**Carbon isotope fractionation between amorphous calcium carbonate and calcite in earthworm-produced calcium carbonate**

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**Abstract**

In this study we investigate carbon isotope fractionation during the crystallization of biogenic calcium carbonate. Several species of earthworm including *Lumbricus terrestris* secrete CaCO3. Initially a milky fluid comprising micro-spherules of amorphous CaCO3 (ACC) is secreted into pouches of the earthworm calciferous gland. The micro-spherules coalesce and crystalize to form millimetre scale granules, largely comprising calcite. These are secreted into the earthworm intestine and from there into the soil. *L. terrestris* were cultured for 28 days in two different soils, moistened with three different mineral waters at 10, 16 and 20 °C. The milky fluid in the calciferous glands, granules in the pouches of the calciferous glands and granules excreted into the soil were collected and analysed by FTIR spectroscopy to determine the form of CaCO3 present and by IRMS to determine δ13C values. The milky fluid was ACC. Granules removed from the pouches and soil were largely calcite; the granules removed from the pouches contained more residual ACC than those recovered from the soil. The δ13C values of milky fluid and pouch granules became significantly more negative with increasing temperature (p < 0.001). For samples from each temperature treatment, δ13C values became significantly (p < 0.001) more negative from the milky fluid to the pouch granules to the soil granules (-13.77, -14.69 and -15.00 respectively at 10 °C; -14.37, -15.07 and -15.18 respectively at 16 °C and -14.89, -15.41 and -15.65 respectively at 20 °C). Fractionation of C isotopes occurred as the ACC recrystallized to form calcite with the fractionation factor εcalcite-ACC = -1.20 ± 0.52 %0. This is consistent with the crystallization involving dissolution and reprecipitation rather than a solid state rearrangement. Although C isotopic fractionation has previously been described between different species of dissolved inorganic carbon and various CaCO3 polymorphs, this is the first documented evidence for C isotope fractionation between ACC and the calcite it recrystallizes to. This phenomenon may prove important for the interpretation of CaCO3-based C isotope environmental proxies.

**Keywords**: Earthworms; calcium carbonate; calcite; carbon isotopes; fractionation; crystallization

**Introduction**

Many earthworm species produce calcium carbonate (CaCO3) granules in specialised calciferous glands. In the earthworm *Lumbricus terrestris* these occur in segments 11-12 as two pairs of swellings off the oesophagus, and one pair of pouches anterior to the glands in segment 10 (Darwin, 1881; Canti and Piearce, 2003).

CaCO3 production starts by secretion of an amorphous calcium carbonate (ACC) suspension that we refer to as milky fluid. In the pouches, small spherulites (1-5 μm) in the milky fluid accrete into larger granules (≤ 2.5 mm). These are released into the oesophagus and excreted into the soil (Briones *et al.*, 2008; Gago-Duport *et al.*, 2008). The granules retrieved from the pouches and the soil are predominantly calcite, but can contain small amounts of ACC, vaterite and aragonite (Gago-Duport *et al.*, 2008; Lee *et al.*, 2008; Fraser *et al.*, 2011; Brinza *et al.*, 2013; Brinza *et al.*, 2014a; Brinza *et al.*, 2014b; Hodson et al., 2015). The function of CaCO3 production by the earthworms remains unclear but is likely related to regulation of pH and CO2 concentrations in body fluids (Voigt, 1933; Aoki, 1934; Kaestner, 1967; Kühle, 1980; Versteegh *et al.*, 2014).

