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1	Estimation of Trabecular Bone Parameters in Children from Multi-
2	Sequence MRI using Texture-Based Regression
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#### 27 ABSTRACT

Purpose: This paper presents a statistical approach for the prediction of trabecular bone parameters from low-resolution multi-sequence MRI in children, thus addressing the limitations of highresolution modalities such as HR-pQCT, including the significant exposure of young patients to radiation and the limited applicability of such modalities to peripheral bones in vivo.

Methods: A statistical predictive model is constructed from a database of MRI and HR-pQCT datasets, to relate the low resolution MRI appearance in the cancellous bone to the trabecular parameters extracted from the high-resolution images. The description of the MRI appearance is achieved between subjects by using a collection of feature descriptors, which describe the texture properties inside the cancellous bone, and which are invariant to the geometry and size of the trabecular areas. The predictive model is built by fitting to the training data a nonlinear partial least square regression between the input MRI features and the output trabecular parameters.

39 Results: Detailed validation based on a sample of 96 datasets shows correlations > 0.7 between the 40 trabecular parameters predicted from low-resolution multi-sequence MRI based on the proposed sta-41 tistical model and the values extracted from high-resolution HRp-QCT.

42 **Conclusion:** The obtained results indicate the promise of the proposed predictive technique for the 43 estimation of trabecular parameters in children from multi-sequence MRI, thus reducing the need for 44 high-resolution radiation-based scans for a fragile population that is under development and growth.

Keywords: Prediction of trabecular parameters, HR-pQCT, skeletal MRI, texture descriptors, feature
 selection, partial least squares regression.

#### 47 I. INTRODUCTION

The study of skeletal growth and development is an important yet challenging research area within musculoskeletal imaging <sup>1-5</sup>. The assessment of bone-microarchitecture <sup>6, 7</sup>, in particular, can provide significant insight into the changes that occur during skeletal development in relation to skeletal integrity, as well as a clearer understanding about the factors underpinning bone fracture and disease in children and adolescents <sup>8-10</sup>.

Essentially, bone micro-architecture consists of an ensemble of separated anisotropic trabeculae, 53 which react to the loadings and stresses that the bone is subjected to <sup>11</sup>. For the assessment of these 54 55 trabeculae, important parameters or morphometric indices can be calculated, which are measures characterizing the three-dimensional microstructure of the cancellous bone <sup>12</sup>. Amongst these, tra-56 becular thickness (Tb.Th) estimates the mean thickness of the trabeculae. Additionally, trabecular 57 spacing or separation (Tb.Sp) measures mean space between the trabeculae. Another important pa-58 59 rameter is the trabecular number (Tb.N), which indicates the number of trabeculae per unit length  $(mm)^{12}$ . 60

61 To estimate these parameters, imaging of the cancellous bone in very high detail is required, i.e. through imaging modalities that can produce much higher image resolutions than those commonly 62 63 used in clinical practice such as standard magnetic resonance imaging (MRI). Two candidate modalities for this purpose are micro-CT<sup>13</sup> and high-resolution peripheral quantitative CT (HR-pQCT)<sup>14</sup>. 64 Micro-CT (isotropic resolution  $-8\mu m$ ) is only limited to ex vivo imaging following bone biopsy and 65 thus far has been used mostly for orthopedic research <sup>15, 16</sup>. On the other hand, while HR-pQCT (iso-66 tropic voxel size 82µm) has shown promise for bone assessment in adolescents <sup>17</sup>, the modality can 67 only be used to acquire high-resolution images of the ultra-distal radius and tibia (9mm)<sup>14, 17, 18</sup> and so 68 may not provide an accurate reflection of proximal appendicular and axial skeletal microstructure. 69 70 Furthermore, the radiation associated with X-ray based modalities limits their routine use in clinical 71 practice for children and adolescents, in particular in longitudinal studies that require repetition exam-72 inations to assess bone strength/growth over time.

73 Amongst alternative imaging modalities, Magnetic Resonance Imaging (MRI) provides a potential solution to bone imaging in children as it imparts no ionizing radiation. For example, high-resolution 74 images derived from 3T and 7T MRI scanners have been investigated as a means of assessing tra-75 becular bone but it is limited to research studies as special coils and sequences analysis are required, 76 although there is clear potential for future clinical application <sup>19, 20</sup>. Standard clinical 1.5 T MRI, on 77 the other hand, provides a unique image-weighting contrast mechanism by varying the acquisition 78 79 parameters to exploit tissue relaxation properties (e.g., T1 recovery, T2 decay), thus producing a mul-80 ti-sequence stack for the same image. Each MRI sequence typically displays distinct appearance 81 properties, thus highlighting varying aspects of the tissue under investigation.

