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# Stability and Aggregation Kinetics of Titania Nanomaterials under Environmentally Realistic Conditions

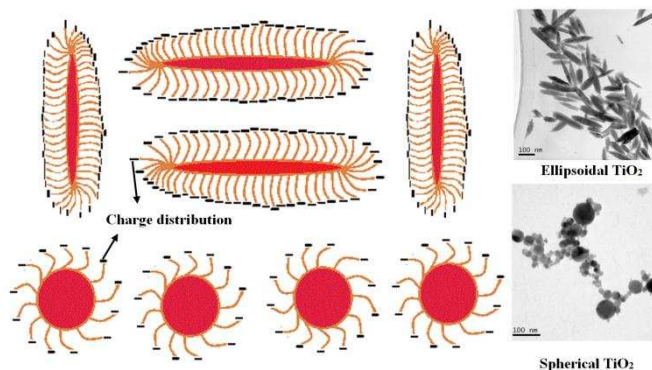
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**Abstract:** Nanoparticle morphology is expected to play a significant role in the stability, aggregation behaviour and ultimate fate of engineered nanomaterials in natural aquatic environments. The aggregation kinetics of ellipsoidal and spherical titanium dioxide (TiO<sub>2</sub>) nanoparticles (NP) under different



surfactant loadings, pH values and ionic strengths are investigated in this study. The stability results reveal that alteration of surface charge is the stability determining factor. Among five different surfactants investigated, sodium citrate and Suwannee river fulvic acid (SRFA) were the most effective stabilizers. It was observed that both types of NP were more stable in monovalent salts (NaCl and NaNO<sub>3</sub>) as compared with divalent salts (Ca(NO<sub>3</sub>)<sub>2</sub> and CaCl<sub>2</sub>). The aggregation of spherical TiO<sub>2</sub> NP demonstrated a strong dependency on the ionic strength regardless of the presence of mono or divalent salts; while the ellipsoids exhibited a lower dependency on the ionic strength but is more stable. This work acts as a benchmark study towards understanding the fate of stabilized NP in natural environments that are rich in Ca(CO<sub>3</sub>)<sub>2</sub>, NaNO<sub>3</sub>, NaCl and CaCl<sub>2</sub> along with natural organic matters.

**Keywords:** Nanoparticle, stability, aggregation, kinetics, surfactants, sticking efficiency

## 27 INTRODUCTION

28 TiO<sub>2</sub> is a multipurpose material widely used in nano-particulate form<sup>1</sup>. TiO<sub>2</sub> nanoparticles (NP) are  
29 routinely used in products like sun creams, cosmetics, paints, self-cleaning dispersions, textiles, sports  
30 equipment, solar cells and waste water treatment devices<sup>2</sup>. Its unique properties give it an increased  
31 demand in different industries but at the same time causes increasing environmental concerns.

32 It is understood that the migration behaviour, toxicity and bioavailability of NP are governed by  
33 their physico-chemical properties such as shape, size, surface area, agglomeration state, zeta potential  
34 and surface chemistry<sup>3, 4</sup>. In the past decade, the aggregation kinetics of different NP has been  
35 extensively investigated<sup>5-10</sup>. As TiO<sub>2</sub> NP have the tendency to aggregate and coalesce into big  
36 particles, which is undesirable for most of the applications<sup>11</sup>, their colloidal stability investigation  
37 becomes important<sup>12, 13</sup>. Most of the physico-chemical properties of NP are related to their behaviours  
38 in dispersions including the reactions at the particle–liquid interface<sup>14</sup>.

39 To fully evaluate the environmental implications, the mobility and risks of such NPs, the  
40 knowledge regarding their interaction with different media constituents and the aggregation kinetics  
41 are essential. Several factors are responsible for NP aggregation as studied by different scientists<sup>15-18</sup>.  
42 Firstly, the surface charge of the NP greatly influence their solubility and hence the stability. Surface  
43 charge results in either attractive (positive-negative interaction) or repulsive (similar charge  
44 interactions) energies, which depend on the pH, temperature and the concentration and type of the  
45 electrolyte in the medium. The presence of electrolytes in the medium would alter the stability and  
46 agglomeration state of NP dispersions. Secondly, the concentration of the NP precursors, polymers,  
47 surfactants and the temperature would alter the overall stability of dispersions. Water or other  
48 molecules could interact with NP and alter their crystal structures. Zinc sulphide (ZnS) NP is a good  
49 example as reported by Zhang et al. (2003), where 3 nm ZnS NP containing around 700 atoms  
50 rearranged their crystal structures after the interaction with water to form more ordered bulk  
51 structure<sup>19</sup>. Guzman and co-workers<sup>20</sup> showed a pHzpc (i.e., pH at point of zero charge) dependence  
52 of titania NP while French et al.<sup>21</sup> observed the influence of ionic strength (IS), on the aggregation

53 kinetics of 50-60 nm TiO<sub>2</sub> agglomerates (with 5 nm primary size ) at low IS. Similarly surface charge  
54 and zeta-potential has a strong correlation with the aggregation kinetics of ZnO NP<sup>22</sup>. In an interesting  
55 study on the aggregation kinetics<sup>23</sup>, Suwannee river fulvic acid (SRFA)-stabilized TiO<sub>2</sub> NP showed  
56 strong stability at varying IS under acidic pH, while became aggregated under low IS at neutral pH  
57 values.

58 It shall be noted that many reported aggregation kinetic studies were based on colloids made from  
59 pre-fabricated nanomaterials<sup>24-27</sup>, which inevitably contained many agglomerated NP due to the  
60 difficulty in dispersing them to their primary sizes. The aggregation kinetics, therefore, would be  
61 different to those well-dispersed colloids. The aggregation kinetics of NP is controlled by the  
62 electrostatic forces and the electrosteric interferences. The magnitude of the electrosteric interferences  
63 depends on the concentration of the stabilizing agent, coating thickness, the conformation and  
64 dimensions of the adsorbed double layer<sup>17, 28</sup>. From the environmental concern, the fate of NP in an  
65 aqueous system is dependent on both particle characteristics and the complex water chemistry such as  
66 pH, ionic strength and dissolved organic matter contents and properties, which may stabilize or  
67 agglomerate the NP influencing the transport of NP. Quite a few studies<sup>29-32</sup> have been conducted to  
68 investigate the effect of these influential factors, but no solid conclusion was reached and the  
69 understanding on the fate of NP under different environmental conditions is still very limited.  
70 Although there were a few studies on the transport of TiO<sub>2</sub> NP with particular reference to ionic  
71 strength and surfactants<sup>33, 34</sup>, the influence of particle morphology is essentially lacking, hence the  
72 effects of ionic strength and surfactants on the aggregation kinetics are in-conclusive.

73 This work aims to address these limitations by conducting a detailed study of the stability and  
74 aggregation kinetics of stable TiO<sub>2</sub> NP and investigate the influence of particle morphology under  
75 environmental-like conditions. For this purpose, two different shaped TiO<sub>2</sub> colloids, i.e. ellipsoids and  
76 spherical NP, were synthesized. The aggregation kinetics of well-dispersed NP was assessed under  
77 five different stabilizing agents and four different ionic strengths. From the practical consideration,

78 the aggregation kinetics study was conducted at neutral pH values. This detailed study shall advance  
79 the understanding in the ultimate fate of TiO<sub>2</sub> NP in complex environmental conditions.

## 80 **MATERIALS AND METHODS**

### 81 **Materials preparation**

82 All surfactants except Suwannee river fulvic acid (SRFA) were purchased from Sigma Aldrich and  
83 used without further purification. SRFA was purchased from International Humic Substances Society  
84 (Atlanta, USA) while HCl and NaOH (0.01-0.1M) were purchased from Fisher Scientific for pH  
85 adjustment. Two types of titania NP were selected from many batches of self-fabricated lots. The only  
86 precursor used in this research, i.e. 99% pure TiCl<sub>4</sub>, was purchased from Sigma Aldrich. Briefly TiO<sub>2</sub>  
87 NP were synthesized by a modified hydrothermal methodology, similar to the one reported by Yin et  
88 al<sup>35</sup>. In a typical synthesis, solution 1 was made by diluting TiCl<sub>4</sub> to 1mol/L with 5% HCl in an ice  
89 bath. Solution 2 was prepared at different alcohol to water ratios (i.e., 1:2 Ethanol: Water, 1:2  
90 Methanol: Water and 1:2 Acetone: Water). Both solutions 1 and 2 were mixed to get 0.1mol/L final  
91 concentration of TiCl<sub>4</sub>. Ice cooled temperature was maintained throughout the preparation process.  
92 For rutile ellipsoids synthesis, the final dispersion was stirred for 30 minutes with magnetic stirrer at  
93 45°C temperature while for spherical anatase NP, the dispersion was treated at a temperature of 110°C  
94 for 40 minutes using Teflon lined vessels in a microwave oven (MARS 5). Finally three repetitive  
95 washings with DI water and acetone were given to NP by centrifugation.

