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Using Rasch analysis to form plausible health states amenable to valuation: the development of CORE-6D from CORE-OM in order to elicit preferences for common mental health problems

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Title: Using Rasch analysis to form plausible health states amenable to valuation: the development of CORE-6D from CORE-OM in order to elicit preferences for common mental health problems

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List of abbreviations:

CORE-OM: Clinical Outcomes in Routine Evaluation Outcome Measure

CSM: Condition-Specific Measure

DIF: Differential Item Functioning

HRQoL: Health-Related Quality of Life

PBM: Preference-Based Measure

SRM: Standardised Response Mean

QALY: Quality Adjusted Life Year

Abstract

Purpose To describe a new approach for deriving a preference-based index from a condition specific measure that uses Rasch analysis to develop health states.

Methods CORE-OM is a 34-item instrument monitoring clinical outcomes of people with common mental health problems. CORE-OM is characterised by high correlation across its domains. Rasch analysis was used to reduce the number of items and response levels in order to produce a set of unidimensionally-behaving items, and to generate a credible set of health states corresponding to different levels of symptom severity using the Rasch item threshold map.

Results The proposed methodology resulted in the development of CORE-6D, a 2-dimensional health state description system consisting of a unidimensionally-behaving 5-item emotional component and a physical symptom item. Inspection of the Rasch item threshold map of the emotional component helped identify a set of 11 plausible health states, which, combined with the physical symptom item levels, will be used for the valuation of the instrument, resulting in the development of a preference-based index.

Conclusions This is a useful new approach to develop preference-based measures where the domains of a measure are characterised by high correlation. The CORE-6D preference-based index will enable calculation of Quality Adjusted Life Years in people with common mental health problems.

INTRODUCTION

Calculation of Quality Adjusted Life Years (QALYs) in cost-utility analysis requires description and subsequent valuation of health states characterising a disease area.[1] Generic preference-based measures (PBMs), such as EQ-5D,[2] SF-6D,[3] and HUI-3,[4] are widely used for this purpose. These instruments consist of a general health descriptive system, and an algorithm converting each health state into a utility value. For example, EQ-5D can describe 243 health states, created by combining 5 dimensions (mobility, self-care, usual activities, pain/discomfort and depression/anxiety) with 3 levels of response each (no problems, moderate problems, severe problems). Valuation of a number of these states based on public preferences and further econometric modelling has resulted in the development of an algorithm that links each of the 243 health states with a utility value, thus allowing use of EQ-5D in cost-utility analysis.

Generic PBMs may be inappropriate or insensitive in capturing Health-Related Quality of Life (HRQoL) in some medical conditions.[5] On the other hand, the majority of available condition-specific measures (CSMs) are not preference-based. One solution to this problem has been the “mapping” from CSMs directly onto generic PBMs (e.g. Refs [6,7]); however, this process may result in limited performance in terms of model fit and ability to predict values where the overlap between the generic measure and the CSM is poor.[8,9] For this reason, there has been an increased interest in the development of PBMs directly from existing CSMs.

CSMs normally consist of a large number of items capturing multiple dimensions of health. Inclusion of all items in a PBM would often result in the description of a

massive number of potential health states that would be impractical to use and complicated to value. The main approach of dealing with this situation is to develop health state classifications by selecting 1-2 items from each dimension represented in a CSM, thus defining a concise set of health states. This approach was first applied to the generic SF-36 in the development of the SF-6D preference-based index[3] and has since been used at the development of PBMs from a number of CSMs.[10-13] Factor analysis can be used in such cases to assess the dimension structure of a measure, explore potential correlations between dimensions and suggest appropriate reductions in dimensions.[14] Items can be selected based on classical psychometric criteria, such as internal consistency and responsiveness to change. Rasch analysis has also been used at the development of health state classifications from existing CSMs, in order to select items within dimension and reduce item response levels.[15,16]

Ideally health state classifications should have a multi-dimensional structure with little or no correlation between dimensions. This requirement results from the demands of the valuation stage, where a sample of states is selected for valuation since it is not practical to value all states. For instruments like EQ-5D and SF-6D that employ statistical inference, statistical designs such as orthogonal arrays and balanced designs are used to estimate additive models in order to predict the values for all potential health states. For the HUI3 that uses multi-attribute utility theory, ‘corner’ states must be valued where one dimension is at the worst level and all others are at the best level. A major problem arises when items in a health state classification tap the same or highly correlated dimensions and therefore cannot be treated independently, as separate statements. In such cases some of the health

states may include combinations of statements that are not plausible (e.g. I feel happy most of the time *and* I often feel like crying). This problem is most likely to arise in the case of CSMs with high correlation between dimensions.

