UNIVERSITY of York

This is a repository copy of *Enhancing solar photovoltaic modules quality assurance through convolutional neural network-aided automated defect detection*.

White Rose Research Online URL for this paper:
https://eprints.whiterose.ac.uk/204188/

Version: Accepted Version

Article:
Hassan, Sharmarke and Dhimish, Mahmoud (2023) Enhancing solar photovoltaic modules quality assurance through convolutional neural network-aided automated defect detection. Renewable Energy. 119389. ISSN 0960-1481

https://doi.org/10.1016/j.renene.2023.119389

Reuse
This article is distributed under the terms of the Creative Commons Attribution (CC BY) licence. This licence allows you to distribute, remix, tweak, and build upon the work, even commercially, as long as you credit the authors for the original work. More information and the full terms of the licence here: https://creativecommons.org/licenses/

Takedown
If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.

White Rose university consortium
Universities of Leeds, Sheffield & York

eprints@whiterose.ac.uk
https://eprints.whiterose.ac.uk/

Enhancing Solar Photovoltaic Modules Quality Assurance through Convolutional Neural Network-Aided Automated Defect Detection

Sharmarke Hassan*, Mahmoud Dhimish

Laboratory of Photovoltaics, School of Physics, Engineering and Technology, University of York, York YO10 5DD, United Kingdom

*Corresponding Author: smh600@york.ac.uk

Abstract

Detecting cracks in solar photovoltaic (PV) modules plays an important role in ensuring their performance and reliability. The development of convolutional neural networks (CNNs) has introduced a game-changing dimension in the detection of defects in PV modules. This paper proposes an automated defect detection method for PV, by leveraging custom-designed CNN to accurately analyse electroluminescence (EL) images, identifying defects such as cracks, mini-cracks, potential induced degradation (PID), and shaded areas. The proposed system achieves a high level of validation accuracy of 98.07%, reducing manual inspection demands, enhancing quality standards, and saving costs. The system was validated in a case study for PV installations faulty with PID, where it identified all defective modules with a high degree of precision of 96.6%, surpassing existing methods. This methodology holds promise for revolutionizing PV industry quality control, improving module reliability, and supporting sustainable solar energy growth.

Keywords: Convolutional Neural Network; Artificial Energy; Photovoltaics; Automated Defect Detection; Electroluminescence Imaging.

1. Introduction

Convolutional neural network (CNN) stands as the most prominent deep learning technique in the field of machine learning. With the advent of this computer vision-based technology, humans can now perform tasks that were previously inconceivable, such as face recognition, automatic disease diagnosis, or autonomous vehicle operation [1]. By enabling machines to interpret images and videos, CNN has significantly transformed the way individuals interact with the world, opening new possibilities for research and various applications.

As a result of learning and performing task effortlessly and intelligently, CNN can execute tasks on par with human beings. It has thus been able to deliver the promised results such as recognition of faces or objects [2], detection of objects or fraud [3], or prediction of weather [4], Additionally, CNN suggests friends on social media by suggesting individuals who they may already know [5].

Moreover, CNN's ability to respond to new situations quickly and effectively is a testament to its advanced artificial intelligence (AI) capabilities. The architectures of CNN are primarily developed by experts with extensive domain knowledge, which makes it challenging for users without domain expertise to utilize them; therefore, there has been a growing interest in automating the architectures to enhance both efficiency and accessibility [6,7].

CNN architectures are divided into two main categories: automatic + manual tuning architectures and automated architectures [8-11]. As a result of the first category offering the extra feature of manually adjusting, it is superior to existing architectures incapable of manual tuning, even though individuals without domain knowledge of CNN prefer architectures that are designed to not require manual tuning since no adjustments are necessary.

Since PV modules are produced daily, it is becoming increasingly challenging to perform manual inspections to detect defects, and so the need for automated inspections has increased. Therefore, researchers have focused on developing automated inspection methods such as image processing and signal processing [12-15]. As a result, automated inspection methods have been widely studied in recent years, and many successful implementations have been reached. Despite this, the use of CNN as an automated means of defect detection has increased significantly in recent years [16,17]. However, a recent study yielded 93% accuracy when using CNN as an automated technique of defect detection[18]. As such, these techniques have become increasingly popular for detecting defects, with CNNs being particularly successful in this regard.

Consequently, the CNN detection technique has several advantages that make it superior to conventional methods. The first advantage is that CNN is capable of learning and detecting the various patterns present in EL images. As a second advantage, the CNN technique achieves excellent accuracy and saves time since manual inspection is not required, in addition to the fact that sometimes large quantities of PV are required for inspection. As a third benefit, CNN can prevent hazards since it detects different types of PV defects. Overall, the cumulative effect improves the accuracy of defect detection and the durability and performance of PV modules.

CNN is praised for achieving remarkable performance in a wide range of image-related tasks but suffers from several limitations about solar panel inspection. Firstly, the lack of extensive and diverse datasets is a major impediment. Current approaches often rely on datasets containing fewer than 10,000 images, limiting their ability to capture the full spectrum of real-world conditions. Consequently, training CNN models on such insufficient data may limit their capacity to accurately identify patterns and detect cracks across varying scenarios.

Moreover, CNNs struggle with generalization in solar panel inspection. Solar panels display a wide range of diversity in design, texture, and manufacturing processes. Additionally, environmental conditions like light intensity and soiling levels vary significantly between installations. CNN models, typically trained on specific datasets, fail to account for this diversity. Consequently, a model trained to detect cracks in one type of solar panel may fail when applied to others, as it lacks the adaptability to recognize patterns unique to different panels. Furthermore, CNNs often operate as "black boxes," lacking interpretability and

explain ability. This is a crucial limitation when trust and accountability are paramount. In solar panel inspection, understanding why a model classified a particular cell as cracked is vital. Incorporating interpretability and explain ability mechanisms into CNN models is essential to establish trust, ensuring reliability in critical applications.

This work represents a novel approach to automated PV defect detection techniques as it consists of two levels of inspection: the cell level inspection and the module level inspection. This is accomplished by inspecting each solar cell separately, and based on the results, determining whether the module has been accepted or rejected based on the percentage of healthy cells. In contrast, the green indicator indicates that a solar cell is healthy or accepted, while the red indicator indicates that a solar cell has been defected.

This is achieved by developing four different CNN architectures, and by varying the number of convolutional layers and pooling of architectures, we reach an impressive level of validation accuracy of 98.07%, which is referred to in this paper as Arch 4. The four architectures are trained using a dataset that contains images of healthy and defective solar cells. The architectures are then evaluated based on several metrics, such as accuracy, precision, recall, and specificity. The best performing architecture, Arch 4, is then used to classify the solar cells into healthy and defective categories.

Highlighting its uniqueness, the approach presented in the research paper stands out as the sole method with the ability to detect a diverse array of anomalies, including cracks, PID, shaded regions, and breakdowns. This distinctive capability positions the research as a pioneering endeavour, offering a comprehensive solution to quality assessment within the PV industry. The emphasis on this aspect underscores the groundbreaking nature of this work and its potential to significantly advance the field. This work stands out from the rest, offering a comprehensive solution to quality assessment within the PV industry. Its pioneering nature and potential impact make it a groundbreaking achievement in the field.

A notable aspect of this research is its two-level inspection strategy, which includes examinations at both the cell and module levels. By carefully assessing individual solar cells and then evaluating overall module health based on the percentage of healthy cells, this approach adds precision that greatly improves defect detection. This innovative approach addresses an evident gap in current knowledge, as previous methods focused on module-level inspections.

Furthermore, this research involves the optimization and customization of CNN architectures, leading to an impressive validation accuracy of 98.07% (referred to as Arch 4). This achievement reflects the research team's dedication to advancing automated inspection techniques while enhancing the methods' practicality. In summary, this research introduces an innovative approach to automated PV defect detection and validates its feasibility and effectiveness through extensive empirical testing. By offering a more detailed and precise defect analysis method, this study contributes to PV module quality control development. With an increasing demand for solar energy solutions, this research has the potential to enhance the efficiency, reliability, and sustainability of the solar energy sector.

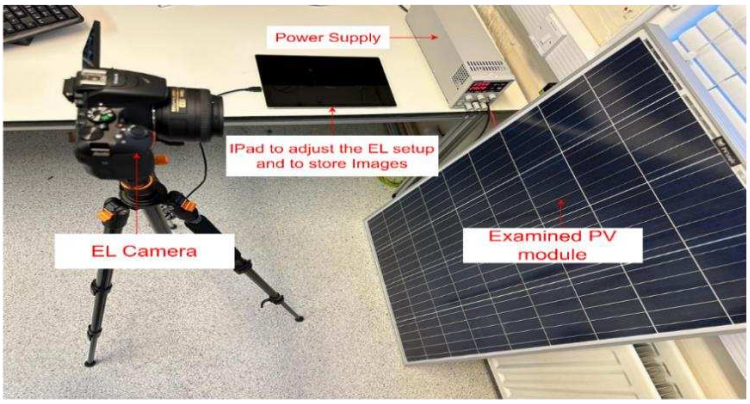
2. Materials and Methods

2.1 EL Imaging

The electroluminescence (EL) imaging technique is an effective method to inspect the performance of solar cells [19]. To achieve this, it is imperative to apply a biased current to the cell. In turn, this will cause it to glow, making it easy to detect all defects that the solar cell has, that are not visible to the naked eye [20]. Furthermore, it is a non-destructive testing method, which allows inspection of the entire cell's surface quickly and accurately.