Cortical bone and trabecular bone have extremely short intrinsic  $T_2$  (proton relaxation time) values 82 83 (0.4-0.5 milliseconds), low water content, and thus relatively low MR-detectable magnetization thus 84 producing a limited signal and appearing dark next to bone marrow (white) on conventional MRI 85 sequences. Water is predominantly bound to collagen with the remaining fraction found in micropores of the Haversian and the lacunar-canalicular system of cortical bone. Concentional MRI sequences 86 87 use spin-echo imaging with relaxation times (TE's) of 8-10 milliseconds and with gradient echo pulse reducing TEs to 1-2 milliseconds. Recently pulse sequences with even shorter TEs in the range of 88 0.05–0.20 milliseconds have been developed by the use of half radiofrequency excitations<sup>21</sup>. These 89 ultrashort TE (UTE) pulse sequences have TEs about 10 to 20 times shorter than previously devel-90 oped sequences and have been used to quantify both trabecular and cortical bone parameters <sup>22</sup>. Multi-91 sequence MRI has been applied for the study of various musculoskeletal bones, joints and soft tissues 92 <sup>23</sup>. However, its potential for the estimation of trabecular parameters remains largely unclear and un-93 explored. 94

In this work, we present a new technique for the prediction of trabecular parameters of bones in children from multi-sequence MRI. Instead of performing the calculations directly on the MR images, which is difficult due to the complexity and low-resolution of these images, we introduce a method that learns statistically the relationship between the low-resolution MRI appearance in the cancellous bone and the trabecular parameters as extracted from high-resolution image data. The estimation of high-resolution information from low-resolution image data is a well-known problem in computer

vision<sup>24, 25</sup>. In this work, a database of both MRI and HR-pQCT datasets of the same patients is col-101 lected and used as a training sample for a nonlinear regression model, which is subsequently used to 102 predict the trabecular parameters conditioned on the information extracted from in vivo lower-103 resolution MR images. Due to the variation in the image properties and geometries of the trabecular 104 105 areas, a collection of invariant image descriptors are calculated from the MRI images to obtain consistently the same level of information in all the cases. Feature selection is applied to select the de-106 107 scriptors that are the most relevant for the prediction of each trabecular parameter. The potential of the 108 proposed technique is shown based on a data sample acquired from 96 children.



FIG. 1. Schematic diagram illustrating the main steps involved in the proposed statistical approachfor the prediction of trabecular parameters conditioned on MR images.

# 112 II. METHODS

The aim of the proposed technique is to predict statistically the unknown trabecular indices based on the information contained within low-resolution MR images of the cancellous bone. By using a training sample that contains both low-resolution and high-resolution data of the trabecular areas, we learn a predictive regression model by following the workflow schematically described in Figure 1 and the steps summarized as follows:

118 **Step 1:** Collect a data sample in which each individual undergoes both a multi-sequence MRI scan

119 and a high-resolution HRpQCT scan of the same bone regions.

- 120 **Step 2:** Calculate the trabecular parameters using the high-resolution HRpQCT images.
- 121 **Step 3:** Delineate the trabecular bones on the MRI images.
- 122 **Step 4:** Calculate texture descriptors that describe the appearance patterns (variability, repeatability,
- 123 complexity) inside the trabecular region.
- 124 **Step 5:** Select for each sequence and trabecular parameter a subset of texture features with maximal
- 125 prediction power.
- 126 **Step 6:** Build a nonlinear regression model between the optimal textures and the trabecular parame-
- 127 ters, which is the output of the proposed method.
- 128 The details of these steps are now given in the subsequence Subsection II-A to II-C.

#### 129 A. Patient Data

We recruited 96 volunteers aged 13 to 16 years old to undergo HRpQCT and skeletal MRI (sMRI) of 130 the non-dominant ultra-distal tibia at 1.5 T. Clinical pathologies were excluded from this study. The 131 non-dominant limb was scanned as this is standard practice in clinical studies due to the influence of 132 additional forces through physical activity for example. Participants were recruited from local adver-133 tisements, from healthy cohorts who had taken part in previous bone-related research and from the 134 orthopedic clinic at Sheffield Children's NHS Foundation Trust, UK. Written informed consent was 135 obtained from all participants. The following exclusion criteria were applied – known metabolic bone 136 disease, previous orthopedic surgery or fractures that preclude imaging at selected sites, history of 137 long term immobilization, known chronic/systemic illness, endocrine disorders, genetic syndromes, 138 139 use of oral or intravenous steroids, and known skeletal dysplasia, or any contraindications to MRI.

HR-pQCT data acquisition: HR-pQCT image acquisition and analysis of the distal tibia was performed using the standard built-in software (XtremeCT, V 6.0, Scanco Medical AG, Brüttisellen, Switzerland) and in accordance with the methods used previously by Paggiosi et al. <sup>26</sup>. In all postpubertal participants with fused tibial growth plates, a reference line was placed on the scan image at the endplate of the distal tibia to indicate the position of the first measurement slice (22.5 mm and 9.5 mm proximal from the reference line for the tibia and radius respectively). In pre-pubertal and those 146 participants with open tibial and growth plates, the reference line was placed on the scan image at the proximal end of the growth plate to indicate the position of the first measurement slice (1 mm proxi-147 mal from the reference line)<sup>26</sup>. All scans were performed using the non-dominant limb. A single stack 148 of parallel CT slices (110 slices = 9.02 mm) for each site was acquired in the high resolution mode 149 150 (image matrix =  $1536 \times 1536$ , in-plane resolution =  $28 \mu m$ , acquisition time =  $2.8 \min s$ ). Daily measurements of the manufacturer device-specific phantom (Scanco Medical AG, Brüttisellen, Switzer-151 land) were performed to monitor the stability of the XtremeCT. Tibial trabecular microstructural pa-152 153 rameters measured were included trabecular number (Tb.N, 1/millimeters), trabecular thickness 154 (Tb.Th, millimeters), and trabecular separation (Tb.Sp, millimeters).

