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1 **Savannas of Asia: evidence for antiquity, biogeography, and an uncertain future**

2

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14

15 **Abstract**

16 The savannas of Asia remain locally unrecognized as distinctive ecosystems, and  
17 continue to be viewed as degraded forests or seasonally dry tropical forests. These  
18 colonial-era legacies are problematic because they fail to recognize the unique  
19 diversity of Asian savannas and the critical roles of fire and herbivory in maintaining  
20 ecosystem health and diversity. In this review we show that: the paleo-historical  
21 evidence suggests that the savannas of Asia have existed for at least 1 million years,  
22 long before widespread landscape modification by humans; savanna regions across  
23 Asia have levels of C<sub>4</sub> grass endemism and diversity that are consistent with area-  
24 based expectations for non-Asian savannas; there are at least three distinct Asian  
25 savanna communities, namely deciduous broadleaf savannas, deciduous fine-leaved  
26 and spiny savannas and evergreen pine savannas, with distinct functional ecologies  
27 consistent with fire- and herbivory-driven community assembly. Via an analysis of  
28 savanna climate domains on other continents we map the potential extant of savannas  
29 across Asia. We find that the climates of African savannas provide the closest  
30 analogues for those of Asian deciduous savannas, but that Asian pine savannas occur  
31 in climates different to any of the savannas in the southern hemisphere. Finally, we  
32 review major threats to the persistence of savannas in Asia, including the  
33 mismanagement of fire and herbivory, alien woody encroachment, afforestation  
34 policies, and future climate uncertainty associated with the changing Asian monsoon.  
35 Research agendas that target these issues are urgently needed to manage and conserve  
36 these ecosystems.

37

38 **Key words:** Asian savannas, diversity, endemism, fire, functional traits, herbivory,  
39 tropical dry forest, paleo-history

40

41 **1. Savannas in Asia: original or man-made?**

42 There is a long-running debate about whether Asia has natural savannas or whether  
43 Asia's savannas are derived from forests as the result of long-term management by  
44 humans [1–3]. Both biogeography and history have contributed to widespread  
45 misperceptions about Asian savannas. Most people visualise savannas as vast open  
46 grassy landscapes with sparsely scattered trees. This iconic vegetation physiognomy  
47 is common in Africa where most savannas occur in areas that receive less than 700  
48 mm of rainfall per year [4]. In reality, earth's savannas range from grasslands with  
49 scattered trees to densely tree-covered woodlands, typically along a gradient of  
50 increasing rainfall, with the defining characteristic being that the tree canopy is not  
51 closed and the understorey is grassy [4–7]. Tropical Asia is dominated by monsoonal  
52 climates with mean annual rainfall > 700 mm. Consequently, Asian savannas support  
53 dense and tall woodlands, the physiognomies of which superficially resemble forests  
54 rather than the open grassy landscapes that occur widely across Africa.

55 The history of vegetation classification in the Asian tropics, which is closely  
56 tied to its socio-political history, has contributed to a forest-centric legacy in the  
57 nomenclature and understanding of Asian vegetation. Formal classifications of the  
58 vegetation of South and Southeast Asia originated in the colonial era [8,9], when  
59 colonial foresters trained in European forestry traditions were tasked with describing  
60 vegetation, generally from the perspective of timber and other extractive uses [2]. As  
61 a consequence, most vegetation types with some degree of tree cover in them were  
62 described as forests [10,11]. Since then, savannas with their open tree canopies have  
63 been viewed as degraded forests resulting from human use and management [10,12].  
64 This forest-centric perception was further reinforced by the savannas of Asia

65 occurring in lowland areas where savannas have been used and managed by humans  
66 for thousands of years [13,14]. Finally, in many of the regions where Asia's savannas  
67 occur, forests and savannas occur as a mosaic of alternate states within a landscape  
68 [5,6,15]. Together, the above factors have culminated in an entrenched ideology of  
69 Asian savannas as "degraded forests" [10–12,16–18].

70 Tropical savannas can be defined as mixed tree-grass systems where the  
71 herbaceous layer is usually dominated by C<sub>4</sub> grasses that utilize the C<sub>4</sub> photosynthetic  
72 pathway to fix carbon, while trees utilize the ancestral C<sub>3</sub> pathway, although there are  
73 exceptions such as parts of the South American cerrado where the herbaceous layer is  
74 dominated by C<sub>3</sub> grasses [1,2,4,7]. Several lines of evidence suggest that savannas  
75 were present in Asia before human arrival and were likely more extensive under past  
76 climates. These include fossil evidence for C<sub>4</sub> grasses and mammalian herbivores,  
77 climates similar to that of other savannas, functional diversity that reflects selection  
78 under fire or mammalian herbivory, high diversity of C<sub>4</sub> grasses and the presence of  
79 endemic species with life histories adapted to savanna environments. We review  
80 evidence for each of these and describe the major savanna formations of tropical and  
81 sub-tropical Asia. Subsequently, we explore the potential climate domain and  
82 geographic extent of savannas in Asia by using the climate domains of savannas in  
83 Africa, Australia and South America to predict their distribution. Finally, we provide  
84 an overview of the threats facing savannas in Asia.

85

## 86 **2. The antiquity of savannas in Asia**

87 Fossil and molecular evidence presented below suggest that savannas across Asia, and  
88 the savanna-adapted species associated with them, mostly existed before 1 million  
89 years ago (hereafter Ma), placing their existence prior to human modifications of the

90 landscape, which began with the widespread use of fire by modern humans (*Homo*  
91 *sapiens*) at about 0.12 Ma [19], and before *Homo erectus* is thought to have used fire  
92 for cooking at 0.77 Ma [20,21].