It is known that considerable δ13C fractionation factors exist between the different species of DIC and the various polymorphs of CaCO3 (Fouke et al., 2000; Romanek *et al.*, 1992; Szaran, 1997; Zhang *et al.*, 1995). In addition to thermodynamics, kinetics of precipitation plays an important role in fractionation (Watson, 2004; DePaolo, 2011; Nielsen et al., 2012). Variable fractionation of carbon isotopes has been observed in different calcium carbonate biominerals suggesting that vital effects may also be relevant (e.g. Adkins et al., 2003; Auclair et al., 2003; Bernis et al. 2000; Lécuyer et al. 2012; McConnaughey, 1989; Rollion-Bard et al, 2016; Spooner et al, 2016). Despite many calcium carbonate minerals having an amorphous pre-cursor (Radha *et al.*, 2010; Rodriguez-Blanco *et al.*, 2011; Stephens *et al.*, 2011) and stable ACC being increasingly observed in biominerals (Aizenberg *et al.*, 2003; Jacob *et al.*, 2008; Wehrmeister *et al.*, 2011), carbon isotope fractionation between ACC and calcite has not been previously reported in the literature

Here we present results of stable carbon isotope analyses on milky fluid collected from the calciferous pouches of earthworms, fresh granules also collected from the pouches, and older granules collected from the soil in which the earthworms were cultivated and address the question: how does granule mineralogy influence δ13C values? Furthermore we make a first attempt at estimating the carbon isotopic fractionation factor between calcite and ACC, produced by earthworms.

**Materials & Methods**

*Experimental setup*

Two soils were collected from agricultural fields in Berkshire, UK: Hamble (SU 61968 70235) and Red Hill (SU 56060 80033); both Typical Argillic Brown Earths (Avery, 1980; full soil characterisation in Table 1, Versteegh et al., 2014). The soil was air-dried and sieved to 250 µm prior to use (Lambkin *et al.*, 2011). This ensures that no large granules are present in the soil at the beginning of the experiment and facilitates granule recovery at the end. Post-sieving soil pH and organic matter content were 7.5 ± 0.3 and 3.8 ± 0.1 % for Hamble and 7.1 ± 0.1 and 7.4 ± 0.1 % for Red Hill. For each replicate, 300 g of soil were mixed with one of three types of mineral water (initial δ18O values -10.0, -7.3 and -5.3 (± 0.2) ‰ VSMOW) to 65 % water holding capacity (BS ISO, 1998). The moistened soil was put in a zip-lock bag with 5 g air-dried horse manure rehydrated with 10 ml demineralised water. One adult, clitellate *L. terrestris* was added to each bag. Bags were closed and kept at either 10, 16 or 20 °C. There were six replicates per treatment. Earthworms were acclimatised for three weeks, and then transferred to an identical treatment bag containing the same type and mass of soil and manure at the same temperature. Experimental details are given in Versteegh et al. (2013). After 28 days earthworms were removed from the bags, killed by dipping them in near-boiling water, and the calciferous glands were dissected out. Any CaCO3 concretions present in the pouches were also retrieved, rinsed in deionised water and air-dried. Calciferous glands were put on a glass slide; MF was allowed to leak from the glands, was left to air-dry overnight, and collected by scraping it off the slide. The soil was wet-sieved to 500 µm to retrieve granules which were air-dried.

*Stable-isotope analyses*

Milky fluid and individual granule CaCO3 samples were analysed for δ13C values using a Thermo Delta V Advantage IRMS with a GasBench II. The Gasbench II sample preparation device uses 100% ortho-phosphoric acid to transform CaCO3 into CO2 and hence only analyses the mineral fraction of the samples (Paul and Skrzypek, 2007). The raw δ13C values were converted to the VPDB scale after normalising against NBS 18 and NBS 19 carbonate standards. The long-term standard deviation of a routinely analysed in-house CaCO3 standard was < 0.05 ‰. Statistical analysis of the 13C data was carried out using SigmaPlot 12 for Windows 7.

*Fourier transform infrared spectroscopy (FTIR)*

Three samples each of milky fluid, granules from pouches and granules from soil were analysed by FTIR in the range 650 – 4000 cm-1 using a diamond internal reflection cell on a A2-Technology MicroLab Portable mid-IR spectrometer of the Cohen Laboratories, University of Leeds. Spectra were acquired by co-adding 512 scans with a 4 cm-1 resolution. Crystalline carbonate phases have distinct bands at ~ 714 cm-1 (ν4), ~ 866 cm-1 (ν2), ~ 1084 cm-1 (ν1) and 1420-1470 cm-1 (ν3) whilst ACC lacks the distinct vibrational band at ~ 714 cm-1 (Chester and Elderfield, 1967; Aizenberg *et al.*, 1996; Gago-Duport *et al.*, 2008; Rodriguez-Blanco *et al.*, 2011). Areas for the ν4 and ν3 peaks covering the wavenumber ranges between 651 – 725 cm-1 and 1602-1243 cm-1 respectively were determined using the Nicolet EZ OMNIC 5.1 Software. Reference spectra for synthetic calcite and ACC were provided by Dr. Juan-Diego Rodriguez-Blanco, University of Copenhagen, Department of Chemistry.

