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MUD-DOMINATED BASIN MARGIN PROGRADATION: PROCESSES AND IMPLICATIONS

Miquel Poyatos-Moré^{1*}, George D. Jones², Rufus L. Brunt¹, David M. Hodgson³, Richard J. Wild⁴ and
Stephen S. Flint¹

¹Stratigraphy Group, School of Earth, Atmospheric and Environmental Sciences,
University of Manchester, Manchester M13 9PL, UK

²VNG Norge, Filipstad Brygge 1, 0252 Oslo, Norway

³Stratigraphy Group, School of Earth and Environment, University of Leeds, Leeds LS2 9JT, UK

⁴Statoil ASA, Exploration Research, Arkitekt Ebbells veg. 10, Rotvoll, Norway

*email: miquel.poyatos-more@manchester.ac.uk

ABSTRACT: The accretion of coarse-grained material at the shelf-edge rollover has been emphasized in studies of basin margin progradation, despite fine grained sediment (clay and silt) representing a volumetrically more significant component of subaqueous clinothem. The timing and processes of fine-grained sediment transport across the shelf and onto the slope remains an understudied facet of sedimentary basin stratigraphy. Three exhumed basin margin-scale clinothem of the Permian Waterford Formation, in the Karoo Basin, South Africa, offer outcrop examples of margin development through the accretion of mud during flooded shelf conditions. The progradation of wave/storm-influenced sandy shelf topset deposits over a thick mudstone succession and beyond a previously established sand-rich shelf-edge rollover suggests that some periods of basin margin progradation took place exclusively via dilute mud-rich gravity flows. Detailed outcrop and core study of offshore mudstones reveals a high content of organic debris and mica. Individual beds show normal and inverse grading, internal erosion surfaces and moderate to low bioturbation, reflecting relatively stressed conditions in frequently supplied outer shelf to upper slope regions. The estimated low gradient ($<0.7^\circ$) of the Karoo Basin margin and prevailing wave/storm conditions facilitated prolonged suspension of fluid mud and transport across the shelf and beyond the shelf-edge rollover in sediment gravity flows. This study represents a rare example of mudstone-dominated shelf-edge rollover deposits documented at outcrop and core, and demonstrates how fine-grained sediment accretion can play a significant role in basin margin progradation. Conventional depositional models

31 do not adequately account for progradation of basin margins in the absence of sand supply, which
32 implies potential risks in the identification of shelf edge rollover positions and application of trajectory
33 analysis in strongly progradational margins.

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INTRODUCTION

36 Mud-rich clinothems are major components of continental shelves and the progradation of mud-
37 dominated deltas has been widely described in modern systems (e.g. Cattaneo et al. 2007;
38 Slingerland et al. 2008). Shelf-edge progradation is commonly associated with the accretion of
39 coarse-grained material (very fine sand and coarser) on and beyond the rollover (zone between the
40 topset and foreset), when sedimentation in topsets is limited by low accommodation and/or high
41 sediment supply (Morton and Suter 1996; Muto and Steel 2002; Steel and Olsen 2002; Steel et al.
42 2003; Johannessen and Steel 2005; Porębski and Steel 2006; Carvajal and Steel 2009; Carvajal et al.
43 2009; Covault et al. 2009; Olariu and Steel 2009; Hubbard et al. 2010; Dixon et al. 2012a; 2012b).
44 Typically, the recognition of sand-rich shelf-edge rollovers is used in outcrop and subsurface studies
45 to define basin margin clinothems (e.g. Plink-Björklund and Steel 2002; Mellere et al. 2003; Pyles and
46 Slatt 2007; Uroza and Steel 2008; Dixon et al. 2012a) (Fig. 1). The trajectory of multiple shelf-edge
47 rollovers can be used to infer long-term relative sea-level changes (e.g. Steel and Olsen 2002;
48 Helland-Hansen and Hampson 2009; Henriksen et al. 2009; 2011; Olariu et al. 2012). When trajectory
49 is used in combination with the interpreted dominant shelf-edge process regime (Dixon et al. 2012b),
50 the timing of coarse-grained sediment delivery from shelves to deep basins can be predicted.

51 Mud-grade sediment is a volumetrically significant proportion of the total sediment transferred by
52 rivers (e.g. Burgess and Hovius 1998), and a major sediment component in modern shelf construction
53 (McCave 1972; Nittrouer et al. 1986; Kineke et al. 1996; Kuehl et al. 1996; Kuehl et al. 1997; Michels
54 et al. 1998; Kineke et al. 2000; Liu et al. 2001; Bentley 2003; Hill et al. 2009). Consequently
55 subaqueous deltas, shelf-edge rollovers, and basin margin clinothems are dominated by thick mud(-
56 stone)-rich packages (e.g. Damuth et al. 1988; Bohacs 1998; Driscoll and Karner 1999; Cattaneo et
57 al. 2007; Liu et al. 2007; Slingerland et al. 2008; Bohacs et al. 2014; Patruno et al. 2015) (Fig. 1),
58 despite the emphasis commonly being on their sand-rich components. In addition, oceanographic
59 studies have documented the existence of high energy prograding mud-rich shelves (Rine and

60 Ginsburg 1985; Augustinus 1989; Allison and Nittrouer 1998; Allison and Neill 2003; Cattaneo et al.
61 2003; Rotondo and Bentley 2003; Walsh et al. 2004; Ta et al. 2005).

62 A re-examination of mud transport processes (Macquaker and Bohacs 2007; McAnally et al. 2007;
63 Schieber et al. 2007; Schieber and Southard 2009; Schieber and Yawar 2009) and the mechanisms
64 responsible for widespread distribution of mud along the shelf (Nemec 1995; Abbott 2000; Traykovski
65 et al. 2000; Parsons et al. 2001; Dalrymple and Cummings 2005; Pattison 2005; Nakajima 2006;
66 Macquaker et al. 2007; Varban and Plint 2008; Ichnas and Dalrymple 2009; Macquaker et al. 2010;
67 Ghadeer and Macquaker 2011; Harazim and McIlroy 2015) have led to a major reappraisal of fine-
68 grained successions in ancient shelves and epicontinental seas (e.g. Soyinka and Slatt 2008;
69 Bhattacharya and MacEachern 2009; Plint et al. 2009; MacKay and Dalrymple 2011; Plint et al. 2012;
70 2014; Wilson and Schieber 2014). However, there remains a lack of detailed studies across ancient
71 mudstone-rich shelf-edge rollover successions (type 4 clinothems of Steel et al. 2000), and the
72 mechanism and timing for basin margin clinothem progradation under mud-dominated supply regimes
73 are still poorly constrained.

74 This study of the Permian Waterford Formation, Karoo Basin (South Africa), utilizes an established
75 stratigraphic framework (Wild et al. 2009; Jones et al. 2013; 2015), but focuses specifically on
76 documenting a particular style of mudstone-dominated basin margin progradation in two basin
77 margin-scale clinothems. The combined outcrop and core dataset permits to i) recognize and provide
78 a depositional model of the shelf-to-slope transition in fine grained successions; ii) to understand the
79 processes responsible for the transport and deposition of outer shelf and upper slope mudstones; and
80 iii) to consider and discuss the implications of basin margin growth in the absence of coarse-grained
81 sediment delivery at the shelf edge.

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STUDY AREA AND DATASET

84 The 5500-m-thick Karoo Supergroup in the SW Karoo Basin of South Africa comprises the Dwyka
85 Group (Late Carboniferous to Early Permian glacial deposits), the Ecca Group (Permian clastic
86 marine/marginal marine) and the Beaufort Group (Permo–Triassic fluvial sediments) (Veevers et al.
87 1994; Johnson et al. 1997; Visser 1997; Rubidge et al. 2000; Cole and Whiplinger 2001) (Fig. 2).
88 Subsidence during Ecca Group time was generated by a combination of dynamic topography related
89 to subduction of the paleo-Pacific oceanic plate, and inherited basement structures (Visser and

90 Praekelt 1996; Pysklywec and Mitrovica 1999; Tankard et al. 2009), that led to the development of the
91 Tanqua and Laingsburg depocenters.

92 The Karoo Basin deep water succession (Wickens 1994; Hodgson et al. 2006; Flint et al. 2011) is
93 overlain by upper slope and shelf deposits of the Waterford Formation (Wickens 1994) (Fig. 2), a 400
94 m-thick mixed-influence deltaic succession (Wild et al. 2009; Oliveira et al. 2011; Jones et al. 2013).
95 The complete vertical stratigraphic transition from slope channel-levee systems (Wild et al. 2005;
96 Hodgson et al. 2011) to shelf deltas, in combination with extensive down-dip exposures, permits the
97 geometry of the basin margin to be reconstructed, and the identification of successive basin margin
98 clinothems and their shelf-edge rollover positions (Wild et al. 2009; Oliveira et al. 2011; Dixon et al.
99 2012a; Jones et al. 2013; 2015). Recent improved constraints on the timing of sedimentation from U-
100 Pb volcanic ash dating (Fildani et al. 2007; Fildani et al. 2009; McKay et al. 2015) suggest deltaic
101 deposition began slightly earlier in the Tanqua than in the Laingsburg depocenter. However, the
102 correlation of time-equivalent units between both depocenters is not the objective of this paper.

103 The dataset in the 6000 km² study area (Fig. 2) includes 66 detailed logged sections (15 in Tanqua,
104 51 in Laingsburg) and a 550 m fully cored research borehole (SL1), that collectively total nearly 21 km
105 of measured thickness, with units walked out between logs to provide physical stratigraphic
106 correlation. The outcrop dataset from both depocenters is displayed in >40 km-long correlation panels
107 (Figs. 3, 4). Collection of unidirectional paleoflow measurements from ripple foresets and flute casts,
108 and bidirectional measurements from groove marks and the crest-lines of symmetrical ripples indicate
109 that the overall paleoflow was to the NE and E (030°-080°) such that the panels are sub-parallel to
110 depositional dip, with landward to the west and south and basinward to the east and north. Panels in
111 Laingsburg are about 6 km apart across depositional strike, providing three-dimensional control on
112 sedimentological characteristics and depositional architecture for each clinothem (Jones et al. 2015).

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FACIES ANALYSIS

115 The sedimentary facies scheme is largely based on previous studies (Wild et al. 2009; Oliveira et al.
116 2011; Jones et al. 2013) and is presented in Table 1. The sand-dominated facies associations of the
117 Waterford Formation topset deposits exhibit characteristics that are consistent with mixed wave- and
118 river-influenced shoreline settings (Reineck and Singh 1973; Harms et al. 1975; 1982; McCubbin
119 1982; Browning et al. 2006; Ainsworth et al. 2011). This work focusses on the range of facies and

120 facies associations that span from outer shelf through shelf-edge rollover to upper slope depositional
121 settings (Table 1). Overall, the amount of Sedimentary structures that indicate river-dominance is less
122 in Laingsburg than in Tanqua, and therefore shoreface nomenclature is used to interpreted the
123 depositional environments in the Laingsburg area (Jones et al. 2013; 2015), but a delta-
124 front/shoreface nomenclature is maintained for the Tanqua area (Wild et al. 2009).

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126 **CLINOTHEMS – UNITS OF BASIN MARGIN PROGRADATION**

127 The stratigraphic units of the lower Waterford Formation are interpreted as basin margin clinothems,
128 the fundamental building blocks of basin margin development (e.g. Steel and Olsen 2002; Helland-
129 Hansen et al. 2012; Patruno et al. 2015) (Fig. 1). Wild et al. (2009) and Jones et al. (2013) recognized
130 multiple 10-100 m-thick clinothems along depositional dip profiles (Figs. 3, 4), in Tanqua and
131 Laingsburg respectively. The vertical profile, depositional setting and scale of these stratigraphic
132 packages are consistent with deltaic parasequences as described by Van Wagoner et al. (1990).
133 Constraining the complete topset, foreset and bottomset deposits for each individual clinothem is not
134 always possible. However, the basinward thickening of parasequences, defined by regional mudstone
135 units interpreted to contain the deepwater equivalent of flooding surfaces, can be recognized and
136 used to define clinothems (Dixon et al. 2012b; Jones et al. 2015). The first abrupt or significant
137 change in the gradient can be used to interpret the location of successive shelf edge rollovers
138 (Southard and Stanley 1976), but the 'apparent' geometry of ancient shelf margins might be highly
139 dependent on the choice of datum and the result of post-depositional factors, such as differential
140 sand/mud compaction and accumulated error when measuring thicknesses in the field. This
141 geometric criterion must be therefore used in combination with other observed features, which do not
142 independently point the shelf edge position, but that in conjunction indicate abrupt changes in
143 sedimentary facies and depositional architecture close to the shelf-edge rollover zone. These include
144 (i) extensional deformation (growth faults), (ii) widespread bypass features (gullies) and (iii)
145 progressive increase in sandstone turbidites beyond the rollover (see Jones et al. 2013).