MRI data acquisition: All MRI data were acquired on a GE Signa Horizon HDXT 1.5 Tesla (Gen-155 156 eral Electric, Milwaukee, WI, USA) whole body clinical system, using a manufacturer supplied ankle coil. In this study, the MRI protocol included our standard routine T1-weighted Fast Spin Echo (T1), 157 T2-weighted Fast Spin Echo (T2), T2\*-weighted Gradient Echo (T2\*), Fast Imaging Employing 158 Steady State Acquisition (FIESTA) sequences used in clinical practice, along with Ultrashort Echo 159 160 Time Dual Echo (UTE) and Ultrashort Echo Time Dual Echo High-Resolution (UTE-HR) sequences provided by the manufacturer for research purposes. The UTE sequences were acquired in three ver-161 sions, i.e. UTE\_1, UTE\_2, and UTE\_sub, which refer to the 1st and 2nd echoes of the dual echo se-162 quence and their subtraction, respectively (similarly for the HR versions). We thus obtain a total of 10 163 MRI sequences in this study (T1, T2, T2\*, FIESTA, UTE\_1, UTE\_2, UTE\_sub, UTE\_HR\_1, UTE\_ 164 HR\_2, UTE\_HR\_sub). 165

All imaging sequences were acquired in the axial plane and the pulse sequence parameters are provided in Table 1. Furthermore, the images were processed with the calibration process PURE (Phased Array Uniformity Enhancement), which is a correction for non-uniform signal intensity from the receiver coil. Due to time constraints (i.e. keeping the scan time reasonably short), the subjects did not have all sequences performed, but were randomly assigned a subset and the number of cases for each sequence is given in Table 1. The slice thickness was tuned for each sequence in order to give a good diagnostic quality image and without compromising signal to noise ratio, while the UTE high resolu-

- tion images generally have thinner slices. Note that the same protocol used to define the region of interest (9.02 mm) for HRpQCT was also applied to skeletal MRI imaging to ensure that the same region of interest and the same limb was imaged for comparison.
- 176

**TABLE 1:** A summary of the MRI pulse sequence parameters used in the study.

Sequence	No.	TR	TE	α	Res.	FOV	No.	Slice	<b>Scanning</b>	Band-
	<mark>cases</mark>	(ms)	(ms)		<mark>(mm)</mark>	(mm)	slices	Th.	time	width
								(mm)	<mark>(mins)</mark>	<mark>(kHz)</mark>
T1	<mark>26</mark>	400	16.3	90°	<mark>0.35</mark>	180×180	12	3.0	2.20	<mark>20.83</mark>
T2	<mark>46</mark>	4000	98.2	90°	<mark>0.35</mark>	180×180	11	4.0	<mark>4.32</mark>	<mark>41.67</mark>
T2 <sup>*</sup>	<mark>48</mark>	705	13.3	25°	<mark>0.35</mark>	180×180	11	4.0	<mark>5.22</mark>	<mark>13.89</mark>
FIESTA	<mark>27</mark>	5.93	2.67	80°	<mark>0.54</mark>	280×280	9	4.1	<mark>0.65</mark>	<mark>83.33</mark>
UTE	<mark>47</mark>	11.6	0.03/4.37	10°	<mark>0.5</mark>	140×140	20	3.0	<mark>4.18</mark>	<mark>62.5</mark>
UTE-HR	<mark>30</mark>	18.1	0.03/7.17	10°	<mark>0.3</mark>	140×140	10	2.0	<mark>6.21</mark>	<mark>62.5</mark>

177

All the MR images were transferred in DICOM format onto a standard PC workstation and con-178 verted into the Analyze 7.5 (AnalyzeDirect Inc., Overland Park, KS, www.analyzedirect.com) file 179 format using custom software. Regions of interest were then drawn to demarcate cortical bone, tra-180 becular bone and background noise on each sequence acquired in each patient, more specifically on 181 the three slices proximal to the growth plate using 3Dslicer V 4.1.0<sup>27</sup> (Surgical Planning Lab, 182 Brigham and Women's Hospital, Boston, MA, www.slicer.org). These regions of interest were then 183 184 exported in Analyze 7.5 format to provide tissue masks for further analysis, as illustrated schematically in Figure 1. 185

# **B. Textural Feature Descriptors**

187 The aim of this work is to build a predictive model of the form:

$$\mathbf{y}_{\text{Predicted}} = \mathbf{x}_{\text{MRI}} \mathbf{A}, \qquad (1)$$

where **A** is the regression matrix of the model, estimated statistically from the training sample as detailed below. In the proposed method, the output of the predictive model is simply a 3-dimensional vector that contains the three trabecular indices of interest, i.e.,

$$y_1 = \text{Tb.Th},$$
  

$$\mathbf{y}_{\text{Predicted}} = (y_1, y_2, y_3)^T, \text{ where } y_2 = \text{Tb.Sp},$$
  

$$y_3 = \text{Tb.N.}$$
(2)

