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1 **Sperm competition shapes gene expression and sequence evolution in the ocellated
2 wrasse**

3

4 Running title: Sperm competition shapes gene evolution

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6

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18

19 **Keywords:** masculinization, alternative reproductive tactics, morphs, positive selection,
20 expression variance, sex-biased genes

21 **ABSTRACT**

22 Gene expression differences between males and females often underlie sexually dimorphic
23 phenotypes and the expression levels of genes that are differentially expressed between the
24 sexes is thought to respond to sexual selection. Most studies on the transcriptomic response
25 to sexual selection treat sexual selection as a single force, but post-mating sexual selection
26 in particular is expected to specifically target gonadal tissue. The three male morphs of the
27 ocellated wrasse (*Symphodus ocellatus*) make it possible to test the role of post-mating
28 sexual selection in shaping the gonadal transcriptome. Nesting males hold territories and
29 have the highest reproductive success, yet we detected feminisation of their gonadal gene
30 expression compared to satellite males. Satellite males are less brightly coloured and
31 experience more intense sperm competition than nesting males. In line with post-mating
32 sexual selection affecting gonadal gene expression, we detected a more masculinised
33 expression profile in satellites. Sneakers are the lowest quality males and showed both de-
34 masculinisation and de-feminisation of gene expression. We also detected higher rates of
35 gene sequence evolution of male-biased genes compared to unbiased genes, which could at
36 least in part be explained by positive selection. Together, these results reveal the potential
37 for post-mating sexual selection to drive higher rates of gene sequence evolution and shape
38 the gonadal transcriptome profile.

39

40 **INTRODUCTION**

41 Males and females within a species share the majority of the genome. Even in species with
42 sex chromosomes, the sex-limited Y or W chromosome (in XY or ZW sex determination
43 systems) is typically small and contains few genes (Skaletsky, et al. 2003; Koerich, et al.
44 2008). Therefore, many sexually dimorphic traits are the product of differences in regulation
45 of loci present in both sexes (Dean and Mank 2016). Accordingly, just as many phenotypic
46 traits differ substantially between the sexes, many genes show expression differences
47 between females and males (Parsch and Ellegren 2013). These sex-biased genes are often
48 viewed as the link between mating system, sexual selection and sexual dimorphism (Mank
49 et al 2013).

50 Sexually dimorphic gene expression has recently been shown to respond to sexual selection
51 across populations (Moghadam, et al. 2012; Hollis, et al. 2014; Immonen, et al. 2014) and
52 among species (Harrison, et al. 2015). Additionally, intra-sexual comparisons within species
53 have shown that transcriptional dimorphism scales with phenotypic dimorphism among
54 individuals in both invertebrates (Snell-Rood, et al. 2011; Bailey, et al. 2013; Stuglik, et al.
55 2014) and vertebrates (Small, et al. 2009; Pointer, et al. 2013; Schunter, et al. 2014; Sharma,
56 et al. 2014). These studies suggest that comparisons of transcriptomes both within and
57 between the sexes can be useful for understanding the transcriptional architecture of sexual
58 dimorphism, and the loci responding to sexual selection.

59 This previous work largely treats sexual selection as a single evolutionary force, focusing
60 mainly on divergence between males and females. Pre-mating and post-mating sexual
61 selection could, however, be expected to act in different ways and on different genomic
62 targets. Pre-mating selection might be expected to largely target gene expression in somatic

63 tissues involved in competing for, attracting, or securing mates (Emlen, et al. 2012; Khila, et
64 al. 2012). In contrast, post-mating sexual selection (also referred to as post-copulatory
65 sexual selection in species with internal fertilisation), a major component of sexual selection
66 in polyandrous species, has the potential to shape the transcriptional profile of the testes
67 (Mank, et al. 2013; Harrison, et al. 2015). Focusing on these effects makes it possible to ask
68 how specific components of sexual selection have shaped variation within and between the
69 sexes, linking genomic changes to the phenotypic response.

70 Isolating the effects of post-mating sexual selection on gene expression requires analyzing
71 the gonad transcriptome separately from the soma. In addition to comparing the gonad
72 transcriptome between males and females, comparisons between multiple reproductive
73 males experiencing differing levels of post-mating sexual selection is also required. As well
74 as identifying the effects and targets of post-mating sexual selection, comparing gonadal
75 transcriptomes can also improve understanding of relative transcriptional investment and
76 potential costs associated with pre- and post-mating sexual selection. For example,
77 comparing the transcriptional profiles of the testes in males from the same species with
78 differing investment in somatic pre-mating sexually selected traits may reveal the
79 transcriptional tradeoffs males make in pre-mating versus post-mating sexually selected
80 traits. This shift in transcriptional investment in response to sperm competition could be
81 manifested in the testes, particularly for the genes that are involved in sperm production.

82 The ocellated wrasse, *Symphodus ocellatus*, (Fig. 1) allows for a full dissection of the
83 relationship between different aspects of sexual selection in shaping transcriptional
84 dimorphism. *S. ocellatus* males exhibit three morphs (Taborsky, et al. 1987). Nesting males
85 court females, build and defend nests and provide parental care. Sneaker males do not

86 court females, defend nests or provide care (Taborsky, et al. 1987; Alonzo, et al. 2000).
87 Instead, they surreptitiously join a female and nesting male when they are spawning and
88 release sperm. Satellite males do not build nests or provide care but they do associate with
89 a nesting male and attempt to bring females to and chase sneaker males away from this
90 male's nest. Females strongly prefer nesting males (Alonzo and Warner 2000b; Alonzo
91 2008). This preference may be adaptive as nesting males are older and/or exhibit faster
92 growth than both sneakers and satellites (Alonzo, et al. 2000).

93 The high prevalence of multiple males at *S. ocellatus* nest sites, and multiple paternity
94 within nests, indicates that sperm competition in this species is intense, and the intensity
95 varies across male morphs (Alonzo and Warner 1999, 2000a, b; Alonzo and Heckman 2010).
96 The risk and intensity of sperm competition is lowest for nesting males and highest for
97 sneakers (Alonzo and Warner 2000b). Nesting males invest in traits favoured in pre-mating
98 sexual selection (Alonzo 2008; Alonzo and Heckman 2010), as evidenced by the significant
99 differences in somatic transcription among morphs (Alonzo and Warner 2000a; Stiver, et al.
100 2015). In contrast, sneaker males achieve fertilization success solely through post-mating
101 sexual selection. Satellites are in many ways intermediate, investing in pre-mating behaviors
102 as well as post-mating competitive traits (Stiver and Alonzo 2013; Stiver, et al. 2015). The
103 three male types in this species therefore represent a continuum of pre- and post-mating
104 sexual selection.

