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**1 A trajectory-based classification of ERA-Interim ice**  
**2 clouds in the region of the North Atlantic storm track**

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3 A two-type classification of ice clouds (cirrus) is introduced, based on the  
4 liquid and ice water content, LWC and IWC, along air parcel backward tra-  
5 jectories from the clouds. In-situ cirrus has no LWC along the trajectory seg-  
6 ment containing IWC; it forms via nucleation from the gas phase. In con-  
7 trast, liquid-origin cirrus has both LWC and IWC along their backward tra-  
8 jectories; it forms via lifting from the lower troposphere and freezing of mixed-  
9 phase clouds. This classification is applied to 12 years of ERA-Interim ice  
10 clouds in the North Atlantic region. Between 400–500 hPa more than 50%  
11 are liquid-origin cirrus, whereas this frequency decreases strongly with al-  
12 titude (<10% at 200 hPa). The relative frequencies of the two categories vary  
13 only weakly with season. More than 50% of in-situ cirrus occur on top of liquid-  
14 origin cirrus, indicating that they often form in response to the strong lift-  
15 ing accompanying the formation of liquid-origin cirrus.

## 1. Introduction

16 Clouds in the tropopause region consisting exclusively of ice crystals (ice clouds or cirrus  
17 clouds) are, as all other clouds, important modulators of the Earth's energy budget. They  
18 scatter incoming solar radiation back to space (albedo effect) and trap terrestrial infrared  
19 emissions (greenhouse effect). In contrast to liquid water clouds, for ice clouds both effects  
20 are of the same order of magnitude, modulated by the shape and size of ice crystals [e.g.,  
21 *Wendisch et al.*, 2007]. The general net effect of cirrus clouds on the radiation budget is not  
22 well known. A transition between net warming and cooling can occur due to variations in  
23 number concentrations, ice water content and shapes [*Zhang et al.*, 1999], or in response to  
24 the time of day and the nucleation mechanism [*Joos et al.*, 2014]. Evaluations of ISCCP  
25 satellite data indicated a top of atmosphere net warming of cirrus clouds [*Chen et al.*,  
26 2000]. However, the classification of cirrus clouds was carried out using optical depth  
27 only and the resolution of these satellite data was rather coarse. In-situ observations  
28 reveal a huge variability of ice cloud properties in the tropopause region. Ice crystal  
29 number concentration and sizes vary over orders of magnitudes [*Krämer et al.*, 2009] and  
30 ice water content (IWC) values also show a huge variability [e.g., *Luebke et al.*, 2013, and  
31 references therein]. These strongly varying cirrus properties are crucially determined by  
32 the formation pathway of ice crystals, which in turn is very likely related to the driving  
33 weather system.

34 Cirrus classification schemes, mainly applied in the tropics, distinguish for instance be-  
35 tween ice clouds linked to the outflow of deep convective systems and those formed in-situ  
36 in the upper troposphere [*Massie et al.*, 2002; *Luo and Rossow*, 2004]. For the convective

37 scenario, it is likely that a lot of ice particles in the cold anvil cirrus formed via freezing of  
38 liquid cloud droplets in the mixed-phase part of the convective cloud, which is fundamen-  
39 tally different from the in-situ ice formation in the second scenario. Mid-latitude cirrus  
40 clouds occur under an even larger diversity of weather systems, including, e.g., frontal  
41 clouds in the storm track region, deep convection, and orographic clouds [*Sassen and*  
42 *Campbell*, 2001; *Berry and Mace*, 2013; *Muhlbauer et al.*, 2014]. In terms of the prevailing  
43 large-scale flow conditions, *Gierens and Brinkop* [2012] emphasized that ice supersatura-  
44 tion in mid-latitudes preferentially occurs in upper-level ridges. Other classifications are  
45 based on the clouds' optical depth [e.g., *Hoareau et al.*, 2013] or their vertical IWC pro-  
46 file [*Feofilov et al.*, 2015]. Such classifications are meteorologically interesting, but with  
47 respect to the physical properties of ice clouds, a classification according to the formation  
48 pathway using thermodynamic characteristics might be more meaningful.

49 Therefore, this study uses the following two-fold classification of ice clouds, which con-  
50 sider the thermodynamic characteristics of cirrus air parcels and uses backward trajec-  
51 tories to distinguish between so-called liquid-origin and in-situ cirrus clouds:

- 52 • Liquid-origin cirrus: ice crystals form via freezing of previously formed large cloud  
53 droplets (i.e., of droplets with a diameter larger than  $1\ \mu\text{m}$ ). In terms of freezing pro-  
54 cesses [e.g., *Hoose and Möhler*, 2012; *Vali et al.*, 2015] this can occur via immersion or  
55 contact freezing. At temperatures  $T > -38^\circ\text{C}$ , the freezing occurs close to thermody-  
56 namic equilibrium with respect to water, i.e., close to water saturation, and the three  
57 phases vapor, liquid water and ice coexist. Therefore for such a cloud there is a period  
58 along the backward trajectory during which LWC co-occurs with IWC. Once such an air

59 parcel reaches a temperature below about  $-38^{\circ}\text{C}$ , all water droplets can be assumed to  
60 freeze spontaneously.