93  $C_4$  grassy vegetation became established in different parts of Asia at quite  
94 different times [22–24]. The history of Asian savannas is therefore best understood by  
95 considering different regions separately. The three regions we consider here are (Fig.  
96 S1, Supplementary Materials): South Asia, equivalent to the Indian Subcontinent;  
97 East Asia, composed of Southeast and South-central China and Hainan Island; and  
98 Southeast Asia, composed of the continental region of Indochina and oceanic region  
99 of Malesia. These regions are separated by the Tibetan plateau, the Andaman Sea, the  
100 forest belt along the border between India and Myanmar, and montane forests along  
101 the southern border of China.

102 In South Asia, evidence from Himalayan Siwalik sediments suggests that  $C_4$   
103 vegetation and  $C_4$  diets were established by about 16–14 Ma [22], but  $C_4$  grasses  
104 became the dominant vegetation type in the late Miocene (9.3 – 6.5 Ma) as indicated  
105 by evidence from palynofloras [25], and from  $\delta^{13}C$  values in tooth enamel and fossil  
106 soils [26–28]. Values of  $\delta^{13}C$  in carbonate rocks from Kudankulum, south India,  
107 suggest that  $C_4$  vegetation had extended across all South Asia by the late Miocene  
108 [29]. Late Pleistocene values of  $\delta^{13}C$  in soil organic matter from the Gangetic plain  
109 suggest that the ratio of  $C_3$  to  $C_4$  plants has increased and decreased in response to  
110 glacial cycles, but has remained predominantly  $C_4$  throughout that period [30].

111 Within East Asia, savannas are presently only recognised in the deep dry valleys  
112 of Yunnan and Sichuan provinces in southwest China, but  $C_4$  grassy vegetation also  
113 occurs in Northern China [Chinese Academy of Sciences, 31]. Historically, savannas  
114 may have been more widely distributed across East Asia. Fossil evidence suggests

115 that C<sub>4</sub> diets first appear in China about 18 Ma, although extensive C<sub>4</sub> vegetation,  
116 based on paleosol carbonate  $\delta^{13}\text{C}$  is only recorded from 9-7 Ma [22]. The age of  
117 savannas appears to be related both to the global aridification beginning in the  
118 Eocene, which created drier environments in northeast China, and the uplift of the  
119 Tibetan plateau, which either created or intensified the monsoonal climate in  
120 southwestern China at a later date. In northern and eastern China, the switch to C<sub>4</sub>  
121 diets occurred in the mid to late Miocene [22], when gazelles also appeared in the  
122 fossil record [32] and herbaceous pollen was widely distributed across northern and  
123 eastern China [33]. By contrast, evidence collected on  $\delta^{13}\text{C}$  in fossil teeth and soil  
124 carbonate nodules [34], leaf and pollen records from dry valleys in Yunnan [35], and  
125 molecular data of an endemic savanna tree species [36], all suggest that the transition  
126 to savanna in southwest China took place in the late Pliocene – early Pleistocene (4.2-  
127 1.2 Ma).

128         The present extent of savanna in Southeast Asia is probably far smaller than  
129 that during past glacial maxima [23,37]. Today savanna physiognomies in Southeast  
130 Asia are found in three main areas: Indochina; east Java and the Lesser Sunda Islands  
131 north-east of Australia; and the northwest Philippines (Luzon and Mindoro) and  
132 scattered parts of Wallacea [38]. These areas are all separated by forests and sea, and  
133 today grassland-dependent ruminant species, including bovids (*Bos javanicus*,  
134 *Bubalus mindorensis*) and several cervids (species in the genera *Panolia*, *Rusa*,  
135 *Hylaphus*) are restricted to these isolated savanna patches and islands across Malesia  
136 [39]. These modern disjunct distributions suggest that extant savanna communities  
137 were connected during past glaciations when sea levels were low and most areas of  
138 Sundaland and parts of Wallacea were connected by land or separated by narrow  
139 water straights [23,24,37]. Pollen and megafauna fossil records suggest that Southeast

140 Asia was humid throughout the Miocene and drying began at the end of the Pliocene  
141 [23,24,40,41]. Extant savanna fauna and flora likely developed from the late Pliocene  
142 to the present as Sundaland was connected for multiple extended periods during the  
143 Pliocene and Pleistocene. Fossil and pollen evidence suggest that by the Middle  
144 Pleistocene (< 0.78 Ma) savannas may have existed from Myanmar through to Java  
145 [23,41]. Recent molecular phylogenies estimate that grass-dependent cervids and  
146 bovines diversified in Asia after the start of the Pliocene, and that the species which  
147 are today isolated on islands across Southeast Asia diverged during the Pleistocene  
148 [42,43], possibly more than 1 Ma.

149

### 150 **3. Asian savannas: formations and environmental drivers**

151 Worldwide understanding of savannas suggests that they may be driven by water  
152 limitation or disturbances such as fire or herbivory, leading to distinctive savanna  
153 communities that each contain unique species and functional diversity [44–49]. Based  
154 on descriptions of extant vegetation across Asia, we have identified three major  
155 savanna types, where grasses form the dominant component of the herbaceous layer  
156 under open tree canopies, and which are associated with different tree lineages (Table  
157 1, Fig. S1). Below we briefly describe each savanna type and review data on plant  
158 functional traits that suggest whether they are mediated by drought, fire or herbivory.

159

#### 160 3.1. Deciduous broadleaf savannas

161 Tall tropical deciduous broadleaf savannas range from tall deciduous dipterocarp  
162 savannas (dominated by 6 *Shorea* and *Dipterocarpus* species [50]), to teak savannas  
163 (dominated by *Tectona* species), and mixed deciduous savannas (numerous genera  
164 including *Anogeissus*, *Lannea*, *Hardwickia*, *Lagerstroemia*, *Pterocarpus*, *Tectona*,  
165 *Terminalia*, *Vitex*). These occur across all three regions (East, Southeast and South

166 Asia) [3,50–52] where  $700 \text{ mm} < \text{MAP} < 2100 \text{ mm}$  MAP [52–55]. A fourth  
167 formation dominated by *Eucalyptus alba* is restricted to the Lesser Sunda Island  
168 group to the north-east of Australia [56].