Thus, in this study, a Brightspot automation imager was utilised to capture EL images, which were captured using a digital camera with a resolution of 6k x 4k pixels and a focal length of 18-55mm, as shown in Figure 1(a), and the main components of Brightspot EL Imaging setup are shown in Figure 1(b). The Brightspot Automation imager was chosen due to its ability to capture high-resolution images with a wide field of view. This allows the capture of more detail and provides a better overall picture of the EL images. Additionally, the digital camera with the 6k x 4k resolution and 18-55mm focal length provides a very sharp image with a wide range of colours and contrast. In addition, the PV module was connected to a power supply to generate a biased current.

(a)



(b)

Figure 1. (a) EL imaging setup, (b) EL imaging components.

2.2 Image Segmentation

Image segmentation is a computer vision task that entails labelling specific areas of an image based on what is being displayed on the image [21]. To be precise, semantic image segmentation aims to label each pixel in an image with a class corresponding to what is being represented in that image, as the system is predicting the outcome of every pixel [22,23]. This is achieved by using supervised or unsupervised learning algorithms to detect certain features of the image and then assigning a label to each pixel based on those features. For example, these algorithms can be used to recognize objects in the image, and then label each pixel according to the object it belongs.

The process of labelling an image pixel-by-pixel can be defined as the collection of random variables {x_0, . . ., x_n}. Where n represents the image's total pixels. Each element xi ∈ L takes one of m discrete labels from the set L = {1, . . ., m}. A convolutional neural network (CNN) models a probability distribution $Q(X|\theta, I)$ over the random variables X, where θ represents the network parameters. Typically, this distribution is modeled as a product of independent marginals, denoted as $Q(X|\theta, I) = Qi\ qi(xi\ |\theta, I)$ 24. Each of these marginals represents a SoftMax probability. Each marginal $qi(xi\ |\theta, I)$ is parameterized by a set of weights θ_i which are learned by the CNN during training (R). The parameters θ are learned by optimizing the network to minimize a loss function, which is a measure of the difference between the predicted and actual outputs. This functionality is presented in (1) below [24].

$$q_i(x_i|\theta, I) = \frac{1}{z_i} \exp f_i\ (x_i\ ;\ \theta, I) \quad (1)$$

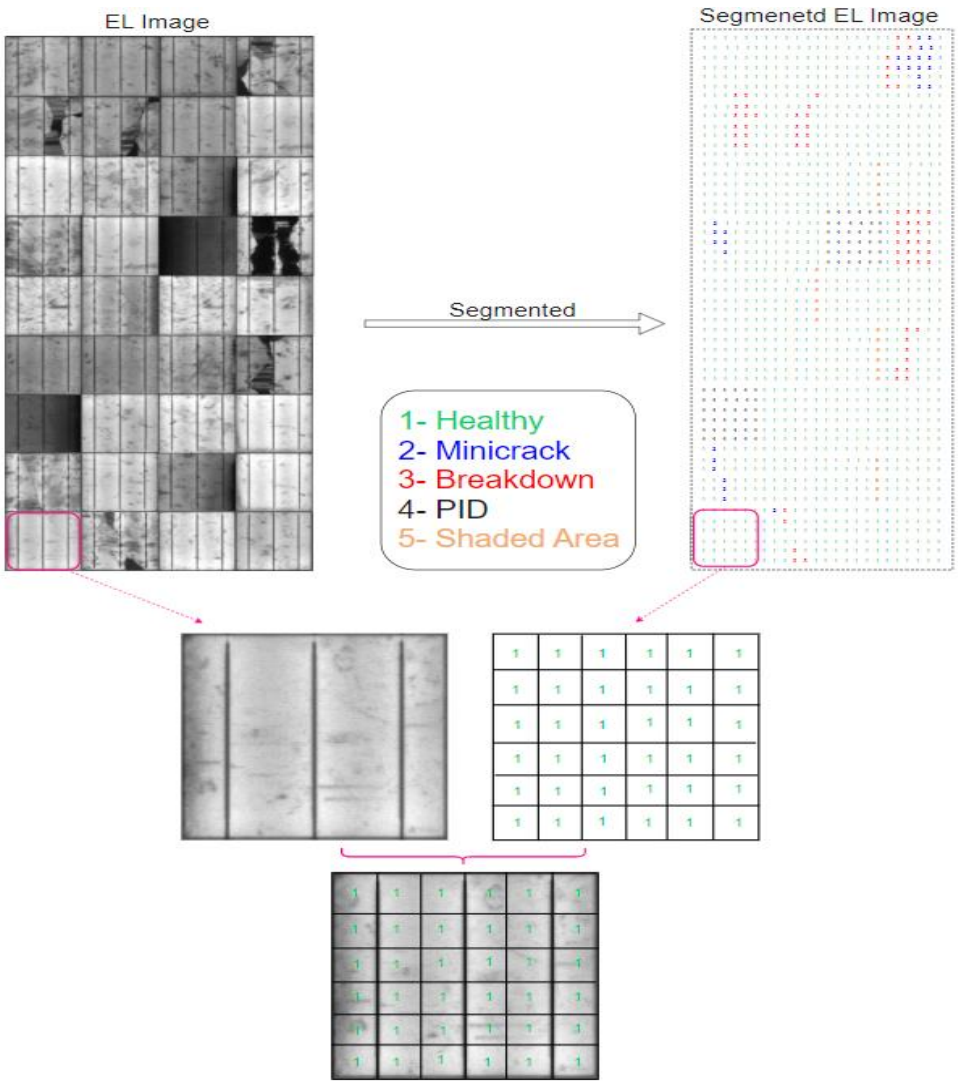
Where $z_i = \sum_{l \in L} \exp(f_i(x_i\ ;\ \theta, I))$ represents the partition function of pixel i. The function fi represents the numerical score of the neural network.

As a result, in this study, the EL images of the PV panels were segmented into solar cells pixel, and each pixel was examined, segmented into pixels based on conditions, such as healthy, Mini crack, breakdown, PID, and shaded areas, as shown in Figure 2(a). The first pixel segment is characterized as healthy, labelled as 1, and represents every solar cell pixel with no defects. The second segment of solar cells is made up of solar cell pixels with mini cracks and is indicated by 2.

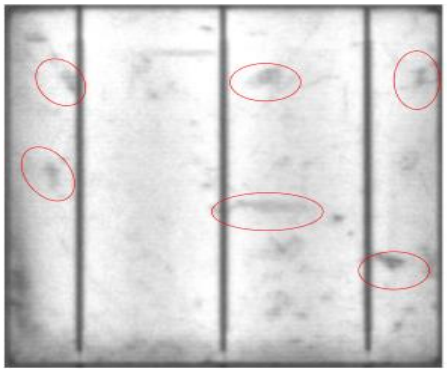
Consequently, the third segment of the solar cells are composed of solar cell pixels with major cracks or breakdowns, which can massively degrade the PV panels' output power, and it is labelled as 3 [25]. The fourth segment of the label is potential-induced degradation (PID). PID is a leading cause of module degradation and is caused by the high voltage generated between the encapsulants and the front glass surface, which is grounded through either the cell frame or the substructure, and it is labelled as 4 (PID) [26]. Lastly, is the shaded area. shaded is represented as 5 in the colour scheme as shaded areas create uneven current distribution in the busbars, which in turn stresses the cells and consequently higher temperatures would result in power degradation [27].

The pixels were further analysed to determine the percentage of each condition in the PV panel to assess the overall health of the solar cell. It was noted that minor blotches appeared on the solar cells, as shown in Figure 2(b). These spots appeared on the EL because of the

camera's calibration/resolution, and they do not have a detrimental effect on the solar cells. Hence, these spots are negligible when examining the condition of the cells.



(a)



(b)

Figure 2. (a) Segmentation processing of PV module EL image, (b) Minor black spots appear in the EL image of the solar cell.