61 • In-situ cirrus: ice crystals form via nucleation that does not involve large cloud  
62 droplets, e.g., via heterogeneous nucleation on the surface of solid particles (deposition  
63 freezing) [e.g., *Hoose and Möhler, 2012*] or homogeneous freezing of supercooled aqueous  
64 solution droplets (homogeneous nucleation) [e.g., *Koop et al., 2000*]. In this case the  
65 air parcel never reaches saturation with respect to water during the ascent, but reaches  
66 high supersaturation with respect to ice at temperatures below  $-38^{\circ}\text{C}$ . For this type of  
67 clouds no LWC exists along the backward trajectory segment containing IWC (note that  
68 the potentially existing aqueous solution droplets are too small to be considered as cloud  
69 droplets and do not contribute to LWC).

70 For both categories diffusional growth will drive the clouds towards the stable equilib-  
71 rium, i.e., saturation with respect to ice. External forcings such as adiabatic cooling due  
72 to upward motion might lead to dynamic steady states far away from thermodynamic  
73 equilibrium [see, e.g., *Korolev and Mazin, 2003; Krämer et al., 2009; Spichtinger, 2014*].

74 These two categories of liquid-origin and in-situ cirrus have been introduced recently  
75 by *Krämer et al. [2016]* and *Luebke et al. [2016]*, who performed a detailed analysis of  
76 aircraft observations and found higher values of IWC, ice crystal concentration and ice  
77 crystal size for the category of liquid-origin cirrus. Their observational results, indicat-  
78 ing that ice clouds originating from the two pathways have different microphysical and  
79 macrophysical properties, motivate the systematic trajectory-based climatological analy-  
80 sis in this study. Examples for liquid-origin cirrus are anvil clouds in thunderstorms and

81 warm conveyor belts (WCBs) associated with extratropical cyclones. WCBs are moist  
82 ascending airstreams from the boundary layer up to the upper troposphere [*Browning,*  
83 1990; *Wernli and Davies, 1997*] and lead to the formation of ice crystals after forming  
84 water droplets in the middle troposphere. In addition, the strong ascent of the WCB is  
85 pushing upper tropospheric air masses upward, leading to gentle ascent above the WCB  
86 in the cold temperature regime, which can trigger the formation of a layer of in-situ cirrus  
87 on top of the liquid-origin cirrus. *Spichtinger et al. [2005]* described such a situation in a  
88 case study of a North Atlantic cyclone.

89 Our trajectory-based classification considers the scenario that ice crystals form in lifted  
90 air parcels, which cool adiabatically and reach supersaturation with respect to water or  
91 ice. The processes of sedimentation of ice crystals and turbulent mixing have a weak  
92 influence on the main formation mechanism of ice along trajectories and therefore the  
93 classification in in-situ and liquid-origin clouds. The use of air parcel trajectories for  
94 cirrus cloud studies is not new. In many former studies box models along trajectories  
95 were used to investigate microphysical properties of ice clouds (typically in-situ cirrus)  
96 [e.g., *Haag and Kärcher, 2004; Hoyle et al., 2005; Spichtinger and Krämer, 2013; Kienast-*  
97 *Sjögren et al., 2015*]. Trajectory calculations using large-scale wind fields were also used,  
98 for instance, to quantify the duration of ice-supersaturation along trajectories in the upper  
99 troposphere [*Irvine et al., 2014*], to investigate potential ice-cloud formation mechanisms  
100 in air parcels originating from the major dust emission regions [*Wiacek et al., 2010*], and  
101 to estimate the water vapor transport across the tropical tropopause resulting from cirrus  
102 dehydration [*Fueglistaler et al., 2005*]. The novel aspect in this study is that trajectories

103 are used for a climatological cirrus classification in the extratropics, distinguishing two  
104 fundamental formation pathways (liquid-origin vs. in-situ origin). This setup is explained  
105 in Section 2. Section 3 then presents results of winter and summer season climatologies  
106 of the two ice cloud categories from January 2000 to December 2012 in the region of the  
107 North Atlantic storm track, using ERA-Interim reanalyses [Dee *et al.*, 2011]. Section 4  
108 provides two exemplary case studies and Section 5 discusses the potential relevance of the  
109 classification.

## 2. Methodology

110 All calculations in this study are based on ERA-Interim wind fields, temperature, cloud  
111 ice and liquid water content, IWC and LWC, respectively, interpolated to a regular  $1^\circ$   
112 by  $1^\circ$  grid on the 60 original hybrid sigma-pressure levels. The Lagrangian analysis tool  
113 LAGRANTO [Wernli and Davies, 1997; Sprenger and Wernli, 2015] is used to calculate  
114 backward trajectories every 6 hours from starting points where a pure ice cloud is present  
115 ( $IWC > 0.1 \text{ mg kg}^{-1}$  and  $LWC < 0.01 \text{ mg kg}^{-1}$ ). The starting points are set horizontally  
116 on the  $1^\circ$  by  $1^\circ$  grid and vertically on 11 pressure levels, every 40 hPa between 100 and  
117 500 hPa in the North Atlantic / European region extending from  $100^\circ\text{W}$  to  $40^\circ\text{E}$  and from  
118  $30$  to  $80^\circ\text{N}$ . The trajectories are calculated five days backward in time, assuming that ice  
119 clouds in a specific air parcel hardly ever exist for longer and that this duration therefore  
120 allows for a meaningful categorization of in-situ and liquid-origin ice clouds. LAGRANTO  
121 calculates kinematic trajectories using the three-dimensional wind field with the vertical  
122 motion in  $\text{Pa s}^{-1}$ .



