



UNIVERSITY OF LEEDS

This is a repository copy of *Holocene fire regimes and treeline migration rates in sub-arctic Canada*.

White Rose Research Online URL for this paper:
<http://eprints.whiterose.ac.uk/104846/>

Version: Accepted Version

Article:

Sulphur, KC, Goldsmith, SA, Galloway, JM et al. (6 more authors) (2016) Holocene fire regimes and treeline migration rates in sub-arctic Canada. *Global and Planetary Change*, 145. pp. 42-56. ISSN 0921-8181

<https://doi.org/10.1016/j.gloplacha.2016.08.003>

© 2016, Elsevier. Licensed under the Creative Commons Attribution-NonCommercial-NoDerivatives 4.0 International
<http://creativecommons.org/licenses/by-nc-nd/4.0/>

Reuse

Unless indicated otherwise, fulltext items are protected by copyright with all rights reserved. The copyright exception in section 29 of the Copyright, Designs and Patents Act 1988 allows the making of a single copy solely for the purpose of non-commercial research or private study within the limits of fair dealing. The publisher or other rights-holder may allow further reproduction and re-use of this version - refer to the White Rose Research Online record for this item. Where records identify the publisher as the copyright holder, users can verify any specific terms of use on the publisher's website.

Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.



eprints@whiterose.ac.uk
<https://eprints.whiterose.ac.uk/>

1 Holocene fire regimes and treeline migration rates in sub-arctic Canada

2

3 Kyle C. Sulphu^{1+2*}, Shantal A. Goldsmith¹, Jennifer M. Galloway², Andrew Macumber³, Fritz
4 Griffith⁴, Graeme T. Swindles⁵, R. Timothy Patterson³, Hendrik Falck⁶, Ian D. Clark⁴

5

6 **(1) Department of Geoscience, University of Calgary, Calgary, Alberta**

7 **(2) Natural Resources Canada, Geological Survey of Canada, Calgary, Alberta /**

8 **Ressources Naturelles Canada, Commission géologique du Canada (Calgary)**

9 **(3) Ottawa-Carleton Geoscience Centre, Carleton University, Ottawa, Ontario**

10 **(4) Department of Earth and Environmental Sciences, University of Ottawa, Ottawa,**
11 **Ontario**

12 **(5) School of Geography, University of Leeds, United Kingdom**

13 **(6) Northwest Territories Geological Survey, Yellowknife, Northwest Territories**

14

15

16 ***Corresponding author: Department of Geoscience, University of Calgary.**

17 **E-mail address: kcsulphu@ucalgary.ca**

18

19

20 **Abstract**

21 Holocene climate change resulted in major vegetation reorganization in sub-arctic Canada near
22 modern treeline. However, little is known of the effects of long-term climate change on boreal
23 forest composition and fire regimes below treeline in this region. We present a high-resolution
24 vegetation and fire history from two sites within the modern boreal forest in the central
25 Northwest Territories, Canada, to provide new insight on sub-arctic vegetation response to
26 Holocene climate dynamics and the role of fire in boreal ecosystems. Palynological analysis of
27 sediments retrieved from Waite and Danny's lakes (informal) is used to reconstruct regional
28 vegetation dynamics and boreal fire regimes. The longer Danny's Lake record documents
29 treeline expansion beginning at ca. 7,430-7,220 cal yr BP. Integration of our new data with
30 previous work shows that treeline expanded between ca. 4,050 cal. yr BP and ca. 3,840 cal yr BP
31 at a rate of ca. 50 m/yr in response to the 1-2°C increase in temperature estimated for the
32 Holocene Thermal Maximum. Forest fires were relatively frequent during the early Holocene,
33 before declining in frequency in response to development of cooler and wetter climate conditions
34 associated with the Neoglacial (beginning after ca. 2,200-2,320 cal yr BP). We document a trend
35 of increasing fire frequency in the 20th century that is not correlated with an increase in moisture
36 at this time. These dynamics south of modern treeline provide insight into factors creating
37 heterogeneity in plant community responses to large-scale climate events in high northern
38 latitudes and suggest that large scale reorganization of boreal vegetation and fire regimes can be
39 expected over the coming decades.

40

41

42 **Holocene, Palynology, Paleoecology, Treeline, Northwest Territories, Fire**

43 **Highlights**

- 44 · First documentation of regional fire regime changes in sub-arctic Canada
- 45 · Estimates of rate of treeline movement in response to Holocene climate dynamics
- 46 · Provides insight into factors creating heterogeneity in plant community response to climate
47 events
- 48 · Large scale reorganization of boreal vegetation and fire regimes can be expected in coming
49 decades.
- 50

51 **1.0 Introduction**

52 The large areal extent of the northern boreal forest affects the radiative balance of Earth by
53 acting as a sink in the global carbon cycle (Ahlgren and Ahlgren, 1960; Bonan et al., 1992;
54 Juday et al., 2005). The northern treeline is linked to the summer position of the Arctic Front,
55 which is the southern boundary of the cold, dry arctic air. Through this connection with the
56 Arctic Front, the northern treeline is linked to ocean-atmospheric phenomena and
57 teleconnections (Bryson, 1966; Moser and MacDonald, 1990; Allan et al., 1996). Due to
58 connections between latitudinal treeline and climate, factors influencing boreal forest
59 composition and treeline position are important for climate research. To better understand and
60 forecast climate-terrestrial feedback effects, we look to past climate events and their specific
61 effects on the boreal forest.

62 “Our new data and integration with previous work provides insight into rates of boreal
63 terrestrial ecosystem change in response to climate variability in an ecologically sensitive sub-
64 arctic region. Rates of ecosystem change are critical for understanding how systems will respond
65 in coming decades to current and forecasted climate change. We also document changes in
66 regional forest fire history in sub-arctic Canada. This is important because forest fires shape
67 forest communities through elimination and because lightning produced during summer storms is
68 the primary ignition source for boreal forest fires (Kochtubaida et al., 2006). Due to the link
69 between summer storms and forest fires, fire history is likely to reflect climate changes. For
70 instance, longer, warmer and drier summer months are linked to an increase in lightning-initiated
71 forest fire occurrences (Kochtubaida et al., 2006).

72 Previous studies across the sub-arctic, including Canada, Sweden, Finland, Norway, and
73 Russia document mid-Holocene northern treeline expansion and subsequent late Holocene
74 contraction. In Russia, post-glacial forests covered the landscape by ca. 9,000 to 8,000 cal yr BP
75 at relatively high latitudes (60° N) but began to retreat by ca. 4,000 to 3,000 cal yr BP
76 (MacDonald et al., 2000). In Sweden, Finland, and Norway, treeline expansion occurred at ca.
77 6,300 cal yr BP, and was followed by retreat at ca. 4,500 cal yr BP (Barnekow, 1999; Barnett et
78 al., 2001; Barnekow and Sandgren, 2001; Bergman et al., 2005).

79 Increased solar insolation centred at ca. 10,000 cal yr BP left much of northwestern North
80 America ice free and covered by birch-shrub tundra, while eastern North America remained
81 covered by the Laurentide Ice Sheet (Berger and Loutre, 1991; Overpeck et al., 1997; Dyke,
82 2005). By ca. 7,000 cal yr BP, boreal forest or forest tundra stretched across most of western and
83 central North America and by ca. 5,000 cal yr BP, boreal forests had expanded at least 150 km
84 north of current position in North America. Modern day North American latitudinal treeline limit
85 was reached between ca. 4,000 to 3,000 cal. yr BP, with regional variation (Dyke, 2005).

86 Circumpolar forest expansion occurred in response to elevated temperatures regionally
87 associated with the Holocene Thermal Maximum. The Holocene Thermal Maximum summer
88 insolation anomaly peaked 12-10 ka, but the effects were expressed in a time-transgressive
89 manner following the final melting of the Laurentide Ice Sheet. These temperature effects began
90 to manifest at ca. 11,000 cal yr BP in Alaska and the northwestern Northwest Territories (NWT)
91 and later in central and eastern Canada between ca. 7,000 to ca. 5,000 cal yr BP (Kaufman et al.,
92 2004). The Holocene Thermal Maximum was expressed at Carleton Lake (central NWT)
93 between ca. 4,000 to 6,000 cal yr BP (Upiter et al., 2014).

94 Estimates of Holocene Thermal Maximum warming from Alaska, central northern
95 Canada, Baffin Island, Labrador, Sweden, Finland, Norway, and Russia suggest a temperature
96 increase of 1-2°C during its expression (MacDonald et al., 1993; Edwards et al., 1996; Pienitz et
97 al., 1999; Barnett et al., 2001; Barnekow and Sandgren, 2001; Seppa and Birks, 2002;
98 MacDonald et al., 2000; Kerwin et al., 2004; Kaufman et al., 2004; Clegg et al., 2010; Uppiter et
99 al., 2014).

100 To better understand the response of boreal forest ecosystems to climate change, we
101 focus on the central NWT of sub-arctic Canada to reconstruct regional vegetation and forest fire
102 regime over the last ca. 9,000 years. We know based on previous work that this region
103 experienced treeline expansion and contraction during the mid-Holocene (Moser and
104 MacDonald, 1990; MacDonald et al., 1993; Pienitz et al., 1999; Huang et al., 2004; Dyke, 2005;
105 Uppiter et al., 2014). However, little is known of vegetation dynamics below treeline and the role
106 of forest fires remains poorly understood in boreal systems in general and not known at all for
107 the central NWT in particular. Study of sites below modern treeline can provide information on
108 vegetation reorganization within forest communities during episodes of treeline movement and
109 must be used to study the role of fire in boreal landscape change (Larsen and MacDonald, 1998).

110 High resolution study of lake sediments can provide insight into rates of vegetation
111 change in response to climate variability. Understanding rates of change are particularly
112 important for accurate prediction of terrestrial ecosystem response to current and forecasted
113 change. We present a decadal-to-centennial scale resolution analysis of pollen, spores, and
114 microscopic charcoal preserved in well-dated sediment cores retrieved from Danny's Lake
115 (informal name) located 30 km south of modern treeline and Waite Lake (informal name) located
116 80 km south of modern treeline in the central Northwest Territories, Canada (Figure 1). These

117 lakes are located along the Tibbitt to Contwoyto Winter Road, a 600 km long winter ice road that
118 is critical to the continued success of the Canadian natural resource industry (Galloway et al.,
119 2010a; Macumber et al., 2011). These areas are of particular interest from a socio-economic
120 perspective because use of the winter road has been affected by recent climate change. We aim
121 to reconstruct regional vegetation dynamics, including the rate of treeline migration and changes
122 in boreal forest fires in sub-arctic Canada in response to Holocene climate change. Results from
123 our high-resolution paleoecological study of two new lakes are integrated with previously
124 published paleoecological work on nearby Toronto, Waterloo, Queen's, McMaster, UCLA and
125 Carleton lakes (Moser and MacDonald, 1990; MacDonald et al., 1993; Pienitz et al., 1999;
126 Huang et al., 2004; Upton et al., 2014) as well as carbon and nitrogen isotope analyses (Griffith,
127 2014) and grain-size data (Macumber, 2015) from Danny's Lake.

128

129 **2.0 Regional setting**

130 Danny's Lake (63° 28'32''N, 112°32'15'' W) is located ~30 km south of latitudinal
131 treeline within the boreal forest of the central Canadian sub-arctic (Figure 1; Dyke, 2005).
132 Danny's Lake has a maximum depth of 9 m, a surface area of ~20 ha, and a catchment size of
133 ~400 ha (Macumber et al., 2011). Waite Lake (62°50'59'' N, 113°19'39''W) is located within
134 the boreal forest approximately 80 km south of treeline (Figure 1; Dyke, 2005). Waite Lake has a
135 maximum depth of 11 m and a surface area of 685 ha (Macumber et al., 2011). Danny's Lake is
136 not connected to other lakes by any major rivers or streams. Both lakes lie within the Slave
137 Geological Province of the Precambrian Shield and are underlain by amphibolite-grade
138 paragneiss to quartz biotite schists (Davis et al., 1996). Topography of both sites is characterized

139 by gentle hills covered with boreal forest composed of black spruce (*Picea mariana*), jack pine
140 (*Pinus banksiana*), and dwarf birch (*Betula nana*). Climate is continental, characterized by long,
141 cold winters and brief, warm summers. Data from the nearest weather station in Yellowknife
142 document mean January temperatures of -26.8°C and mean July temperatures of 16.8°C and a
143 mean annual precipitation of 302.8 mm (based on records from 1971 to 2000; National Climate
144 Data and Information Archive). Both sites are located within the discontinuous permafrost zone
145 (Brown, 1967).

146

147 **3.0 Materials and Methods**

148 3.1 Core Collection

149 A 118-cm long freeze core was collected from a 4.4 m deep sub-basin of Danny's Lake
150 in March, 2010 (Figure 1; Macumber et al., 2011). A 2-m long freeze core was obtained from the
151 southern basin of Waite Lake from a water depth of 1.8 m in March, 2009 (Figure 1; Galloway et
152 al., 2010a). The sediment-water interface of the Waite Lake freeze was not captured by the
153 freeze core. To obtain these surface sediments we returned to the same site in August 2011 and
154 obtained a 36-cm long sediment core using a Glew corer with an internal barrel diameter of 6 cm
155 (Glew, 1991; Glew et al. 2001). Shallow water depths were targeted to capture subfossil testate
156 lobose amoebae for another study. These organisms require oxygenation of bottom waters for
157 survival. One- cm^3 aliquots of sediment were sampled every 2- cm for palynology using a
158 microtome device throughout the length of each core (Macumber et al., 2011). No pollen and
159 spore data was recovered from 46.4 to 36.3 cm (measured top to bottom) due to insufficient

160 material. Sediments were extruded on site every 0.5-cm and sub-samples were obtained every 2-
161 cm for palynological analysis.