105 The particularly intense levels of sperm competition in *S. ocellatus* make it an ideal system
106 to test whether sperm competition can drive elevated rates of evolution of male-biased
107 genes (Ellegren and Parsch 2007). Higher rates of evolution of male-biased genes have been
108 detected in many species (Ranz, et al. 2003; Zhang, et al. 2004; Cutter and Ward 2005;

109 Khaitovich, et al. 2005; Harrison, et al. 2015) and is generally thought to be the result of
110 more intense sexual selection acting on males (Andersson 1994), which drives the evolution
111 of male-biased genes. In a similar way, male traits subject to intense sexual selection are
112 also rapidly evolving (Lande 1981). However, rapid rates of evolution could alternatively be
113 non-adaptive, resulting from relaxed constraint or increased drift. Although positive
114 selection has been shown to drive the elevated rate of male-biased gene evolution in
115 *Drosophila* (Pröschel, et al. 2006), recent work in humans and birds (Gershoni and
116 Pietrokovski 2014; Harrison, et al. 2015) suggests drift is the primary cause. However, these
117 species may lack sufficient level of sperm competition to drive rapid rates of male-biased
118 gene evolution. If sperm competition is important in explaining the rapid rates of male-
119 biased gene evolution, it should be evident in *S. ocellatus*, given the intense sperm
120 competition present in this species.

121 The three male morphs in *S. ocellatus* make it possible to test several aspects of how post-
122 mating sexual selection affects expression and sequence evolution. First, because territorial
123 males invest in costly somatic pre-mating sexually selected traits, it may be possible to
124 identify the signature of this trade-off in the gonadal transcriptome related to post-mating
125 sexual selection. Second, we can use this system to test whether sneaker males, which could
126 be viewed as low quality, invest less in testes transcription compared to satellite males,
127 which likely represent males of higher quality. Finally, the risk and intensity of sperm
128 competition present across the three male morphs make it possible to test the power of
129 sperm competition to shape coding sequence evolution of male-biased genes. Taken
130 together, these analyses allow us to ask how post-mating sexual selection has shaped
131 expression and sequence evolution in the ocellated wrasse with the potential to yield

132 general insights into how sexual dimorphism, intersexual variation and sexual selection are
133 encoded in the genome.

134

135 **MATERIALS & METHODS**

136 ***Sample collection and preparation***

137 The samples were netted using 25.4 x 20.3 cm hand nets in the Baie de Revellata of the
138 Mediterranean Sea near the University of Liege Marine Station (STARESO) Calvi, Corsica
139 using SCUBA. We collected gonad samples from wild individuals, totalling 7 females, 4
140 nesting males, 5 satellite males and 4 sneaker males during the breeding season of the
141 ocellated wrasse (May and June 2014). All individuals were caught from a total of 6
142 different nests known to be in the spawning phase of the nest cycle (Lejeune 1985;
143 Taborsky, et al. 1987; Alonzo 2004) meaning that males were courting females and
144 spawning with these females in their nest. Behavioural observations were made for ten
145 minutes prior to capture to verify individual phenotype (nesting male, satellite, sneaker or
146 female) and that all individuals captured were actively involved in reproduction. All
147 individuals were caught within minutes of being observed. Both males and females spawn
148 repeatedly when at an actively spawning nest in this species and only individuals observed
149 to be reproductively active were collected. We aimed to catch one of each type from each
150 nest sampled, though in a few cases this was not possible. Individuals were brought to the
151 surface, euthanized with an overdose of MS-222 and their gonads removed within 10-50
152 min (mean=27 min) of capture. Collection was authorized by a permit to the field research
153 station STARESO by the French government (Arrêté no. 188 en date du 07 Avril 2014).

154 Samples were cut into small pieces after dissection (to allow for better preservation) and
155 immediately stored in RNAlater (Ambion). RNA extraction was done under standardised
156 conditions using a Qiagen RNeasy kit (see Table S1 for RNA quality scores). The Wellcome
157 Trust Centre for Human Genetics prepared mRNA libraries (TruSeq RNA Library Prep Kit v2),
158 and each sample was individually barcoded and run across each of four lanes of Illumina
159 HiSeq 2000 in order to eliminate technical variance. On average, we recovered 31 million
160 100 bp paired-end reads per sample before quality control (Table S1).

161 Read quality was assessed with FastQC v0.10.1
162 (http://www.bioinformatics.babraham.ac.uk/projects/download.html), and reads quality
163 trimmed with Trimmomatic v0.32 (Lohse, et al. 2012). Specifically, reads were trimmed if
164 the sliding window average Phred score over four bases was < 15 or if the leading/trailing
165 bases had a Phred score < 3. Reads were removed post filtering if either read pair was < 36
166 bases in length. After trimming there were on average 29 million paired ends reads per
167 sample totalling 199 million reads for females, 116 million reads for nesting males, 149
168 million reads for satellites and 119 million reads for sneaker males.

169

170 **De novo *transcriptome assembly, mapping and normalisation***

171 We used the default parameters in Trinity v2.0.2 (Grabherr, et al. 2011) to construct a *de*
172 *novo* transcriptome assembly on the combined pool of 583 million paired sequences. Each
173 individual sample was mapped to the Trinity reference genome and RSEM v1.2.19 (Li and
174 Dewey 2011) and Bowtie2 v2.2.4 used to obtain expression levels for the 567,384 contigs.
175 *De novo* transcriptome assemblies generate many non-coding, chimeric or otherwise

176 spurious contigs, and we have previously developed and applied a series of filters to reduce
177 the number of erroneous contigs (Harrison, et al. 2012; Moghadam, et al. 2013; Chen, et al.
178 2015; Harrison, et al. 2015) when genome annotations are unavailable (see Fig S1 for
179 overview). Firstly, we selected the best isoform for each Trinity contig cluster, based upon
180 expression level and, in the case of ties, isoform length (Harrison, et al. 2015). These
181 sequences were subsequently used for analyses of coding sequence divergence. RSEM
182 v1.2.19 was then used to re-map expression to the set of best isoforms to facilitate accurate
183 comparisons between expression and coding sequence evolution. Secondly, non-coding
184 RNA was filtered using a BLASTn with an *E*-value cut-off of 1×10^{-10} between the set of best
185 isoform and *Gasterosteus aculeatus* (stickleback) non-coding RNA (Ensembl v81) (Flicek, et
186 al. 2013). Finally, we removed all contigs with < 2 FPKM in ¾ of the samples per morph. This
187 allowed morph-specific contigs to be retained with reasonable confidence (i.e. expressed in
188 at least 3 out of all the samples) and resulted in 39,453 contigs.

