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Gutacker, Nils orcid.org/0000-0002-2833-0621, Bloor, Karen Elizabeth orcid.org/0000-0003-4852-9854, Cookson, Richard Andrew orcid.org/0000-0003-0052-996X et al. (6 more authors) (2017) Hospital surgical volumes and mortality after coronary artery bypass grafting: using international comparisons to determine a safe threshold. Health services research. pp. 863-878. ISSN 1475-6773

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Title: Hospital surgical volumes and mortality after coronary artery bypass grafting: using international comparisons to determine a safe threshold

Authors: Nils Gutacker,¹ Karen Bloor (corresponding author),² Richard Cookson,³ Chris P Gale,⁴ Alan Maynard,⁵ Domenico Pagano,⁶ José Pomar⁷ and Enrique Bernal-Delgado⁸ as part of the ECHO collaboration.

1. Nils Gutacker PhD, Research Fellow, Centre for Health Economics, University of York, York YO10 5DD, UK, tel +44 1904 321443, email nils.gutacker@york.ac.uk
2. Karen Bloor PhD, Professor, Department of Health Sciences, University of York, York YO10 5DD, UK, tel +44 1904 321369, fax +44 1904 321388
email karen.bloor@york.ac.uk
3. Richard Cookson PhD, Professor, Centre for Health Economics, University of York, York YO10 5DD, UK, tel +44 1904 321412, email
richard.cookson@york.ac.uk
4. Chris P Gale PhD, Associate Professor, Honorary Consultant, Division of Epidemiology and Biostatistics, University of Leeds, LS2 9JT, UK, tel. +44 113 343 8916, email c.p.gale@leeds.ac.uk
5. Alan Maynard BPhil, Hon DSc, Emeritus Professor, Department of Health Sciences, University of York, York YO10 5DD, UK, tel +44 1904 321333, email
alan.maynard@york.ac.uk
6. Domenico Pagano MD, Professor, Department of Cardiothoracic Surgery, University Hospital Birmingham Queen Elizabeth, Edgbaston, B15 2TH, UK, tel. +44 121 627 2000, email domenicopagano@me.com

7. José Pomar MD, PhD, Professor, Department of Cardiovascular Surgery,
Hospital Clinic de Barcelona, Calle Villarroel 170, 08036 Barcelona, Spain, tel.
+34 93 451 4760, email jpomar@clinic.ub.es
8. Enrique Bernal-Delgado MD, PhD, Senior Researcher, Institute for Health
Sciences, IIS Aragón, 13th San Juan Bosco St., 50009 Zaragoza, Spain, tel. +34
976 716 792, email ebernal.iacs@aragon.es

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Hospital surgical volumes and mortality after coronary artery bypass grafting: using international comparisons to determine a safe threshold

Authors: Nils Gutacker, Karen Bloor (corresponding author), Richard Cookson, Chris P Gale, Alan Maynard, Domenico Pagano, José Pomar and Enrique Bernal-Delgado as part of the ECHO collaboration.

Keywords: Coronary artery bypass surgery; centre-volume; mortality; international comparisons.

Abstract

Objective: To estimate a safe minimum hospital volume for hospitals performing coronary artery bypass graft (CABG) surgery.

Data source: Hospital data on all publicly funded CABG in five European countries, 2007-2009 (106,149 patients).

Design: Hierarchical logistic regression models to estimate the relationship between hospital volume and mortality, allowing for case-mix. Segmented regression analysis to estimate a threshold.

Findings: The 30-day in-hospital mortality rate was 3.0% overall, 5.2% (95% CI 4.0-6.4) in low volume hospitals and 2.1% (95% CI 1.8-2.3) in high volume hospitals.

There is a significant curvilinear relationship between volume and mortality, flatter above 415 cases per hospital per year.

Conclusions: There is a clear relationship between hospital CABG volume and mortality in Europe, implying a 'safe' threshold volume of 415 cases per year.