123 The categorization algorithm then considers for every trajectory the backward segment  
124 until the air parcel contains virtually no ice ( $IWC < 0.1 \text{ mg kg}^{-1}$ ). The length of this  
125 trajectory segment can vary between six hours and five days. In the schematic Fig. 1 the  
126 length of this segment is 48 h for both example trajectories in panels (a) and (b). It is  
127 then verified whether the air parcel contained liquid cloud water ( $LWC > 0.01 \text{ mg kg}^{-1}$ )  
128 at any time during this time period. If this is not the case (Fig. 1a), then the considered  
129 trajectory represents an in-situ ice cloud, and if this is the case (Fig. 1b), then the  
130 trajectory belongs to the category of liquid-origin ice clouds. Trajectories that ascend  
131 as part of a WCB represent a special subcategory of liquid-origin ice clouds. These  
132 trajectories are additionally characterized by an ascent of at least 600 hPa in 48 hours  
133 [Joos and Wernli, 2012; Madonna et al., 2014] during any of the 48-hour intervals along  
134 the 5-day backward trajectories.

135 This simple algorithm is applied to all the about 350 million cirrus trajectories in the  
136 12 years of ERA-Interim data, and since the trajectories were started every 6 hours on  
137 a regular grid (see above), a three-dimensional Eulerian field can be constructed every 6  
138 hours, which indicates at every grid point the identified ice cloud category. From these  
139 fields it is then straightforward to calculate climatological frequency fields for the different  
140 categories (Section 3), and to visualize the spatial distribution of the categories for specific  
141 case studies (Section 4).

142 Before discussing the results, it is important to mention some limitations of our approach  
143 to diagnose ice cloud properties with air parcel trajectories using ERA-Interim data: (i)  
144 ice clouds in ERA-Interim are poorly constrained by observations, and they are produced

145 by rather simplistic cloud microphysics, and (ii) trajectory calculations with ERA-Interim  
146 wind fields are based on grid-scale winds, which cannot resolve the rapid vertical motion  
147 in deep convective clouds. Here we discuss the first of these limitations; the impact of the  
148 second one on our classification is briefly addressed in the final section. The thermody-  
149 namic cloud phase in ERA-40 and ERA-Interim is parameterized simply as a function of  
150 temperature, with pure ice clouds below  $-23^{\circ}\text{C}$ , and mixed-phase clouds between  $-23^{\circ}\text{C}$   
151 and  $0^{\circ}\text{C}$ . According to *Dee et al.* [2011], the representation of clouds in the here used  
152 ERA-Interim dataset is improved compared to ERA-40, in particular by introducing ice  
153 supersaturation, which delays the formation of ice clouds. Convection is parameterized,  
154 and water detrained from convective clouds is handed over to the prognostic cloud scheme  
155 [*ECMWF*, 2007]. This implies that some of the ERA-Interim ice clouds at temperatures  
156 below  $-23^{\circ}\text{C}$  are produced by detrainment of ice from deep convective clouds. Despite  
157 the simplicity of the ice cloud microphysics, climatological comparisons indicate a fairly  
158 good agreement with satellite observations, in particular in the extratropical storm track  
159 regions at temperatures below  $-30^{\circ}\text{C}$  [*Weidle and Wernli*, 2008], i.e., in the main region  
160 of interest for this study. This is confirmed by *Ma et al.* [2012] who showed similar values  
161 of seasonal mean IWC at 300 and 500 hPa in CloudSat and ERA-Interim in the storm  
162 track regions (but not in the tropics and below 500 hPa).

163 Super-cooled liquid water can exist at temperatures down to about  $-40^{\circ}\text{C}$ . Thus, in  
164 the regime  $-40^{\circ} < T < -23^{\circ}\text{C}$  we might overestimate the number of in-situ formed ice  
165 clouds. In this temperature regime in reality a super-cooled water cloud may form first and  
166 ice crystals are formed later, which would constitute a liquid-origin ice cloud. However,

167 ERA-Interim data do not include such events because of the simple implemented ice cloud  
168 parameterization. From in-situ observations of stratiform mixed-phase clouds as analyzed  
169 by *Boudala et al.* [2004] we know that the liquid cloud fraction in this temperature regime  
170 is usually smaller than 20%. Thus, we conclude that the maximum overestimation of in-  
171 situ origin ice clouds on the expense of liquid-origin ice clouds is smaller than 20%, and  
172 this number is decreasing with decreasing temperature, see, e.g., Fig. 4 in *Boudala et*  
173 *al.* [2004]. Despite these limitations, the quality of ERA-Interim is sufficient for a first  
174 climatological application of the trajectory-based cirrus categorization.