**Results**

*δ13C values of CaCO3*

For each individual earthworm, 10 granules were analysed from the soil and one from each of the pouches (if available). For milky fluid, only one analysis per earthworm could be undertaken, but sometimes this failed because too little material was available. All analyses are reported in the Supplementary material. Three-way Analysis of Variance (ANOVA) with temperature, soil type and water type as factors indicated that there were no significant differences in δ13C values of granules extracted from the soil between different treatments. In contrast, 3-way ANOVA followed by pair-wise multiple comparison (Holm-Sidak method) indicated that there were significant differences in δ13C values between different temperature treatments for the granules extracted from the pouches and also for the milky fluid (p < 0.01); values became increasingly negative from the 10 to 16 to 20 °C treatments. There were no significant differences in δ13C values for either the milky fluid or granules from pouches between different soils or different mineral water treatments. Consequently, the data for different soil-water combinations but the same temperature were combined for analysis. Kruskall-Wallis One-way Analysis of Variance (ANOVA) on ranks followed by pair-wise comparison (Dunn’s method) indicated that at each temperature there were significant differences between the δ13C values of the milky fluid, granules from pouches and granules from soil with values becoming increasingly negative in that order (Fig. 1). Ranges of δ13C values were relatively narrow for milky fluid and granules from pouches but wider for granules retrieved from the soil.



*FTIR data*

FTIR analyses revealed that in the milky fluid the ν4 peak at 714 cm-1 was absent (Fig. 2). In contrast, the granules recovered from the pouch and from the soil both had a distinct peak at 714 cm-1. The ratio of the peak areas for the ν3 and ν4 vibrations was significantly greater (t-test, p < 0.05) for the pouch granules (31.9 ± 2.2 ,mean ± standard deviation, n = 3) than for the soil granules (21.3 ± 0.6).



Fig. 2. Typical FTIR spectra for milky fluid, a granule recovered from one of the pouches (pouch granule), and a granule recovered from the soil (soil granule);all spectra relate to samples from the same earthworm. Reference spectra for synthetic calcite and ACC are also shown. Spectra are vertically offset on the absorbance axis for clarity. The major calcium carbonate peaks (υ1 to υ4) are labelled. Calcite shows peaks at ~714 cm-1 (υ4), ~866 cm-1 (υ2), ~1090 cm-1 (υ1) and 1420-1470 cm-1 (υ3); amorphous calcium carbonate lacks the ~714 cm-1 peak.

**Discussion**

*δ13C values and polymorphs of CaCO3*

Ranges of δ13C values are narrow for the milky fluid and granules from pouches, while δ13C values for granules retrieved from the soil show a wide range and are not normally distributed (Fig. 1). Therefore, for each experimental replicate, the median δ13C value for each set of 10 granules recovered from the soil per earthworm was used for comparison with the δ13C values of the milky fluid and pouch granules recovered from the same earthworm that produced the soil granules. Regression analyses for the entire dataset (combining the different temperature treatments) revealed strong relationships between milky fluid δ13C values and δ13C values of the granules retrieved from the same earthworm’s pouches (Fig. 3a), as well as between δ13C values of granules retrieved from the pouches and those secreted into the soil by the same earthworm (Fig. 3b). Relationships were less strong when individual temperature treatments were considered. Going from milky fluid, to granules in the pouches, to granules in the soil, δ13C values show a gradual shift to lower values (Fig. 1, Fig. 3a-b).



b)

a)



Fig. 3. (*a*) δ13C values of individual granules retrieved from the pouches versus δ13C values of milky fluid recovered from the same pouch, note the depletion in 13C in the former and the shift to lower values with increasing temperature; (*b*) Median δ13C composition of granules recovered from the soil versus δ13C values of the individual granules retrieved from the pouches of the earthworm cultivated in that soil; note increased scatter and lower R2. Data from all experiments is plotted.