An alternative approach in order to develop plausible health states was described by Sugar et al.,[17] who conducted *k*-means cluster analysis using the mental and physical health composite scores of SF-12 obtained from patients with depression, in order to assign them into groups of different symptom severity. Cluster analysis indicated 6 distinct patient groups and respective health states covering 2 dimensions, i.e. mental and physical health. Subsequently, the authors examined the distribution of patients' responses on SF-12 in each cluster and found that, for any item, one or two levels of response accounted for at least 50% of responses in a cluster. By combining these 'popular' item responses, the authors developed health state descriptions for depression that were clinically meaningful. The advantage of this approach is that it creates plausible health states and can therefore be employed for the development of PBMs from CSMs with few and highly correlated dimensions, where conventional approaches for generating health states (such as orthogonal arrays) are not appropriate. On the other hand, cluster analysis uses arbitrary cut-off points for cluster identification and therefore its results need confirmation by clinical judgement. Moreover, this approach results in a limited number of health states, thus not covering all states observed in the patient population. A final drawback is that health descriptions were constructed by combining the most frequent responses for every item in each cluster. However, these descriptions did not necessarily reflect the most popular item *combinations* in the study sample; what's more, they did not necessarily form combinations actually observed in the study population.

In this paper we propose an alternative approach for constructing PBMs from CSMs with high correlation between their dimensions, using Rasch analysis. Rasch analysis has already been used in order to select appropriate items and response levels from existing multidimensional CSMs.[15,16] Here, we take advantage of another property of Rasch models relevant to our context, that is, the ability of Rasch analysis to assign respondents to different points of severity along the latent variable, based on their responses, and to subsequently generate groups of respondents of different symptom severity.[18] We have used this attribute of Rasch models in order to develop plausible health states from the Clinical Outcomes in Routine Evaluation Outcome Measure (CORE-OM).

METHODS

The Clinical Outcomes in Routine Evaluation - Outcome Measure (CORE-OM)

CORE-OM is an instrument measuring common mental health problems that has been developed to evaluate the effectiveness of psychological therapies across multidisciplinary services in the UK.[19] It consists of 34 items, each with 5 levels of response: ‘not at all’, ‘only occasionally’, ‘sometimes’, ‘often’, and ‘most or all the time’. The items tap 4 domains considered by practitioners to be necessary components in a ‘core’ measure: ‘subjective well-being’ (4 items), ‘problems’ (4 items on depression, 4 items on anxiety, 2 items on physical symptoms and 2 items on trauma), ‘functioning’ (4 items on general functioning, 4 items on close relationships and 4 items on social relationships) and ‘risk’ (4 items on risk-to-self and 2 items on risk-to-others). Eight of the items are positively worded. The dimensional structure of CORE-OM is presented in Table 1.

CORE-OM comprises a valid, reliable and acceptable effectiveness measure across a wide range of practice settings offering psychological therapies.[20,21] It has been routinely used to evaluate psychological therapies and counselling services in primary and secondary settings in the UK[19,22] and is a widely used patient-based tool for measuring mental health outcomes in the British National Health Service.[23,24] Based on these characteristics and given the scepticism about use of generic PBMs in mental health and the arguments favouring the development of a condition-specific PBM in this area,[25-27] CORE-OM was selected as the basis for constructing a PBM specific to common mental health problems.

With 34 items having 5 levels each, CORE-OM may form a practically unmanageable number of 5^{34} health states. Previously undertaken factor analysis identified 3 major components of the instrument: risk items, positively worded items, and all the remaining items.[20] Examination of correlation across domains demonstrated that the domains of 'subjective well-being', 'problems' and 'functioning' are highly correlated with each other (in pair-wise examinations of the 3 domains the Spearman's ρ value exceeded 0.70 in both clinical and non-clinical populations); the 'risk' items also showed high though somewhat lower correlation with the group of all non-risk items, which included positively worded and remaining items (Spearman's ρ value = 0.64 in a clinical sample; 0.44 in a non-clinical sample).[20] Thus generating states using standard statistical design from the health state classification would not be appropriate in this case, as it would most likely result in implausible health states. For this reason, a new method using Rasch analysis was applied, aiming at the construction of credible health states from CORE-OM.

The CORE-OM dataset used in Rasch analysis

Data analysed in this study were derived from a database service containing information on 6,610 clients from 33 NHS primary care services. Data included CORE-OM scores, as well as patients' age, gender and ethnicity. Details on the full dataset and the data collection procedures are available in Evans et al.[22] A random sample of 1,500 primary care clients formed the initial dataset for the work presented in this paper [N1500]. Data from a sub-sample of 400 randomly selected respondents were used for Rasch analysis [N400a]. The analysis was validated on another random sub-sample of 400 respondents [N400b].

Use of Rasch analysis to select items and identify plausible health states amenable to valuation

The Rasch model is underpinned by the principles of unidimensionality and local independence of items. The latter means that once the Rasch factor, i.e. the main scale, has been accounted for, no further associations between items other than random associations should exist.[28] Rasch analysis cannot be therefore used as a primary tool for the development of standard health state classifications, which, by definition, are multidimensional. In contrast, it can play a significant role at the development of PBMs derived from existing CSMs where items are strongly correlated and tend to behave unidimensionally. The objective of this study was to use Rasch analysis as the primary tool for developing a health state system from CORE-OM, amenable to valuation.

The purpose of Rasch analysis in this context was 3-fold:

- To reduce the number of items and response levels based on a number of set criteria, so that the final instrument describes a concise number of health states
- To ensure that the final instrument comprises a unidimensionally-behaving scale with local independence between items so that each person's responses can be predicted based on his/her symptom severity
- To generate health states, described by unique combinations of item responses, corresponding to different locations across the latent variable, that is, to different levels of severity along the scale.

