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1 **Shallow earthquake inhibits unrest near Chiles-Cerro Negro volcanoes,**

2 **Ecuador-Colombian border**

3  
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28

29 **Abstract**  
30

31 Magma movement or reservoir pressurisation can drive swarms of low-magnitude volcano-tectonic  
32 earthquakes, as well as occasional larger earthquakes ( $> M 5$ ) on local tectonic faults. Earthquakes  $>$   
33  $M 5$  near volcanoes are challenging to interpret in terms of evolving volcanic hazard, but are often  
34 associated with eruptions, and in some cases enhance the ascent of magma. We present geodetic  
35 observations from the first episode of unrest known to have occurred near Chiles and Cerro Negro de  
36 Mayasquer volcanoes on the Ecuador-Colombian border. A swarm of volcano-tectonic seismicity in  
37 October 2014 culminated in a  $M_w$  5.6 earthquake south of the volcanoes. Satellite radar data spanning  
38 this earthquake detects displacements that are consistent with dextral oblique slip on a reverse fault at  
39 depths of 1.4—3.4 km within a SSW-NNE trending fault zone that last ruptured in 1886. GPS station  
40 measurements capture  $\sim 20$  days of uplift before the earthquake, probably originating from a pressure  
41 source  $\sim 10$ -15km south of Volcán Chiles, at depths exceeding 13 km. After the  $M_w$  5.6 earthquake,  
42 uplift ceased and the rate of seismicity began to decrease. Potential mechanisms for this decline in  
43 activity include a decrease in the rate of movement of magma into the shallow crust, possibly caused  
44 by the restriction of fluid pathways. Our observations demonstrate that an earthquake triggered during  
45 volcanic unrest can inhibit magmatic processes, and have implications for the hazard interpretation of  
46 the interactions between earthquakes and volcanoes.

47

48 **Highlights (3—5, 85 characters)**

49

50 • 2014 unrest at Chiles-Cerro Negro culminated in  $M_w$  5.6 earthquake

51 • Slip was shallow and consistent with El Angel fault zone

52 • Earthquake was preceded, and potentially triggered, by mid-crustal pressurisation

53 • The  $M_w$  5.6 earthquake coincided with cessation of uplift and start of fall in seismicity

54 • Earthquakes during volcanic unrest may inhibit the driving magmatic process

55

56 **Introduction**

57 **1. Earthquakes during volcanic unrest**

58 Volcano Tectonic (VT) seismicity is common during volcanic unrest and eruption (e.g. Roman &  
59 Power, 2011) and usually consists of low-magnitude ( $<M4$ ) earthquakes (e.g., Benoit & McNutt, 1996).

60 Swarms of VT earthquakes have preceded many major explosive eruptions, with the highest rate of

61 seismicity occurring at the onset of eruption (White & McCausland, 2016). More rarely, magmatic

62 processes can trigger larger earthquakes on local tectonic faults. Earthquakes greater than magnitude 5

63 at volcanoes (listed in Supplementary Table 1) are associated with significant perturbations to

64 subsurface stress fields (e.g., Mt St Helens, 1980; Benoit & McNutt, 1996). This may involve static

65 stress changes from the propagation of a dyke in the shallow crust (e.g., 2000 Miyakejima intrusion;

66 Toda et al., 2002 or 1976 Krafla dyking episode; Pasarelli et al., 2013) and most such examples take

67 place during episodes of rifting with high rates of deformation (e.g., Wright et al., 2006; Biggs et al.,

68 2009; 2013). Earthquakes  $> M5$  have also been recorded during unrest at active volcanoes, where  
69 movement of magma or hydrothermal fluids is inferred from deformation, gas emission or seismicity  
70 (e.g., at Akutan & Peulik, Lu & Dzurisin, 2014; at Yellowstone, Wicks et al., 2006; near Sabancaya,  
71 Jay et al., 2014).

72 The largest group of earthquakes  $> M 5$  at volcanoes occur before, during or shortly after  
73 eruptions, and include some events with non-double couple focal mechanisms (Shuler et al., 2013).  
74 Earthquakes  $> M 5$  normally occur during explosive phases of eruption (e.g. at Chaiten in 2008, Wicks  
75 et al., 2011), although some have been attributed to post-eruptive stress readjustment (e.g., 1962 and  
76 1983 at Miyakejima, Yokoyama, 2001) and caldera floor collapse (Riel et al., 2015).

77 VT swarms that include earthquakes  $> M 5$  may also precede eruption, as occurred before the 1999  
78 eruption of Shishaldin (Moran et al., 2002). In some cases, such earthquakes may also play a more  
79 active role in triggering eruption. For example, a sequence of three  $M_w$  5.2 events before the 1999  
80 eruption of Cerro Negro, Nicaragua is thought to have reduced minimum principal stress and thus  
81 facilitated the ascent of magma (Diez et al., 2005).

82 The interpretation of earthquakes during volcanic unrest in terms of developing hazard is  
83 challenging. As the majority of reported  $> M 5$  earthquakes have been associated with major  
84 eruptions, the initial interpretation of such events would reasonably be one of increasing hazard. Here  
85 we use geodetic measurements during unrest near two volcanoes on the Ecuador-Colombian border,  
86 Chiles and Cerro Negro, to observe deformation before and after a  $M_w$  5.6 earthquake and examine the  
87 relationship between magmatic intrusion and fault rupture.

88

89        **2.        Volcán Chiles & Cerro Negro de Mayasquer**

90        Volcán Chiles and Cerro Negro de Mayasquer are stratovolcanoes that straddle the Ecuador-  
91 Colombian border and have had no recorded historical eruptions (Figure 1A-B). Volcán Chiles last  
92 erupted about 160,000 years ago whilst andesitic and dacitic lava flows in Cerro Negro caldera are  
93 possibly of Holocene age. The most recent erupted material to have been dated from either volcano  
94 comes from a debris avalanche at Cerro Negro and is at least 3000 years old (Cortés & Calvache,  
95 1997). An active geothermal system extends to the south of Chiles, manifesting in numerous hot  
96 springs (Figure 1C, Instituto Geofísico, 2014, No. 27). Their lack of historical activity and remote  
97 location on an international border have meant that until 2013, both volcanoes were monitored with  
98 minimal instrumentation, and were classified as ‘potentially active’ by the Instituto Geofísico (‘IG’,  
99 Escuela Politécnica Nacional,) and ‘active but stable’ by the Pasto Volcano Observatory, Servicio  
100 Geológico Colombiano (‘SGC’). Low-level seismicity (<10 VT and low frequency events per month)  
101 was reported at Chiles-Cerro Negro from 1991 onwards, when the IG installed a single seismometer,  
102 and has been attributed to an active hydrothermal system (Ruiz et al., 2013).