189 Orthology between *G. aculeatus* and *S. symphodus* sequences was assessed using BLAST
190 (Altschul etal. 1990). Specifically, the longest transcript for each gene was obtained for *G.*
191 *aculeatus* (Ensembl v81) (Flicek, et al. 2013) and a reciprocal BLASTn with an E-value cut-off
192 of 1×10^{-10} and minimum percentage identity of 30% was used to identify orthology.
193 Reciprocal orthologs between *G. aculeatus* and *S. symphodus* were identified using the
194 highest BLAST score. Open reading frames were obtained using BLASTx with E-value cut-off
195 of 1×10^{-10} and contigs with invalid open reading frames were removed. This resulted in
196 8,928 orthologous contigs with an average length of 2,951 bp (N50 = 3,575, N90 = 1,656).

197 Normalisation was performed using the TMM function in edgeR (Robinson, et al. 2010) and
198 RPKM values generated. We used hierarchical clustering, factor analysis and pairwise

199 correlations (Spearman's ρ) to identify any potential outliers. One satellite male sample
200 showed significant deviations from the male distribution (Wilcoxon rank sum test p-value =
201 0.0003, mean pairwise correlations among males ρ = 0.966, mean pairwise correlations
202 between excluded sample and the remaining satellite samples ρ = 0.953) and was removed,
203 and the normalisation was re-run. Pairwise correlations across samples within each morph
204 were high (median (min-max), nesting males = 0.982 (0.980-0.983); satellites = 0.983 (0.981-
205 0.984); sneakers = 0.980 (0.978-0.982); females = 0.977 (0.970-0.981). Post-normalisation
206 expression filtering resulted in the removal of genes that had expression < 2 RPKM in ¾ of
207 the samples per morph, leaving 8,906 contigs for the expression analysis. Average
208 expression for males, females and for each male morph was calculated as the logged mean
209 expression of the normalised data plus 1 (to avoid infinite values resulting from log 0). Males
210 and females had similar average $\log_2$ expression across all genes (female median = 4.05,
211 male median = 4.01, Wilcoxon rank sum test p-value = 0.555).

212

213 ***Sex-biased and morph-biased expression***

214 Differential expression between the sexes was quantified using edgeR (Robinson, et al.
215 2010), using both a fold-change threshold of 2 (Moghadam, et al. 2012; Harrison, et al.
216 2015; Grath and Parsch 2016) across all 3 male morphs *vs* females and $p_{adj} < 0.05$, with an
217 FDR correction for multiple testing. This resulted in 5,448 sex-biased contigs, classified as
218 those contigs with at least twice the expression in one sex compared to the other, as well as
219 $p_{adj} < 0.05$. We also identified sex-biased contigs by comparing each male morph to females
220 (i.e. nesting males *vs* females, sneakers *vs* females and satellites *vs* females). These
221 approaches identified consistent patterns of sex-bias, with an overlap of 94% between the

222 two methods when using nesting males as the reference, 96% when using sneakers and 99%
223 when using satellite males as the reference. We also used DESeq (v1.18.0) (Anders and
224 Huber 2010) to calculate differential expression between males and females, specifying the
225 same parameters to identify sex-bias as used in the edgeR method. Of the sex-biased genes
226 identified using the edgeR method, 97.4% were also identified as sex-biased using DESeq
227 using the same fold-change thresholds.

228 Sex-biased contigs were then divided into male-biased genes, those with at least twice the
229 expression in males compared to females and $p_{adj} < 0.05$, and female-biased genes, those
230 with at least twice the expression in females compared to males and $p_{adj} < 0.05$. Because the
231 strength of selection has been shown to correlate with expression level (Krylov, et al. 2003;
232 Nuzhdin, et al. 2004), male-biased (n = 2,590) and female-biased (n = 2,858) contigs were
233 divided into quartiles based on average expression level in females for female-biased
234 contigs and average expression level in males (across the three morphs) for male-biased
235 contigs.

236 Morph-biased genes are expressed more highly in one morph compared to the other two
237 morphs. They can be expressed in multiple morphs, but at significantly different levels in
238 one morph compared to the other two. Morph-biased contigs were identified using edgeR,
239 comparing differences between the focal morph and the other two morphs (i.e. nesting
240 males *vs* sneaker and satellite males; satellite males *vs* sneaker and nesting males; sneaker
241 males *vs* nesting and satellite males), using an FDR adjustment for false discovery rate at p_{adj}
242 < 0.05. Because we expect few contigs to exhibit large expression changes across morphs
243 (Pointer, et al. 2013; Hollis, et al. 2014), we report morph-biased contigs both with and
244 without 2-fold expression thresholds.

245 Hierarchical clustering for the average for each morph was performed using Euclidean
246 clustering in the R package pvclust v1.3-2 (Suzuki and Shimodaira 2006) with bootstrap
247 resampling (1000 replicates) for female-biased and male-biased genes. Heatmaps were
248 generated using $\log_2$ average expression for each gene in each morph using the R package
249 pheatmap v1.0.2. Factor analysis was conducted in R (using Factanal) with varimax rotation.

250 Gene Ontology functional enrichment was assessed for sex-biased genes in each expression
251 quartile using Gene Ontology Enrichment Analysis and Visualization tool (Eden, et al. 2009)
252 using two unranked lists of genes and function ontology specified with a p-value threshold <
253 0.001. Biomart (Ensembl Genes 86) was used to assign orthology between *G. aculeatus* and
254 *Danio rerio*. Sex-biased genes for each quartile were specified as the target gene set with all
255 other genes as the background. Significant GO terms ($p_{fdr} < 0.05$) are listed in Table S2.

256

257 ***Sequence divergence***

258 Coding sequences for *Xiphophorus maculatus* (platyfish) and *G. aculeatus* (stickleback) were
259 obtained from Ensembl v81 and the longest transcript for each gene identified (Flicek, et al.
260 2013). A reciprocal BLASTn with an E-value cut-off of 1×10^{-10} and minimum percentage
261 identity of 30% was used to identify reciprocal 1:1:1 orthologs, resulting in 5,366
262 orthogroups (Fig. S1). Open reading frames were obtained using BLASTx and *G. aculeatus*
263 protein sequences as the BLAST database.

264 Orthologs were aligned with PRANK v140603 in codon mode (Löytynoja and Goldman 2008)
265 specifying the tree ((*X. maculatus*, *G. aculeatus*), *S. ocellatus*). Alignments were quality
266 filtered using SWAMP v.09 (Harrison et al 2014) to remove poorly aligned regions that might

267 give false signals of position selection. Specifically, codons were masked if there were more
268 than seven non-synonymous mutations in a sliding window scan of 15 codons. Gaps and
269 masked codons were removed from the alignment and orthogroups discarded if the length
270 < 300bp.