For the input of the predictive model, we need a vector  $\mathbf{x}_{MRI}$ , which describes the appearance and the contextual information contained within of the cancellous bone in the MR images, as follows:

$$\mathbf{x}_{\text{MRI}} = (x_1, \dots, x_i, \dots, x_m)^T.$$
(3)

More specifically, we calculate m image texture descriptors from the entire cancellous bone area 193 194 such that the computed properties are invariant to differences in bone shape and size, or to the number of slices used to image the bone. In other words, we choose feature descriptors that convey infor-195 mation about the trabecular appearence in the cancellous bone. From an image analysis perspective, 196 trabeculae are patterns that can be characterized by the variability, repeatability, and/or complexity of 197 the underlying image texture. In accordance with these notions, we can classify the features used here 198 in these distinct types of of complementary nature as detailed below. The mathematical derivations of 199 the descriptors are summarized in Table 2 to enable researchers to re-implement them. 200

Statistical variability: Moment-based statistical features are computed directly on image intensity values and will enable to obtain some information about the ratios of marrow and bone. The average intensity (feature 1 in Table 2) is expected to be higher or lower depending on greater relative quantities of marrow and bone. The spread of the intensity values as captured in the standard and absolute deviations (features 2 and 3 in Table 2) may relate to the trabecular regularity more directly as individual voxel values are determined less or more by mixture of bone and marrow response. Other statistical moment-based features we consider in this work are skewness (feature 4) and kurtosis (feature 5), which describe the shape of the distribution of the intensity values in the cancellous bone. Note that for the statistical descriptors, the image intensity ranges were mapped linearly between 1 and 256 to obtain normalized intensities between subjects. While the limited intensity range of the cancellous bone allowed this to be a sufficiently good approach, more sophisticated normalization approaches

should be considered to mitigate the risk of outlier intensities dominating the remapping, and to better

213 match the actually non-linear relationship between intensity values in different acquisitions.

214 Repeatability of the patterns: In this section we estimate Grey Level Co-occurrence Matrices (GLCM's)<sup>28</sup>, which encode information about fixed-size neighborhoods and are parameterized by a 215 displacement vector d. The entry  $G_{u,v}^d$  in a GLCM  $G^d$  reflects the frequency of observing the value u 216 at locations x in the ROI and value v at location x + d, also in the ROI. By using a fixed set of dis-217 placements, we can build several GLCM's and combine them as appropriate for our application. In 218 this paper, we use the four in-plane displacements of 1 pixel (or actually  $\sqrt{2}$  pixels for the two diago-219 nal displacements) that comprise half of the 8-neighbourhood, as we are looking for features smaller 220 than our voxel sizes (trabeculae). Statistics on the summation of these four matrices are then used to 221 convey information about the regularity of patterns occurring (energy, entropy, maximum: features 6, 222 223 7, and 8, respectively), in addition to some information about the types of the patterns themselves (contrast: feature 9; homogeneity: feature 10). Note that the maximum refers to the highest value in 224 the GLCM, or in other words the probability of the most likely co-occurring pair of intensities. This is 225 greatest when the maximum probability reaches its theoretical minimum (i.e. when the distribution is 226 227 uniform). For all the GLCM features, we estimated the 5th and 95th intensity percentiles for each 228 ROI, and the corresponding intensity range was mapped between 1 and 16 to ensure sufficient matrix density. 229

230 **Complexity of the patterns:** In addition to measures like the GLCM entropy, we use run-length analy-231 sis to establish a measure of complexity of the patterns. While the GLCM analysis is confined to fixed 232 neighborhood sizes, this analysis provides a complement in that it does not have such a limitation; 233 instead this encodes information for maximal areas (linear only) of equal or similar intensity in a run-234 length matrix (RLM) R, where the entries  $R_{\mu,\nu}$  indicate the relative frequencies of observing intensity

235	u a total of $v$ consecutive times, under condition that such a sequence is immediately preceded and
236	followed by either another intensity or the ROI boundary. From these summaries we obtain infor-
237	mation about fragmentation (short primitive emphasis, long primitive emphasis: features 11 and 12 in
238	Table 2), regularity (primitive length uniformity: feature 13) or lack of such variation (grey level uni-
239	formity: feature 14). As with the GCLM, we compute this only in-plane, along image scan lines, and
240	sum the RLM's obtained in the two directions. In this section, the image intensity ranges were
241	mapped linearly between 1 and 32 to ensure sufficient matrix density. Note that stronger quantization
242	would lead to greater numbers of long runs and likely a greater spread in run lengths, leading once
243	more to sparse RLM's. Therefore, we used a quantization level different from that used to compute
244	the GLCM's.
245	The final measures of complexity used are based on the Fractal Dimension $(FD)$ of the image. The
246	FD as proposed in <sup>29</sup> measures, informally speaking, a ratio of the change in detail to the change in
247	scale, by a log linear fit to the intensity standard deviations obtained at different rates of subsampling.
248	While the run length features could work in only one dimension at a time, the $FD$ works in two di-
249	mensions. Using a differential box-counting approach $^{30}$ , the FD at each pixel in a slice is computed,
250	resulting in the $FD$ image $F$ , and these are aggregated in the mean, standard deviation and lacunarity –
251	the latter a measure of how densely the fractal fills the space it inhabits (features 15, 16, and 17 in
252	Table 2). For more details on the method of computing the local $FD$ , we refer to the appendix of <sup>31</sup> .
253	
254	TABLE 2: A summary of the image feature descriptors and their mathematical definitions, using

255 image I, Region of Interest  $\Omega$  (as a set of pixels/voxels), Grey Level Co-occurrence Matrix G, Run-

256 length Matrix **R**, Fractal Dimension map **F**, and subscripts for indexing."