162 3.2 Chronology

163 Twenty-five AMS radiocarbon ages were obtained from bulk sediment from the Danny's
164 Lake sediment core. Ten AMS radiocarbon dates were obtained from nine bulk sediment
165 samples and one terrestrial plant macrofossil from Waite Lake sediment core (obtained using the
166 freeze corer). Three AMS ages were obtained from bulk sediments of the Waite Lake Glew core
167 (Table 1). All samples were pretreated with a standard hydrochloric acid wash to remove
168 carbonate material.

169 Analyses were performed using the accelerator mass spectrometer (AMS) at the
170 ¹⁴CHRONO Dating Laboratory at Queen's University, Belfast. Age depth relationships for the
171 Danny's Lake sediment core and the Waite Lake sediment core (freeze core) were constructed
172 using the computer program Bacon version 2.2 and the IntCal13 calibration curve (Figures 2 and
173 3; Blaauw and Christen 2011; Reimer et al. 2013; Crann et al., 2015). Radiocarbon ages younger
174 than AD1950 were calibrated using CALIBomb (Reimer et al., 2004) with the NH_zone1.14c
175 dataset (Hua and Barbetti, 2004). The age modeling procedure we used in Bacon is similar to
176 that outlined in Blaauw and Christen (2005) but more numerous and shorter sections were used
177 to generate a more flexible chronology (Blaauw and Christen, 2011).

178 A mean sediment accumulation rate of 70 yr/cm was used a priori in Bacon based on a
179 summary of accumulation rates of sediment in lakes of the study region by Crann et al. (2015).
180 Age depth relationships in the Waite Lake Glew core were not modelled using the computer
181 program Bacon because there were too few dates obtained for this short core (3 ages).

182 3.3 Palynology

183 Fifty-seven sub-samples were obtained from the 118 cm long Danny's Lake sediment
184 core at near-continuous 2-cm intervals for palynology. The upper 4.1 cm of the core was not
185 examined due to insufficient material in these watery sediments. No samples were collected
186 between 46.4 and 36.3 cm for palynology due to insufficient material. Forty-seven sub-samples
187 between 20 cm and 131 cm of the 2-m long Waite Lake freeze core were obtained at near
188 continuous 2-cm intervals. There was insufficient sediment volume from the upper 20 cm of the
189 core for palynological analysis. Sediments below 131 cm were not investigated because we
190 chose to focus our higher-resolution study of Waite Lake on the latest Holocene when modern
191 climate conditions were developed. Eighteen sub-samples were analyzed from the Waite Lake
192 Glew core at continuous 2-cm intervals.

193 Processing of samples for palynology was done at the Geological Survey of Canada,
194 Calgary, following methods described by Fægri and Iverson (1989) without hydrofluoric acid
195 treatment. Processing involved hot baths of dilute hydrochloric acid and potassium hydroxide
196 followed by acetolysis and staining with Safranin O. Slurries were mounted using liquid
197 bioplastic. One tablet of *Lycopodium clavatum* spores (Batch No. 938934; 10,679 spores/tablet)
198 was added prior to processing to calculate palynomorph concentration (Stockmarr, 1971). A
199 minimum of 300 pollen and spores were enumerated in each sample. The colonial green alga
200 *Pediastrum* and microscopic charcoal were also enumerated. All palynological material is
201 curated at the Geological Survey of Canada. Relative abundances of pollen and spore taxa are
202 based on a pollen sum that includes obligately terrestrial pollen and spores. Microscopic charcoal
203 and *Pediastrum* abundances are expressed as a proportion of this pollen sum. Palynomorph
204 accumulation rates ($\text{grains}/\text{cm}^2/\text{yr}$) are calculated using palynomorph concentration and an

205 average sedimentation rate of 80 year/cm based on linear regression of the 18 calibrated
206 radiocarbon dates used to generate the Danny's Lake Bacon model. An average sedimentation
207 rate of 14 year/cm is calculated for Waite Lake sediment core (freeze core) (based on 10 AMS
208 radiocarbon dates), and 28 years/cm for the Glew core (based on three AMS radiocarbon dates).
209 Based on models outlined in Crann et al. (2015), average sedimentation rates for these sediment
210 cores are consistent with regional data. Stratigraphically Constrained Incremental Sum of
211 Squares Cluster Analysis (CONISS) based on square root transformed relative abundance
212 palynomorph data of obligately terrestrial plants was used to aid in the delineation of pollen and
213 spore assemblage zones of Danny's and Waite lakes palynostratigraphies (Grimm, 1987). Data
214 are graphed using Tilia (Grimm, 1993-2004).

215 Pollen and spore identifications follow McAndrews et al. (1973) with the exception of
216 *Picea* and *Betula*. Many authors have debated the defining characters of *Picea mariana* and
217 *Picea glauca* pollen (e.g., Kapp, 1969; Birks and Peglar, 1980; Hansen and Engstrom, 1985;
218 Lindbladh et al., 2002). In particular, average grain length measurements show a distinction
219 between the maximum sizes of the two species but individual size ranges can overlap (Kapp,
220 1969; Brubaker et al., 1987). This overlap makes differentiation difficult. Hansen and Engstrom
221 (1985) found that the sacci of *P. mariana* pollen taper distally, or are rounded distally and
222 constricted at its attachment to the corpus, whereas the sacci of *P. glauca* are comparatively
223 round to blunt. Lindbladh et al. (2002) described *Picea glauca* in similar terms, but noted that *P.*
224 *glauca* was larger (>86.5 μm) and showed a high degree of exine verrucation.

225 In contrast, *P. mariana* has a smaller total grain size (<86.5 μm) and an undulating exine.
226 However, since no *Picea* pollen in this study had exine undulation, we have classified *P.*
227 *mariana* grains as specimens having noticeable bladder constriction and smaller than 86.5 μm in

228 average grain length. *Picea* pollen larger than 86.5 μm average grain lengths with no bladder
229 constriction are assigned *P. glauca*, following a combination of characters from Hansen and
230 Engstrom (1985), Brubaker et al. (1987) and Lindbladh et al. (2002).

231 *Betula* pollen is sub-divided into *Betula pubescens*-type (tree birch), and *Betula nana*-
232 type (dwarf birch) following Blackmore et al. (2003) when possible. At least 30 *Betula* pollen
233 grains in each sample are identified to the type level and a ratio of Dwarf/Tree *Betula* pollen was
234 calculated. We attribute tree birch (*Betula pubescens*-type) to *Betula papyrifera* and dwarf birch
235 to *B. nana* based on modern biogeography (Whitford, 2007). This was done to distinguish the
236 different ecologies of tree and dwarf birches (discussed later). Differentiation of *Betula* was not
237 attempted in our analyses of sediments from Waite Lake.

238 For pollen types difficult to identify to the species level using light microscopy, we base
239 species designations on modern biogeography (Whitford, 2007). *Pinus* pollen identified in
240 sediments of Danny's Lake and Waite Lake are attributed to *P. banksiana*. *Larix* pollen is
241 attributed to *L. laricina*. Cupressaceae pollen is likely from *Juniperus communis* and *J.*
242 *horizontalis*. We attribute *Populus* pollen to *P. tremuloides* and *P. balsamifera*. *Alnus* pollen is
243 attributed to *A. crispa* and *A. incana*. The genus *Salix* may be represented by up to 9 different
244 species in the study area, so we do not attempt to assign species to this *Salix* grains based on
245 modern biogeography.

246 At Waite Lake, *Abies* pollen is attributed to *Abies balsamea* and Haploxyton type *Pinus*
247 pollen has no known source plant in the study area. Both pollen types likely represent long
248 distance anemophily or water transport. The closest population of *Abies balsamea* is in northern
249 Alberta (Uchytil, 1991c), an area that is linked to the central Northwest Territories through the

250 Mackenzie River watershed. It is possible that both *Abies balsamea* and *Haploxylon* type *Pinus*
251 are being fed to Waite Lake through the Mackenzie River basin stream system.

252 *Lycopodium* ssp. indigenous to the study region was differentiated from exotic spores
253 added to pollen preparations based on differential stain acceptance (Stanley, 1966; Heusser,
254 1983). A listing of taxonomic authority and common names for taxa are shown in Table 2.

255 3.4 Fire History

256 Iversen (1941) was the first to recognize the utility of microscopic charcoal found in
257 pollen preparations as a proxy for fires. Counts or surface area of microscopic charcoal are
258 frequently collected along with pollen data to reconstruct fire history (Clark, 1988; MacDonald
259 et al., 1991; Tinner et al., 1998). Microscopic charcoal (<100 µm) may be carried aloft during a
260 fire and modelling suggests that particles can travel between 20 and 100 km before deposition
261 (Clark, 1988; MacDonald et al., 1991; Whitlock and Millspaugh, 1996; Tinner et al., 1998;
262 Ohlson and Tryterud, 2000, Gardner and Whitlock, 2001; Conedera et al., 2009). Therefore,
263 microscopic charcoal recorded in the sediments of Danny's and Waite lakes are interpreted to
264 represent regional fires that occurred during summer months.

265

266 **4.0 Results**

267 4.1 Sedimentology

268 We retrieved sediments from Danny's Lake using a 2-faced freeze core. Sediments
269 preserved in this sediment core are composed of organic-rich mud and are described in
270 Macumber et al. (2011). Sediments obtained from Waite Lake freeze core are also composed of

271 organic-rich mud. Visual properties of this freeze core are described in Galloway et al. (2010a).
272 The Waite Lake Glew core is composed of material visually similar to that captured using the
273 freeze core.

274

275 4.2 Chronology

276 The base of the Danny's Lake Sediment core was deposited between ca. 8,610-8,390 cal
277 yr BP and the top of the Danny's Lake sediment between ca. 440-190 cal yr BP. Based on linear
278 regression, the 2-cm sampling interval used for palynology is equivalent to ca. 160 years (Figure
279 2). The sediment-water interface was observed to have been captured during core collection.
280 Mixing of uppermost sediments or an old carbon effect due to dissolution of carbonates or
281 incorporation of old carbon from other sources could have resulted in older than present material
282 at 0 cm in this core (e.g., Hakansson, 1976; Boaretto et al., 1998; Bjorck and Wohlfarth, 2001).
283 We have not altered our chronology to include a freshwater reservoir effect because this effect is
284 variable over time (e.g., Phillippsen and Heinemeier, 2013).

285 Age-depth relationships modelled for the Waite Lake sediment core (freeze) indicate that
286 our palynological analysis of sediments represents the time between ca. 2,530-2,210 cal. yr BP to
287 ca. 1,090-920 cal. yr BP (Figure 3). The 2-cm sampling resolution used for palynological
288 analysis represents ca. 30 years. Linear regression for the Waite Lake Glew core indicate that
289 sediments range in age from ca. 550-310 cal. yr BP to ca. (-70)-(-280) cal. yr BP. Capture of the
290 sediment-water interface was observed upon extraction of the Glew core at this site. The 2-cm
291 sampling resolution for palynological analyses is estimated to represent ca. 56 years, similar to
292 the Waite Lake freeze core.

293 4.3 Palynology

294 4.3.1. *Danny's Lake*

295 The Danny's Lake freeze core contains numerous and well-preserved pollen and spores
296 assigned to 19 taxonomic groups (Table 2). The colonial green alga *Pediastrum* is also present.
297 Five pollen and spore assemblage zones are delineated using CONISS and visual inspection of
298 relative abundance of pollen and spores of obligately terrestrial plants preserved in the Danny's
299 Lake core. Zones are labelled DL-1 through DL-5 (Figures 5, 6).

300 Danny's Lake Zone DL-1 (117.7 cm to 93.9 cm; ca. 8,610-8,390 to ca. 7,430-7,220 cal yr
301 BP) contain a palynoflora composed primarily of *Betula* (60-80%) and *P. mariana* (~15%)
302 pollen. Minor components (~5%) include *P. glauca*, *Alnus*, and *Salix* pollen and *Lycopodium*
303 spores. *Betula*-type ratio is low relative to overlying sediments, except between 105 and 100 cm
304 (ca. 7,990-7,810 to ca. 7,750-7,560 cal yr BP), where it rises to about 10, reflecting a greater
305 proportion of *B. nana*. *Pediastrum* occurs up to 10%. Pollen and spore accumulation rate in DL-1
306 ranges between 2,000 to 6,000 grains/cm²/yr. Microscopic charcoal is present up to 700% of the
307 pollen sum (Figure 4).

308 Danny's Lake Zone DL-2 (93.9 cm to 55.3 cm; ca. 7,430-7,220 to ca. 4,050-3,840 cal yr
309 BP) is characterized by an increase in *Alnus* pollen (up to 40%) and *P. mariana* pollen (up to
310 25%). *Pinus banksiana* pollen and *Sphagnum* and *Botrychium* spores increase to 10%. *Betula*
311 pollen declines from ~70% in DL-1 to 35-40% in DL-2. The *Betula*-type ratio increases in this
312 zone, suggesting higher proportions of *B. nana* relative to *B. papyrifera*. *Pediastrum* and
313 microscopic charcoal ratios decline to 5% and 500% of the pollen sum, respectively. Pollen and
314 spore accumulation rate increases gradually in this zone from 5,000 to 7,000 grains/cm²/yr.