The new instrument describing health states for common mental health problems across 6 domains (named 'CORE-6D') was derived from CORE-OM following 4 major steps:

Step 1: Factor analysis

Factor analysis in the form of principal component analysis was undertaken on the whole dataset [N1500] in order to confirm previous findings and identify major domains that should be represented in the final measure.

Step 2: Use of Rasch analysis and conventional psychometric tests in order to exclude items and develop a unidimensionally-behaving scale

Rasch analysis (primarily) and conventional psychometric tests were performed on all 34 CORE-OM items in sample N400a, in order to discard items non-suitable for the final instrument and to ultimately develop a unidimensionally-behaving scale. The criteria used to exclude items have been described and justified in previous related

studies.[15,16] In summary, the following criteria were considered at the development of CORE-6D:

A. Rasch analysis criteria:

- Item level ordering: item-threshold maps were inspected to investigate whether respondents were able to distinguish between adjacent response levels. When items had disordered thresholds (i.e. when an item score was likely to decrease as respondent's severity increased), then visual inspection of respective category probability curves determined which adjacent responses to merge. If the only way to order an item's thresholds was by merging adjacent responses that were not clinically meaningful (such as 'sometimes' and 'often'), then this item was eventually excluded.
- Goodness of fit following threshold re-ordering: overall and item fit statistics were examined to assess whether the whole instrument and individual items fit into the Rasch model.
- Differential Item Functioning (DIF): items demonstrating DIF (that is, responses depended on patients' age, gender or ethnicity) were candidates for exclusion.

B. Conventional psychometric tests:

- Responsiveness to treatment, measured by the standardised response mean (SRM)
- Percentage of missing data
- Correlation with total CORE-OM score, expressed by Spearman's non-parametric ρ values

Items not fitting into the Rasch model were excluded one at a time followed by Rasch analysis on the remaining items and subsequent testing of Rasch statistics. Person-separation index was constantly checked to ensure that the model had good ability to discriminate amongst different respondent groups. This process was repeated until all remaining items fit into the Rasch model.

Step 3: Selecting items for the emotional component of CORE-6D

After misfitting items were discarded, a concise, unidimensionally-behaving scale was constructed from the remaining items, after testing different item combinations and applying the following criteria:

- Model statistics should demonstrate best possible fit of the measure into the Rasch model. Independent *t*-tests should ensure unidimensional behaviour of the final scale.
- One item per domain identified by factor analysis should ideally be included in the final instrument.
- Response levels should be the same for all items and reflect clinically meaningful situations.
- The locations of respective thresholds of all items (the points where the probabilities of adjacent levels of response are equally likely) should ideally increase with increasing 'difficulty' of the item, expressed by its location. This was checked by visual inspection of the item threshold map and ensured a 'smooth' transition of responses from milder to more severe health states.
- The final instrument should cover the whole range of symptom severity observed in the study population, i.e. items should cover different locations across the latent variable.

Step 4: Deriving health states from the emotional component of CORE-6D for utility measurement

The item threshold map was visually inspected after all the above criteria had been satisfied, to identify plausible health states. Subsequently, the new measure was validated on sample N400b.

Rasch analysis was performed on RUMM2020;[29] all other statistical analyses were undertaken using SPSS 11.5.[30]

RESULTS

Factor analysis

Factor analysis (principal component analysis) identified 7 components in CORE-OM: physical symptoms, risk-to-others, risk-to-self, social relationships, interpersonal relationships, depression and anxiety. Although these 7 domains are broadly similar to some of the conceptual domains and sub-domains of CORE-OM, there are a number of differences between them, as not all conceptual sub-domains are represented in factor analysis (for example items of 'general functioning' do not load on a separate factor); moreover, some domains contain a different number of items (for example, conceptual sub-domain 'close relationships' included items 1, 3, 19, and 26, but 'interpersonal relationships' included only items 3 and 19 in our factor analysis). Twenty of the 34 items loaded on the two factors capturing depression and anxiety, with some items loading on both. Results of factor analysis are presented in Table 2.

Rasch analysis and conventional psychometric tests for exclusion of items

Rasch analysis on N400a revealed that 26 out of 34 CORE-OM items had disordered thresholds. Threshold ordering was achieved by merging adjacent response levels following visual inspection of item category probability curves. After all thresholds were ordered, goodness of fit was assessed by examining overall model and individual item statistics. CORE-OM did not fit into the Rasch model, with 11 items showing misfit (either a fit residual > 2.5 or < -2.5 or a χ^2 probability significant at the 0.01 level). Moreover, 6 items demonstrated DIF. Results of Rasch analysis are shown in Table 3. Table 4 shows the results of conventional psychometric tests. Based on the results of Rasch analysis (primarily) and conventional psychometric tests, a number of items were consecutively excluded from further analysis. This process involved successive Rasch analyses and examination of model and item statistics after excluding one item at a time, until a good model fit was achieved.

At an early stage of this process, it was decided to exclude items 6 (I have been physically violent to others) and 22 (I have threatened or intimidated another person) that expressed 'risk-to-others' in both original sub-domain classification of CORE-OM and in factor analysis conducted for this study. These items were judged not to be relevant to a utility measure, as they expressed external behaviour and not people's perceptions on their HRQoL. Moreover, both items had very low correlation with the total CORE-OM score and demonstrated low responsiveness to treatment.