96 A 20 ml dispersion of 20 ppm spherical NP was stabilized with different surfactants including  
97 polyethylene glycol (PEG), Polyvinylpyrrolidone (PVP), sodium dodecyl sulfate (SDS) and  
98 Suwannee river fulvic acid (SRFA). The concentrations of all surfactants were optimized for spherical  
99 NPs and similar concentrations were used for ellipsoids for all experiments. When stabilized in SRFA  
100 and sodium citrate, rutile ellipsoids and anatase spherical NPs were tested for their aggregation  
101 kinetics against different salt concentrations (NaCl, NaNO<sub>3</sub>, Ca(NO<sub>3</sub>)<sub>2</sub> and CaCl<sub>2</sub>).

102 An advanced X-Ray diffraction spectroscopy (Bruker D-8, installed with PANalytical X'pert Pro  
103 software), Transmission electron microscope (TEM, Tecnai F-20) and Malvern Zetasizer (NanoZS90  
104 5001) were used for NP characterization. For TEM study, rutile ellipsoids and anatase spherical NP  
105 were stabilized with 0.3% sodium citrate and SRFA100 (i.e., the concentration of SRFA is 100 ppm).  
106 Holey carbon film TEM copper grids were purchased from Agar Scientific. In a typical preparation  
107 process, the NP sample was diluted 100 times and a 10 $\mu$ l drop of the dilute was sandwiched between  
108 two DI water drops of 50 $\mu$ l. The grid was dried in a clean environment under room temperature and  
109 rinsed with DI water to remove any dirt or excessive materials. The grids for aggregation kinetics  
110 were prepared without diluting the samples, by following the same grid preparation methodology.

### 111 **Time resolved aggregation kinetics**

112 Time-resolved DLS (Dynamic Light Scattering) measurements of aggregating TiO<sub>2</sub> NP were  
113 performed at 20<sup>0</sup>C except for the zeta potential, which were done at 25<sup>0</sup>C as per Malvern instructions.  
114 The scattering angle was 90<sup>0</sup> for all measurements. The concentration of the fabricated nanoparticles  
115 dispersion was measured by an atomic absorption spectrometer (AAS, Varian AA240FS) and a fixed  
116 TiO<sub>2</sub> concentration of 20 ppm was used via dilution in all experiments. In the experiments, 1 ml of  
117 nanoparticle dispersion was mixed with different amounts of mono or divalent dispersions in a mixing  
118 vial to reach desired concentration of electrolytes. The resulting dispersion was shaken gently and  
119 transferred quickly to DLS cuvettes for the measurement. Every reading was taken at 10 second  
120 interval with the maximum of 500 readings. The effect of pH, surfactants and zeta potential on the  
121 aggregation kinetics was studied for a period of 2 weeks.

122 The aggregation kinetics was derived from experimentally-measured particle size data. At the early  
123 stage, the formation of doublets (i.e., usually considered at the time where the initial aggregate  
124 hydrodynamic diameter increases by one quarter of its original size) was expressed in Eq.(1)<sup>36</sup>

$$\left(\frac{\Delta a_h(t)}{\Delta t}\right)_{t \rightarrow 0} \propto k_{11} N_0 \quad (1)$$

125 where  $a_h(t)$  is the aggregation size over time  $t$ ,  $N_0$  is the starting number concentration of NP  
126 dispersion and  $k_{11}$  is the rate of formation of doublets. These doublets increase in number at a faster  
127 rate with an increase in ion concentration in the dispersion due to the suppression of diffused double  
128 layer (DDL). This suppression of DDL leads to a decrease in the van der Waal forces between  
129 particles. At a point when all the van der Waal forces are overwhelmed,  $k_{11}$  becomes equal to the  
130 diffusion limited aggregation rate, i.e.,  $k_{slow}$ , which shows the overall aggregation rate. The  
131 Smoluchowski aggregation rate, or  $k_{fast}$ , was calculated by Eq. (2)<sup>37</sup>

$$k_{fast} = 8kT/3u \quad (2)$$

132 where  $k$  represents Boltzmann's constant,  $u$  is the liquid's viscosity and  $T$  is the temperature. The  
133 sticking efficiency ' $\alpha$ ' is defined as the ratio of  $k_{slow}$  and  $k_{fast}$ , as in Eq.(3), which is the average of  
134 the fastest points of aggregation stage at a specific ionic strength,

$$\alpha = \frac{k_{slow}}{k_{fast}} \quad (3)$$

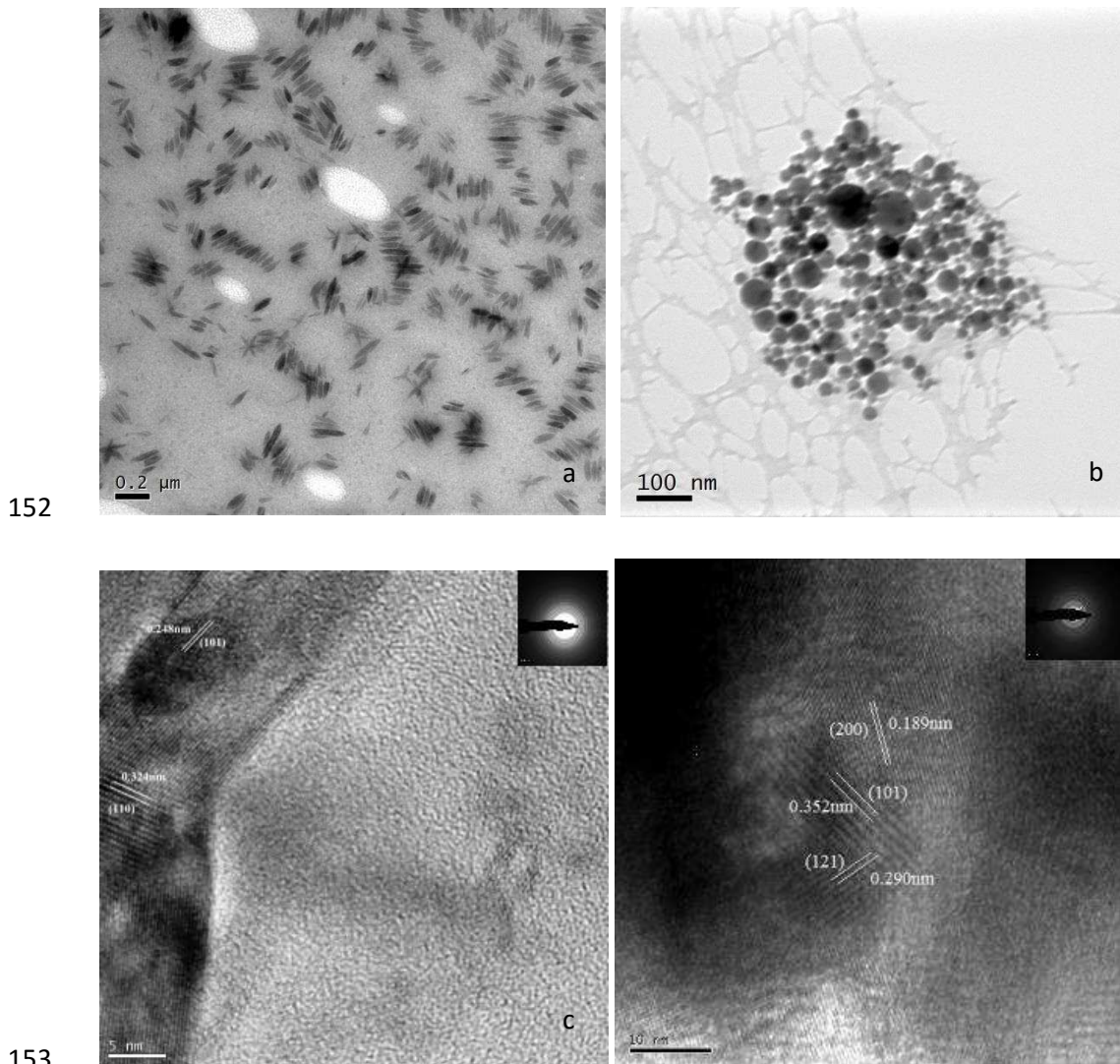
135 and the critical coagulation concentration (CCC) is the concentration value when  $\alpha$  approaches to a  
136 value of 1.