169         Several lines of evidence suggest that the deciduous broadleaf savannas are  
170 maintained by fire. In these communities composition is mostly dominated by the  
171 fire-adapted C<sub>4</sub> lineage Andropogoneae (*Heteropogon*, *Themeda*, *Cymbopogon*,  
172 *Dichanthium*, *Bothriochloa*) [50,52,57–59]. In eastern Indochina, the grass layer of  
173 these savannas are dominated by the herbaceous bamboo genus *Vietnamosasa* [60], a  
174 C<sub>3</sub> grass [61], which resprouts and flowers following fire. Deciduous broadleaf  
175 savannas can support frequent fire, although annual fire is probably only due to  
176 human management of these landscapes [62]. Ground fires are most common [60,62]  
177 although crown fires may occur where taller, upright bamboos can carry fire up to the  
178 canopy [60]. The characteristic tree species show functional traits typical of  
179 adaptation to fire including thick bark [60], sub-cutaneous meristems, and tuberous  
180 roots [63]. Woody species rapidly resprout from subterranean stems following fire  
181 (personal observation). Saplings are able to tolerate annual low intensity fires but they  
182 may be destroyed by high intensity fires [60], and it has been suggested that  
183 deciduous dipterocarp savannas convert to semi-evergreen forests where fire has been  
184 suppressed (Ashton [3] citing Sarayudh Bunyavejchewin). However we found no  
185 evidence that this has been tested experimentally.

186

### 187 3.2. Fine-leafed and spiny savannas

188 In western and central South Asia, and much smaller areas of Southeast Asia (Central  
189 valley of Myanmar, east Java and the Lesser Sunda Islands) and in the dry interior  
190 valleys of China, fine-leafed and spiny savannas occur in areas that have rainfall 400

191 mm < MAP < 1000 mm [54]. These communities are usually dominated by deciduous  
192 tree species of lower stature and smaller leaf size than found in the deciduous  
193 broadleaf savannas, and numerous species have spines (locally dominant genera  
194 include *Boswellia*, *Capparis*, *Acacia*, *Dichrostachys*, *Ziziphus* and *Catunaregum*)  
195 [52,55,56,64,65]. Two environmental drivers seem most important for the structure of  
196 fine-leaved and spiny savannas. Firstly, growing-season water stress and aridity select  
197 for smaller leaves and lower-statured plants, consistent with observations from other  
198 low-rainfall savannas [47]. In addition, the herbaceous community shows increased  
199 representation of grasses from the lineage Chloridoideae, but these rarely become  
200 dominant [66,64] as they do in arid parts of Africa, Australia and America [67,68].  
201 For the most part, dominant genera are still from the lineage Andropogoneae. Possibly  
202 this is because MAP rarely falls lower than 500 mm in Asia (small areas in South  
203 Asia), whereas large areas of African and Australian savannas are found where MAP  
204 < 500 mm [54]. Secondly, high soil fertility in these areas [69] increases the quality  
205 and palatability of plants for mammalian herbivores, such that herbivores may be the  
206 major disturbance agents in these savannas rather than fire. Numerous species in these  
207 savannas possess spines or have bushy forms known to reduce the rates of herbivory  
208 by large mammalian herbivores [70]. It is possible that these areas possess the greatest  
209 diversity of large mammals in Asia in much the same way as has been observed in  
210 Africa [71,72].

211

### 212 3.3. Pine savannas

213 Pine savannas have a patchy distribution across the three regions in Asia, but *Pinus*  
214 species (*P. kesiya*, *P. merkusii*, *P. roxburghii*, *P. yunannensis*) are shared across these  
215 patches [3,50,55], which suggests that they were once more widely spread and

216 connected [3,24]. They are usually found at higher altitude than Broadleaf deciduous  
217 savannas [55,73], reflecting a tolerance for cooler conditions. Asian pine savannas  
218 appear to be maintained by fire [74]. Where fire is rare or suppressed, pine savannas  
219 are often invaded by forest species (notably *Quercus*) [75] and convert to closed  
220 canopy forest over time. The pine species are found over a huge rainfall range of 900  
221 mm < MAP < 3200 mm [54; [www.gbif.org](http://www.gbif.org), accessed 27 March 2016], and are often  
222 associated with low nutrient soils, similar to pine savannas in North America [76,77].  
223 This physiognomic similarity may indicate that Asian pine savannas persist where  
224 growth rates are slow due to soil nutrient limitation and fire occurs regularly [77]. The  
225 herbaceous layer is dominated by fire-adapted Andropogoneae grasses (*Cymbopogon*,  
226 *Eulalia*, *Imperata*, *Themeda*) [74]. Juveniles of some *Pinus* species develop a grassy  
227 stage, where they produce multiple lateral meristems with long photosynthetic needles  
228 and keep their apical buds close to the ground. Lateral meristems are embedded in the  
229 stem cortex, allowing them to survive fires and increase photosynthetic surface [74].  
230 In this way juveniles store carbohydrate reserves in their roots that can support  
231 subsequent stem elevation above fire heights [46,78]. Adult pine trees have thick bark  
232 that protect them from low intensity fires, which increases in thickness as a response  
233 to increased fire frequency [74]. Asian savanna pine species can survive high intensity  
234 fires, sloughing bark where it has been badly damaged by fire and reconnecting  
235 cambium to heal the exposed scar [74]. Nevertheless at high fire frequencies, adult  
236 pine trees become sparse [73].