103        In October 2013, a seismic swarm consisting of >1000 recorded events per day occurred 2-6 km  
104 south of Chiles. Two further VT swarms took place in February-May 2014 and September–December  
105 2014. The SGC changed the volcanoes’ alert level from green (‘active but stable’) to yellow (‘changes  
106 to the volcano’s activity’) in April 2014, in response to the increase in the rate and magnitude of VT  
107 earthquakes (SGC, Boletín Mensual No. 04-2014). The 2014 VT swarms had increasing duration and

108 event rate, but were separated by periods of low-level seismicity of the order of 10s to 100s of events  
109 per day. The majority of the earthquakes had depths of between 2 and 5 km, were < M 4, and  
110 concentrated in a ~25 km<sup>2</sup> area to the southwest of Chiles (Figure 1C). All three swarms occurred in  
111 approximately the same location, and larger events (M 3-4) occurred later, rather than at the beginning,  
112 of each sequence. These 'swarm-like' characteristics are more likely to be associated with pore-fluid  
113 pressure changes than by cascading elastic failures (Vidale et al., 2006) and are similar in this respect  
114 to VT seismicity at many other volcanoes (White & McCausland, 2016). The Chiles-Cerro Negro  
115 seismic swarms were dominated by high frequency events thought to be associated with brittle failure,  
116 but there were also low frequency components to some waveforms later in the unrest that could  
117 indicate fluid movement (Ruiz et al., 2013; Salvage, 2015).

118 In October 2014, there were > 5000 small earthquakes detected per day (Figure 2), including some  
119 long period events (e.g., Chouet and Matoza, 2013). At this time, some of the larger earthquakes were  
120 felt in Tulcán and Tufiño in Ecuador (IG, Informe del Volcán Chiles – Cerro Negro No. 23), and by  
121 residents of the Resguardos Indígenas del Municipio de Cumbal in Colombia (SGC, Boletín Mensual  
122 No. 10-2014). On the 20<sup>th</sup> October at 19:33 UTC a M<sub>w</sub> 5.6 earthquake caused damage to buildings in  
123 villages near Volcán Chiles (IG, Informe del Volcán Chiles – Cerro Negro No. 23). The escalation in  
124 the rate and increase in maximum magnitude of the seismic swarms, as well as the M<sub>w</sub> 5.6 earthquake  
125 were interpreted as evidence for the ascent of magma. Both the IG and the SGC changed their  
126 assessment of the volcano activity level from yellow to orange, meaning that an eruption was expected  
127 within days to weeks. The number of VT earthquakes per day reached their maximum on the 24<sup>th</sup>

128 October, four days after the  $M_w$  5.6 earthquake, and presumably encompassing aftershocks from the  
129 larger event. After this, the number of earthquakes per day started to decline and had gradually returned  
130 to background levels by May 2015 (Figure 2), suggesting a cessation of magma ascent (e.g., Moran et  
131 al., 2011). There were no changes considered significant relative to background levels in the pH or  
132 temperature of hot springs monitored by the IG (locations marked on Figure 1C), or in fumarolic  
133 activity on the western side of Cerro Negro's crater (Instituto Geofísico, 2014, No. 27). In response to  
134 the decrease in seismicity, GPS deformation and InSAR measurements of the  $M_w$  5.6 earthquake, the  
135 volcanic alert level was returned from orange ('eruption anticipated within days to weeks') to yellow  
136 (SGC: 'changes to the volcano's activity' and IG: 'potentially active') by both the SGC and IG on 26<sup>th</sup>  
137 November 2014.

138

### 139 **3. Data & Methods**

#### 140 **3.1 GPS data 1<sup>st</sup> -19<sup>th</sup> October 2014**

141 We analysed GPS data from the IG tectonic network station COEC, located ~15 km to the  
142 southeast of the volcanoes and from a second site, CHLS, installed ~2 km from Volcán Chiles on 10  
143 May 2014 (Figure 1). We use GAMIT 10.6 (King & Bock, 1999) to process the GPS data from  
144 CHLS and COEC with an Ecuador wide continuous GPS network (Mothes et al., 2013). Each daily  
145 solution is first transformed into the International Terrestrial Reference Frame ITRF2008 (Altamimi et  
146 al., 2011) using a 7-parameter transformation. Final time series (Figure 3) are expressed in a north

147 Andean Sliver reference frame by removing the trend predicted by the Euler pole proposed by Nocquet  
148 et al., (2014).

149 CHLS and COEC stations recorded displacements between the 1<sup>st</sup> and 19<sup>th</sup> October 2014  
150 indicative of pressurisation to the south of the volcanoes. TerraSAR-X interferograms that spanned this  
151 time period do not extend far enough south to capture this signal (Supplementary Figure 2). We  
152 therefore used the 3D displacements from both GPS stations to find the horizontal location and depth  
153 of the pressurisation responsible for these displacements. Data from both GPS stations are noisy  
154 (especially COEC) and are not in themselves sufficient to constrain a unique source geometry. We  
155 therefore used a simple point source, elastic half space model to investigate the potential range of  
156 depths for a pressurising source. We consider this a more appropriate interpretation of the data than a  
157 model with realistic rheology, topography and source geometry but that requires more degrees of  
158 freedom. As the variance in horizontal GPS displacements is much lower than for the vertical  
159 displacements, we first used these to find the best-fit latitude and longitude of a point pressurisation  
160 source and to identify the horizontal region within which it is likely to be located. We then used a grid  
161 search approach, minimising misfit between the predicted and observed GPS displacements, to find the  
162 regions of the depth-volume change parameter space within which the early October source could be  
163 located (Supplementary Figure 1). We consider solutions with a root mean square error less than 1.5  
164 mm (150% of the minimum value, and within the range of standard deviations in GPS daily solutions  
165 of 1—3 mm) to be a reasonable fit to the GPS data.

### 166 3.2 InSAR analysis of 20<sup>th</sup> October M<sub>w</sub> 5.6 earthquake

167 We used Interferometric Synthetic Aperture Radar (InSAR) to measure displacements during  
168 the October 2014 swarm. Deformation was captured by four independent interferograms  
169 (Supplementary Figure 2) from three satellite instruments: TerraSAR-X (18.10.2014-27.11.2014  
170 descending), CosmoSkymed (28.03.2014-30.10.2014 descending and 14.03.2014-30.10.2014  
171 ascending) and RADARSAT-2 (17.05.2014-01.11.2014 descending). We are confident that the  
172 displacements captured in these interferograms primarily represent displacements associated with the  
173  $M_w$  5.6 EQ because two earlier TerraSAR-X images (spanning 03.03.2014—28.05.2014 and  
174 28.05.2014—18.10.2014) show no deformation above a magnitude of  $\sim 1$  cm, despite continued low-  
175 magnitude seismicity. Interferograms were constructed using ISCE (Rosen et al., 2011) and GAMMA  
176 software (Wegmuller et al., 1998), and topographic contributions to interferogram phase were  
177 corrected using the 30 m SRTM DEM (Rosen et al., 2001). Unwrapping was carried out using the  
178 Snaphu algorithm (Chen & Zebker, 2002).

