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1 **Laws in ecology: diverse modes of explanation for a holistic science?**

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6

7 **ABSTRACT**

8 Ecology's reputation as a holistic science is partly due to widespread misconceptions of its
9 nature as well as shortcomings in its methodology. This paper argues that the pursuit of
10 empirical laws of ecology can foster the emergence of a more unified and predictive science
11 based on complementary modes of explanation. Numerical analyses of population dynamics
12 have a distinguished pedigree, spatial analyses generate predictive laws of macroecology
13 and physical analyses are typically pursued by the ecosystem paradigm. The most
14 characteristically ecological laws, however, are found in biotic analyses in the functional
15 trait paradigm. Holistic credentials for ecology may thus be restored on two bases: its
16 accommodating complementary modes of analysis and explanation, and its having some
17 laws within the least reductionistic mode consistent with its subject matter. These claims,
18 grounded in the aspectual theory of Herman Dooyeweerd, lead to some suggestions for
19 enhancing the versatility and usefulness of ecology – and other sciences – by balancing
20 different research paradigms under a holistic vision.

21

22 **Keywords:** abstraction, biotic, Herman Dooyeweerd, physical, spatial, numerical, paradigm,
23 reductionism

24

25

26 Ecology, as the study of general patterns in the relationship of organisms to their
27 environments, appears to be a holistic science. This notion is no doubt bolstered by its links
28 to an ideology. What English-speakers call *green* is rendered in many other languages as
29 *ecological*, which (also in English) evokes the ethic of conservation and certain kinds of
30 nature-focused worldviews and lifestyles that reject reductionistic explanations and
31 technologies. But all kinds of scientific analysis proceed by reducing the full richness of
32 reality as we experience it to simpler quantitative concepts, and corresponding data in
33 which patterns may be discerned and explained. So in what ways might ecology as a science
34 really be more holistic – or less reductionistic – than, say, physics? This paper begins from an
35 interpretation of both physics and ecology as comprising various kinds of models based on
36 entities and quantities abstracted from the world of human experience – including a special
37 class of model known as *scientific laws* that describe measurable relationships among
38 variables and can be used to make predictions. In view of the complexity and
39 interconnectedness of living systems, some might then imagine that ecology's subject
40 matter prevents it from being as successful in this enterprise as the physical sciences,
41 drawing attention to how few its laws are and how limited in scope and accuracy. Others,
42 taking the view that laws of physics actually control the Universe, imagine that ecology's
43 laws could only be curious instantiations of these real causal *laws of nature*: useful
44 approximations to unpalatable equations, perhaps, that may be convenient for certain

45 applications. We dispute all this. The view advanced below recognizes a range of
46 complementary types of abstraction across the sciences and appreciates a wide diversity of
47 valid modes of scientific analysis and explanation, while denying that scientific laws
48 constitute causal explanations. This leads to a new view of how to assess the holism of
49 ecology and other sciences, regardless of their subject matter or ideological associations.

50 *Law* is a contested term with many connotations. The root meaning is probably a decree
51 by which a governor regulates the way people go about their lives. When *laws of nature*
52 were conceived by early European natural philosophers such as Descartes and Boyle, the
53 concept inherited much from the prominence of law in the Hebrew scriptures, where God is
54 described as both making (e.g. Psalm 104, ESV) and respecting (e.g. Jeremiah 33:20, ESV)
55 laws for the whole created order: inanimate, animate and human. With the advent of
56 secularisation, the apparently inviolable nature of the laws for inanimate beings such as
57 rocks and heavenly bodies (miracles aside) led some philosophers to the vision of
58 discovering a set of true laws that would be equivalent to causes. However, that view largely
59 gives way to a descriptive concept of scientific laws: the one often attributed to Isaac
60 Newton. Newton's mathematical descriptions of relationships among abstract quantities
61 such as mass, force and velocity helped establish an empirical tradition of *laws of physics*
62 that need not be taken as causal explanations. Such laws were hypothesized, inferred (not
63 deduced) and provisional. This is the basic sense in which we will use *law* (some readers
64 might prefer *regularity*), and in the next section below we begin sketching a framework for
65 some different classes of law, with examples from physics. Since ecology is very different
66 from physics, the section then gives some introduction to ecology and why its laws might
67 look different.

68 The central section of this paper then builds our framework more explicitly by exploring
69 candidate ecological laws under four modes of analysis, according to the types of quantities
70 they relate. Then in the following section a formal view of abstraction is laid out, drawing
71 upon the framework of the Dutch philosopher Herman Dooyeweerd. Distinguishing
72 abstraction from reductionism suggests new perspectives on the types of laws that may
73 most fruitfully be sought in ecology. This leads on, in the final section, to some suggestions
74 for the development of a truly holistic ecology.

75

76 **LAWS VIA ABSTRACTION IN PHYSICS AND ECOLOGY**

77 We begin by laying out a view of the relationships between laws and several other
78 categories of *model* (Fig. 1). Scientific laws are often expressed as equations and so may be
79 seen as a simple kind of mathematical model. They are often devised under the influence of
80 conceptual models – such as the wave model of light, the organismic model of the plant
81 community or the model of mutation and selection to explain evolution. We will return to
82 conceptual models later, along with the notion of causal *laws of nature*. Mathematical
83 models, meanwhile, may be classified into analytical and simulation models, each of which
84 occupies a significant area of ecological research. Inferences may be deduced analytically or
85 inferred from iterative simulations, by putting assumptions into mathematical forms and
86 combining them. Dependence on multiple assumptions (Hall 1988), however, generally
87 prevents such inferences from being taken as laws – rather as we distinguish Hubble’s Law
88 (based on observations) concerning the relative speeds of distant galaxies from George
89 Lemaître’s calculation of such a relationship (analytically modeled) on the basis of the
90 theory of General Relativity and a model of cosmic inflation (Livio 2011). The challenge in

91 demonstrating the scientific relevance of any kind of model lies in satisfying a scientific
92 community that its assumptions are met in some situation of theoretical interest, and for a
93 candidate law, this may generally be done by demonstrating that the relation holds for sets
94 of empirical observations drawn from a sufficiently wide range of situations. The difficulty of
95 achieving this in a world of complex interactions may explain why so much ecological work
96 has been devoted instead to other kinds of modelling. In this paper, nevertheless, we focus
97 on descriptive laws as one of the scientific elements that is easier to define and recognize.