237         The full extent of pine savannas in Asia may be dramatically under-described  
238 in East Asia: the hills of southwest China are draped in *Pinus yunnanensis* and *P.*  
239 *kesiya* ‘forest’, where fire has been suppressed as a result of government policy  
240 [79,80]. The species are closely related [81] and recent evidence suggests that fire

241 may have been an intricate part of these systems: *P. yunnanensis* in Yunnan develops  
242 grassy stage juveniles where it has been burnt, climate models predict that the region  
243 should be fire-prone [79], and a study on regeneration in *P. yunnanensis* savannas  
244 subjected to wildfire indicate that >90% of native trees and shrubs recovered after  
245 fires, many resprouting from underground bud banks, and that *P. yunnanensis* is itself  
246 serotinous [82].

247

#### 248 **4. Endemism in Asian savannas**

249 Endemic plants and animals with life-histories characteristic of savannas are found  
250 across the three savanna regions of Asia. Here we provide some examples for  
251 different life forms as available from the literature. Where possible we link these to  
252 the three savanna types identified above.

253         Endemism of  $C_4$  grasses in the Asian regions (Fig. S2, Supplementary  
254 Materials), as estimated using the GrassBase database [83] combined with a database  
255 of  $C_3/C_4$  pathways for grass taxa [61], indicates substantial diversity of endemic  $C_4$   
256 taxa (Fig. S2; details in Table S1 in Supplementary Materials). Total  $C_4$  endemism for  
257 each region as a function of total grass diversity in each region is similar to estimates  
258 for other regions with large savannas around the world (Fig. S2a, Table S1), except  
259 for East Asia, where the level of  $C_4$  endemism is much lower. In all regions endemic  
260 diversity of Andropogoneae far exceeds Chloridoideae (Table S1), possibly reflecting  
261 the far greater extent of humid than semi-arid savannas in Asia. Presently we are not  
262 able to link these data to the three identified savanna types.

263         A recent analysis of the floristics of dry tropical regions across the world [84]  
264 suggests that some of the woody floras of savannas in Asia have assembled by local  
265 evolution of lineages from other biomes within Asia. These include the six fire-

266 adapted deciduous dipterocarp species that characterize dry Dipterocarp savannas  
267 [50,85], fire-adapted pine species that distinguish Asian Pine savannas [74,75] and  
268 *Tectona* [86]. There are likely substantially more species in the deciduous broadleaf  
269 savannas [e.g. 64] which possess adaptations to fire. However, presently there is  
270 insufficient data to confirm that these species disappear under closed forest  
271 conditions, as there are no long-term fire-exclusion experiments in Asia. Some  
272 species in the fine-leafed and spiny savannas may be restricted to Asia (e.g. *Acacia*  
273 *leucophloea*) but many characteristic species extend beyond Asia (e.g. *Dichrostachys*  
274 *cinerea*, *Ziziphus mauritiana*, *Acacia nilotica*).

275         Several ruminant mammals are endemic to savannas in Asia (distribution  
276 maps from IUCN red list of threatened species <http://maps.iucnredlist.org>). Several  
277 Antilopinae (*Antilope cervicapra*, *Gazella bennettii*), Bovinae (*Boselaphus*  
278 *tragocamelus*) and Cervidae (*Rusa timorensis*) are associated with fine-leafed and  
279 spiny savannas in South and Southeast Asia. Several Bovini (*Bos javanica*,  
280 *Tetracerus quadricornus*) and cervidae (*Panolia eldii*) in South and Southeast Asia  
281 are associated with deciduous broadleaf savannas. Additionally at least one species  
282 utilises both fine-leafed and spiny savannas and deciduous broadleaf savannas (*Axis*  
283 *axis*). In the Philippines (Southeast Asia) Cervidae (*Rusa alfredi*) and Bovinae  
284 (*Bubalis mindorensis*) are grazers [87] that can be found in C<sub>4</sub> Imperata and Sorghum  
285 grasslands.

286         Several bird species that are typically grassland-associated are also endemic  
287 across Asia. These include buttonquails (*Turnix* spp.) with endemics in the  
288 Philippines, associated with pine savannas (*T. worcesteri*, *T. ocellatus*), and one  
289 species in the Lesser Sunda Islands, associated with lowland fine-leafed and spiny  
290 savannas (*T. everetti*); two bustard species associated with open savannas with

291 Panicoid grasses in South and Southeast Asia (*Houbaropsis bengalensis*; *Sypheotides*  
292 *indicus*); three species of francolins (*Francolinus francolins*, *F. pictus* and *F.*  
293 *pondicerianus*) and two courser species (*Cursorius coromandelius*, *Rhinoptilus*  
294 *bitorquatus*) associated with fine-leafed and spiny savannas in South Asia [88]. Two  
295 iconic species of South and Southeast Asia, the Indian and Green peafowls (*Pavo spp*)  
296 prefer deciduous broadleaf savannas to forests [89].

297

## 298 **5. Herb species richness in Asian savannas**

299 Herb diversity is poorly documented throughout Asian savannas and is in need of  
300 systematic research. Nevertheless evidence at multiple scales suggests that Asian  
301 savannas house significant herbaceous diversity. In a deciduous broadleaf savanna of  
302 South Asia, Sankaran [90] recorded 278 species within grassy communities across a  
303 1000 km<sup>2</sup> nature reserve. In a fine-leafed and spiny savanna in South Asia, Singh et  
304 al. [91] report that Poaceae was the most diverse group in the understorey with 110  
305 species. In a Pine savanna in Southeast Asia, Eiadthong (Wichan Eiadthong,  
306 unpublished data, Kasertsart University) recorded 105 herbaceous species in a single  
307 50 x 50 m plot.

308 At larger scales, species richness of C<sub>4</sub> grasses in the Asian regions as  
309 estimated using the GrassBase database combined with a database of C<sub>3</sub>/ C<sub>4</sub> pathways  
310 for grass taxa [61,83], indicates that total C<sub>4</sub> diversity in each Asian region as a  
311 function of land surface is comparable to values in other major savanna and grassland  
312 regions (Fig. S2b; Table S1 Supplementary Materials). In a similar vein to endemism,  
313 diversity of Andropogoneae species is substantially greater than for Chloridoideae.