Num.	Feature descriptor	Туре	Equation
1	Mean	Statistical	$M(\Omega, I) = \frac{1}{\ \Omega\ } \sum_{i \in \Omega} I_i$

			$\mu_I = M(\Omega, I)$
2	Standard deviation	Statistical	$SD(\Omega, I) = \sqrt{\frac{\sum_{i \in \Omega} (I_i - \mu_I)^2}{\ \Omega\ }}$
3	Absolute deviation	Statistical	$AD(\Omega, I) = \frac{\sum_{i \in \Omega}  I_i - \mu_I }{\ \Omega\ }$
4	Skewness	Statistical	$Sk(\Omega, I) = \frac{\frac{1}{\ \Omega\ } \sum_{i \in \Omega} (I_i - \mu_I)^3}{\left(\frac{1}{\ \Omega\  - 1} \sum_{i \in \Omega} (I_i - \mu_I)^2\right)^{\frac{3}{2}}}$
5	Kurtosis	Statistical	$Kur(\Omega, I) = \frac{\frac{1}{\ \Omega\ } \sum_{i \in \Omega} (I_i - \mu_I)^4}{\left(\frac{1}{\ \Omega\ } \sum_{i \in \Omega} (I_i - \mu_I)^2\right)^2}$
6	Energy	Pattern/GLCM	$Ene(\boldsymbol{G}) = \sum_{i,j} G_{i,j}^2$
7	Entropy	Pattern/GLCM	$Ent(\boldsymbol{G}) = -\sum_{i,j} G_{i,j} \log G_{i,j}$
8	Maximum	Pattern/GLCM	$Max(\boldsymbol{G}) = \max_{i,j} G_{i,j}$
9	Contrast	Pattern/GLCM	$Contr(\boldsymbol{G}) = \sum_{i,j}  i-j  G_{i,j}$
10	Homogeneity	Pattern/GLCM	$Hom(\boldsymbol{G}) = \sum_{i,j} \frac{G_{i,j}}{1+ i-j }$
			$R_{tot} = R_{tot}(\mathbf{R}) = \sum_{a=1}^{p} \sum_{r=1}^{r_{max}} R_{a,r}$
11	Short primitive emphasis	Run-length	$SPE(\mathbf{R}) = \frac{1}{R_{tot}} \sum_{a=1}^{p} \sum_{r=1}^{r_{max}} \frac{R_{a,r}}{r^2}$

12	Long primitive emphasis	Run-length	$LPE(\mathbf{R}) = \frac{1}{R_{tot}} \sum_{a=1}^{p} \sum_{r=1}^{r_{max}} R_{a,r} \cdot r^2$
13	Primitive length uniformity	Run-length	$PLU(\mathbf{R}) = \frac{1}{R_{tot}} \sum_{r=1}^{r_{max}} \left( \sum_{a=1}^{p} R_{a,r} \right)^2$
14	Grey level uniformity	Run-length	$GLU(\mathbf{R}) = \frac{1}{R_{tot}} \sum_{a=1}^{p} \left( \sum_{r=1}^{r_{max}} R_{a,r} \right)^2$
15	Fractal dimension mean	Fractal dimension	$FDM(\Omega, F) = \frac{1}{\ \Omega\ } \sum_{i \in \Omega} F_i$
			$\mu_F = FDM(\Omega, F)$
16	Fractal dimension standard deviation	Fractal dimension	$FDSD(\Omega, F) = \sqrt{\frac{\sum_{i \in \Omega} (F_i - \mu_F)^2}{\ \Omega\ }}$
17	Fractal dimension	Fractal dimension	$FDL(\Omega, F) = \frac{\frac{1}{\ \Omega\ } \sum_{i \in \Omega} F_i^2}{\left(\frac{1}{\ \Omega\ } \sum_{i \in \Omega} F_i\right)^2} - 1$

257

# 258 C. Nonlinear Regression Model

In this section we describe the technique used to build an optimal regression model that estimates the missing trabecular parameters  $\mathbf{y}_{\text{Predicted}}$  based on the values of the feature descriptors in the  $\mathbf{x}_{\text{MRI}}$  vector (see Eq. (1)). More specifically, we need to define statistically the regression matrix **A** such that the predictions are optimal. Furthermore, we need to take into account the likely presence of non-linear inter-dependencies in the data.

To achieve these goals, we implement a nonlinear regression model based on partial least squares regression (PLSR)  $^{32}$ , which has several suitable properties for the present work, in particular its ability to build optimal models from relatively small training samples, and its robustness to noise  $^{32}$ .