98

99 [Figure 1 about here]

100

101 A perspective on the development of physics out of natural philosophy, with the
102 accompanying accumulation of proposed laws, will provide both background and contrast
103 for our proposal for ecology. Danie Strauss (2010) provides an illuminating account of
104 physics by focusing on levels of abstraction. The abstraction of numbers and numerical
105 relations in the foundation of classical mathematics is an enduring legacy of ancient
106 philosophy and arguably the ground of much subsequent success in the empirical sciences.
107 Where observation-based theorizing was pursued, however, inadequacies of this *rational*
108 mode of explanation gave place to a spatial mode involving irrational numbers and
109 geometric relations – as employed in classical astronomy, for example. That the laws of
110 geometry are not now considered part of physics perhaps underscores the foundational
111 significance of the novel modes of explanation that followed. Indeed, in much of Descartes’
112 natural philosophy the spatial mode remains predominant, and it is notable that the
113 abstraction of space-filling corpuscles serves as a model of the Universe. But Descartes also

114 draws upon a clear concept of motion, and especially with the work of Galileo and Newton,
115 a kinetic mode of explanation emerges as dynamic relations become a fundamental
116 phenomenon, and laws were formulated describing trajectories, velocities and
117 accelerations. Then, under the paradigm of thermodynamics, laws were formulated to
118 describe the irreversible flows of heat and its interconversion with work, and energy came
119 to be abstracted as a very general property of fluids and other bodies. Next, with quantum
120 mechanics, electromagnetic radiation and subatomic particles come to be abstracted along
121 with properties such as wavelength and spin, subject to laws of particle physics. Meanwhile
122 Einstein's work led to the abstraction of a mass–energy equivalence and the concept of
123 spacetime, accompanying the laws of relativity.

124 In this view, physicists have always observed the behavior of non-living bodies, but
125 abstraction at different levels has multiplied both the classes of entities and the number of
126 quantities described by its expanding list of laws. Today's physics student must learn to
127 abstract such entities as bodies, subatomic particles and waves, and such quantities as
128 momentum, charge, spin and spacetime. And whatever may be said about progress across
129 paradigms, the laws of physics do mostly remain useful. For example, engineers may still
130 make widespread use of Newton's laws of motion when dealing with discrete bodies, and of
131 thermodynamic laws when dealing with fluids.

132 A scientific law, then, describes a quantitative relationship among certain abstract
133 quantities that apply to a corresponding class(es) of ideal entity and that hold under given
134 conditions (or with provisos). It should reliably provide both explanations and predictions.
135 For ecology to adopt this definition, however, some details and potential objections need to
136 be addressed. We will do this by considering each element of our definition in turn – and we

137 hope, in the process, to absolve ourselves of the charge of *physics envy* sometimes leveled
138 at approaches like ours.

139 First, take the definition's core: quantitative relationships among abstract quantities. It
140 must be noted that *quantitative* may cover statements of equality or directed inequality
141 (greater/less than) among variables – as in the second law of thermodynamics. There are
142 also what may be called meta-variables, which determine the meaning of other variables.
143 Scale is perhaps the most important of these in ecology: the prevalence of heterogeneity
144 and fluctuation means that quantities must usually be measured as an average over some
145 region or time-period, and the value of the latter can greatly influence the measurement
146 obtained. Accordingly, the set of candidate variables that may be combined in ecological
147 laws is unlikely to be a limiting factor; conversely, the search for unifying theories looks
148 tougher.

149 Second, the classes of entity to which laws may pertain are if anything even more prolific
150 in ecology, since biologists have expended considerable effort in classification projects.
151 Species and organism are two particularly important general classes about which we will say
152 more in the next section. Such classes may also be grouped in various hierarchies, from
153 species up to kingdoms and from organisms up to ecosystems, items at various levels
154 forming classes with their own properties. Moreover, ecologists may need to take into
155 account the genetic diversity of individuals, seeing them as products of ontogenetic and
156 phylogenetic histories. Mayr (1959) suggested that the uniqueness of ecology and evolution
157 lies in their need for 'population thinking,' i.e. considering differences among items –
158 whether species or organisms – more than similarities. This variability is another reason why
159 the choice of appropriate scales is important. It also calls attention to the fact that laws

160 describe ideal entities. The entities described by laws of physics are such simple concepts as
161 point charges, ideal gases and closed systems, which often appear good approximations to
162 real things that physicists can observe; indeed electrons and other types of fundamental
163 particles are observed so indirectly that they are simply assumed to be identical and ideal.
164 But variation among individuals makes the subject-matter of biology difficult to idealize, and
165 so less amenable to accurate description by laws. In summary, the multiplicity of ecological
166 classes and the variability of entities within them calls for a very different approach from
167 that of the physical sciences. Ecological laws may need to be less reductionistic in the sense
168 of incorporating more information about individual differences.

169 The final element of the definition to tackle is that of conditions and provisos. The
170 celebrated universality of laws of physics is in fact qualified: while they may well be
171 applicable in all parts of the Universe for all time, this comes at the expense of *ceteris*
172 *paribus* assumptions that generally require conditions to be unrealistically monotonous (e.g.
173 ‘if no other forces act’; ‘at constant temperature’)(Colyvan and Ginzburg 2003). But
174 organisms evolve and function ecologically in intimate connection with particular
175 environments, such that *ceteris paribus* clauses (‘all else being equal’) can simply never be
176 true: innumerable aspects of the environment may influence what is observed and their
177 states cannot be fixed. We cannot, therefore, require that laws of ecology make very
178 accurate predictions. Indeed, we may not even wish to imagine a biological experiment so
179 well controlled that laws of biology would be accurate with good precision, for it might
180 amount to killing the object of study, making biological laws irrelevant altogether. Cooper
181 (2003, 113) suggested that an ecological law merely has to hold across a range of conditions
182 large enough to be useful. Also, in view of ecology’s focus on natural kinds (e.g. alleles,
183 species and communities), we may allow some of its laws to apply only to certain classes of

184 entity. On the other hand, since natural kinds can be extremely diverse and are not held to
185 be immutable, useful laws should apply to broad classes, such as the whole plant or animal
186 kingdom on Earth, if not to all living things conceivable.