Previous studies show that the CaCO3 in milky fluid mostly comprises ACC (Briones *et al.*, 2008), while the granules mostly comprise calcite but with trace amounts of vaterite (Gago-Duport *et al.*, 2008; Lee *et al.*, 2008; Hodson *et al*., 2015). Our data are consistent with this. Our FTIR analyses (Fig. 2) indicate that the milky fluid was dominated by ACC (the ν4 peak at 714 cm-1 was absent and the spectrum resembled that of the reference synthetic ACC). In contrast, the granules recovered from the pouch and from the soil both had a distinct peak at 714 cm-1, typical of crystalline forms of CaCO3 and a spectrum almost identical to reference calcite, though we note that the FTIR spectrum of vaterite is almost identical to that of calcite (e.g. Hodson *et al*., 2015) and, in contrast to our previous studies, here we did not carry out the X-ray diffraction data necessary to confirm that the granules are calcite and not vaterite.

In previous studies relict ACC has been detected in granules (Gago-Duport *et al*., 2008; Lee *et al.* 2008; Fraser *et al.*, 2011; Brinza *et al.*, 2013, 2014a, b;Hodson *et al*., 2015). The ratio of the peak areas for the ν3 and ν4 vibrations was greater for the pouch granules than for the soil granules. The ratio of ν3 and ν4 decreases as the amount of ACC decreases (Hodson *et al.*, 2015) suggesting that the granules from the pouches contain a larger amount of untransformed ACC than the granules recovered from the soil.

It is known that considerable δ13C fractionation factors exist between the different species of DIC and the various polymorphs of CaCO3 (Romanek *et al.*, 1992; Zhang *et al.*, 1995; Szaran, 1997). The observed differences in δ13C values between milky fluid and the two types of granules could be due to analogous isotopic fractionation. Similarly Guiffre et al (2015) observed a change in both the Ca and Mg isotopic composition of CaCO3 as it transformed from ACC to calcite and attributed this to a dissolution-reprecipitation mechanism for the transformation. Thus, the observed C fractionation reported here supports suggestions that the transformation of ACC into calcite occurs through dissolution and re-precipitation (e.g. Pontoni *et al*., 2003; Han and Aizenberg, 2008; Bots *et al*., 2012; Guiffre *et al*., 2015) rather than solid state dehydration and structural rearrangement (e.g. Beniash *et al*., 1999; Politi *et al*., 2008; Weiner and Addabi, 2011; Gal *et al*., 2013). As we only analysed samples by FTIR we are unable to comment on whether vaterite might form as an intermediate in this transformation.

Using the δ13C values for the milky fluid, the pouch granules and median values for the soil-recovered granules for individual earthworms we estimated the isotopic enrichment factor (ε) between calcite (soil granules and pouch granules) and ACC, defined by:

εcalcite-ACC = 1000 · [(δ13Ccalcite + 1000)/(δ13CACC + 1000) - 1] (1)

The pouch granule - milky fluid (-0.74 ± 0.37 %0), soil granule - milky fluid (-1.20 ± 0.52 %0) and soil granule - pouch granule (-0.46 ± 0.45 %0) enrichment factors were significantly different from each other (Kruskal-Wallis One Way Analysis of Variance on Ranks followed by a post hoc Tukey test, p < 0.01) despite significant overlap between the first two. The high level of overlap between these two enrichment factors is undoubtedly due to the fact that the granules in the pouches and the soil are predominantly calcite. This also helps explain the soil granule – pouch granule enrichment factor that is almost equal to zero within error; the majority of ACC will have converted to calcite in the pouch granules and therefore little additional transformation occurs following expulsion of the granules from the calciferous gland into the earthworm intestine and from there into the soil. Both the pouch granule - milky fluid and soil granule - milky fluid enrichment factors indicate an increase in the incorporation of 12C relative to 13C as the ACC crystallizes to calcite. This incorporation of the lighter isotope in the final crystallization product is in agreement with existing kinetic theories on the control of isotope fractionation (Watson, 2004; DePaolo, 2011; Nielsen et al., 2012) and has been observed in a variety of biominerals (e.g. Auclair et al., 2003; Rollion-Bard et al, 2016; Spooner et al, 2016) where the δ13C of crystalline calcium carbonate is compared to that of dissolved precursor ions.