Let us denote as  $\mathbf{X} = (\mathbf{x}^{(1)}, ..., \mathbf{x}^{(N)})$  the matrix of all the input data (we remove the index MRI from 267 each  $\mathbf{x}_{MRI}$  for simplicity) as obtained from the N samples, and  $\mathbf{Y} = (\mathbf{y}^{(1)}, ..., \mathbf{y}^{(N)})$  the matrix of all 268 the corresponding output trabecular parameters. The aim of PLSR is to perform a simultaneous de-269 composition of **X** and **Y** such that the score vectors obtained along the new representation axes of 270 271 both the input and output matrices correlate best, thus leading to optimal predictions. One solution to the problem can be obtained through the NIPALS algorithm <sup>33</sup>. More specifically, we wish to extract a 272 set of t latent variables  $\mathbf{C} = (\mathbf{c}_1, \dots, \mathbf{c}_t)$  from the input training data **X** that correlate most with the 273 output training trabecular vectors Y. We perform a simultaneous decomposition of the input and 274 output training data using the form: 275

$$\mathbf{X} = \mathbf{C}\mathbf{P}^{T}$$
$$\mathbf{Y} = \mathbf{D}\mathbf{Q}^{T}$$
(4)

such that  $cov[\mathbf{C}^T\mathbf{X}, \mathbf{D}^T\mathbf{Y}]$  is maximized.

Note that  $\mathbf{D} = (\mathbf{d}_1, ..., \mathbf{d}_t)$  are the latent trabecular variables after the decomposition (same thing for C with respect to X), while P and Q are the vector projections for the input X and output Y matrices, respectively.

The inherent nature of the extracted descriptors are likely to introduce a nonlinear interdependency between the input and output matrices **X** and **Y**. As a result, we use in this paper a kernel-based nonlinear implementation of PLSR as described in <sup>34</sup>. The fundamental idea is to first perform a kernel transformation  $\Phi$  of the input data, for example using a Gaussian kernel function. The kernel Gram matrix  $\mathbf{K} = \Phi \Phi^T$  of the cross product between all input data points is obtained, which will act as the new input matrix to find the optimal predictors **C**. Each element  $K_{kl}$  of the kernel matrix **K** (of size *N* by *N*) is calculated as:

$$K_{kl} = K(x^{(k)}, x^{(l)}) = \exp(-\left\|x^{(k)} - x^{(l)}\right\|^2 / d),$$
(5)

where k and l are indices related to the N samples in the database. d is the width of the Gaussian kernel and its value is obtained automatically through leave-one-out tests (i.e., by trying different values and selecting the one that optimizes the trabecular predictions).

The decomposition of the matrices **X** and **Y** is then achieved using the iterative algorithm in Table 3, which allows to obtain the matrices **C** and **D**. These are then used to obtain the final nonlinear regression model:

$$\mathbf{y}_{\text{Predicted}} = \mathbf{K}(\mathbf{x}_{\text{MRI}})\mathbf{A}\,,\tag{6}$$

where **A** is the optimal regression matrix calculated from the PLSR decomposition as:

$$\mathbf{A} = \mathbf{K} \mathbf{D} (\mathbf{C}^T \mathbf{K} \mathbf{D})^{-1} \mathbf{C}^T \mathbf{Y}.$$
 (7)

293 Choosing a certain number of latent variables t in Table 3 enables to remove the information in the 294 input data that is less relevant to the predictions, and thus contributes to minimizing model over-295 fitting. This number varies depending on the trabecular parameters (it is specific to each  $\mathbf{y}_i$ 296 prediction) and it is defined the one that reduces prediction errors in leave-one-out tests.

Additionally, to further increase robustness to the size of the training sample, the final step of the proposed technique is to apply a feature selection procedure <sup>35</sup> for each trabecular parameter and MRI sequence, to select the best textural descriptors (i.e., those with the highest predictive power) to include in the vector  $\mathbf{x}_{MRI}$  and in the predictive model amongst the 17 variables described in Table 2. More specifically, we start with the textural descriptor that gives the lowest prediction errors, and then

302 we iteratively add descriptors until the predictions stop improving. Generally, we found that between

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- 305
- 306
- 307

Initialization:  $\mathbf{K}_{1} = \mathbf{K}$ For each latent variable k = 1...t(1) Initialize  $\mathbf{d}_{k}$  with one of the columns of  $\mathbf{Y}$ . (2) Calculate the input latent variable  $\mathbf{c}_{k} = \mathbf{K}_{k}\mathbf{K}_{k}^{T}\mathbf{d}_{k}$ , with  $\|\mathbf{c}_{k}\| = 1$ . (3) Update output scores  $\mathbf{q}_{k} = \mathbf{Y}^{T}\mathbf{c}_{k}$ ; Calculate output latent vector  $\mathbf{d}_{k} = \mathbf{Y}\mathbf{q}_{k}$ , with  $\|\mathbf{d}_{k}\| = 1$ . (4) Repeat (2)-(3) until no change is noticed in  $\mathbf{c}_{k}$ (i.e.  $\|\mathbf{c}_{k} - \mathbf{c}_{k-1}\|$  is very small). (5) Remove the contribution of  $\mathbf{c}_{k}$  in  $\mathbf{K}_{k}$  for next iteration:  $\mathbf{K}_{k+1} = (\mathbf{I} - \mathbf{c}_{k}\mathbf{c}_{k}^{T}\mathbf{X}_{k})\mathbf{X}_{k}(\mathbf{I} - \mathbf{c}_{k}\mathbf{c}_{k}^{T}\mathbf{X}_{k})$ . End for



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FIG. 2. Examples of different subjects and different MRI sequences used in the experiments. (a) T1,
(b) T2, (c) T2\*, (d) FIESTA, (e) UTE\_1, (f) UTE\_2.