315 Danny's Lake Zone DL-3 (55.3 cm to 30.6 cm; ca. 4050-3840 to ca. 1920-1800 cal yr
316 BP) is characterized by an increase in *P. glauca* (up to 20%) and *Larix* pollen (up to 5%), and
317 *Sphagnum* and *Botrychium* spores (up to 15%). This coincides with a decrease in the relative
318 abundances of *P. mariana* (down to 15%), *Alnus* (down to 20%) and *Salix* (<5%) pollen. Total
319 *Betula* pollen remains similar to Zone DL-2 (35-40%), but the *Betula*-type ratio decreases.
320 *Pediastrum* and microscopic charcoal continue to decrease to 2% and 300% of the pollen sum,
321 respectively. No pollen and spore data was recovered between ca. 3,180-3,040 cal yr BP and ca.
322 2,320-2,220 cal yr BP due to insufficient material.

323 After ca. 2,320-2,220 cal. yr BP (36.3 cm), *P. banksiana* increases from <5% to 10%.
324 Decreases in the proportion of *P. glauca* (down to 5%) pollen occur. Total *Betula* pollen remains
325 constant between 20-30%, while the *Betula*-type ratio increases, with more *B. nana*. Microscopic
326 charcoal is lower (~200%) than in Zone DL-2, and pollen and spore accumulation rate is
327 consistently lower after ca. 3,880-3,650 cal yr BP (53.5 cm), down from 7,000 grains/cm²/yr in
328 underlying sediments to about 2,000 grains/cm²/yr.

329 Danny's Lake Zone DL-4 (30.6 cm to 11.3 cm; ca. 1920-1800 to ca. 1,020-850 cal yr BP)
330 is characterized by an increase in *Pinus* pollen to 35% and *Lycopodium* and *Pteridium* spores
331 while a decrease in *P. glauca* pollen (<5%) occurs. The *Betula*-type ratio gradually increases in
332 Zone DL-4, indicating continued dominance of *B. nana* over *B. papyrifera*. Microscopic charcoal
333 and pollen and spores accumulation rate remain similar to DL-3, near 100% and 2,000
334 grains/cm²/yr, respectively. One exception to this is at ca. 1,370-1,180 cal yr BP (18.5 cm),
335 where total accumulation rate increases to 6,000 grains/cm²/yr.

336 The uppermost Danny's Lake Zone DL-5 (11.3 cm to 5.9 cm; ca. 1020-850 to ca. 700-
337 570 cal yr BP) is characterized by an increase in *P. mariana* (up to 40%), *P. glauca* (up to 20%),
338 and *Betula* (up to 30%) pollen and *Lycopodium* spores (20%). This coincides with a decrease in
339 *P. banksiana* pollen down to 10%. Microscopic charcoal and pollen and spore accumulation rate
340 remain similar to DL-4.

341 4.3.2. Waite Lake

342 The Waite Lake freeze and Glew cores contain well preserved pollen and spores assigned
343 to 16 taxonomic groups (Table 2). *Abies* and diploxylon-type *Pinus* do not occur at present in the
344 study area (Whitford, 2007) and their pollen may represent long-distance transport to Waite
345 Lake. The Waite Lake pollen record is shorter than Danny's Lake but the higher resolution
346 approach reveals low magnitude changes in the relative abundance and accumulation rate of
347 palynomorphs. Four palynological assemblage zones are identified by CONISS and visual
348 inspection in the Waite Lake freeze core, labelled WL-1 through WL-4. Two palynological
349 assemblage zones are identified in sediments collected with the Glew core, labelled WL-5 and
350 WL-6 (Figures 7 and 8).

351 Palynoflora preserved in Waite Lake Zone WL-1 (131.2 cm to 107.3 cm; ca. 2,530-2,200
352 cal yr BP to ca. 2,150-1,920 cal yr BP) are characterized by pollen from *Abies* (20%), *P. glauca*
353 (10%), *P. banksiana* (10%), *Betula* (15%), and *Alnus* (10%). Only one spore taxa is recorded
354 (*Sphagnum* <5%) in this zone. *Pediastrum* is present with a relative abundance near 5%.
355 Microscopic charcoal varies between 400% and 900% of the pollen sum. Pollen and spore
356 accumulation rate ranges between 1,500-4,000 grains/cm²/yr.

357 Waite Lake Zone WL-2 (107.3 cm to 74.9 cm; ca. 2,150-1,920 cal yr BP to ca. 1,740-
358 1,500 cal yr BP) is characterized by a decrease in *Pinus* pollen to <5% and a marginal increase in
359 *Betula* pollen to near 20%, relative to Zone WL-1. The relative abundance of *Pediastrum*
360 declines to 0% but increases to ~8% by the end of the zone. Microscopic charcoal abundances
361 increase at the beginning of this zone to near 900% of the pollen sum and show a gradual decline
362 throughout the zone to 600%. Pollen and spore accumulation rate is lower in this zone than in
363 WL-1, between 1,500-2,000 grains/cm²/yr.

364 Waite Lake Zone WL-3 (74.9 cm to 37 cm; ca. 1,740-1,500 cal yr BP to ca. 1,300-1,120
365 cal yr BP) is characterized by a marginal increase in *P. banksiana*-type pollen and a gradual
366 increase in undifferentiated bisaccate pollen. *Pediastrum* peaks to a core maximum of ~10% at
367 the onset of Zone WL-3 before declining 4% in the upper part of the zone. Microscopic charcoal
368 abundance continues to decline in this zone and reach 300% of the pollen sum. Total
369 accumulation rate of pollen and spores increase gradually throughout Zone WL-4 to reach 3,000
370 grains/cm²/yr.

371 Waite Lake Zone WL-4 (WL-4; 37 cm to 20 cm; ca. 1,300-1,120 cal yr BP to ca. 1,100-
372 920 cal yr BP) is characterized by marginal increases in the relative abundances of *P. glauca*,
373 *Juniperus*, and *Betula* pollen. The relative abundance of *Pediastrum* occurs near 6% at the onset
374 of Zone WL-4. Pollen and spore accumulation rate increases to a core maximum of 5,000
375 grains/cm²/yr near the top of the zone. Subtle changes in the relative abundances of *P. banksiana*
376 and *Abies* pollen, both likely long distance transported, differentiate the basal zone of the Waite
377 Lake Glew core (WL-5; 36 cm to 18 cm; ca. 550-310 cal yr BP to ca. 70-30 cal yr BP) from
378 overlying WL-6 (18 cm to 0 cm; ca. 70-30 cal yr BP to ca. -70 to -280 cal yr BP. Pollen and
379 spore accumulation rate is high (between 3,000 and 4,000 grains/cm²/yr) in the lower part of

380 Zone WL-5 relative to overlying WL-6, where rates occur between 1,000 and 2,000
381 grains/cm²/yr.

382

383 **5.0 Discussion**

384 5.1 The early Holocene (ca. 8,610-8,390 to ca. 7,430-7,220 cal yr BP)

385 A birch-shrub tundra community likely surrounded Danny's Lake during the early
386 Holocene, resulting in the deposition of large abundances of *B. papyrifera* and *Salix* pollen that
387 are preserved in basal Danny's Lake sediments (King, 1993). *Picea mariana* and *Alnus* pollen
388 occur near 5% in basal Danny's Lake sediments. Based on modern European pollen threshold
389 values of Lisityna et al. (2011), pollen values near 5% indicate that these plants were present but
390 uncommon on the landscape. Microscopic charcoal preserved in early Holocene aged sediments
391 of Danny's Lake suggests that many regional fires occurred during this interval (Figure 4). Fire
392 occurrence was likely promoted by relatively warm and dry early Holocene conditions (Patterson
393 et al., 1987; MacDonald et al., 1991; Conedera et al., 2009).

394 Fuel accumulation was probably less important than climate effects in a birch-shrub
395 tundra environment, as fuel would be limited relative to more forested landscapes. Warmer
396 climate at this time could have promoted more thunderstorms in the summer season, and
397 therefore more ignition events (Kochtubaida et al., 2006). In addition to delayed migration
398 following retreat of the Laurentide Ice Sheet in the central NWT (ca. 7,000 cal. yr BP; Kaufman
399 et al., 2004), the relatively frequent fires documented for the early Holocene at Danny's Lake
400 may have maintained early successional taxa, such as *Betula*, while preventing more fire
401 sensitive taxa such as *Picea* from flourishing (Ahlgren and Ahlgren, 1960; Rowe and Scotter,

402 1973). We record relatively low abundances of *Picea* pollen in this zone (Figure 4), indicating
403 that *Picea* trees were present regionally. A relatively dry early Holocene climate, indicated by
404 the predominance of *B. papyrifera* over typically moisture-loving *Alnus* may also have been
405 important in excluding *Picea* (Uchytíl, 1991a; Matthews, 1992; Fryer, 2011; Fryer, 2014).
406 Similar shrub-tundra communities are recorded at this time elsewhere in the central NWT
407 (Moser and MacDonald, 1990; MacDonald et al., 1993; Seppa et al., 2003; Huang et al., 2004)
408 but earlier in the Mackenzie Region of the territory at ca. 12,000 to 11,000 cal yr BP (Spear,
409 1993; Ritchie, 1984; Ritchie, 1985; MacDonald, 1987).

410 The later arrival time of birch-shrub tundra in the central NWT relative to the Mackenzie
411 region is due to the delayed expression of Holocene Thermal Maximum as a result of persistence
412 of the Laurentide Ice Sheet coupled with greater continentality of the central part of the territory
413 (Berger and Loutre, 1991; Overpeck et al., 1997; Kaufman et al., 2004). In the early Holocene,
414 low C/N ratios preserved in Danny's Lake sediments, coupled with relatively high abundances of
415 the green alga *Pediastrum* at this time suggests that summer temperatures were warm, likely
416 promoting lake productivity (Figure 6; Griffith, 2014).

417 At high latitudes, lake productivity is related to air temperature because temperature
418 controls the duration of the lake ice-free season and growth period for aquatic organisms
419 (Willemse and Tornqvist, 1999; Jankovská and Komárek, 2000). An enrichment of $\delta^{13}\text{C}_{\text{org}}$ is
420 recorded in the sediments of Danny's Lake during this interval (Griffith, 2014), suggesting
421 strong evaporation and therefore, warm and dry conditions (Wolfe et al., 1996; 1999; 2003).

422 Holocene Thermal Maximum warming across sub-arctic North America is estimated to
423 have been 1 to 2°C due to a 10% increase in solar radiation relative to today (MacDonald et al.,

424 1993; Edwards et al., 1996; Pientitz et al., 1999; Seppa and Birks, 2002; Kaufman et al., 2004;
425 Clegg et al., 2010). While direct radiative forcing peaked between ca. 12,000 to 11,000 cal yr
426 BP, warm conditions prevailed through the middle Holocene in many regions due to climatic
427 feedbacks (Kaufman et al., 2004). Temperatures warmer than present by only 1 to 2°C were
428 sufficient to induce frequent large fires despite probable low fuel production due to the relatively
429 dry conditions experienced in the region during this time.

430 5.2 The middle Holocene (ca. 7,430-7,220 cal yr BP to ca. 4,050-3,840 cal yr BP)

431 *Picea mariana* and *Larix* pollen increase near ca. 7,430-7,220 cal yr BP in the Danny's
432 Lake record, suggesting that the catchment area began to become forested by locally occurring
433 trees forming open spruce forest-tundra (King, 1993). Pollen and spore accumulation rate
434 increases from 1,000 grains/cm²/yr to 3,000 grains/cm²/yr, indicating expansion of vegetation at
435 this time, likely as a response to moistening and continued warm temperatures associated with
436 the Holocene Thermal Maximum. The increased occurrence of pollen and spores of
437 hygrophilous plants, such as *Alnus*, *B. nana*, *Sphagnum*, and *Botrychium*, suggests that climate
438 was moister than the preceding interval. *Picea mariana*, *B. nana*, *Alnus crispa*, and *A. incana* are
439 most often found on wet soils with poor drainage, such as swamps or bogs (Matthews, 1992;
440 Tollefson, 2007; Fryer, 2011; Fryer, 2014).

441 Paleofire records frequently record an increase in fire frequency coinciding with *Picea*
442 *mariana* invasion that may have been a result of highly flammable fuel structures (Uchytel,
443 1991c; Hu et al., 1993; Hu et al., 1996; Lynch et al., 2002). However, at Danny's Lake,
444 microscopic charcoal ratios decline to 500% of the pollen sum at this time. This is likely an
445 averaging effect as a result of using regional microscopic charcoal (Clark, 1988; MacDonald et

446 al., 1991; Conedera et al., 2009) or could possibly be that *Picea mariana* did not have a large
447 affect here due to the persistence of the ice sheet.

448 Beginning at ca. 7,270-7,070 (93.9 cm), a transition from fine silt to coarse silt as the
449 dominant component in the sedimentary record is observed in the Danny's Lake sediment core
450 (Macumber et al., 2011, Macumber, 2015). Spence and Woo (2008) found that the coarse silt
451 sedimentary fraction is associated with spring melt overflow conditions into lake basins.
452 However, the development a more densely forested catchment at Danny's Lake at this time
453 would have reduced hydraulic energy during the summer months because vegetation binds
454 sediment making it less available to be eroded (Spence and Woo, 2008). Increased winter
455 precipitation at this time could have resulted in greater snowpack whose melt provided sufficient
456 hydraulic energy during freshet to carry coarser sedimentary components to the lake basin
457 despite the influence of vegetation (Francus et al., 2008; Spence and Woo, 2008). Thus, we
458 interpret the sedimentary change from fine silt to coarse silt to reflect relatively high winter
459 precipitation and snow accumulation (Macumber, 2015).