187 Where provisos become prohibitively restrictive, an analytical shift is called for. One
188 option is to look at different scales (Henle et al. 2014). Ecologists have always been
189 constrained by logistical and computational limitations – but perhaps also beguiled by
190 reductionist perspectives encouraging a focus on small areas and short time-horizons. Thus
191 it took almost a century before individual behavior was properly considered in studies of
192 animal demographics, with a corresponding increase in study scales (Levin et al. 1997).
193 Similarly, early work on ecological communities focused on fine scales now enlarged in the
194 light of understanding gained from studying landscape and even continental scales, along
195 with global samples of species (Lessard et al. 2012). Making observations or analyses at a
196 broader scale can, by the law of averages, reduce the unexplained variability (random noise)
197 in quantitative relationships that are simultaneously influenced by many other factors.
198 Ecological research is painstakingly slow, and decades may have been lost under research
199 focused on scales too small for proper recognition of the forces at play. Accounting for
200 larger time-scales takes even longer, and the value of long-term experiments has been
201 appreciated more slowly, for obvious reasons. Nature works at great scales, and so must we.

202 Increasing scales alone, however, does not necessarily bring success (Botkin 1977). The
203 search for more general, resilient laws may be further aided by the use of different kinds of
204 abstraction. Newtonian mechanics is not generally used to study the dynamics of fluids, nor
205 electrostatic theory to explain chemical reactions. Such mismatches can occur in ecology, as
206 we show in the next section.

207

208 **CONTEMPORARY ECOLOGICAL PARADIGMS AND THEIR LAWS**

209 Both practitioners (Lawton 1999; Murray 1992; Poulin 2007; Southwood 1980) and
210 philosophers (Cooper 2003; Ulanowicz 2009) see a gulf between the reality of ecological
211 science and the picture presented by 20th-century philosophers of science (often just
212 philosophy of physics). They express varying degrees of unease at the fact that regularities
213 in ecology seem hard to come by, and any laws acknowledged appear to be contingent,
214 limited in explanatory power and unable to predict accurately. Sharing the unease, we
215 believe the poverty of laws is partly for the reasons outlined in the previous section. We also
216 agree with Lawton (1991) that there is insufficient interaction among ecology's major
217 methodologies: arguably theoretical ecology has explored mathematical relations with
218 limited opportunities to test their applicability to ecological systems, experimentation has
219 been severely restricted in the spatial and temporal scales at which underlying processes
220 are probed, and statistical ecology has been dominated by null-hypothesis tests designed to
221 ask merely whether observed patterns are consistent with randomness or not. Some
222 ecologists have launched profound critiques of the ways in which ecology is pursued as a
223 science: both Peters (1991) and Murray (1992) complain of the failure to produce predictive
224 laws. Perhaps our science is deemed holistic simply because it is messy!

225 We believe there is more to ecology than has so far met the philosopher's eye. Just as a
226 suite of alternative modes of analysis and explanation has unfolded historically in the
227 physical sciences and remains useful for various applications, so it appears that a similar
228 suite is displayed contemporaneously in the diverse practices and theories comprising the
229 science of ecology. This may be illustrated by describing a set of four distinct ecological

230 modes of analysis that yield different types of laws and suggesting some of the outstanding
231 candidates for laws of ecology that are proposed within each. We use the term *paradigm*
232 here loosely and in the broad sense of a set of a set of examples, concepts and
233 methodologies used by a community of researchers. We will say more about the
234 corresponding modes of explanation later.

235

236 *The population paradigm*

237 Since early last century the population paradigm has built upon basic organismal biology –
238 concerning species' physiology and life-histories – with the study of population dynamics
239 (Nicholson 1933). This paradigm primarily focuses on the abundances of single biological
240 species, or pairwise interactions between species. The individual is a fundamental concept
241 in biology, but it is nevertheless an abstract class of entity (Fig. 2). Recognizing individuals in
242 practice is relatively straightforward in the case of most animals but often less so for plants,
243 which may be clonal and spread vegetatively; a hint of reductionism may already be seen in
244 abstracting individual grass plants from a meadow, for example. Then, given a method of
245 enumerating individuals, population sizes can be abstracted by applying the biological
246 species concept (Mayr 1942). This too may be fraught with conceptual challenges, but
247 armed with a working definition and search-image of a species of interest, an experienced
248 ecologist can assess the numbers of individuals within a specified region (classically
249 populations are considered as closed to migration). Dividing such counts by the area or
250 volume of the region then yields population densities, which are the focal quantity in
251 population-ecology studies. Such densities may be compared over time or space and
252 mathematically related to each other.

253 The main universal law proposed in this paradigm is that of density-dependence. This
254 states that in any given system (specified location and species), there is a density known as
255 the carrying capacity above which populations tend to decline and below which they tend to
256 increase. The determinants of this density, the rate at which it is approached and the nature
257 of fluctuations around it are modeled in terms of density-dependent dynamics (Hixon,
258 Pacala, and Sandin 2002), with empirical data being used to estimate free parameters for
259 each of these details. When there are one or more parameters that must be estimated from
260 data in any given situation, we may speak of a *weak law*, since it can only be used for
261 making predictions once the parameter(s) are believed to be correct. A class of laws in this
262 paradigm pertains to the prediction of carrying capacities in particular kinds of system
263 (Peters 1991, 275). Arguments have raged from the 1940s (Nicholson 1954) and 1960s (den
264 Boer 1968) through to the 21st century (Berryman 2002) about the true nature and role of
265 density-dependence in population ecology, but one of its defining assumptions is the ideal
266 of the closed population.

267 Other laws emerge from the idea of the metapopulation. Metapopulation models
268 simulate how discrete patches of habitat alternate between being occupied and unoccupied
269 by a species according to demographic stochasticity and migration rates between the
270 patches (Harrison and Taylor 1997). It has been shown that long-term stability may pertain
271 without assuming any form of density dependence: the mathematical definition of
272 metapopulation capacity implies a law of persistence based on basic demographic
273 properties (Hanski and Ovaskainen 2000). Such models are not explicitly spatial, although
274 they are only realistic when assumed to describe population patches spread over much
275 larger areas than those modeled using classical density-dependence. Indeed, ecology is
276 replete with laws and phenomena that apply at particular ranges of scale (Levin 1992).

277 The population paradigm can extend to a multi-species analogy. Scaling up from
278 populations of individuals to populations of species, neutral community models consider
279 speciation and extinction in place of birth and death. *Neutral* here means that species are
280 considered as equivalent to each other: individuals are ‘species-blind,’ interacting with each
281 other and their environment in the same way regardless of what species they belong to. An
282 observer can distinguish them, and they reproduce after their own kind, but in simple
283 neutral models there are no specific habitat preferences or competitive interactions. The
284 unified neutral theory of biodiversity and biogeography (Hubbell 2001) explores the
285 statistical implications of assuming functional equivalence of all species in a community,
286 giving predictions of relative abundance distributions for large numbers of anonymous
287 species and their expected lifetimes.