The next item to exclude was item 8 (I have been troubled by aches, pains, physical problems), which was the only item loading on the 'physical symptoms' domain in

our factor analysis. This item showed misfit in the Rasch model, demonstrated DIF, and had very low correlation to the total CORE-OM score. Obviously item 8 cannot conceptually form part of a unidimensionally-behaving scale measuring emotional status, the development of which was the aim of Rasch analysis; nevertheless, 'physical symptoms' was judged to constitute a major domain that should be captured by the final PBM; hence, it was decided to exclude item 8 at this stage, and combine it with the final product of Rasch analysis, thus creating a 2-dimensional measure tapping emotional and physical symptoms.

Items 23 (I have felt despairing or hopeless) and 27 (I have felt unhappy) showed significant misfit to the Rasch model with highly negative fit residuals, meaning that they did not add information on the respondents' level of symptom severity. According to clinical judgment these items expressed overall emotional status rather than certain aspects of it; consequently both were excluded from further analysis.

Items 3 (I have felt I have someone to turn to for support when needed) and 19 (I have felt warmth or affection for someone), loading on 'interpersonal relationships' in factor analysis, were characterised by strong misfit, low responsiveness and low correlation with total CORE-OM score. Item 19 had the highest percentage of missing data. Although it was attempted to retain one of them for inclusion in the final instrument, consecutive analyses demonstrated constant misfit so both items were eventually excluded. Therefore 'interpersonal relationships' were not represented in the final measure.

Items 14 (I have felt like crying) and 29 (I have been irritable when with other people) were excluded because they showed persistently significant DIF. Although DIF can be dealt with by splitting items so as to consider different patient subgroups, we deemed this process unsuitable and not relevant in our case of developing a PBM, since we aimed to derive a universal measure capturing the same aspects of HRQoL across all patient sub-populations.

Following the process of consecutive Rasch analyses and exclusion of misfitting items one at a time after considering also conventional psychometric tests, 8 more items were excluded from the instrument (items 5, 9, 18, 24, 28, 30, 31, and 34), until fit was achieved in the Rasch model. During the whole process we aimed to retain at least one item per domain identified in factor analysis, even if initially all items of a domain appeared to misfit, as this misfit could disappear at later stages, following exclusion of other items and "modification" of the overall model fit.

The 17 items of CORE-OM that fitted into the Rasch model and the respective Rasch statistics are presented in Table 5. The 17-item scale had a good fit (total $\chi 2$ probability 0.275) with an excellent ability to discriminate amongst different groups of respondents (person-separation index 0.898).

Selecting items for the emotional component of CORE-6D

The purpose of this stage of analysis was to further remove items so as to derive a concise measure that would be manageable in a valuation exercise.

Following exclusion of all items loading on 'risk-to-others', 'physical symptoms' and 'interpersonal relationships' domains, it was judged that the emotional component of the measure should ideally include one item from each of the remaining domains identified in factor analysis (that is, depression, anxiety, social relationships, risk-to-self) plus one positively worded item. Thus, different combinations of items that fit into the Rasch model were tested, in order to identify a final scale fulfilling this condition and the other set criteria described in step 3 of the methodology.

The result of these analyses was a measure consisting of 5 items (1, 15, 16, 21, 33), each with 3 levels of response ('not at all', 'only occasionally or sometimes', and 'often, most or all the time'). The person-separation index reached 0.659, which was deemed acceptable, considering that the ability of the scale to discriminate amongst different respondent groups needed to be traded off with its conciseness and convenience in using as a PBM. The scale demonstrated good model fit (χ^2 probability 0.69). All 5 items fit into the model, as shown by item fit statistics; no DIF was observed. Principal component analysis verified the local independence of items and individual *t*-tests confirmed the scale's unidimensional behaviour. The instrument was validated in N400b. The emotional component of CORE-6D is presented in Table 6. The respective item map confirms that the instrument is well targeted to the study population as it is able to capture the whole range of severity of mental symptoms, with no floor or ceiling effects and good spread of items across the full range of respondents' scores (Figure 1).

Deriving health states from the emotional component of CORE-6D for utility measurement

The derivation of states for valuation was based on the item threshold map of the emotional component of CORE-6D, provided in Figure 2. The map illustrates the most likely combinations of responses expected to be obtained by people with common mental health problems at various levels (locations) of symptom severity. Items have been listed from the easiest (item 1) to the most difficult one (item 16), as indicated by their average location. Shaded areas 0 (black), 1 (dark grey) and 2 (light grey) correspond to the 3 response levels, that is, 'not at all', 'only occasionally or sometimes', and 'often, most or all the time' respectively, with the exception of item 21, which is positively worded and therefore response levels are reversed. Threshold locations between response levels 0-1 and 1-2 increase (that is, they move to the right) with increasing difficulty of the item, thus ensuring a smooth transition of responses from milder to more severe symptoms. The item threshold map allows prediction of the most likely responses at various levels of symptom severity. For example, a person whose severity corresponds to location +1 on the logit scale is expected to most likely respond 22210 (to items 1, 15, 33, 21 and 16, respectively).