146 In the up-dip exposures, clinothem thickness decreases stratigraphically upward from ~50 m to ~25 m
147 in Tanqua, and from ~100 m to ~20 m in Laingsburg (Table 2). The documented NE and E paleoflow
148 direction in both the Tanqua and Laingsburg depocenters is consistent with a NW-SE orientation of

149 the reconstructed shelf margin, although with local irregularities and lateral variability as reported in
150 the Laingsburg depocenter (Jones et al. 2015) (Fig. 5).

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STRATIGRAPHIC ARCHITECTURE

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Laingsburg depocenter

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The lower Waterford Formation in the Laingsburg area comprises eight regionally-correlated clinothems (Jones et al. 2015). The lower four units (WfC-1-4) show a progradational stacking pattern interpreted as a highstand systems tract (Jones et al., 2013). WfC 4 and 5 are separated by an interpreted regressive surface of marine erosion (type-2 sequence boundary, Fig. 4) (Jones et al. 2013). Clinothems show an increasingly steep rising trajectory with the shelf-edge rollover of WfC 5 positioned almost directly on top of the rollover of WfC 4 (Fig. 4) (Jones et al. 2015). WfC 5 represents the final sand-dominated shoreface system established at the shelf-edge rollover, and with an overlying 5-10 m-thick basinward thickening mudstone is interpreted as a transgressive systems tract (TST) and associated maximum flooding surface (MFS) that marks the retreat of the system to an inner shelf position (Fig. 4). WfC 6 and 7 consist primarily of heterolithic shoreface/offshore transition (SOT) deposits with poorly developed amalgamated lower shoreface facies only observable in their proximal exposures (Figs. 5, 6, 7). The seaward pinchout of the sand-rich shoreface facies of WfC 6 is 10-15 km updip from the shelf-edge rollover position of WfC 5, and the shoreface component of WfC 7 is progradational relative to WfC 6 but also fines and pinches out to a minimum of 5 km landward of the shelf-edge rollover of WfC 5 (Figs. 5, 7). The basinward stepping of WfC 6 and 7 suggests that the system returned to a progradational trend as part of the subsequent highstand systems tract. However, the lack of coarse-grained material in WfC 6 and 7 beyond the shelf-edge rollover position of WfC 5 in some areas along the shelf margin (Figs. 5) indicates that the sand-rich components of WfC 6 and 7 remained on the inner shelf (shelf-confined; Fig. 1). Correlations along the Zoutkloof area show that sand-rich shoreface facies associations of WfC 8 extend for 15 km beyond the last sand-defined shelf edge of WfC 5 (Jones et al. 2015) and well beyond the inner shelf sand-rich pinch-outs of WfC 6 and 7 (Fig. 7). Therefore, during WfC 6, 7 and lower WfC 8 the shelf-edge rollover prograded ~15 km (distance from the lower sand-rich rollover position identified in WfC 5 to the sand-rich shelf-edge rollover of WfC 8) through the accretion of mud under sea level highstand conditions.

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Tanqua depocenter

181 The stratigraphic architecture of the lower Waterford Formation clinothems (C1-C8, Fig. 3) in the
182 Tanqua depocenter is similar to that described in Laingsburg but the correlation between both
183 successions is not established due to the lack of absolute age control. Differences (Table 2) include
184 thinner clinothems combined with lower estimated gradients (0.5° to 0.7°, using compacted
185 thicknesses) (see also Wild et al. 2009), and a thinner underlying channelized slope succession (Wild
186 et al. 2005; Hodgson et al. 2006), suggesting a lower-gradient margin and a shallower basin margin
187 relief in the Tanqua depocenter.

188 Clinothems C2–4 exhibit a strongly aggradational to progradational stacking pattern and rising
189 shoreline and shelf edge rollover trajectory, interpreted as part of a highstand systems tract (Wild et
190 al. 2009), culminating in the maximum regression point in C4-5, with the rollover located close to the
191 SL1 locality (Fig. 3). The sandstone pinch-out of the overlying clinothem C5 is positioned slightly
192 landward of the sand-rich rollover of C4, south of SL1-Bitterberg (T5), suggesting a turnaround to a
193 retrogradational stacking pattern. This, together with an overlying regionally extensive mudstone is
194 interpreted to be part of a transgressive systems tract (TST) and early HST, and contain an
195 associated MFS, at which time the shoreline stepped back onto a more landward shelf position (Fig.
196 3).

197 Clinothem C6 consists of amalgamated organic-rich delta front/shoreface facies associations (Table
198 1) that are only recognized in the most proximal exposures (T2-T3, Fig. 3). The sand-rich component
199 of C6 fines and thins basinward, and pinches out between Vaalberg and Bitterberg (T4 and T5, Fig.
200 3), i.e., before the established shelf-edge rollover position of C5. Clinothem C7 prograded over C6
201 and its delta front/shoreface sandstones pinchout beyond the previous shelf-edge rollover position of
202 C5, reaching the westernmost edge of the study area in Katjiesberg (T7, Fig. 3). The progradational
203 stacking pattern of C6 and C7 is consistent with the lower part of a second highstand systems tract
204 after the regional transgressive event in C5. The absence of sand-rich C6 deposits basinward of the
205 rollover position of C5 is consistent with deltaic/shoreface sandstones confined in the inner shelf and
206 with a mudstone-dominated shelf edge and upper slope. Sand-rich facies associations in C7 can be
207 followed basinward for 10 km beyond the sand-rich rollover positions of C4 and C5 and well beyond

208 the sandstone pinch out of C6 (Fig. 3). This indicates that during C6 and early C7 time, the shelf-edge
209 rollover also prograded through the accretion of mud under sea level highstand conditions.

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MUDSTONE-DOMINATED SHELF EDGE DEPOSITS

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Outcrop observations

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WfC 6 and 7 are well exposed in the Zoutkloof area of the Laingsburg depocenter (Fig. 6, see location in Fig. 4A). Detailed outcrop observations just above shoreface-offshore transition (SOT, Table 1) deposits of WfC 5 reveal an 8 m-thick fining-upward package overlain by a 33 m-thick coarsening- and thickening-upward package (Fig. 6). The lower package starts with highly bioturbated coarse siltstones, showing a distinctive mottled texture with an irregular distribution of sand grains within a silty matrix. Overlying these siltstones are multiple surfaces with associated iron-rich nodular horizons, interpreted to record condensed sections and are therefore included in the upper part of the TST associated with WfC 5 (Figs. 6, 7, Table 1). Just above the best developed of these surfaces, considered to be recording the maximum flooding surface, facies pass abruptly into darker, finer-grained and laminated siltstones, rich in organics and mica. These thin beds feature mm-scale dominantly unidirectional to combined-flow tractional structures with little to no bioturbation, interpreted as the oldest most distal deposits of WfC 6 (Fig. 6). Thin beds alternate with diffusely bedded structureless fine siltstones, and become progressively coarser and cleaner up section, losing their organic content while retaining a low to moderate bioturbation index. An overlying pervasively bioturbated 1.2 m-thick package is interpreted to record the transgressive top of WfC 6. The overlying WfC 7 succession coarsens- and thickens-upward from sandstones with symmetrically rippled tops to thicker-bedded sandstones with hummocky cross-stratification (Table 1). The stacking pattern and facies characteristics of WfC 6 and 7 are consistent with an upward transition from offshore/distal prodelta mudstones deposited initially below storm wave base to progressively sandier and shallower wave-influenced deposits (Fig. 6, Table 1). The soft-sediment deformation features observed in WfC 7 are interpreted to record delta front/shoreface collapse (Oliveira et al. 2011) (Fig. 7, Table 1). WfC 8 starts with moderately-bioturbated and laminated prodeltaic thin beds, but records a more abrupt transition into sand-rich shoreface facies associations (Fig. 6).

Core observations

238 Core observations of the SL1 research borehole (Wild et al. 2009) drilled close to the Bitterberg
239 locality (T5, Figs. 3, 8) of the Tanqua depocenter allowed subtle variations in the characteristics of
240 fine-grained deposits in the C6-C7 succession to be documented (Fig. 8). The stratigraphic control
241 indicates that in the core, this mudstone-dominated package overlies the maximum flooding surface
242 above C5, and captures deposition across the shelf-edge rollover (Fig. 3). Analysis included detailed
243 (mm-scale) logging of the whole 40 m-thick C6-C7 package, with special attention to the stacking
244 pattern and sedimentological features of thin beds to allow an accurate description and interpretation
245 of processes (Fig. 8).

246 Observations reveal the presence of mm to cm-scale organic and mica-rich laminated siltstone layers,
247 interbedded with few bioturbated and/or structureless mudstones (Fig. 8). Parallel- and ripple-
248 laminated siltstones show normal and/or inverse grading, and a range of internal erosion and traction
249 structures within a single bed, along with small-scale soft-sediment deformation (Fig. 8) towards the
250 basal contact of the beds. Sedimentary structures, when observed, mostly include undulate bedding,
251 starved current ripples and apparent planar lamination (Schieber et al. 2010). Some beds show a
252 distinctive two-part organization with a clean, laminated silt-rich lower part, preserving primary
253 structures and an erosive and/or loaded base (Fig. 8), overlain with a sharp contact by a finer and
254 darker poorly sorted/bioturbated upper section, rich in mud clasts and containing mica and plant
255 debris (Fig. 9). Bioturbation intensity generally ranges from moderate to low (Bioturbation Index 0-2)
256 (e.g. Taylor et al. 2003). Evidence of combined-flow indicators can be inferred from low
257 angle/undulated cross laminations in the coarser beds of these fine-grained intervals (Fig. 8).

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259 *Characterization of mudstones at the shelf-edge rollover*

260 The outcrop examples of Laingsburg WfC 6 and 7 in the Zoutkloof panel (Fig. 7) combined with the
261 core observations of Tanqua C6 and C7 in the SL1 well (Fig. 8), offer the opportunity to study two
262 unusual examples of fine-grained shelf to slope transitions. These mudstone thin beds are grouped
263 according to their interpreted sedimentary processes and inferred position along the depositional
264 profile (*Types A-D*; Fig. 10).

265 *Type-A* beds are mainly composed of coarse siltstone with sharp base and top, and combined- to
266 unidirectional-flow tractional structures. *Type-A* beds dominate the upper (and more proximal) parts of
267 mudstone-dominated clinothems in WfC 6-7 in Zoutkloof (Fig. 6) and in C6-7 in the SL1 well (Fig. 8),

268 and are also commonly seen interbedded with wave-dominated sand-rich thin beds in shoreface-
269 offshore transition deposits (Fig. 6, Table 1). These beds (0.5-2 cm-thick) are interpreted to record the
270 most proximal expression of dilute silt-rich gravity-flows in distal prodelta/outer shelf settings,
271 sometimes under the effect of storm/waves (undulate cross laminations observed might be the
272 product of storm reworking), and with the sharp bed tops indicative of basinward bypass (Stevenson
273 et al. 2015) of finer particles (Fig. 10).

274 *Type-B beds* have sharp, erosive/loaded bases with a distinctive bipartite character that comprises a
275 lower (0.5-2 cm-thick) well-sorted silt-rich, parallel to low angle laminated part, overlain by a
276 mud/organic-rich poorly-sorted upper section (1-2 cm-thick; Fig. 10). The poorly sorted part commonly
277 drapes a scour surface (Fig. 9.). *Type-B beds* are interpreted to record a longitudinal change in flow
278 properties within the same event, associated with flow acceleration due to sediment entrainment
279 and/or gradient increase at the shelf edge. This flow transformation is recorded in the sharp intra-bed
280 facies change from the clean and well-sorted laminated basal part to the poorly sorted argillaceous
281 part overlying an erosion surface. The basal part is interpreted as the deposit of a waxing underflow,
282 and the upper part as a muddy debrite, with the erosion surface between suggesting a phase of
283 basinward sediment bypass. *Type-B beds* dominate intermediate sections of the studied intervals
284 (Fig. 8).

285 *Type-C beds* form 2-4 cm-thick inverse-graded beds with a gradational base, relatively sharp,
286 mudstone clast-rich tops sometimes overlain by a finer and moderately bioturbated normally graded
287 upper part, and a general absence of bioturbation (Fig. 8). Their character suggests an
288 accelerating/waxing flow origin and entrainment of seafloor material and/or lofted mud-size particles
289 from the turbid ambient fluid (Fig. 10). The sharp tops suggest basinward sediment bypass. *Type-C*
290 *beds* are less common than other bed types, and occur in the lower parts of the studied sections,
291 suggesting deposition occurred where gradient progressively increased towards the upper slope (Fig.
292 10).

