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1 **Improving quality of care of children in community clinics: an intervention and**  
2 **evaluation in Bangladesh**

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25 **ABSTRACT**

26 **Setting:** Community health-care providers (CHCPs) in 40 rural community clinics of  
27 Comilla district, Bangladesh, were trained using a newly-developed case-management  
28 job aid based on ‘integrated management of childhood illness’ and a communication  
29 guide.

30

31 **Objectives:** To assess change in knowledge of CHCPs after training; **absolute** quality  
32 of care provided by CHCPs (determined as proportion of under-five children correctly  
33 diagnosed, treated and referred); and CHCPs’ consultation behaviour.

34

35 **Design:** Change in knowledge was assessed by pre- and post-tests. Quality of care  
36 was determined by reassessments at clinic exit by a medical officer, **without baseline**  
37 **comparison**. Consultation behaviour was assessed through direct observation. The  
38 study was performed during 2014-15.

39

40 **Results:** CHCPs’ mean standard knowledge score increased from 19 to 25 ( $P<0.001$ ).  
41 Of 1490 under-five children examined, 91% were correctly diagnosed, 86% correctly  
42 treated and 99.5% received a correct referral decision. CHCPs performed well on most  
43 measures of good communication - though one-third did not explain diagnosis and  
44 treatment to patients.

45

46 **Conclusion:** The training was effective in changing knowledge. CHCPs applied the  
47 knowledge gained and provided good quality care. Following these results, the  
48 Bangladesh Ministry of Health and Family Welfare has scaled up the training  
49 nationwide. The lessons learnt should be useful for other countries.

50

## 51 INTRODUCTION

52

53 Globally, the under-five mortality rate has halved since 1990.<sup>1</sup> South Asia has achieved  
54 an equivalent reduction, but under-five mortality remains unacceptably high at 52.5  
55 deaths/1000 live births. Sick children's first point of contact with health services is  
56 usually primary care. However, in low-income countries, primary care quality is often  
57 poor. Additional supply-side factors causing poor outcomes include lack of information  
58 on sources of care, distance of health facilities from home, high access costs and staff  
59 discrimination; demand-side factors causing low utilisation by the poor include beliefs  
60 that care is of poor quality, cultural and social belief systems, and lack of awareness of  
61 the value of services.<sup>2 3</sup>

62 In Bangladesh, the under-five mortality rate remains high, at 46 per 1000,<sup>4 5</sup> half of  
63 which are due to acute respiratory infections, serious infection and diarrhoea. Effective  
64 case management through trained healthcare providers could prevent many of these  
65 deaths.<sup>6</sup> To improve access to care, utilisation and equity, the Ministry of Health and  
66 Family Welfare (MOHFW) has initiated the *Revitalization of Community Health Care*  
67 *Initiatives in Bangladesh* (RHCIB) project, which aims to provide community clinics  
68 (CCs) – with catchments of approximately 6,000 people – in rural areas, to deliver an  
69 essential service package for women, children and the poor. So far, about 13,309 CCs  
70 have been built.

71 CCs are staffed by Community Health Care Providers (CHCPs). CHCPs are meant to  
72 provide health education, health promotion and treatment of minor ailments, and identify  
73 and refer severe cases to hospitals. CHCPs are given monthly supportive supervision  
74 by the Sub-Assistant Community Medical Officer (SACMO) of the upazilla (subdistrict)  
75 in which the CC lies. SACMOs are trained medical professionals – **the level above**  
76 **CHCPs, using similar clinical skills (i.e. not doctors)** – who provide healthcare services  
77 at Upazilla Health Complexes (UHCs) (the first level of health facility staffed by **doctors**).

78 In response to concerns of the **Project Director of the RHCIB project and the Line**  
79 **Director of the Essential Service Delivery Programme** about poor quality of care, a

80 programme review was conducted. This indicated that although CHCPs received twelve  
81 weeks training – six weeks in class acquiring mainly theoretical knowledge followed by  
82 six weeks observing doctors practice – they lacked practical consultation and  
83 communication skills. Based on the assessment of patient records by a medical doctor,  
84 a rapid pre-intervention study at 5 CCs, selected using convenience criteria, confirmed  
85 the Project Director’s concerns by demonstrating that only 29% of children received  
86 proper diagnosis and care (Table 1). We also estimated that 90% of children who did  
87 not need antibiotics received them. To address these issues, we developed a diagnostic  
88 and case management job aid adapted from existing Integrated Management of  
89 Childhood Illness (IMCI) guidelines<sup>10</sup> and communication guidelines (pre-tested in  
90 Kaliganj – a non-study upazila – and revised accordingly), and trained all (standard)  
91 CHCPs in the study sites. It was anticipated that this would result in higher-quality care,  
92 contribute to improved utilisation of community clinics and ultimately help reduce under-  
93 five mortality.

