optimal follow-up programme for
241 CS-1 patients.

242

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245 Research Foundation, and Preben & Anna Simonsens Foundation.

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- 296
- 297

298 **Figure legends:**

299 Figure 1: Cumulative incidence of relapse from time of orchiectomy.

300