293 *Type-D beds* are generally 0.5-7 cm-thick, sharp-based and normally graded with traction structures
294 and grade into well-developed mud-rich tops with moderate bioturbation (B.I. 2) and abundant organic
295 debris and mica. They are interpreted to record deposition of the dilute part of a waning sediment
296 gravity flow across the shelf-to-slope transition (Fig. 10). Although *Type-D beds* are found throughout
297 the entire succession, they are more common in the lower part of the studied sections (Fig. 8),

298 suggesting they record deposition in a more distal setting under relatively quieter conditions. Locally,
299 *Type-C* and *Type-D beds* combine to form inverse- to normally-graded beds, which has been used as
300 diagnostic criteria for deposition from hyperpycnal flows (Mulder et al. 2003; Plink-Björklund and Steel
301 2004; Zavala et al. 2007).

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DISCUSSION

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Implications for basin margin analysis

305 Large-scale correlation within the lower Waterford Formation demonstrates that some periods of
306 basin margin progradation were exclusively through the accretion of mud (clay and silt) across the
307 shelf-edge rollover and onto the upper slope. In the Laingsburg depocenter, during WfC 6 and 7 and
308 early WfC 8, shelf margin accretion and progradation took place in the absence of coarse-grained
309 sediment supply under flooded shelf conditions. Sufficient accommodation and shallow water depths
310 led to the development of low-amplitude (5-30 m-thick) mud-rich and shelf-confined delta clinothems
311 (Figs. 1, 6). However, at this time the shelf-edge rollover prograded a minimum of 15 kilometers via
312 deposition of mud-rich flows, down dip from time equivalent shelf-confined sand-rich delta
313 fronts/shorefaces (Figs. 6, 10). During periods of high relative sea level, although the sand-rich
314 component of deltas mostly accumulates on the inner shelf (e.g. Porębski and Steel 2006), the shelf
315 edge is still present as a physiographic feature, but is muddier and more attenuated (Olariu and Steel
316 2009). In the absence of absolute age control in the Karoo Basin the rates of aggradation and
317 progradation cannot be constrained. These results contrast with 'classic' seismic sequence
318 stratigraphy, that was developed to understand and predict the spatial and temporal distribution of
319 potential reservoir sand bodies in relation to accommodation history of basin margins (Vail et al. 1977;
320 Posamentier et al. 1988; Posamentier and Vail 1988; Van Wagoner et al. 1990). Therefore,
321 depositional models have paid little attention to the large volume and processes of fine-grained
322 sediment delivery to build the shelf prism, and instead emphasize the timing of sand transfer to the
323 slope and basin floor, as a response to relative sea level change (e.g. Helland-Hansen and Hampson
324 2009). During periods of low relative sea level, the shelf margin position tends to move basinward, but
325 part or all of the shelf may become exposed subaerially, and the shelf and shelf-edge rollover areas
326 will be subject to sediment bypass and local degradation (Ross et al. 1994; Hadler-Jacobsen et al.
327 2005; Ryan et al. 2009).

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Muddy shelf-edge rollovers and clinoform trajectories

Most studies of ancient clinothems and shelf margins focus on the process regime and architecture of sand-rich deposits to support shelf-edge rollover identification (e.g. Plink-Björklund and Steel 2002; Mellere et al. 2003; Pyles and Slatt 2007; Uroza and Steel 2008; Hubbard et al. 2010; Dixon et al. 2012a; Jones et al. 2013). The present study demonstrates that shelf-edge rollovers are not always defined by sand-rich deposits, yet can still be identified at outcrop based on geometry and detailed sedimentology. Under flooded shelf conditions, the mud-rich extended bottomset component of deltaic clinothems may reach the upper slope, to build fine-grained shelf-edge rollovers and basin margin clinothem foresets that prograde basinward. This occurs when the sand-rich topset and foreset component of delta-scale clinothems is confined to the inner part of the shelf (Fig. 1). Analysis of the lower Waterford Formation clinothems highlights a potential limitation of shelf-edge trajectory analysis; delivery systems are observed to change laterally from shelf-confined to shelf-edge (e.g. Sanchez et al. 2012; Jones et al. 2015) (Figs. 1, 11), however the clinothem trajectory may remain consistently progradational. An example of this can be found in the Upper Cretaceous Fox Hills Formation (Wyoming, USA), where, although most of prograding clinothems are dominated by sand, some examples have shelf edge rollovers dominated by mud (clinothems C06, C07 and C12, Olariu et al. 2012). The expression of shelf-edge rollovers and parasequence boundaries of muddy clinothems are challenging to identify, and the time they represent is difficult to constrain (Bohacs 1998). This is particularly true in subsurface studies, due to the complex recognition of impedance contrasts (Miller et al. 2013). As in the Waterford Formation, under relative sea level highstand conditions, the delta top sand-rich components of some parasequences can be confined in inner shelf positions, remaining below seismic resolution, but the shelf margin can still prograde through the accretion of mud (Fig. 11). The position of highstand deposits relative to the shelf margin can be problematic in exploration studies, because muddy parasequences may have laterally extensive, comparatively sand-dominated topsets (Figs. 5, 11) and therefore require the presence of regional, transgressive mudstones to develop effective seals.

Sediment transport on a high-energy muddy shelf

357 The integration of outcrop data with detailed core analysis shows that mud-dominated shelf margin
358 progradation was the result of deposition of muddy and organic-rich sediment gravity flows. The
359 significant amount of plant debris and mica in some beds indicates a continental origin of mud,
360 possibly from hyperpycnal river plumes (Mulder and Alexander 2001; Mulder et al. 2003; Bouma and
361 Scott 2004; Plink-Björklund and Steel 2004; Zavala et al. 2006a; 2006b; Bhattacharya and
362 MacEachern 2009; Zavala et al. 2012). However, the common occurrence of wave/storm processes
363 that influenced the deposition of sand-rich deposits in shoreface and shoreface-offshore transition
364 settings (Table 1) (Jones et al. 2015), combined with a relatively low gradient (Table 2), is not
365 consistent with the characteristics of margins where fine-grained sedimentation is associated with
366 recurrent and sustained hyperpycnal discharges to the shelf edge (Mutti et al. 1996; Bentley 2003;
367 Mulder et al. 2003; Mutti et al. 2003; Plink-Björklund and Steel 2004; Friedrichs and Scully 2007).
368 Wave/storm processes are therefore advocated to be the main mechanism that kept unconsolidated
369 silt and flocculated clay fraction in suspension, or re-suspended (e.g. Traykovski et al. 2000; Pattison
370 2008; Macquaker et al. 2010). Mud particles that accumulate as floccules or organo-mineralic
371 aggregates (Plint 2014) act hydrodynamically as silt or sand grains (Schieber et al. 2007). This is
372 supported by the ubiquity of tractional structures observed within the thin mudstone beds. Wave
373 enhancement of gravity flows or storm re-suspension of previously-deposited sediment can occur
374 before, during, or shortly after river flood events (Ogston et al. 2000; Traykovski et al. 2000; Fan et al.
375 2004), but the process is more commonly identified in systems that are not able to deliver significant
376 amounts of new mud to the shelf (Bentley et al. 2006). The paucity of combined-flow indicators in bed
377 *Types B to D* (Fig. 10) contrasts with their presence in *Type A beds* and their presence in the
378 shoreface and shoreface-offshore transition sandy counterparts (Fig. 6 and Table 1). This is
379 interpreted to indicate that, although waves/storms played an important role keeping mud in
380 suspension across the shelf, deposition of the finest particles in the studied sections took place mostly
381 below storm wave base as sediment gravity flows (e.g. Pattison 2005). Erosive and sharp boundaries
382 within beds, and internal scours draped by poorly-sorted mudstones suggest sediment bypass and
383 downslope transformation from waxing to waning gravity-driven flows. This, together with the low
384 bioturbation intensity and diversity within the thin, silty beds, reflects environmental stresses and high
385 sedimentation rates in outer shelf to upper slope settings of mud-dominated clinoforms.

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CONCLUSIONS

388 Three parasequences from exhumed and well-constrained basin margin clinothem of the Permian
389 Waterford Formation, in adjacent depocenters of the Karoo Basin (South Africa), provide the first
390 examples of mudstone-dominated shelf-edge rollover deposits documented in outcrop and core. This
391 dataset has allowed the timing and processes of fine-grained sediment transport across the shelf and
392 onto the slope to be assessed. The study demonstrates that some periods of shelf-edge progradation
393 occurred through the accretion of mud when the sand-rich part of wave-influenced deltas was
394 positioned on the inner shelf. Detailed analysis of offshore mudstones suggests that recurrent supply
395 to outer shelf and upper slope regions was by micaceous and organic-rich fluid mud that was kept in
396 suspension or re-suspended from inner shelf positions during storms and transported across the low
397 gradient shelf as dilute silt-rich gravity flows. Thin bed characteristics at the shelf-edge rollover and
398 upper slope include soft-sediment deformation, evidence of sediment bypass including sharp
399 contacts, internal erosions and traction structures and a subtle downdip facies changes within low
400 density, silty turbidites. This work demonstrates that processes responsible for the transport and
401 deposition of fine-grained material across and beyond the shelf edge play a fundamental role in basin
402 margin development. The documentation of mud-rich shelf to slope transitions is significant for
403 outcrop and subsurface investigations, because clinothem are not always defined by sand-rich shelf-
404 edge rollovers, and significant basin margin progradation can also occur in the absence of coarse-
405 grained sediment supply. This implies potential risks in the identification of shelf-edge rollover
406 positions from presence of sand alone, and in the use of trajectory analysis to interpret relative sea-
407 level changes and to predict down dip sand supply.

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801

802

803 **List of figures**

804

805 Figure 1: Cartoon showing nomenclature and main characteristics of shelf-edge versus shelf-confined
806 clinothems. Based on Johannessen and Steel (2005); Helland-Hansen and Hampson (2009);
807 Mountain et al. (2010); Jones et al. (2013).

808

809 Figure 2: Map and general stratigraphy of the SW Karoo Basin showing the Waterford Formation
810 outcrop belt and the location of sedimentary logs and correlation panels in the Tanqua and
811 Laingsburg depocenters. Note that the stratigraphic intervals studied in the two depocenters are not
812 correlated. Stratigraphy modified from Flint et al. (2011).

813

814 Figure 3: Correlation panel of the basin margin succession in the Tanqua depocenter, showing the
815 clinof orm stacking of the lower Waterford Formation. Correlation displays thirteen parasequences
816 (C1-13), with their flooding surfaces and main sequence stratigraphic boundaries, as well as the
817 interpreted position of shelf edge rollovers, based on major gradient changes combined with
818 secondary criteria including outcrop-scale growth faults, widespread erosion (gullies) and increase in
819 sandstone turbidites beyond the shelf edge (Jones et al. 2013). A regional mudstone unit on top of
820 Unit 5 acts as a correlation datum. Modified from Wild et al. (2009).

821

822 Figure 4: Correlation panel of the lower Waterford Formation in the Laingsburg depocenter. The
823 Baviaans South (BS), Baviaans North (BN) and Zoutkloof (Z) correlation panels encompass eight
824 lower Waterford parasequences (WfC 1-8). Sequence boundaries, flooding surfaces and a type 2
825 sequence boundary between WfC 5 and WfC 6 are shown. The panels use top of Unit F as a
826 correlation datum. Modified from Jones et al. (2015). Same color code as in Figure 3.

827

828 Figure 5: Paleogeographic map reconstructions of WfC 6 (A) and WfC 7 (B) from the data shown in
829 the correlation panels of Fig. 4. (C) Map view of the evolution of the shelf-edge rollover position
830 through time in the Laingsburg depocenter. Note that during WfC 6 and WfC 7 the position of the
831 sand pinchout is not coincident with the interpreted location of the shelf edge rollover.

832

833 Figure 6: Representative sedimentary log from the Faberskraal farm locality (Z10, see location in
834 Figure 4A), showing a lower fining-upward unit with bioturbated and nodular siltstones included in the
835 TST associated with WfC 5, followed by an overall coarsening and thickening-up succession (WfC 6-
836 7) of non- to moderately-bioturbated shoreface-offshore transition (SOT) thin beds passing into thicker
837 lower shoreface deposits. Same color code used in Figure 3.