288 The population paradigm, in summary, considers abundances in fixed spatial regions, so
289 that it can be seen as primarily numerical. A classic statement of this paradigm is John
290 Harper’s address to the British Ecological Society (1967): ‘A Darwinian Approach to Plant
291 Ecology.’

292

293 [Figure 2 about here]

294

295 *The macroecology paradigm*

296 What we call the macroecology paradigm is fundamentally geometrical. With roots going
297 back nearly two centuries (Watson 1847), analyses of spatial patterns have gathered
298 momentum in recent decades with advances in probability theory and computational
299 possibilities (Smith et al. 2008). This paradigm typically focuses on the patterns of multiple

300 species across large extents of space and sometimes time (Fig. 2). Important laws of the
301 spatial-ecological paradigm relate numbers of individuals, of species and of endemic species
302 to variable areas of observation – which may be isolated, contiguous or nested (Scheiner
303 2003). The theory of island biogeography (MacArthur and Wilson 1967), seminal in this
304 paradigm, was largely heuristic: when the area of islands and the corresponding numbers of
305 species found on them are both log-transformed, data points tended to cluster around a
306 straight line. It has since been shown how species–area relationships for islands of varying
307 area can be derived mathematically by combining the principles of random, distance-
308 dependent migration of individuals with demographic stochasticity (Hanski and Gyllenberg
309 1997), and how a range of relationships among numbers of species and areas in contiguous
310 space arise from principles of local dispersal of distinct species (Chave and Leigh 2002).

311 The macroecology paradigm has been highly successful in generating laws relating its
312 own fundamental quantities to each other. While the laws are typically weak, having at least
313 one free parameter to be tuned to fit empirical data, typical ranges of some parameters
314 have been characterized, increasing the scope for making predictions. For example, species–
315 area relationships typically follow a power-law with exponent between 0.15 and 0.4, for
316 plants as well as animals – the lower end of this range being typical for islands, smaller
317 organisms and higher latitudes (Drakare, Lennon, and Hillebrand 2006). A contemporary
318 statement of the paradigm’s success may be seen in the celebration of unified theories of
319 biodiversity (McGill 2010). Here Brian McGill focuses on mathematically unifying six theories
320 that each ‘unify ideas of area, abundance and [species] richness.’ But because the latter is
321 commonly presented as ‘biodiversity,’ the paper might be naïvely taken to present *the*
322 unified theory of ecology!

323 Despite its name and fanfare, we might after all question how far the macroecology
324 paradigm is intrinsically ecological. Its focus on spatial and numerical abstraction (Caswell
325 1976) is such that its laws are not necessarily specific to living organisms: they might equally
326 well describe spatial patterns of types of non-living artefacts, or in human cultural systems
327 (e.g. Bentley, Hahn, and Shennan 2004) – and indeed a proportion of its significant papers
328 are published in physics journals (e.g. Blythe and McKane 2007). While proponents of the
329 paradigm may see this as a mark of success, this must be tempered by the limited kinds of
330 quantities that can be predicted – which are mostly numerical and spatial. A similar charge
331 may be laid to the population paradigm: its predictive quantities are essentially counts of
332 things (individuals, species or occupied patches), and some of its laws might conceivably
333 apply to non-biotic entities such as molecules in chemical reactions (e.g. Sadownik et al.
334 2016).

335 Thus the contrast between the population and macroecology paradigms should not be
336 overplayed. They have in common a focus on the individual and its species identification,
337 and many studies span both paradigms, as exemplified by the literature on spatial density-
338 dependence (e.g. Gunton and Pöyry 2016) and spatial neutral models (Rosindell and Cornell
339 2007). We now turn to a pair of paradigms in which the species concept serves as no more
340 than a tool.

341

342 *The ecosystemic paradigm*

343 The ecosystemic paradigm originates with the project by Tansley (1935) to use concepts
344 from physics to understand ecological processes. As such, it defines the *ecosystem* to
345 include non-living features along with the biotic. This *abiotic environment* is, of course,

346 biotically referenced; it concerns those physical features that are known (or hypothesized)
347 to affect living organisms, such as temperature, light and chemicals with which living tissues
348 may interact. This paradigm can also absorb the ambiguity over whether morbose or
349 detached tissues are living or not (Lindeman 1942). Integrating living and non-living
350 elements for scientific analysis entails a focus on the highest common mode of functioning
351 shared by these elements, which is physical. Thus quantities routinely abstracted in the
352 ecosystemic paradigm include biomass, carbon pools, chemical concentrations, energy flux
353 rates, evaporation rates and temperature. Such quantities are attributed to ecosystems and
354 specified compartments within them, although in practice this is often done by drawing
355 upon data attributed to individual organisms and species. The aim is to abstract to a level
356 beyond the complexities of interactions between specific individuals in order to assess
357 emergent behaviors and attributes. These typically include such complex concepts as net
358 primary productivity, evapotranspiration, rates of nutrient cycling and food-web complexity.

359 Candidate laws connecting ecosystem variables are not difficult to find insofar as
360 empirical relationships are regularly quantified in ecosystem studies. Their predictive power
361 is rarely impressive, however (Reichstein et al. 2014). The ecosystemic paradigm presents
362 challenges for ecologists in search of laws more than any other paradigm: its variables are
363 difficult to measure and highly sensitive to scale, its entities are difficult to observe and
364 define, and the conditions that might need to be specified as provisos can rarely be
365 controlled or found in steady states. Weak laws have, nevertheless, been formulated
366 relating ecosystems' productivity, disturbance and diversity, as well as relationships of these
367 variables to soil nutrient concentrations and rainfall. Examples include resource response
368 models such as the equations relating overall chlorophyll concentration, plankton biomass
369 or primary productivity to the total phosphorus concentration of a lake (Table 10.1 in Peters

370 1991), the intermediate disturbance hypothesis, which states that the species richness of a
371 site will be maximized at intermediate intensities of disturbance (Wilkinson 1999), and the
372 productivity–fire relationship, stating that fire intensities are greatest at intermediate levels
373 of habitat productivity (Reich et al. 2001). Such laws have mostly been arrived at
374 heuristically, through empirical observation of variables of interest at a range of spatial and
375 temporal scales, followed by statistical parameterisation.