179 All four interferograms were downsampled using nested uniform sampling (1800 m pixels)  
180 with a higher sampling density (400 m pixels) over the regions of high magnitude deformation  
181 (Supplementary Figure 3). We model the line-of-sight displacements as dislocations from uniform slip  
182 on a rectangular fault plane in an elastic half-space (Okada et al., 1985) and take  $\lambda = \mu = 3 \times 10^{10}$  Pa as  
183 the Lamé parameters. We jointly invert the downsampled line-of-sight displacements from all four  
184 interferograms for uniform slip on a single fault. First, to constrain the fault geometry, we invert all  
185 four interferograms and solve for slip, strike, dip, rake, surface centre location, length, and top and  
186 bottom depths. We estimate errors on these parameters by performing a Monte Carlo analysis where

187 the inversion was performed 250 times on data sets perturbed by randomly generated synthetic noise  
188 with the same variance and e-folding distances (Hanssen, 2001) as the data (Supplementary Table 2,  
189 Supplementary Figure 4). We next refine our model by solving for distributed slip on the best-fit fault  
190 plane. The data are inverted using a non-negative least squares algorithm and Laplacian smoothing  
191 (e.g., Funning et al., 2005). We extend the length and height of the fault plane and divide into patches  
192 of side length 0.5 km, solving linearly for variable slip and rake on each patch, which has a fixed  
193 geometry (Funning et al., 2005).

194

### 195 3.3 Stress change modelling

196 Faults can be brought closer to failure by an increase in Coulomb stress,  $\Delta\sigma_c = \Delta\tau - \mu' \Delta\sigma_N$ ,  
197 either through (1) an decrease in normal stress,  $\Delta\sigma_N$ , ('unclamping'), (2) an increase in shear stress,  $\Delta\tau$ ,  
198 and/or (3) a decrease in the effective coefficient of friction ( $\mu'$ ) on the fault plane (Stein, 1999; Jolly &  
199 McNutt, 1999).

200 We investigate the stress and strain changes generated by both point pressurisation sources  
201 that match the early October GPS displacements and the  $M_w$  5.6 earthquake using the United States  
202 Geological Survey code Coulomb 3.1 (Lin & Stein, 2004). As the location of the early October  
203 pressurisation is poorly constrained by the GPS data, we investigate the stress changes caused by a  
204 range of different point source locations at intervals of  $\sim 0.02^\circ$  within the ranges of  $0.64^\circ\text{N}$ - $0.74^\circ\text{N}$   
205 latitude and  $78.0^\circ\text{W}$ - $77.9^\circ\text{W}$  longitude. For each horizontal location we also test a range of depths (10,

206 15, 20, 25 km) and corresponding volume changes (Supplementary Figure 1), assuming  $\mu' = 0.4$ . We  
207 estimate Coulomb stress changes for each case on our preferred fault plane for the  $M_w$  5.6 earthquake.

208 We investigate whether uncertainties in the estimated fault strike of  $\pm 2^\circ$ , ( $1\sigma$  errors,  
209 Supplementary Figure 4), and corresponding variations in fault position and geometry, have an impact  
210 on the Coulomb stress changes for a given point pressurisation location, depth and volume, but find  
211 that differences are negligible. The differences in strains predicted by the uniform and distributed slip  
212 solutions are also negligible.

213

#### 214 **4. Results**

215 Between the 1<sup>st</sup> and 19<sup>th</sup> October 2014, CHLS was displaced northward ( $9 \pm 2$  mm) and  
216 upwards ( $15 \pm 9$  mm), coincident in time with eastward displacement ( $6 \pm 3$  mm) at COEC (Figure  
217 3), all relative to the North Andean Sliver (Nocquet et al., 2014). Other components of displacement  
218 over this time were lower than average uncertainties in daily solutions of  $\sim 2$ -3 mm horizontal  
219 displacement and  $\sim 8$ -9 mm vertical displacement (95% confidence level). No deformation was  
220 reported from either GPS site before the 1<sup>st</sup> October 2014 (although CHLS was installed only on 10<sup>th</sup>  
221 May 2014). The TerraSAR-X interferograms that extended from 28<sup>th</sup> May to 18<sup>th</sup> October (144 days)  
222 also show no deformation at either volcano or in the location of the VT swarm (but did not extend as  
223 far south as our preferred early October inflation source location, Figure 1). The displacements  
224 recorded at the GPS stations were of similar magnitude (CHLS 1<sup>st</sup>-19<sup>th</sup> October is equivalent to  $\sim 13$   
225 mm in TSX line of sight) to the variance in interferogram phase ( $\sim 10$  mm) and are not apparent in the

226 coherent patches of the interferograms. After the  $M_w$  5.6 earthquake on 20<sup>th</sup> Oct, displacements  
227 appeared to cease at both GPS stations. Average displacement rates over the twenty days afterwards  
228 were lower than average uncertainties in daily solutions, except for the northward displacement of  
229 CHLS, which may have continued for a few days at a much lower rate than before the earthquake.

230         The trends of northward and vertical displacement at CHLS between the ~1<sup>st</sup> and 19<sup>th</sup> October  
231 are well above the uncertainties in daily solutions, and show that the source certainly lies to the south  
232 of the GPS station (Figure 1B), rather than at Volcán Chiles itself or at the densest part of the seismic  
233 swarm (Figure 1C). The direction of movement of COEC is also clearly eastward until the  $M_w$  5.6  
234 earthquake on 20<sup>th</sup> October, when it reversed to westward. This change in direction at COEC but not  
235 CHLS means that GPS displacements are unlikely to be related purely to slip on the fault that ruptured  
236 during the  $M_w$  5.6 earthquake. Deformation can also not be attributed to the cumulative slip of the  
237 thousands of  $< M$  4 VT earthquakes during 1-19<sup>th</sup> October: the earthquakes are distributed over a broad  
238 area of  $\sim 10$  km<sup>2</sup> west of the GPS stations and have a cumulative magnitude of only  $\sim M$  4.

239         Our analysis shows that GPS displacements are matched by pressurisation at  $\sim (-77.95^\circ W,$   
240  $0.67^\circ N)$  at depths exceeding 13 km and less than 25 km (Figure 1B, Supplementary Figure 1). The  
241 horizontal location of such a pressure source could vary over  $\sim 5$  km while still fitting the GPS data to  
242 within 3 mm. We do not have good constraints on volume change because we do not know reservoir  
243 and magma compressibility or the temperature profile of the crust (and therefore viscoelasticity).  
244 Alternative source geometries such as an ellipsoid (Yang et al., 1988, 8 free parameters) or sill (Okada,  
245 1985, 8 free parameters) would also need to be located in the mid-crust ( $> 10$  km) to satisfy the low

246 magnitude of upward GPS displacements (15 +/-5 mm and 6 +/-4 mm at CHLS and COEC,  
247 respectively). The GPS displacements could potentially also be produced by the opening of a dyke,  
248 though this would have to have been deep enough that opening could be accommodated without  
249 seismicity. The only cluster of earthquake locations during 1<sup>st</sup>—19<sup>th</sup> October was to the east of CHLS  
250 (Figure 1C), mostly between 2 and 6 km depth. A dyke intrusion in the shallow crust in this region  
251 could not have produced the observed GPS displacements, and would also have caused a measureable  
252 deformation signal in the interferograms, which were coherent in this area and showed no  
253 displacements up to 18<sup>th</sup> October 2014.