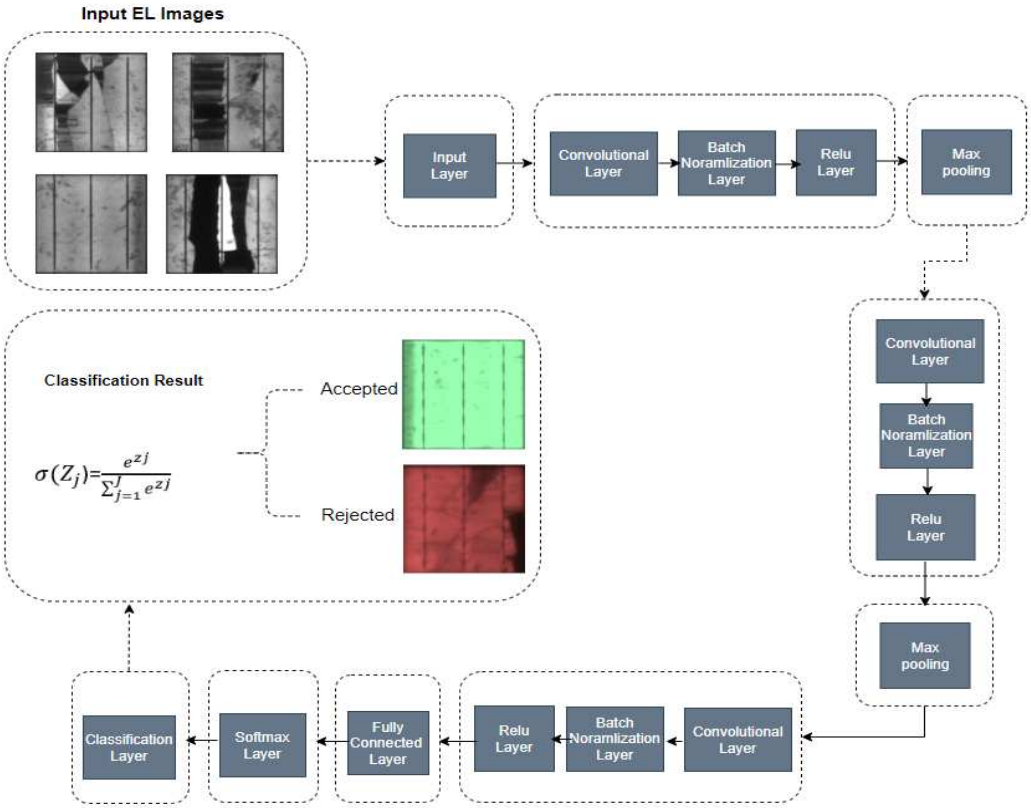
2.3 CNN Architecture

Having completed the segmentation of the image, the subsequent stage is to build a CNN architecture that is suitable for training tasks like this with a high level of validation accuracy. Therefore, there are different layers to employ to build CNN architecture, as shown in Figure 3. The first layer is the convolutional layer composed of filters that are learned during the process and are smaller in size than the actual image. This layer later is combined with an activation map. The second layer is the batch Normalization layer, and its main function is to maintain regularity and avoid excess fitting and at the same time to speed up the computation of the CNN. The Rectified Linear Unit (ReLU) is the next layer. Its main function is to remove all negative numbers and replace them with zero. The next layer is the pooling layer, which extracts values from image segments defined by kernels.

There are two methods to retrieve the value, either by using max pooling and retrieving the maximum number or by using mean pooling and computing the average. Hence, there is no universal solution, and decisions should be made during training. A fully connected layer in a neural network uses weight matrices to linearly transform input vectors and solve problems, resulting in every possible connection between input and output vectors being present. The CNN network employs the SoftMax function as the activation function in the output layer to predict a probabilistic distribution in multi-class classification problems. The last layer is the classification layer, which applies predefined rules for classifying.

Several architectures were developed from scratch, each with its own layers. As shown in Table 1, Arch 1 has two convolutional layers and mean pooling with a learning rate of 0.0001 and 20 epochs, and the key parameters of all architectures are summarized in Table 2. Arch 1 had a validation accuracy of 81.5%. Our second architecture, referred to as Arch 2, contains two convolutional layers, each with 32 filters, arranged in a connection between a normalization layer and a Relu layer. However, the unique feature of this architecture is its use of max-pooling rather than mean pooling, leading to an accuracy rate of 87.5% for validation accuracy, followed by a third architecture, Arch 3, which has three layers of convolutional layers with 32 filters and double pooling of max and mean, resulting in a validation accuracy of 93.75%.This improved accuracy of Arch 3 is attributed to the double pooling of both max and mean, which is unique to this architecture. During the construction of the architecture, the research team continuously built and tested different architectures (Arch 1-4) until Arch 4 was developed, which achieved a peak validation accuracy of 98.07%. A detailed description of Arch 4 is presented in Figure 3.

Choosing Arch 4 was based on the fact that Arch 4 was made up of two double convolutional layers with double max pooling, which resulted in a higher validation accuracy than all the other architectures. Hence, achieving a validation accuracy higher than that of Arch 4 is not feasible, since keeping the training network in place while modifying the Architecture components will drop the validation accuracy. This is because the two double convolutional layers and double max pooling provide an added depth to the network that allows it to accurately identify patterns in the data. By changing the architecture, one essentially strips away the complexity and depth of the network, which inevitably reduces its accuracy.



243

244 Figure 3. CNN Network architecture of Arch 4.

Table 1. Summary of the different architectures implemented and tested in this work.

Architecture Name	Description	Validation accuracy
Arch 1	Contains two convolutional layers of 32 filters connected to a normalization layer and a Relu layer by means of mean pooling, with initial input pixels of 227x227x3 pixels.	81.5%
Arch 2	Contains two convolutional layers of 32 filters connected to a normalization layer and a Relu layer by means of max pooling, with initial input pixels of 227x227x3 pixels.	87.5%
Arch 3	With an initial input size of 227x227x3 pixels, this convolutional layer contains three layers of 32 filters connected to a normalization layer and a Relu layer through a double pooling of max and mean.	93.75%
Arch 4	Three convolutional layers containing 32 filters with an initial input size of 227x227x3 pixels is connected through a double max pooling to a normalization layer and a ReLU layer.	98.07%

In developing a CNN architecture for solar cell inspection, adjusting parameters such as the number of epochs, learning rate, and validation accuracy was a major challenge [28]. To overcome this challenge, the team started with a learning rate of 0.01 and 10 epochs for the first CNN network, gradually increasing the learning rate to 0.0001 and epochs to 20, resulting in a maximum validation accuracy of 81.5% for Arch 1. Replicating the mean pooling of Arch 1 with the max pooling of Arch 2 improved the validation accuracy to 87.5%. Adding three convolution layers with max-mean and max-max pooling for Arch 3 and Arch 4, respectively, improved the accuracy to 93.75% and 98.07%, respectively, with 20 epochs and a learning rate of 0.01. Figure 4 compares the validation accuracy of Arch 1 to 4.

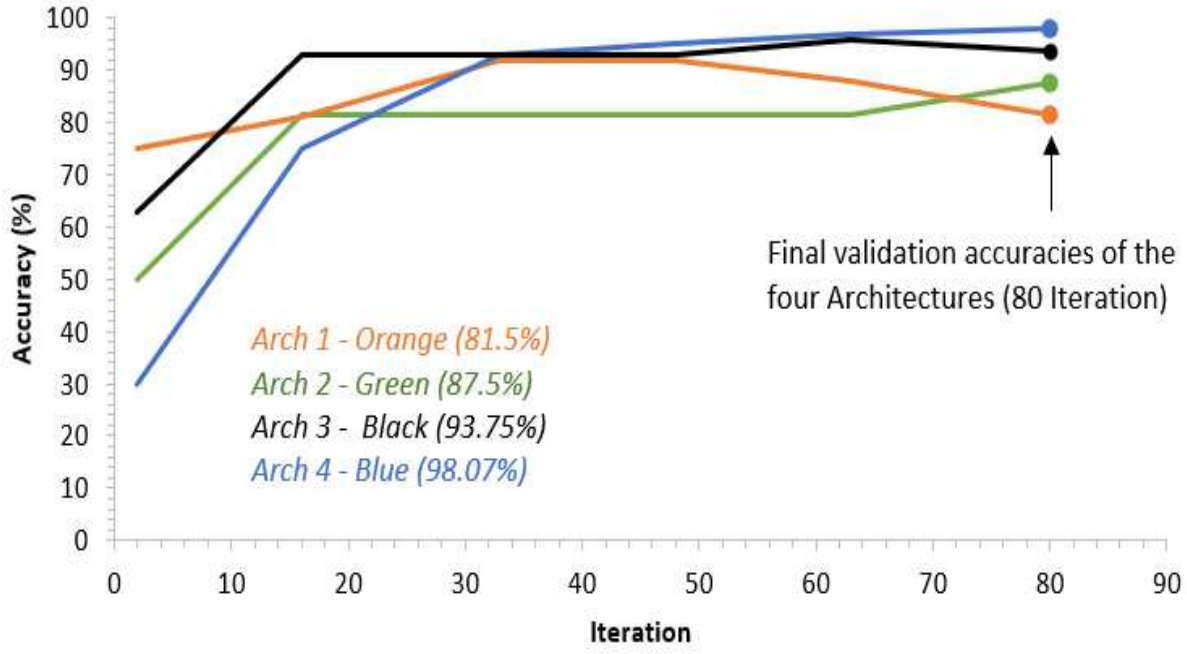


Figure 4. Validation accuracies of the four different CNN networks.

Table 2. Summary of CNN input parameters for (Arch 1-4).

Parameter	Value	Parameter	Value
Convolutional layers	32 Filters	Epochs	20
Filter size	3,3	Image input Size	227x227x3 Pixels
Mini batch size	16	Learn rate drop factor	0.1
Validation frequency	16	Initial learn Rate	0.0001
Solver	Sgdm	random rotation (Degree)	-90, 90

2.4 Decision Making Criterion

The algorithm is heavily dependent on CNN's decision-making process. Accordingly, the system is required to analyse two distinct outputs in order to make an accurate determination, namely the PV module and its constituent PV cells. Therefore, the PV cells in a solar panel are components of the PV module, as the module is composed of individual cells. CNN detects and analyses PV cells within the PV module to accurately determine the efficiency of the PV module. This information can then be used to optimize the solar panel's performance. Consequently, CNN will examine each cell separately and determine whether it will be accepted or rejected based on standard quality shown in Figure 5, based on standard criteria, with green indicating acceptance and red indicating rejection.

In the next step, a prediction is made on the module level. Each module consists of many solar cells. Therefore, the CNN network will determine whether the PV module is accepted or rejected based on the analysis of each solar cell individually. Essentially, if more than 20% of the solar cells within a module are predicted to be rejected, that module will be considered rejected. Figure 5 shows detailed standard quality. This prediction is based on the data gathered from each individual solar cell and the comparison of it to the standard quality. This prediction is further analysed to determine the status of the entire module.