### 3. Climatological results

175 Figure 2 presents the climatological distribution of in-situ and liquid-origin ice clouds  
176 at two pressure levels (300 and 220 hPa, respectively) over the North Atlantic in winter  
177 and summer. The 300 hPa level has been chosen because it is close to the level with  
178 maximum ice cloud frequencies (see below) and the 220 hPa level because it is on average  
179 located in the stratosphere (over the North Atlantic) and therefore contains ice clouds  
180 mainly during high-pressure conditions associated with an elevated tropopause. Ice cloud  
181 frequency fields on other levels between 180 and 500 hPa and in all seasons are shown in  
182 the supplemental material (Figs. S1, S2).

183 At 300 hPa in winter (Fig. 2c) the geographical distribution of the two types of ice clouds  
184 is fairly similar with higher frequencies of in-situ ice clouds. In winter both types reach  
185 highest frequencies over the central North Atlantic near 40°N, with peak values larger than  
186 30% for in-situ (red contours) and 20% for liquid-origin cirrus (filled colors). A frequency  
187 value of, e.g., 20% indicates that in 20% of all 6-hourly time steps an ice cloud of this

188 category is present in the ERA-Interim dataset at this location and vertical level. Since  
189 the total ice cloud frequency corresponds to the sum of these two categories, we find peak  
190 values of ice cloud frequency exceeding 50% at 300 hPa in the central North Atlantic.  
191 The contours of 20% in-situ and 10% liquid-origin ice cloud frequencies, respectively,  
192 correspond quite nicely to the North Atlantic storm track region characterized by cyclone  
193 frequencies of about 20% (see Fig. 4a in *Wernli and Schwierz* [2006]). The region near  
194 40°W, 35 – 60°N with high frequencies of liquid-origin ice clouds agrees qualitatively with  
195 the main outflow region of WCBs (see Fig. 4f in *Madonna et al.* [2014]). Over eastern  
196 North America the frequency of liquid-origin ice clouds reaches more than 10%; similar  
197 values can be found over most parts of western and northern Europe whereas over the UK  
198 the values are slightly larger. Over the Mediterranean the winter ice cloud frequencies at  
199 300 hPa are lower than 10% for liquid-origin and 20-30% for in-situ cirrus. At 220 hPa  
200 (Fig. 2a), the frequencies of liquid-origin ice clouds is strongly reduced and are mainly  
201 confined to the North Atlantic region. Maximum values over the central North Atlantic  
202 are again above 30% for in-situ and only about 6% for liquid-origin ice clouds.

203 In summer (Figs. 2b,d) the frequency patterns are more complex and, e.g., for liquid-  
204 origin ice clouds at 300 hPa show three maxima, one pronounced ( $> 25\%$ ) along the US  
205 east coast at 35°N, and two weaker ones (about 15%) over Labrador and Scandinavia  
206 (Fig. 2d). In-situ ice clouds at the same level, however, reveal a pronounced peak of 50%  
207 over the Alps. Considering the seasonal mean precipitation fields (supplemental Fig. S3)  
208 indicates that this is a region where parameterized convection in ERA-Interim is intense.  
209 At 220 hPa, liquid-origin ice clouds occur with frequencies larger than 10% in the western

210 North Atlantic and in-situ ice clouds are very frequent in the same region ( $> 50\%$ ) as  
211 well as over Central and Eastern Europe (20-40%) (Fig. 2b). In summer, the frequency  
212 of North Atlantic WCBs is low [*Madonna et al., 2014*] and therefore the WCB outflow  
213 pattern (Fig. 5f in *Madonna et al. [2014]*) does not co-occur with an ice cloud frequency  
214 hotspot. Similarly, the qualitative agreement between the storm track (Fig. 4c in *Wernli*  
215 *and Schwierz [2006]*) and the ice cloud frequency pattern is weaker than in winter. The  
216 secondary maxima near Labrador and Scandinavia coincide with active cyclone regions,  
217 but not so the main ice cloud peaks along the US east coast (in-situ and liquid-origin) and  
218 over the Alps (mainly in-situ), which however both agree with ERA-Interim convective  
219 activity (Fig. S3). Note that the regions with the highest cirrus frequencies in winter  
220 (central North Atlantic near  $40^\circ\text{N}$ ) and summer (band along the U.S. east coast) agree  
221 qualitatively very well with the satellite-derived high cloud climatology by *Stubenrauch et*  
222 *al. [2010, their Fig. 6]*.