271 We obtained divergence estimates for each orthogroup using the branch model (model=2,
272 nssites=0) in the CODEML package in PAML v4.8 (Yang 2007) using the tree (*X. maculatus*, *G.*
273 *aculeatus*, *S. ocellatus*#1). The branch model was used to calculate d_N/d_S for the wrasse-
274 specific branch. Contigs were excluded if tree length $d_S > 2$ in order to remove sequences
275 which have reached mutational saturation (Axelsson, et al. 2008). This resulted in 4,912
276 orthogroups remaining out of a total of 5366.

277 For each expression class, we calculated mean d_N and mean d_S from the PAML outputs as
278 the sum of the number of substitutions across all contigs in a given category divided by the
279 number of sites ($d_N = D_N/N$; $d_S = D_S/S$; where D_N = number of non-synonymous substitutions,
280 N = number of non-synonymous sites, D_S = number of synonymous substitutions, S =
281 number of synonymous sites). This approach avoids the problems of infinitely high d_N/d_S
282 estimates arising from contigs with extremely low d_S (Harrison, et al. 2015; Wright, et al.
283 2015) and prevents disproportionate weighting and skew from shorter contigs. 1000
284 bootstrap replicates were generated to estimate 95% confidence intervals and
285 permutations tests were used to test for significant differences between pairwise
286 comparisons.

287

288 ***Polymorphism analysis***

289 Polymorphism data was obtained by first mapping RNA-seq reads to the best isoform Trinity
290 assembly using the two-pass alignment method of the STAR aligner v2.4.2a with default
291 parameters (Dobin, et al. 2013). Only uniquely mapping reads were retained. SAMTOOLS
292 mpileup v0.1.19 (Li, et al. 2009) and VARSCAN2 v2.3.9 mpileup2snp (Koboldt, et al. 2009;
293 Koboldt, et al. 2012) were used to call SNPs. SAMTOOLS mpileup was run with the
294 probabilistic alignment disabled, a max read depth of 10,000,000 and default minimum base
295 quality of 13. VARSCAN2 mpileup2snp was run with a minimum frequency for homozygote
296 of 0.85, minimum coverage of 2, minimum average quality of 20, strand-filter on and p-
297 value = 1. Valid SNPs were required to have a minimum coverage of 20 in at least four
298 individuals and a minor allele frequency > 0.15, resulting in 218,913 SNPs. SNPs were
299 matched to the reading frame to determine whether they were synonymous or
300 nonsynonymous.

301 In order to ensure the divergence and polymorphism data was comparable for subsequent
302 analyses, similar criteria were used to filter both analyses. Specifically, codons that (i) were
303 masked by SWAMP (ii) failed the minimum coverage threshold of 20 in at least four
304 individuals or (iii) were excluded from PAML due to alignment gaps and the clean filter
305 function, were filtered from both the polymorphism and divergence analyses.

306 The McDonald–Kreitman test (McDonald and Kreitman 1991) was used to estimate the
307 number of contigs evolving under adaptive and neutral evolution by contrasting the number
308 of nonsynonymous and synonymous substitutions (D_N and D_S) with polymorphisms (P_N and
309 P_S). Fisher's Exact tests were run for each contig using D_N, D_S, P_N and P_S. Contigs were
310 removed if the total observations across rows and columns in the 2x2 contingency table was
311 < 6 (Begun, et al. 2007; Andolfatto 2008). For those contigs with significant deviations in D_N,

312 D_S, P_N and P_S, positive selection was indicated by $D_N/D_S > P_N/P_S$ (McDonald and Kreitman
313 1991).

314 To examine expression levels of genes under positive selection we expanded our group of
315 genes under putative positive selection by using the Direction of Selection (DoS) test
316 (Stoletzki and Eyre-Walker 2011). DoS ($DoS = D_n/(D_n + D_s) - P_n/(P_n + P_s)$) was calculated as
317 the difference in the proportion of fixed non-synonymous sites and the proportion of
318 polymorphic non-synonymous sites (Stoletzki and Eyre-Walker 2011). An excess of non-
319 synonymous substitutions compared to polymorphisms (i.e. DoS > 0) indicates putative
320 positive selection.

321 Lastly, we tested morph-biased genes (identified within the expression analysis) for standing
322 variation using polymorphism data to test for an excess or under-representation of
323 nonsynonymous polymorphisms across morph-biased genes. Excess or underrepresentation
324 is indicative of relaxed purifying selection or positive selection, respectively. For this
325 analysis, we separately concatenated P_N and P_S for each gene class and used Fisher's Exact
326 tests (in R v3.1.3) to test for significant differences in P_N/P_S between pairwise comparisons
327 between morph-biased genes and male-biased genes.

328 Commands are included in the supplementary material.

329

330 **RESULTS**

331 We had a total of 583 million paired-end reads across all samples after trimming, which we
332 used for *de novo* transcriptome assembly. After filtering our assembly, we recovered 8,928

333 reciprocal orthologs with *Gasterosteus aculeatus* (stickleback), representing coding
334 sequence used for all downstream analysis.

335

336 ***Sex-biased expression across male morphs***

337 We estimated expression for each sample, based on an average of 29 million paired-end
338 reads after trimming. We identified greater inter-sexual expression variation, with 5,448 sex
339 biased genes (log_2 M:F > 1 or < -1, $p_{adj} < 0.05$) compared to intra-sexual expression variation,
340 with 34 morph-biased genes (24 nesting male-biased, 2 satellite male-biased, 8 sneaker
341 male-biased, log_2 fold change between morph comparisons > 1 or < -1, $p_{adj} < 0.05$). Of the
342 nesting male-biased contigs, 1 contig was also male-biased and 2 were female-biased, the
343 satellite male-biased contigs 1 was also male-biased and 1 female-biased, and the sneaker
344 male-biased contigs 3 were male-biased and 3 female-biased. Previous work has indicated
345 few contigs have large expression changes among morphs (Pointer, et al. 2013; Hollis, et al.
346 2014), therefore we also assessed morph-bias without expression thresholds, and only
347 based on statistical thresholds ($p_{adj} < 0.05$). Using this more relaxed threshold, we recovered
348 41 nesting male-biased contigs, 11 satellite male-biased contigs, and 9 sneaker male-biased
349 contigs.