In this criterion, prior understanding has been considered, which suggests that if 14% of cells exhibit significant defects, such as breakdown, shading, or PID, it can have a considerable influence on cell performance, leading to a more than 10% reduction in power output [27,29,30]. However, the impact of the mini crack is relatively minor compared to other defects such as PID and the shaded area, which has twice the impact of the mini crack [15,31,32]. So, it depends on the user's established criteria. This is exemplified by the fact that Quality standards on PV assembly lines may vary, providing adjustable parameters.

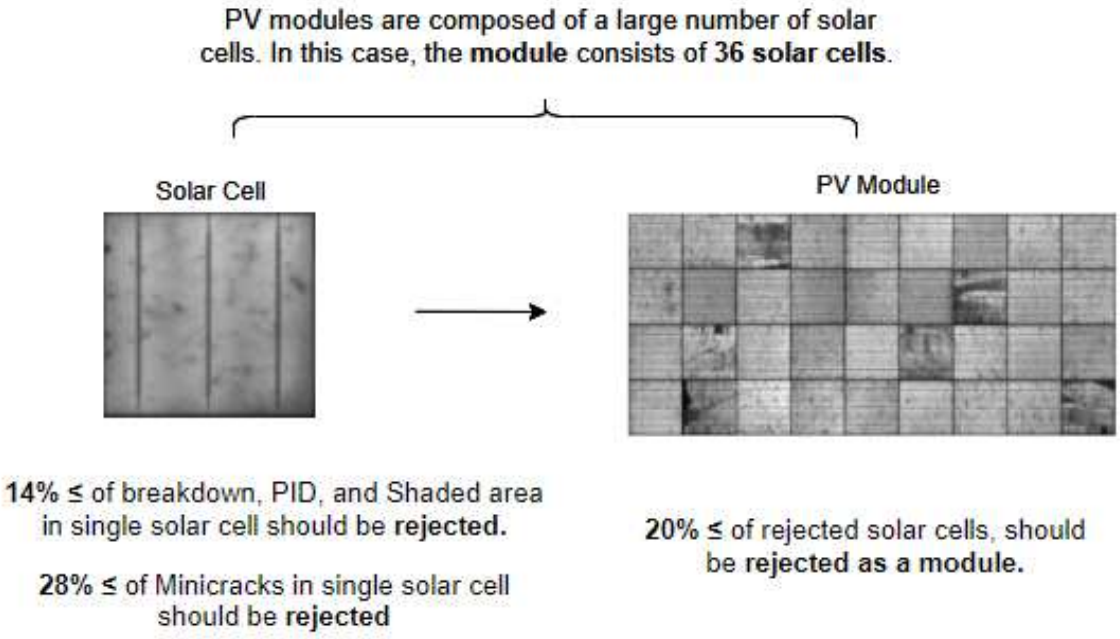


Figure 5. Standard quality criteria.

3. Results

The assessment of the CNN network put forward in this study can be broken down into two distinct sections, in light of its dual-component structure. Specifically, the first component of validation is conducted at the level of individual cells, while the second component focuses on the module level, as the predicted output of the cells directly impacts the overall status of the modules. This approach to validation serves to ensure the reliability and efficacy of the CNN network under consideration while accounting for the complex interactions between its constituent elements.

3.1 Cell Level Prediction

During the solar cell inspection process, each solar cell is examined separately by the trained CNN network. This is done by examining all its pixels and then categorizing them as accepted or rejected. This is done to ensure that each cell meets the quality standards, as shown in Figure 5.

Accordingly, four different cells with varying conditions were examined. As shown in Figure 6, the first cell was a healthy cell free of defects. In turn, a CNN network was then employed to examine each pixel independently to determine if there are any defects. Based on the findings, it was predicted that the cell was accepted since it met the standard quality, intended to have a cell with less than 14% of defects considered healthy, hence it was displayed as green. In the second case, the CNN network predicted that the cell is unhealthy since it presents a shaded area, and thus rejected it since more than 14% of the cell is defective, resulting in it displaying as red.

Due to the defects in the third cell, the CNN predicted it as rejected since most pixels were defective, and it was illustrated as red. A fourth cell, which presented a mini crack, was deemed to be healthy by the CNN network based on the standard quality of a mini crack of 28%, which differs significantly from the standard quality for other defects, thus 17% of the mini cracks are rated as healthy and displayed as green.

Consequently, the CNN network has shown the capability of detecting different defects in solar cells and predicting them precisely. This makes it a trustworthy way to inspect solar cells, and it could be used on all manufacturing assembly lines. This will contribute to the production of high-quality solar cells and reduce production costs. Moreover, it would help minimize the reliance on manual labour and facilitate in production of a higher quantity of solar cells with improved efficiency. As a result, this could have a significant impact on the renewable energy sector and help lower the cost of renewable energy sources.

3.2 Module Level Prediction

Within this section, the study addresses CNN's predictive capabilities at the module level by assessing individual solar cells and subsequently determining the module's prediction based on established quality standards shown in Figure 5, achieved through an independent examination of each cell. This approach allows for the evaluation of the CNN's performance concerning quality standards and facilitates the identification of potential flaws in the solar

modules that can be attributed to individual cells. Examining each cell individually aids in identifying potential issues that might otherwise be overlooked during module inspection.

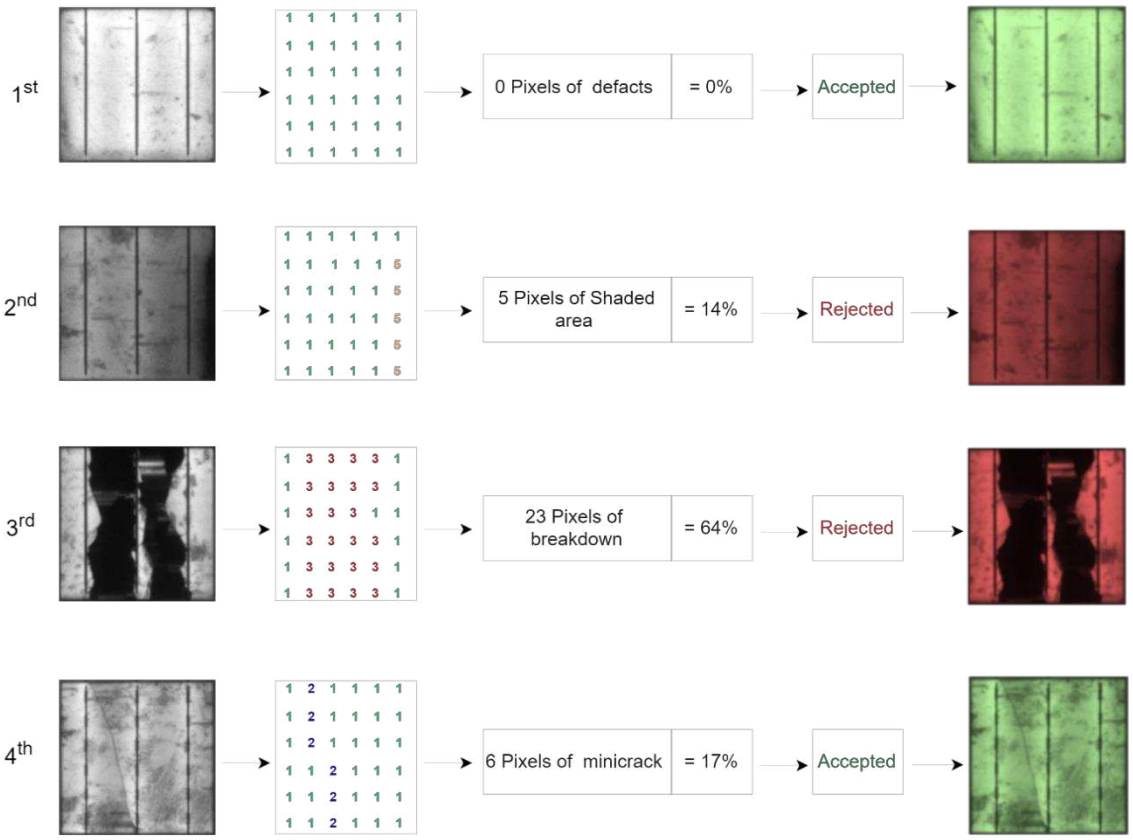
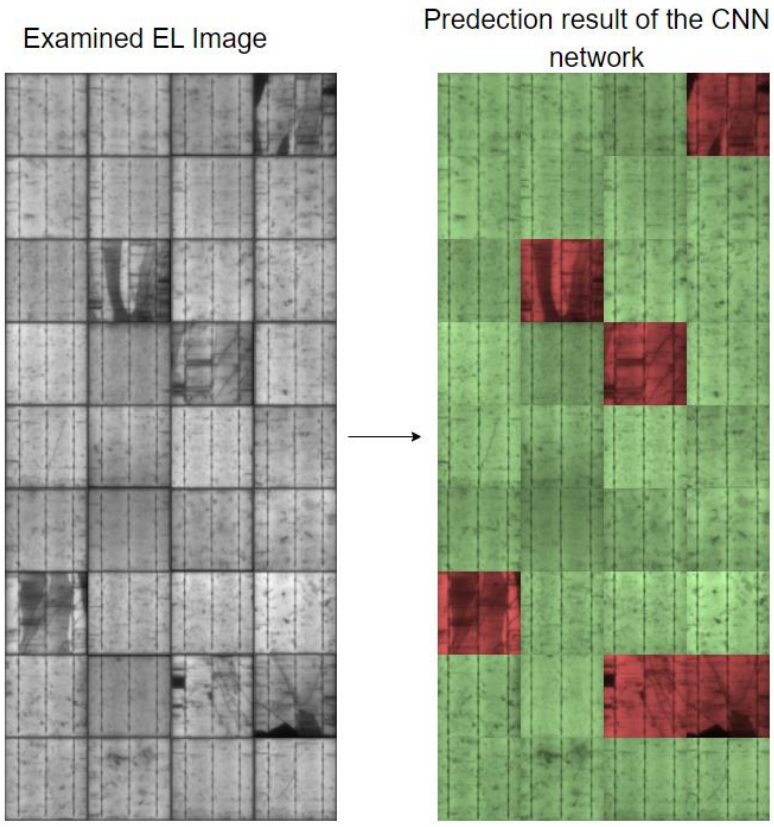


Figure 6. Cell level prediction (Mix of accepted and rejected cases).