838

839 Figure 7: Detailed view of the correlation along the Zoutkloof area, showing progradation during WfC
840 6-7 after the regional transgression above WfC 5. The absence of delta front/shoreface deposits
841 beyond the WfC 5 shelf-edge rollover position in WfC 6-7 suggests their sand-rich components are
842 shelf-confined deltas (as their sand pinch-out position indicates), with mud-dominated shelf-edge
843 rollovers. Note the low net progradation of the shelf-edge between WfC 4-5 compared with the
844 basinward shift of the sand-rich deformed facies of WfC 8 over 50 m of mudstones of WfC 6-7 and
845 about 15 km beyond the pre-established sand-dominated shelf-edge rollover of WfC 5.

846

847 Figure 8: General stratigraphic section of the SL1 research borehole, in the Tanqua depocenter, with
848 detailed sketches/photographs of key mudstone beds (1-6) along C6 and C7. Note the vertical scale
849 of the logs is in centimeters. Cycles in the well log are based on the recognition of flooding surfaces in
850 the core (Wild et al. 2009). VSH = Shale volume from Gamma Ray log.

851

852 Figure 9: Enlarged view of a polished outcrop sample of a typical bi-partite (*Type B*) thin bed. Note the
853 internal complexity of mud-rich thin beds and the difficulty to recognize their subdivisions in outcrop
854 due to their small-scale expression.

855

856 Figure 10: Cartoon showing the interpreted spatial distribution of dilute gravity flow processes and
857 deposits across a fine-grained shelf-edge rollover associated with storm-dominated shelves. The
858 position of the defined bed types along the depositional profile is extrapolated from their stratigraphic
859 distribution.

860

861 Figure 11: Sketch of shelf-edge rollover areas based in the Waterford Formation stacked basin
862 margin clinotherms, with temporal flooded shelf conditions, showing the complexity in rollover

863 identification and potential risks of clinoform trajectory analysis based on identification of sand-rich
864 rollovers.

865

866 **Tables**

867 Table 1. Summary of sedimentary facies and facies associations found from the shelf to upper slope
868 of the lower Waterford Fm. based on previous works (Wild et al. 2009; Oliveira et al. 2011; Jones et
869 al. 2013; 2015)

870

871 Table 2. Clinoform thickness and slope variability in the Tanqua and Laingsburg depocenters.
872 Estimated gradients and trajectories are from compacted thickness measurements (see also Wild et
873 al. 2009).