350 We first used these expression estimates for hierarchical clustering, which can be used to
351 assess overall transcriptional similarity across morphs and sexes. For male- and female-
352 biased genes, male morphs cluster more closely to each other than to females (Fig 2). Our
353 clustering also indicates some intra-sexual variation among male morphs, as sneaker and
354 satellite males show greater transcriptional similarity to each other than to nesting males

355 for female-biased genes expressed in the gonad. All three male morphs were statistically
356 indistinguishable via bootstrapping in the hierarchical clustering across male-biased genes.
357 Intra-sexual variation in expression was also evident with factor analysis based on all
358 expressed genes, which indicated greater transcriptional difference between nesting males
359 and satellite males (Fig. 3). However, sneaker males showed substantial variation across
360 both factors, and overlap with both nesting males and satellites (Fig. 3).

361 In order to test for differences in transcriptional investment among the three morphs we
362 next tested for masculinization and feminization of gonadal expression (Jaquiery, et al.
363 2013; Pointer, et al. 2013; Hollis, et al. 2014) in each of the male morphs, and combined that
364 with estimates of expression variance. Masculinization and feminization of gene expression
365 is the increase in expression of male-biased or female-biased genes, respectively. Similarly
366 demasculinization and defeminisation of gene expression is the reduction in expression of
367 male-biased and female-biased genes, respectively. Gene expression variance estimates are
368 increasingly used to infer selection acting on expression level under assumptions that
369 selection on expression will decrease expression variance across replicates (Moghadam, et
370 al. 2012; Romero, et al. 2012; Dean, et al. 2015). Nesting males express female-biased genes
371 at significantly higher levels compared to the other male morphs at the lower expression
372 levels (Fig. 4A). Although initially surprising given recent studies in birds and *Drosophila*
373 (Pointer, et al. 2013; Hollis, et al. 2014), our results indicate that nesting males also exhibit
374 higher variance in expression for female-biased genes at the lower expression levels (Fig.
375 5A) compared to the other morphs, suggesting that although they show some feminization,
376 it is unlikely to be due to selection acting to increase expression. In contrast, satellite males
377 had higher expression (Fig. 4B) and lower variance (Fig. 5B) for male-biased genes,

378 particularly for highly expressed male-biased genes, suggesting that the gonadal
379 masculinization is the response to selection for higher expression in this morph. These
380 results do not qualitatively change if we increase the sex-biased threshold to four-fold
381 expression differences between the sexes (Figure S2, S3), suggesting these results are robust
382 to comparisons between samples that potentially vary in tissue composition (Harrison, et al.
383 2015; Montgomery and Mank 2016). Gene Ontology terms for sex-biased genes in the
384 different expression level quartiles are presented in Table S2.

385

386 ***Rates of evolution***

387 In order to test the power of sperm competition to shape gene sequence evolution we
388 compared rates of evolution and population-level polymorphism across sex-biased and
389 unbiased genes. As observed in many other animals (Ellegren and Parsch 2007), male-biased
390 gonadal genes showed higher rates of gene sequence evolution than unbiased genes, driven
391 by both an increase in d_N and a decrease in d_S (Table 1). Interestingly, female-biased genes
392 in our wrasse data also showed significantly higher rates of sequence evolution, again driven
393 by both an increase in d_N and a decrease in d_S (Table 1). McDonald-Kreitman (MK) tests
394 (McDonald and Kreitman 1991) revealed a higher proportion of male-biased genes with
395 signatures of positive selection than unbiased genes (Table 2), indicating adaptive evolution
396 explains at least some of the elevated rate of evolution for male-biased genes. However,
397 only five female-biased genes showed significant evidence of positive selection with
398 McDonald-Kreitman tests, which was not significantly different from the level observed for
399 unbiased genes. We also tested morph-biased genes for differences in rates of evolution
400 and standing variation. Although the small number of morph-biased genes results in low

401 statistical power (Tables S3 and S4), nesting-male biased genes do show a marginally
402 significant elevated rate of evolution compared to male-biased genes (Table S3), consistent
403 with previous work in the bulb mite and pea aphid (Stuglik et al 2014, Purandare et al 2014).
404 Our analysis of standing polymorphism suggests that this fast rate of evolution may be due
405 in part to drift (Table S4).

406 To test whether male-biased genes under positive selection have highest expression in
407 morphs subject to strong post-mating sexual selection, we expanded our group of genes
408 under putative positive selection by using the Direction of Selection (DoS) test (Stoletzki and
409 Eyre-Walker 2011), which is more permissive than the MK test. Satellite males express male-
410 biased genes with DoS > 0 (indicative of putative positive selection) at higher levels than
411 nesting males (Fig. 6). Male-biased genes under putative positive selection also tend to be
412 more highly expressed (Fig. S4A) but tend to be less male-biased in their expression (Fig.
413 S4B) than genes under relaxed constraint.

414

415 **DISCUSSION**

416 Here we report patterns of regulatory and sequence evolution in the gonad transcriptome
417 of wild caught ocellated wrasse, a species with three male morphs which experiences
418 particularly high levels of sperm competition and a continuum of pre- and post-mating
419 sexual selection (Alonzo and Warner 2000b; Alonzo and Heckman 2010). Previous work in
420 this system has revealed somatic variation in gene expression among the male morphs that
421 may be important in pre-mating sexual selection (Stiver, et al. 2015). Here we focus on the
422 consequences of post-mating sexual selection on transcriptome evolution in the gonads.

423

424 ***Gene expression and sexual selection***

425 The design of our study makes it possible to compare both inter and intra-sexual
426 transcriptional variation to explore how post-mating sexual selection shapes the gonadal
427 transcriptome and drives gene sequence evolution. Previous work on somatic tissues
428 showed equal or greater intra-sexual than inter-sexual differences in transcription (Snell-
429 Rood, et al. 2011; Schunter, et al. 2014). This is in clear contrast to our results here (Fig. 1)
430 and our previous work on male morphs in wild turkeys (Pointer, et al. 2013), which show the
431 greatest difference is first by sex, then within sex by morph. This may reflect fundamental
432 differences between somatic and gonad transcriptional variation, as somatic tissues in
433 general tend to show far less inter-sexual variation than the gonad (Pointer, et al. 2013;
434 Harrison, et al. 2015), in the latter case resulting from the profound functional and
435 physiological differences in producing and delivering male versus female gametes.

436 Relatively few genes showed significant expression differences among male morphs,
437 however average expression across male- and female-biased gene categories was similar to
438 previous studies in birds (Pointer, et al. 2013), and mites (Stuglik, et al. 2014). Previous work
439 has suggested that sex-biased genes shift expression in response to sexual selection (Hollis,
440 et al. 2014; Immonen, et al. 2014) and are correlated with the magnitude of male sexually
441 selected traits (Pointer, et al. 2013). Based on this, we might expect territorial males in *S.*
442 *ocellatus* to show greater male-biased expression. However, we observed the opposite, and
443 territorial males instead exhibit significant feminization of expression for female-biased
444 genes. Although models of gene expression evolution have yet to be validated, the high
445 variance exhibited across replicates suggests that expression of female-biased genes is

446 unlikely to be the result of positive selection in territorial males. High expression variance in
447 female-biased genes, coupled with the high investment nesting males make in somatic traits
448 (Alonzo 2008; Alonzo and Heckman 2010; Alonzo and Warner 2000a; Stiver et al 2015), is
449 consistent with a trade-off between maintaining costly pre-mating sexually selected traits
450 and the constraints of post-mating sexual selection shaping gene expression in the gonad.

