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1	A novel form of spontaneous tool use displayed by several captive Greater
2	vasa parrots (Coracopsis vasa)
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10	Abstract
11	Parrots are frequently cited for their sophisticated problem-solving abilities but cases of
12	habitual tool use among psittacines are scarce. We report the first evidence of tool use by
13	Greater vasa parrots (Coracopsis vasa). Several members of a captive population
14	spontaneously adopted a novel tool-using technique by using pebbles and date pits to either a)
15	scrape on the inner surface of seashells, subsequently licking the resulting calcium powder
16	from the tool, or b) as a wedge to break off smaller pieces of the shell for ingestion. Tool use
17	occurred most frequently just prior to the breeding season, during which time numerous
18	instances of tool transfer were also documented. These observations provide new insights
19	into the tool-using capabilities of parrots and highlight the Greater vasa parrot as a species of
20	interest for studies of physical cognition.
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#### 25 INTRODUCTION

Despite occurring in a range of taxa, the use of tools by nonhuman animals remains an 26 exceedingly rare phenomenon [1, 2]. Descriptions of tool-using behaviour in new species add 27 28 intriguing new pieces to this puzzle and help to broaden our understanding of the neuroanatomical, social and ecological predictors of tool use across the animal kingdom. 29 While frequently cited for their sophisticated problem solving abilities, cases of habitual tool 30 use (the recurring use of tools by several members of a population) among psittacines are 31 surprisingly scarce. Among over 300 parrot species, only hyacinth macaws (Anodoryhnchus 32 *hyacinthinus*) and black palm cockatoos (*Probosciger aterrimus*) have been reported using 33 tools habitually, with the former using leaves and small sticks as wedges to open nuts [3], and 34 35 the latter using rocks and empty nutshells to drum on trees during social displays [4]. More 36 recently Goffin cockatoos (Cacatua goffini) and kea (Nestor notabilis) have shown competency for using and/or making tools in a laboratory setting, although it is unknown 37 whether this behaviour persists outside of an experimental context [5, 6]. 38 39 Vasa parrots are endemic to Madagascar and possess a range of characteristics that make them unique among parrots, including a polygynandrous breeding system [7] and high 40 degrees of social tolerance among group members. Vasas also frequently explore and 41 manipulate objects in captivity, even creating complex relationships among them such as 42 threading a twig sequentially into the open links of a chain (movie S1). Combining objects 43 during play may serve as a phylogenetic or developmental precursor to advanced problem-44 solving and flexible tool use as it provides greater opportunities for the generation of novel 45 behaviours and learning of object affordances. This is supported by recent comparative 46 studies that have found that habitually tool-using species tend to spend more time 47 manipulating and combining objects than their closely related, but non-tool-using 48 counterparts [8, 9]. 49

50 We report the first evidence of spontaneous tool use in a group of captive Greater

vasa parrots (*Coracopsis vasa*). We present data on the frequency, duration and nature of tool

52 use in addition to the frequency and nature of tool transfers between conspecifics.

53 METHODS

Observations took place at the Lincolnshire Wildlife Park, UK. Subjects were ten adult vasa parrots (M:6, F:4) ranging in age from 1 to >14 years. Birds were housed together in an aviary consisting of an outdoor (9x5x5m) and heated indoor section (2.4x4.9x2.4m), where feeds (30% seed, 70% fruit) were provided twice daily. The floor of the outdoor enclosure consisted of soil, cockle shells (a known source of calcium for birds and reptiles [10]), wood chippings and pebbles.

Tool-using behaviour was primarily recorded during ongoing focal observations that 60 occurred throughout the day between occurred between 08:00 and 19:00 from March to 61 October 2013. Tool-using behaviour was not identified until the 18<sup>th</sup> focal observation 62 session. During subsequent observations, all interactions with the shells by any bird, focal or 63 64 non-focal (in which case the focal observation was paused and the tool-using bird was filmed for the duration of the tool-using behaviour) were recorded on an all-occurrence basis [11]. 65 The first 17 focal observations were retroactively coded for any tool use that could be 66 observed in the background of the video, and an additional 16 tool use bouts were video 67 recorded ad libitum outside of focal observations. 68

69 Interactions with the shells were placed in the following categories:

*Pebble-seashell*: Bird places pebble inside of seashell and either a) uses tongue to
grind pebble against seashell (see movie S2) or b) uses as a wedge to break apart
seashell.