## 137 **RESULTS AND DISCUSSION**

### 138 **TiO<sub>2</sub> characterization**

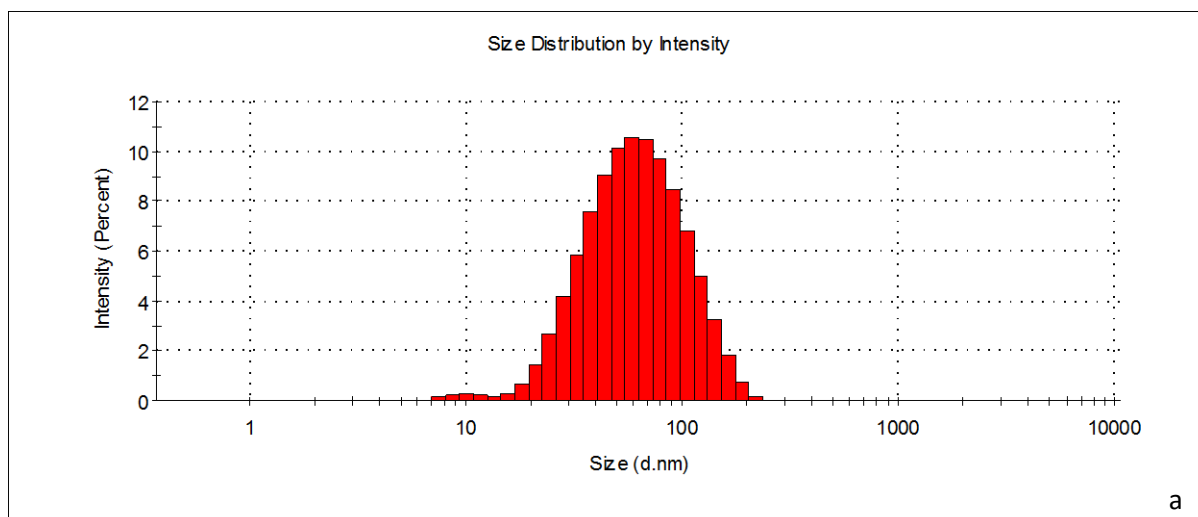
139 The average length of rutile ellipsoids measured by TEM was 100±20nm (i.e. from randomly  
140 selected 214 ellipsoids) with average width of 20±5nm, which gives an aspect ratio of 4.5±0.3 and a  
141 hydrodynamic diameter of 55±5. The ellipsoids used for stability experiments were dispersed for a  
142 period over 6 months with no aggregation or agglomeration as measured by the DLS method. The  
143 spherical NP had a core size range between 60±35nm<sup>38</sup> and hydrodynamic diameter of 100±10nm for  
144 both citrate and SRFA100 stabilised. Figure 1a and 1b show TEM micrograph of SRFA stabilized  
145 rutile ellipsoids and spherical anatase NP respectively, with detailed morphology shown by HRTEM  
146 in Figure 1c and 1d. HRTEM micrograph of the rutile TiO<sub>2</sub> (Figure 1c) shows lattice fringes with d-

147 spacing of 0.248nm, corresponding to (101) plane, and 0.324nm, corresponding to (110) of the rutile  
148 phase. HRTEM micrograph of the anatase TiO<sub>2</sub> (Figure 1d) shows lattice fringes with d-spacing of  
149 0.189 nm, which corresponds to the (200) plane, 0.352nm corresponding to (101) plane and 0.290nm  
150 (121) planes of the anatase phase. Figures 2a and 2b illustrate the hydrodynamic particle size  
151 distribution of TiO<sub>2</sub> ellipsoids and spherical NP in water respectively.

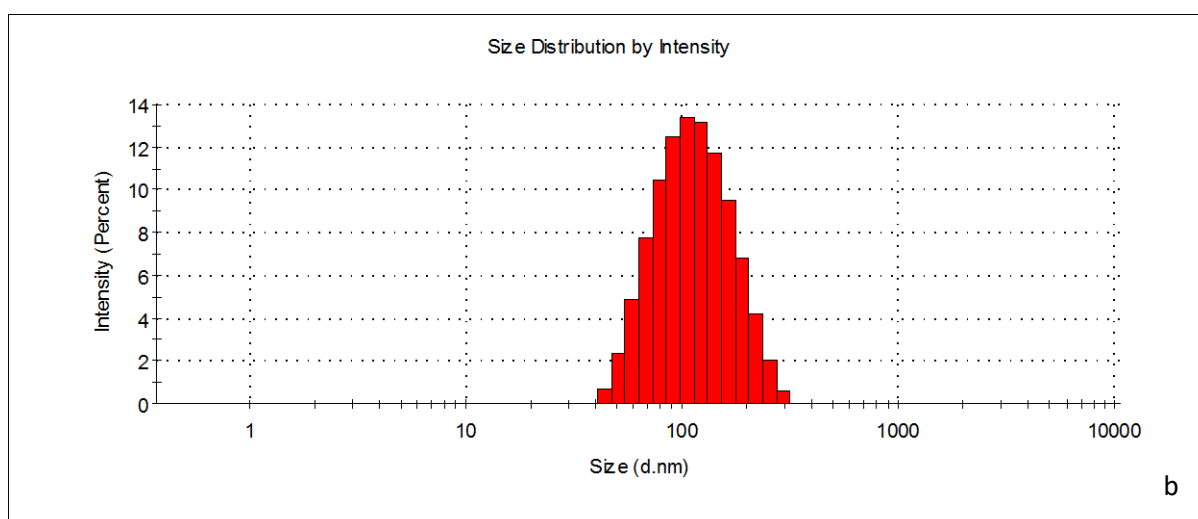


154 Figure 1: a) TEM micrograph of TiO<sub>2</sub> ellipsoids dispersed with SRFA100; b) Spherical anatase NP  
155 stabilized with SRFA100; c) HRTEM of TiO<sub>2</sub> ellipsoids with SAED pattern showing lattice fringes  
156 (101) and (110); d) HRTEM of spherical anatase with SAED pattern showing lattice fringes (101),  
157 (200) and (121)





158



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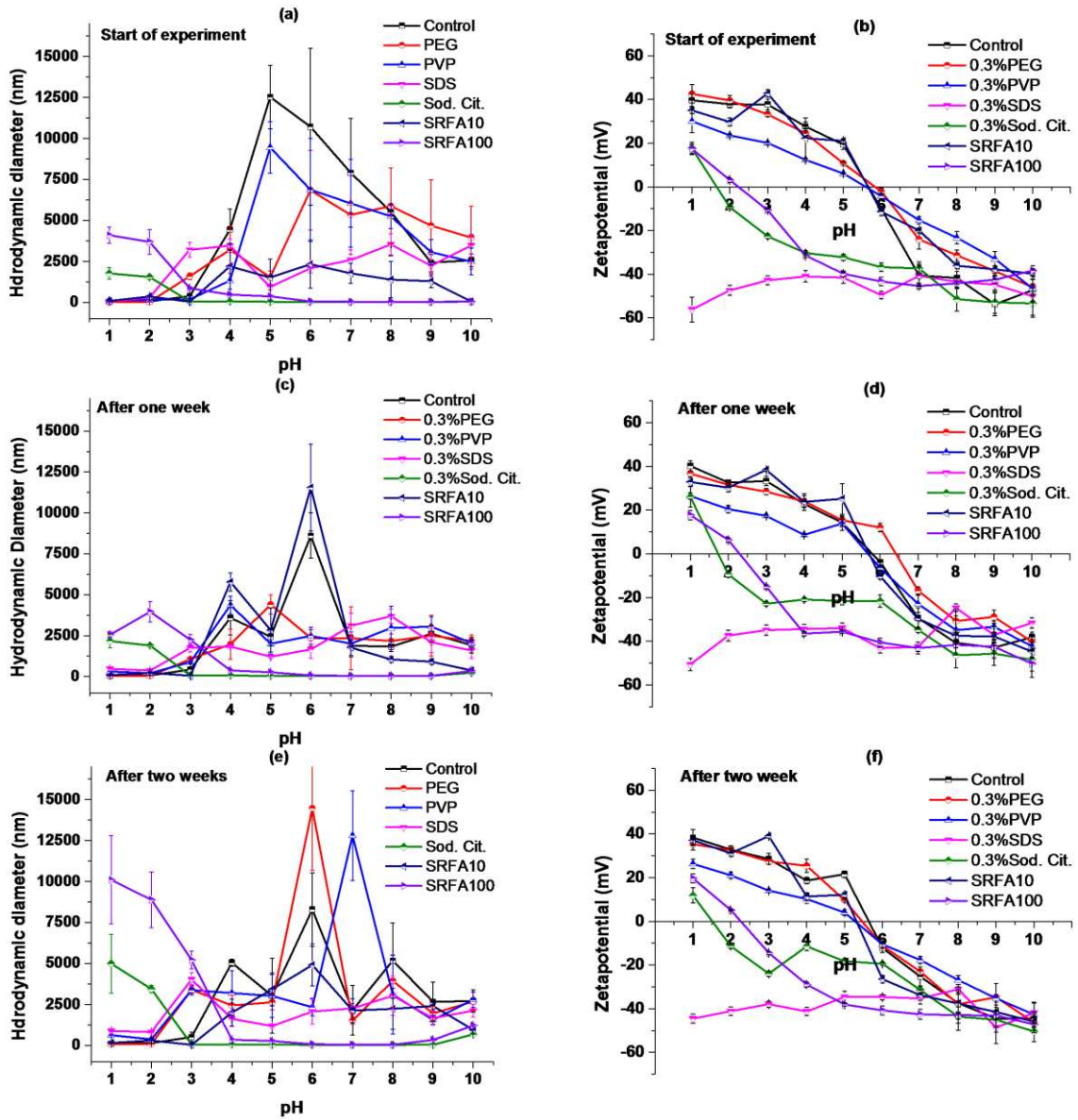
160 Figure 2: a) DLS histogram of TiO<sub>2</sub> ellipsoids dispersed with SRFA100 and b) DLS histogram of  
 161 spherical anatase NP stabilized with SRFA100. (All measurements were taken at pH 6.5±0.2 without  
 162 any electrolyte).