223 Figure 3 shows vertical profiles of the domain-averaged frequency distributions, again  
224 for summer and winter. In winter, the total ice cloud frequency (sum of in-situ and  
225 liquid-origin) is largest between 350 and 400 hPa (about 40%, with averaged  $T$  of about  
226  $-40^\circ\text{C}$ ). In summer, similar values occur a bit higher, between 300 and 350 hPa, again at  
227 about  $T = -40^\circ\text{C}$ . Not surprisingly, given the generally higher temperatures, ice cloud  
228 frequencies are strongly reduced in summer below 400 hPa. Although in-situ ice clouds  
229 are slightly less frequent than liquid-origin ice clouds below 400 hPa, they become more  
230 than twice as abundant above 300 hPa and reach near totality above 200 hPa (i.e., at  $T <$   
231  $-55^\circ\text{C}$ ). This is true for both seasons. Domain mean maxima of liquid-origin frequencies

232 reach just above 20% at 420 hPa in winter and about 17% at 340-380 hPa in summer.  
233 The conditional frequency of liquid-origin ice cloud (dashed blue line) peaks at more than  
234 50% around 450 hPa and then decreases almost linearly to zero by 140 hPa (irrespective  
235 of season). Finally, we note that liquid-origin ice clouds with a WCB ascent (green  
236 line for category 3) occur between 200 and 400 hPa in winter with absolute frequency  
237 maxima of about 1% and relative frequency maxima of about 2% near 300 hPa. The fairly  
238 frequent liquid-origin ice clouds below 400 hPa do not belong to this category because of  
239 the 600 hPa ascent criterion required for WCBs. Note that the fairly frequent liquid-origin  
240 ice clouds below 400 hPa do not belong to this category because of the 600 hPa ascent  
241 criterion required for WCBs. As additional information, the supplemental Fig. S4 shows  
242 a temperature histogram for the three categories. Interestingly, at  $T = -30^{\circ}\text{C}$  in-situ and  
243 liquid-origin ice clouds are equally frequent (in the considered North Atlantic region) and  
244 liquid-origin ice clouds with a WCB ascent are most frequent at fairly low temperatures  
245 of about  $-50^{\circ}\text{C}$ .

#### 4. Case studies and the vertical arrangement of the two categories

246 Figure 4 shows two case studies to provide an impression of how the different ice cloud  
247 categories can be spatially related in situations with a strong WCB outflow associated  
248 with a North Atlantic cyclone. The first case has been investigated by *Spichtinger et al.*  
249 [2005] and reveals a large ice cloud at 300 hPa extending over large parts of the eastern  
250 North Atlantic and Western Europe (Figs. 4a,b). A liquid-origin ice cloud with a WCB  
251 ascent is located over the North Sea, embedded in a liquid-origin ice cloud with a weaker  
252 ascent, which in turn is embedded in an in-situ ice cloud and other patches of liquid-origin

ice clouds. The vertical cross section shows nicely how spatially separated ice clouds of liquid-origin between 500 and 250 hPa are enclosed by an even larger in-situ ice cloud reaching up to 160 hPa. A similar situation occurs for the second case with the WCB outflow over Scandinavia studied by *Joos and Wernli* [2012]. Also here, a liquid-origin ice cloud associated with a WCB between about 250 and 350 hPa is horizontally and vertically embedded first in a massive liquid-origin ice cloud and then topped by a thinner in-situ ice cloud (Figs. 4c,d). It is remarkable that in both cases, the WCB-related parts of the ice clouds extend to very low temperatures of about  $-50^{\circ}\text{C}$  and  $-60^{\circ}\text{C}$ , respectively.

These case studies show that large ice cloud features (e.g., on a satellite picture) can be composed of sub-entities with strongly contrasting formation mechanisms. This pattern is pronounced, e.g., near WCBs, where in-situ ice clouds form in layers covering the upper and lateral part of liquid-origin ice clouds. This layering has been proposed by *Spichtinger et al.* [2005]; dynamically it indicates that very strongly ascending WCB air masses are surrounded by less strongly ascending air masses (which however still originate from regions with mixed-phase clouds) and topped by weakly ascending air masses leading to the formation of in-situ cirrus. We applied a simple algorithm to the 12-year climatology discussed in Section 3 to quantify how often (i) a liquid-origin ice cloud grid point is topped by a connected in-situ ice cloud in the same column, and (ii) how often an in-situ ice cloud is topping a connected liquid-origin ice cloud. The statistical result is that in all seasons more than 80% of liquid-origin ice clouds are topped by an in-situ ice cloud, and more than 50% of the in-situ ice clouds have a liquid-origin ice cloud in the same column

274 at a lower altitude. These high values indicate a strong dynamical linkage between the  
275 two cirrus categories and place the case study results in a more general context.

## 5. Conclusions

276 A trajectory-based ice cloud classification has been applied for the first time to a long-  
277 term data set with the aim to climatologically quantify the frequency of in-situ and liquid-  
278 origin ice clouds (Krämer et al., 2016; Luebke et al., 2016) at different pressure levels in the  
279 North Atlantic storm track region. The results show that (i) the two categories are about  
280 equally abundant between 500-400 hPa (i.e., at  $T > -35^{\circ}\text{C}$  in DJF and  $> -25^{\circ}\text{C}$  in JJA);  
281 (ii) at the level where ice clouds are most frequent (about 300 hPa; at  $T \simeq -48^{\circ}\text{C}$  in DJF  
282 and  $\simeq -40^{\circ}\text{C}$  in JJA) about 30% of all cirrus are liquid-origin; and (iii) above 200 hPa  
283 ( $T < -60^{\circ}\text{C}$ ) liquid-origin frequencies are below 10%. Seasonal variability is large for the  
284 spatial distribution of cirrus, but much smaller for the domain-averaged characteristics of  
285 the two categories.