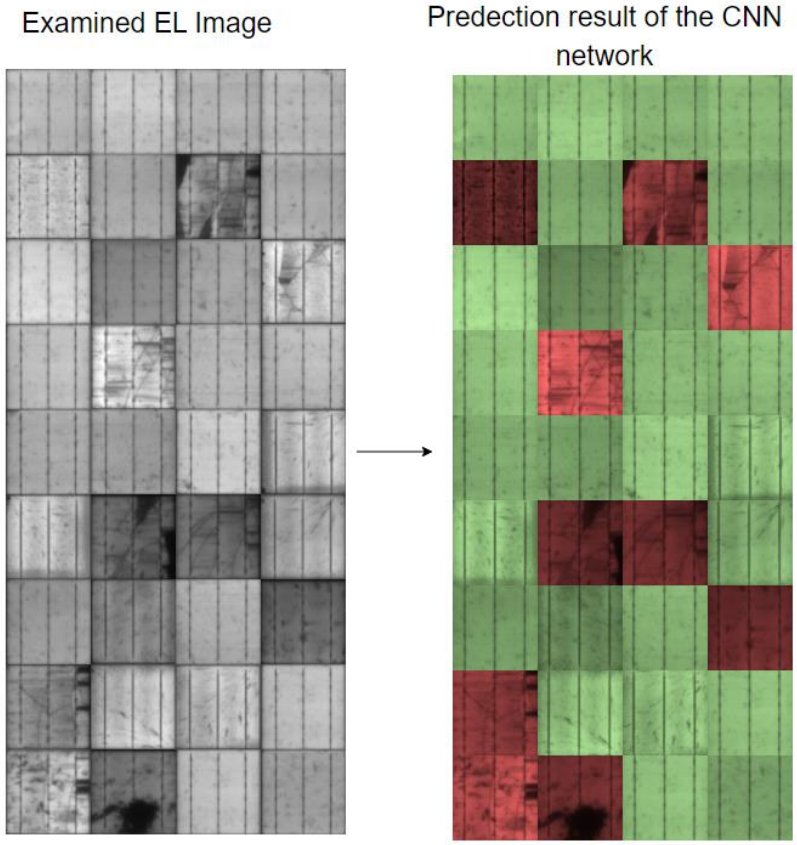
To conduct the prediction, a PV module was examined and processed within a CNN network. As shown in Figure 7, the module is comprised of 36 solar cells, which were assessed separately using the CNN network. Based on CNN's assessment, 6 of the 36 solar cells on this module were deemed defective, equalling 17% of the module. As the percentage of defects is less than 20% of the standard quality rate, the system is referred to as a healthy PV module. Consequently, the system successfully predicted the PV module's health, while maintaining a relatively high-quality rating. This means that the CNN network accurately detected defective solar cells and distinguished them from healthy ones. As a result, it accurately assessed the overall health of the PV module and determined that it meets the standard quality rate.

A second PV module was employed to mark the prediction with a CNN network, as shown in Figure 8. According to the CNN analysis, the CNN network predicted that 10 of 36 solar cells of the module were defective, accounting for 28% of the total solar cells. As this defect rate surpasses the standard quality rate of 20%, the module was predicted to be rejected. The standard quality rate is based on the expected performance of a PV module, so if the defect rate surpasses that, it is likely that the module will not be able to meet the necessary standards for use. As a result, it is rejected.



342

343 Figure 7. Module level prediction (accepted case).



344

345 Figure 8. Module level prediction (rejected case).

3.3 Diverse EL imaging angles

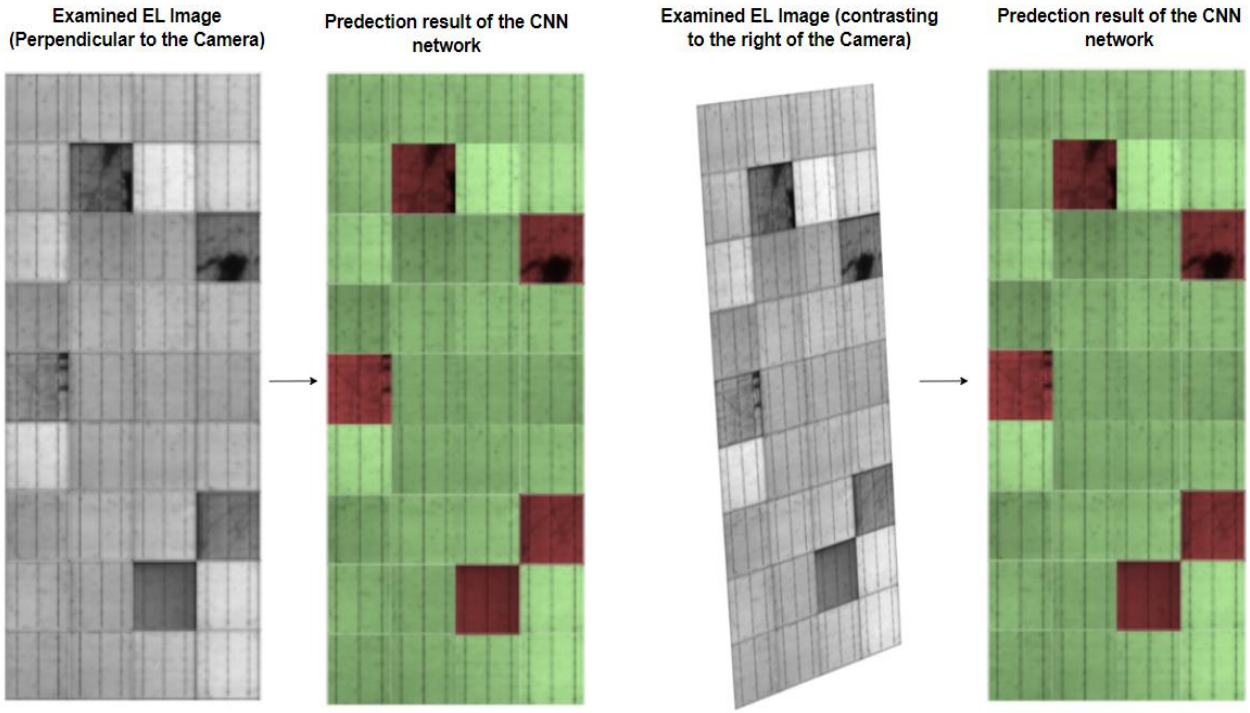
Normally, EL imaging takes place by pointing the camera perpendicular to the PV module, however, there may be instances when the camera can't be positioned perpendicular to the PV module due to space limitations, or PV modules are installed on a tilted roof, and therefore it is imperative to take EL images at an angle. In such cases, the camera should be positioned as close as possible to the perpendicular angle and the EL images should be adjusted accordingly to ensure accuracy.

Considering these factors, three different EL images taken with the same PV module at various angles were examined. as shown in Figure 9. A first look at Figure 9(a) shows the conventional method of capturing EL images; the EL camera is positioned perpendicular to the PV module being examined and the CNN is predicted as being normal since there has been no change in configuration. In addition, the PV module examined during EL camera capture had a tendency to contrast to the right as illustrated in Figure 9(b) and the system predicted the same result as the conventional method.

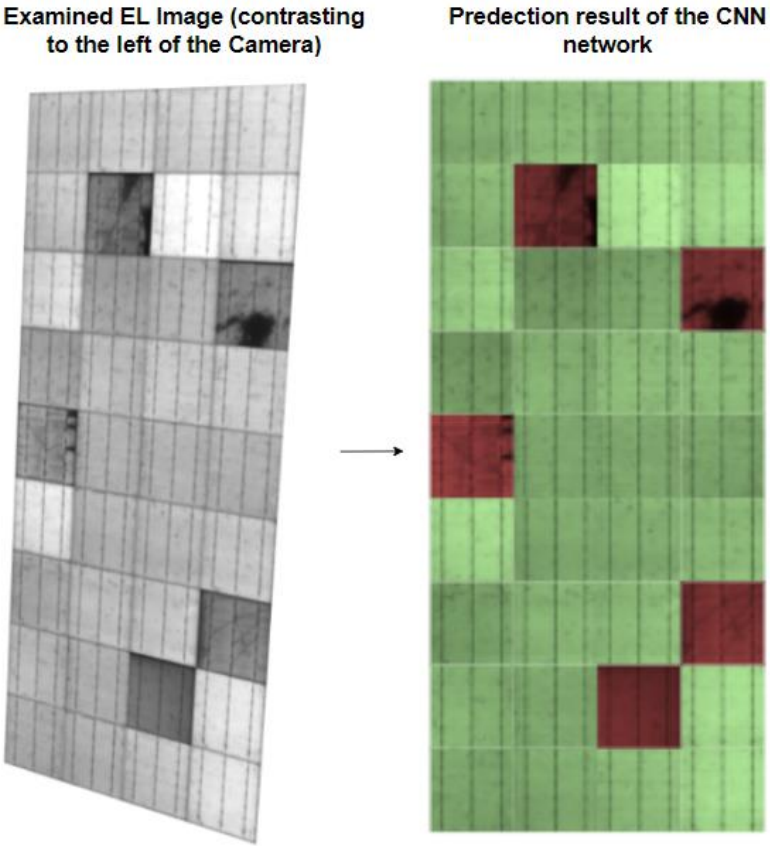
Furthermore, the third exam was conducted by contrasting the EL camera to the left of the Examining PV module using the same camera configuration, as shown in Figure 9(c). However, the system predicted the same results regardless of the camera angle. As a result, it can be concluded that the EL camera capture was able to achieve consistent results, regardless of the orientation of the PV module or the camera configuration. This indicates that the EL camera has excellent consistency in capturing light and that it can accurately detect the orientation of the PV module without any deviations. Furthermore, it also shows that the EL camera is reliable for capturing light from different angles and with different camera configurations.