874

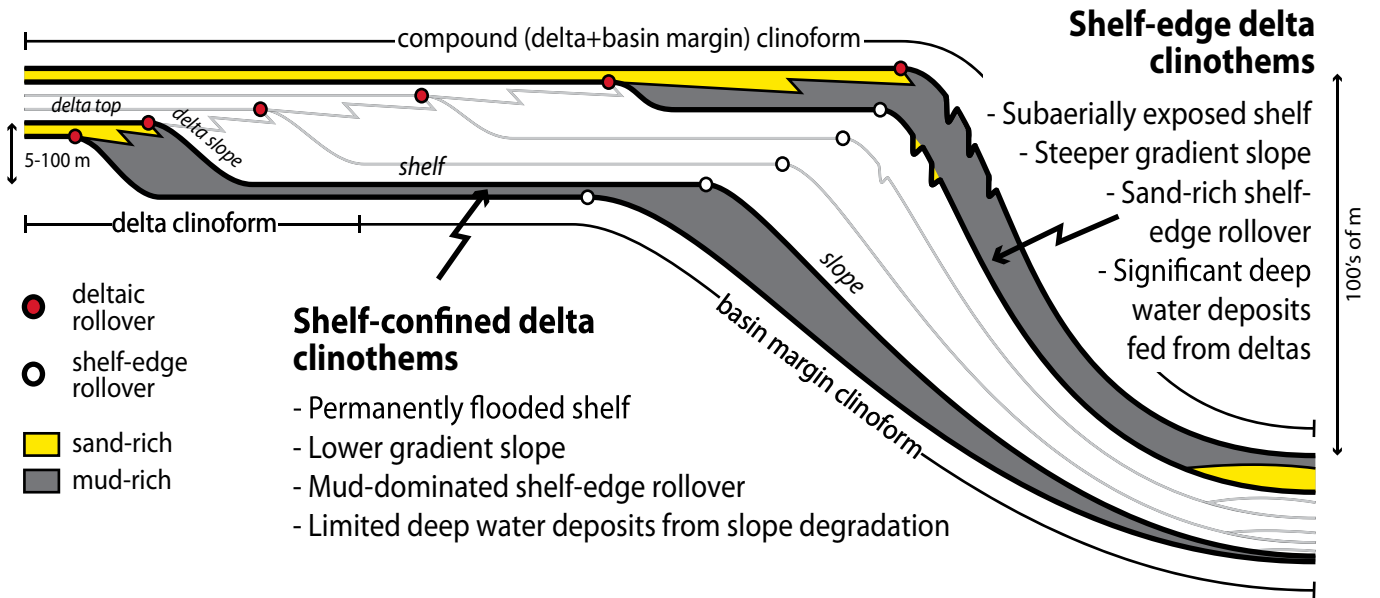


Fig. 1

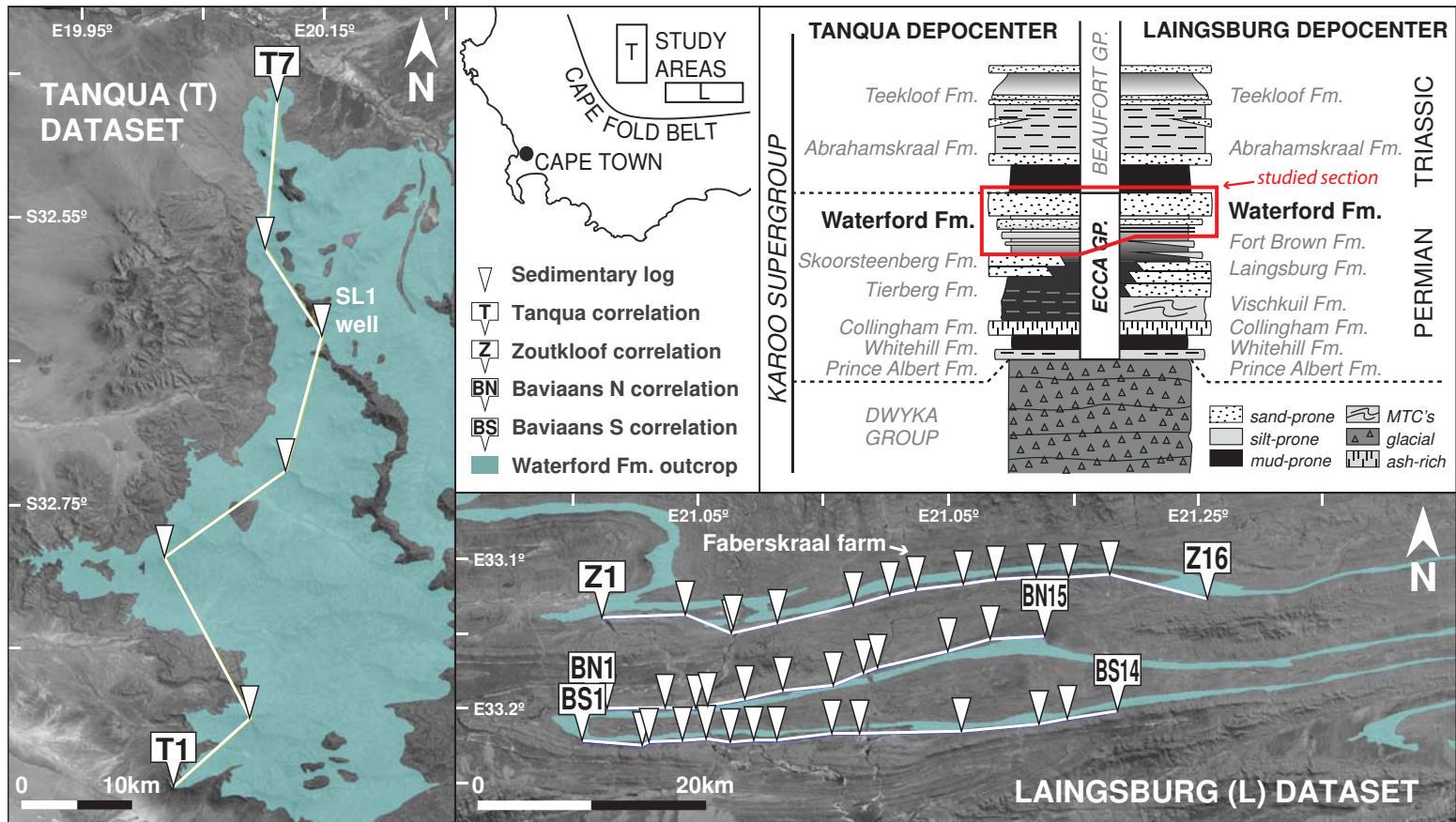


Fig. 2

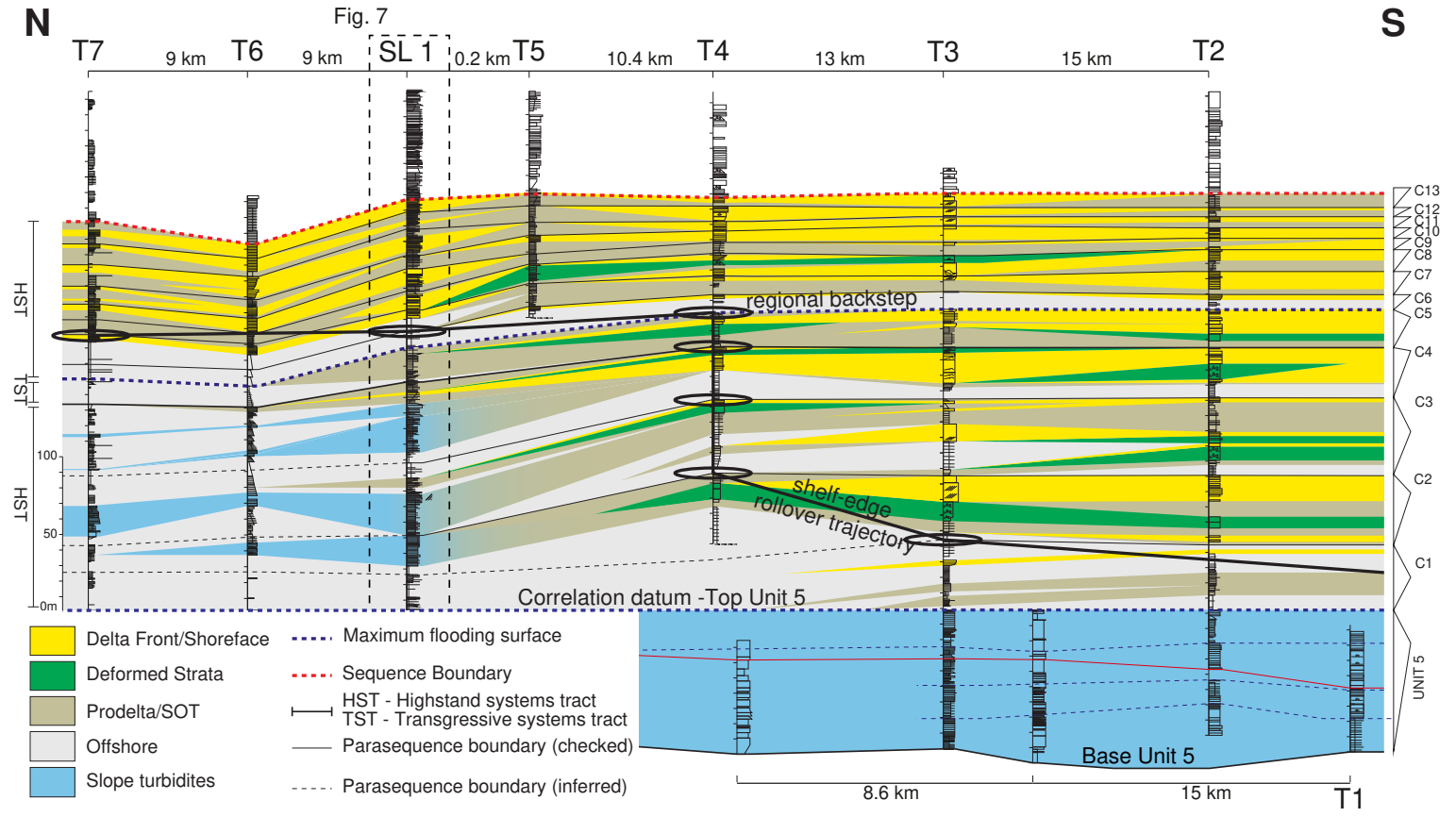


Fig. 3

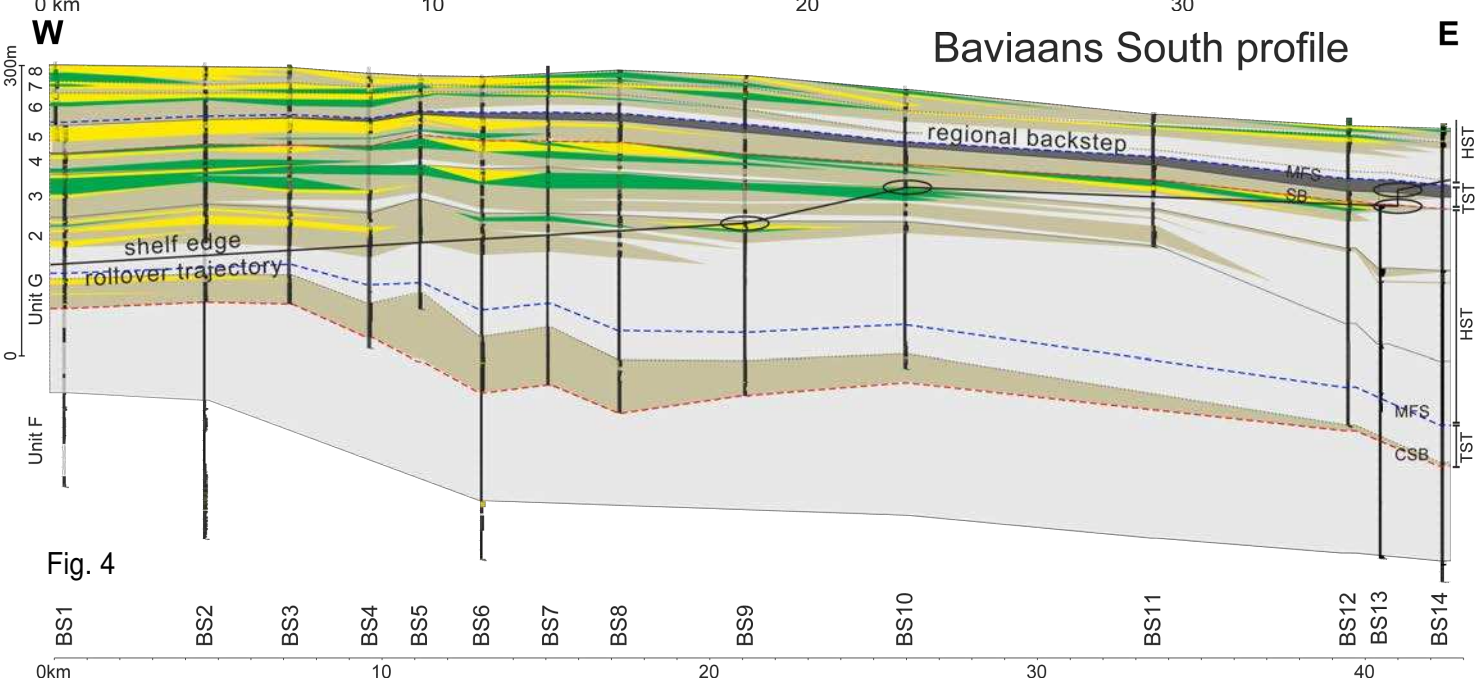