286 During the summer season, peaks of in-situ cirrus occurrence in our diagnostic are co-  
287 located with convective activity along the US east coast and over Central Europe. This  
288 reveals an important caveat of our analysis, which is based on trajectories calculated  
289 with ERA-Interim winds. Given the spatial and temporal resolution of these fields, it  
290 is clear that the trajectories cannot capture the rapid vertical motion and the transition  
291 from mixed-phase to ice clouds associated with deep convection. Therefore, most likely,  
292 many convection-related liquid-origin ice clouds are erroneously classified here as in-situ  
293 ice clouds. A similar overestimation of in-situ ice clouds occurs due to the simple ice  
294 cloud scheme in ERA-Interim, as discussed in section 2. The values given for liquid-origin



295 frequencies should therefore be regarded as lower estimates. Only trajectories calculated  
296 with very high-resolution data (e.g., from a convection-permitting model simulation, *Mil-*  
297 *tenberger et al.* [2013]) could help with this issue; however, no such dataset is currently  
298 available for a climatological time period.

299 Two case studies were shown to demonstrate the potential inhomogeneity and complex-  
300 ity of the formation pathways of synoptic scale ice clouds. What appears as “one large  
301 cloud” can be the result of a complex airflow, with WCB-like ascent from the boundary  
302 layer to the cirrus region embedded in air masses with (much) weaker ascent, in which ice  
303 clouds form in-situ. At the interface of the two cloud types, ice crystal sedimentation and  
304 cloud turbulence – two processes that are not captured by air parcel trajectories – could  
305 potentially alter the local cirrus characteristics and “confuse” the simple categorization.  
306 For example, large ice crystals formed in in-situ clouds can sediment into liquid-origin  
307 clouds. It will thus be very interesting to investigate in detail how the formation pathway  
308 categorization translates to variability of the ice clouds’ characteristics, in addition to  
309 the results reported already in *Luebke et al.* [2016]. The trajectory-based classification  
310 introduced here has also been applied to all flights of the ML-Cirrus field experiment over  
311 the North Atlantic in 2014 [*Voigt et al.*, 2016]. The multi-faceted analysis of the measure-  
312 ments from this campaign in combination with the trajectory data might contribute to an  
313 improved understanding of archetypal pathways of ice cloud formation, their properties  
314 and radiative effects.

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320 Interim data used in this study can be accessed from the ECMWF website  
321 (<https://software.ecmwf.int/wiki/display/WEBAPI/Access+ECMWF+Public+Datasets>).  
322 The results of this study are available from the authors upon request.