460 A positive spike in $\delta^{13}\text{C}_{\text{org}}$ and negative excursion in C/N ratio occur in Danny's Lake
461 sediments at this time (Griffith, 2014). These changes suggest a nutrient-driven rise in
462 productivity due to the development of wetter conditions or longer ice-free season (Wolfe et al.,
463 1996; Wolfe et al., 1999; Wolfe et al., 2003). This positive $\delta^{13}\text{C}$ excursion is immediately
464 followed by a slight depletion of $\delta^{13}\text{C}$, interpreted to be due to increased flushing by more open
465 hydrodynamic conditions (Griffith, 2014). A corresponding decrease in *Pediastrum* abundance at
466 this level of the Danny's Lake core suggests a perturbation of planktonic algae communities that
467 could be due to an increase in lake turbidity associated with increased seasonal runoff.

468 5.3 Treeline expansion

469 The expansion of *P. mariana* documented at Danny's Lake beginning at ca. 7,430-7,220
470 cal yr BP (Figure 4 and 6) is indicative of the northeastward colonization by spruce in response
471 to the continued warm but moistening mid-Holocene climate associated with the later stages of
472 the Holocene Thermal Maximum (Moser and MacDonald, 1990; MacDonald et al., 1993; Huang
473 et al., 2004; Kaufman et al., 2004). At the outset of spruce colonization, microscopic charcoal
474 recorded at Danny's Lake decreases from 700% to 500% and remains relatively stable
475 throughout ca. 7,430-7,220 to ca. 4,050-3,840 cal yr BP (DL-2). Due to resinous needles and
476 cones, *P. mariana* often produces high-intensity fires that kill most or all trees in the stand
477 (Fryer, 2014). Since microscopic charcoal abundances are decreasing during the time of spruce
478 colonization, it is inferred that moister climate conditions led to fewer fires than experienced
479 during the Early Holocene and in conjunction with favourable climate conditions, promoted the
480 northward expansion of *Picea* and latitudinal treeline.

481 While treeline is documented to have reached Toronto, Waterloo, Queen's and McMaster
482 lakes by ca. 5,000 cal yr BP (Moser and MacDonald, 1990; MacDonald et al., 1993; Pienitz et
483 al., 1999), a centennial resolution record from nearby UCLA Lake (Huang et al., 2004) suggests
484 that *Picea* had reached this site as early as ca. 6,500 cal yr BP. *Picea* is inferred to have reached
485 Carleton Lake (~140 km east of UCLA Lake) by ca. 4,500 cal yr BP based on changes in
486 chironomid assemblages (Upiter et al., 2014). Diachroneity of *Picea* expansion between Danny's
487 Lakes and these more northern sites suggest that northern treeline expanded at a rate of about 50
488 m/yr during the mid-Holocene in response to a temperature change of only 1-2° C inferred from
489 proxy records (Upiter et al., 2014).

490 To date, data from sites further north than Carleton Lake have not been published, with
491 the exception of a record from TK-2 Lake (Seppa et al., 2003), located ~450 km northeast of
492 Danny's Lake in adjacent Nunavut. There is no record of arboreal vegetation at TK-2 Lake
493 (Seppa et al., 2003), constraining mid-Holocene treeline expansion to less than 66° N. To date,
494 no other studies have attempted to estimate rates of Holocene treeline change, and therefore there
495 are no other studies to compare our inferred rate of treeline migration for the central NT to.
496 However, rates of vegetation change to climate variability can be quite rapid, occurring on a
497 decadal scale, despite presumed lag response times of long-lived vegetation. For example,
498 Galloway et al., (2010b) show rapid (28 year) response of temperate rainforest vegetation to a
499 short-lived mid-Holocene climate perturbation.

500 5.4 The late Holocene (ca. 4,050-3,840 to present)

501 At ca. 4,050–3,840 cal yr BP, the Danny's Lake pollen record show that trees such as *P.*
502 *glauca* and *B. papyrifera* began to replace *P. mariana*, *Salix* and *Alnus*, likely due to their
503 superior shade tolerance and longevity (Uchytıl, 1991a and 1991b; Moser and MacDonald, 1990;
504 MacDonald et al., 1993; Huang et al., 2004; Fryer, 2014). Permafrost is an important influence
505 in the distribution of plant communities. In the Yukon-Tanana uplands, Dingman and Koutz
506 (1974) report that *P. glauca*-*B. papyrifera* communities are restricted to permafrost-free areas,
507 such as stream margins where permafrost is absent (Arno et al., 1977). The vegetation
508 community inferred for Danny's Lake suggests that that the establishment of *P. glauca* and *B.*
509 *papyrifera* at Danny's Lake could reflect the establishment of permafrost free zones.
510 Chironomid-inferred mean July air temperatures at Carleton Lake are 11.5°C between ca. 4,000
511 and 3,000 cal yr BP and show that by the time *P. glauca* -*B. papyrifera* communities became
512 established at Danny's Lake that climate had cooled by ~1° since maximum warmth of the

513 Holocene Thermal Maximum (Upiter et al., 2014). A study of peats by Zoltai (1995)
514 demonstrated that at ca. 6,000 cal yr BP (approximately 2,000 years earlier) permafrost was
515 localized (small, isolated lenses in peats) near Danny's Lake and were sporadic (isolated islands)
516 further to the north near UCLA and Carleton lakes (Zoltai, 1995).

517 It is likely that the southern limit of discontinuous permafrost documented at ca. 6,000 cal
518 yr BP during the height of the HTM began to shift to the south, beginning to approach modern
519 position, with discontinuous permafrost surrounding Danny's Lake (Brown, 1967; Zoltai, 1995).
520 While it is unknown how long it took for permafrost to reach its modern distribution in the
521 central NT, it is possible that HTM warmth permitted expansion of *P. glauca* and *B. papyrifera*
522 within overall cooling conditions due to a lag response time of ground thermal regime to climate
523 and/or a lag response time of vegetation migrating from the south to Danny's Lake. Decreased
524 microscopic charcoal abundance, down to 300% of the pollen sum, and an increase in *Sphagnum*
525 and *Botrychium* spores at this time suggest that climate was becoming progressively moister
526 (Ahlgren and Ahlgren, 1960). *Pediastrum* relative abundances and the organic content of
527 Danny's Lake sediments decrease at this time (Griffith, 2014), suggesting a decline in lake
528 productivity. This decline could be due to increased runoff and terrestrial erosion that led to
529 increased turbidity in the water column, associated with moister conditions. No palynological
530 data was collected between ca. 3,180-3,040 cal yr BP and ca. 2,220-2,320 cal yr BP due to
531 insufficient sampling material.

532 After ca. 2,200-2,320 cal yr BP at Danny's Lake, *P. glauca* and *Larix* pollen decline and
533 are replaced with pollen and spores from hygrophilous *B. nana*, *Alnus*, and *Botrychium*,
534 indicating progressive moistening throughout this interval (Figure 4). In the higher resolution
535 decadal-scale Waite Lake palynological record that begins at ca. 2,530-2,200 cal yr BP (Figure

536 5), subtle changes in the relative abundances of pollen are documented. Palynoflora composed
537 primarily of arboreal taxa such as *Abies*, *P. glauca*, *P. banksiana*, as well as *Betula* and *Alnus*
538 reflect a forested environment surrounding Waite Lake during the late Holocene. *Pinus*
539 *banksiana* pollen declines in relative abundance at ca. 2,150-1,920 cal yr BP. *Pediastrum*
540 disappears from the Waite Lake record and microscopic charcoal progressively declines from
541 900% of the pollen sum to a zone minimum of 350% at ca. 2,010-1,710 cal yr BP. These changes
542 at Waite Lake coincide with a decrease in microscopic charcoal from 200 to 100% of the pollen
543 sum at Danny's Lake, indicating that under this moister climate regime regional fires were also
544 less common near the more northern Danny's Lake in comparison to Waite Lake, probably as a
545 result of less fuel available from less dense vegetation cover and/or cooler air temperatures at the
546 more northern site. Pollen and spore accumulation rate also decrease at Waite Lake at this time,
547 indicating a reduced rate of pollen production. These palynological changes likely reflect
548 vegetation reorganization associated with regional Neoglacial cooling. A sedimentological study
549 of Danny's Lake sediments documents a further gradual decline of the fine silt component
550 starting at ca. 2,600 cal yr BP (Macumber, 2015). This component is associated with summer
551 precipitation and would be expected to diminish under a cooling climate regime with shorter
552 summer seasons.

553 5.5 Treeline retreat

554 Following treeline expansion in response to the HTM, treeline retreat occurred across the
555 North American sub-arctic between ca. 5,000 and 3,500 cal yr BP (Spear, 1993; MacDonald,
556 1983; Ritchie, 1984; Ritchie, 1985; Slater, 1985; MacDonald, 1987; Moser and MacDonald,
557 1990; MacDonald et al., 1993; Szeicz et al., 1995; Szeicz and MacDonald, 1995; Pienitz et al.,
558 1999; Szeicz and MacDonald et al., 2001; Huang et al., 2004; Upiter et al., 2014). The

559 chironomid record from Carleton Lake documents treeline retreat and establishment of tundra
560 vegetation at ca. 4,000 cal yr BP (Upiter et al., 2014). Pollen analysis indicates treeline had
561 retreated from Toronto, Queens, Waterloo, McMaster and UCLA lakes by ca. 3,500 to 3,000 cal
562 yr BP (Moser and MacDonald, 1990; MacDonald et al., 1993; Pienitz et al., 1999; Huang et al.,
563 2004).

564 Treeline did not retreat south of Danny's Lake at this time (Figure 6), but forest
565 reorganization is apparent in the pollen record of this site. For instance, pollen of shrubby taxa
566 such as *B. nana* and *Alnus* increase, while pollen of tree taxa such as *P. glauca* and *Larix*
567 diminish in the pollen record. However, due to insufficient material for analysis, the timing of
568 forest reorganization associated with treeline retreat at Danny's Lake can only be placed between
569 ca. 3,180-3,040 cal yr BP and ca. 2,220-2,320 cal yr BP. Treeline retreat across the sub-arctic has
570 been linked to decreasing summer insolation at high latitudes that decreased temperature during
571 the growing season (Huang et al., 2004). Coincident with this treeline retreat, there is an
572 expansion in the North Polar Vortex that would have resulted in cooler climate conditions. This
573 has been documented in terrestrial dust records of the Greenland Summit ice core at ca. 3,000-
574 2,400 cal yr BP (O'Brien et al., 1995). Average regional temperature increased by 1-2°C during
575 this time (Seppa and Birks, 2002; Clegg et al., 2010; Upiter et al., 2014).

576 5.6 The latest Holocene

577 At ca. 1,370-1,170 cal yr BP a brief increase of *P. banksiana* pollen at Waite and
578 Danny's lakes may be in response to unusually cool conditions that perturbed boreal forest
579 vegetation. During this time a fine sand component becomes important in the Danny's Lake
580 sedimentological record, likely reflecting an increase in hydraulic energy during the spring melt

581 (Macumber, 2015). Chironomid-inferred July temperatures show a late Holocene air temperature
582 minimum of 10°C to 11°C around ca. 1,300 cal yr. BP (Upiter et al., 2014). Climate cooling
583 documented in the Danny's and Waite lakes palynological records at this time is broadly coeval
584 with First Millennial Cooling that occurred between ca. 1,690 and ca. 940 cal. yr BP in Alaska
585 and on Baffin Island (Clegg et al., 2010; Thomas et al., 2011), which is associated with
586 decreased solar forcing at this time (Wanner et al., 2011). Between ca. 1,020-850 to 700-570 cal
587 yr BP, *P. glauca* and *Betula* pollen increase in relative abundance, while pollen and spore
588 accumulation rates decrease in the Danny's Lake sediment record.

589 The relative abundance of *Pediastrum* increases in both lake sedimentary records and
590 C/N ratios decline in Danny's Lake sediments at this time (Griffith, 2014), suggesting that
591 summer temperatures were relatively warm and promoted lake productivity. In the
592 sedimentological record of Danny's Lake the fine sand component declines and a sustained
593 interval of the fine silt occurs between ca. 930-740 cal yr BP (Macumber, 2015). This is likely
594 due to lower hydraulic energy available during spring melt and longer summer months that
595 contributed greater amounts of fine silt. Chironomid-inferred mean July temperatures
596 documented at Carleton Lake records are as high as 11.5°C for this interval, a similar
597 temperature to that inferred for the later phases of the HTM (Upiter et al., 2014). This event is
598 time-correlative with the Medieval Warm Anomaly, a time of warming throughout the northern
599 hemisphere that had a variable time range but generally persisted from ca.1,000 to 700 cal yr BP
600 (Lamb, 1965; Mann et al., 2009). The Medieval Warm Anomaly is thought to have been caused
601 by anomalously high solar activity and/or variability in the ocean-atmosphere system (Jirikowic
602 and Damon, 1994; Vaquero and Trigo, 2012). These warm conditions may promoted primary
603 production in Danny's Lake, suggested by the increase in *Pediastrum* and C/N ratios (Griffith,

604 2014), through longer ice free seasons and promotion of stable thermal regime in the lake.
605 Enlargement of the areal extent of permafrost free zones may have promoted expansion of the *P.*
606 *glauca* -*B. papyrifera* communities surrounding Waite and Danny's lakes.