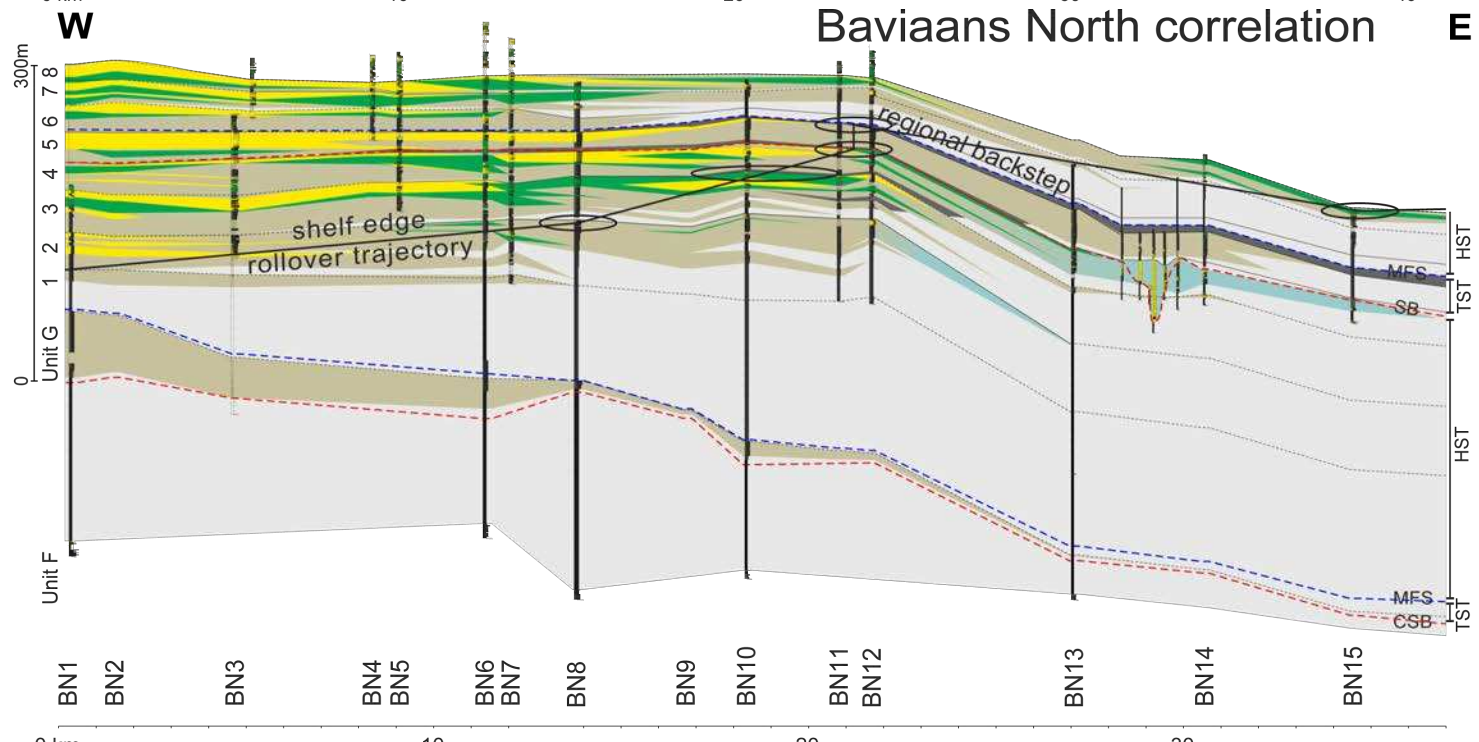
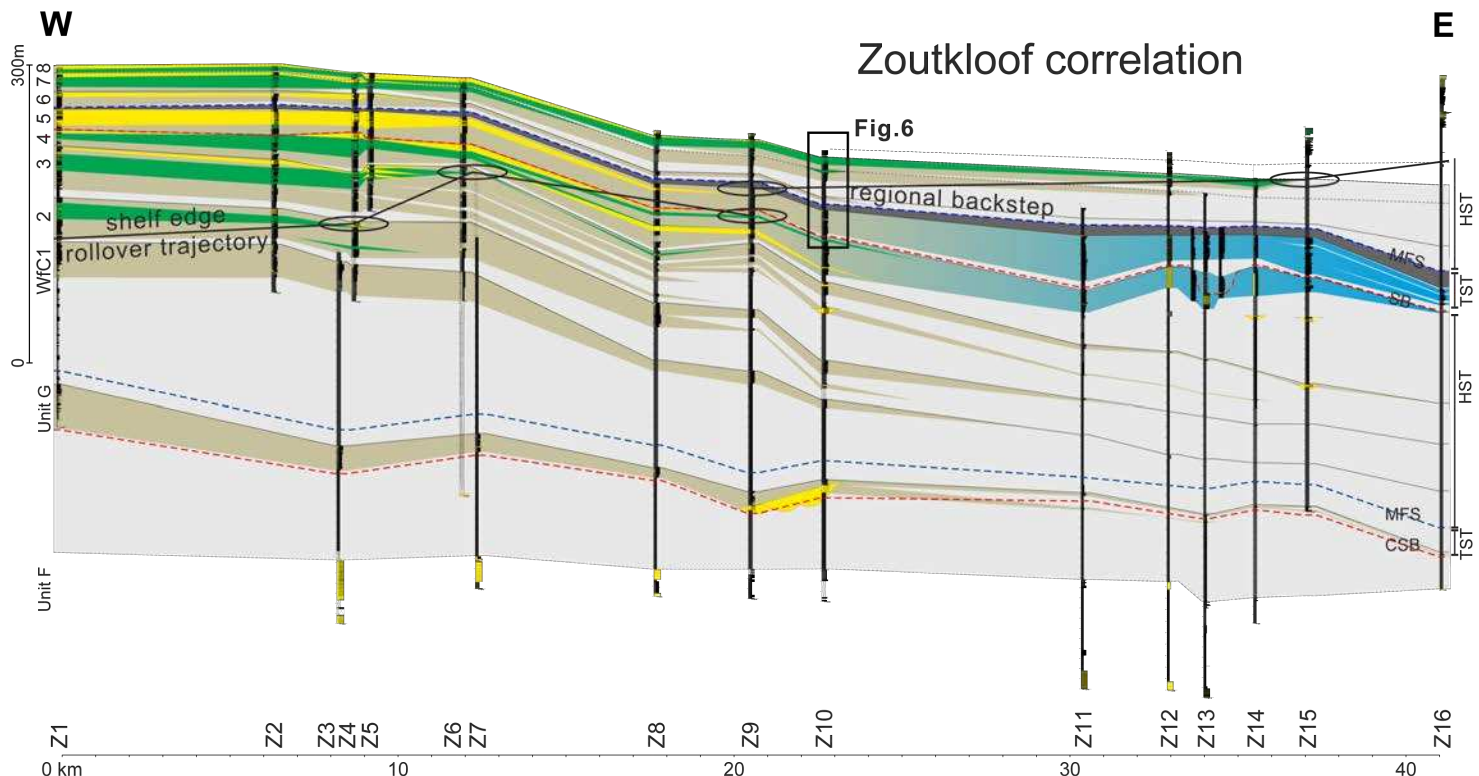
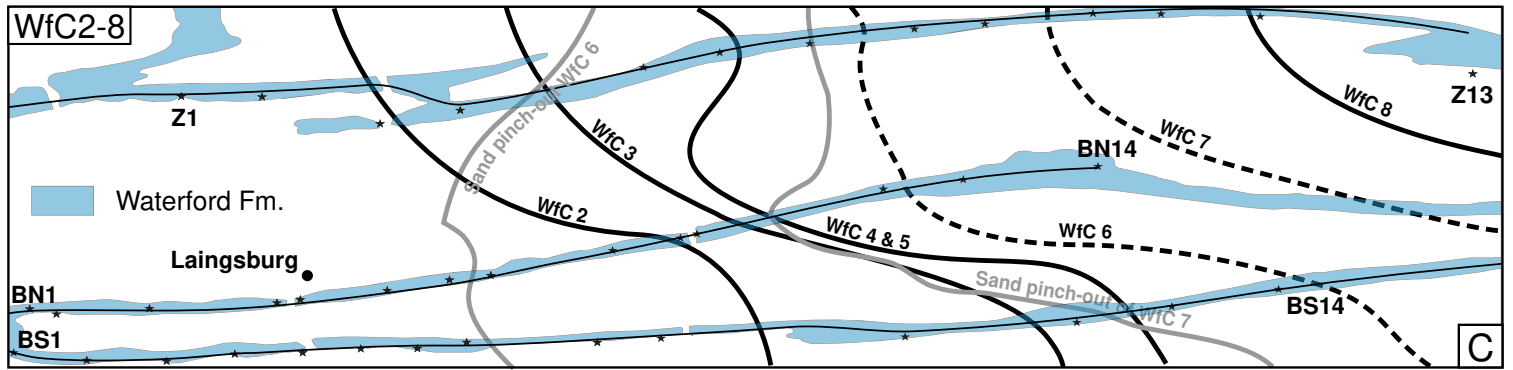
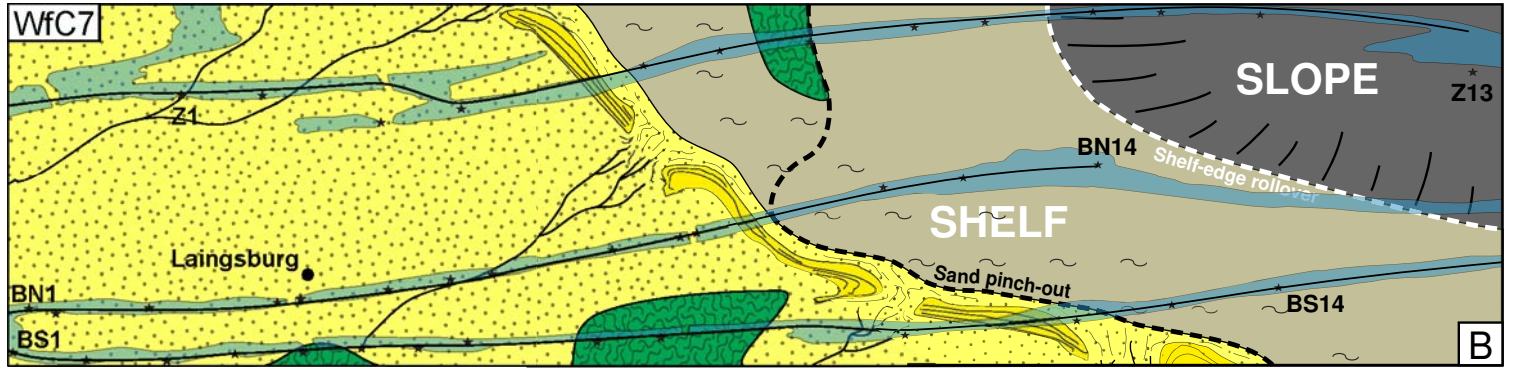
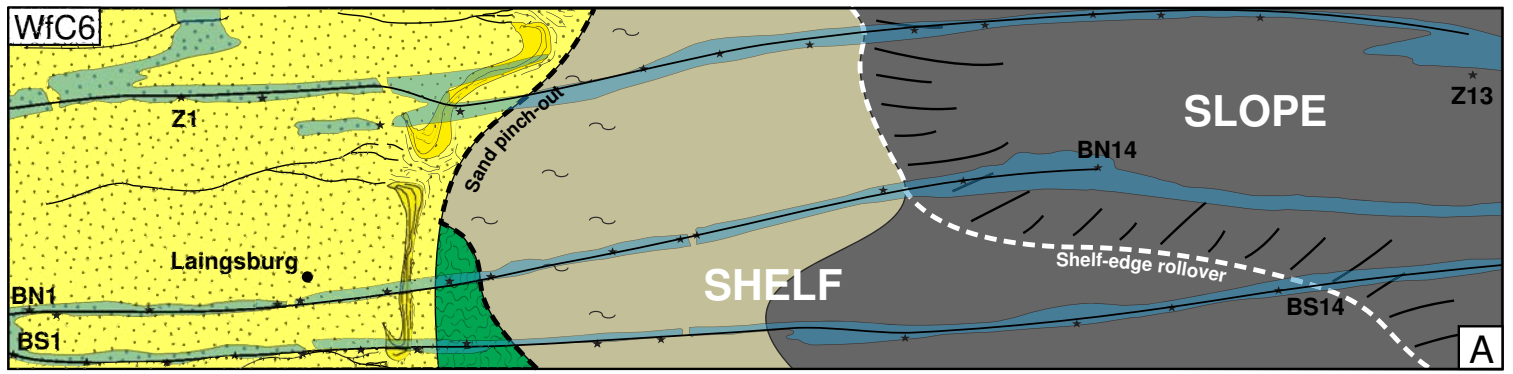