94  
95 The timeframe for this ‘embedded’ research was extremely tight, with a short deadline  
96 to develop and pilot the intervention before the Ministry of Health undertook nationwide  
97 training. This political reality gave us little opportunity to perform a major assessment of  
98 quality of care prior to intervention implementation. Furthermore, prior quality of care  
99 was sufficiently poor that we considered it preferable to assess absolute quality of care  
100 to allow programme managers to judge whether this level of quality was adequate,  
101 rather than measure improvement in care (since we could have found statistically  
102 significant improvements even though care quality was still inadequate). Specific  
103 objectives were to determine CHCPs’ knowledge and consultation behaviour after  
104 training; and to determine the proportion of under-five patients seen by CHCPs who  
105 received the correct diagnosis, correct treatment (including rational use of antibiotics)  
106 and appropriate referral when necessary.

107  
108

## 109 **METHODS**

110

### 111 **Study design**

112 The study used a cross-sectional approach to assess quality of care, and pre-post  
113 testing to assess change in CHCPs' knowledge. The study population comprised all  
114 under-five children who attended the selected CCs, and the CHCPs who cared for  
115 them, from August 2014 to February 2015.

116

117 To assess the change in knowledge following training, CHCPs were pre- and post-  
118 tested (**immediately before and after training**) using 12 multiple choice questions  
119 (MCQs) and 3 case studies addressing the process and content of service delivery.  
120 **MCQs and case studies were pre-tested for clarity and relevance in Kaliganj with 23**  
121 **CHCPs, and revised accordingly.** To assess the CHCPs' diagnosis, treatment and  
122 referral post-intervention, at each selected CC, every under-five child examined for six  
123 consecutive days was re-assessed at exit by a SACMO **situated in a separated room**  
124 **within the CC.** SACMOs were **not previously known to the CHCPs, but CHCPs were**  
125 **aware they would be assessed.** To assess the CHCPs' communication with the  
126 patient/carer, on the final day of assessment a social researcher observed consultations  
127 and completed a checklist.

128

### 129 **Setting**

130 The study was carried out in two sub-districts of Comilla, a peri-urban district about 100  
131 kilometres from Dhaka with a population of 3.74 million.<sup>7</sup> Comilla has 16 upazillas, from  
132 which we selected two – Daudkandi and Chandina – purposively to avoid overlap with  
133 other RCHCIB NGO partner projects, and to approximate the national average patient  
134 load of 45 patients per day per CC. Daudkandi and Chandina have respectively 23 and  
135 27 functioning CCs serving approximately 16,000 and 15,000 under-five children  
136 yearly.<sup>8,9</sup> CCs were situated from 7 to 25 kilometres from their UHC. Of the 50 CCs, 40  
137 (20 from each upazilla) were **non-randomly** selected to ensure a range of geographical  
138 locations, distances from their UHC, knowledge levels (assessed using pre- and post-  
139 test exams), and equal numbers of male and female CHCPs. Study CCs were not

140 atypical of CCs nationally, being a mix of rural CCs, peri-urban CCs and very remote  
141 CCs (up to 25 km from their UHC). Study CCs may not be representative of some CCs  
142 in the hill areas of Chittagong and remote coastal areas, which are difficult to access  
143 and possess unique features.

144

### 145 **Intervention and training**

146 All study CHCPs underwent six days of refresher training during April-June 2014,  
147 facilitated by the RCHCIB project and a member of our study team. Eight of the 37  
148 sessions during this training covered IMCI<sup>10</sup> guidelines. The intervention and training  
149 package included five new components: (1) a job aid<sup>11</sup> to facilitate the effective  
150 management of six common illnesses, based on IMCI, including guidance on  
151 appropriate use of antibiotics; (2) training on ‘how to diagnose and treat’; (3) training on  
152 how to communicate with the child and caregiver; (4) IMCI user guidelines describing  
153 how to use the IMCI job aid; and (5) training modules including case studies and role-  
154 play exercises. The package was developed shortly prior to the training in January-  
155 March 2014 by a national technical working group committee comprised of national and  
156 international experts in relevant health disciplines, social science and public health.  
157 Following the training the CHCPs were expected to keep the job aid and guide on their  
158 desks for ready reference during consultations. A simple referral mechanism was  
159 included in the IMCI job aid to strengthen referral of complicated cases.