451 In contrast to territorial males, satellite males showed the highest expression level for male-
452 biased genes, consistent with the greater transcriptional investment in genes likely to be
453 important in post-mating sexual selection compared to nesting males. Satellites also showed
454 significantly less variation in expression for both male- and female-biased genes, consistent
455 with positive selection under recent models of gene expression evolution (Moghadam, et al.
456 2012; Romero, et al. 2012; Dean, et al. 2015). Satellite males also have the largest absolute
457 gonad mass out of the three morphs (Alonzo & Stiver unpublished data), illustrating
458 concordance between male-biased gene expression and absolute gonadal mass. These
459 results are consistent with the possibility that, freed from the costs of pre-mating somatic
460 sexually selected traits, satellite males are able to invest more in post-mating sexually
461 selected gene expression patterns that may aid them in sperm competition. This is
462 somewhat at odds with results from the wild turkey, where subordinate males, which are
463 analogous in many ways to satellite males in the wrasse, show reduced expression of male-
464 biased genes (Pointer, et al. 2013). However, it is worth noting that subordinate male
465 turkeys are effectively non-reproductive (Krakauer 2005, 2008), and therefore do not
466 experience sperm competition.

467 Sneaker males show defeminization of female-biased genes demasculinization of male-
468 biased expression and high variance, indicating no directional selection and suggesting that

469 they are simply lower quality on average than the other morphs. This is consistent with the
470 life history trajectory of sneaker males, which tend to be the males with slowest early
471 growth rate (Alonzo, et al. 2000). Small males typically breed as 1 year old sneaker males,
472 then go on to become 2 year old satellite males, never becoming nesting males, while larger
473 males become satellites as 1 year olds then nesting males as 2 year olds (Alonzo et al. 2000).
474 Though, sneaker males release the most sperm per spawn out of all three morphs (Alonzo
475 and Warner 2000a), they also generate the least total sperm (across successive mating
476 attempts) and have the lowest average individual mating success and paternity of all three
477 morphs (Alonzo and Warner 2000b; Alonzo et al. in prep). Therefore, demasculinization and
478 high variance of male-biased gene expression may reflect the low overall male quality of
479 sneaker males.

480 Alternative mating tactics are common in fish, and appear to have evolved many times
481 independently (Mank and Avise 2006). Given the repeated origin of these phenotypes, it will
482 be interesting for future studies to determine whether our observed patterns of gonadal
483 gene expression differentiation among morphs are conserved among systems with similar
484 mating systems.

485 ***Sperm competition and sequence evolution***

486 Our results are somewhat unusual compared to previous studies in animals in that both
487 male- and female-biased genes show elevated rates of sequence evolution. This was due to
488 elevated d_N and a reduced d_S in both male- and female-biased genes, and suggests that
489 sexual selection alone, which in this species would act more forcefully on males, cannot
490 explain elevated rates of evolution observed in both sexes. Although female-biased genes
491 have been observed to evolve more rapidly in a yeast (Whittle and Johannesson 2013), and

492 both male- and female-biased genes exhibit faster rates of evolution in an alga (Lipinska, et
493 al. 2015), expression studies in adult animals have tended to show faster rates of evolution
494 primarily in male-biased genes (Ellegren and Parsch 2007). It is not clear at this point
495 whether our results represent a species-specific pattern, or are exhibited by other species
496 with similar mating systems. We also note that the contigs we removed from the d_N/d_S
497 analysis due to mutational saturation could also have been the most rapidly evolving genes,
498 causing an overall underestimation of divergence.

499

500 Interestingly, recent work in birds (Harrison, et al. 2015) and humans (Gershoni and
501 Pietrokovski 2014) have suggested that fast rates of evolution for male-biased genes might
502 be due to relaxed constraint rather than positive selection, and this is consistent with
503 studies in insects showing relaxed constraint characterizes caste-biased genes (Hunt, et al.
504 2011). In contrast, our results show that male-biased genes have a higher proportion of loci
505 showing evidence of positive selection, suggesting that at least some of the acceleration in
506 rates of evolution for male-biased genes is due to adaptive evolution. This may be due to
507 the intense level of sperm competition experienced by males of these species (Alonzo and
508 Heckman 2010) and the resulting strength of post-mating sexual selection among
509 alternative male types. Extended haploid selection due to external fertilization may also
510 explain our results.

511 In summary, the alternative mating strategies of *S. ocellatus* make it possible to isolate the
512 complex effects of post-mating sexual selection on gonadal genome evolution. Our results
513 reveal the potential for post-mating sexual selection to masculinize the transcriptome and
514 drive adaptive evolution of male-biased genes.

515

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698

699 **DATA ACCESSIBILITY**

700 Data are available in the NCBI short read archive (www.ncbi.nlm.nih.gov/sra) BioProject ID
701 PRJNA344726.

702

703 **AUTHOR CONTRIBUTIONS**

704 JEM, SHA, RD designed research, SEM, BMN, SHA performed research, RD, AEW analysed
705 data, RD, JEM, SHA, AEW wrote paper, all authors revised paper.

706

707 **TABLES**

708 **Table 1**. Rates of evolution (d_N/d_S) for sex biased genes. Values for sex-biased gene
709 categories that are significantly different from unbiased genes are in bold.