### 163 **Impact of surface functionalization on the stability of TiO<sub>2</sub>**

164 Spherical anatase NPs were tested for their stability at different pH values in the presence of  
 165 different surfactants. Results from different surfactants treatment revealed a change in size and zeta  
 166 potential over a period of 2 weeks. The dispersion without surfactants was most unstable at all pH  
 167 values except the highly acidic range (Figure 3a). Large agglomerates were observed at pH 5 which is  
 168 near to the point of zero charge (pH<sub>zpc</sub>), i.e. pH=5.6. Sodium citrate showed the greatest stabilization  
 169 for almost all pH values except the highly acidic range (pH 1-3) because pH<sub>zpc</sub> was shifted to these

170 values. No significant change was observed in the change of hydrodynamic size in pH 3-9 over the  
171 period of two weeks. The standard deviations of change in hydrodynamic diameter for three replicates  
172 show that there was inconsequential change, confirming the stability at a range of pH values. The  
173 control, PEG and PVP stabilized dispersion showed high aggregation rate at a pH value near to the  
174 point of zero charge ( $pH_{zpc}$ ), as seen by the large aggregates near  $pH_{zpc}$ .

175 The point of zero charge for sodium citrate and SRFA was 1.6 and 2.3 respectively. The zeta  
176 potential for 0.3% SDS remained on negative values from pH1 up to pH10. The size change was  
177 fairly consistent with the positive and negative charge of the particles for all the surfactants over a  
178 period of two weeks. Generally the presence of negative charge on particles contributes to stabilising  
179 nanoparticles but this is not true with 0.3 mass percentage of SDS. This may be attributed to critical  
180 micelle concentration (CMC) of SDS which is 8.2 mM (0.00082%) in water at 25°C and above  
181 which micelles form and all additional surfactants added to the system go to micelles <sup>39</sup>.

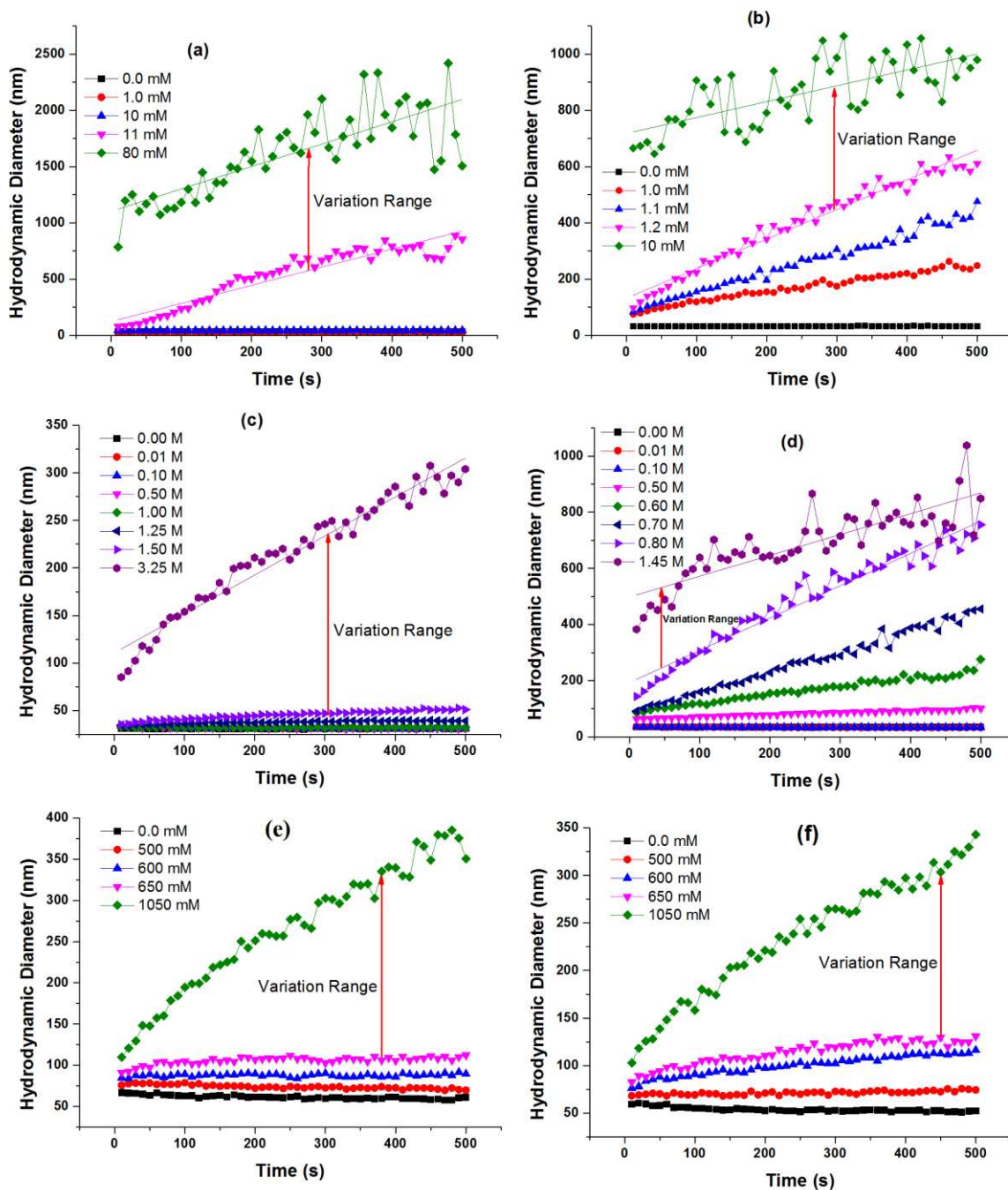


182

183 Figure 3: Effect of surfactants and pH on hydrodynamic diameter and zeta potential of 20ppm  
 184 spherical anatase  $\text{TiO}_2$  NP: a) Size at the start of experiment, b) Zeta potential at the start of  
 185 experiment, c) Size after one week, d) Zeta potential after one week, e) Size after two weeks and f)  
 186 Zeta potential after two weeks (All measurements were taken at  $\text{pH } 6.5 \pm 0.2$  without any electrolyte).

187 A comparison of the zeta potential for 2 weeks (figure 3 b, d and f) showed no significant difference  
 188 for all surfactants at all pH values except sodium citrate, which showed a slight increase in value at  
 189 pH 4-7 (figure 3f). Consistency in zeta potential after 2 weeks shows that all sites on the particle  
 190 surfaces are occupied by relevant charges which stabilized the hydrodynamic diameter and zeta

191 potential. This is also true for SRFA10 (i.e. 10 ppm SRFA) which was not able to provide enough  
 192 negative charges to cover all particles and their surfaces. In comparison, SRFA100 (i.e. 100 ppm  
 193 SRFA) provided enough concentration of charges to stabilize the dispersion. Sodium citrate (i.e. 0.3  
 194 weight percentage) and SRFA100 were used for below studies.



196 Figure 4: Effect of (a)  $\text{CaCl}_2$  on sodium citrate stabilized ellipsoids; (b)  $\text{CaCl}_2$  on SRFA stabilized  
197 ellipsoids; (c)  $\text{NaCl}$  on sodium citrate stabilized ellipsoids;(d)  $\text{NaCl}$  on SRFA stabilized ellipsoids; (e)  
198  $\text{NaCl}$  on sodium citrate stabilized spherical NP and (f)  $\text{NaCl}$  on SRFA stabilized spherical NP (NP  
199 concentration of 20ppm, SRFA concentration of 100 ppm and  $\text{pH}=6.5\pm 0.2$ )