254         The InSAR displacements spanning the 20<sup>th</sup> October EQ are well matched by 1.2 m of slip on  
255 a fault of strike =  $213 \pm 2^\circ$ , dip =  $50 \pm 1.6^\circ$ , rake =  $151 \pm 2.4^\circ$ , length = 3.4 km, giving a moment  
256 equivalent to an earthquake of  $M_w$  5.6 (Figure 4). The modelled rupture was shallow, between depths  
257 of 3.4 and 1.4 km, and the southern edge of the fault rupture lies > 6 km north and at least 10 km  
258 shallower than the early October source. Comparison of the root mean squared misfit for inversion  
259 with slip fixed at intervals show that misfit decreases with increasing slip up to a value of 0.8 m, after  
260 which misfits level off. However, the model fit to the maximum co-seismic displacements, over the  
261 footwall of the fault, is best for slip of 1.2 m. Our preferred fault plane solution implies a relatively  
262 high stress drop of 14 MPa, but this could vary by +/- 3 MPa (Supplementary Figure 4), and could  
263 therefore be as low as 10 MPa. The auxiliary plane solution (dipping to southeast) does not provide as  
264 good a match to the data as our preferred solution (e.g. RMS of residuals was 0.2 cm higher for  
265 CosmoSkyMed and TerraSAR-X interferograms).

266 Our variable slip and rake solution for fixed geometry (Figure 5A) demonstrates that the slip  
267 at the south-western end of the fault was almost pure right-lateral, and that the reverse component of  
268 slip became more significant in the northeast. Our InSAR derived focal mechanism is in reasonable  
269 agreement with the IGP seismological analysis (Vallée et al., 2011) of the waveform ( $M_w$  5.7 and  
270 strike  $224^\circ$ , dip  $62^\circ$  and rake  $163^\circ$ ), although fault locations differ by  $\sim 30$  km, which is within the  
271 range of error (10–30 km) expected for global seismological locations (e.g., Elliott et al., 2010).

272 Whether or not the modelled early October pressurisation would have brought this fault closer  
273 to failure depends on its location: some acceptable source locations increase Coulomb stress ( $\Delta\sigma_C$ ) on  
274 the fault plane, while others lower it. Normal stress change across almost the whole fault plane is  
275 negative (1–10 kPa, unclamping) for pressurisation at locations within the bounds of models  
276 constrained by GPS measurement, but shear stresses on different parts of the fault vary in magnitude  
277 and sign ( $\sim 5$ –5 kPa). At the part of the fault plane closest to the magmatic intrusion, slip is close to  
278 pure right-lateral, so we estimate Coulomb stress change for right-lateral motion and find that  $\Delta\sigma_C$  is  
279 positive for early October pressurisation sources located at the northern side of the range required by  
280 GPS measurements (10–30 kPa on southwestern corner of the fault, e.g., Figure 5B-C). A more  
281 complex source geometry (e.g. an opening sill or pressurising prolate ellipsoid) could potentially  
282 generate quite different stress fields (see Albino & Sigmundsson, 2014), but these would also be  
283 sensitive to position and trade-offs between depth and volume change or opening. It is therefore  
284 possible, but not certain, that the static stress changes contributed to triggering the  $M_w$  5.6 earthquake.  
285 The earthquake itself caused an increase in dilational strain west and east of the fault plane, but resulted

286 in a lobe of negative dilational strain (volumetric compression) in the upper crust in the vicinity of the  
287 early October pressurisation (Figure 6B).

288 For pressurisation near our best-fit location, we estimate that  $\Delta\sigma_N$  and  $\Delta\tau$  on the fault are of the  
289 same order of magnitude, making  $\Delta\sigma_C$  very sensitive to small changes in the effective coefficient of  
290 friction,  $\mu'$ , and therefore pore pressure. Pressurisation of the early October source also caused dilation  
291 in the surrounding crust (Figure 6A) and may have caused an increase to the flow of fluids into the  
292 hydrothermal system. Elevated pore fluid pressure may both have reduced the effective friction on the  
293 El Angel zone fault, and contributed to the increase in the rate of VT seismicity before the 20<sup>th</sup>  
294 October. Our estimations of Coulomb stress changes are within the range thought to cause variations  
295 in rates of seismicity due to hydrological loading (2-4 kPa; Bettinelli et al., 2008) and in rates of  
296 volcanic tremor due to fluid tides (15 kPa; Rubinstein et al., 2008).

297

## 298 **5. Discussion**

### 299 **5.1 Mid-crustal magmatic source**

300 GPS displacements from 1<sup>st</sup> -19<sup>th</sup> October 2014 are best matched by a pressurising source  
301 within a ~5 km radius of (-77.95°, 0.67°) at depths of >13 km. The source location is constrained by  
302 only two GPS stations, so the uncertainty in its location is high, but we are confident that 1) it lies to  
303 the south of CHLS and west of COEC and 2) that it is at least at mid-crustal depths. Because the GPS  
304 data indicate a pressurisation at mid-crustal depths, we interpret it as evidence for magma reservoir

305 pressurisation, rather than a hydrothermal process. The volume, or even lateral extent, of the magma  
306 reservoir associated with the Chiles-Cerro Negro unrest is, however, unclear.

307           There has been no prior indication of an eruption at the above modelled early October  
308 pressure source location. The surface of this area is covered with old (>10,000 years), poorly studied  
309 volcanic deposits and to our knowledge has not been the site of historical seismic swarms. After  
310 Chiles-Cerro Negro (~15km north of the early October pressure source), the next nearest Holocene  
311 volcanoes are > 40 km away (Chachimbiro, ~45 km WSW; Imbabura ~50 km SW and Soche, ~45 km,  
312 ESE). However, deformation located tens of kilometres away from the nearest known volcanic centre  
313 is a common observation in regional InSAR surveys (e.g., Pritchard & Simons, 2004; Biggs et al.,  
314 2011; Lundgren et al., 2015) and distal VT seismicity up to 15 km away from the associated volcano is  
315 also a common observation at the onset of both eruptions and intrusions (White & McCausland, 2015).

316

## 317 **5.2 Origin of the $M_w$ 5.6 earthquake**

318           The  $M_w$  5.6 earthquake occurred on a fault aligned with a SSW-NNE trending system in an  
319 extension of the Romeral fault in Colombia (e.g., Ego et al., 1996). Two large earthquakes occurred on  
320 this fault system in August 1868 near the towns of El Angel (at ~77.9°W, 0.7°N) and Ibarra (at ~  
321 78.45°W, 0.36°N), with magnitudes in the range 6.4-6.8 and 7.1-7.7 respectively, as estimated from  
322 intensity data (Beauval et al., 2010). The regional strain field is dominated by the subduction of the  
323 Nazca plate at a rate of ~46 mm/yr (Nocquet et al., 2014) and deformation within the North Andean  
324 Sliver. The direction of maximum compressive stress derived from focal mechanism inversion for

325 historical earthquakes <60 km depth in the Romeral fault area is  $\sim 076^\circ\text{N}$  (Ego et al., 1996), which is in  
326 reasonable agreement with a horizontal direction of displacement of  $052^\circ\text{N}$  from the 2014  $M_w$  5.6  
327 earthquake. The  $M_w$  5.6 earthquake was on an active fault that had potentially been locked at least  
328 since 1868, and is likely to have been stressed before the unrest in 2014.