376 This paradigm offers great scope for selecting appropriate scales and levels of
377 abstraction, and perhaps the best statement of its potential is made by Robert Ulanowicz
378 (2009).

379

380 *The trait paradigm*

381 The paradigm of trait-based ecology has risen to prominence in the last few decades but sits
382 in historic continuity with the wider science of biology. This paradigm concerns the
383 abstraction of functional traits (Fig. 2): properties that may be measured across a wide
384 taxonomic range of individuals, that may be standardized to some degree and that are
385 hypothesized to relate to the survival and reproduction of the organism (McGill et al. 2006).
386 They typically include standardized measurements of specified organs and their chemical
387 composition. While such quantities may also feature in other paradigms, the trait-ecology
388 paradigm is distinguished by its search for general principles or rules applying across many
389 species (McGill et al. 2006). For example, comparisons of species' trait attributes (specific
390 values of traits) were central to the development of niche theory. The competitive exclusion
391 principle (Gause 1934) suggested that only one species could occupy a given niche, leading
392 to the hypothesis of some degree of limiting similarity in the attributes of pairs of species

393 that could coexist (den Boer 1986). There were attempts to quantify this limiting similarity
394 (Rappoldt and Hogeweg 1980), but attempts to find a general law largely failed (Wilson,
395 Gitay, and Agnew 1987).

396 More successfully, various schemes have been proposed for relating the relative values
397 of suites of functional traits to each other across different species and habitats. The idea of
398 arranging species along a spectrum according to their tolerance of disturbance (MacArthur
399 and Wilson 1967) was combined with the concept of adversity selection (Whittaker 1975) by
400 Southwood (1977), who proposed a habitat-based 'template' for ecological communities
401 defined by two fundamental axes: the predictability and the favorability of habitats
402 (Greenslade 1983). The C-S-R theory of primary strategy types for plants (Grime 1974), and
403 more ambitiously for living organisms in general (Grime and Pierce 2012), takes a similar
404 approach but proposes three fundamental axes. Habitats conducive to vigorous competition
405 are expected to exclude stress-adapted and short-lived species, while stressful and
406 disturbed habitats support only stress-tolerant and ruderal species respectively. Here we
407 notice the use of trait attributes to abstract functional types: analogues of biological species.
408 An important step towards operationalizing the C-S-R theory was provided by the discovery
409 of the leaf economics spectrum (Wright et al. 2004), which appears to describe Grime's
410 competitor–stress-tolerator axis for plants in terms of correlations among six leaf traits.
411 Since the analysis by Wright et al. (2004) was based on a global dataset of higher plants
412 from a wide range of habitat types, quantitative relationships it described may meet the
413 requirement for universality of laws. Let us consider the relationship with the greatest
414 degree of correlation as a test case. This relates logarithms (in base 10) of nitrogen to
415 phosphorus concentrations in leaves (respectively N and P , both in %) as: $\log N = 0.83 + 0.66$

416 log P – i.e. a 4.6-fold change in nitrogen concentration per 10-fold increase in phosphorus,
417 with covariance of 0.72. This indicates a non-linear relationship: the ratio of nitrogen to
418 phosphorus concentrations increases with increasing nitrogen concentration. Earlier work
419 had suggested that the ratio was typically around 10 (Garten 1976) and recognized effects
420 of nutrient limitation, but that law can now be replaced by this more comprehensive one.
421 Analogous laws have been proposed for various wood traits of woody plants (Chave et al.
422 2009), and there has been discussion of a more general ‘plant economics spectrum’
423 (Freschet et al. 2010).

424 It is true that this statistical–empirical approach could be pursued to the point where a
425 ‘law’ is discovered every time a statistical model is fitted to data from a broad enough data
426 set (Peters 1991), and some rates of decline in accuracy with increasing scope may be too
427 precipitous to be acceptable. The following is an example of a more theory-driven case –
428 which also brings the possibility of pre-specifying the kinds of conditions in which a law
429 should most clearly be observed. The fact that metabolic rates tend to scale as a function of
430 body-size raised to the power of about three-quarters ($B^{3/4}$), for of all kinds of organisms,
431 had been known for a long time without a satisfying explanation (Feldman and McMahon
432 1983) until West, Brown, and Enquist (1997) published a metabolic scaling theory that
433 explains this relationship in terms of the physics of fluid flow. Indeed, their theory also
434 predicts observed body-size dependencies for rates of cellular metabolism, heartbeat and
435 maximal population growth (all $B^{-1/4}$), and time periods of blood circulation, embryonic
436 growth and life-span (all $B^{1/4}$) (West, Brown, and Enquist 1997). Various other physiological
437 laws might also be cited here (Peters 1991, 281).

438 As mentioned earlier, openness of paradigm boundaries means that some successful
439 work straddles more than one paradigm. Laws for body-size–abundance distributions in
440 animals (referenced in Peters and Raelson 1984), for example, combine a trait with a spatial
441 quantity to achieve moderate predictive power with broad applicability. The trait paradigm,
442 however, is particularly characteristic of ecology, and we suggest that its development will
443 be crucial to the future of the science – not to mention its public appeal. There are many
444 contemporary statements of its scope (Verberk, van Noordwijk, and Hildrew 2013;
445 Winemiller et al. 2015).

446

447

448 **MODES OF ANALYSIS AND ASPECTS OF REALITY**

449 Our brief survey of four ecological paradigms (summarized in Table 1) reveals some
450 fundamentally different concepts among them. It also suggests that while laws have been
451 proposed mostly in the population and macroecology paradigms, which are mathematically-
452 oriented, there is great scope for general laws to be specified in the more ecologically
453 oriented trait and ecosystemic paradigms. A quantitative study along these lines has in fact
454 recently appeared: Linquist et al. (2016) analyzed the prevalence of ‘resilient
455 generalizations’ in ecology by comparing published meta-analyses concerning the three
456 areas of population, community and ecosystem studies. Statistically-significant effects were
457 registered in around 80% of the 187 meta-analyses used, and the finding that average
458 sample sizes, numbers of taxa and numbers of biomes were broadly similar was taken to
459 indicate comparable levels of generality for candidate laws in the three areas of ecology.

460 Comparing actual degrees of scope and predictive accuracy among our different paradigms
461 would be an illuminating exercise.