607 The Waite Lake Glew core record spans from ca. 550-310 cal yr BP to the present. Stable boreal
608 forest conditions are documented by the palynological record preserved in these sediments. After
609 ca. 100 cal yr BP pollen accumulation rates decrease and at ca. 70-30 cal yr BP microscopic
610 charcoal abundances rise to a maximum of 950% of the pollen sum, indicating that forest fires
611 became more frequent in the 20th century. In general, typical moisture-loving plants such as
612 *Sphagnum*, *Alnus*, or *Pteridium* do not show much, if any, change at this time in the pollen
613 records presented from Waite Lake. Therefore, it is unlikely that moisture content had increased
614 at this time. While no pollen and spores were analyzed for this interval at Danny's Lake, organic
615 matter and C/N ratio display depletion trends, suggesting a decline in terrestrial vegetation
616 surrounding the lake and possibly increased lake productivity (Griffith, 2014). Chironomid-
617 inferred July temperatures at Carleton Lake increase to 12 to 13°C, approaching or exceeding
618 Holocene Thermal Maximum temperatures (Upiter et al., 2014). This combination of increased
619 productivity in Danny's Lake and increased severity and frequency of forest fires at Waite Lake
620 are likely the effects of 20th century warming on the region.

621 5.7 Treeline change

622 During the HTM, comparison of the Danny's Lake record to previous literature in the
623 region shows that a temperature increase of 1 to 2 °C above present elicited a northward
624 migration of treeline at a rate of about 20 yr/km. Warm conditions of about 1°C during the
625 Medieval Climate Anomaly also resulted in vegetation dynamics, but may have been of too short

626 duration to have resulted in detectable treeline movement. Current temperatures in the central NT
627 are now comparable to those experienced during the HTM and have exceeded those experienced
628 during the Medieval Climate Anomaly. If temperatures stabilized it could be reasonably
629 expected that the northern treeline would again advance northward into area currently occupied
630 by tundra in the coming decades, as treeline had previously advanced past Carleton Lake (~ 200
631 km northeast of present treeline) during the Holocene Thermal Maximum. Further warming may
632 result in more drastic change.

633 Increasing fire frequency documented for the 20th century at Waite Lake appears to be
634 approaching regional fire frequency and severity documented during the Holocene Thermal
635 Maximum at Danny's Lake. It is likely that with future projected warming that fire frequency
636 and severity will continue to increase. As fuel sources increase with denser vegetation near
637 modern treeline and ultimately, its northward movement, the area influenced by large forest fires
638 in the central NWT will also expand.

639

640 **6.0 Summary and Conclusions**

641 We use pollen and spores and microscopic charcoal preserved in a ca. 8,610-8,390 cal
642 year old sediment record from Danny's Lake and a 2,530-2,200 cal year old sediment record
643 from Waite Lake located south of modern latitudinal treeline in the central Canadian sub-arctic
644 to reconstruct treeline migration, vegetation dynamics south of treeline, and regional fire
645 dynamics. Our study provides insight on rates of vegetation and treeline change and predicts the
646 response of boreal vegetation and fire regimes to current and future climate warming. The early-
647 Holocene post-glacial period was a time of warm, dry climate with frequent regional forest fires.

648 Later successional taxa were likely present at Danny's Lake but frequent and/or severe regional
649 fires likely suppressed succession, resulting in the persistence of a birch-shrub community.
650 Middle Holocene vegetation change was driven by a shift to wetter climate with fewer fires that
651 promoted expansion of *Alnus* and *P. mariana* surrounding Danny's Lake as boreal communities
652 expanded northward.

653 Using our well-dated sediments and comparison to other sites, we estimate treeline
654 expansion rates of ~50 m/yr in response to a 1-2°C increase in July temperature during the HTM
655 Treeline expansion that continued throughout the middle Holocene, transforming the landscape
656 surrounding Danny's Lake into boreal forest at ca. 4,050-3,840 cal yr BP. Treeline expansion
657 halted and began to retreat at northern sites after ca. 4,000 cal yr BP in response to the
658 development of cooler climates associated with the Neoglacial. Forest reorganization occurred at
659 more southern sites as well. Tree taxa such as *P. glauca* and *Larix* diminish, while pollen of
660 shrubby taxa such as *B.nana* and *Alnus* increase. However, due to insufficient material for
661 analysis, the timing of forest reorganization associated with treeline retreat at Danny's Lake can
662 only be constrained between ca. 3,180-3,040 cal yr BP and ca. 2,220-2,320 cal yr BP.

663 Further development of moist conditions and decreased forest fire activity after 2,220-
664 2,320 is associated with widespread First Millennial Cooling (ca. 1,690-940 cal yr BP). This
665 cooling trend was punctuated by an episode of increased lake productivity and forestation in
666 response to the warming contemporaneous with the Medieval Climate Anomaly (ca. 1,000-700
667 cal yr BP). Conditions following the Medieval Climate Anomaly were relatively stable until the
668 20th century, where warming has likely driven an increase in fire activity. The Waite and
669 Danny's lake sedimentary records document below- treeline boreal forest dynamics and provide
670 insight on regional responses of sub-arctic terrestrial ecosystems to hemispherical climate events.

671 While it is clear that forest reorganization and expansion occurred in response to mid-
672 Holocene climate change across the North American sub-arctic, local factors (e.g., permafrost)
673 also created heterogeneity in plant community responses to increased temperatures. The central
674 Canadian sub-arctic was the location of much forest reorganization during the Holocene, and
675 further studies of the area will likely refine our understanding of rates of treeline expansion in the
676 region to inform the rate at which northern environments are, and will, respond to current and
677 predicted climate variability.

678

679 **Acknowledgements**

680 Funding and logistics support for this research was provided from the following: Natural
681 Sciences and Engineering Research Council of Canada (Strategic Project Grant to RTP; Visiting
682 Fellowship in a Canadian Government Laboratory to JMG), the Cumulative Impact Monitoring
683 Program (grant to JJMG and HF), the Northwest Territories Geological Survey, Geological
684 Survey of Canada, the Tibbitt to Contwoyto Winter Road Joint Venture (Erik Madsen, Ron
685 Near), the North Slave Metis Alliance (Sheryl Grieve ,Robert Mercredi). We are grateful for the
686 support of the Polar Continental Shelf Program and Golder Associates Ltd. (Yellowknife). We
687 thank Dr. Lisa Neville, Robert Mercredi, and the team of the Tibbitt to Contwoyto Winter Road
688 Joint Venture for assistance with core collection. We are grateful for the assistance from Linda
689 Dancey (Geological Survey of Canada, Calgary) for preparation of material for palynological
690 analyses. This work was carried out under Aurora Research Institute Licence No. 14435. All
691 palynological microscope slides are curated in the permanent collections of the Geological

692 Survey of Canada (thank you to Richard Fontaine). This manuscript represents ESS contribution
693 number: (pending).

694

695 **Figure 1:** Location of Waite and Danny's lakes (this study) coring sites in relation to other
696 central Northwest Territories localities: McMaster (Moser and MacDonald, 1990), Queen's
697 (Moser and MacDonald, 1990; MacDonald et al., 1993), Toronto (Pienitz et al., 1999), Waterloo
698 (MacDonald et al., 1993), UCLA (Huang et al., 2004) and Carleton (Upiter et al., 2013) lakes.
699

700 **Figure 2:** Bayesian age-depth model developed using Bacon for Danny's Lake. On the top panel,
701 leftmost plot shows that both MCMC runs were stable, middle plot shows the prior (curve) and
702 posterior (filled histogram) distributions for accumulation rate (yr cm⁻¹), and the rightmost plot
703 shows the prior (curve) and posterior (filled histogram) for the dependence of accumulation rate
704 between sections. The large plot shows age distributions of calibrated 14C ages and the age
705 depth model (grey). Dark grey areas indicate precisely dated sections of the chronology, while
706 lighter grey areas indicate less chronologically secure sections. Bottom graph shows modeled
707 total chronological error range. Chronology reported in Table 1.
708

709 **Figure 3:** Bayesian age-depth model developed using Bacon for Waite Lake. On the top panel,
710 leftmost plot shows that both MCMC runs were stable, middle plot shows the prior (curve) and
711 posterior (filled histogram) distributions for accumulation rate (yr cm⁻¹), and the rightmost plot
712 shows the prior (curve) and posterior (filled histogram) for the dependence of accumulation rate
713 between sections. The large plot shows age distributions of calibrated 14C ages and the age-
714 depth model (grey). Dark grey areas indicate precisely dated sections of the chronology, while
715 lighter grey areas indicate less chronologically secure sections. Bottom graph shows modeled
716 total chronological error range. Chronology reported in Table 1.
717

718 **Figure 4:** Relative abundances of pollen and spore taxa preserved in sediments of the Danny's
719 Lake core. Zonation is based on stratigraphically constrained cluster analysis (CONISS) of
720 pollen and spore taxa shown (Grimm, 1987). Chronology is from Table 1. Shaded areas
721 represent 5% exaggeration.
722

723 **Figure 5:** Relative abundances of pollen and spore taxa occurring in one or more samples at
724 Waite Lake. Zonation is based on stratigraphically constrained cluster analysis (CONISS) of
725 pollen and spore taxa shown (Grimm, 1987). Chronology is from Table 1. Shaded areas
726 represent 5% exaggeration.
727

728 **Figure 6:** Summary diagram showing interpreted regional climate and vegetation reorganization
729 events alongside spruce pollen, microscopic charcoal, coarse and very fine silt fraction, $\delta^{13}\text{C}$ and
730 C/N ratios of Danny's and Waite lakes.
731

732 **Table 1:** AMS radiocarbon dates from the Danny's and Waite lakes sediment cores.
733

734 **Table 2:** Taxonomic authority and common name of palynomorphs identified in the Danny's and
735 Waite lakes sediment cores.
736

737

738 **References Cited**

- 739 Ahlgren, I.F., Ahlgren, C.E., 1960. Ecological effects of forest fires. *Botanical Review* 26, 483-
740 533.
- 741
742 Allan, R., Lindsay, J., Parker, D., 1996. *El Nino: Southern oscillation and climatic variability*.
743 CSIRO Publishing, Collingwood, Victoria, Australia.
- 744
745 Arno, S.F., Hammerly, R.P., 1977. *Northwest Trees*. The Mountaineers, Seattle, Washington.
- 746
747 Barnekow, L., 1999. Holocene tree-line dynamics and inferred climatic changes in the Abisko
748 area, northern Sweden, based on macrofossil and pollen records. *The Holocene* 9(3), 253-265.
- 749
750 Barnekow, L., Sandgren, P., 2001. Palaeoclimate and tree-line changes during the Holocene
751 based on pollen and plant macrofossil records from six lakes at different altitudes in northern
752 Sweden. *Review of Palaeobotany and Palynology* 117, 109-118.
- 753
754 Barnett, C., Dumayne-Peaty, L., Matthews, J.A., 2001. Holocene climatic change and tree-line
755 response in Leirdalen, central Jotunheimen, south central Norway. *Review of Palaeobotany and*
756 *Palynology* 117, 119-137.
- 757
758 Bergman, J., Hammarlund, D., Hannon, G., Barnekow, L., Wohlfarth, B., 2005. Deglacial
759 vegetation succession and Holocene tree-limit dynamics in the Scandes Mountains, west-central
760 Sweden: stratigraphic data compared to megafossil evidence. *Review of Palaeobotany and*
761 *Palynology* 134, 129-151.
- 762
763 Berger, A., Loutre, M.F., 1991. Insolation values for the climate of the last 10 million years.
764 *Quaternary Science Reviews* 10, 287-317.
- 765
766 Birks, H.J.B., Peglar, S.M., 1980. Identification of *Picea* pollen of Late Quaternary age in
767 eastern North America: a numerical approach. *Canadian Journal of Botany* 58, 2043-2058.
- 768
769 Bjorck, S., Wohlfarth, B., 2001. ^{14}C chronostratigraphic techniques in paleolimnology. In: Last,
770 W.M., Smol, J.P. (Ed.) *Tracking Environmental Change Using Lake Sediments. Basin Analysis,*
771 *Coring and Chronological Techniques*. Kluwer, Dordrecht, pp. 205-245.
- 772
773 Blaauw, M., Christen, J.A., 2011. Flexible paleoclimate age-depth models using an
774 autoregressive gamma process. *Bayesian Analysis* 6(3), 457-474.
- 775
776 Blaauw, M., Christen, J.A., 2005. Radiocarbon peat chronologies and environmental change.
777 *Applied Statistics* 54, 805-816.
- 778
779 Blackmore, S., Steinmann, J.A.J., Hoen, P.P., Punt, W., 2003. *Betulaceae and Corylaceae*.
780 *Review of Paleobotany and Palynology* 123, 71-98.
- 781
782 Boaretto E., Thorling L., Sveinbjornsdottir A.E., Yechieli Y., Heinemeier, J., 1998. Study of the
783 effect of fossil organic carbon on ^{14}C in groundwater from Hvinningdal, Denmark. *Radiocarbon*