329           It is unlikely that the  $M_w$  5.6 earthquake occurred during the 2014 Chiles-Cerro Negro swarm  
330 by coincidence. Between 1980 and the end of 2015 there were only 9 earthquakes  $> M$  5 at depths  $<$   
331 50 km within a 100 kilometres radius of Chiles-Cerro Negro volcanoes (Supplementary Table 2). The  
332  $M_w$  5.6 earthquake was preceded by an acceleration in the rate of seismicity (Salvage, 2015),  
333 suggesting that the same underlying process drove both the VT swarm and triggered the  $M_w$  5.6  
334 earthquake.

335

### 336 **5.3 Elevated pore-fluid pressure in the shallow crust**

337           Our Coulomb stress calculations show that static stress changes from magma movement in  
338 October 2014 could potentially have brought the El Angel fault closer to failure, but the impact of mid-  
339 crustal magmatic activity on the hydrothermal system that feeds the multiple hot springs south of  
340 Chiles and Cerro Negro may have been equally or more important. The swarm-like characteristics of  
341 seismicity at Chiles-Cerro Negro are indicative of elevated pore-fluid pressure (e.g., Vidale et al.,  
342 2006), which could be either due to heating of groundwater, or the ascent of magmatic fluids (Jolly &  
343 McNutt, 1999). The deep magmatic intrusion in early October caused dilation (Figure 6), which may  
344 have increased the flow of magmatic fluids into the hydrothermal system, elevating pore fluid pressure.

345 Both the majority of the VT events during the 2013-2014 unrest, and the fault that ruptured in the  $M_w$   
346 5.6 earthquake lie at depths (2-6 km). This is consistent with a shallow hydrothermal system to which  
347 background seismicity at Chiles and Cerro Negro has been attributed (Ruiz et al., 2013; Cortés &  
348 Calvache, 1997).

349

#### 350 **5.4 The impact of the $M_w$ 5.6 earthquake: suppression of unrest**

351 The 20<sup>th</sup> October  $M_w$  5.6 earthquake coincided with the cessation of the uplift seen in GPS  
352 measurements, and preceded the start of a gradual decrease in number of seismic events per day after  
353 24<sup>th</sup> October (Figures 2 and 3). IG catalogue earthquake locations suggest that more earthquakes, with  
354 higher average magnitudes, occurred closer to the northern end of the  $M_w$  5.6 fault plane during the  
355 four days after the 20<sup>th</sup> October than the four days before (Supplementary Figure 6). The rate of decay  
356 in seismic event count after this time is likely to encompass contributions from an aftershock sequence  
357 from the  $M_w$  5.6 earthquake, as well as a more gradual decrease in VT seismicity from pre-20<sup>th</sup> October  
358 levels. Since October 2015, both GPS stations and repeated satellite radar measurements of the region  
359 around Chiles and Cerro Negro show no evidence of further deformation.

360 A possible explanation is that the  $M_w$  5.6 earthquake affected the subsurface stress field so that  
361 it changed how magmatic processes were accommodated. For example, a transition from an earlier  
362 upward migration into the elastic crust, to a deeper, lateral movement of magma would diminish any  
363 measurable deformation. Strain changes caused by the earthquake (Figure 6B) may also have brought  
364 about a change to the pattern of fluid flow, potentially restricting the migration of fluids into the

365 hydrothermal system; increasing the flow of hydrothermal fluids out and therefore decreasing pore-  
366 fluid pressure. Such shifts in deformation patterns have been recorded during periods of elevated  
367 seismicity at both Long Valley (1997-1998) and Yellowstone calderas (1985, 1995) and attributed to  
368 changes to the flow of hydrothermal and magmatic fluids caused by tectonic processes (e.g., Hill et al.,  
369 2006; Wicks et al., 2006).

370

### 371 **5.5 Negative feedback on magmatic processes**

372 Large earthquakes have been shown to trigger volcanic unrest (e.g., Pritchard et al., 2013;  
373 Takada et al., 2013; Battaglia et al., 2012) and eruption (Linde & Sacks, 1998) by causing static and  
374 dynamic stress changes (Manga & Brodsky, 2006). Some detailed case studies have demonstrated  
375 positive feedback, where moderate earthquakes and faulting enhance the magmatic processes thought  
376 to have triggered them. This can occur during the onset of eruption, for example during the 1999  
377 eruption of Cerro Negro in Nicaragua (Diez et al., 2005) or during cycles of trapdoor faulting and sill  
378 growth at Sierra Negra in the Galapagos (Jónsson, 2009). During the early stages of dyke intrusion  
379 near Lake Natron, slip on a normal fault unclamped the source region of a vertically propagating dyke,  
380 but increased clamping at shallower depths. In this case, stresses at the dyke tip were sufficient to  
381 overcome clamping effects and allow the vertical propagation of the dyke, so that the net impact of the  
382 fault slip on magma ascent was positive (Biggs et al., 2013).

383 Examples of equivalent negative feedback, where earthquakes triggered during volcanic  
384 unrest inhibit an underlying magmatic process, appear to be rarer (or at least less frequently reported).

385 However, a recent study, Maccaferri et al., (2015), used mechanical modelling to demonstrate that  
386 stress transfer from a M 6.5 earthquake triggered at the tip of a propagating dyke at Miyakejima in  
387 2000 was the primary cause for its arrest. Interaction with faulting has also been implicated as an  
388 important factor in the arrest of a dyke at Harrat Lunayyir in 2009 (Xu et al., 2016), and is expected to  
389 be a widespread process.

390           Although many earthquakes > M 5 have been reported during volcanic unrest (Supplementary  
391 Table 1), their relationship to the underlying magmatic process is often unclear (e.g. during VT swarms  
392 at Akutan and Peulik; Lu & Dzurisin, 2014). Our observations from near Chiles-Cerro Negro are  
393 unusual in that they document a turning point in both VT seismicity and GPS displacement that  
394 coincide with a triggered tectonic earthquake. A similar event may have taken place at Iwatesan  
395 volcano (Japan) in 1998, when a M 6.1 earthquake occurred during a VT swarm, and was attributed to  
396 stress changes from pressurisation ~12 km west of the volcano's summit (Nishimura et al., 2001).  
397 Although seismicity and deformation after the earthquake are not documented, Nishimura et al. note  
398 that "the volcanic activity came to be calm after the occurrence of the M 6.1 earthquake," which  
399 implies that, as at Chiles-Cerro Negro, the earthquake may have inhibited the underlying process that  
400 had been driving unrest.