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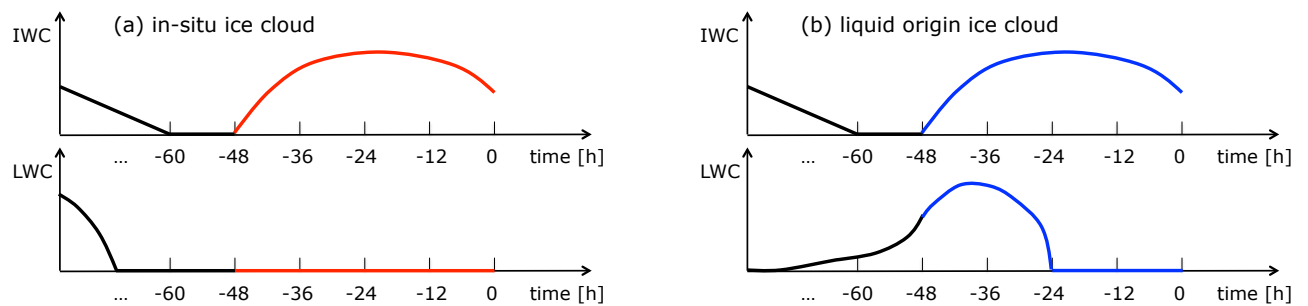
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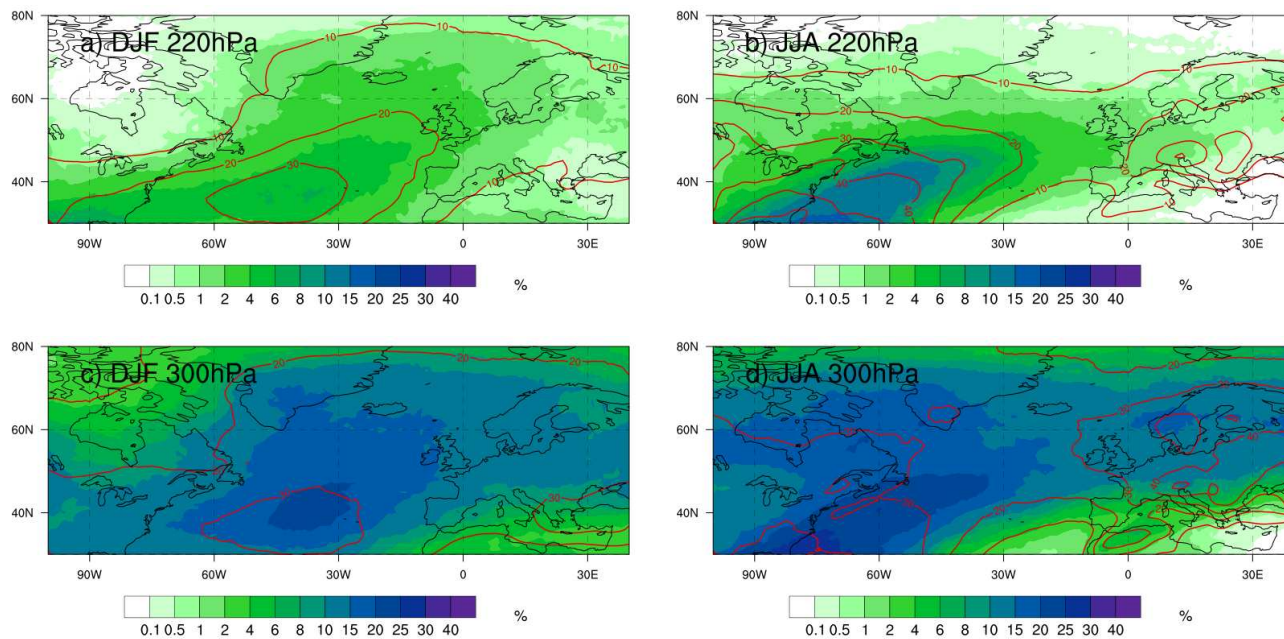
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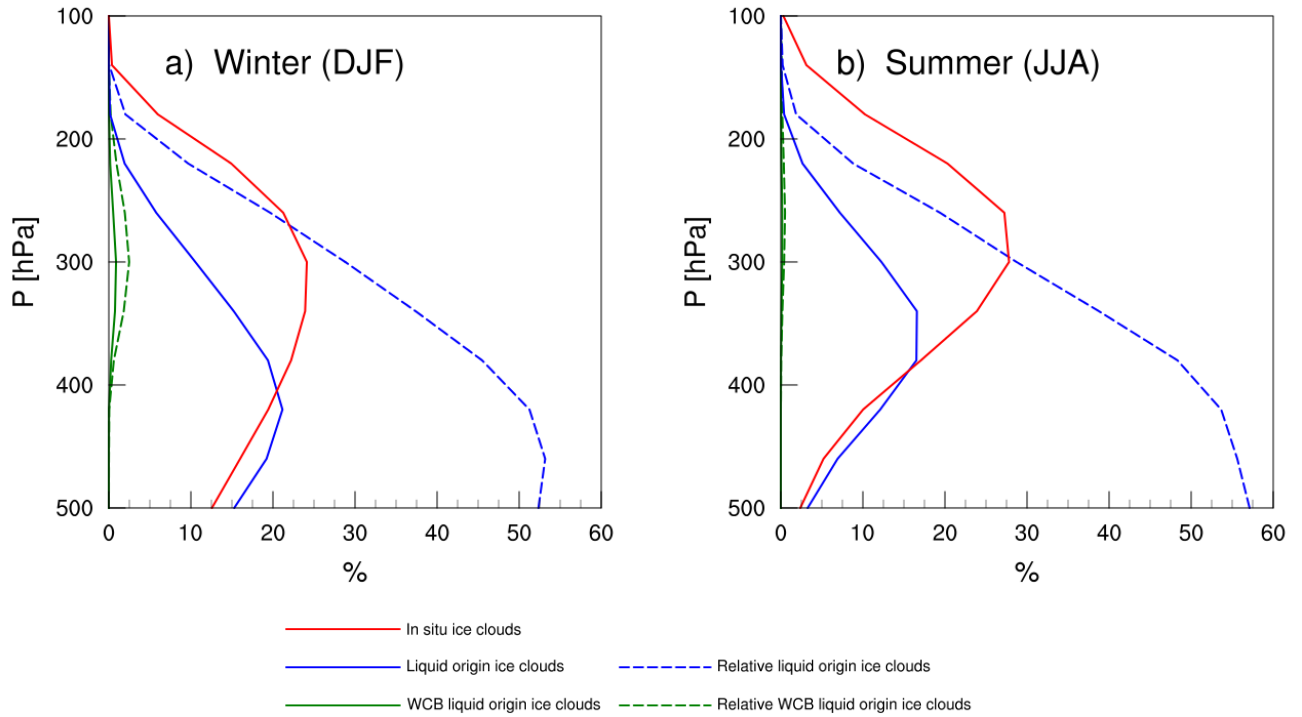


**Figure 1.** Schematic showing the time evolution of IWC and LWC along backward trajectories from time 0 to beyond  $-60$  hours, for (a) an in-situ ice cloud and (b) a liquid-origin ice cloud. In (a) the considered ice cloud formed at time  $-48$  hours and between this time and  $t = 0$  no LWC is present in the air parcel. In (b) the ice cloud formed also at time  $-48$  hours but in this case LWC occurs between  $-48$  and  $-24$  hours.