784 40, 915-920.
785
786 Bonan, G.B., Pollard, D., Thompson, S.L., 1992. Effects of boreal forest vegetation on global
787 climate. *Nature* 359, 716-718.
788
789 Brown, R.J.E., 1967. Permafrost in Canada. Map 1246a. Geological Survey of Canada, Ottawa.
790
791 Brubaker, L.B., Graumlich, L.J., Anderson, P.M. 1987. An evaluation of statistical techniques
792 for discriminating *Picea glauca* from *Picea mariana* pollen in northern Alaska. *Canadian Journal*
793 *of Botany* 65: 899-906.
794
795 Bryson, R.A., 1966. Air masses, streamlines, and the boreal forest. *Geographical Review* 8, 228-
796 269.
797
798 Clark, J.S., 1988. Particle motion and the theory of charcoal analysis: Source area, transport,
799 deposition, and sampling. *Quaternary Research* 30(1), 67-80.
800
801 Clegg, B.F., Clarke, G.H., Chipman, M.L., Chou, M., Walker, I.R., Tinner, W., Hu, F.S., 2010.
802 Six millennia of summer temperature variation based on midge analysis of lake sediments from
803 Alaska. *Quaternary Science Reviews* 29(23), 3308-3316.
804
805 Conedera, M., Tinner, W., Neff, C., Meurer, M., Dickens, A.F., Krebs, P., 2009. Reconstructing
806 past fire regimes: methods, applications, and relevance to fire management and conservation.
807 *Quaternary Science Reviews* 28(5), 555-576.
808
809 Crann, C.A., Patterson, R.T., Macumber, A.L., Galloway, J.M., Roe, H.M., Blaauw, M.,
810 Swindles, G.T., Falck, H., 2015. Sediment accumulation rate in subarctic lakes: Insights into
811 agedepth modelling from 22 dated lake records from the Northwest Territories, Canada.
812 *Quaternary Geology* 27, 131-144.
813
814 Davis, W.J., Garipey, C., Breeman, O., 1996. Pb isotopic composition of late Archaean granites
815 and the extent of recycling early Archaean crust in the Slave Province, northwest Canada.
816 *Chemical Geology* 130, 255-269.
817
818 Dingman, S.L., Koutz, F.R., 1974. Relations among vegetation, permafrost, and potential
819 insolation in central Alaska. *Arctic and Alpine Research* 6, 37-42.
820
821 Dyke, A.S., 2005. Late Quaternary vegetation history of Northern North America based on
822 pollen, macrofossil, and faunal remains. *Géographie physique et Quaternaire* 59(2-3), 211-262.
823
824 Edwards, T.W.D., Wolfe, B.B., MacDonald, G.M., 1996. Influence of changing atmospheric
825 circulation on precipitation $\delta^{18}O$ -temperature relations in Canada during the Holocene.
826 *Quaternary Research* 46, 211-218.
827
828 Faegri, K., Iversen, J., 1989. *Textbook of Pollen Analysis, Fourth Edition*. John Wiley and Sons.
829

830 Francus, P, Bradley, R.S., Lewis, T., Abbott, M., Retelle, M., Stoner, J.S., 2008. Limnological
831 and sedimentary processes at Sawtooth Lake, Canadian high Arctic, and their influence on varve
832 formation. *Journal of Paleolimnology* 40(3), 963-985.
833

834 Fryer, J.L., 2011. *Alnus incana*. In: Fire Effects Information System.
835 U.S. Department of Agriculture, Forest Service, Rocky Mountain Research Station, Fire
836 Sciences Laboratory.
837

838 Fryer, J.L., 2014. *Picea mariana*. In: Fire Effects Information System.
839 U.S. Department of Agriculture, Forest Service, Rocky Mountain Research Station, Fire
840 Sciences Laboratory.
841

842 Galloway, J.M., Macumber, A., Patterson, R.T., Falck, H., Hadlari, T., Maden, E., 2010a.
843 Paleoclimatological assessment of the Southern Northwest Territories and implications for the
844 long-term viability of the Tibbitt to Contwoyto Winter Road, Part I: Core collection. Open
845 Report 2010-002. Northwest Territories Geoscience Office, NT.
846

847 Galloway, J.M., Babalola, L.O., Patterson, R.T., Roe, H.M. 2010b. A high-resolution marine
848 palynological record from the central mainland coast of British Columbia, Canada: evidence for
849 a mid-Holocene dry climate interval. *Marine Micropaleontology* 75: 62-78.
850

851 Gardener, J.J., Whitlock, C., 2001. Charcoal accumulation following a recent fire in the Cascade
852 Range, northwestern USA, and its relevance for fire-history studies. *The Holocene* 11(5), 541-
853 549.
854

855 Glew, J.R., 1991. Miniature gravity corer for recovering short sediment cores. *Journal of*
856 *Paleolimnology* 5, 285-287.
857

858 Glew, J.R., Smol, J.P., Last, W.M., 2001. Sediment core collection and extrusion. Tracking
859 environmental changes using lake sediments, Volume 1. Kluwer Academic Publishers,
860 Dordrecht.
861

862 Griffith, F., 2014. Holocene and recent paleoclimate investigations using carbon and nitrogen
863 isotopes from bulk sediment of two subarctic lakes, central Northwest Territories. Unpublished
864 Thesis, University of Ottawa, Ontario.
865

866 Grimm, E.C., 1987. CONISS: A FORTRAN 77 program for stratigraphically constrained cluster
867 analysis by the method of incremental sum of squares. *Computers and Geosciences* 13, 13-35.
868

869 Grimm, E.C., 1993-2004. Tilia View: version 2.0.2. Research and Collections Center, Illinois
870 State Museum.
871

872 Hakansson, S., 1976. Radiocarbon activity in submerged plants from various South Swedish
873 lakes. In: Berger, R., Suess H.E. (Eds.) Radiocarbon dating - ninth international conference.
874 University of California Press, pp. 433-443.
875

876 Hansen, B.C.S., Engstrom, D.R., 1985. A comparison of numerical and qualitative methods of
877 separating pollen of black and white spruce. *Canadian Journal of Botany* 63, 2159-2163.
878

879 Heusser, L.E., 1983. Palynology and paleoecology of postglacial sediments in an anoxic basin,
880 Sannich Inlet, British Columbia. *Canadian Journal of Earth Sciences* 20, 873-885.
881

882 Hu, F.S., Brubaker, L.B., Anderson, P.M. 1993. A 12,000 year record of vegetation change and
883 soil development from Wien Lake, central Alaska. *Canadian Journal of Botany* 71: 113-1142.
884

885 Hu, F.S., Brubaker, L.B. 1996. Boreal ecosystem development in the Northwestern Alaska range
886 since 11,000 yr B.P. *Quaternary Research* 45: 188-201.
887

888 Hua, Q., Barbetti, M., 2004. Review of tropospheric bomb radiocarbon data for carbon cycle
889 modelling and age calibration purposes. *Radiocarbon* 46, 1273-1298.
890

891 Huang, C.C., MacDonald, G., Cwynar, L., 2004. Holocene landscape development and climatic
892 change in the low arctic, Northwest Territories, Canada. *Palaeogeography, Paleoclimatology,*
893 *Paleoecology* 205, 221-234.
894

895 Iversen, J., 1941. Land occupation in Denmark's Stone Age. *Danmarks Geologiske*
896 *Forenhandlungen* 2, 1-66.
897

898 Jankovská, V., Komárek, J., 2000. Indicative value of *Pediastrum* and other coccal green algae
899 in paleoecology. *Folia Geobotanica* 35, 59-82.
900

901 Jirikowic, J.L., Damon, P.E., 1994. The medieval solar activity maximum. *Climate Change*
902 26(2), 309-316.
903

904 Juday, G.P., Barber, V. Duffy, P., Linderholm, H., Rupp, S., Sparrow, S., Vaganov, E., Yarie, J.,
905 2005. Chapter 14: Forests, land management, and agriculture. In: Huntington, H., Weller, G.
906 (Eds.) *Arctic Climate Impact Assessment*. Cambridge University Press, United Kingdom.
907

908 Kapp, R.O., 1969. *How to know pollen and spores*. W.M.C. Brown Company Publishers,
909 Dubuque, Iowa.
910

911 Kaufman, D.S., Ager, T.A., Anderson, N.J., Anderson, P.M., Andrews, J.T., Bartlein, P.J.,
912 Brubaker, L.B., Coats, L.L., Cwynar, L.C., Duvall, M.L., et al., 2004. Holocene thermal
913 maximum in the western Arctic (0-180° W). *Quaternary Science Reviews* 23, 529-560.
914

915 Kerwin, M.W., Overpeck, J.T., Webb, R.S., Anderson, K.H., 2004. Pollen-based summer
916 temperature reconstructions for the eastern Canadian boreal forest, subarctic, and Arctic.
917 *Quaternary Science Reviews* 23, 1901-1924.
918

919 King, G.A., 1993. Vegetation and pollen relationships in eastern Canada. *Canadian Journal of*
920 *Botany* 71, 193-210.
921

922 Kochtubajda, B., Flannigan, M.D., Gyakum, J.R., Stewart, R.E., Logan, K.A., Nguyen, T.V.,
923 2006. Lightning and fires in the Northwest Territories and responses to future climate change.
924 *Arctic* 59, 211-221.

925
926 Lamb, H.H., 1965. The early medieval warm epoch and its sequel. *Paleogeography,*
927 *Paleoclimatology, Paleoecology* 1, 13-37.

928
929 Larsen, C.P.S., MacDonald G.M., 1998. Fire and vegetation dynamics in a jack pine and black
930 spruce forest reconstructed using fossil pollen and charcoal. *Journal of Ecology* 86, 815-828.

931
932 Lindbladh, M., O'Connor, R., Jacobson Jr., G.L., 2002. Morphometric analysis of pollen grains
933 for paleoecological studies: classification of *Picea* from eastern North America. *American*
934 *Journal of Botany* 89, 1459-1467.

935
936 Lisitsyna, O.V., Giesecke, T., Hicks, S., 2011. Exploring pollen percentage threshold values as
937 an indication for the regional presence of major European trees. *Review of Palaeobotany and*
938 *Palynology* 166, 311-324.

939
940 Lynch, J.A., Clark, J.S., Bigelow, N.H., Edwards, M.E., Finney, B.P. 2002. Geographic and
941 temporal variations in fire history in boreal ecosystems of Alaska. *Journal of Geophysical*
942 *Research* 108: 1-17.

943
944 MacDonald, G.M., 1983. Holocene vegetation history of the upper Natla River Area, Northwest
945 Territories, Canada. *Arctic and Alpine Research* 15(2), 169-180.

946
947 MacDonald, G.M., 1987. Postglacial vegetation history of the Mackenzie River Basin.
948 *Quaternary Research* 28, 245-262.

949
950 MacDonald, G.M., Edwards, T.W.D., Moser, K.A., Pienitz, R., Smol, J.P., 1993. Rapid response
951 of treeline vegetation and lakes to past climate warming. *Nature* 361, 243-246.

952
953 MacDonald, G.M., Larsen, C.P.S., Szeicz, J.M., Moser, K.A., 1991. The reconstruction of boreal
954 forest fire history from lake sediments: a comparison of charcoal, pollen, sedimentological, and
955 geochemical indices. *Quaternary Science Reviews* 10, 53-71.

956
957 MacDonald, G.M., Velichko, A.A., Kremenetski, C.V., Borisova, O.K., Goleva, A.A., Andreev,
958 A.A., Cwynar, L.C., Riding, R.T., Forman, S.L., Edwards, T.W.D., et al., 2000. Holocene
959 treeline history and climate change across northern Eurasia. *Quaternary Research* 53, 302-311.

960
961 Macumber, A.L., Neville, L.A., Galloway, J.M., Patterson, R.T., Falck, H., Swindles, G., Crann,
962 C., Clark, I., Gammon, P., Madsen, E., 2011. Paleoclimatological assessment of the Southern
963 Northwest Territories and implications for the long-term viability of the Tibbitt to Contwoyto
964 Winter Road, Part II: March 2010 field season results. Open Report 2010-010. Northwest
965 Territories Geoscience Office, NT.