160

### 161 **Data collection procedures**

162 One fieldworker was appointed in each upazilla to communicate with CCs and manage  
163 data collection. Fieldworkers received training on data collection tools and procedures.  
164 Two SACMOs, one from each UHC, were selected to assess CHCPs’ skills with  
165 permission from upazilla authorities. The SACMOs spent six consecutive working days  
166 at each CC assessing all the CHCP’s consultations with under-five children. Each child  
167 was then re-assessed by the SACMO blind to the CHCP’s consultation. At the end of  
168 each day the SACMO matched his own register to the CHCP’s register and, using a  
169 pre-developed reporting form, recorded all consistencies and inconsistencies. On the  
170 sixth day, a researcher observed the CHCP’s consultations to assess the CHCP’s

171 communication skills and completed a pre-developed consultation observation checklist.  
172 At the end of the sixth day the SACMO gave feedback to the CHCP on each  
173 consultation. All data were collected using pre-tested structured tools.

174

### 175 **Data entry and analysis**

176 All data from SACMO reporting forms were checked, and analysed using SPSS (version  
177 23). Performance of CHCPs was assessed using outcomes measuring the proportion of  
178 under-five children:

179 1) who received the correct diagnosis

180 2) who received the correct treatment

181 3) who received appropriate referral services, when necessary

182 4) for whom antibiotics were used rationally (antibiotics given when recommended in the  
183 job aid and not given when not recommended).

184

185 The study was powered to estimate 95% confidence interval widths of at most  $\pm 10\%$  for  
186 the outcomes for each type of disease, which implied identifying at least 120 cases of  
187 severe pneumonia.

188

189 A knowledge score was calculated based on responses in pre- and post-training tests,  
190 and mean change in scores was assessed using a paired t-test. Simple frequencies and  
191 proportions, and their 95% confidence intervals (calculated using the Clopper-Pearson  
192 exact method<sup>12</sup>), were used to describe the consultation performance and behaviour of  
193 CHCPs and make inferences about the effectiveness of the training.

194

### 195 **Ethics**

196 Ethics approval was obtained from the Bangladesh Medical Research Council (BMRC)  
197 and the University of Leeds, UK. Written informed consent was obtained from all  
198 respondents and CHCPs before interview.

199

200

## 201 **RESULTS**

202 Overall, 1501 under-five children attended the 40 CCs during the study. Eleven children  
203 had missing data; analysis is therefore restricted to 1490 (99%) children. Half (51%) of  
204 all children were females, and the median age was 2 (interquartile range 1-3) years.

205 The most common presentations were 'No pneumonia (cough or cold)' (67% of  
206 patients), fever and diarrhoea (Table 2). A total of 17 (1%) children had severe disease  
207 requiring referral to a UHC.

208

### 209 **Quality of care**

210 Overall, 91% (95% CI 89-92%) of children were correctly diagnosed, 86% (95% CI 84-  
211 87%) were correctly treated and almost all received a correct referral decision by the  
212 CHCP (99.5%: 95% CI 99-99.8%) (Table 3). Only one child who required referral was  
213 missed by the CHCP (Table 3). Excluding severe pneumonia, for which there were only  
214 two cases (and therefore accurate inference is not possible), correct diagnosis was  
215 lowest for pneumonia (68%: 95% CI 53-80%), with much higher levels of correct  
216 diagnosis for all other diseases (Table 4).

217

### 218 **Rational use of antibiotics**

219 Overall, 89% (95% CI 87-91%) of consultations resulted in the correct use of antibiotics,  
220 comprising 89% (95% CI 81-93%) of consultations requiring an antibiotic that correctly  
221 resulted in their use, and 89% (95% CI 87-91%) of consultations not requiring an  
222 antibiotic that correctly did not result in their use (Table 3). The correct use of antibiotics  
223 was lowest for children presenting with 'No Pneumonia (cold or cough)' (86%: 95% CI  
224 83-88%) and pneumonia (88%: 95% CI 76-95%), with high levels of correct antibiotic  
225 use for all other diseases (Table 4).