# 312 III. RESULTS

313 In this section, we evaluate the ability of the proposed statistical approach to estimate trabecular indices (Tb.Th, Tb.Sp, and Tb.N) by using the selected MRI sequences considered in this study (see ex-314 amples in Figure 2) as the input of the prediction models. To this end, we run leave-one-out experi-315 ments such that the subject used for assessing the trabecular predictions is removed from the construc-316 tion of the feature-based regression models. For each test, we calculate the correlation coefficient 317 (CC) as a measure of the extent of agreement between the values of the trabecular parameters  $y_{\text{Predicted}}$ 318 as predicted from the low-resolution multi-sequence MR images by using the proposed statistical 319 technique and the ground truth values of the parameters  $y_{\rm HR-qOCT}$  as estimated from the high-resolution 320 321 HR-pQCT images. Prediction by using individual MRI sequences: In the first experiment, we evaluate the prediction 322

power of all MRI sequences separately for the prediction of all trabecular parameters. The obtained
 results are summarized in Table 4, where the sequences are listed in the descending order of the ob-

- 325 tained correlation coefficients. It can be seen that the MRI sequences have different levels of perfor-
- 326 mance. In general, the high-resolution UTE sequences UTE\_HR\_1 and UTE\_HR\_2 are those that
- 327 provide the best results (average CC = 0.63 and 0.61, respectively), followed by the two conventional
- 328 sequences FIESTA and T1 (average CC = 0.58 and 0.58, respectively). The calculation of p-values
- 329 shows that the differences between these sequences are not statistically significant (p > 0.01).
- 330 In general, the MRI sequences have an inconsistent performance as shown by the differences between
- the maximal and minimal CC values across the trabecular indices (see last column of Table 4). For
- example, with UTE\_HR\_2, there is a positive average CC of 0.70 in the prediction of Tb.N but this is
- reduced to 0.53 for Tb.Sp. To obtain optimal predictions for all the parameters, one can use for exam-
- 334 ple three MRI sequences consisting of UTE\_HR\_1, UTE\_HR\_2, and FIESTA.
- TABLE 4: Summary of the obtained correlation coefficients for the prediction of the parameters
   Tb.Th, Tb.Sp, and Tb.N by using the different MRI sequences.

Sequence	Mean	Tb.Th	Tb.Sp	Tb.N	<mark>Max – Min</mark>
UTE_HR_1	0.63	<mark>0.55</mark>	<mark>0.71</mark>	<mark>0.61</mark>	<mark>0.16</mark>
UTE_HR_2	0.61	<mark>0.61</mark>	<mark>0.53</mark>	<mark>0.70</mark>	<mark>0.16</mark>
FIESTA	0.58	<mark>0.64</mark>	<mark>0.62</mark>	<mark>0.49</mark>	0.15
T1	0.58	<mark>0.60</mark>	<mark>0.68</mark>	<mark>0.47</mark>	0.21
UTE_HR_sub	0.57	<mark>0.41</mark>	<mark>0.63</mark>	<mark>0.67</mark>	0.25
UTE_2	0.53	<mark>0.50</mark>	<mark>0.61</mark>	<mark>0.49</mark>	<mark>0.11</mark>
T2*	0.47	<mark>0.54</mark>	<mark>0.48</mark>	<mark>0.41</mark>	<mark>0.12</mark>
T2	0.47	<mark>0.47</mark>	<mark>0.56</mark>	<mark>0.38</mark>	<mark>0.18</mark>
UTE1	0.46	<mark>0.50</mark>	0.44	<mark>0.45</mark>	<mark>0.06</mark>
UTE_sub	0.43	<mark>0.26</mark>	<mark>0.58</mark>	<mark>0.44</mark>	<mark>0.31</mark>

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339	Prediction by combining multiple MRI sequences: In the last experiment, we investigate whether the
340	combination of multiple MRI sequences within a single predictive model can improve the predictions
341	for a given trabecular parameter. Thus we combine textural descriptors from different MRI sequences
342	into the input vector of the nonlinear regression model by selecting those texture features that maxim-
343	ize prediction power following the method described in Section II-C. The results of this experiment
344	are summarized in Table 5 for the three trabecular indices. It can be seen that that all the trabecular
345	parameters are slightly improved with this approach. For example, by combining T1 and FIESTA, the
346	Tb.Th is now estimated with a CC that reaches 0.68. Similarly, the estimation of Tb.Sp is achieved
347	this time with a CC = $0.75$ by using UTE_HR_1 and UTE_HR_2, from a previous CC of 0.71 by
348	using UTE_HR_1 only. For Tb.N, however, the CC value decreases from 0.70 to 0.75 by using two
349	MRI sequences (T1 and UTE_SUB). Generally, we found the improvement in performance by com-
350	bining multiple MRI sequences to be limited. This can be explained by the fact that combining multi-
351	ple sequences increases the dimensionality of the statistical model, which would therefore call for
352	additional datasets. Yet, in our case, the number of cases does not increase and even decreases for a
353	lot of the combinations. For example, T2 has 46 cases and UTE 47 cases, but these two sequences
354	have only 23 subjects in common in our sample. As a result, the combined models in the leave-one-
355	experiments become over-constrained and do not generalize well to new cases. Note that some com-
356	binations could not be tested because the MRI sequences did not have common subjects.