73	Date pit-seashell: Bird places date pit inside of seashell and either a) uses tongue to
74	grind date pit against seashell or b) uses as a wedge to break apart seashell (see movie
75	S2).
76	Seashell-unknown: Bird either a) has an object in mouth while holding seashell that
77	cannot be identified or b) it is unknown whether bird has object in mouth while
78	holding seashell.
79	Seashell-no tool: The bird has picked up a seashell with the beak and it is clear that
80	there is no tool involved.
81	Instances of tool use were recorded as one discrete event until the bird dropped both items
82	from the beak for more than five seconds or switched to a new behaviour.
83	Any tool transfers between birds were recorded, including the identity of the donor
84	and recipient, the object transferred and the type of transfer (i.e. protested theft, tolerated theft
85	or active offer; see supplementary material for detailed descriptions and movie S2 for
86	examples).
87	All video recordings were coded in the Observer XT. As tool-using behaviour was not
88	identified until the 18 <sup>th</sup> observation session, all observations prior to this were retroactively
89	coded for any tool use that could be observed in the background of the video. The objects
90	used as tools are relatively small and difficult to identify without close-up filming;
91	consequently, of the 50 individual bouts extracted from these first 17 observations, 40 were
92	coded as "seashell-unknown" (seashell-pebble: n=5, seashell-no tool: n=5).
93	RESULTS
94	Tool-using behaviour was coded from a total of 107 hours of video observation data
95	which included focal observations (105 hours) and ad libitum recordings (2 hours). Interest in
96	the shells was greatest from March to mid-April 2013 (88% of tool-using bouts; mid-April to
97	October: 12%). From April 2 <sup>nd</sup> to April 11 <sup>th</sup> , when data on shell interactions were coded on

98 an all-occurrence basis, there were, on average 2.6 tool-using bouts in the group per hour

99 (excluding cases of seashell-unknown).

100

Table 1. All occurrences of recorded seashell interaction (tool use, no tool use or unknown).
Table shows individual and group frequency for each of the behaviours, as well as mean bout
duration (MD) of the observed behaviour at an individual and group level. Italicised subjects
are those with no confirmed instances of tool use (only 'seashell-unknown' or 'seashell-no
tool).

		Date pit – seashell		Pebble – seashell		Seashell – unknown		Seashell – no tool	
Subject	Sex	Freq	MD (s)	Freq	MD (s)	Freq	MD (s)	Freq	MD (s)
TI	М	3	253	5	34	3	177		
JD	М	17	55	16	139	16	56	6	13
WD	М	2	18			15	23		
CI	М	1	34	3	72	5	95	5	50
PL	F	10	40	4	100	6	11	1	6
CL	F					4	20		
UF	М							2	14
GO	F					4	38		
BW	F							1	43
TH	М					5	48	1	8
Total (N)		33	400	28	345	58	468	16	134
Group Mean		6.60	80.00	7.00	86.25	7.25	58.50	2.67	22.33
Group SD		6.80	97.61	6.06	44.57	5.18	54.62	2.25	19.20

106

107 All ten birds interacted with the shells, and five of these birds were documented using tools, 108 although this number is conservative as three additional birds in the 'seashell-unknown' 109 category may have been using tools (Table 1). All five tool-using birds used date pits on the 110 shells, and four of these five individuals also used pebbles. The majority of confirmed tool-111 using birds (4/5) were male. Table 1 depicts clear individual variation in frequency of tool 112 use with one bird, JD, emerging as the most prolific tool user in terms of both duration and 113 frequency of occurrence.

### Tool use in vasa parrots

A total of 16 successful tool transfers took place (see movie S2) and were exclusively from two tool-using males to the single tool-using female, PL. In 12 of these cases JD was the donor (date pit: 8, pebble: 4; tolerated theft: 11, theft: 1), whereas WD was the donor in the remaining 4 cases (date pit: 4, tolerated theft: 3, active offer: 1).

118 **DISCUSSION** 

The greater vasa parrot joins the small minority of extant species documented as tool users. While other species are known to ingest seashells as calcium supplements [10, 12], this birds' method for doing so appears to be entirely unique. Although archaeological records document grinding tool use by humans up to 30,000 years ago [13], to our knowledge this is the first report of a nonhuman using a tool for grinding [2, 14].