Although significant differences exist between the enrichment factors calculated for different temperatures for the pouch granule - milky fluid (ANOVA, p < 0.01) and soil granule - milky fluid (Kruskal-Wallis One Way Analysis of Variance on Ranks, p < 0.01) linear regression indicates only a small dependence of this variation on temperature (R2 < 0.2), consistent with previous abiotic calcite-bicarbonate enrichment factors (Romanek et al., 1992), but not with theories considering kinetic controls on isotopic fractionation. The lack of an apparent temperature dependence may be due to either or both the metabolism of the earthworms maintaining a more constant body temperature in the calciferous gland than in the surrounding soil (though note that oxygen fractionation is temperature sensitive to the temperature of the surrounding soil, see Versteegh et al., 2013) or the scatter in the δ13C values.

Guiffre *et al.* (2015) found that the Ca and Mg isotopic composition of calcite formed from ACC was sensitive to the amounts of ACC present. In a similar fashion, the relatively high standard deviation values for the enrichment factors may be due to a lack of end member ACC and calcite used in our calculation. Although the FTIR spectra for the milky fluid indicate that the only form of CaCO3 present is ACC (Fig. 2), studies have found trace amounts of calcite in the milky fluid (Gago-Duport *et al*., 2008) and it is possible that that is the case here with the calcite below detection levels. Trace amounts of calcite may have been produced if some ACC transformed whilst the milky fluid was drying out. Our results suggest that this would result in more negative δ13C values. Similarly the granules, although predominantly calcite, may contain varying, but small, amounts of ACC (e.g. Lee *et al*., 2008; Hodson *et al*., 2015)). Small amounts of ACC appear to be unusually stable in the granules and may be preserved indefinitely in the granules. Further, granules recovered from the soil were secreted over a 28 day period and therefore potentially show different degrees of transformation from ACC to calcite. Varying levels of transformation from ACC to calcite from the milky fluid to the granules recovered from the soil are also consistent with the wider range of δ13C values and enrichment factors observed for these granules compared to those present in the pouches which will have a more similar age and, therefore potentially have experienced the same amount of ACC transformation.

Methods have recently been developed to synthesise ACC that can remain stable for several days (Rodriguez-Blanco et al., 2008). This opens up the possibility of direct and accurate determination of εcalcite-ACC for abiotic systems in the near future. More detailed carbon isotope and mineralogical studies of the calciferous gland and calcite granules together with other biominerals, e.g. echinoderm spines, in which both ACC and calcite are present are required to better understand the role of vital processes in this fractionation. As many biominerals are precipitated from an ACC precursor phase, and can contain stable ACC in their mature state, this will be an important step in understanding the mechanisms of biomineralisation and implications for the environmental interpretation of biomineral proxies.

**Acknowledgements**

This research was funded by a NERC Standard Research Grant (M.E.H. and S.B.; NE/H021914/1). The research was carried out in accordance with the U.K. Animals (Scientific Procedures) Act, 1986 and associated guidelines. MEH and SB designed the study, EAAV carried out the experimental work and chemical analyses. All authors contributed to data analysis and paper writing. We would like to thank Trevor Piearce (Lancaster University) for his help with the earthworm dissections, Liane Benning (University of Leeds) for assistance with the FTIR work, Juan Diego Rodriguez Blanco (University of Copenhagen) for providing reference CaCO3 FTIR spectra, Yan Gao (University of Reading) for assistance with stable isotope analyses and two anonymous referees for their comments which helped improve the clarity of the paper.

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