The combinations of responses depicted in the threshold map represent plausible health states in people with common mental health problems. As illustrated in Table 7, 11 distinct health states can be identified. These states covered 37% of complete responses in N400a. In contrast, the coverage of health states derived using an orthogonal block design on the full range of 3^5=243 potential health states of CORE-6D was only 7%. Moreover, some of the states generated using the latter approach were not credible, as, for example, they described a situation where a person 'never felt alone and isolated' and at the same time 'made plans to end their life often, most or all the time'.

DISCUSSION AND CONCLUSIONS

This paper proposes a methodology that uses mainly Rasch analysis to develop plausible health states from existing CSMs that are either unidimensional or characterised by high correlation between dimensions; in such cases, conventional approaches for generating states from health state classifications (e.g. orthogonal block designs) are not appropriate, as, by treating items as independent (uncorrelated) statements, they are likely to result in formation of implausible health states. In contrast, the proposed 'Rasch vignette approach' helps create credible health states comprising combinations of item responses observed in a real population. Indeed, the health states developed with this method represent not only plausible, but also the most likely combinations of responses over a continuum of symptom severity, thus allowing prediction of a person's severity of symptoms based on his/her responses and vice versa.

One limitation of this approach, similar to the clustering-based approach proposed by Sugar et al.,[17] is that the number of generated health states is limited and does not capture the whole range of plausible combinations of responses. In the case of the emotional component of CORE-6D, the Rasch vignette approach generated 11 health states, which, nevertheless, covered 37% of the study sample's complete responses; in contrast, use of an orthogonal block design, which assumes that items are independent statements, achieved a much lower coverage of 7%, and, more importantly, generated a number of implausible health states.

Despite generating a limited number of health states, application of our approach allows valuation of all potential health states described by CORE-6D: an advantage of Rasch analysis over the clustering-based approach is that it assigns all potential health states (i.e. all combinations of item responses including those not illustrated in item threshold maps) to different locations along the scale according to their level of severity. The relationship between the health states' location across the latent variable and the respective utility values obtained in a valuation exercise can be estimated and used to generate utility values for all patients completing CORE-OM. This solution has been explored, using regression techniques, in a subsequent application of this approach on the Flushing questionnaire, described in a companion paper.[31] The findings of this latter study show that it is possible to assign appropriate utility values to all potential health states of a measure based on their location along the latent variable as estimated by Rasch analysis.

The emotional component of CORE-6D comprises a unidimensionally-bahaving 5-item scale, able to capture the full range of severity of common mental symptoms. The proposed Rasch vignette approach has led to identification of 11 plausible health states observed in the study population. These states, combined with 3 response levels (same as for the 5 'emotional' items) of item 8 of the original CORE-OM (I have been troubled by aches, pains, or physical problems), produce a 2-dimensional set of 11 x 3 = 33 health states that can be used to value the overall emotional and physical HRQoL in people with common mental health problems. The next step of this study, currently under way, is to undertake a valuation survey in a representative sample of the UK population, in order to attach appropriate utility values to all health states of CORE-6D and thus convert it into a preference-based

index. This new condition-specific PBM will be appropriate to use in the area of mental health, where the use of generic PBMs such as EQ-5D has been shown to be problematic.[27,32,33] Since this measure will have been derived from CORE-OM, an instrument routinely used for outcome monitoring in people with common mental health problems in the UK, it is expected that this study will enable wider assessment of healthcare interventions for the management of common mental health problems in the form of cost-utility analysis.

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Table 1. The dimensional structure of the CORE-OM

Dimension	Item N°	Item
Subjective Well Being	4	I have felt ok about myself
	14	I have felt like crying
	17	I have felt overwhelmed by my problems
	31	I have felt optimistic about my future
Symptoms - anxiety	2	I have felt tense, anxious or nervous
	11	Tension/anxiety have prevented me doing important things
	15	I have felt panic or terror
	20	My problems have been impossible to put to one side
Symptoms - depression	5	I have felt totally lacking in energy and enthusiasm
	23	I have felt despairing or hopeless
	27	I have felt unhappy
	30	I have thought I am to blame for my problems & difficulties
Symptoms – physical	8	I have been troubled by aches, pains, physical problems
	18	I have had difficulty of getting to sleep or staying asleep
Symptoms - trauma	13	I have been disturbed by unwanted thoughts and feelings
	28	Unwanted images or memories have been distressing me
Functioning - general	7	I have felt able to cope when things go wrong
	12	I have been happy with the things I've done
	21	I have been able to do most things I needed to
	32	I have achieved the things I wanted to
Functioning – close relationships	1	I have felt terribly alone and isolated
	3	I have felt I have sb to turn to for support when needed
	19	I have felt warmth or affection for someone
	26	I have thought I have no friends
Functioning – social relationships	10	Talking to people has felt too much for me
	25	I have felt criticised by other people
	29	I have been irritable when with other people
	33	I have felt humiliated or shamed by other people
Risk/harm to self	9	I have thought of hurting myself
	16	I made plans to end my life
	24	I have thought it would be better if I were dead
	34	I have hurt myself physically or taken risks with my health
Risk/harm to others	6	I have been physically violent to others
	22	I have threatened or intimidated another person

Table 2. Results of Factor Analysis – Rotated component matrix. The 7 factors from the left to the right correspond to the domains of 'depression', 'anxiety', 'social relationships', 'risk-to-self', 'interpersonal relationships', 'risk-to-others' and 'pain/physical symptoms', respectively.