Expression class	Total contigs	Orthologs[a]	Filter[b]	d_N (95% CI) significance[c]	d_S (95% CI) significance[c]	d_N/d_S (95% CI) significance[c]
Male-biased	2590	1567	1435	**0.022 (0.021-0.023) *P < 0.0001***	**0.344 (0.337-0.351) *P < 0.0001***	**0.064 (0.061-0.067) *P < 0.0001***
Female-biased	2858	1603	1481	**0.023 (0.022-0.024) *P < 0.0001***	**0.347 (0.342-0.353) *P = 0.024***	**0.066 (0.063-0.068) *P < 0.0001***
Unbiased	3458	2175	1996	0.021 (0.020-0.021)	0.351 (0.345-0.356)	0.059 (0.057-0.061)

710 [a]Number of contigs that are 1:1:1 orthologs with *X. maculatus* and *G. aculeatus.*
711 [b]Number of 1:1:1 orthologs after filtering.
712 [c]Significance based on 2-tailed permutations tests (1000 replicates), compared to unbiased
713 genes
714
715

716

717 **Table 2**. McDonald-Kreitman tests for selection

Expression class	Contigs[a]	Positive selection[b] (significance)
Male-biased	425	**19 (*P = 0.0056*)**
Female-biased	231	5 *n.s*
Unbiased	623	9

718 [a]Number of 1:1:1 orthologs after filtering.
719 [b]Number of contigs with significant positive selection (significant deviations in D_N, D_S, P_N and
720 P_S, and $D_N/D_S > P_N/P_S$). P values from Fisher's Exact test compare sex-biased to unbiased
721 genes.
722

723

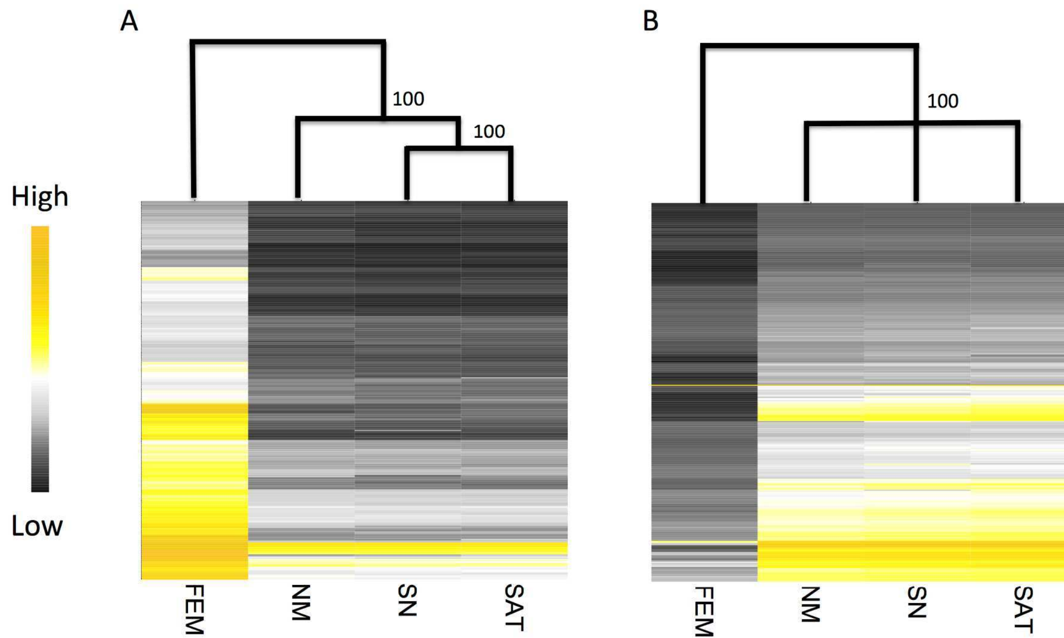
724

725 **FIGURES**

726
727 **Figure 1.** The ocellated wrasse (*Symphodus ocellatus*) has three male morphs. Brightly
728 coloured nesting males (top left) hold territories and females (bottom left) prefer to mate
729 with nesting males. Satellites associate (bottom right) with nesting males and help defend
730 the nest by chasing away sneakers (top right). Illustration credit: Clara Lacy.

731

732



733

734 **Figure 2**. Hierarchical clustering and heat maps based on average within-morph expression
735 for females (FEM), nesting males (NM), satellite males (SAT) and sneaker males (SN) for (A)
736 female-biased genes (n = 2,858) and (B) male-biased genes (n = 2,590). Confidence intervals
737 for hierarchical clustering are based on 1000 bootstrap replicates, and branches with <80%
738 support have been collapsed.

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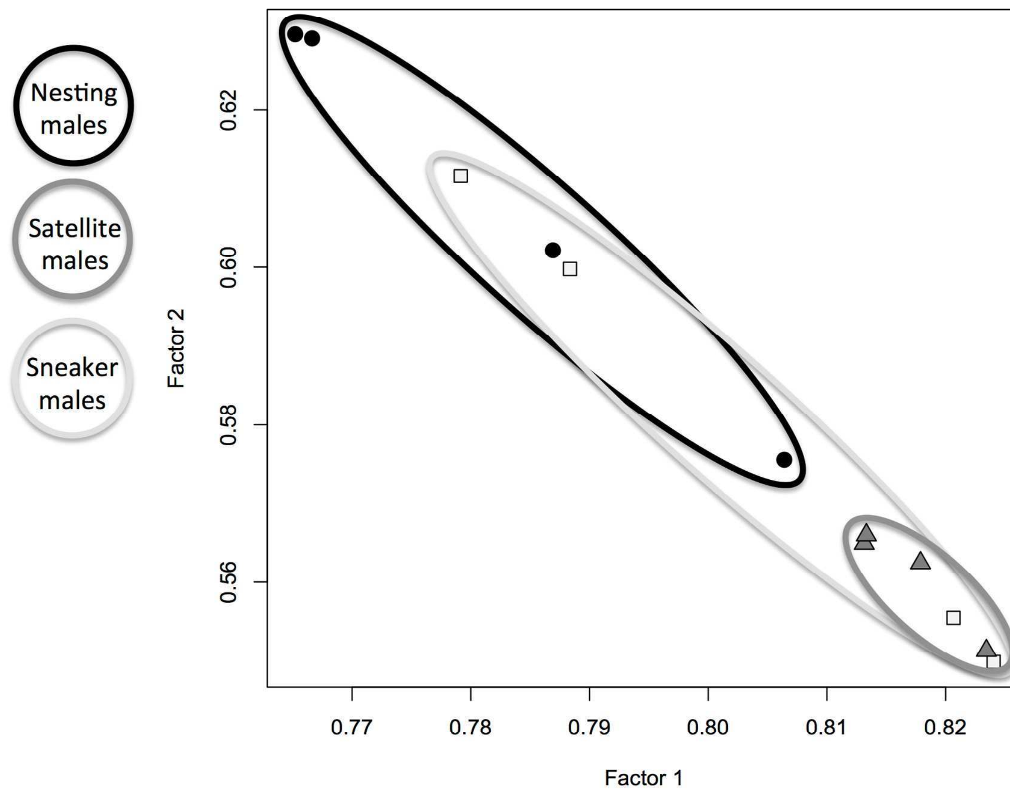

741

742 **Figure 3**. Factor analysis of three male morphs based on normalized RPKM values for all
743 expressed contigs. Nesting males are identified with circles and a black ellipse, satellite
744 males with triangles and a dark grey ellipse, and sneaker males with squares and a light grey
745 ellipse.