314

315

316 **6. Defining the potential climatic envelope of Asian savannas**

317           Vegetation mapping of the savannas of Asia is sparse and inconsistent [2]. As  
318 a first step towards identifying the geographic extent of Asian savannas, we mapped  
319 their potential climatic envelope within Asia, based on the climate envelopes occupied  
320 by Africa, South American and Australian savannas. At present, there is insufficient  
321 data from Asian savannas to effectively define their climatic domains based on field  
322 observations. Savannas on different continents have different floristic compositions  
323 with different associations with rainfall, fire and herbivory [7,92,93]. Hence, while  
324 globally savannas are structurally similar, their geographic distributions with respect  
325 to climate differ [7], and we should expect Asian savannas to be no different.  
326 Consequently, we mapped the potential extent of Asian savannas based on climatic  
327 domains of African, Australian and South American savannas separately to gauge the  
328 most likely geographic distribution of Asian savannas.

329           We first delimited the extent of savanna versus non-savanna habitats in Africa,  
330 Australia and South America based on previous work (Fig. S3a, see Appendix I for  
331 full details of the methods) [4, 94]. We then used stochastic gradient boosting [94–  
332 97] to statistically assess the distribution of savannas on each continent based on  
333 climate, elevation and edaphic parameters. The predictor variables in the models  
334 included mean annual temperature (MAT), annual temperature range (TAR), mean  
335 temperature of the driest quarter (TDQ), mean annual precipitation (MAP),  
336 precipitation of the driest month (PDM), precipitation seasonality (PS), potential  
337 evapotranspiration (PET), soil N content (Soil N), soil clay content (% clay) and  
338 elevation. We then used these models to predict the potential distribution of savannas  
339 in Asia based on the climatic envelopes occupied by savannas on each of these  
340 different continents.

341 Our models recreated the observed distribution of savannas in Africa with a  
342 high degree of accuracy (92.2% of savanna pixels correctly classified)), and to a  
343 lesser extent, those of South America (72.5% correctly classified,) and Australia  
344 (68.2% correctly classified; see Fig. S3 a,b). There are several potential reasons for  
345 the observed differences in model performance across continents. First, baseline  
346 vegetation maps used to build the models could have differed in their underlying  
347 accuracy, with savanna distributions more accurately depicted in vegetation maps of  
348 Africa than Australia or South America. Second, environmental and edaphic  
349 parameters not considered in our models (e.g. seasonal flooding, soil drainage,  
350 acidity, availability of exchangeable bases, aluminum concentrations) might be  
351 important determinants of savanna distributions in South America and Australia  
352 [7,92,93,98,99]. Finally, savannas in South America and Australia extend into much  
353 wetter regions than in Africa, with some South American savannas receiving as much  
354 as 750 mm more rainfall annually than Africa's wettest savannas [92]. In these wetter  
355 sites, fires are well recognized to play a critical role in extending savanna distributions  
356 by maintaining the savanna state under climatic conditions that would otherwise  
357 support forests [5,6].

358 We subsequently used the models developed for each of these continents to  
359 predict the potential distribution of savannas in Asia. Our results suggest that Asia  
360 supports larger areas with climates that are analogous to those occupied by African  
361 savannas than either Australian or South American savannas (Fig. 1). The African  
362 model identified large sections of Asia with very high ( $>0.9$ ;  $\sim 1.1 \times 10^6 \text{ km}^2$ ) or high  
363 ( $0.75 - 0.9$ ;  $\sim 0.9 \times 10^6 \text{ km}^2$ ) probabilities of supporting savannas, including the  
364 central Indian plateau, parts of western India and the drier tracts of Southeast Asia in  
365 Myanmar and Thailand (Fig. 1a). In contrast, the predicted extent of savannas in Asia

366 that are analogous to Australian and South American savannas is much lower. Based  
367 on the Australian model,  $0.3 \times 10^6 \text{ km}^2$  and  $0.6 \times 10^6 \text{ km}^2$  of the Asian continent were  
368 identified as potentially supporting savannas with very high ( $>0.9$ ) or high ( $0.75 -$   
369  $0.9$ ) probabilities (Fig. 1b), while in the case of the South American model these were  
370  $0.1 \times 10^6 \text{ km}^2$  and  $0.2 \times 10^6 \text{ km}^2$ , respectively (Fig. 1c).

371         Interestingly, the predicted occurrence of Asian savannas based on the climate  
372 envelopes of Africa, Australia or South America capture different savanna types in  
373 Asia. Areas identified with a high probability of supporting savannas based on the  
374 African model, but not by the Australian or South American models, include the fine-  
375 leaved spiny savannas of western India and the southern Deccan plateau (see  
376 [10,100], Fig. 1a; Figs 2a, b for images). In contrast, the areas identified by  
377 Australian and South American models correspond largely to the deciduous broadleaf  
378 savannas of the central Indian landscape ([10,100], Fig. 1b & c, Fig 2a for image).  
379 The Australian model additionally identifies the Terai grassland and savanna habitats  
380 [101] of the Himalayan foothills (Fig. 1b). Only the African model weakly captures  
381 the East Asian savanna formations in Yunnan, China, and the savannas in Luzon, the  
382 Philippines (Fig 1a, images in Fig 2a,b). Surprisingly, all three models only weakly  
383 capture the location of savannas in the Lesser Sunda Islands, but make different  
384 predictions about their locations. It is intriguing that while some of these savannas are  
385 dominated by Australian tree taxa, others are dominated by African and Asian tree  
386 taxa [3,56]. Importantly, none of the models were able to predict the extensive Pine  
387 savannas in the Himalayas, China, Sumatra and the Philippines [3], suggesting that  
388 these savannas may occupy a unique climate space that is not found in Africa,  
389 Australia or South America. Possibly this is because they occupy higher elevations  
390 and cooler climates than any of the southern continent savannas and are analogous to

391 pine savannas in North America [77], which are also underlain by C<sub>4</sub> grasses [102].  
392 Thus, as is the case for the southern continents, the climatic space of Asian savannas  
393 is different from other continents [7].