CORE-OM Item	Component						
	1	2	3	4	5	6	7
1. I have felt terribly alone and isolated	.503						
2. I have felt tense, anxious or nervous	.422	.455					
3. I have felt I have someone to turn to for support when needed					.713		
4. I have felt ok about myself		.559					
5. I have felt totally lacking in energy and enthusiasm		.455					
6. I have been physically violent to others						.802	
7. I have felt able to cope when things go wrong		.646					
8. I have been troubled by aches, pains, physical problems							.806
9. I have thought of hurting myself				.830			
10. Talking to people has felt too much for me							
11. Tension/anxiety have prevented me doing important things		.600					
12. I have been happy with the things I've done		.647					
13. I have been disturbed by unwanted thoughts and feelings	.613						
14. I have felt like crying	.699						
15. I have felt panic or terror		.403					
16. I made plans to end my life				.818			
17. I have felt overwhelmed by my problems	.583	.478					
18. I have had difficulty of getting to sleep or staying asleep	.602						
19. I have felt warmth or affection for someone					.635		
20. My problems have been impossible to put to one side	.610						
21. I have been able to do most things I needed to		.715					
22. I have threatened or intimidated another person						.753	
23. I have felt despairing or hopeless	.479	.478					
24. I have thought it would be better if I were dead				.688			
25. I have felt criticised by other people			.758				
26. I have thought I have no friends			.612				
27. I have felt unhappy	.637	.421					
28. Unwanted images or memories have been distressing me	.679						
29. I have been irritable when with other people	.460						
30. I have thought I am to blame for my problems & difficulties			.467				
31. I have felt optimistic about my future		.504					
32. I have achieved the things I wanted to		.612					
33. I have felt humiliated or shamed by other people			.736				
34. I have hurt myself physically or taken risks with my health				.585			

Note: only correlation coefficients with values above 0.400 are provided.

Table 3. Results of initial Rasch analysis of CORE-OM (all items included)

Item	Threshold	Statistics after threshold re-ordering			
		Residual	χ^2	P-value	DIF
1. I have felt terribly alone and isolated	**Disordered**	**2.897**	14.844	0.011	No
2. I have felt tense, anxious or nervous	Ordered	0.634	4.162	0.526	No
3. I have felt I have someone to turn to for support when needed	**Disordered**	**3.273**	26.580	**0.000**	No
4. I have felt ok about myself	**Disordered**	-1.339	8.616	0.125	No
5. I have felt totally lacking in energy and enthusiasm	Ordered	1.901	8.214	0.145	No
6. I have been physically violent to others	**Disordered**	-0.383	10.760	0.056	**Yes**
7. I have felt able to cope when things go wrong	**Disordered**	0.477	6.074	0.299	No
8. I have been troubled by aches, pains, physical problems	**Disordered**	**3.614**	23.730	**0.000**	**Yes**
9. I have thought of hurting myself	**Disordered**	-1.470	22.802	**0.000**	No
10. Talking to people has felt too much for me	**Disordered**	-0.138	1.198	0.945	No
11. Tension/anxiety have prevented me doing important things	**Disordered**	0.081	1.095	0.955	No
12. I have been happy with the things I've done	**Disordered**	0.306	0.319	0.997	No
13. I have been disturbed by unwanted thoughts and feelings	**Disordered**	1.607	16.574	0.005	No
14. I have felt like crying	Ordered	-0.876	2.116	0.833	**Yes**
15. I have felt panic or terror	**Disordered**	-0.121	3.630	0.604	No
16. I made plans to end my life	**Disordered**	-1.141	13.536	0.019	No
17. I have felt overwhelmed by my problems	Ordered	**-2.645**	13.646	0.018	No
18. I have had difficulty of getting to sleep or staying asleep	**Disordered**	0.688	8.987	0.110	No
19. I have felt warmth or affection for someone	**Disordered**	**4.806**	54.235	**0.000**	No
20. My problems have been impossible to put to one side	Ordered	0.299	0.473	0.993	No
21. I have been able to do most things I needed to	**Disordered**	0.904	10.794	0.056	No
22. I have threatened or intimidated another person	**Disordered**	1.357	9.625	0.087	No
23. I have felt despairing or hopeless	**Disordered**	**-4.333**	37.877	**0.000**	No
24. I have thought it would be better if I were dead	**Disordered**	-1.867	20.908	**0.001**	**Yes**
25. I have felt criticised by other people	**Disordered**	-0.262	3.023	0.696	No
26. I have thought I have no friends	**Disordered**	-0.073	5.034	0.412	No
27. I have felt unhappy	Ordered	**-4.101**	25.772	**0.000**	No
28. Unwanted images or memories have been distressing me	**Disordered**	-0.440	12.087	0.034	No
29. I have been irritable when with other people	Ordered	**2.706**	13.455	0.019	**Yes**
30. I have thought I am to blame for my problems & difficulties	Ordered	0.847	3.083	0.687	No
31. I have felt optimistic about my future	**Disordered**	**3.779**	20.251	**0.001**	No
32. I have achieved the things I wanted to	**Disordered**	0.025	6.792	0.237	No
33. I have felt humiliated or shamed by other people	**Disordered**	1.504	11.613	0.040	No
34. I have hurt myself physically or taken risks with my health	**Disordered**	0.675	3.719	0.591	No
Overall model statistics after threshold re-ordering		**Total item χ^2 = 425.624; p = 0.000** Person-separation index: 0.93			