Considering the three different angles in which the proposed CNN tool was examined, it made the same prediction, as shown in Figure 9, indicating that the proposed tool has the capacity to work from a variety of angles, eliminating the need to take the perpendicular angle to utilize the tool. This highlighted the power of the proposed CNN tool to reliably perform its task with great accuracy, regardless of the input angle. The high quality of this PV module serves as a testament to the effectiveness of the CNN network, demonstrating its accuracy in predicting defects with a high degree of accuracy. The results of this study further confirm that CNN networks are highly effective at detecting defects in PV modules, providing a reliable and accurate method for quality assurance. It also confirms the potential of AI for use in the solar industry and other applications.

Additionally, this proposed tool has the benefit of examining different solar cells with a variety of busbar technologies, since most modern solar cells are constructed using various busbar (BB) designs, such as 3BB, 4BB and 5BB, so this proposed tool will be able to examine and identify any defects in the solar cell, whether it is a 3BB, 4BB or 5BB. Moreover, this instrument can accurately assess not just the standard 3BB, 4BB and 5BB busbar technology, but also any other type of busbar technology that may be employed in modern solar cells, allowing it to detect any possible defects. This makes it a great tool for ensuring the highest quality standards for solar cells and their production.



(a) (b)



(c)

Figure 9. Predicting PV module level based on different imaging angles. (a) Perpendicular to the camera, (b) Contrasting to the right of camera, (c) Contrasting to the left of camera.

3.4 Case Study

With the proposed CNN network, the main application is to assess the large scale of PV systems with minimal effort and within a short timeframe, along with a high degree of accuracy. Therefore, a case study was conducted for a PV system. The case study was conducted to validate the CNN network's accuracy. Additionally, it was intended to assess the usefulness of the network in terms of identifying potential faults in the PV system and providing guidance in terms of maintenance and optimization. According to Figure 10, the PV string consists of nine polycrystalline silicon PV modules connected in series, and Table 3 summarises the string's main electrical parameters.

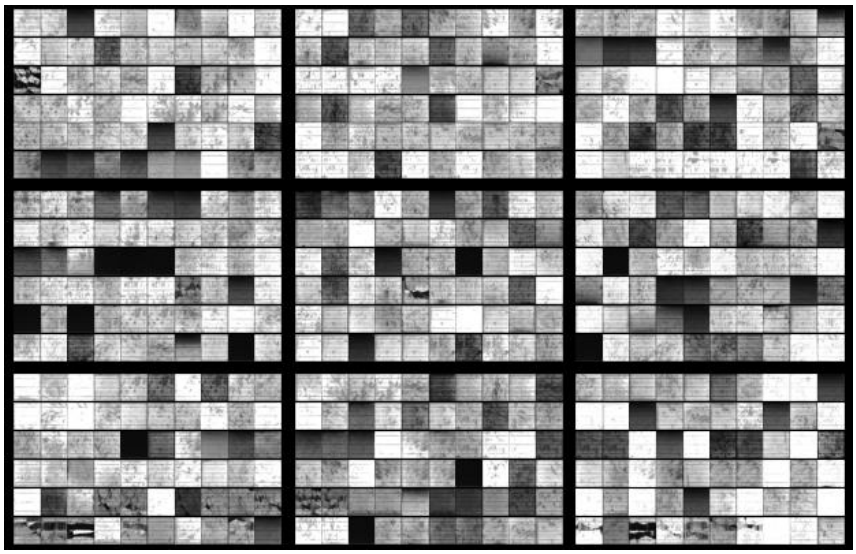
Figure 10. Examined PV system

Table 3. Electrical parameters of the second examined PV string at STC conditions.

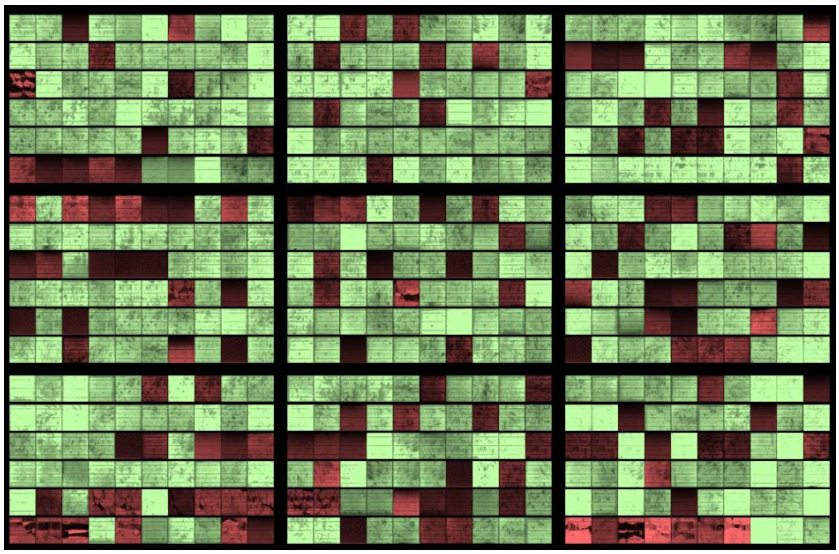
Parameter	Value
Power at maximum power point ($\boldsymbol{P_{MPP}}$)	1950 W
Current at maximum power point ($\boldsymbol{I_{MPP}}$)	7.55 A
Voltage at maximum power point ($\boldsymbol{V_{MPP}}$)	258.3 V
Short circuit current ($\boldsymbol{I_{SC}}$)	8.05 A
Open circuit voltage ($\boldsymbol{V_{OC}}$)	331.2 V

The EL images of the PV modules were initially captured as illustrated in Figure 11(a), followed by an analysis of all the EL images so that the system can classify them according to the standard qualities shown in Figure 5. Consequently, the CNN network classified the solar cells into green for those without defects and red for those with defects as shown in Figure 11(b). Therefore, all 9 modules were predicted as rejected since the PV string is defective due to PID (potential-induced degradation).

As a result, the proposed system can be an extremely useful tool for large-scale PV installations by classifying the solar cells based on standard criteria with the assistance of the CNN, the proposed tool can accurately detect defective cells with high precision. This can significantly reduce the cost of large-scale PV installations by reducing the need for manual inspection and maintenance. Furthermore, the system can also be used to identify any potential problems before they occur, thus further reducing the overall costs associated with a large-scale PV installation.



(a)



(b)

Figure 11. (a) EL image of the Modules, (b) Predicted result of the modules from the CNN network.

An additional parameter to consider is how well the model performs in terms of predicting correctly or incorrectly for the data set under consideration, which is done by creating a confusion matrix table for the data set. The confusion matrix in Table 4 illustrates the results of the case study, which is made up of 540 solar cells, of which 385 are healthy while 155 are defective. Based on this, the accuracy and precision of the model are calculated using equations (1) and (2) to assess its performance, respectively.

Specifically, it was found that the accuracy of the model was 95.5%, which indicates that the model correctly classified 95.5% of all solar cells based on their health or crackability In this instance, the precision was 96.6%, which means that 96.6% of the solar cells that were actually defective were categorised as defective by the model, indicating that the CNN model was very accurate and precise in its prediction of the solar cells.

Table 4. Confusion matrix of the developed CNN model "Net4".

		Actual Value	
		Actual No Cracks	Actual Cracks
Predicted Value	Predicted No Cracks	374	11
	Predicted Cracks	13	142

$$Accuracy = \frac{TP+TN}{TP+TN+FP+FN} = \frac{374+142}{374+142+13+11} = 95.5\% \quad (2)$$

$$Precision = \frac{TP}{TP+FP} = \frac{374}{374+13} = 96.6\% \quad (3)$$

The selection of an appropriate loss function for CNN models holds a great deal of significance as it quantifies the disparity between the predicted output and the actual ground truth data. The CNN model is carefully trained with adjustments to critical parameters to minimize the loss function and enhance performance, with the goal of minimising the loss function as part of the training process. It is designed to enhance the model's ability to accurately predict the loss function and to significantly increase its overall performance through this optimization process.

As shown in Figure 12, which presents Arch 4, we observe a desirable loss graph with two lines: red for training loss and blue for validation loss. The convergence and decrease of both lines indicate that the model reduces prediction errors. Initially, the model showed a slightly higher loss, but with continuous training, the loss steadily decreased toward zero. This results in effective learning and a remarkable reduction in loss and error.