Introduction

The association between hospital volume and mortality after coronary artery bypass graft (CABG) surgery has been explored within countries since the 1970s, when a negative association was first described (Luft, Bunker & Enthoven 1979). A statistically significant and clinically relevant relationship between hospital volume and mortality has subsequently been found for many different forms of complex surgery in both cardiac and non-cardiac specialties. Relationships are generally attenuated but not eliminated after detailed adjustment for case-mix (Sowden, Deeks & Sheldon 1995, Halm, Lee & Chassin 2002, Shahian 2004).

This evidence, mostly from US studies, has generated debate about 'safe' hospital volumes of surgery. The 'Leapfrog Group', a US consortium of health care purchasers, recommended an annual minimum hospital volume of 450 CABG cases (Leapfrog Group 2008, Finks, Osborne and Birkmeyer 2011). Others suggest this is too high (Shahian and Normand 2003) and that 125-150 procedures a year can be sufficient (Shahian 2004, ACCF/AHA 2011), reflecting recent evidence that mandatory public reporting of CABG mortality has reduced or even eliminated the volume-outcome relationship in the USA (Marcin et al 2008). There is little evidence of this in other countries, many of which do not have mandatory public reporting of CABG mortality.

Some European countries – including England, The Netherlands and Sweden – have responded to evidence of a volume-mortality relationship by centralising CABG facilities (Banta & Bos 1991), but elsewhere hospital volumes remain low. This difference may help to explain observed cross country differences in mortality after cardiac surgery within Europe (Bridgewater et al 2010, Gutacker et al. 2015b). There remains uncertainty around a minimum 'safe' hospital CABG volume to inform policy

decisions in Europe and elsewhere about whether, and if so how far, to centralise CABG services.

We use comprehensive data on CABG in five countries to describe the relationship between hospital volume and short-term mortality, and to estimate a 'safe' minimum hospital volume.

Data and Methods

Study population

The population was drawn from the European Collaboration for Healthcare Optimisation (ECHO) project, including comprehensive record-level patient data on all patients treated in public hospitals from a number of European countries (Bernal-Delgado et al 2015). This study uses data from Denmark, England, Portugal, Slovenia and Spain, 2007 to 2009. We followed the US AHRQ indicator IQI#12 (AHRQ 2015), which includes all patients who have a CABG procedure. This is comparable with existing guidelines of 'safe' volume (e.g. Leapfrog Group 2008) which apply to all procedures, not to isolated CABG. Concomitant procedures are included in the risk-adjustment model. As a sensitivity analysis we included only patients with isolated CABG.

Patients were excluded if they were younger than 40 or had missing information for any of the variables used for casemix-adjustment (n=21). Hospital years were excluded if they included less than 50 patients (< 1% of the sample).

Case-mix adjustment

Expected deaths were predicted accounting for age group (40-55, 56-60, 61-65, 66-70, 71-75, 76-80 and >81), sex, age-sex interactions, year of hospitalisation and indicators for 31 Elixhauser co-morbid conditions (Elixhauser et al 1998, Quan et al 2005, Gutacker et al. 2015a). Mean number of comorbidities coded at each hospital was included to account for possible differences in coding intensity. Models included those measures of severity of the underlying condition, that are available in administrative data (Higgins et al. 1992, Pagano & Gale 2014): primary diagnosis of acute myocardial infarction (AMI), classified as ST-segment elevation (STEMI), non-STEMI or unclear, replacement of a heart valve, implantation of a cardiac or circulatory assistance device, and whether the intervention was major structural surgery. We did not include emergency admission as an explanatory variable because of differences in clinical pathways for similar patients across countries, and because it is highly collinear with a primary diagnosis of AMI.

Clinical outcomes

The clinical outcome was in-hospital 30-day all-cause mortality. Patients who were discharged or still in hospital 30 days after admission were classed as survivors.

Volume thresholds

We use two thresholds for overall hospital case volume derived from literature: low (<125 cases per year) (ACCF 2011), medium (125-449 cases per year) and high (\geq 450 cases per year) (Leapfrog Group 2015). Using pooled data from all five countries we estimated statistically the threshold where the relationship between volume of cases and outcome changed.