Note: Residuals > 2.5 or < -2.5 are considered high; p < 0.01 indicates items that do not meet Rasch item fit criteria. All statistics showing item misfit into the Rasch model are illustrated in bold.

Table 4. Results of conventional psychometric tests of CORE-OM

Item	SRM	Missing data	Spearman's ρ value
1. I have felt terribly alone and isolated	0.99	0.4%	0.714
2. I have felt tense, anxious or nervous	1.18	0.3%	0.603
3. I have felt I have someone to turn to for support when needed	0.65	0.7%	**0.419**
4. I have felt ok about myself	1.00	0.6%	0.646
5. I have felt totally lacking in energy and enthusiasm	0.96	0.4%	0.587
6. I have been physically violent to others	**0.24**	0.5%	**0.282**
7. I have felt able to cope when things go wrong	0.78	0.6%	0.594
8. I have been troubled by aches, pains, physical problems	0.61	0.7%	**0.276**
9. I have thought of hurting myself	**0.46**	0.4%	0.531
10. Talking to people has felt too much for me	0.81	0.7%	0.548
11. Tension/anxiety have prevented me doing important things	0.89	0.8%	0.642
12. I have been happy with the things I've done	0.85	0.8%	0.624
13. I have been disturbed by unwanted thoughts and feelings	0.95	0.5%	0.564
14. I have felt like crying	1.19	0.3%	0.630
15. I have felt panic or terror	0.84	0.4%	0.576
16. I made plans to end my life	**0.29**	**1.0%**	0.436
17. I have felt overwhelmed by my problems	1.09	**1.0%**	0.744
18. I have had difficulty of getting to sleep or staying asleep	0.93	0.6%	0.521
19. I have felt warmth or affection for someone	**0.33**	**2.4%**	**0.299**
20. My problems have been impossible to put to one side	1.04	0.9%	0.629
21. I have been able to do most things I needed to	0.69	0.8%	0.568
22. I have threatened or intimidated another person	**0.32**	**1.0%**	**0.272**
23. I have felt despairing or hopeless	1.09	0.8%	0.785
24. I have thought it would be better if I were dead	0.58	0.7%	0.647
25. I have felt criticised by other people	0.70	0.8%	0.558
26. I have thought I have no friends	0.65	0.9%	0.595
27. I have felt unhappy	1.26	0.5%	0.731
28. Unwanted images or memories have been distressing me	0.89	0.6%	0.576
29. I have been irritable when with other people	0.86	0.9%	0.554
30. I have thought I am to blame for my problems & difficulties	0.80	0.5%	0.533
31. I have felt optimistic about my future	0.81	**1.0%**	**0.465**
32. I have achieved the things I wanted to	0.86	**1.5%**	0.590
33. I have felt humiliated or shamed by other people	0.61	**1.1%**	0.557
34. I have hurt myself physically or taken risks with my health	**0.27**	0.9%	**0.348**

SRM = standardised response mean; Spearman's ρ value expresses correlation with total CORE-OM score. In bold: SRM values <0.50; % of missing data ≥ 1.0%; and Spearman's ρ values < 0.500

Table 5. Results of Rasch analysis with the 17 items of CORE-OM fitting into the Rasch model

Item	Threshold	Item statistics			
		Residual	χ^2	P-value	DIF
1. I have felt terribly alone and isolated	Ordered	1.415	10.118	0.072	No
2. I have felt tense, anxious or nervous	Ordered	-0.373	2.658	0.752	No
4. I have felt ok about myself	Ordered	-0.107	2.326	0.802	No
7. I have felt able to cope when things go wrong	Ordered	0.371	5.829	0.323	No
10. Talking to people has felt too much for me	Ordered	0.546	4.614	0.465	No
11. Tension/anxiety have prevented me doing important things	Ordered	-0.191	6.021	0.304	No
12. I have been happy with the things I've done	Ordered	0.708	1.848	0.870	No
13. I have been disturbed by unwanted thoughts and feelings	Ordered	2.376	10.195	0.070	No
15. I have felt panic or terror	Ordered	0.133	5.590	0.348	No
16. I made plans to end my life	Ordered	-0.485	4.897	0.428	No
17. I have felt overwhelmed by my problems	Ordered	-2.084	11.369	0.045	No
20. My problems have been impossible to put to one side	Ordered	0.254	1.877	0.866	No
21. I have been able to do most things I needed to	Ordered	1.424	3.410	0.637	No
25. I have felt criticised by other people	Ordered	0.918	3.362	0.644	No
26. I have thought I have no friends	Ordered	0.742	8.993	0.110	No
32. I have achieved the things I wanted to	Ordered	0.799	1.426	0.921	No
33. I have felt humiliated or shamed by other people	Ordered	-0.899	7.809	0.167	No
Overall model statistics		**Total item χ^2 = 92.342; p = 0.275** Person-separation index: 0.898			

Note: Residuals > 2.5 or < -2.5 are considered high; p < 0.01 indicates items that do not meet Rasch item fit criteria. All items were shown to fit into the Rasch model.