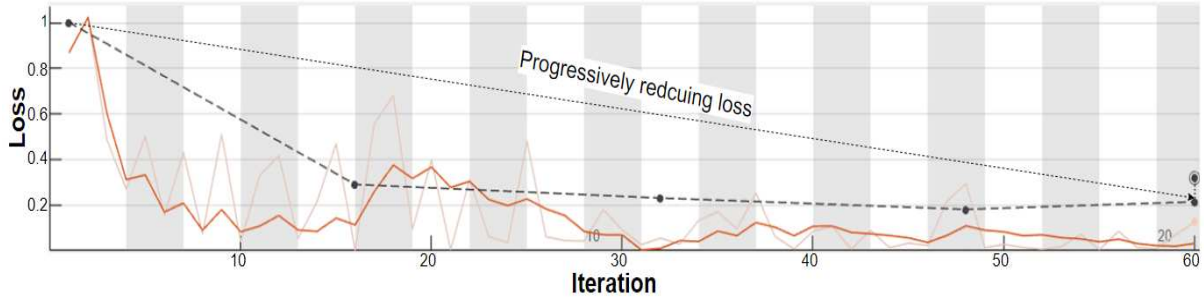


Figure 12. Arch 4 CNN network learning Loss vs learning iterations (epochs).

3.4 Sensitivity analysis

In the following section, an analysis of sensitivity regarding two pivotal parameters, the data split ratio and the number of training epochs, is presented. Sensitivity analysis serves to discern the effects of parameter variations on the system's performance.

The study explored different data split ratios, allocating data for training and validation purposes in varying proportions: 50% training - 50% validation, 55% training - 45% validation, 60% training - 40% validation, 65% training - 35% validation, 70% training - 30% validation, 75% training - 35% validation and 80% training - 20% validation. Subsequently, the accuracy of the system was evaluated under each configuration. Results from this sensitivity analysis indicated that the configuration employing an 80% training - 20% validation split exhibited the highest accuracy. This allocation appeared to strike an optimal balance between training data volume and validation data representativeness. Deviating from this ratio, either by increasing or decreasing the validation data proportion, was observed to result in decreased accuracy. This finding underscores the significance of the data split ratio as a critical factor in optimising system performance.

Additionally, the sensitivity analysis delved into the influence of training epochs by varying the number of epochs employed during the training process. Six different configurations were examined, involving 5, 10, 15, 20, 25 and 30, epochs, as shown in Figure 13. Accuracy measurements were recorded for each configuration. The analysis demonstrated that the use of 20 training epochs yielded the highest accuracy. Importantly, fewer epochs resulted in a decline in accuracy due to inadequate model convergence, while increasing the number of epochs beyond a certain threshold yielded diminishing returns and a corresponding drop in accuracy. This outcome highlights the necessity for a judicious selection of the number of training epochs during model training.

In order to provide further clarity regarding the effects of data split ratios and epochs, confusion matrices are presented in the tables 5. These matrices offer a comprehensive breakdown of the system's performance under each configuration, allowing for a more detailed understanding of how variations in these parameters impact the system's classification and prediction capabilities.

Table 5 Sensitivity analysis of two parameters (data split ratio and epoch).

	Epoch 5	Epoch 10	Epoch 15	Epoch 20	Epoch 25	Epoch 30
Ratio 50:50	76.03%	76.93%	77.18%	78.89%	78.10%	77.63%
Ratio 55:45	78.43%	79.90%	80.50%	82.23%	81.66%	81.01%
Ratio 60:40	83.33%	84.19%	85.53%	87.52%	86.47%	85.96%
Ratio 65:35	89.77%	90.96%	92.22%	93.33%	93.02%	92.86%
Ratio 70:30	95.24%	96.64%	97.13%	98.07%	97.86%	97.55%

Ratio 75:25	93.37%	94.83%	95.21%	96..43%	96.11%	95.79%
Ratio 80:20	91.43%	92.09%	93.21	94.04%	93.88%	93.55%

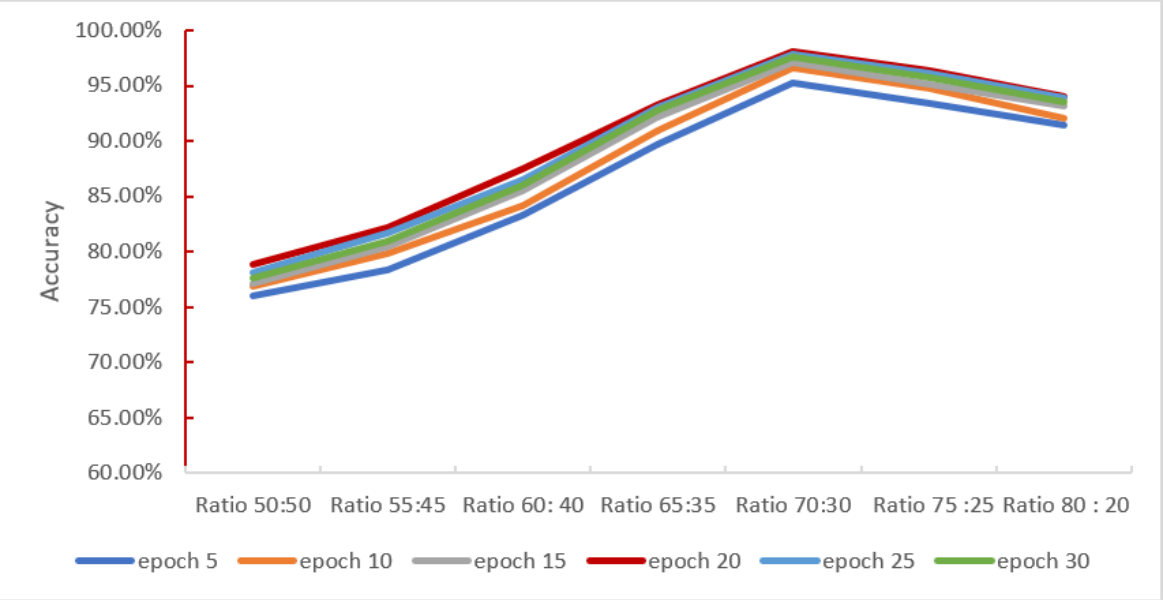


Figure 13. Accuracy of the sensitives of Epochs vs data split ratio.

In summary, the sensitivity analysis conducted in this study underscores the pronounced influence of data split ratios and the number of training epochs on the system's accuracy. Specifically, a data split ratio of 80% training - 20% validation and 20 training epochs produced the most favourable results. It is worth noting that the optimal values for these parameters may vary depending on the specific dataset and problem domain. Consequently, a deliberate and empirical approach to parameter selection is essential for the optimization of model performance.

4. Comparative Analysis

To gauge the feasibility of our proposed method, the research compared the results to several existing automated PV defect detection methods [18, 33-35] currently available in the PV industry. Table 6 provides a summary of the comparison. Several recent automated PV defect detection techniques utilizing the CNN architecture [18,33,34]. Nevertheless, it shares a comment limitation – the existing methods can only inspect at the cell level, and not at the module level as this work does. Additionally, a distinction based on the cell level is the existing methods can only detect cracks, regardless of their severity. However, it is insufficient to detect other defects such as PIDs and shaded areas.

Besides these automated PV defect detection methods, there are also automated PV defect detection methods that are based on CNN architectures that are not developed but rely instead on transfer learning to detect PV defects. This is done by using pre-trained CNN architectures that can be tweaked without affecting their genetic composition. Recently, a

study has been conducted to inspect PV module level using pre-trained AlexNet architecture [35]. This method differs in that it is used to inspect conventional PV images, as opposed to EL image. As a result, detecting defects such as PIDs or minor cracks that are not visible in conventional PV images will become increasingly challenging.

In this study, an automated method was developed for detecting PV defects at both the cell and module levels. This implies that module inspection is based on a visual assessment of the individual solar cells and can be accepted or rejected according to the percentage of healthy solar cells in each module. Moreover, the proposed method is capable of detecting defects such as cracks, PIDs, and shaded areas, unlike all other methods that are currently available. As a result, this system can be utilized in two different manners. First the system can be applied for cell-level inspection in PV assembly lines to inspect solar cells manufactured on the assembly line. The second application is that module-level inspection can be used to assess large-scale PV modules thereby minimizing manual labour and saving time while maintaining a high level of accuracy.

In this way, this proposed tool has proven to be highly accurate for the assessment of solar cells and PV modules and is the only tool available currently that can assess both the cells and modules simultaneously, in real-time, within a specified timeframe. Furthermore, this proposed tool can be used to examine PV modules at different angles, for example by taking an EL image from either the left or right side of the module and obtaining the same prediction regardless of the angle. This is since the proposed tool utilises CNN, which has the ability to detect and recognise features in images regardless of their orientation. Additionally, the proposed tool can be used to examine many PV systems, or planted PV systems, in a timely and convenient manner. Furthermore, the tool can provide an efficient and cost-effective way to analyse and compare the performance of numerous PV systems, both installed and planned.

Table 6. Comparison between our developed network against several recently develop solar cell cracks detection algorithms [18, 33-35].