Fig. 4



0 10km

Delta front/shoreface sandstones

Correlated sedimentary logs

Deformed sandstones

Prodelta/SOT transition thin beds

Offshore/slope mudstones

Sand pinch-out

Sandy shelf-edge rollover

Muddy shelf edge rollover

Fig. 5

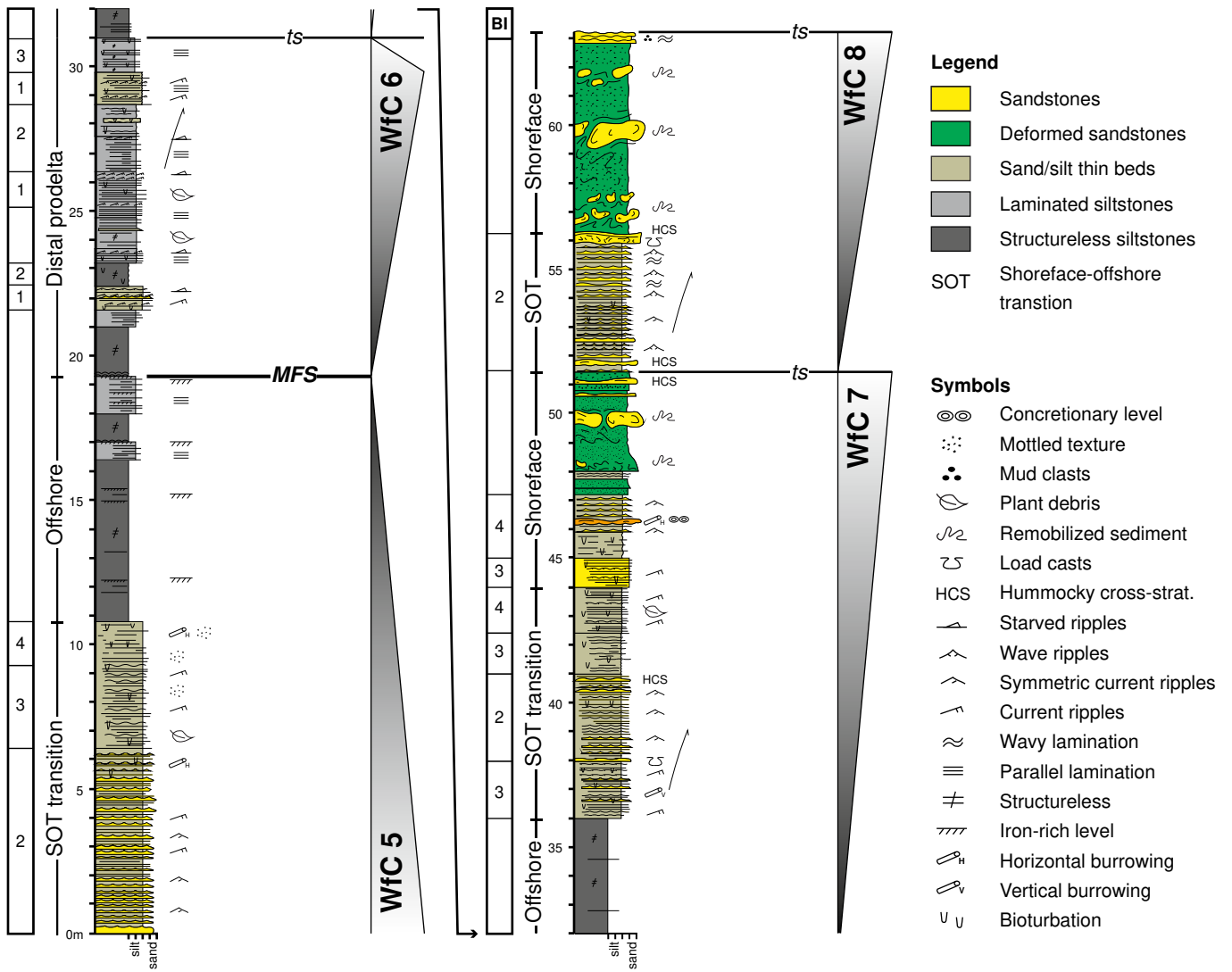


Fig. 6

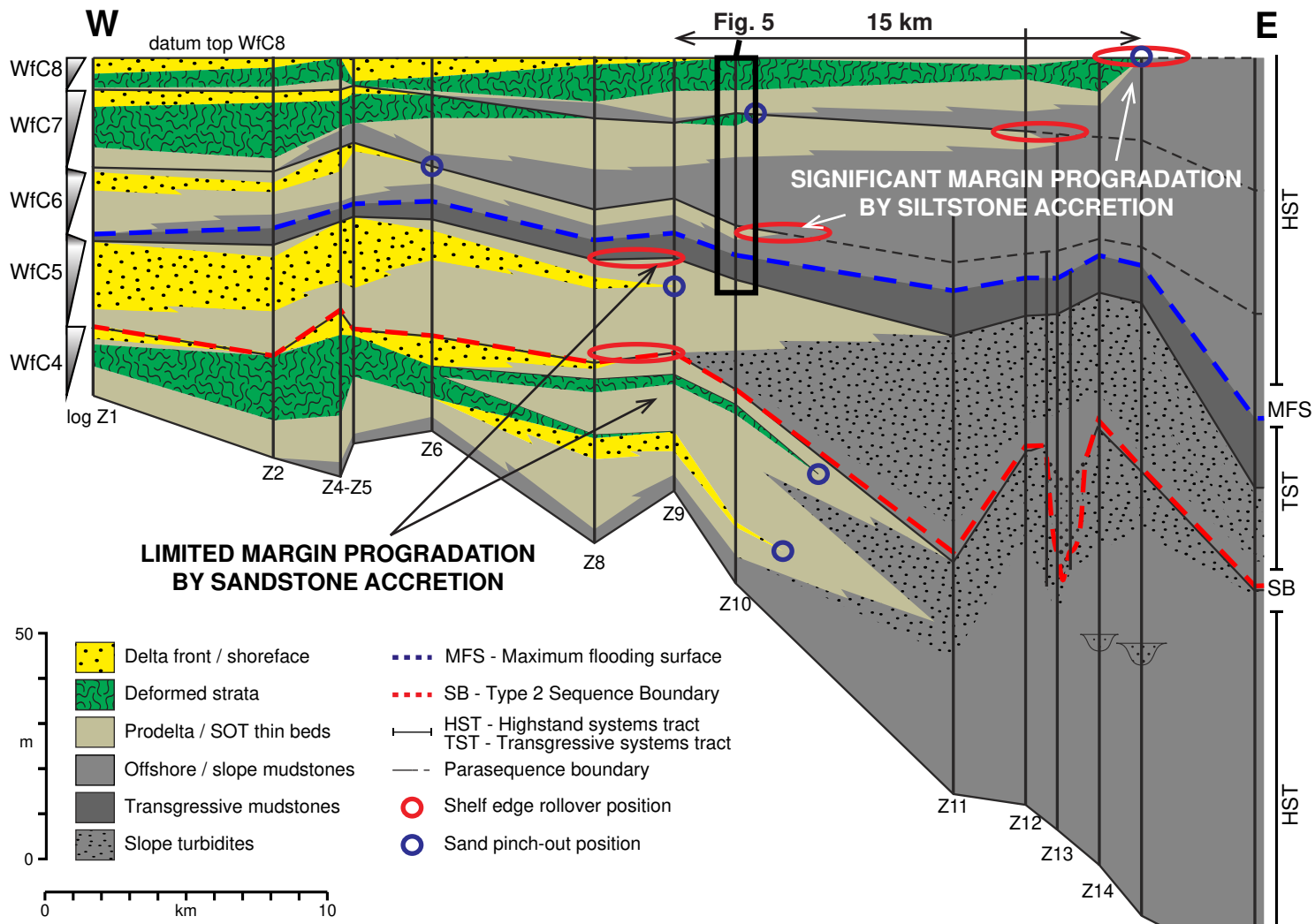
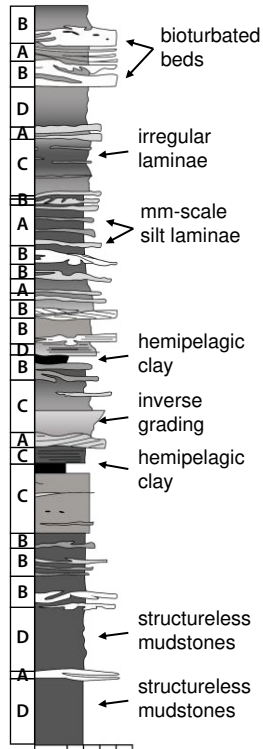
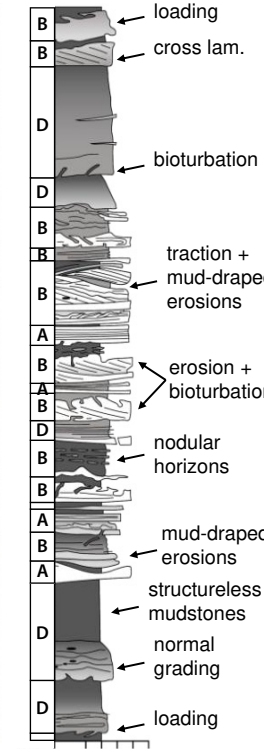
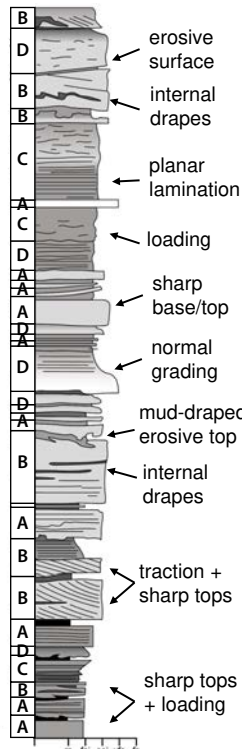
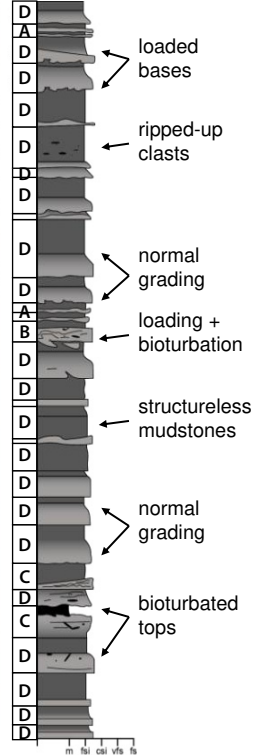
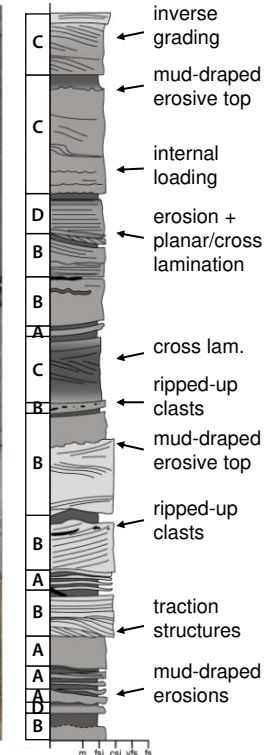
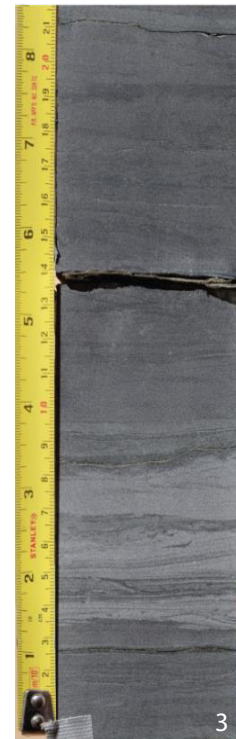
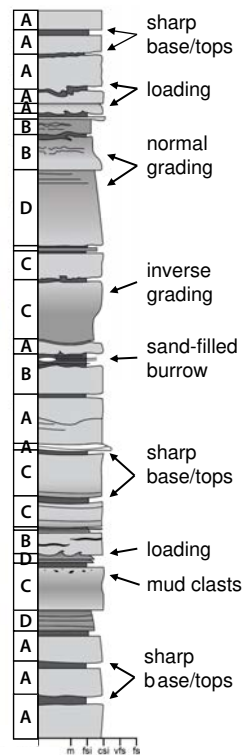
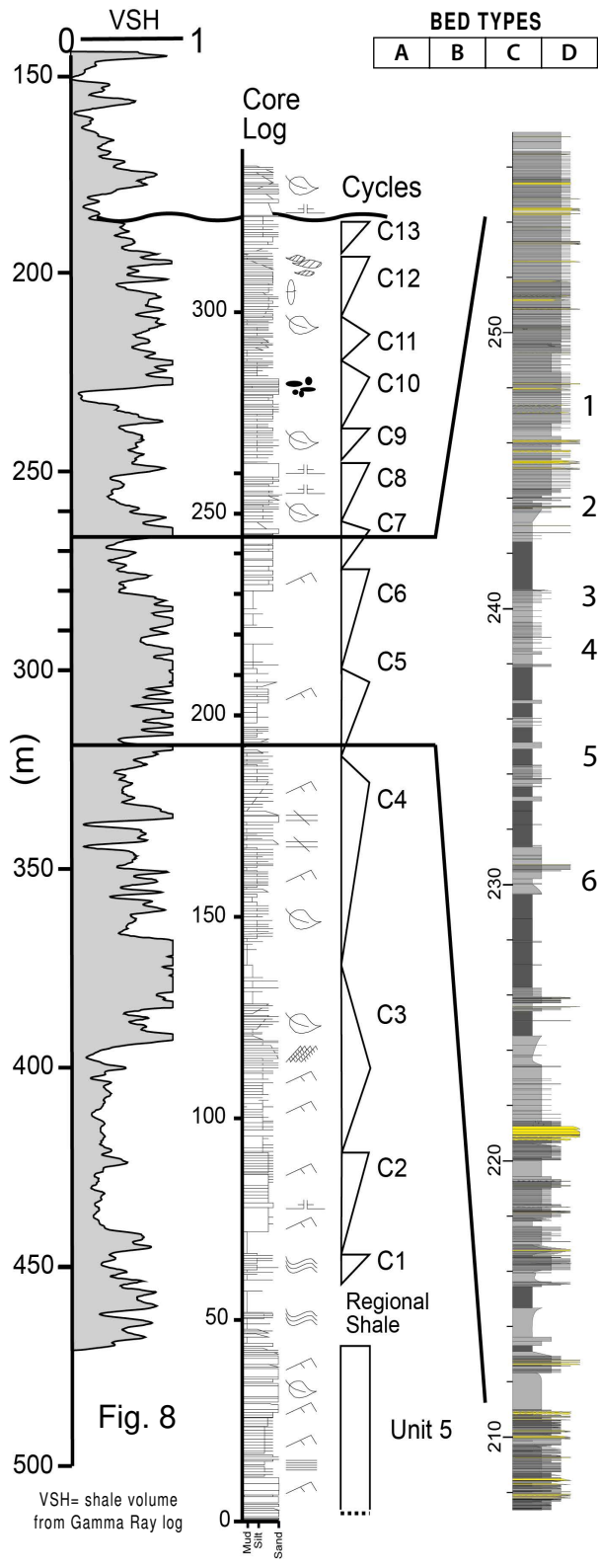


Fig. 7



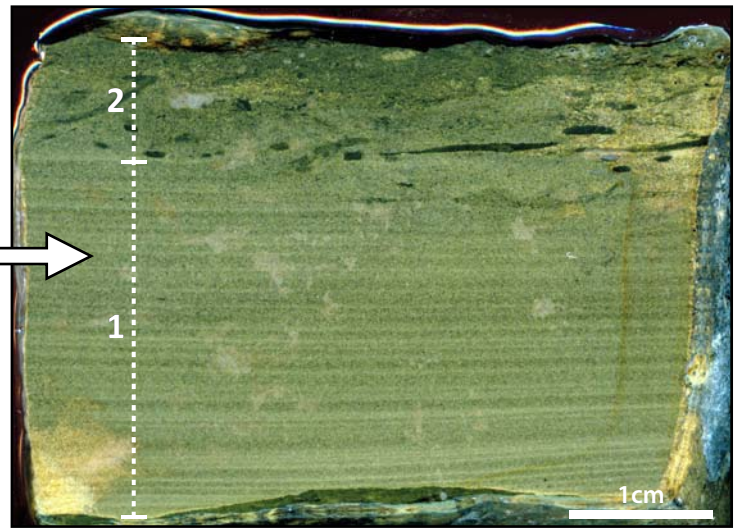
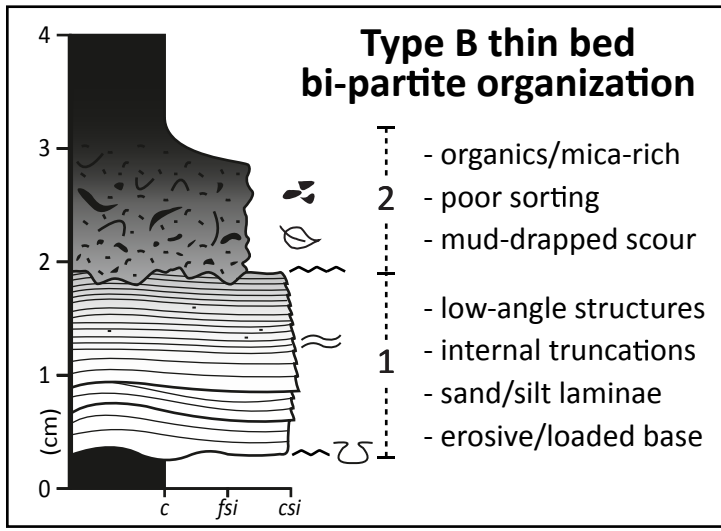


Fig. 9

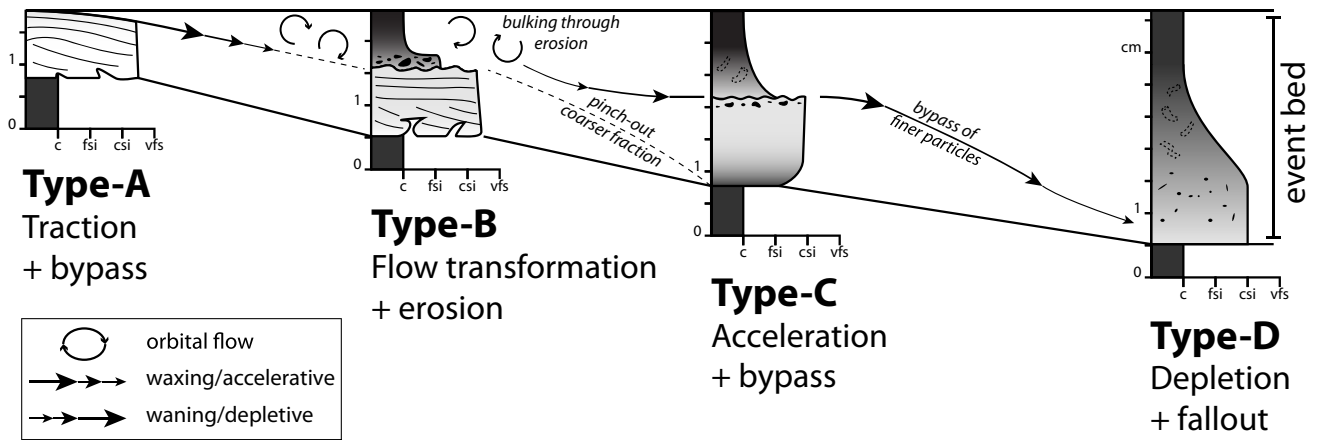
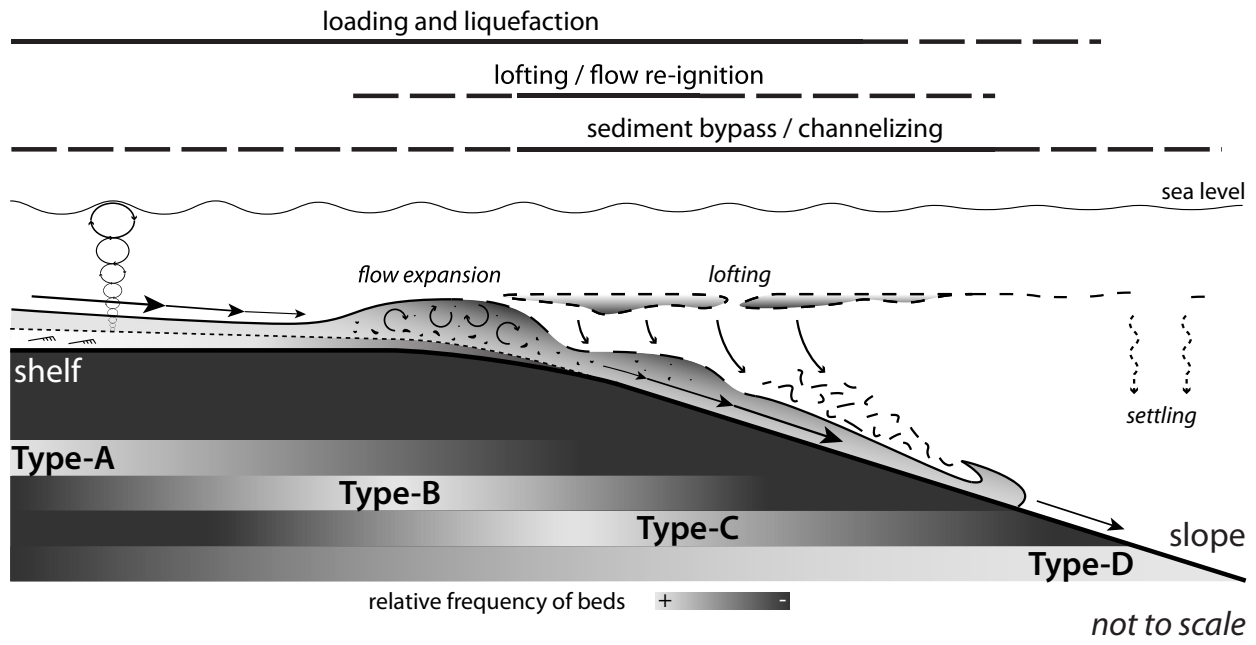