Table 6. Emotional component of CORE-6D: sub-domains and Rasch statistics

Item	Sub-domain	Rasch analysis statistics		
		Residual	χ^2	P-value
1. I have felt terribly alone and isolated	Depression	-0.099	2.044	0.843
15. I have felt panic or terror	Anxiety	-0.058	3.403	0.638
16. I made plans to end my life	Risk to self	-0.358	5.812	0.325
21. I have been able to do most things I needed to	Positive	0.717	6.520	0.259
33. I have felt humiliated or shamed by other people	Social relationships	0.156	3.191	0.671
Overall model statistics		Total item χ^2 = 20.970; p = 0.694 Person-separation index: 0.659		

Table 7. Health states of the emotional component of CORE-6D as identified by the item threshold map

Item	Health states										
	1	2	3	4	5	6	7	8	9	10	11
1. I have felt terribly alone and isolated	N	S	S	S	S	O	O	O	O	O	O
15. I have felt panic or terror	N	N	S	S	S	S	O	O	O	O	O
33. I have felt humiliated or shamed by other people	N	N	N	S	S	S	S	O	O	O	O
21. I have been able to do most things I needed to	O	O	O	O	S	S	S	S	S	N	N
16. I made plans to end my life	N	N	N	N	N	N	N	N	S	S	O

N = not at all; S = only occasionally or sometimes; O = often, most or all the time; note that item 21 is positively worded and therefore response levels are reversed

Figure 1. Item map of the emotional component of the CORE-6D

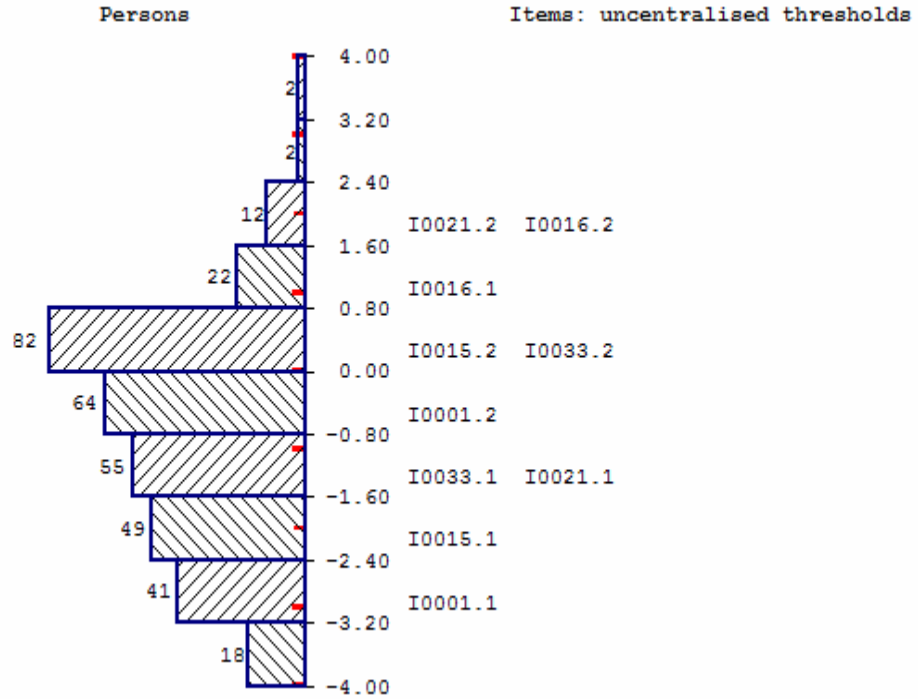
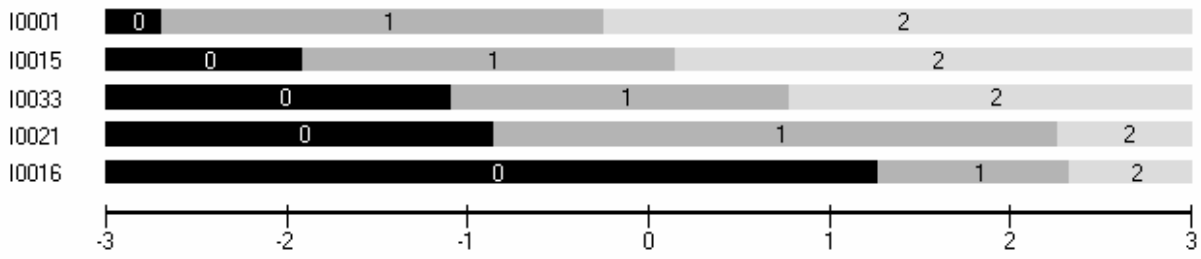
Figure 2. Item threshold map of the emotional component of the CORE-6D illustrating the plausible health states obtained by Rasch analysis