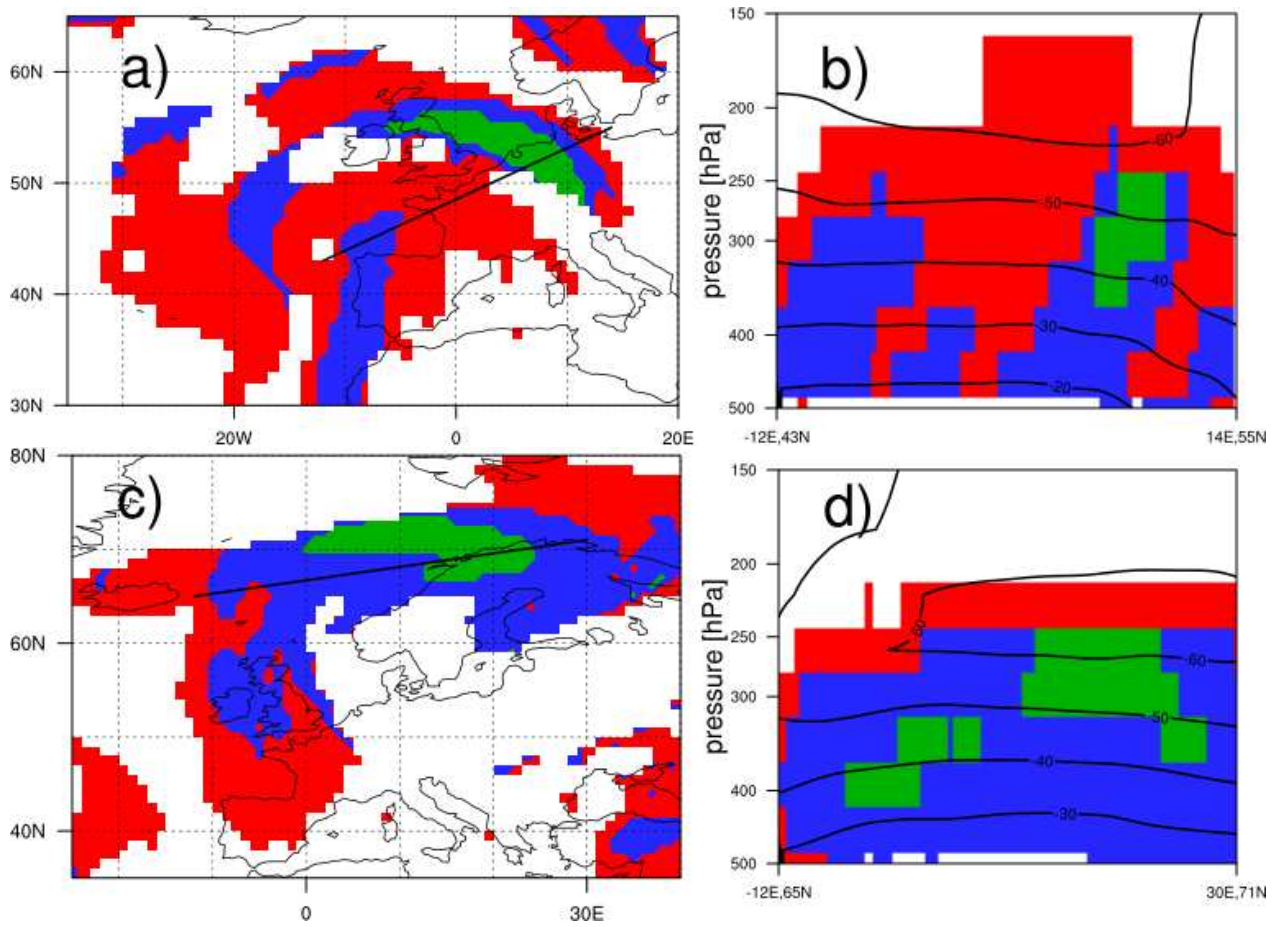




**Figure 2.** Climatological distribution of in-situ and liquid-origin ice clouds in DJF (left panels) and JJA (right panels) on 220 hPa (upper panels) and 300 hPa (lower panels). Colors show the frequency of liquid-origin ice clouds (in %) and red contours the frequency of in-situ ice clouds (from 10%, every 10%).



**Figure 3.** Vertical profile of the climatological distribution of in-situ and liquid-origin ice clouds, horizontally averaged in the domain shown in Fig. 2, for (a) DJF and (b) JJA. The red line shows the frequency of in-situ cirrus, the blue line of liquid-origin cirrus, and the green line of liquid-origin cirrus with WCB ascent, respectively. The blue and green dashed lines show the relative frequency of the last two categories, respectively.



**Figure 4.** Two case studies of ice clouds over Northern Europe, at 00 UTC 28 November 2000 (upper panels) and 00 UTC 31 January 2009 (lower panels). Colors indicate in-situ origin (red) and liquid-origin cirrus (blue), and the subcategory of WCB-related liquid-origin cirrus (green). Left panels show ice clouds at 300 hPa; right panels vertical cross sections between 500 and 150 hPa along the black lines indicated in the left panels. Black lines in the right panels show temperature (in °C).