357

# **TABLE 5:** Prediction performance for each individual trabecular parameter

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# by combining multiple MRI sequences.

	Tb.Th	Tb.Sp	Tb.N
Correlation coefficients	0.68	0.75	0.73
Optimal combination of MRI sequences	T1 FIESTA	UTE_HR_1 UTE_HR_2	UTE_HR_1 UTE_HR_2
No. cases	<mark>26</mark>	<mark>30</mark>	<mark>30</mark>

#### 359 IV. DISCUSSION

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## 360 A. Current Performance

- We presented in this paper a statistical approach to estimate trabecular parameters in children from low-resolution MRI, without the need for acquiring high-resolution images of the bones that induce

significant radiation to a fragile population that is still under development and growth. The method

- 364 relates statistically the appearance of trabecular bones in low-resolution MR images with the trabecu-
- 365 lar parameters estimated from high-resolution images. The results show positive correlations between
- 366 the parameters predicted from the MRI sequences and those measured from HRp-QCT. In particular,
- 367 we found that the use of a single MRI sequence to drive the estimation of all the trabecular parameters
- 368 is not sufficient to obtain the most consistent results between all trabecular parameters. In comparison
- 369 correlation coefficients improved when individual sequences were used to predict single microstruc-
- tural parameters, and were further optimized when dual combinations of sequences were used.
- 371 We found the high resolution UTE sequences UTE\_HR\_1 and UTE\_HR\_2 to have potential for the
- 372 prediction of trabecular parameters, with CC > 0.70 obtained for Tb.Sp and Tb.Th using these se-
- 373 quences. More research should be thus conducted to investigate these ultrashort TE pulse sequences
- 374 for the quantification of trabecular bone.

375 The proposed technique has two limitations that are worth mentioning. Firstly, due to its reliance on low-resolution MRI, it is unlikely to provide the same performance for the analysis of the cortical 376 bone, which has currently a less well defined appearance in the MR images. Other research techniques 377 have been used to assess cortical bone but are not easily translatable into the clinical setting, or re-378 quire sequences that are not currently available on clinical scanners<sup>20</sup>. Secondly, the application of 379 the technique to other populations such as for osteoporotic adults may not lead to the same perfor-380 mance, as such patients vary significantly in the age range (from young to old adults) as well as in the 381 382 quality of the cancellous bone. Consequently, adaptation may be required such as by building multi-383 class predictive models (depending on the disease class or age range). However, this study was specifically designed to assess the feasibility of 1.5T MRI scanning for skeletal imaging in children, with a 384

view to significantly reduce their repetitive and harmful radiation exposure in longitudinal studies ofgrowth and development.

## 387 **B. Future Work**

388 In terms of clinical translation, the current results are very promising given the small size used to

build the models. However, one should aim for CC > 0.9 in order to obtain quantifications that can be

390 used in clinical practice. In this paper, we have demonstrated a first proof-of-concept of the potential

391 of low-resolution MRI to predict trabecular parameters, but there are several avenues that we are

392 planning to explore in order to enhance the accuracy of the technique and its clinical value.

393 **Training sample:** In this work, we have used models built with samples in the range of about 20 to

394 40 cases, which are unlikely to generalize well to more variable populations. While this has shown

395 promise, we plan to extend this work by collecting larger datasets (several hundred cases) from multi-

396 ple UK hospitals and with larger variability in the properties of the participants. This will lead to

397 models that are more robust and that have much higher coverage of bone variability.

398 Prediction methodology: We are also planning to improve the prediction framework in two main
 399 directions. Firstly, in this preliminary study, we used a limited number of standard texture descriptors

400 (see Table 2) because the feature selection in the leave-one-out experiments is time consuming. How-

401 ever, we are planning in the future to implement a much more comprehensive list of texture de-

402 scriptors, including the most advanced and recent image representations developed by researchers in

403 the machine learning and image processing communities. Furthermore, we will investigate more ad-

404 vanced statistical prediction methods that can benefit from larger training samples, such as by em-

405 ploying decision trees  $^{36, 37}$ .

In summary, the proposed technique shows promise in the estimation of trabecular parameters in children from low-resolution MRI by learning statistically the relationships between the statistical and contextual information extracted from the cancellous bone in MRI and the parameters estimated in HR-QCT. More generally, this statistical approach can promote the use of alternative modalities for in vivo microstructural bone assessment in children and in various sites of the musculoskeletal system, without the current limitations of high-resolution imaging modalities.

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