226

### 227 **Change in knowledge of CHCPs**

228 The mean knowledge score (maximum 30) before the training was 19 (SD 16-22) which  
229 increased to 25 (SD 23-27) after the training ( $p < 0.001$ ).

230

### 231 **Consultation behaviour of CHCPs**

232 CHCPs performed well on most measures of good communication. However, about half  
233 interrupted the child's parent or carer while they were talking, around one-third did not  
234 explain the diagnosis and treatment, and slightly less than one-third failed to provide  
235 preventive messages to the patients (Table 5).

236

237

238 **DISCUSSION**

239 We found that following the training, CHCPs were able to correctly diagnose, treat and  
240 refer 86% of under-five children cared for. The pre/post training evaluation showed a  
241 highly significant and clinically meaningful increase in knowledge based on the content  
242 of the job aid. These findings indicate that CHCPs' knowledge gained in training was  
243 applied in clinical practice, and justify the decision of the MOHFW to roll out the  
244 refresher training countrywide.

245  
246 There remained some over-prescribing of antibiotics (about 11% of children were  
247 prescribed antibiotics when they should not have been), but this was less than half the  
248 rate found in the pre-intervention study – this is important given global concerns about  
249 antibiotic resistance. There were still some errors in diagnosis (9%) and treatment  
250 (14%), especially among children with respiratory symptoms (9% and 14% respectively)  
251 – these need to be addressed during supportive supervisory visits and on-the-job  
252 training. The programme managers have begun to fill remaining gaps identified in  
253 CHCP performance through electronic distance learning modules. The MoHFW has  
254 introduced distance learning, as arranging classroom-based training for the 14,000  
255 CHCPs is very expensive and time consuming. In the next health sector programme,  
256 there are plans to arrange 6 days refresher training for all CHCPs in 2017.

257  
258 An important innovation in this study is the adaptation of the IMCI job aid and training to  
259 the Bangladesh context, in line with updated World Health Organization guidance, and  
260 the country cultural and epidemiological context. For example the job aid includes an  
261 expanded fever section which recognises that most of Bangladesh is malaria-free, but  
262 that in the districts bordering Myanmar where there is malaria, a rapid malaria-  
263 diagnostic test is necessary. The resulting six page job aid is easy to use, and easily  
264 replicable and scalable<sup>11</sup>. The intervention contributed to a change in national policy and  
265 practice: about 14,000 CHCPs nationwide were given the job aid and trained.

266  
267 A study from Ethiopia<sup>13</sup> which used a similar training methodology and evaluation found  
268 that health extension workers, following training, provided correct case management in

269 two-thirds of the under-five children, and only one-third of children with severe illness  
270 were correctly managed and referred. The performance of CHCPs in our study has  
271 been substantially better, but the reasons for this difference are unclear.

272  
273 This is the first study of CHCPs performance in Bangladesh. There were several  
274 strengths in the intervention and its evaluation. The study had a large sample size. The  
275 intervention was designed and developed to be replicable, scalable and sustainable  
276 within routine service. There are clear criteria for classifying severe illness that needs  
277 urgent treatment and referral. The CHCPs identified all the children needing referral,  
278 although there were far fewer requiring referral than expected: this may be because the  
279 parents go directly to the UHC when their child looks very ill.

280  
281 There were some weaknesses. First, there was no systematic baseline assessment in  
282 the study sites, due to the quick programme decision to scale up CHCP trainings  
283 nationwide based on the pilot results. However, it was widely acknowledged that  
284 baseline quality of care was suboptimal and it was deemed inappropriate to divert  
285 scarce resources for baseline assessment. Second, the study was done only in 2 sub-  
286 districts, and may not be representative of the country. **Third, the relatively short time  
287 interval between training and knowledge assessment measured short-term recall, and  
288 may not represent long-term learning – but the longer period between training and  
289 SACMO reassessment suggests knowledge was retained.** Fourth, the CHCP was  
290 aware that a SACMO was reassessing the children and a researcher was observing the  
291 consultation behaviour. The observed quality of care may in part be due to the  
292 Hawthorne effect,<sup>14</sup> where increased attention during assessment in itself increases  
293 performance. Thus, the performance observed in the study may not necessarily be  
294 reflective of that expected in routine practice. **Fifth**, the re-assessment was conducted  
295 by two SACMOs – using a panel of clinicians would have increased the rigour of re-  
296 assessment.