## 200 **Impact of ionic strength on the aggregation of $\text{TiO}_2$ NP**

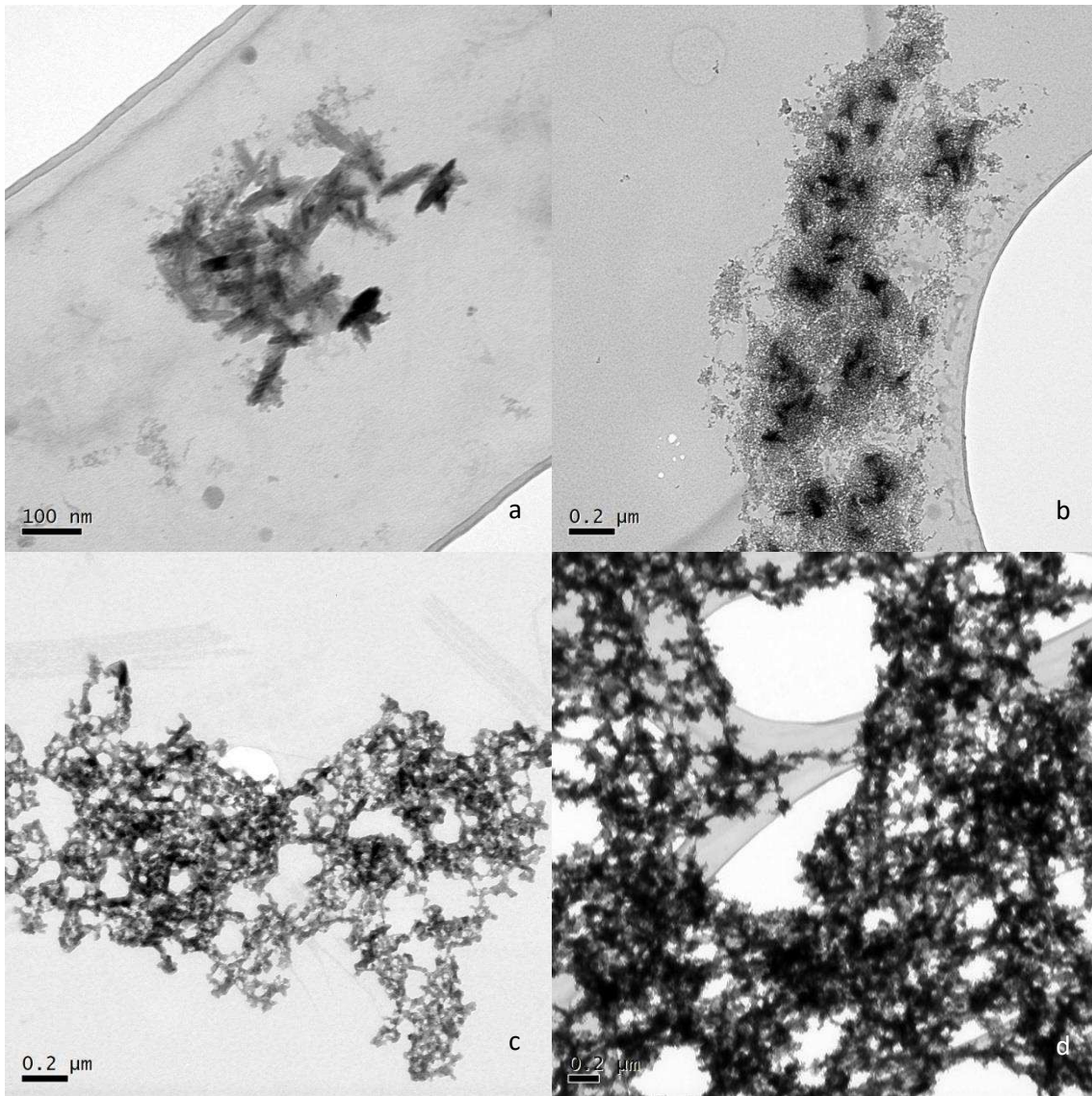
201 The aggregation of nanoparticles showed a strong dependency on the ionic strength of the  
202 electrolyte dispersion. It was noted that with the addition of electrolytes, there was very slight change  
203 in pH values, i.e.  $\pm 0.2$ , and the variation pH of the final dispersion was remained in the range of 6.5-  
204 7.0.

### 205 Impact on rutile ellipsoids

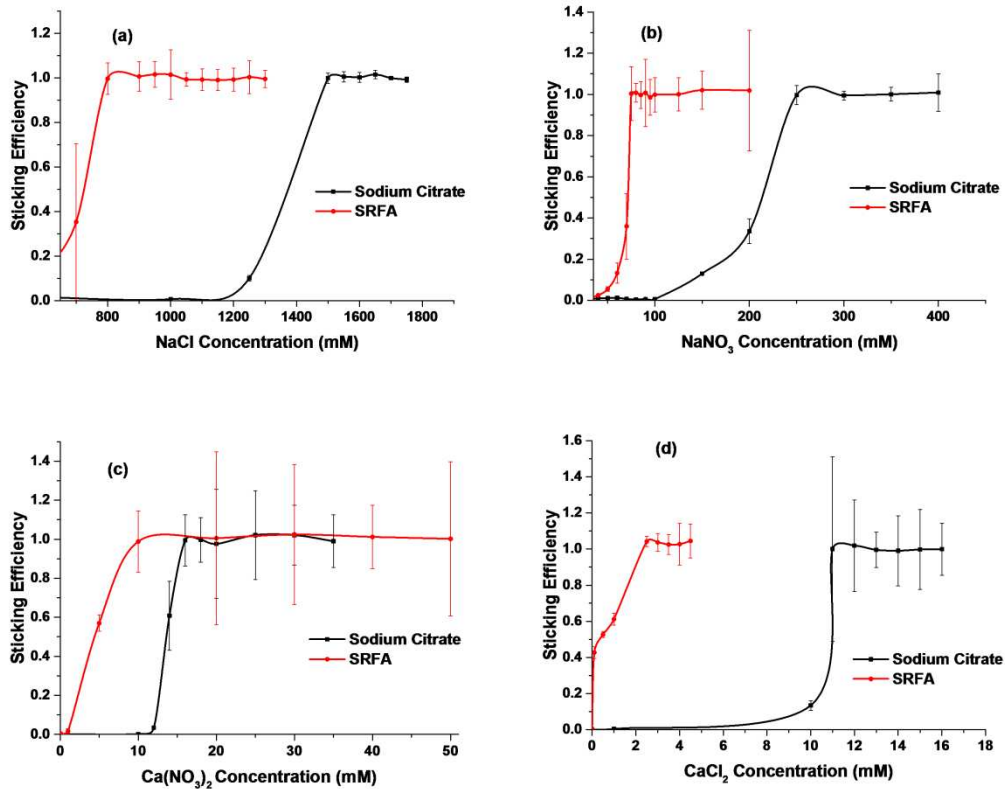
206 When treated with different salts, the rutile ellipsoids readily formed aggregates within 10 seconds  
207 of salt addition. During the first 10 seconds  $\text{Ca}(\text{NO}_3)_2$  gave an average aggregate diameter of  
208  $585.4\pm 22.9$  nm and  $530.3\pm 31.7$  nm for sodium citrate and SRFA stabilized rutile ellipsoids  
209 respectively. Whereas the aggregates were slightly smaller in the case of  $\text{CaCl}_2$  i.e.  $531.9\pm 5.1$  nm and  
210  $366.1\pm 4.9$  nm for sodium citrate and SRFA stabilized ones respectively. For the sodium citrate  
211 stabilized ellipsoids treated with  $\text{NaCl}$  and  $\text{NaNO}_3$  there was a very slight change in the initial  
212 hydrodynamic diameter with average diameter of  $65.3\pm 2.1$ nm and  $59.8\pm 0.2$ nm respectively. SRFA  
213 stabilized aggregates showed an average diameter of  $247.7\pm 20.2$  nm and  $190.8\pm 2.9$  nm for  $\text{NaCl}$  and  
214  $\text{NaNO}_3$  respectively (Figure 4). Clearly the initial aggregate sizes in divalent salts (Figure 4 a and b)  
215 were larger than monovalent salts (Figure 4 c and d). Sodium citrate stabilized NP aggregation was  
216 entirely different than SRFA stabilized as CCC point reached quickly while in case of SRFA  
217 stabilized the CCC point reached slowly with addition of salts. Moreover the salt concentration  
218 variation range was much larger in case of sodium citrate stabilized NP as compared to SRFA  
219 stabilized (Figure 4). This is consistent with some previous reports showing quick formation of NP  
220 aggregates for different NP<sup>21, 40, 41</sup>. For example French et. al.<sup>21</sup> reported that 4–5 nm  $\text{TiO}_2$  NP quickly  
221 formed stable aggregates of hydrodynamic diameter of 50–60 nm in presence of 0.0045 M  $\text{NaCl}$  at



222 pH of 4.5. At same pH value and 0.0165 M NaCl ionic strength, micron-sized aggregates were formed  
223 within 15 minutes. This time was decreased to 5 minutes at pH values 5.8–8.2 even at low NaCl ionic  
224 strength of 0.0084–0.0099 M. This aggregation time was 10 fold greater in an aqueous dispersion of  
225 0.0128 M CaCl<sub>2</sub> and pH of 4.8. In another study Chen et. al.<sup>36</sup> studied that the divalent salts CaCl<sub>2</sub> and  
226 MgCl<sub>2</sub> gave much higher aggregate growth rate of alginate-coated hematite NP than that of  
227 monovalent NaCl. This process of aggregation was controlled by the thermodynamics where NP  
228 reduced their energies to form large aggregates. Figure 5 shows selected TEM micrographs of all salts  
229 used, which illustrates that there is an aggregate size and structural difference in the presence of  
230 monovalent (Figure 5 a&b) and divalent (Figure5 c&d) salts.



233 Figure 5: TEM images of aggregate formation behaviour and fractal dimensions of a) NaCl  
 234 (1380mM) b) NaNO<sub>3</sub> (238mM) c) Ca(NO<sub>3</sub>)<sub>2</sub> (16mM) d) CaCl<sub>2</sub> (10.7mM) on 20ppm sodium citrate  
 235 stabilized TiO<sub>2</sub> ellipsoids (IS for these images corresponds to the CCC values represented in Table 1;  
 236 pH=6.5±0.2).



237

238 Figure 6: Sticking efficiency of sodium citrate and SRFA stabilized ellipsoids titania (20ppm) against  
 239 a) NaCl b) NaNO<sub>3</sub> c) Ca(NO<sub>3</sub>)<sub>2</sub> d) CaCl<sub>2</sub> (pH=6.5±0.2).

240 As shown in Figure 6, there is a general trend of destabilizing NP with the increase of salt  
 241 concentration. For mono valence salts, the sodium citrate stabilized ellipsoids showed better stability  
 242 with a critical coagulation concentration of  $1380 \pm 10$  mM NaCl (Figure 6a). While for SRFA, the  
 243 CCC was reduced to 790 mM NaCl (figure 6a). Similarly NaNO<sub>3</sub> gave the CCC of 238 mM for  
 244 sodium citrate stabilized NP, which was much higher than SRFA stabilized NP with the CCC of 72  
 245 mM (Figure 6b).