462

463 [Table 1 about here]

464

465 It may be asked why the four paradigms we have identified should be so significant. An
466 answer may be given after synthesizing a number of proposals made so far. We have
467 suggested that scientific analyses depend upon abstracting classes of entities and
468 quantitative properties from real-world situations observed by scientists. We have affirmed
469 the *descriptive* definition of scientific laws as resilient relationships among such quantities
470 when predicated of ideal entities – whether they describe the numbers of fundamental
471 particles in atoms or the density of individuals in types of habitat, the locations of planets or
472 living organisms in 2- or 3- dimensional space, or the energy of fluids in containers or energy
473 flow rates in ecosystems. Finally, we have suggested some distinct modes of analysis as a
474 basis for distinguishing scientific paradigms. Our synthesis of these proposals draws upon
475 the framework of the Dutch philosopher Herman Dooyeweerd, who suggested building a
476 systematic understanding of reality upon the recognition of multiple fundamental nuclei for
477 the human faculty of abstraction (Dooyeweerd 1953). Dooyeweerd’s list of these nuclei
478 began with the categories numerical, geometric, kinetic, physical, biotic and sensitive. For
479 example, gathering data on tree seedlings in a forest, one might abstract the concepts of
480 number in counting individuals, of height and location in measuring them, and of disease
481 and death in examining their tissues. Asked what kind of variables were collected, we might
482 summarize these respectively as numerical, spatial and biotic variables concerning the

483 seedlings. This summary abstracts three broader categories, of the kind that Dooyeweerd
484 termed 'aspects' of reality.

485 According to Dooyeweerd, one cannot meaningfully abstract further to unify, say, the
486 numerical and spatial aspects, the spatial and biotic, or all three. The intrinsically biotic
487 properties of a situation cannot be explained by spatial properties, for example, or *vice-*
488 *versa*. Attempting to substitute any of these aspects for any other without loss of meaning is
489 reductionistic in a way that Dooyeweerd showed to be experientially incoherent; such
490 attempts deprive the concepts of their intuitive meanings (Dooyeweerd 1953). The
491 existence of distinct kinds of laws for spatial, physical and biotic properties may also be
492 suggested by the distinct natures of biology, physics and geometry; while each discipline in
493 this list depends upon concepts drawn from the following ones, the converse is not true;
494 moreover, these sciences tend to remain separate in the structures of academic institutions.
495 The distinction of the aspects has been argued elsewhere (Strauss 2009); for present
496 purposes we simply draw upon them heuristically. While debate over the legitimacy of
497 various kinds of reductionism will continue, we may fruitfully continue exploring the
498 diversity of modes of analysis across the science of ecology under the suspicion that they
499 reflect distinct aspects of reality.

500 The mutual irreducibility of a set of modes of analysis suggests an explanation for the
501 coexistence of such contrasting paradigms as we find in contemporary ecology. While the
502 population-ecology paradigm assumes certain intrinsically-biotic concepts such as
503 reproduction, maturity, death and competition, these are simply reduced to multiplicative
504 coefficients in most work so that the main focus can be numerical. Accordingly, its laws and
505 other outputs generally concern *population* sizes, structures and extinctions – outputs

506 useful enough for purposes of population management, such as species conservation.
507 Similarly, the macroecological paradigm is focused on spatial as well as numerical
508 properties. Accordingly, geometrical *patterns* are what its laws can predict – and useful for
509 biodiversity management. Indeed, this paradigm also seems to cover the temporal
510 biodiversity patterns studied in paleoecology. The ecosystemic paradigm again involves
511 biotic abstraction, but its focus is those physical quantities that can also capture dead and
512 non-living components of a *system*. Its special concern with processes may also reveal a
513 kinetic mode of analysis concerning fluxes, states and changes, which concepts Dooyeweerd
514 attributed to a distinct ‘kinematic’ aspect of reality. The outputs of the ecosystemic
515 paradigm can be useful for management of land and water bodies as well as the increasing
516 challenge to manage global climate. The trait paradigm, finally, is directly focused on biotic
517 phenomena. It seeks laws to describe biotic functions occurring within and between
518 organisms, and its outputs should be useful for such diverse interests as the improvement of
519 agricultural cultivars, understanding of invasive species’ behavior and biological impacts of
520 extinctions. Like the other paradigms, it has its blind-spots and may be combined with
521 different paradigms for certain purposes. In summary, each paradigm answers certain kinds
522 of questions and has different contributions to make in the application of ecological science
523 to the challenges identified by society.

524 The postulate of distinct kinds of abstraction may also suggest an evaluation of the
525 history of particular sciences and hypotheses for future work. The account of physics with
526 which we started portrays progression along Dooyeweerd’s sequence of modes of
527 abstraction, and leaves open the possibility that there might even be further aspects of
528 reality to disclose in the study of non-living things (the framework was expected to be
529 developed and refined: Dooyeweerd 1953, vii) – a question that we must leave to

530 philosophers more active within that field. The ecological story is not so evidently
531 progressive; indeed its notable abandoned paradigm – the organismic model of
532 communities – is decidedly biotic in emphasis (Clements 1916, cited in Keller and Golley
533 2000), and it seems unlikely that the population, macroecology and ecosystemic paradigms
534 will come to be seen as precursors to the functional trait paradigm. Instead, one might see
535 the coexistence of paradigms as a healthy part of such a holistic science. After all, it is clear
536 that earlier paradigms of the physical sciences are by no means dead, and that many
537 scientific laws have enduring validity. Ecology might be so much the richer for its privileged
538 position, able to draw upon a range of modes of abstraction. Here, following Dooyeweerd’s
539 sequence on to the sensitive aspect, we should also mention the science of behavioral
540 ecology as a paradigm partly focused on the sensitive perceptions of animals.

541 To draw together the challenges of prediction and explanation, the meaning of
542 *reductionism* should be clarified. *Reduction* is sometimes used to mean what should be
543 called abstraction. Abstraction is surely an essential – even foundational – component of the
544 sciences, whereas reductionism tends to imply a simplistic notion of causation (Levins and
545 Lewontin 1980). Reductionism thus remains problematic for the reasons given above, as
546 reflected in the term *greedy reductionism* (Dennett 1995), and we suggest that recognizing a
547 legitimate plurality of modes of analysis in ecology should guard against this. But we can
548 also take modes of analysis to provide modes of explanation, as suggested by Strauss (2009,
549 402-416). A brief look at ecological modes of explanation will help conclude our survey. That
550 is, how do scientific laws relate to conceptual models?

551 Likening a complex situation to something more familiar is the basis of many a scientific
552 explanation, as suggested by the predominance of metaphors in scientific terminology.