297  
298 In conclusion, we believe this study provides evidence to demonstrate that there are  
299 likely to be substantial benefits if countries develop tailored materials and training

300 packages for lower-level health workers. These should be based on current WHO IMCI  
301 guidance.<sup>10</sup>

302

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319

### 320 **CONFLICTS OF INTEREST**

321 None declared

322

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368

369 **Table 1: Rapid assessment of quality of care of under-five children who attended 5**  
 370 **community clinics in Comilla district, Bangladesh, 2014-15**  
 371

	<b>Number seen per clinic per month</b>	<b>% who received proper diagnosis and care</b>
Severely ill children		
Danger signs	3	50%
Pneumonia	9	20%
Diarrhoea	14	80%
Total severely ill children	26	56%
No treatment needed	37	10%
All children	63	29%

372  
 373  
 374

375 **Table 2: Distribution of diseases among under-five children who attended the community**  
 376 **clinics in two upazillas of Comilla district, Bangladesh, 2014-15**  
 377

<b>Disease</b>	<b>Number</b>	<b>(%)</b>
Total	1490	(100)
No pneumonia (cold or cough)	991	(67)
Pneumonia	50	(3)
Severe pneumonia	2	(<1)
Diarrhoea	107	(7)
Dysentery	27	(2)
Fever not malaria	117	(8)
Very severe disease	1	(<1)
Mild viral illness	26	(2)
Others*	169	(11)

\*Others includes ear problems, cuts, burns, abdominal pain and skin problems

378  
 379  
 380

381 **Table 3: Proportion of under-five children who received a correct diagnosis, treatment**  
 382 **and referral in two upazillas of Comilla district, Bangladesh, 2014-15 (n=1490)**  
 383

<b>Outcome measures</b>	<b>Number</b>	<b>%</b>	<b>(95% CI)</b>
Correct diagnosis decision	1355	91	(89-92)
Correct treatment decision	1277	86	(84-87)
Correct use of antibiotic	1326	89	(87-91)
Among those who required antibiotic (n=106)	94	89	(81-93)
Among those who did not require antibiotic (n=1384)	1232	89	(87-91)
Correct referral decision	1483	99.5	(99.0-99.8)
Among those who required referral (n=17)	16	94	(73-99)
Among those who did not require referral (n=1473)	1467	99.6	(99.1-99.8)

384  
 385  
 386

387 **Table 4: Proportion of under-five children correctly diagnosed, treated (in relation to**  
 388 **antibiotic use) and referred, by presenting disease, in two upazillas of Comilla district,**  
 389 **Bangladesh, 2014-15**  
 390

Presenting disease	Total	Correct* Diagnosis			Correct* antibiotic use			Correct* Referral		
	n	n	%	(95% CI)	n	%	(95% CI)	n	%	(95% CI)
No Pneumonia (cold or cough)	991	919	93	(91-94)	849	86	(83-88)	990	99	(99-100)
Pneumonia	50	34	68	(53-80)	44	88	(76-95)	50	100	(93-100)
Severe Pneumonia	2	1	50	(1-99)	2	100	(16-100)	2	100	(16-100)
Diarrhoea	107	105	98	(93-100)	105	98	(93-100)	106	99	(95-100)
Dysentery	27	27	100	(87-100)	26	96	(81-100)	27	100	(87-100)
Fever	117	104	89	(82-94)	112	96	(90-99)	117	100	(97-100)

\*Correct means the CHCP's diagnosis was in accordance with the SACMO's subsequent re-diagnosis

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394 **Table 5: Assessment of consultation behaviours of community health care providers in**  
 395 **two upazillas of Comilla district, Bangladesh, 2014-15 (n=37)**  
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<b>Consultation outcome measures</b>	<b>n</b>	<b>%</b>	<b>95% CI</b>
Welcomed the patient	24	64	(47-80)
Encouraged patient to talk	37	100	(91-100)
Looked at the patient	37	100	(91-100)
Listened to the patient	37	100	(91-100)
Proper sitting arrangement during consultation	27	73	(56-86)
Look for danger signs of being severely ill	25	68	(50-82)
Asked about symptoms	37	100	(91-100)
Started questioning using open ended questions	37	100	(91-100)
Completed questioning using closed ended questions	35	95	(82-99)
Interrupted parent/carer while talking	18	49	(32-66)
Able to encourage parent/carer to describe the child's condition	37	100	(91-100)
Look, listen and feel for the relevant signs	36	97	(86-100)
Explain diagnosis and treatment to the patient	24	64	(47-80)
Give preventive messages related to this illness	26	70	(53-84)

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