Statistical analysis

Expected deaths were predicted using hierarchical logit models accounting for case-mix. Three approaches were used to identify a volume-outcome relationship. First, a smoothed (locally weighted) regression line was fitted through the points and confidence intervals calculated. Second, thresholds for overall hospital case volume derived from literature were used to compare average adjusted mortality rates for different hospitals. Third, using pooled data a threshold was determined where the relationship between volume and outcome appeared to change, using segmented regression analysis. All analyses were carried out using Stata version 12.

Sensitivity analysis

We conducted four sensitivity analyses. Firstly, we restricted the population to patients with no other surgical procedure (isolated CABG). Next, we excluded patients who were transferred out of the hospital where the surgery took place to another hospital as this may underestimate mortality rates. This was most pronounced in Denmark, where around 48% were transferred for rehabilitation, compared with 0-6.5% in the other countries. Our analyses provide two bounds of likely mortality rates – including transfers out gives the lowest possible estimate, assuming all who are transferred out survive. As deaths are likely to occur in the first (specialist) hospital, excluding transfers out may over-estimate mortality rates. Third, to mitigate between-country data differences (e.g. coding secondary diagnoses), we re-estimated adjusting only for age, sex and year, with and without transfers. Finally, we estimate a threshold using data from Spain and England only, which as the largest healthcare systems in our analysis constitute the majority of observations.

Results

106,149 patients were included in the baseline analysis, with an overall mortality rate of 3.0%. The mean age and proportion male was similar across the countries. The main difference in patient characteristics (Table 1) was the recorded number of co-morbidities, notably lower in Denmark. Proportions of patients also varied by AMI type and in overall patients who were diagnosed with AMI, from 5.6% in England to 11.6% in Spain. There was substantial variation in the volume of cases across the five countries (Table 2).

[Table 1 about here]

[Table 2 about here]

Figure 1 illustrates CABG volume in each country and unadjusted mortality rates (see Appendix Figure A1, for individual countries). Hospital volume was lowest and unadjusted mortality rates highest in Spain. The case-mix adjusted relationship between volume and outcome is illustrated in Figure 2 (left panel), showing a clear downward curvilinear relationship. (See Appendix for effects of case-mix covariates on outcome). Volume explains almost half of the variation in adjusted mortality rates ($R^2 = 0.43$). Figure 2 (middle panel) illustrates the volume thresholds suggested by US literature. The average adjusted mortality rate in lower volume hospitals was 5.2% (95% CI 4.0-6.4), falling to 1.9% (1.7-2.2) in higher volume hospitals, or 2.1% (1.8-2.3) after weighting for hospital size (Table 3).

[Figure 1 about here]

[Figure 2 about here]

[Table 3 about here]

The centre-volume threshold that best separated hospitals where volume affects mortality rates negatively from those where no volume effects are apparent was

estimated at 415 procedures per hospital per year (Figure 2, right panel). Mortality rates declined by -1.1 percentage points (95% CI -1.7 to -0.4) per 100 additional operations below this threshold and were not affected by volume above the threshold (-0.05 percentage points per 100 operations; 95% CI -0.16 to 0.06).

Sensitivity analyses

Sensitivity analyses did not alter the main finding of a relationship between centre volume of cases and mortality rates (see Appendix Figures A2-A6). The threshold centre-volume inferred by the data under the different assumptions (excluding other procedures and transfers and simplifying risk-adjustment) did differ, ranging between 291 and 519 procedures per year. Analysis based on Spanish and English data only (Figure A7) suggested a threshold of 512 procedures per year.

Discussion

We found substantial differences in short-term mortality following CABG surgery in hospitals across five European countries. Patients treated in lower volume hospitals had significantly higher 30-day in-patient mortality rates. Below 415 cases per hospital per year, mortality rates increased at a greater rate. Between-country variations in volume are clearly associated with international differences in mortality rates, of which hospital volume explains a large proportion after adjustment for case-mix.

Our findings are consistent with earlier studies, primarily from the USA. More recent US studies have however focused on the importance of public reporting of CABG surgery performance, which appears to have attenuated the volume-outcome effect. For example, Marcin et al (2008), found a small but consistent volume-outcome

relationship during a time when public reporting was voluntary, but that this disappeared once reporting was mandated.