553 Ecology is replete with these: populations and their carrying capacities; communities,
554 assemblages and systems; competition, stress and disturbance; and traits and their filters
555 are just some prominent examples. The analogies behind these metaphors sometimes
556 suggest causal analogues that may be influential in theorizing about a topic. *Carrying*
557 *capacities* suggest volumes of containers that can hold certain numbers of items and spill if
558 over-filled – and so the law of density-dependence may gain a mechanical connotation that
559 seems, to most ecologists (let alone laypersons), to provide a more compelling causal
560 analogue than any notion of causation acquired from watching the births, struggles and
561 deaths of moths or fruit flies in jars of medium. *Trait filters* suggest a sieving process
562 (sometimes directly illustrated – e.g. Keddy 1992) in which certain trait values are admitted
563 to a collection while others are excluded – and so laws of community composition similarly
564 gain a mechanical connotation that provides a compelling causal analogue. Indeed, most of
565 the above metaphors concern mechanical analogies, which prompts the question whether
566 ecologists' conceptual models are predominantly physical (competition may be the
567 exception in the above list) – and if so, why. A detailed study of the diversity of conceptual
568 models in ecology and their relationships to ecological laws would no doubt be illuminating.
569 For now, we may surmise that the predominance of mechanical metaphors and imagery in
570 conceptual models makes up for the relatively small contribution of the physical
571 (ecosystemic) paradigm to ecology's laws. Thus, as modes of explanation, the paradigms
572 must complement to each other if one is not to displace the others.

573

574 **A TRULY HOLISTIC ECOLOGY**

575 Contemporary ecological science employs a range of levels of abstraction – manifested in
576 both its analytical laws and its conceptual models – and analyses phenomena at a range of
577 scales. Our vision for ecology as a holistic science, then, may be grounded in three features.
578 First, ecology gradually refines its focus to appropriately-broad spatial and temporal scales
579 of analysis within each of its paradigms. This is perhaps comparable with the inclusion into
580 physics of such concepts as action at a distance and statistical mechanics. Second, a portion
581 of its theory (and laws) is based on abstractions that can only be made of living things. This
582 means not only abstraction of biological classes (common to all the paradigms), but also of
583 essentially biological quantities such as demographic rates, speciation rates and trait values.
584 More generally, we might say that ecology sometimes employs the least reductionistic
585 mode of analysis consistent with its subject matter – and in this sense contemporary
586 physics, with its understanding of energy, is also more holistic than Newtonian physics.
587 Thirdly, it is significant that ecology accommodates a range of complementary modes of
588 analysis, focusing on what may be conceived of as the numerical, spatial and physical
589 aspects of reality as well as the biotic. It has been claimed that community ecology could be
590 logically and mathematically reduced to population ecology, and that in turn to ‘individual
591 ecology’ (Schoener 1986), and this may be plausible within areas of those three programs
592 concerned with abstraction at the ‘mechanistic’ (*physical?*) level; indeed the claim appears
593 trivial if considered merely at the spatial level (since the macroecology paradigm can well
594 work with neutral species). But a claim that the trait, ecosystemic, spatial and population
595 paradigms might be mutually reducible cannot even be entertained, we suggest, without
596 denying the fundamental concepts of organisms, flows, patterns and counts as we intuit
597 them. These concepts are not differentiated simply by scaling, as sometimes claimed; they

598 are logically incommensurable (Clouser 2005, 192f). This view of ecology's holism may now
599 suggest some ways in which the versatility and usefulness of the science may be enhanced
600 by balancing different research paradigms under such a vision.

601 Our view may be summarized by three distinctive proposals. Firstly, ecology can and
602 should have its own laws, and these may be discovered quite heuristically. Quantitative
603 relationships among variables abstracted at appropriate levels and measured at appropriate
604 scales are legitimate candidates for laws of ecology, and the community will determine
605 which ones are sufficiently robust to be accepted as such. Secondly, our four modes of
606 ecology offer a robust alternative to the typical major divisions of ecology textbooks.
607 Textbooks commonly distinguish population, community and ecosystem ecology, treating
608 macroecology (if at all) with evolution and trait ecology rather haphazardly; one of the most
609 popular textbooks reveals a particularly individual-focused emphasis in its tripartite division
610 into 'Organisms,' 'Species interactions' and 'Communities and Ecosystems' (Begon,
611 Townsend, and Harper 2006). Thirdly, our view supports broader philosophical challenges
612 against both reductive physicalism and holistic vitalism. The notion of physical mechanisms
613 being the ultimate model of causation leaves ecology as a peripheral and inescapably
614 complicated science (Colyvan and Ginzburg 2003) where *chance* often has to be invoked as
615 a pseudo-cause (Ulanowicz 2009). Vitalism (or idealist holism: Levins and Lewontin 1980), by
616 contrast, tends to advance non-deterministic explanations – as in the organismic view of
617 plant communities (Clements 1916, cited in Keller and Golley 2000). Our view, while
618 agnostic about the locus of causation, expects a wide range of ecological phenomena to be
619 broadly predictable under suitable analyses.

620 We end, then, with a plea for pluralism. Ecologists should celebrate the diversity of
621 paradigms that make up our science and recognize that progress in theoretical and applied
622 ecology will be enhanced by the use of modes of analysis appropriate for the applications
623 envisaged. In particular we suggest that there will be room for strong laws and unifying
624 theories in each of the main paradigms of ecology. Educators, meanwhile, might emphasise
625 to students the distinctly biotic paradigm of trait ecology, perhaps even as their primary
626 introduction to the science before numerical, spatial and physical paradigms. Finally, we
627 hope that philosophers of science will recognize the diverse set of modes through which a
628 holistic notion of causation may be refracted to yield complementary causal accounts, none
629 of which is ontologically privileged – although some will invariably be more useful than
630 others in any given situation. Further work on concepts of causation in ecology is called for
631 (Bateson and Laland 2013).

632 If the proposal made here proves useful in the science of ecology, investigation along
633 similar lines in the human sciences might uncover yet richer arrays of paradigms in holistic
634 complementarity. In psychology, the longstanding opposition between unimodal and
635 bimodal interpretations of the human mind might be overtaken by views recognizing the
636 complementarity of three or more modes for conceptualising and analysing the phenomena
637 of human experience. In the social sciences Dooyeweerd recognized the value of historic
638 and linguistic aspects alongside a truly social one (Dooyeweerd 1953), and this scheme
639 further recognizes distinct economic, aesthetic and juridical aspects as being invoked in
640 appropriate fields of scholarship.