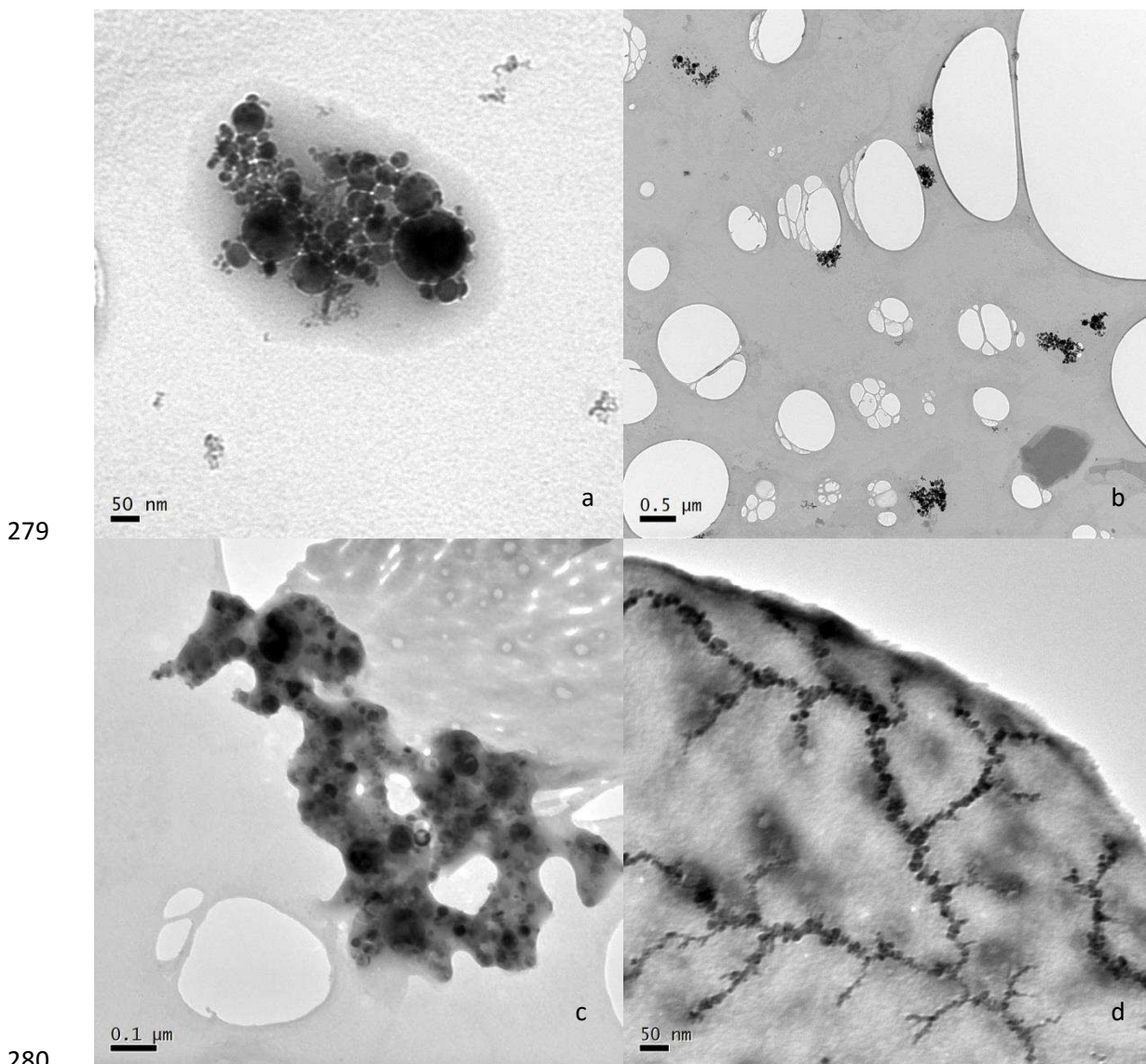
246 The divalent ion behaved quite different from the monovalent salts. In the presence of  $\text{Ca}(\text{NO}_3)_2$ , the  
247 CCC was 16 mM (Figure 6c) for sodium citrate stabilized ellipsoids, which was reduced to 8.9 mM  
248 for SRFA stabilized NP. In the presence of  $\text{CaCl}_2$ , the CCC was observed as 10.7 mM and 4.2 mM for  
249 sodium citrate stabilized ellipsoids (figure 6d) and SRFA stabilized NP. While these results were  
250 similar in the general trend with the monovalent salts, the CCC values were much smaller, indicating  
251 that  $\text{TiO}_2$  NP were more prone to be destabilized by the presence of divalent salts. The sticking  
252 efficiencies in the presence of monovalent salts showed a minimal rise as compared to divalent salts.  
253 It might be due to the degree of Debye-Hückel charge screening in monovalent salts is relatively less  
254 than divalent salts. This difference in CCCs is mainly because that  $\text{Ca}^{2+}$  ions have high efficiency to  
255 form complexes with citrate and fulvic acid<sup>42</sup>. It was noted that the CCCs of both SRFA and sodium  
256 citrate stabilized ellipsoids in the presence of divalent ions are much lower than the CCCs of  
257 monovalent salts. It is well documented that the dominant interacting mechanism is the interaction of  
258  $\text{Ca}^{+2}$  ions with carboxyl groups in citrate, and the bridging complex with fulvic acid and humics  
259 characteristics are important from complex formation<sup>36, 43-46</sup>. Both of these reactions basically  
260 neutralized the stabilization effect hence causing quick destabilization of NP. Moreover this inequality  
261 of CCCs is most likely due to the lower tendency of monovalent cations to form complexes as  
262 compared to higher propensity of divalent cations, hence having higher CCC values.

263 When concentration of mono or divalent salt is increased gradually, the amount of charge screening  
264 increases, allowing an increase in aggregation kinetics. This type of aggregation is called reaction-  
265 limited aggregation. When the concentration of mono or divalent salts is very high, the charge of  
266 stabilized NP is fully screened eliminating the energy barrier between NP. Such an aggregation is  
267 called diffusion-limited aggregation where the aggregation kinetics approached to the maximum and  
268 is independent of the salt concentration. The CCC is actually the intersection of the cross-over point  
269 between both reaction and diffusion limited aggregation points. At high concentrations of mono and  
270 divalent salts, the overall charge of  $\text{TiO}_2$  NP is totally screened and the energy barrier between NP is  
271 eliminated.



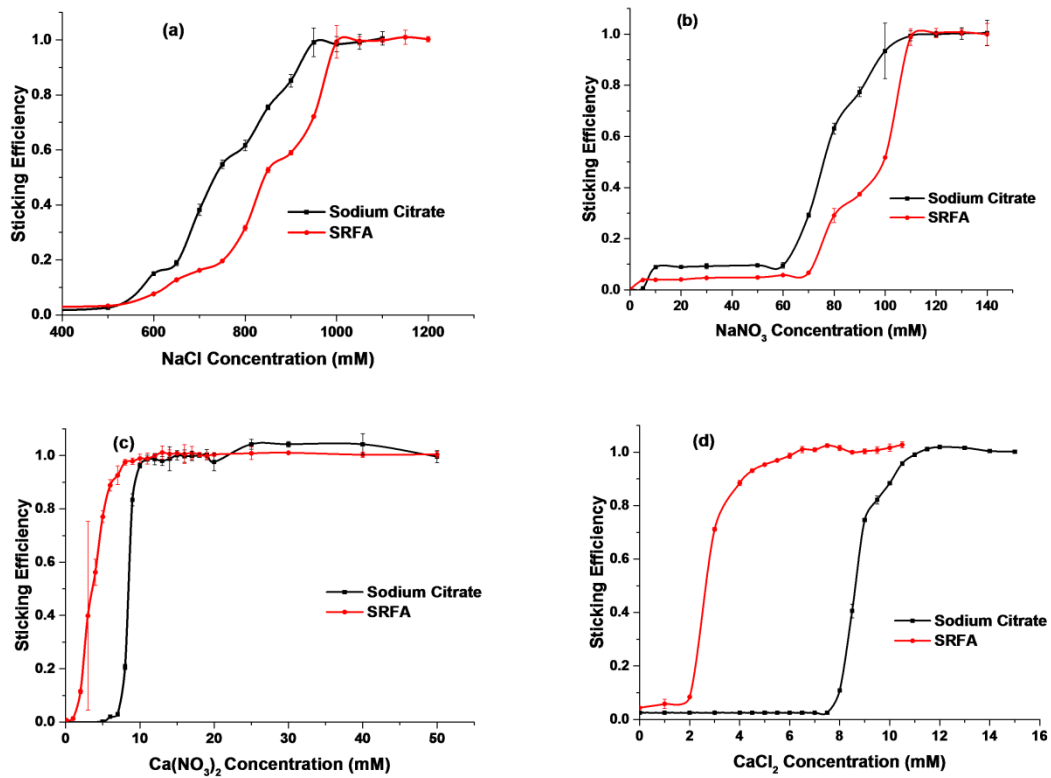
272 **Impact on anatase spherical NP**

273 The overall roundness of NP can be determined with a parameter called shape factor ( $\alpha$ ) which is  
274 defined as “the ratio of the surface area of a nonspherical nanoparticle ( $S^0$ ) to that of a spherical  
275 nanoparticle ( $S$ ), where both of the nanoparticle have identical volume, i.e.  $\alpha = S^0/S^{47}$ . Due to many  
276 fluctuations in the aggregation behaviour of rutile ellipsoids as described above, round anatase NP  
277 with a shape factor of 0.9 or above were selected. The aggregation behaviour of round particles was  
278 different from the ellipsoids (Figure 5 and 7).