A relationship between volume and outcome could be the result of hospital-level processes, individual surgeon experience (Birkmeyer et al 2003) or both. Moreover, hospital volume of cases may be a marker for hospital quality (Peterson et al 2004) or possibly a more direct determinant of mortality. Our study includes five different European healthcare systems, with different levels of concentration of cardiac surgery services, a key institutional feature influencing CABG outcomes. The mix of organizational systems increases the external validity of the results.

Our study confirms the presence of a strong centre-volume outcome relationship using comprehensive data on all publicly funded patients and hospitals across five countries. Low and medium volume hospitals have substantially and statistically significantly higher adjusted mortality than high volume hospitals. The reduction in mortality risk of moving from “medium” to “high” volume appears greater than the reduction from moving from the “low” to “medium” category.

The data-driven estimate of the threshold volume, 415 cases per year, is similar to the 450 recommended by the US Leapfrog group (2008), lower than the 600 implemented by the Netherlands in the 1990s (Banta & Bos 1991) but substantially higher than the 125-150 recommended in recent US guidelines (ACCF 2011). The ACCF guidelines, however, reflect transparency about cardiac surgery performance, which is not publicly available in many countries outside the US (although it is available in the UK).

This analysis demonstrates the importance of international comparisons using administrative data and a pooled benchmark. This research, with a related study

(Gutacker et al 2015b), shows that restricting benchmarks to a region or even a country may provide false reassurance if there are systematic differences between countries. A threshold would not be adequately informed by any single country's data, and no one of these countries would have provided a sufficient sample to determine a volume-outcome relationship – Spanish hospitals are all (relatively) low-volume, English hospitals are all relatively high volume and the other three countries have only 3–6 medium volume hospitals conducting CABG surgery.

Our findings raise important policy questions about the concentration of services, particularly in countries with low volume hospitals, such as Spain. The Spanish health care system is decentralised in its decision-making: hospitals are organised by autonomous communities and districts. Political opposition to centralisation of services may contribute to explaining the relatively low hospital volume. In contrast, in England, networks of care, higher volume centres and transparent reporting of hospital and surgeon mortality rates has driven quality improvements (Bridgewater et al 2007).

There may be geographical, political or practical difficulties that prevent substantial changes in service delivery in some communities in the short to medium term. In the absence of immediate policies to centralise cardiac surgical provision, it is important to consider how best to improve mortality rates in low-volume units. Our analysis does not permit direct exploration of this, but potential quality improvement processes include participation in registries and associated initiatives (Bridgewater et al 2010, Shahian et al 2009) public reporting initiatives and improved transparency (Steinbrook 2006, Grant et al. 2013), and regional partnerships and quality improvement collaboratives (O'Connor et al 1996, Finks, Osborne and Birkmeyer 2011). Public reporting in the US appears to have reduced or even eliminated the

previous volume-outcome relationship, and this may be more achievable than centralisation of services in a devolved health care system like Spain.

Previous international studies in this area have relied on clinical registry data (Bridgewater et al 2010). In most countries, however, registry data is collected voluntarily and remains vulnerable to selection bias, if hospitals and surgeons with low mortality rates are more likely to participate (Bufalino et al. 2011). This is the first international study of the CABG volume-mortality relationship to use comprehensive international comparisons of patient-level hospital administrative data. Our approach avoids selection bias, but has a more limited set of covariates for case mix adjustment.

Other limitations also remain. First, not all countries could provide mortality data outside hospital for an overall 30-day mortality rate. We used in-hospital 30-day mortality because the length of hospital stay varied across countries, making in-patient mortality rates a less than ideal basis for comparison (Spiegelhalter 2013). If high volume hospitals discharge patients earlier then our estimated association between volume and outcome may be biased downwards.

Second, there may be cross-country differences in coding. CABG is well-defined and patient characteristics and secondary diagnoses similar in four countries (Table 1), but apparent differences in comorbidity recording in Denmark may overestimate their adjusted mortality rates. There are also coding differences in concomitant procedures (e.g. apparently large numbers of heart valve replacements and cardiac assistance devices in Slovenia). Our sensitivity analyses, using only age and sex as risk-adjustment measures to avoid any hospital or country level differences in coding, and including isolated CABG only, do not change the main findings of the analysis (see

Appendix). A strong and significant association between volume and outcomes remains.