966
967 Macumber, A.L. 2015. Grain-size analysis and Arcellinida as tools for inferring

968 paleoclimatological variability: a case study from Holocene Canadian Subarctic lake sediments.
969 Ph.D. Dissertation, Carleton University.
970
971 Mann, M.E., Zhang, Z., Rutherford, S., Bradley, R.S., Hughes, M.K., Shindell, D., Ammann, C.,
972 Faluvegi, G., Ni, F., 2009. Global signatures and dynamical origins of the Little Ice Age and
973 Medieval Climate Anomaly. *Science* 326, 1256–1260.
974
975 Matthews, R.F., 1992. *Alnus viridis* subsp. *crispa*. In: Fire Effects Information System.
976 U.S. Department of Agriculture, Forest Service, Rocky Mountain Research Station, Fire
977 Sciences Laboratory.
978
979 McAndrews, J.H., Berti, A.A., Norris, G., 1973. Key to the Quaternary pollen and spores of the
980 Great Lakes Region. Royal Ontario Museum, Toronto, Ontario.
981
982 Moser, K.A., MacDonald, G.M., 1990. Holocene Vegetation Change at Treeline North of
983 Yellowknife, Northwest Territories, Canada. *Quaternary Research* 34, 227-239.
984 National Climate Data and Information Archive. Available from:
985 www.climate.weatheroffice.gc.ca
986
987 O'Brien, S.R., Mayewski, P.A., Meeker, L.D., Meese, D.A., Twickler, M.S., Whitlow, S.I.,
988 1995. Complexity of Holocene climate as reconstructed from a Greenland ice core. *Science* 270,
989 1962-1964.
990
991 Ohlson, M., Tryterud, E., 2000. Interpretation of the charcoal record in forest soils: forest fires
992 and their production and deposition of macroscopic charcoal. *The Holocene* 10(4), 519-525.
993
994 Overpeck, J., Hughen, K., Hardy, D., Bradley, R., Case, R., Douglas, M., Finney, B., Gajewski,
995 K., Jacoby, C., Jennings, A., Lamoureux, S., Lasca, A., MacDonald, G., Moore, J., Retelle, M.,
996
997 Patterson, W.A., Edwards, K.J., Maguire, D.J., 1987. Microscopic charcoal as a fossil indicator
998 of fire. *Quaternary Science Reviews* 6, 3-23.
999
1000 Philippsen, B., Heinemeier, J. 2013. Freshwater reservoir effect variability in Northern Germany.
1001 *Radiocarbon* 55(2): 1085-1101.
1002
1003 Pienitz, R., Smol, J.P., MacDonald, G.M., 1999. Paleolimnological reconstruction of Holocene
1004 climatic trends from two boreal treeline lakes, Northwest Territories, Canada. *Arctic, Antarctic,
1005 and Alpine Research* 31, 82-93.
1006
1007 Reimer, P.J., Brown, T.A., Reimer, R.W., 2004. Discussion: Reporting and calibration of Post-
1008 Bomb 14C Data. *Radiocarbon* 46, 1299-1304.
1009
1010 Reimer, P.J., Bard, E., Bayliss, A., Beck, J.W., Blackwell, P.G., Bronk Ramsey, C., Grootes, P.
1011 M., Guilderson, T.P., Haflidason, H., Hajdas, I., et al., 2013. IntCal13 and Marine13
1012 Radiocarbon Age Calibration Curves 0-50,000 years cal BP. *Radiocarbon*, 55(4), 1869-1887.
1013

1014 Ritchie, J.C., 1984. A Holocene pollen record of boreal forest history from the Travaillant Lake
1015 area, Lower Mackenzie River Basin. *Canadian Journal of Botany* 62, 1385-1392.
1016
1017 Ritchie, J.C., 1985. Late-Quaternary climatic and vegetational change in the lower Mackenzie
1018 basin, Northwest Canada. *Ecology* 66(2), 612-621.
1019
1020 Rowe, J.S., Scotter, G.W., 1973. Fire in the Boreal Forest. *Quaternary Research* 3, 444-464.
1021
1022 Seppa, H., Cwynar, L.C., MacDonald, G.M., 2003. Post-glacial vegetation reconstruction and a
1023 possible 8200 cal. yr BP event from the low arctic of continental Nunavut, Canada. *Journal of*
1024 *Quaternary Science* 18(7), 621-629.
1025
1026 Seppa, H., Birks, H.J.B., 2002. Holocene climate reconstructions from the Fennoscandian
1027 treeline area based on pollen data from toskaljavri. *Quaternary Research* 57, 191-199.
1028
1029 Slater, D.S., 1985. Pollen analysis of postglacial sediments from Eildun Lake, District of
1030 Mackenzie, NWT, Canada. *Canadian Journal of Earth Science* 22, 663-674.
1031
1032 Spear, R.W., 1993. The palynological record of Late-Quaternary arctic tree-line in northwest
1033 Canada. *Review of Paleobotany and Palynology* 79, 99-111.
1034
1035 Spence, C., Woo, M.K., 2008. Chapter 13: Hydrology of the northwestern subarctic Canadian
1036 Shield. *Cold Region Atmospheric and Hydrologic Studies*, Springer.
1037
1038 Stanley, E.A., 1966. Problems of reworked pollen and spores in marine sediments. *Marine*
1039 *Geology* 4, 397-408.
1040
1041 Stockmarr, J., 1971. Tablets with spores used in absolute pollen analysis. *Pollen et spores* 13,
1042 615-621.
1043
1044 Szeicz, J.M., MacDonald, G.M., Duk-Rodkin, A., 1995. Late Quaternary vegetation history of
1045 the central Mackenzie Mountains, Northwest Territories, Canada. *Paleogeography,*
1046 *Paleoclimatology, Paleoecology* 113, 351-371.
1047
1048 Szeicz, J.M., MacDonald, G.M., 1995. Recent white spruce dynamics at the subarctic alpine
1049 treeline of North-Western Canada. *Journal of Ecology* 83, 873-875.
1050
1051 Szeicz, J.M., MacDonald, G.M., 2001. Montane climate and vegetation dynamics in easternmost
1052 Beringia during the Late Quaternary. *Quaternary Science Reviews* 20, 247-257.
1053
1054 Thomas, E.K., Briner, J.P., Axford, Y., Francis, D.R., Miller, G.H., Walker, I.R., 2011. A 2000-
1055 yrlong multi-proxy lacustrine record from eastern Baffin Island, Arctic Canada reveals first
1056 millennium AD cold period. *Quaternary Research* 75, 491-500.
1057
1058 Tinner, W., Conedera, M., Ammann, B., Gaggeler, H.W., Gedye, S., Jones, R., Sagesser, B.,
1059 1998. Pollen and charcoal in lake sediments compared with historically documented forest fires

1060 in southern Switzerland since AD 1920. *The Holocene* 8(1), 31-42.
1061
1062 Tollefson, J.E., 2007. *Betula nana*. In: Fire Effects Information System.
1063 U.S. Department of Agriculture, Forest Service, Rocky Mountain Research Station, Fire
1064 Sciences Laboratory.
1065
1066 Uchytil, R.J., 1991a. *Betula papyrifera*. In: Fire Effects Information System.
1067 U.S. Department of Agriculture, Forest Service, Rocky Mountain Research Station, Fire
1068 Sciences Laboratory.
1069
1070 Uchytil, R.J., 1991b. *Picea glauca*. In: Fire Effects Information System.
1071 U.S. Department of Agriculture, Forest Service, Rocky Mountain Research Station, Fire
1072 Sciences Laboratory.
1073
1074 Uchytil, R.J., 1991c. *Abies balsamea*. In: Fire Effects Information System.
1075 U.S. Department of Agriculture, Forest Service, Rocky Mountain Research Station, Fire
1076 Sciences Laboratory.
1077
1078 Uchytil, R.J., 1991d. *Picea mariana*. In: Fire Effects Information System.
1079 U.S. Department of Agriculture, Forest Service, Rocky Mountain Research Station, Fire
1080 Sciences Laboratory.
1081
1082
1083 Upiter, L.M., Vermaire, J.C., Patterson, R.T., Crann, C., Galloway, J.M., Macumber, A.L.,
1084 Neville, L.A., Swindles, G.T., Falck, H., Roe, H.M., Pisaric, M.F.J., 2014. Mid- to late Holocene
1085 chironomid-inferred temperature variation for the central Northwest Territories, Canada. *Journal*
1086 *of Paleolimnology* 52, 11-26.
1087
1088 Vaquero, J.M., Trigo, R.M., 2012. A note on solar cycle length during the Medieval Climate
1089 Anomaly. *Solar Physics* 279(1), 289-294.
1090
1091 Wanner, H., Solomina, O., Grosjean, M., Ritz, S.P. and Jetel, M., 2011. Structure and origin of
1092 Holocene cold events. *Quaternary Science Reviews* 30, 3109-3123.
1093
1094 Whitford, J., 2007. Report #1013994. Ecological Resources Inventory, Yellowknife, NT.
1095
1096 Whitlock, C., Millspaugh, S.H. 1996. Testing assumptions of fire history studies: an examination
1097 of modern charcoal accumulation in Yellowstone National Park. *The Holocene* 6, 7-15.
1098
1099 Willemse, N.W., Tornqvist, T.E., 1999. Holocene century-scale temperature variability from
1100 West Greenland lake records. *Geology* 27(7), 580-584.
1101
1102 Wolfe, B.B., Edwards, T.W.D., Aravena, R., MacDonald, G.M., 1996. Rapid Holocene
1103 hydrologic change along boreal tree-line revealed by $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ in organic lake sediments,
1104 Northwest Territories, Canada. *Journal of Paleolimnology* 15, 171-181.
1105

- 1106 Wolfe, B.B., Edwards, T.W.D., Aravena, R., 1999. Changes in carbon and nitrogen cycling
1107 during tree-line retreat recorded in the isotopic content of lacustrine organic matter, western
1108 Taimyr Peninsula, Russia. *The Holocene* 9, 215-222.
1109
- 1110 Wolfe, B.B., Edwards, T.W.D., Jiang, H., MacDonald, G.M., Gervais, B.R. and Snyder, J.A.,
1111 2003. Effect of varying oceanicity on early- to mid-Holocene palaeohydrology, Kola Peninsula,
1112 Russia: isotopic evidence from treeline lakes. *The Holocene* 13, 153-160.
1113
- 1114 Zoltai, S.C., 1995. Permafrost distribution in peatlands of west-central Canada during the
1115 Holocene Warm Period 6000 years BP. *Géographie Physique et Quaternaire* 49(1), 45-54.

Table 2: AMS radiocarbon dates from Danny's and Waite lakes. Bold indicates omitted outliers.

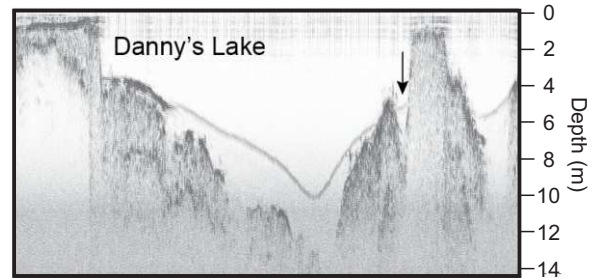
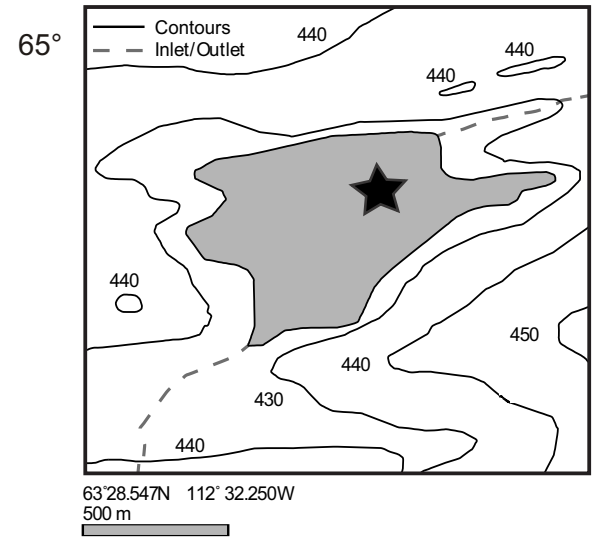
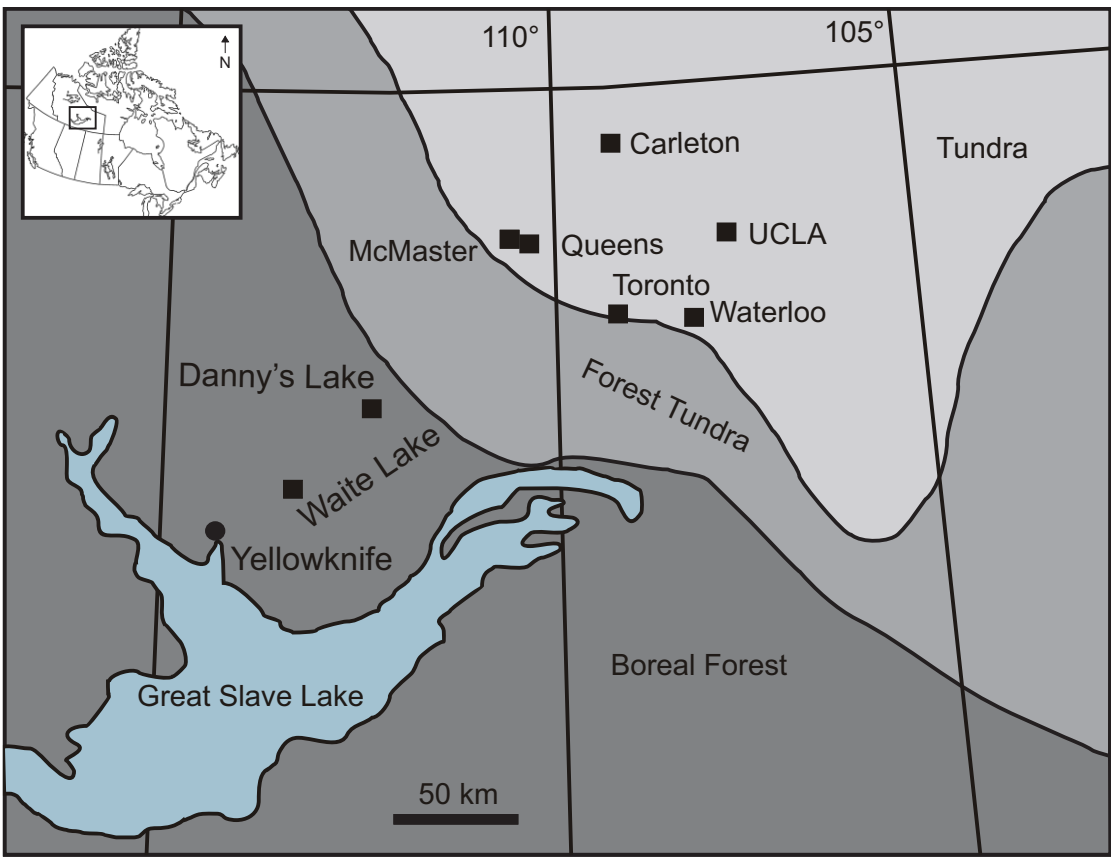
Lab ID	AMS or conv.	Depth range (cm)	^{14}C age (BP) $\pm 1\sigma$	Material Dated	$\delta^{13}\text{C}$ ‰ (VDPB)	Cal BP $\pm 2\sigma$
Danny's Lake						
UBA-17359	AMS	5.7	693 \pm 21	Bulk sed.	-27.5	567–679
UBA-17360	AMS	10.2	855 \pm 23	Bulk sed.	-30.1	695–795
UBA-16543	AMS	15–15.5	1329 \pm 23	Bulk sed.	-26.3	1184–1299
UBA-17361	AMS	21.9	1617 \pm 25	Bulk sed.	-29.2	1416–1556
UBA-17431	AMS	27.8	1659 \pm 21	Bulk sed.	-27.8	1521–1615
UBA-16544	AMS	32.6	1916 \pm 25	Bulk sed.	-27.5	1818–1904
UBA-20377	AMS	33.5	2071 \pm 24	Bulk sed.	-24.7	1987–2120
UBA-20378	AMS	34.2	2159 \pm 24	Bulk sed.	-27.8	2061–2305
UBA-17929	AMS	34.5	2257 \pm 26	Bulk sed.	-30.2	2158–2343
UBA-20376	AMS	35.3	2073 \pm 28	Bulk sed.	-29.5	1986–2124
UBA-20375	AMS	36.8	2248 \pm 25	Bulk sed.	-29.5	2158–2339
UBA-17432	AMS	37.6	2659 \pm 32	Bulk sed.	-29.0	2742–2884
UBA-20374	AMS	38.4	2392 \pm 25	Bulk sed.	-27.6	2345–2488
UBA-20373	AMS	39.3	2448 \pm 33	Bulk sed.	-29.1	2358–2702
UBA-17930	AMS	40.4	2549 \pm 26	Bulk sed.	-28.6	2503–2748
UBA-20371	AMS	41.4	2554 \pm 28	Bulk sed.	-28.7	2503–2750
UBA-20372	AMS	43.3	4863 \pm 29	Bulk sed.	-24.7	5583–5652
UBA-16545	AMS	45–45.5	2912 \pm 24	Bulk sed.	-29.1	2964–3157
UBA-16546	AMS	56.9	3604 \pm 25	Bulk sed.	-26.2	3845–3975
UBA-16547	AMS	70.1	5039 \pm 51	Bulk sed.	-29.6	5661–5903
UBA-16548	AMS	85–85.5	5834 \pm 29	Bulk sed.	-31.3	6560–6733
UBA-17931	AMS	89.5	6231 \pm 34	Bulk sed.	-29.6	7016–7253
UBA-16439	AMS	95.5	8112 \pm 32	Bulk sed.	-27.3	8997–9125
UBA-17932	AMS	99.1	7623 \pm 38	Bulk sed.	-28.9	8370–8518
UBA-16440	AMS	113.6	7450 \pm 30	Bulk sed.	-24.9	8191–8346
Lab ID	AMS or conv.	Depth range (cm)	^{14}C age (BP) $\pm 1\sigma$	Material Dated	$\delta^{13}\text{C}$ ‰ (VDPB)	Cal BP $\pm 2\sigma$
Waite Lake Freeze Core						
UBA-18474	AMS	0	1084 \pm 41	Bulk sed.	-10.3	927–1066
UBA-16433	AMS	16.9	995 \pm 24	Bulk sed.	-18.6	800–961
UBA-16434	AMS	29.1	1129 \pm 22	Bulk sed.	-18.8	965–1076
UBA-16435	AMS	43.2	1455 \pm 23	Bulk sed.	-16.5	1304–1384
UBA-16436	AMS	57.8	1519 \pm 22	Bulk sed.	-21.1	1345–1514
Beta-257686	AMS	66.3	1520 \pm 40	Bulk sed.	-18.6	1333–1520
UBA-15638	AMS	109.7	2107 \pm 29	Twig	-31.7	1997–2149
Beta-257688	AMS	154	2580 \pm 40	Bulk sed.	-18.3	2498–2769
Beta-257689	AMS	185	2920 \pm 40	Bulk sed.	-18.0	2955–3210
Beta-257690	AMS	205.1	3460 \pm 40	Bulk sed.	-17.2	3633–3838
Waite Lake Glew Core						
UBA-18968	AMS	17–17.5	1.0562 \pm 0.003	Bulk sed.	-24.4	AD1956–1957
UBA-18969	AMS	27–27.5	309 \pm 22	Bulk sed.	-26.6	304–455
UBA-18970	AMS	37–37.5	556 \pm 26	Bulk sed.	-21.8	522–637