281 Figure 7: TEM images of aggregate formation behaviour and fractal dimensions of a) NaCl (900mM)  
282 b) NaNO<sub>3</sub> (90mM) c) Ca(NO<sub>3</sub>)<sub>2</sub> (9.8mM) d) CaCl<sub>2</sub> (10.2mM) on 20ppm sodium citrate stabilized

283 spherical TiO<sub>2</sub> NP. (IS for these images corresponds to the CCC values represented in table 1;  
284 pH=6.5±0.2).



285  
286 Figure 8: Sticking efficiency of sodium citrate and SRFA stabilized spherical anatase NP (20 ppm)  
287 against a) NaCl b) NaNO<sub>3</sub> c) Ca(NO<sub>3</sub>)<sub>2</sub> d) CaCl<sub>2</sub> (pH=6.5±0.2)

288 For spherical TiO<sub>2</sub> NP, the results obtained from three measurements were more consistent, as  
289 shown by the small standard deviation values in Figure 8. In the presence of divalent salts, the  
290 agglomeration results were similar between spherical and ellipsoid TiO<sub>2</sub>, where the CCC values were  
291 consistently lower for SRFA stabilized dispersions. However for monovalent salt, the CCC has  
292 shown smaller values for sodium citrate stabilized dispersions. For instance, the CCCs were 900 mM  
293 and 1025 mM respectively for sodium citrate and SRFA stabilized NP in the presence of NaCl.  
294 Similarly in the presence of NaNO<sub>3</sub>, the CCC values were 90 mM and 115 mM respectively for  
295 sodium citrate and SRFA stabilized NP. A summary of the CCC values is provided in Table 1.

296

297 Table 1 Comparison of TiO<sub>2</sub> ellipsoids and spherical TiO<sub>2</sub> NP CCC values (20 ppm NP concentration)

Salt	Stabilizing agent	CCC (mM) ellipsoids	CCC (mM) spherical NP
NaCl	0.01% SRFA	790	1000
NaCl	0.3% Sod. citrate	1380	900
NaNO <sub>3</sub>	0.01% SRFA	72	110
NaNO <sub>3</sub>	0.3% Sod. citrate	238	90
Ca(NO <sub>3</sub> ) <sub>2</sub>	0.01% SRFA	8.9	6.2
Ca(NO <sub>3</sub> ) <sub>2</sub>	0.3% Sod. citrate	16	9.8
CaCl <sub>2</sub>	0.01% SRFA	4.2	3.9
CaCl <sub>2</sub>	0.3% Sod. citrate	10.7	10.2

298

## 299 DISCUSSIONS

### 300 Aggregation of TiO<sub>2</sub> nanomaterials in the presence of Sodium Chloride

301 The aggregation kinetics of TiO<sub>2</sub> NP in NaCl varied with the type of stabilizing agents. Results for  
 302 sodium citrate stabilized titania ellipsoids reproduced in Fig 6a were in accordance with DLVO theory  
 303 and gave a CCC of 1380 mM NaCl. This result was beyond the expectations but sodium citrate  
 304 stabilized spheres also resulted in a CCC value of 790mM (Fig 6a & Table 1). However, the CCC for  
 305 SRFA stabilized spherical NP was a bit higher than the CCC values ellipsoids (Fig 6a, 8a & Table 1).

306 There was an obvious difference in sticking efficiencies and the initial particle size of both types of  
 307 SRFA stabilized nanomaterials. A change in NaCl concentration showed an obvious difference in the  
 308 aggregates structure with a change of particle morphology (fig 6a, 8a & table 1). This observation is  
 309 consistent with the observation made by Huynh et. al (2011) while treating spherical citrate-coated Ag  
 310 NP with NaCl concentrations<sup>44</sup>. The current results show that sodium citrate stabilized ellipsoids have  
 311 more stability against NaCl as compared to SRFA, while sodium citrate stabilized spherical NP  
 312 showed lower CCC values compared to SRFA.

313 The stability and aggregation differences for two different shapes, i.e. spherical and ellipsoids are  
314 mainly because of arrangement of stabilizing ions and polymer chains. These packing arrangements in  
315 reaction and diffusion limited regimes for ellipsoids and spherical NP curvatures are shape specific  
316 and give distinctiveness to each type<sup>48</sup>.

317 In current research, the spherical TiO<sub>2</sub> NP have higher curvature as compared to the TiO<sub>2</sub> ellipsoids.  
318 This detailed surface information alter the physical packing of the stabilizing agent resulting a more  
319 compact layer of stabilizing agent for spherical NP as compared to more extended layer for the  
320 ellipsoids. This arrangement of the stabilizing agent resulted in higher electrosteric interactions on the  
321 curvatures of ellipsoids, giving them enhanced stability at reaction limited regime. While at diffusion  
322 limited regime, the ellipsoids gave more stabilization because of higher physical packing with larger  
323 amounts of cations.

324 Nanorods are proved to have higher physical packing hindrances as compared to small packing  
325 density in nanospheres<sup>49</sup>. Thus electrosteric interactions at reaction limited regime and physical  
326 hindrances arrangements of the stabilizing agent in diffusion limited regime are considered as  
327 proposed mechanism for the morphological effect of nanoparticles on aggregation. So it is well  
328 understood that this behaviour of ellipsoids is due to steric interactions for the particles having larger  
329 aspect ratio. In one study on colloidal haematite, Boxall et al.<sup>50</sup> distinguished that dicarboxylic organic  
330 acids provided steric effects and promoted aggregation.

331 It is well documented in the literature that the nanoparticles stabilized with carboxylic acids were  
332 more homogenized and possess more negative surface charge as compared to those synthesized in  
333 water alone<sup>51</sup>. So sodium citrate could influence more on the electrosteric effect in case of ellipsoids  
334 with higher aspect ratios than spherical NP. In both cases of SRFA and sodium citrate, all NP  
335 contained net negative charges which are being repelled by the negative counter ions on the  
336 nanoparticles surface. This repulsion is more in case of ellipsoids due to increased surface area as  
337 compared to counter ion effect of round NP.

338 Ellipsoids stabilized with SRFA exhibited aggregation behaviour quite similar to that for SRFA  
339 stabilized spherical NP (Figure 6a, 8a & Table 1). There was no obvious increase in the CCC both for  
340 ellipsoids and spherical NP stabilized with SRFA. SRFA may enhance particle stability by promoting  
341 electrosteric repulsion. The initial particle size of nanomaterial did not increase until the concentration  
342 of NaCl reached 500 mM for SRFA and 1250 mM for sodium citrate stabilized ellipsoids (Figure 4 c  
343 and d). In comparison, this growth started from 500 mM of NaCl for sodium citrate and SRFA  
344 stabilized spherical NP (Figure 4 e&f). These results clearly suggest the influence of aspect ratio,  
345 which enhanced stability of NP under same dispersion conditions. It is well documented that synthetic  
346 or natural stabilizing agents restrain electron transfer reactions because they reduce accessibility of the  
347 available surface area to stop reactions and increase stability<sup>23, 52, 53</sup>.

348 Another reason for the stability of SRFA stabilized NP is the hydrophobicity of FA<sup>54</sup>. As per IHSS  
349 proton-binding study of the functional group charge densities, the phenol-carboxylic ratio of FA is  
350 0.25 with a molecular weight of 500-2000 g/mol<sup>54</sup>. In this study NP stabilized with SRFA due to its  
351 greater hydrophobicity<sup>55</sup> and stronger steric repulsion<sup>23</sup>; resisted more to the addition of salts  
352 irrespective of the shape. TiO<sub>2</sub> ellipsoids with SRFA provided greater stability as compared to  
353 spherical NP under similar conditions.

354 Erhayem and Sohn<sup>56</sup> studied that adsorption of SRFA to the nano-TiO<sub>2</sub> surface was dependent on  
355 ionic strength regardless of the pH of media. With an increase in ionic strength, the SRFA would  
356 become more twisted and compact. This twisting might give some more nanoparticles surface area to  
357 be occupied by the SRFA giving a secondary stability. Therefore the amount of adsorption of SRFA  
358 on the surface of TiO<sub>2</sub> NP is highly dependent on the ionic strength. This twisting of the SRFA  
359 explains the higher stability of ellipsoids as compared to spherical NP because of more surface area of  
360 ellipsoids. At acidic pH, the TiO<sub>2</sub> surface has positive charges so cations give a bridging effect  
361 between positively-charged TiO<sub>2</sub> surface and negatively-charged SRFA, again imparting a secondary  
362 stability. Although this imparted stability loses its magnitude with increasing ionic strength, it might  
363 be a factor for the increased stability of ellipsoids due to increased surface area.