Finally, there may be hospital- or national-level differences in choice of treatments for similar conditions, in particular the choice between CABG surgery and percutaneous coronary interventions (PCIs) (Taggart 2009, Hlatky et al. 2009). Our dataset suggests that England (which dominates the high-volume segment) provides more CABG as a proportion of all revascularisation procedures than the other countries: 30 CABG procedures to 70 PCIs in England over the period compared with around 20:80 in Portugal and Denmark and 15:85 in Slovenia and Spain. This raises the question of differential selection between CABG and PCI procedures, but in initial explorations of PCI data in the ECHO data warehouse there was no negative correlation between hospital-level standardised mortality rates following CABG and PCI ($R=0.045$).

Overall, our findings demonstrate a strong and statistically significant relationship between hospital volume of CABG surgery and in-hospital 30-day mortality, and a threshold of 415 cases per hospital per year above which mortality rates are stable and on average much lower. All hospitals in Spain were below this threshold, whereas all hospitals in England were above it. Higher volume, higher throughput systems of care have the potential to reduce mortality rates further and there may be an international case to regionalise services where the hospital volume of CABG surgery is low.

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Declaration of interests

None of the authors has any conflicts of interest with regard to this paper.

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Contributorship

The statistical analysis was carried out by Nils Gutacker. Gutacker, Bloor, Cookson and Bernal-Delgado conceived and designed the study, and all contributed substantially to data acquisition, analysis and interpretation. Bloor wrote the first draft of the paper, with important contributions from all co-authors. Gale, Maynard, Pomar and Pagano contributed substantially to interpreting the data and critical revisions of the paper for important intellectual content. All authors have approved the version to be submitted, and agree to be accountable for all aspects of the work.

Table 1: Patient characteristics

	Denmark n=7,168	England n=67,450	Portugal n=7,639	Slovenia n=2,345	Spain n=21,547	Total n=106,149
Age: mean (SD), years	67.1 (9.3)	67.4 (9.6)	66.3 (9.7)	67.6 (9.2)	67.7 (9.6)	67.4 (9.6)
Male (%)	79.2	78.8	76.5	74.1	78.1	78.4
Number of co-morbidities: mean (SD)	1.0 (1.2)	2.0 (1.4)	1.9 (1.4)	2.0 (1.4)	2.2 (1.4)	2.0 (1.4)
No co-morbidities (%)	44.5	12.7	16.3	12.1	10.0	14.6
1 co-morbidity (%)	27.0	27.9	28.1	29.9	24.0	27.5
2-3 co-morbidities (%)	24.1	45.5	43.4	45.2	49.7	44.8
4+ co-morbidities (%)	4.4	13.9	12.2	13.9	16.3	13.6
ST-elevation myocardial infarction (%)	0.8	1.8	2.9	3.5	4.6	2.4
Non ST-elevation myocardial infarction (%)	3.4	0.5	6.0	5.5	6.3	2.4
Unspecified myocardial infarction (%)	1.9	2.4	0.1	0.4	0.7	1.8
Heart valve surgery (%)	29.5	7.5	4.0	83.0	5.9	10.1
Cardiac assistance device surgery* (%)	3.3	9.2	4.2	42.4	3.9	8.1
Repair/revision or major structural surgery (%)	19.9	18.6	20.8	30.1	27.1	20.8

*includes cardiac pacemaker and automatic implantable cardioverter defibrillator insertion during the same hospital stay