Fig. 10

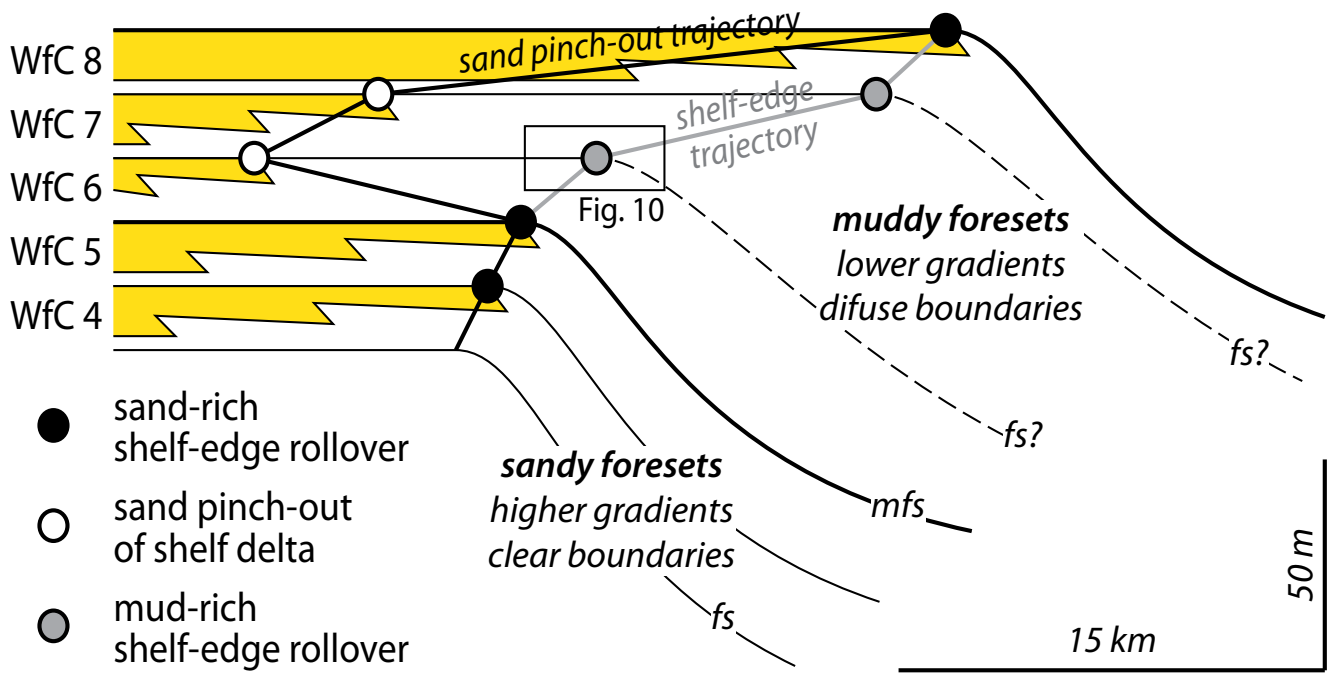


Fig. 11

Lithofacies	Structures	Contacts	Thickness	Geometry	Trace fossils and other features	Depositional process	Common facies association
Concretionary horizons	Isolated or layered nodules and concretions.	Sharp	Up to 50 cm	Lenticular to irregular	Sideritic and pre-compactional	Diagenetic processes at times of maximum sediment starvation	Offshore
Grey silty claystones	Structureless. Rare parallel lamination	Gradational	2 cm to m+ packages	Laterally extensive sheets	Rare. Common with concretionary horizons	Hemi-pelagic suspension settling	Offshore
Interbedded claystones and siltstones	Structureless to parallel lam., starved-ripples. Normally and inversely-graded	Sharp base and gradational top	Laminae 0.1 to 1 cm. units 10 cm to 4 m	Laminae tabular to lenticular. Units often sheet like	Rare to moderate. <i>Chondrites</i> , <i>Gordia sp.</i>	Low concentration turbidity current, hyperpycnal or wave-enhanced gravity flow	Offshore / prodelta
Interbedded siltstones and sandstones	Current, wave-ripples, convex-up and parallel lam. and structureless. Normally and inversely-graded. Dewatering	Sharp base, tops gradational to sharp	1 to 5 cm	Individual beds tabular at the outcrop scale. Units display a sheet geometry	Common. <i>Chondrites</i> , <i>Helminthopsis</i> , <i>Helminthoidea</i> , <i>Gordia sp.</i> , <i>Lorenzina</i> , <i>Lophoterium</i> , <i>Cosmorhapha</i> , <i>Palaeodyctyon</i>	Alternation of high/low energy currents, or wave-influenced low concentration currents	Prodelta / Shoreface-Offshore transition (SOT)
Thin to medium bedded sandstones	Current, wave-ripples, parallel lam., structureless. Local sigmoid geometry and pinch and swell	Sharp bases to sands. Sharp to gradational tops.	Bedding of 5-10 cm, 10-20 cm and 20 cm+	Beds tabular. Sheet geometry to units	Moderate to high bioturbation indexes, particularly when observed at the top of parasequences	Low concentration turbidity current to high concentration turbidite currents, locally wave-influenced	Lower shoreface / delta front
Medium to thick bedded vf sandstones	Structureless, parallel lam., climbing ripples, locally sigmoidal. Some scour and fill	Bases sharp. Rarely erosional. Sharp to gradational tops	20 to 60 cm	Tabular to locally lenticular. Units form sheets and channels	Rare. <i>Helmenthoides</i> , <i>Undicna bina</i> (fish traces)	High concentration turbidite currents. Dominated by depletive steady flow	Slope turbidites
Medium to thick bedded vf-f sandstones	HCS/SCS / low angle cross-stratification. Symmetric rippled tops, dewatering	Sharp	20 cm-1 m+	Sheets	-	Traction-load deposition reworked by combined flows or waves	Upper shoreface
Thick-bedded vf-f sandstones (sheet-like)	Structureless, local parallel lamination. Local scour and fill. Dewatering	Sharp to erosive base. Sharp tops	50-100 cm. m+ packages due to amalgamation	Sheets	Wood fragments	Unconfined high concentration turbidite currents	Slope turbidites (lobes)
Thick-bedded vf-f sandstones (channel-like)	Massive local parallel lamination. Local scour and fill. Dewatering	Sharp to erosive base. Sharp tops	80cm-m+ due to amalgamation	Channel fills and some sheets	Wood fragments, rip-up clasts to the base	Confined high concentration turbidite currents with depletive-steady/non steady flows	Slope turbidites (gullies)
Intraclast rich conglomerates	Chaotic	Sharp and sometimes erosive	1 cm to 0.5 m	Lenticular up to 20m wide	Wood and organic debris	Debris flows, sediment bypass and localised deposition of rip up clasts	Slope turbidites

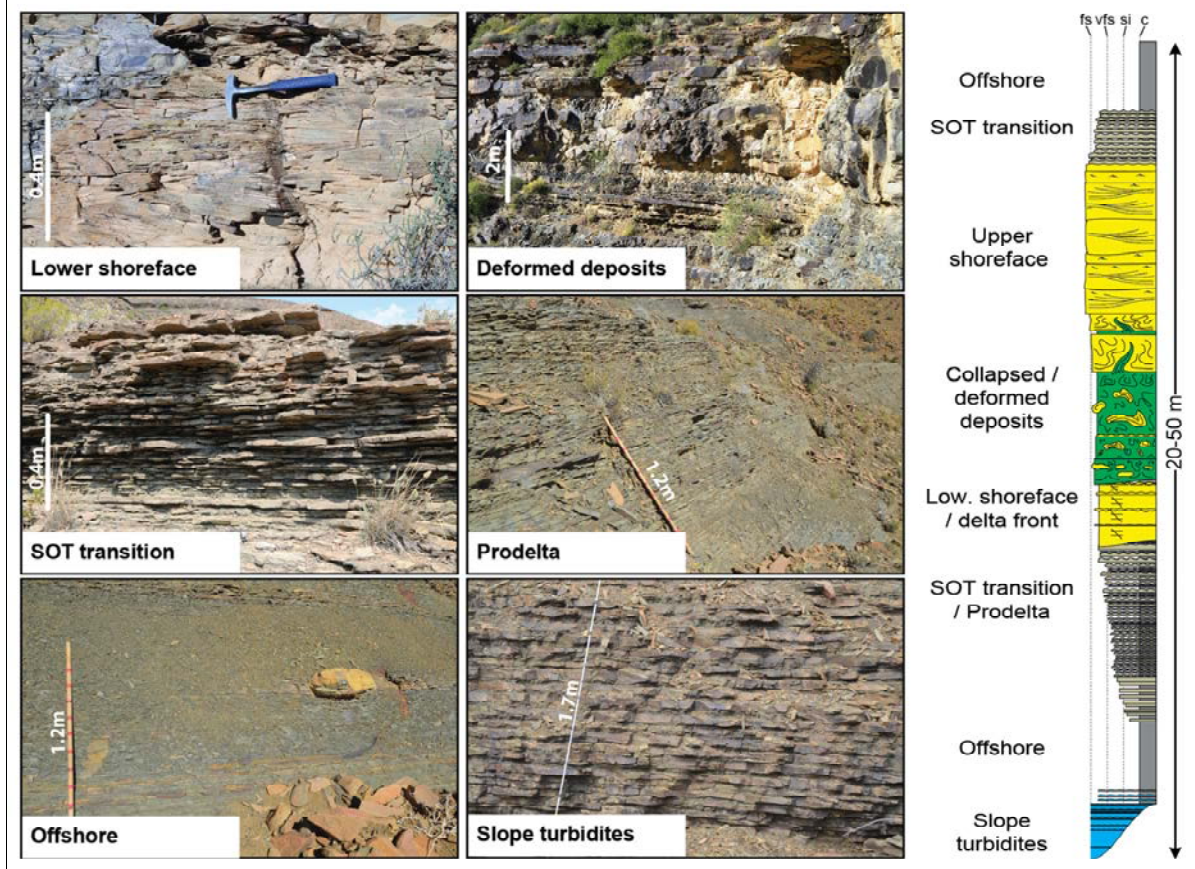


Table 1

LAINGSBURG CLINOFORMS						
Cycle	Thickness (m)		Gradient (deg) Min (30 km)	Slope deposits	Rollover trajectory	Dominant process
	Max	Min				
WfC1	163.4	23.6	0.712	muddy	flat	river
WfC2	145.5	31.2	0.713	sandy	flat-rising	wave
WfC3	92	34	0.700	mud>sand	flat-rising	river/wave
WfC4	124	16.4	0.570	sandy	rising	wave
WfC5	58	10	0.531	sandy	rising	wave
WfC6	37	6.8	0.552	muddy	flat-falling	river
WfC7	38	7	0.531	muddy	flat-falling	river
WfC8	23	6.04	0.513	sandy?	falling	river

TANQUA CLINOFORMS						
Cycle	Thickness (m)		Gradient (deg) Min (30 km)	Slope deposits	Rollover trajectory	Dominant process
	Max	Min				
C1	45	21	0.435	muddy	flat	river
C2	52	17	0.512	sandy	flat-rising	river/wave
C3	44	31	0.504	sand>mud	rising	river/wave
C4	54	32	0.439	mud>sand	rising	river
C5	32	13	0.455	mud>sand	rising	river/tide
C6	15	10	0.455	muddy	flat-falling	river
C7	19	9.5	0.458	muddy	flat-falling	river
C8	14	7	0.474	sand>mud	flat-rising	river

Table 2