394         Although our models provide us with a first approximation of the potential  
395 distribution and extent of savannas in Asia, they are conspicuous in their lack of fire  
396 and herbivory as predictors of savanna distribution. Inclusion of such top-down  
397 effects in future models will undoubtedly provide us with a more refined  
398 understanding of savanna distributions in Asia. Given that the vast majority of  
399 savanna habitats in Asia have already been converted or otherwise modified by  
400 humans [100,103], there is an urgent need for identifying and mapping Asian  
401 savannas, both potential and extant, not only for their effective management but also  
402 for a more nuanced picture of tropical savannas globally.

403

#### 404 **7. Uncertain futures for savannas in Asia**

405 The lack of recognition of Asian savannas as unique ecosystems distinct from forests,  
406 and the common misperception of savannas as degraded forests pose significant  
407 conservation and management challenges. These include inappropriate fire and  
408 herbivore management resulting from a lack of understanding of the functional roles  
409 of these disturbances in these ecosystems, land-use conversions to agriculture and tree  
410 plantations, severe invasions by exotic woody species and uncertain trajectories under  
411 changing climatic regimes.

412         Beginning in the colonial period, official policy on protected area management  
413 in South Asia was to strictly suppress fire and livestock grazing [11,86]. For example,  
414 the Indian Forest Act of 1927 (<http://envfor.nic.in/legis/forest/forest4.html>) officially  
415 deemed the wilful setting of fire in protected areas as a punishable crime. Many such

416 policies remain official to this day, contrary to scientific consensus that fire and  
417 herbivory are key ecological processes that maintain ecosystem health and diversity in  
418 savannas [77,104,105]. In practice, the implementation of such policies varies widely  
419 across Asia. In China, official government policies of strict fire prevention in  
420 conservation areas and public lands have been implemented, at least since the 1980s  
421 [79,80]. In contrast, departments charged with the stewardship of protected areas in  
422 other South and Southeast Asian countries often have limited resources, and fire  
423 suppression can be erratic [86].

424         In the Dipterocarp savannas of Thailand, fire suppression resulted in litter  
425 build-up and exceptionally intense fires in years when they did occur, with unusually  
426 high tree mortalities [11]. In the central and northern Indian mixed deciduous  
427 savannas, it was widely recognized by the early 1900s that both teak (*Tectona*  
428 *grandis*) and sal (*Shorea robusta*) seedlings were not recruiting in areas with  
429 sustained fire suppression [86]. At the other extreme, Saha and Howe [106] found that  
430 annual, low intensity fires in a deciduous savanna in Central India resulted in a very  
431 restricted set of tree species being able to regenerate, and predicted large declines in  
432 tree diversity in these communities in the coming century. Thus, neither fire  
433 exclusion nor very frequent burning is desirable. Similarly, policy-driven exclusion of  
434 large bodied grazing cattle from a southern Indian deciduous broadleaf savanna  
435 released tall-grass species of low nutrient quality from their control, allowing them to  
436 dominate the understorey, in turn suppressing populations of small-bodied wild  
437 herbivores for which these tall grasses are unpalatable [107]. In sharp contrast to  
438 Africa, where the role of herbivores in driving community and ecosystem dynamics  
439 has been extensively studied, current knowledge of the functional roles of herbivores  
440 in Asian savannas is woefully inadequate [108]. Both long-term herbivore and fire

441 exclusion studies are almost non-existent in Asia. Likewise, studies documenting  
442 vegetation responses using experimental burns, which have been invaluable for  
443 understanding the role of fires in savannas and grasslands elsewhere, are rare in Asia.  
444 Unfortunately, they are likely to remain so because of government policies of fire  
445 suppression in countries like India and China [79,80,86].

446         As is true for savannas elsewhere, Asian savannas are heavily threatened by  
447 land-use changes including conversion to agriculture and tree plantations. Of  
448 particular concern, government driven afforestation initiatives in the context of  
449 emerging programs for carbon sequestration are a major threat to mixed tree-grass  
450 biomes globally [109–111]. For example, the Green India mission  
451 (<http://www.envfor.nic.in/major-initiatives/national-mission-green-india-gim>) aims  
452 “to increase and improve tree cover on 10 million hectares of forest and non-forest  
453 lands” in the subcontinent. A key challenge in this context will be to distinguish  
454 derived savannas via forest degradation (and therefore appropriate for reforestation)  
455 from ancient savannas that should be conserved for their unique biodiversity,  
456 particularly in their herbaceous layers and the herbivore communities that they  
457 support [1,15,111,112]. This will require identification of indicator tree, grass and  
458 herbaceous species, or combinations of these, that are diagnostic of derived versus  
459 ancient savannas across these regions [111,112].

460         Another major threat to Asia’s savannas arises from invasions by exotic plant  
461 species that have transformed the physiognomies of these landscapes. Drier tracts of  
462 fine-leafed spiny savannas in both South Asia and Southeast Asia have been heavily  
463 invaded by *Prosopis juliflora* which transforms open tree-grass landscapes into  
464 woody thickets, often with extensive bare ground [113–116]. In the more mesic tracts  
465 of deciduous broadleaf savannas, dense invasions of the woody shrub *Lantana*

466 camara, have replaced the C<sub>4</sub> grass understorey [117–119], with potential  
467 consequences for the fire and nutrient dynamics, and herbivore and prey communities  
468 of these systems. The causes for these invasions include tree seeding for fuelwood,  
469 overgrazing and suppression of native fire regimes [117–119]. Today, these  
470 widespread invasions appear irreversible in many South Asian savannas and may well  
471 represent fundamental state shifts in these ecosystems. Research that elucidates how  
472 these invasions have transformed the functional ecology of these ecosystems,  
473 including the balance of woody and herbaceous components, responses to fire and  
474 herbivory, carbon and water dynamics, and how these may respond under anticipated  
475 climatic changes in the future is urgently needed.