Table 2: Descriptive statistics

	Denmark	England	Portugal	Slovenia	Spain	Total
Number of hospital years*	18	87	18	9	129	261
Total volume (2007-2009)*	7,168	67,450	7,639	2,345	21,547	106,149
Mean (median) volume per hospital per year	398 (410)	775 (709)	424 (429)	260 (205)	167 (152)	407 (279)
Minimum – maximum volume per hospital per year	239-544	466-1372	238-537	95-480	68-318	68-1372
Mean (SD) length of stay, days **	14.3 (8.4)	11.6 (7.2)	11.6 (6.8)	15.5 (8.6)	17.0 (8.3)	13.0 (7.8)
Transfers out, %	47.9	6.5	0.0	4.9	2.6	8.0
Mean (SD) 30-day in-hospital mortality rate %, <i>including</i> transfers**	2.8 (16.6)	2.2 (14.5)	2.3 (15.0)	3.5 (18.4)	4.9 (21.5)	3.0 (16.5)
Mean (SD) mortality rate % <i>excluding</i> transfers (sensitivity analysis)**	5.1 (22.1)	2.3 (15.0)	2.3 (15.0)	3.7 (18.8)	5.0 (21.8)	3.0 (17.1)

* hospital years with less than 50 patients were excluded

**mortality and length of stay are truncated at 30 days

Table 3: Adjusted 30-day in-hospital mortality rates in low, medium and high volume hospitals

Volume (cases per hospital per year)	No. of hospitals	Adjusted mortality rates, mean (95% CI), unweighted	Adjusted mortality rates, mean (95% CI), weighted by hospital volume
Low (<125)	15	5.2% (4.0 to 6.4)	5.1% (3.9 to 6.3)
Medium (125-449)	37	4.4% (3.6 to 5.1)	4.1% (3.3 to 4.8)
High (\geq 450)	35	1.9% (1.7 to 2.2)	1.9% (1.7 to 2.2)

Figure 1: Unadjusted 30-day in-hospital 30-day mortality rates and hospital annual volume by country