401           Earthquakes initiating an escalation in magmatic activity have been more frequently reported  
402 than those causing a diminishment. In particular, many examples of larger earthquakes occurring  
403 during VT swarms immediately before eruption mean that larger earthquakes are necessarily  
404 interpreted as indicating elevated volcanic hazard. Our observations at Chiles-Cerro Negro

405 demonstrate that in some cases this interpretation results in ‘false positives’ where no escalation to  
406 eruption takes place, and furthermore that such earthquakes may act to inhibit magma movement.

407

## 408 **Conclusions**

409 The first measured unrest near Chiles-Cerro Negro volcanoes took place in 2013-2014 and  
410 consisted of three episodes of VT seismicity and a brief period of uplift in October 2014. After a  
411  $M_w$ 5.6 earthquake on 20<sup>th</sup> October 2014, uplift ceased and seismicity began to fall. Our interpretation  
412 of the sequence of events at Chiles-Cerro Negro based on our GPS and InSAR measurements from  
413 October 2014 is as follows:

- 414 • The pressurisation of a mid-crustal magma reservoir (>13 km depth, ~ 15km south of Volcán Chiles)  
415 caused displacements at two GPS stations 1<sup>st</sup>-19<sup>th</sup> October 2014. This pressurisation caused dilation,  
416 which may have contributed to increased fluid flow in the hydrothermal system and the observed  
417 increase in the rate of VT seismicity.
- 418 • On the 20<sup>th</sup> October a  $M_w$  5.6 earthquake occurred on a shallow fault in the El Angel fault zone,  
419 probably triggered by elevated pore fluid pressure, possibly with some contribution from static stress  
420 changes caused by the deep pressurisation.
- 421 • The earthquake caused strain changes in the subsurface that coincided with (1) the cessation of GPS  
422 displacements, (2) a gradual decrease in the rates of VT seismicity. This may have been due to  
423 transition in fluid flow and mode of magma storage.

424

425 Our observations at Chiles-Cerro Negro are important because they demonstrate that an earthquake  
426 triggered during volcanic unrest can inhibit, rather than enhance, the process driving it. With the  
427 exception of dyke propagation during rifting, this effect has not been widely reported. However, it  
428 may occur at other volcanoes where active fault zones lie in close enough proximity to volcanic belts to  
429 allow interaction with magma reservoirs.

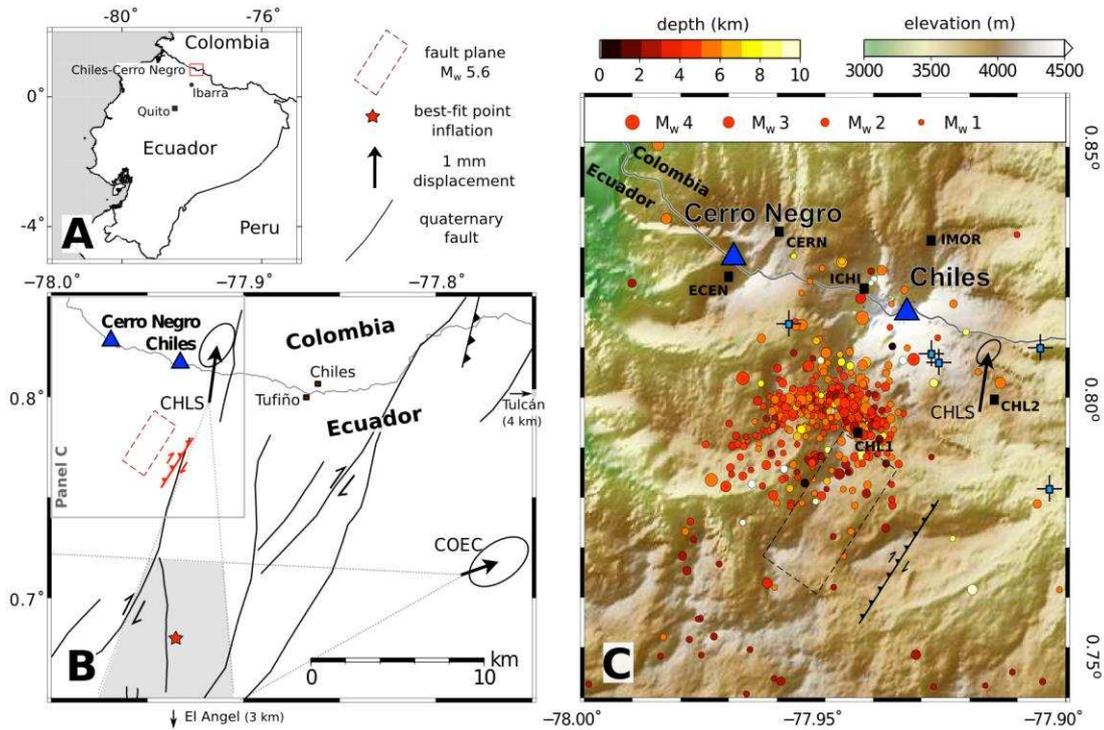
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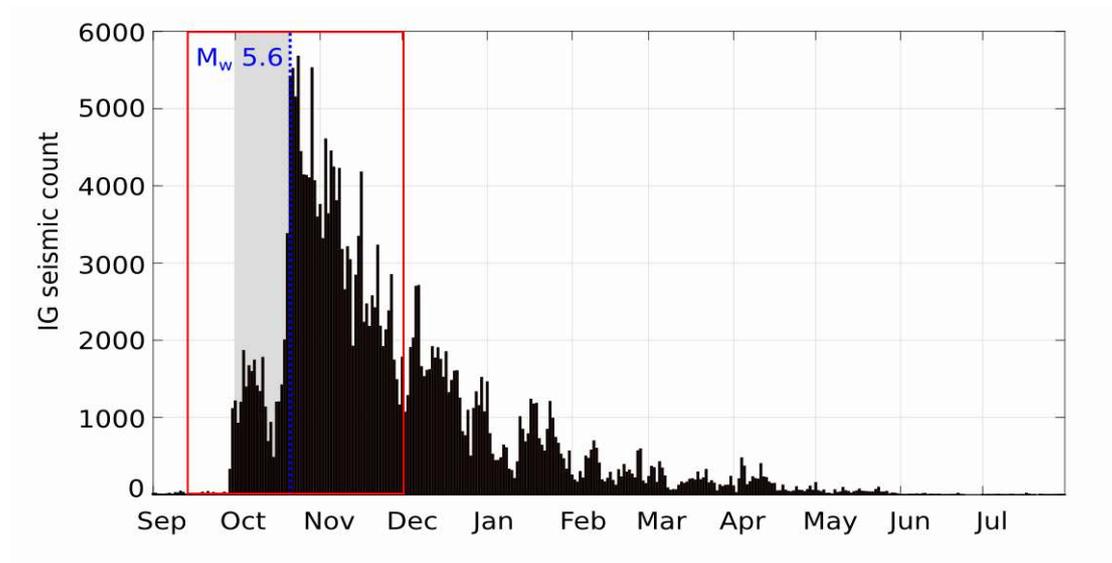
434 **Figure 1:** A. Location of Chiles and Cerro Negro on the Ecuador-Colombian border. B. Map showing  
 435 the locations of Chiles & Cerro Negro volcanoes (blue triangles), Quaternary faults (black), best-fit  
 436 October 2014 point source pressurisation (red star) and uniform slip fault plane solution (red lines).  
 437 Black arrows at GPS stations, CHLS and COEC, show horizontal displacements for the period of  
 438 01.10.2014-19.10.2014. Shaded grey area shows spatial locations that fit a point source to within GPS  
 439 error. C. Instituto Geofisico catalogue earthquakes locations, depths and magnitudes from stations  
 440 marked with black squares and stations names for 01.10.2014-19.10.2014 (e.g., Instituto Geofisico  
 441 EPN, 2014; Ruiz et al., 2013). The locations of hot springs where geochemical monitoring is carried  
 442 out by IG are indicated by blue squares on a cross hair.