476         Analyses of long-term precipitation records for Asia show that the South  
477 Asian summer monsoon is undergoing a directional shift, with a trend towards more  
478 intense rain events and longer dry periods between rain events during the monsoon  
479 season [120,121]. Shifts in patterns of water availability during the monsoon, along  
480 with predicted changes in the total rainfall, seasonality and spatial variability of  
481 rainfall [122–124] will likely have large impacts on the structure and dynamics of  
482 Asian savannas over the coming decades. A recent analysis for South Asia finds that  
483 for an ensemble of projected climate scenarios, the savannas of the Indian  
484 subcontinent will likely show large losses in their geographical extent, as the drier  
485 areas they now occupy are replaced by higher rainfall regimes[125]. Likewise,  
486 climate models predict increasing rainfall over East Asia (IPCC 2013 report,  
487 <http://www.ipcc.ch/report/ar5/wg1/>), with the potential to shift these savannas  
488 towards densely wooded states from where they may transition to forest ecosystems.  
489 Future research that considers the interactive effects of rainfall and temperature  
490 changes with changes in fire and herbivore regimes and human use will be critical for

491 forecasting the dynamics of Asian systems. Further, experimental studies that explore  
492 changes in recruitment and growth responses of dominant trees of the different  
493 savanna types to simulated changes in temperature, precipitation and nutrient regimes  
494 will provide critical insights into the potential trajectories of these savannas over the  
495 coming century.

496

#### 497 **Author contributions**

498 JR and KWT are equal first authors on this manuscript. DNR and MS performed the  
499 analysis and modelling of climatic envelopes of Asian savannas. JR, KWT and MS  
500 wrote the manuscript, with inputs from DNR.

501

#### 502 **Competing Interests**

503 The authors declare no competing interests.

504

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522

523 **Appendices**

524 Supplementary Information: Figures S1, S2, S3, Tables S1, S2, S3, and an appendix  
525 on methods used in the stochastic gradient boosting analyses of the climate domains  
526 of Asian savannas.

527

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907

908 **Figures and Tables**

909 **Table 1** Dominant savanna formations in Asia. Location, composition and evidence  
910 for functional traits related to tolerance of fire, herbivory or drought. Cells with “No  
911 data” indicate areas where information is lacking about Asian savanna types.  
912

913 **Figure 1** Predicted distributions of savannas in Asia based on the climate envelope  
914 for a) Africa, b) Australia, and c) South America. The results suggest that Asia  
915 supports larger areas with climates that are similar to those occupied by African  
916 savannas than either Australian or South American savannas. The numbers shown are  
917 known field locations of different Asian savannas. Images corresponding to these  
918 numbers are shown in Figures 2a and 2b.

919

920 **Figure 2a** Images of different Asian savannas with numbers on the images  
921 corresponding to the numbered geographic locations shown in Figure 1.  
922 1. Fine-leafed and spiny Acacia savanna in Gujarat, western India. 2. Broadleaf  
923 Anogeissus-Terminalia savanna in Mudumalai, southern India 3. Broadleaf  
924 Anogeissus savanna in Nagarjuna-Sagar in south-eastern India. 4. Broadleaf  
925 Dipterocarp savanna in Pa Hin Ngam, Thailand and 5. Broadleaf Dipterocarp savanna  
926 underlain by the deciduous bamboo *Vietnamosasa* spp. in Mondulkiri, Cambodia.  
927 Photo Credits: Jayashree Ratnam, Edmund February, Mahesh Sankaran, Komsant  
928 Inroung (<http://lifexdiscovery.blogspot.in>), Kyle Tomlinson.

929

930 **Figure 2b** Images of different Asian savannas with numbers on the images  
931 corresponding to the numbered geographic locations shown in Figure 1.  
932 6. Mixed broadleaf savanna in Yuanjiang Valley savanna, Yunnan, China, 7. Pine  
933 savanna (*Pinus kesiya*) on Mt Ugo, The Philippines 8. Eucalypt savanna (*Eucalyptus*  
934 *alba*) on Mount Curi, East Timor, The Lesser Sunda islands 9. Fine-leafed and spiny  
935 Acacia savanna on Mount Manatuto, East Timor, The Lesser Sunda islands 10. Fine-  
936 leafed and spiny savanna in Sariska, central India and 11. **Pine** savanna in the  
937 Himalayan foothills in Dehradun, north India. Photo Credits: Kyle Tomlinson, Don  
938 Franklin, Jayashree Ratnam, Mahesh Sankaran, Anne Jimenez (Wikimedia  
939 Commons).