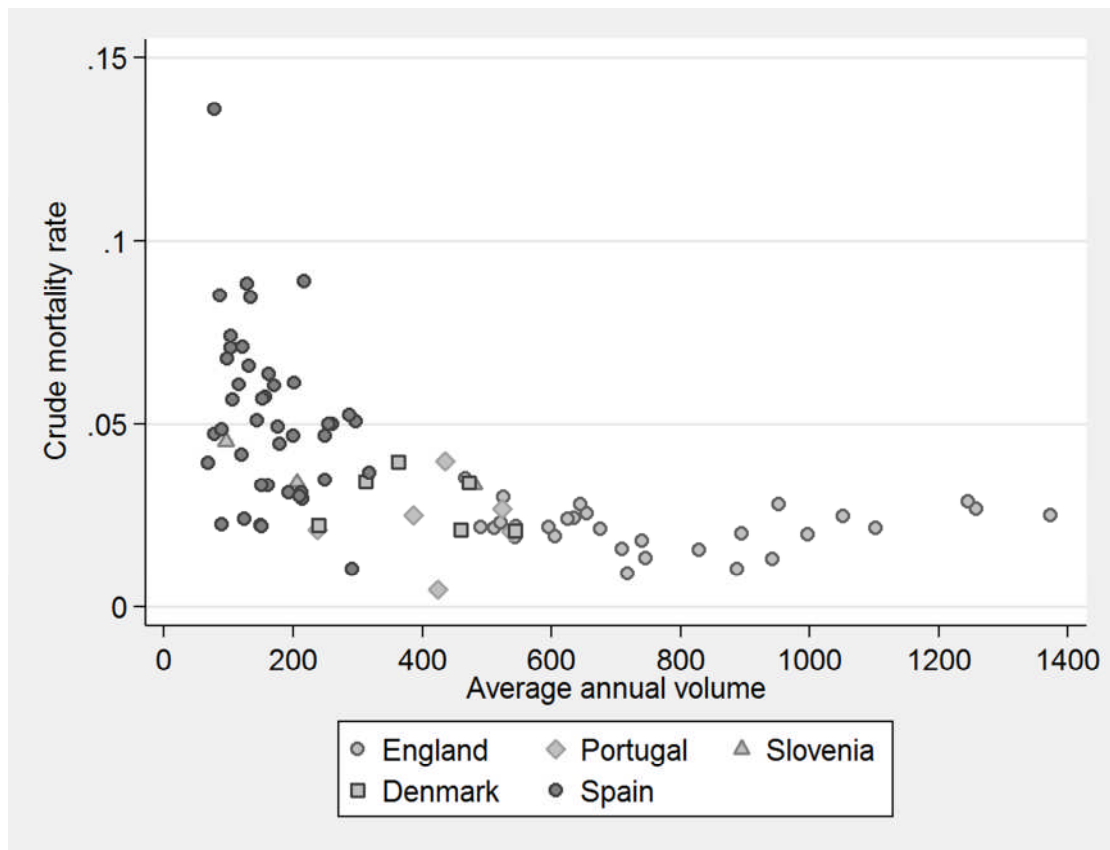
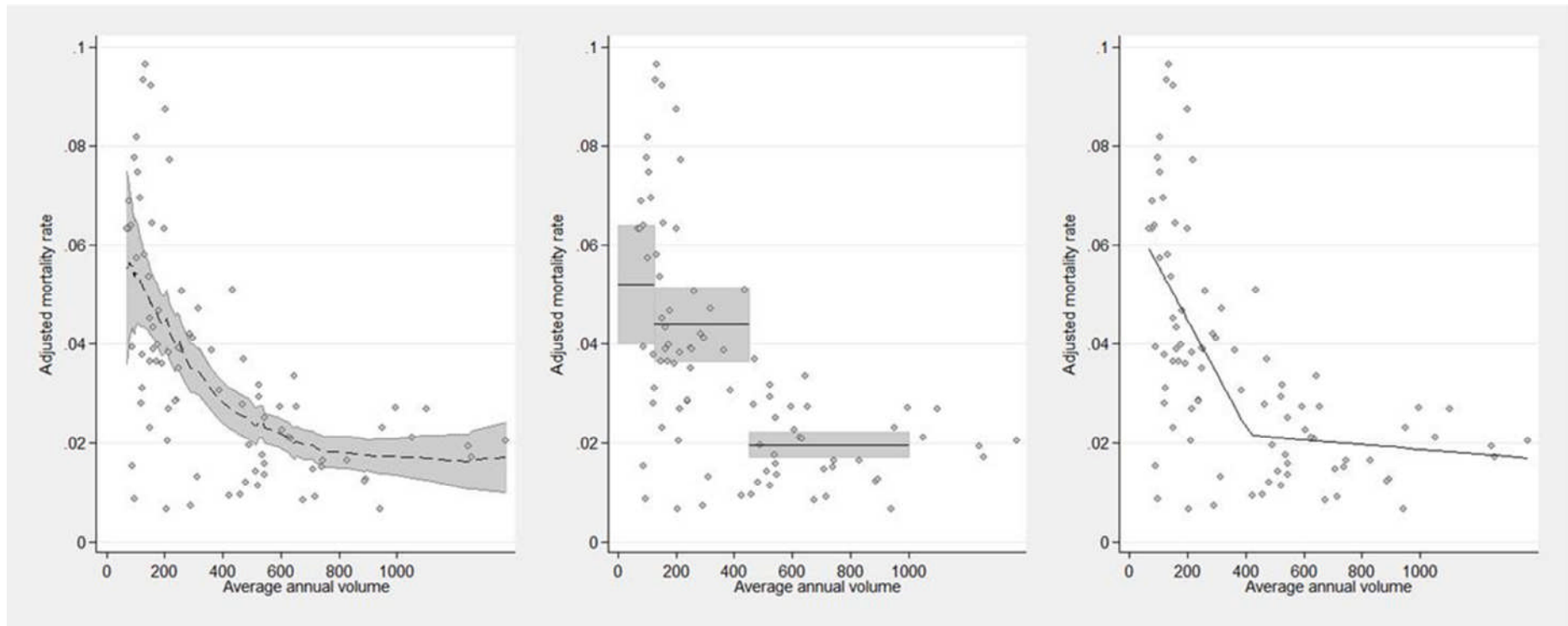


Figure 2: Adjusted 30-day in-hospital mortality rate and hospital annual volume, all five countries pooled. LOWESS plot (left panel), average mortality by volume group (middle panel), segmented regression line (right panel). Estimated threshold volume: 415 procedures per hospital per year



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