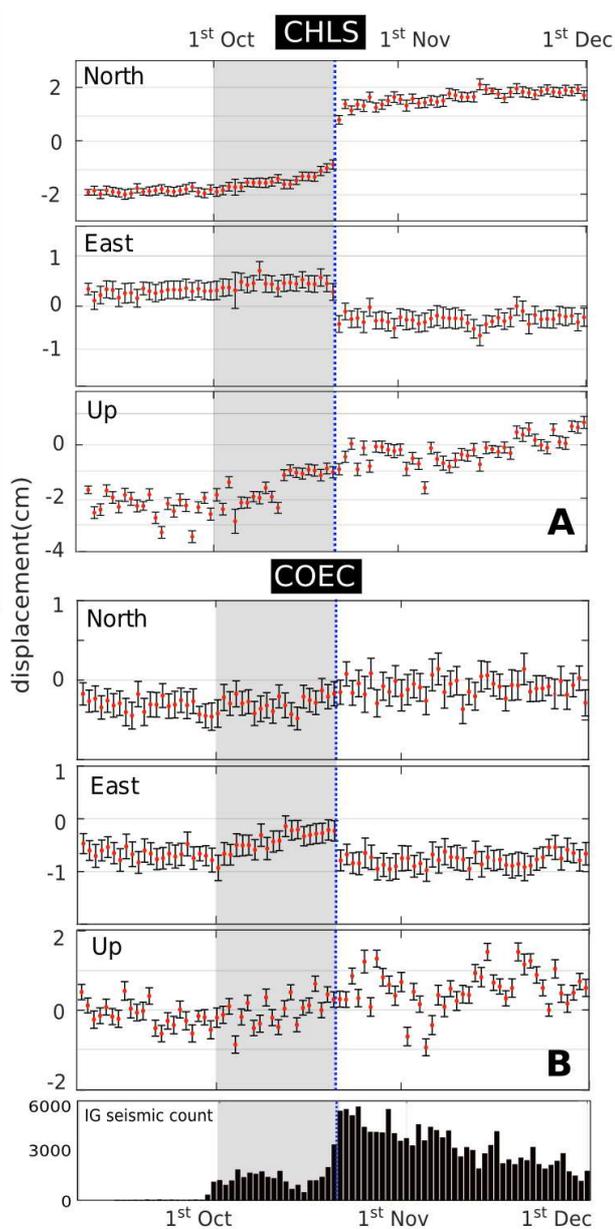
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445 **Figure 2:** Seismic count from Instituto Geofísico catalogue at Chiles-Cerro Negro between September  
446 2014 and July 2015 (some initial counts, including automatically identified earthquakes that had not  
447 yet been quality-controlled, were generally higher and found ~8000 events per day on the 20<sup>th</sup> October  
448 2014, e.g., Instituto Geofísico EPN, 2014, No. 26). Catalogue earthquakes were located using data  
449 from eight seismometers, mostly to the north of the volcanoes, and including those shown on Figure 1C  
450 plus ICAN (-77.9505, 0.864333) and IPAN (-77.8805, 0.850667). The grey shaded box shows the  
451 period of uplift detected by the GPS and shown in Figure 3.

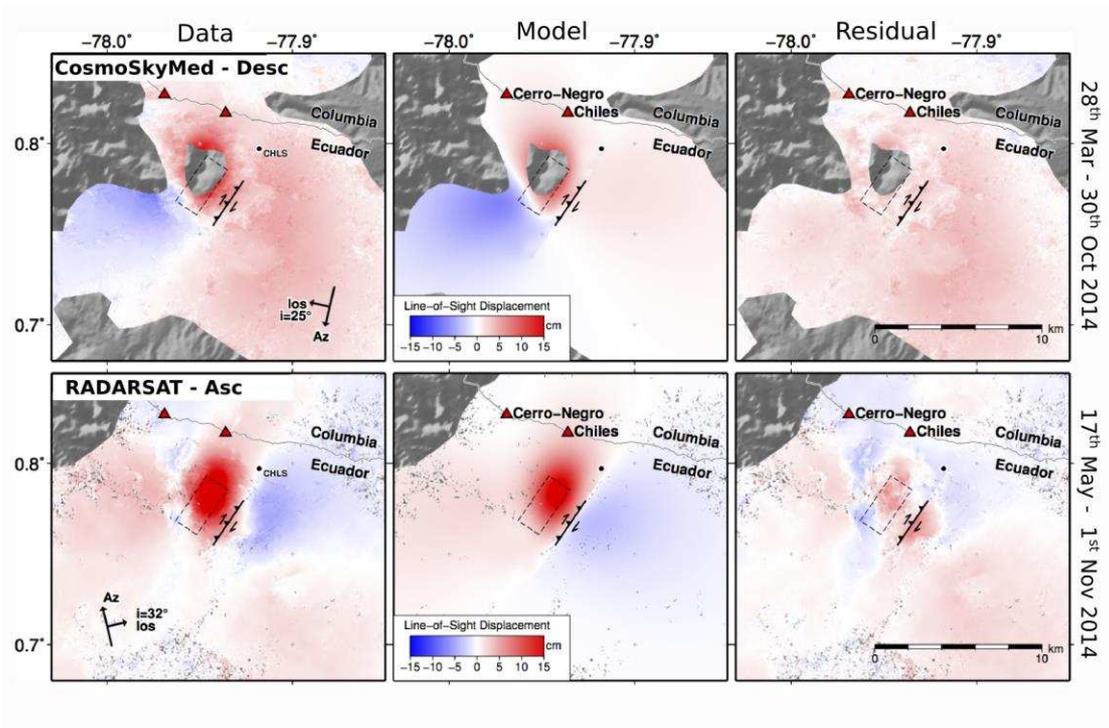


454 **Figure 3:** GPS time series displacements (10.09.2014 – 1.12.2014) for stations CHLS (installed  
 455 10.05.2014) and COEC. Error bars show 1-sigma errors for the daily solutions. The date of the  $M_w$   
 456 5.6 earthquake, 20<sup>th</sup> October, is indicated by a blue dashed line and the shaded grey box indicates the  
 457 approximate period of uplift also shown on Figure 2. The seismic count from the Instituto Geofisico  
 458 catalogue for the same time period is shown in the lower panel.