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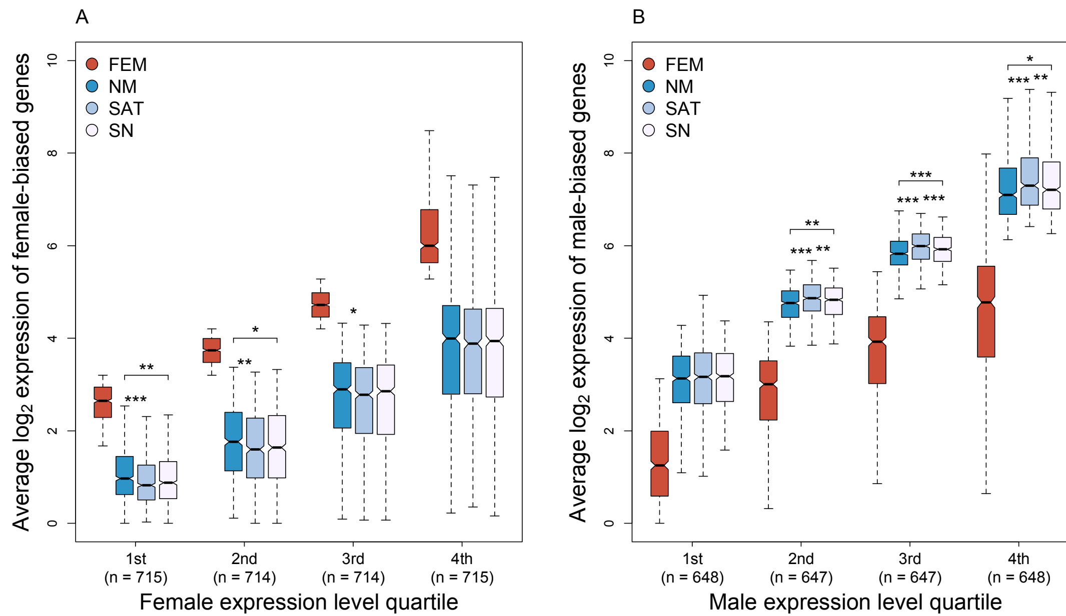

749

750 **Figure 4**. Gene expression for each of the morphs for (A) female-biased genes and (B) male-
751 biased genes. Data is divided into quartiles based upon expression level in females for panel
752 (A) and males for panel (B). Red = females (FEM), dark blue = nesting males (NM), light blue
753 = satellite males (SAT) and white = sneaker males (SN). Significance is indicated based on
754 Wilcoxon rank sum tests (* = $p < 0.05$, ** = $p < 0.01$, *** = $p < 0.001$).

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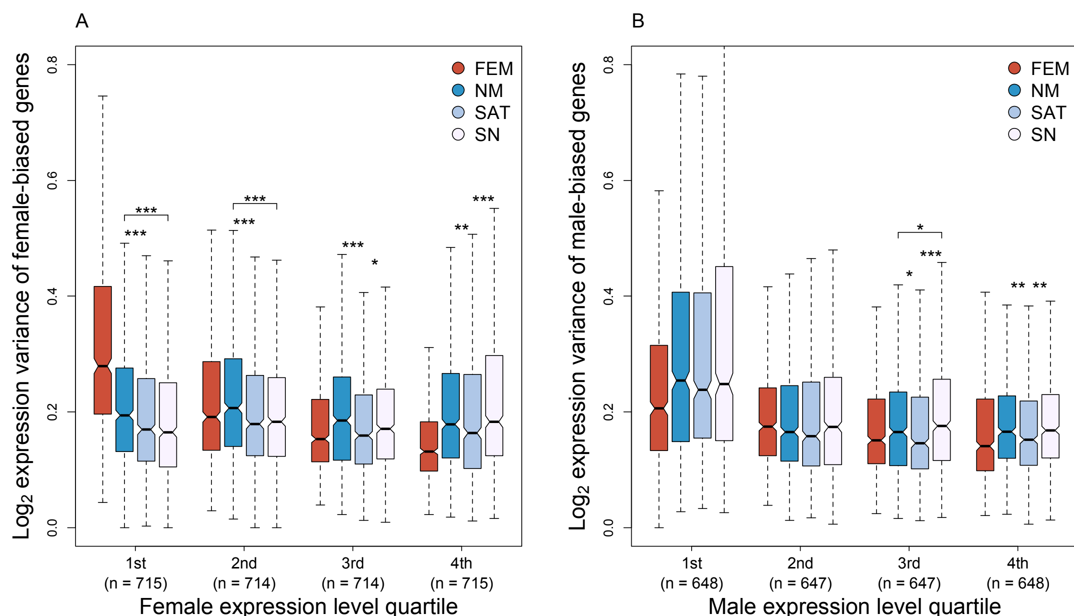

759

760 **Figure 5**. Gene expression variance for each of the morphs for (A) female-biased genes and
761 (B) male-biased genes. Data is divided into quartiles based upon expression level in females
762 for panel (A) and males for panel (B). Red = females, dark blue = nesting males, light blue =
763 satellite males and white = sneaker males. Significance is indicated based on Wilcoxon rank
764 sum tests (* = p < 0.05, ** = p < 0.01, *** = p < 0.001).

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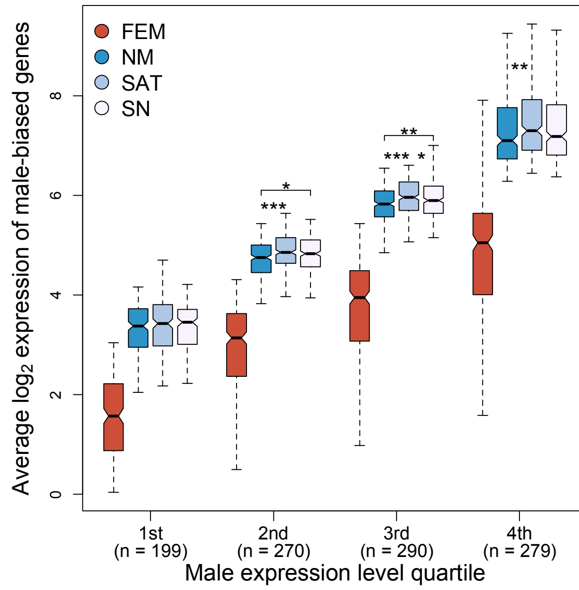

770

771 **Figure 6**. Male-biased gene expression for genes under putative positive selection for each
772 of the morphs. Red = females, dark blue = nesting males, light blue = satellite males and
773 white = sneaker males. Significance is indicated based on Wilcoxon rank sum tests (* = $p < 0.05$, ** = $p < 0.01$, *** = $p < 0.001$).
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