## 364 **Aggregation of TiO<sub>2</sub> nanomaterials in the presence of Sodium Nitrate**

365 The sodium citrate and SRFA stabilized titania nanomaterials behaved like NaCl aggregation when  
366 treated with NaNO<sub>3</sub>. The CCC for citrate-coated nanoparticles in NaNO<sub>3</sub> was 72 mM (Figure 6b &  
367 Table 1) for SRFA stabilized ellipsoids which increased to 110mM for spherical NP (Figure 6b &  
368 Table 1). There was a drop in CCC, from a value of 238mM for titania ellipsoids (Figure 6b & Table  
369 1) to 90mM NaNO<sub>3</sub> (Figure 8b & Table 1) which was similar to the value measured in case of NaCl.  
370 These CCC values are far less than NaCl CCC values although it was thought that both salts are  
371 mono-valent. As compared to NaCl, sodium citrate provided a degree of steric stability to the  
372 ellipsoids. The starting hydrodynamic size of the sodium citrate stabilized nanomaterials in NaNO<sub>3</sub>,  
373 just like in NaCl, followed a decreasing trend with increasing electrolyte concentration initially (fig 6b  
374 & 8b). It was noted that the aggregate sizes were higher in high concentrations of NaNO<sub>3</sub> than in  
375 similar concentrations of NaCl, suggesting the effect of stabilizing agents was enhanced in NaNO<sub>3</sub>  
376 than in NaCl. Moreover, in the presence of NO<sub>3</sub><sup>-</sup>, an open fractal structure was observed for the  
377 aggregated NP (Figure 7 b&d); however Cl<sup>-</sup> gave closed fractal structures (Figure 7 a&c), which are  
378 the characteristics of aggregation under unfavorable circumstances. So NO<sub>3</sub><sup>-</sup> always gave larger and  
379 open structures in all the cases and Cl<sup>-</sup> gave smaller aggregate structures (Figure 5).

380 It was observed that CCC was different for differently stabilized NP and for NaCl or NaNO<sub>3</sub>, which  
381 obviously suggests that the electrolyte anion have somehow very important role and it was not a  
382 ligand only. The role of anion was further confirmed by obvious differences in the aggregation of  
383 sodium citrate stabilized NP in NaCl and NaNO<sub>3</sub>. The difference in the CCC and aggregation  
384 behaviour was not dependent on ion size, because the hydrated radius of Cl<sup>-</sup> at 3.32 Å and NO<sub>3</sub><sup>-</sup> at  
385 3.35 Å<sup>57</sup> are quite close and might not be able to give much difference in behaviour. It might be NO<sub>3</sub><sup>-</sup>  
386 which made the gel like complexes with SRFA and sodium citrate. CCC values of two types of anions  
387 reveal that anion effect depends on the type of electrolyte. Stability of TiO<sub>2</sub> ellipsoids and spherical  
388 NP is affected at very low concentrations of Cl<sup>-</sup> and NO<sub>3</sub><sup>-</sup> for divalent salts while huge amount of Cl<sup>-</sup>  
389 was required to destabilize both types of NP in case of NaCl. As Ca<sup>+</sup> always form a gel-like  
390 aggregates<sup>36</sup> and NO<sub>3</sub> forms open fractal branched structures, Ca(NO<sub>3</sub>)<sub>2</sub> make larger aggregates as

391 compared to monovalent salts or divalent  $\text{Ca}^+$  with  $\text{Cl}^-$ . This is well confirmed while observing the  
392 sticking efficiencies where monovalent electrolytes gave more stability with higher CCC values. The  
393 combined effect of the gel-like aggregates effect of  $\text{Ca}^+$  with the open fractal structures of  $\text{NO}_3^-$  is the  
394 possible reason of larger aggregate size and lower CCC values for  $\text{Ca}(\text{NO}_3)_2$ . The aggregation state in  
395 all cases is related to the overall surface area, adsorption of anion and the sorbate surface exposure.  
396 CCC results showed that the greater the attraction of anions by  $\text{TiO}_2$  surface, the lower is the stability  
397 and vice versa.

### 398 **Aggregation of $\text{TiO}_2$ nanomaterials in the presence of Calcium Nitrate**

399  $\text{Ca}(\text{NO}_3)_2$  showed aggregation behaviour which was quite in line with  $\text{NaNO}_3$  (Table 1). No  
400 changes were observed between CCC values of both types of NP but these CCC values are far less  
401 than  $\text{NaNO}_3$  CCC value, which was attributed to  $\text{Ca}^{+2}$  because of its quick screen of surface charge by  
402 divalent ions. This enhancement in aggregation might be due to the compression of the electrical  
403 diffused double layer on  $\text{TiO}_2$  NP surface as a result of chelation between NP surface and  $\text{Ca}^{2+}$ . The  
404 results show that the CCC for the SRFA stabilized  $\text{TiO}_2$  NP (either ellipsoids or spherical) was at least  
405 an order of magnitude higher than sodium citrate coated NP.

406 Both type of  $\text{TiO}_2$  NP either coated with SRFA or sodium citrate had negative zeta potential values.  
407 With the addition of divalent calcium cations, the zeta potential of stabilized NP decreased. It is well  
408 documented in literature that  $\text{Ca}^{2+}$  forms a complex with organic matter stabilized hematite NP, which  
409 neutralized the negative surface charge of the NP<sup>36</sup>. In the presence of divalent  $\text{Ca}^{2+}$ , same mechanism  
410 governs the destabilization of both types of NP. SRFA imparted the negative charge on the surface of  
411 both types of  $\text{TiO}_2$  NP. These imparted negative charges made complex with  $\text{Ca}^{2+}$  to destabilize the  
412 NP dispersion even with little amounts of the divalent salt.

### 413 **Aggregation of $\text{TiO}_2$ nanomaterials in the presence of Calcium Chloride**

414 The aggregation behaviour observed in  $\text{CaCl}_2$  was similar to that observed in  $\text{NaCl}$  but the NP  
415 started to aggregate at a lower concentration of  $\text{CaCl}_2$ . The stability of the SRFA stabilized  
416 nanomaterials in  $\text{CaCl}_2$  was obviously different from that in  $\text{NaCl}$ , as divalent cations quickly changed

417 the aggregation stage. The ellipsoids either stabilized by sodium citrate or SRFA showed a better  
418 magnitude of stability as compared to spherical NP mainly due to the greater surface area. This clearly  
419 illustrates the effect of shape on the stability of NP. The high charge screening efficiency of  $\text{Ca}^{+2}$  ions  
420 for the nanomaterials could be the possible aggregation mechanisms along with the specific  
421 interaction of nanomaterials,  $\text{Ca}^{+2}$  ions and stabilizing agents<sup>58</sup>.

422 Huynh and Chen<sup>44</sup> considered the interparticle bridging of NP by interaction of humic acid and  $\text{Ca}^{2+}$   
423 ions as the main reason of aggregation. They emphasized that polymer coated NP had more stability  
424 as compared to citrate coated NP in the presence of monovalent and divalent ions. This is more likely  
425 because of the electrosteric stability induced by large chain polymers. The sticking of SRFA  
426 molecules imparted additional stability to the NP in the presence of low concentrations of ions. But  
427 when the concentration was high, the intermolecular bridging induced by SRFA gave enhanced  
428 aggregation.

## 429 **CONCLUSIONS**

430 This study showed that the surfactant, ionic strength and morphology of  $\text{TiO}_2$  NP affected the  
431 aggregation kinetics significantly. Five surfactants were investigated influencing the aggregation  
432 process but sodium citrate and SRFA were the most effective stabilizing agents. NP morphology has  
433 influenced the sticking efficiency and crystal structure, which altered the aggregation kinetics  $\text{TiO}_2$   
434 ellipsoids proved more resistant to aggregation than spherical NP against different  $\text{Ca}^{+2}$  and  $\text{Na}^+$  salts  
435 at similar concentrations. Salt concentrations changed the sticking efficiency between individual NP  
436 and NP-substrate surfaces. It is considered that the aggregation kinetics is due to  $\text{Ca}^{+2}$  and  $\text{Na}^+$  cations  
437 but  $\text{CO}_3^-$  and  $\text{Cl}^-$  anions may also have their impacts, which will be studied in future work.

## 438 **ENVIRONMENTAL IMPLICATIONS**

439 SRFA stabilized NP are relatively more stable than sodium citrate stabilized NP, mainly due to the  
440 electrosteric repulsion by the SRFA molecules. Since the CCC values for both types of NP are greater  
441 than typical environmental related concentrations of mono and divalent salt concentrations, it is



442 presumed that these NP are highly mobile in natural environments. Moreover natural environments  
443 have fulvic and humic acids in abundance which naturally increase the stability of these NP hence  
444 increasing their mobility. This work acts as a benchmark study to understand the ultimate fate of  
445 engineered nanoparticles in the environment. Clearly due to the complexities in real soil matrix,  
446 which would have different complex nature of ions and natural organic matters, understanding the real  
447 time fate of engineered nanoparticles is still a big challenge. Clearly there is still a strong need of  
448 further studies to establish the influence of other environmental constituents like natural organic  
449 matters, humic acids and different metals on the aggregation kinetics of titania NP. In addition, further  
450 research work is needed to assess the effect of different sizes and phase contents on NP stability,  
451 aggregation kinetics and mobility.

452

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