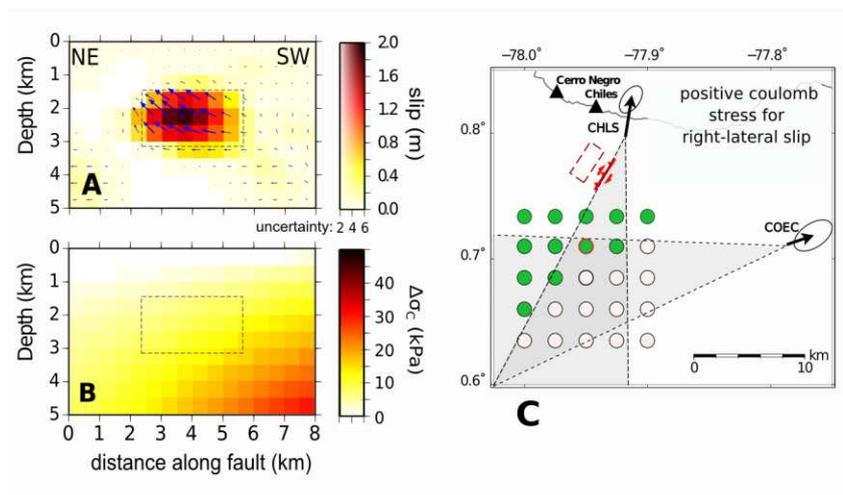
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460 **Figure 4:** Examples of line of sight InSAR displacements from descending CosmoSkyMed  
 461 (28.03.2014-30.10.2014) and ascending RADARSAT-2 data (17.05.2014 – 1.11.2014). Line of sight  
 462 (los) azimuth direction and incidence angle are indicated on individual panels. Model panels show the  
 463 predicted line of sight displacements for our preferred uniform slip solution (strike =  $213 \pm 2^\circ$ , dip =  
 464  $50 \pm 1.6^\circ$  and rake =  $151 \pm 2.4^\circ$ , slip = 1.2 m). Line-of-sight displacements (red-blue colour scale) are  
 465 overlaid onto hill shaded SRTM Topography.



466  
 467  
 468

469 **Figure 5:** A. Distributed slip solution for slip on a fault plane with the geometry of our uniform slip  
 470 solution, where slip magnitude and uncertainty is shown by the colour scale and rake by blue arrows.  
 471 B. Example of Coulomb stress changes for right lateral slip on the plane defined by our uniform slip  
 472 solution. The dashed box marks the edge of the region of slip and the location of the early October  
 473 pressurising source is marked with a red outline on part C. C. Source locations for which Coulomb  
 474 stress change for right-lateral slip on the southwestern corner of the fault plane is positive are indicated  
 475 by green circles (white circles indicate that Coulomb stress changes are negative).

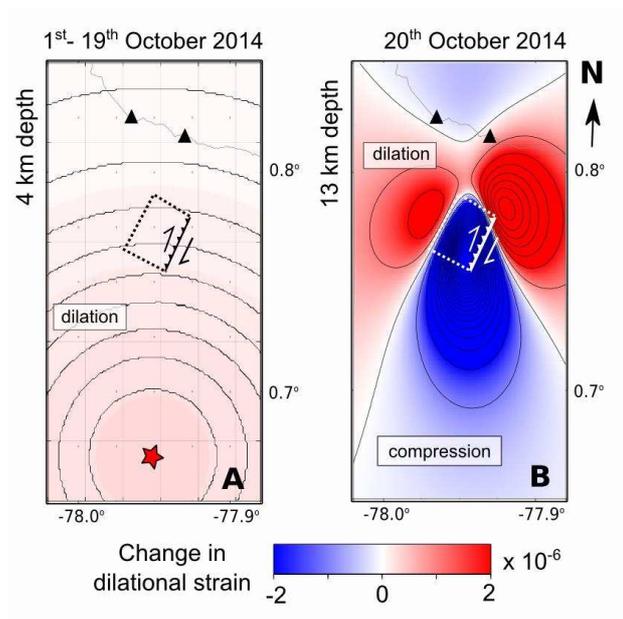


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479 **Figure 6:** A. Change in dilational strain at 5 km depth for the pressurisation of a point source at our  
480 best-fit location and at 13 km depth. B. Change in dilational strain caused by the 20<sup>th</sup> October  $M_w$  5.6  
481 earthquake at 13 km depth. Blue shows compression and red indicates dilation.



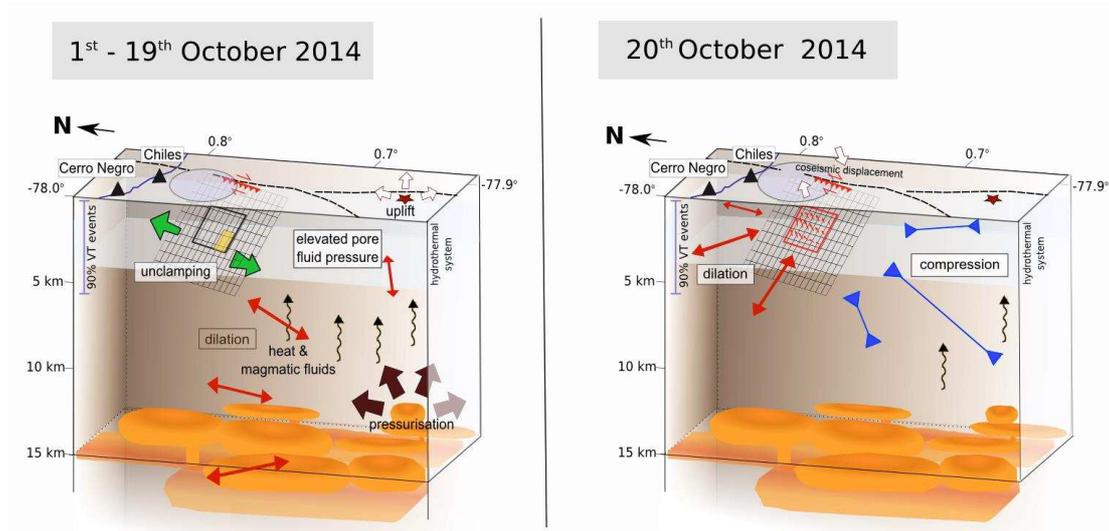
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484 **Figure 7:** Schematic representation of processes at Chiles-Cerro Negro pre-earthquake (A)

485 01.10.2014-19.10.2014 and (B) the  $M_w$  5.6 earthquake on 20.10.2014. Blue ellipses indicate the

486 distribution of 90% of VT hypocentres.



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