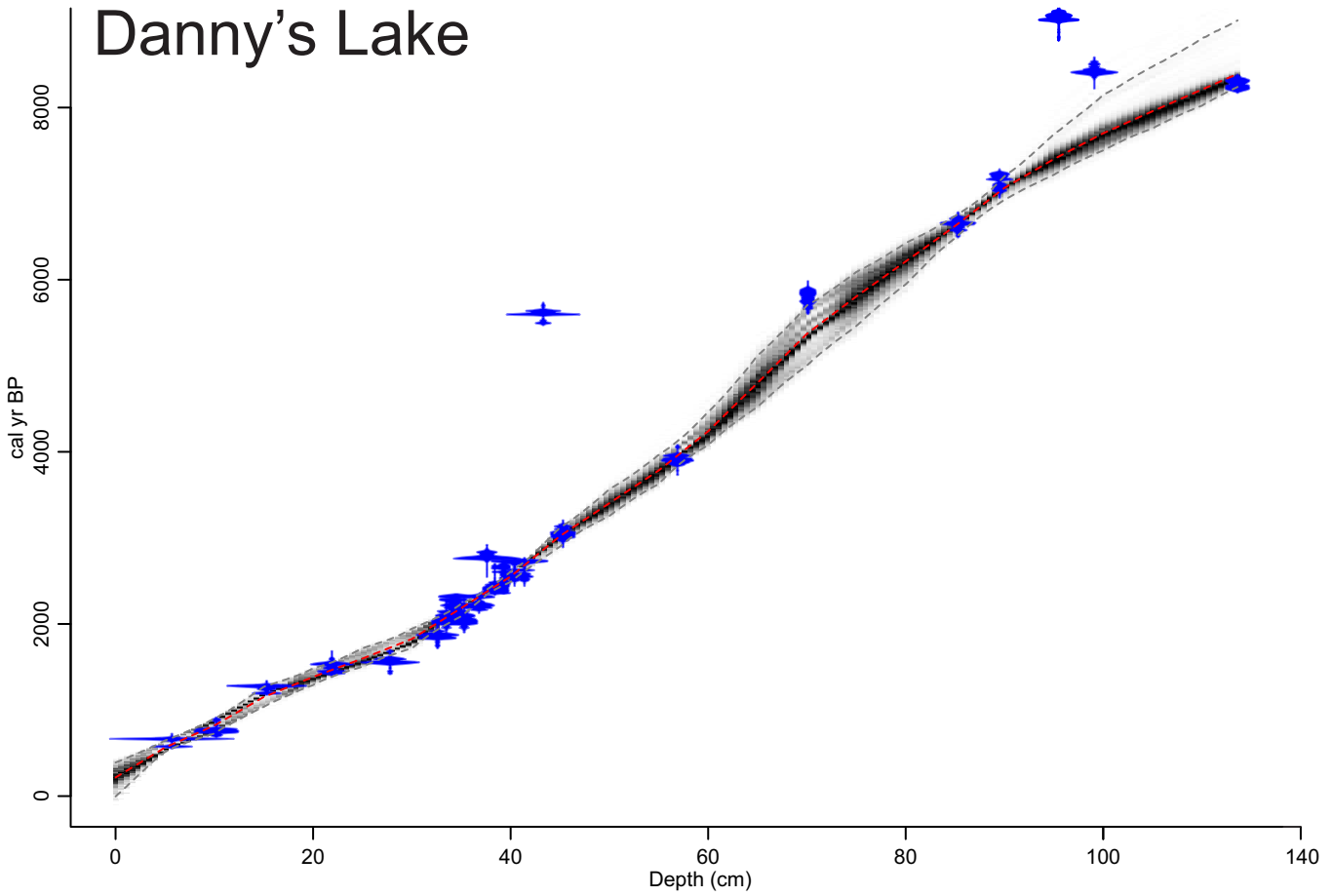
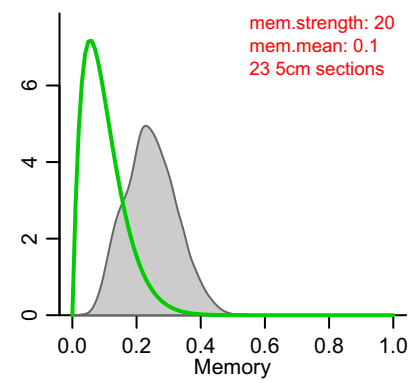
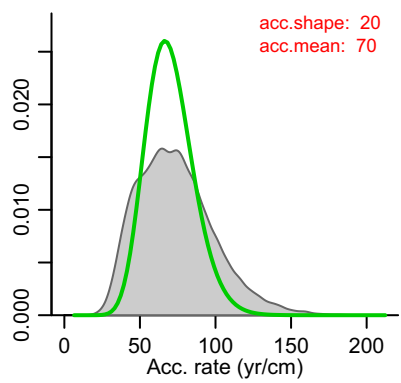
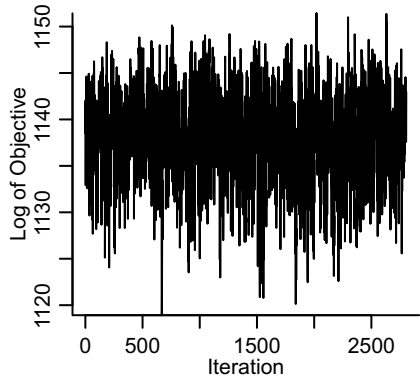
Table 1: Taxonomic authority and common names of Danny's Lake taxa.

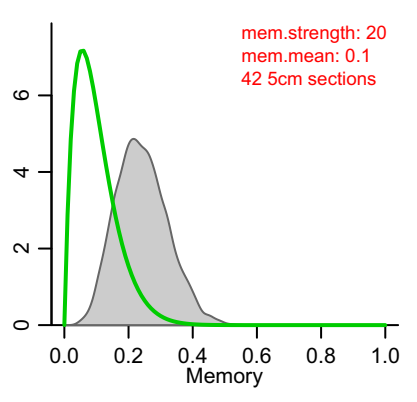
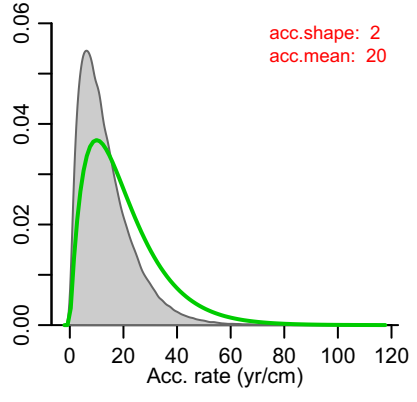
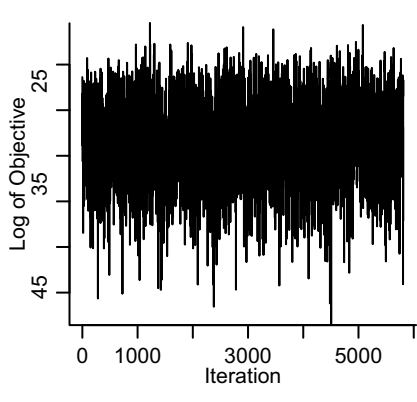
Latin Name	Authority	Common Name
<i>Pinus</i> spp.	L.	Pine
<i>Pinus banksiana</i>	Lamb.	Jack Pine
<i>Picea</i> spp.	A. Dietr.	Spruce
<i>Picea mariana</i>	P. mill	Black Spruce
<i>Picea glauca</i>	(Moench) Voss	White Spruce
<i>Abies balsamea</i>	(L.) P. mill	Balsam Fir
<i>Larix</i> spp.	P. mill	Larch
<i>Larix laricina</i>	Du Roi (K. Koch)	Tamarack
<i>Juniperus</i> spp.	L.	Juniper
<i>Juniperus communis</i>	L.	Common Juniper
<i>Juniperus horizontalis</i>	Moench	Creeping Juniper
<i>Populus</i> spp.	L.	Poplar
<i>Populus tremuloides</i>	Michx	Trembling Poplar
<i>Populus balsamifera</i>	L.	Balsam Poplar
<i>Salix</i> spp.	L.	Willow
<i>Betula</i> spp.	L.	Birch
<i>Betula papyrifera</i>	Marsh.	Paper Birch
<i>Betula nana</i>	L.	Dwarf Birch
<i>Alnus</i> spp.	P. mill	Alder
<i>Alnus incana</i>	L. (Moench)	Grey Alder
<i>Alnus crispa</i>	Ait. (Turrill)	Mountain Alder
<i>Pteridium</i> spp.	L.	Bracken
<i>Botrychium</i> spp.	Sw.	Moonwort
<i>Sphagnum</i> spp.	L.	Peat Moss
<i>Lycopodium</i> spp.	L.	Club Moss
<i>Lycopodium clavatum</i>	L.	Club Moss
<i>Myriophyllum</i> spp.	L.	Watermilfoil
<i>Pediastrum</i> spp.	Meyen (1982)	Green Algae

Depth (cm)

120 100 80 60 40 20 0







Waite Lake