641

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646

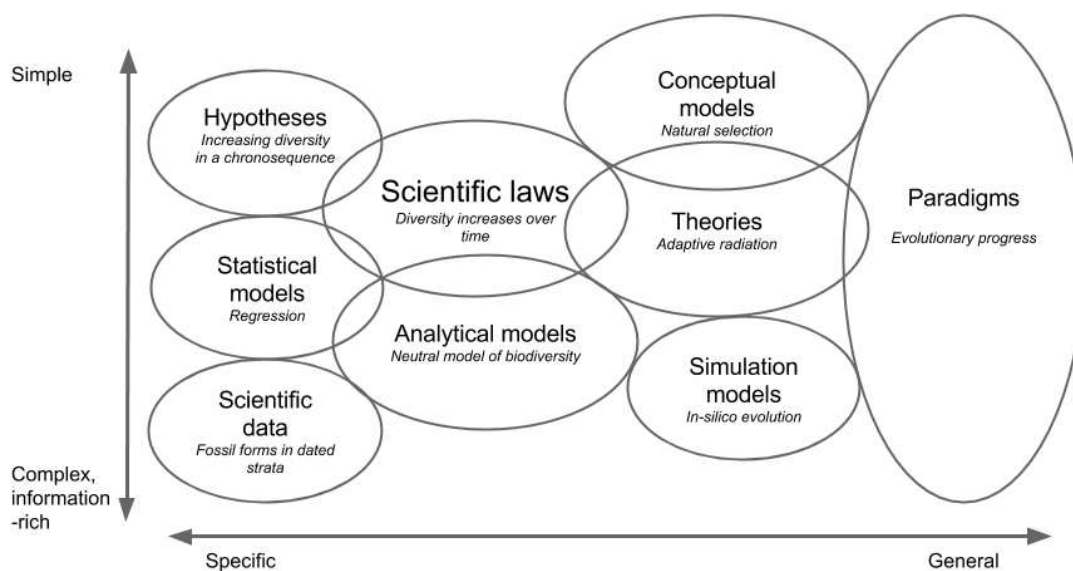
647 Table 1: Focal concepts and topics of the four ecological paradigms outlined in this article.
 648 Note that this set of paradigms is not intended to be exhaustive but simply to illustrate
 649 some alternative approaches to scientific abstraction in ecology.

| | Population | Macroecology | Ecosystemic | Trait |
|------------------------------------|-------------------------------------|---|--|---|
| Approximate synonyms: | Autecology; Species ecology | Neutral/near-neutral ecology | Process ecology | Comparative ecology; Synecology |
| Focal abstractions: | Population; Species | Spatial pattern; Species | Process; Community | Functional trait; Individual |
| Other fundamental concepts: | Life-cycle | Habitat patch | Resource fluxes | Niche; Functional type |
| Typical laws: | Density-dependence | Species–area relationships | Productivity relationships | Trait relationships |
| Fundamental questions: | Are population densities regulated? | What is the unified theory of biodiversity? | How do ecosystems interact with their environment? | How do different species coexist? Are there real types of communities? |

| | | | | |
|-----------------------------|---|--|-------------------------------|--|
| Typical application: | Will this species survive in this region? | How many species will be found in this region? | How stable is this ecosystem? | Which species will be found in this community? |
| Aspect of analysis: | Numerical | Spatial | Physical | Biotic |

650

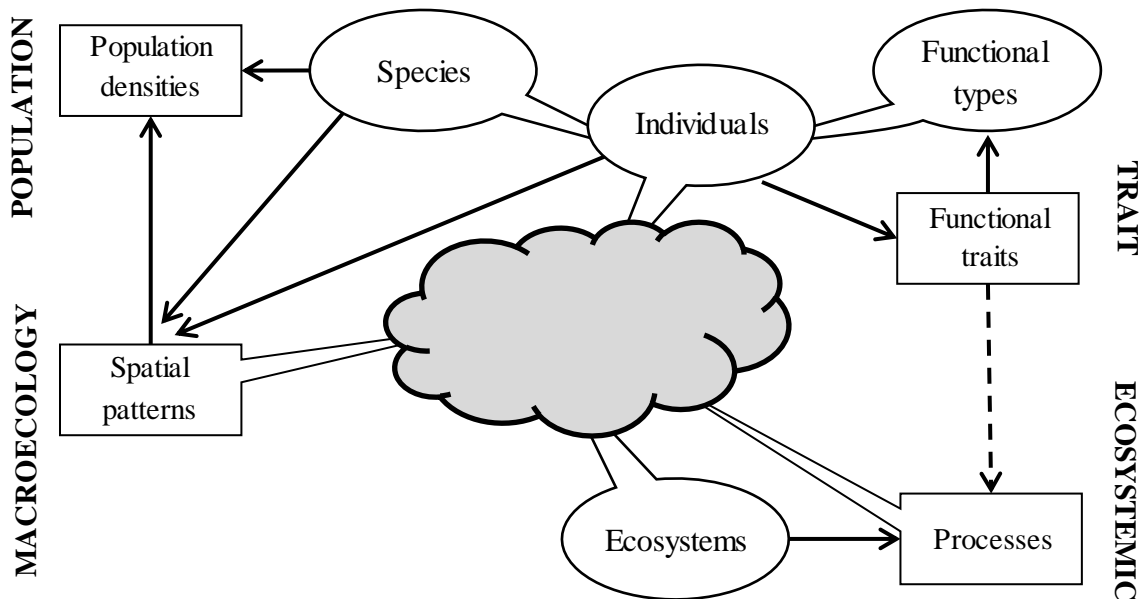
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652

653 Figure 1. A conceptual map to situate scientific laws among a range of other concepts
 654 discussed in the text. These are ordered from the more specific (left) to the more general
 655 (right), and from the more complex (bottom) to the simpler (top). The contact and overlap
 656 among the ellipses are intended to suggest, respectively, degrees of conceptual proximity
 657 and semantic overlap. The italicized words in each ellipse comprise a set of examples taken
 658 from evolutionary ecology.

659



660

661

662 Figure 2. Schematic representation of modes of analysis employed in various ecological
 663 paradigms. The grey cloud represents the world of experience. The ellipses represent
 664 classes of entities abstracted from experience, while the boxes represent kinds of quantities
 665 abstracted, to which laws may apply. Arrows point from quantities or entities to others that
 666 they help to define. The four paradigms corresponding to the four focal quantities are given
 667 in upper-case letters adjacent to the relevant boxes.

668

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