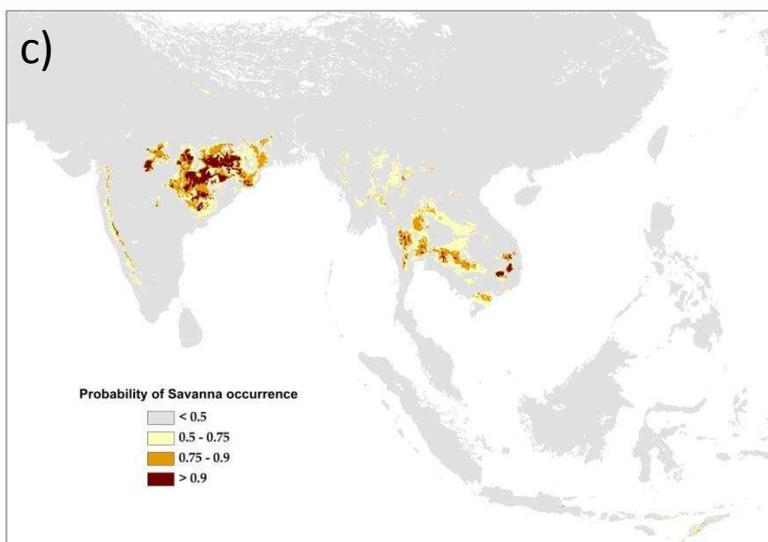
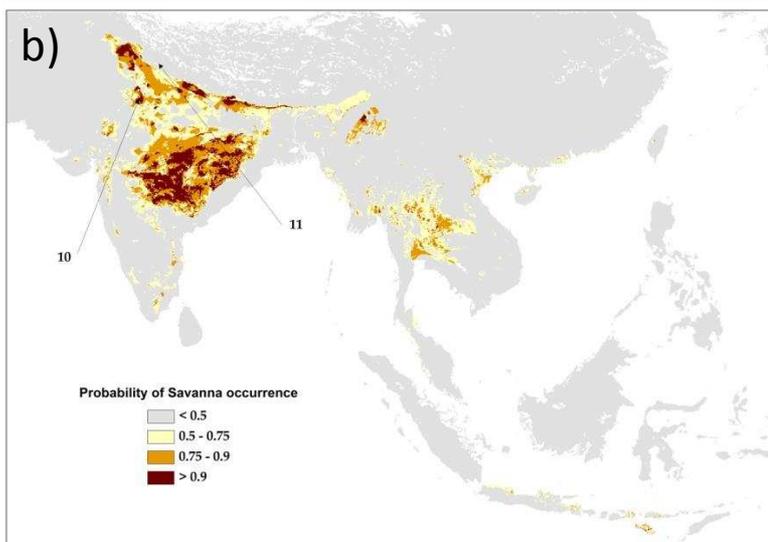
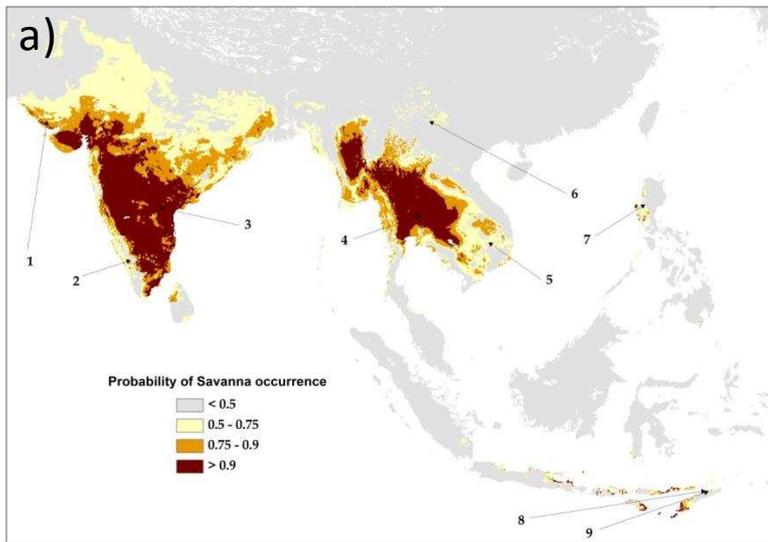
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943 Figure 1

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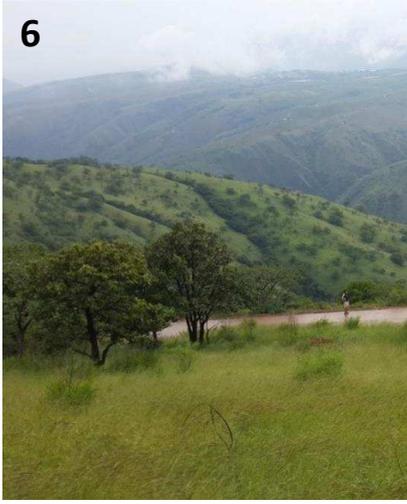
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946 Figure 2a



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950 Figure 2b



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**Table 1** Dominant savanna types in Asia. Location, composition and evidence for functional traits related to fire, herbivory or drought

	Deciduous broadleaf savanna			Fine-leaved and spiny savanna	Pine savanna
Formations	Dipterocarp savanna	Mixed savanna §	Eucalypt savanna §		
Locations in Asia §§	South, Southeast	South, Southeast, East	Southeast (Lesser Sunda Islands)	South, Southeast, East	South, Southeast, East?
Dominant tree clades	Dipterocarpaceae	Anacardiaceae, Combretaceae, Lamiaceae, Lythraceae Fabaceae (Deteriae, Caesalpinioideae)	Myrtaceae	Fabaceae (Mimosoideae) Rhamnaceae, Rubiaceae, Capparaceae, Burseraceae	Pinaceae Fagaceae
Dominant grass clades	Andropogoneae	Andropogoneae	Andropogoneae	Andropogoneae, Chloridoideae	Andropogoneae
Diverse non-Poaceae herb clades	No data	Fabaceae, Asteraceae, Euphorbiaceae	No data	Fabaceae, Malvaceae, Euphorbiaceae	Cyperaceae, Fabaceae, Xyridaceae, Eriocaulaceae
Functional traits for fire	Thickened bark Sub-cutaneous meristems Belowground storage	Thickened bark Sub-cutaneous meristems	No data	No data	Thickened bark Sub-cutaneous meristems Grass-stage juveniles Belowground storage Underground bud banks
Functional traits for herbivory	Spiny plants along river drainage lines	No data	No data	Spiny plants abundant Mammal-dispersed fruits	No data
Functional traits for drought	Deciduous	Deciduous	Deciduous	Small leaves, Deciduous	No data
References	[50,64,85]	[50,52,55,59] K. W. Tomlinson unpublished data		[38,52,56–58,66]	[50,55,73,74,82] W. Eiadthong, unpublished data

954 §Density of tree cover increases with rainfall. §§ Images of savannas from these regions can be viewed in Figs 2a,b.