The tool use observed appears to be flexible in several ways: firstly, individuals used more than one tool type on the shells; secondly tools were used in different manners, to either grind or as a wedge to break off small pieces of shell and lastly individuals were selective in when they engaged in tool use with this permanent feature of their environment in terms of season.

In our 6-month observation period, tool use was observed most frequently just prior to 129 the breeding season from March to mid-April, after which point interaction with the shells -130 tool using or otherwise - became a rare occurrence. The concentration of tool-using events 131 and overall interest in the shells just prior to breeding may be associated with the calcium 132 requirements of egg production. Like eggshells, seashells are made almost entirely of calcium 133 carbonate. Calcium supplementation prior to breeding season is critical for many passerine 134 species, which are unable to store calcium in the skeleton and instead must increase their 135 136 intake of calcium-rich foods such as snail shells or seashells prior to egg laying [10]. If shell interactions have this function in vasa parrots, it is unclear why males appeared to show the 137 greatest overall interest in the shells. During courtship, copulation and incubation, males feed 138

### Tool use in vasa parrots

females extensively through regurgitative feeding [7], and thus it may be possible that the benefits of calcium ingestion are conferred to females indirectly, or females may actively prefer calcium rich regurgitation. Further longitudinal research is needed to first determine whether tool use and shell ingestion regularly occur primarily before each breeding season and if so, whether calcium intake is in any way related to copulation or breeding success for both sexes.

Our observations of tool transfer are particularly intriguing as it is rarely observed in 145 other species and outside of mother-offspring dyads. Transfers occurred exclusively from 146 males to females. This pattern is similar to that reported for chimpanzees, where females 147 primarily obtained tools from males by means of tolerated theft, and in some cases females 148 were in oestrus and transfer took place a short time before or after copulation [15]. The two 149 150 males who transferred tools in the present study were the primary copulatory partners of the female recipient, however further data is needed to determine the various social factors that 151 may influence tool transfer in this species. 152

Given the novelty of this behaviour both in this species and in general, there are a 153 number of questions that remain unanswered. For instance, it is unknown whether vasa 154 parrots interact with seashells or use tools in the wild, or whether this behaviour has arisen 155 solely in this group, possibly as an artefact of captivity (e.g. lowered predation pressure and 156 increased free time and energy). Additionally, as not all birds used tools on the seashells, the 157 precise function of the tools requires further investigation. One possibility is that the use of a 158 tool may mitigate discomfort from scraping the beak against the rough surface of the shell or 159 prevent rapid wear of the beak. Alternatively it may increase foraging efficiency; for 160 example, research within the poultry industry suggests that the particle size of calcium 161 ingested from other molluscan shells affects absorption and retention of calcium both in vitro 162 and in adult chickens, with small or ground particles being retained more efficiently than 163

164 coarse particles [16]. Dietary analyses are needed to determine the relative calcium intake of165 tool-using versus non tool-using birds.

It is also unclear whether tool use in this population of birds reflects an innate predisposition, individual trial and error learning or some form of social learning. Whilst all five birds may have independently learned to use the tools on the seashells, the cases of tool transfer between individuals suggest that there is a social component to this behaviour, and therefore tool use may have been learned socially. The high social tolerance of these birds would certainly support social transmission of behaviour by allowing individuals greater opportunity to observe tool-using behaviour.

Recent studies of technical problem solving in kea and Goffin cockatoos show parrots 173 to be an exciting new avenue for physical cognitive research [17], but additional species are 174 needed in order to make broader comparisons. Our preliminary observations demonstrate a 175 novel form of tool use in multiple members of a species previously unknown to use tools, and 176 raise intriguing questions regarding the function of this behaviour, particularly in its relation 177 to reproductive behaviour. Given their unique tool-using behaviour and complex object play, 178 the Greater vasa parrot represents a promising new species of interest for studies of physical 179 cognition in nonhuman animals. 180

181

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# 185 FOOTNOTES

#### 186 Ethics statement

- 187 Ethical approval for this study was granted by the Department of Biology Ethics Committee,
- 188 University of York.

### 189 Data accessibility statement

190 All supporting data are included in the main text.

## 191 Author contributions

- 192 M.L.L. collected the data and coded the videos, M.L.L., A.M.S. and K.E.S. interpreted the
- data, provided intellectual input and wrote the paper. All authors gave final approval for
- 194 publication and acknowledge joint accountability for its content.

## 195 *Competing interests*

196 The authors have no competing interests.

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