Ref.	Year of Study	Solar cell cracks detection description	Inspection level		Inspected Defects		
			Cell level	Module level	Cracks	PID	Shaded area
[33]	2018	MCCNN: Multi-channel convolutional neural networks are used by connecting several channels of CNNs to a fully connected layer and fusing them together using a random forest model.	✔	x	✔	X	X
[35]	2020	AlexNet-CNN: a method based on CNN transfer					

		learning to detect cracks with pre-trained AlexNet networks	x	✔	✔	X	X
[18]	2019	Light CNN: A CNN architecture composed of four convolutional layers and a regularization scheme based on L2 weights has been developed from scratch	✔	x	✔	X	X
[34]	2022	Gradient Guided Architecture: Lightweight CNN architectures were developed, by connecting gradient guided filter tuning to two convolutional layers and two fully connected layers.	✔	x	✔	x	X
This work	2023	In this study, A CNN architecture was developed from scratch using four different architectures and by varying the number of convolution layers and changing the pooling level to double maximum pooling, we achieved the highest validation accuracy.	✔	✔	✔	✔	✔

5. Conclusions

In conclusion, this study presents an innovative automated PV defect detection method, driven by a robust CNN architecture with an impressive validation accuracy of 98.07%. The methodology involves a comprehensive assessment of EL images at both the cell and module levels, enabling thorough evaluation of PV module health. This system exhibits remarkable versatility, accurately identifying various defects such as cracks, minicracks, PIDs, and shaded areas.

The results of this research are promising. The CNN-based model consistently provided precise predictions across diverse solar cell and PV module conditions. The evaluation culminated in a case study involving nine PV modules connected in series, affirming the system's ability to reliably distinguish between healthy and defective modules with a high level of precision, as evidenced by the detailed confusion matrix analysis. However, it is imperative to acknowledge certain limitations inherent to this study. Future research endeavours must address these constraints to further enhance the proposed method's applicability. Notably, improving model interpretability is crucial, and this can be achieved

through visualization techniques like Attention mechanisms and Saliency Maps, shedding light on the rationale behind the model's decision-making processes.

Furthermore, performance optimization is paramount. Lightweight CNN architectures, quantization, and pruning techniques can significantly accelerate inference speed, particularly when handling larger PV modules. Robustness testing under varying environmental conditions is also a future avenue to explore, ensuring the model's reliability in real-world scenarios. The integration of this automated defect detection system into PV manufacturing assembly lines holds tremendous potential, enabling real-time defect identification and contributing to higher-quality solar cell production. Future research should extend the scope of defect detection to include novel defect types and refine the model's capacity to discern subtler defects.

Moreover, the comparative study conducted in this research underscores the system's superiority over existing automated PV defect detection methods. While prior approaches have been limited to cell-level inspection and the detection of specific defect types, the proposed CNN-based system can inspect both cells and modules simultaneously, in real-time, within agreed-upon time frames. This emphasizes its high accuracy and efficiency. In sum, this research serves as a foundational step toward a transformative tool in the PV industry, offering precise and efficient defect detection. By addressing these limitations and exploring these future directions, researchers and industry professionals can ensure the continued evolution and effectiveness of the CNN-based system, thereby advancing the reliability and performance of solar energy systems while reducing costs and improving productivity.

Data Availability Statement

The dataset generated and analysed in this study may be available from the corresponding author S.H. on reasonable request.

Acknowledgements

This research was funded by the School of Physics, Engineering, and Technology at the University of York under the project titled "Practical Experimentation on the Deployment of Solar Roads".

Author contributions

Both authors discussed the organization and the content of the manuscript. --. performed the experiments, prepared figures, and wrote the main manuscript text. --. validated the experimental results and revised the manuscript. Both authors have approved the manuscript before submission.

Competing interests

The author declares no competing interests.

References

1. Li, Z., Liu, F., Yang, W., Peng, S. & Zhou, J. A Survey of Convolutional Neural Networks: Analysis, Applications, and Prospects. *IEEE Trans Neural Netw Learn Syst* **33**, 6999–7019 (2022).

2. Coskun, M., Ucar, A., Yildirim, O. & Demir, Y. Face recognition based on convolutional neural network. *Proceedings of the International Conference on Modern Electrical and Energy Systems, MEES 2017* **2018-January**, 376–379 (2017).

3. Momeny, M. *et al.* Grading and fraud detection of saffron via learning-to-augment incorporated Inception-v4 CNN. *Food Control* **147**, 109554 (2023).

4. Zhang, L., Huang, Z., Liu, W., Guo, Z. & Zhang, Z. Weather radar echo prediction method based on convolution neural network and Long Short-Term memory networks for sustainable e-agriculture. *J Clean Prod* **298**, 126776 (2021).

5. Pan, N., Yao, W. & Li, X. Friends Recommendation Based on KBERT-CNN Text Classification Model. *Proceedings of the International Joint Conference on Neural Networks* **2021-July**, (2021).

6. Salama, W. M. & Aly, M. H. Deep learning in mammography images segmentation and classification: Automated CNN approach. *Alexandria Engineering Journal* **60**, 4701–4709 (2021).

7. Jalali, S. M. J., Ahmadian, S., Kavousi-Fard, A., Khosravi, A. & Nahavandi, S. Automated Deep CNN-LSTM Architecture Design for Solar Irradiance Forecasting. *IEEE Trans Syst Man Cybern Syst* **52**, 54–65 (2022).

8. Sun, Y., Xue, B., Zhang, M., Yen, G. G. & Lv, J. Automatically Designing CNN Architectures Using the Genetic Algorithm for Image Classification. *IEEE Trans Cybern* **50**, 3840–3854 (2020).

9. Kolar, D., Lisjak, D., Pajak?, M. & Gudlin, M. Intelligent Fault Diagnosis of Rotary Machinery by Convolutional Neural Network with Automatic Hyper-Parameters Tuning Using Bayesian Optimization. *Sensors 2021, Vol. 21, Page 2411* **21**, 2411 (2021).

10. Bakhshi, A., Noman, N., Chen, Z., Zamani, M. & Chalup, S. Fast Automatic Optimisation of CNN Architectures for Image Classification Using Genetic Algorithm. *2019 IEEE Congress on Evolutionary Computation, CEC 2019 - Proceedings* 1283–1290 (2019) doi:10.1109/CEC.2019.8790197.

11. Atteia, G., Abdel Samee, N., El-Kenawy, E. S. M. & Ibrahim, A. CNN-Hyperparameter Optimization for Diabetic Maculopathy Diagnosis in Optical Coherence Tomography and Fundus Retinography. *Mathematics 2022, Vol. 10, Page 3274* **10**, 3274 (2022).

12. Dhimish, M. & Mather, P. Ultrafast High-Resolution Solar Cell Cracks Detection Process. *IEEE Trans Industr Inform* **16**, 4769–4777 (2020).

13. Qian, X., Li, J., Cao, J., Wu, Y. & Wang, W. Micro-cracks detection of solar cells surface via combining short-term and long-term deep features. *Neural Networks* **127**, 132–140 (2020).

14. Parikh, H. R. *et al.* Solar Cell Cracks and Finger Failure Detection Using Statistical Parameters of Electroluminescence Images and Machine Learning. *Applied Sciences 2020, Vol. 10, Page 8834* **10**, 8834 (2020).

15. Dhimish, M. & Holmes, V. Solar cells micro crack detection technique using state-of-the-art electroluminescence imaging. *Journal of Science: Advanced Materials and Devices* **4**, 499–508 (2019).

16. Rahman, M. R. *et al.* CNN-based Deep Learning Approach for Micro-crack Detection of Solar Panels. *2021 3rd International Conference on Sustainable Technologies for Industry 4.0, STI 2021* (2021) doi:10.1109/STI53101.2021.9732592.

17. Ahmad, A. *et al.* Photovoltaic cell defect classification using convolutional neural network and support vector machine. *IET Renewable Power Generation* **14**, 2693–2702 (2020).

18. Akram, M. W. *et al.* CNN based automatic detection of photovoltaic cell defects in electroluminescence images. *Energy* **189**, 116319 (2019).

19. Hassan, S. & Dhimish, M. Review of Current State-of-the-Art Research on Photovoltaic Soiling, Anti-Reflective Coating, and Solar Roads Deployment Supported by a Pilot Experiment on a PV Road. *Energies 2022, Vol. 15, Page 9620* **15**, 9620 (2022).

20. Dhimsih, M. & Mather, P. Development of Novel Solar Cell Micro Crack Detection Technique. *IEEE Transactions on Semiconductor Manufacturing* **32**, 277–285 (2019).

21. Sultana, F., Sufian, A. & Dutta, P. Evolution of Image Segmentation using Deep Convolutional Neural Network: A Survey. *Knowl Based Syst* **201–202**, 106062 (2020).

22. Kim, W., Kanezaki, A. & Tanaka, M. Unsupervised Learning of Image Segmentation Based on Differentiable Feature Clustering. *IEEE Transactions on Image Processing* **29**, 8055–8068 (2020).

23. Mahani, G. K. *et al.* Bounding Box Based Weakly Supervised Deep Convolutional Neural Network for Medical Image Segmentation Using an Uncertainty Guided and Spatially Constrained Loss. *Proceedings - International Symposium on Biomedical Imaging* **2022-March**, (2022).

24. Liu, X., Song, L., Liu, S. & Zhang, Y. A Review of Deep-Learning-Based Medical Image Segmentation Methods. *Sustainability 2021, Vol. 13, Page 1224* **13**, 1224 (2021).

25. Aghaei, M. *et al.* Review of degradation and failure phenomena in photovoltaic modules. *Renewable and Sustainable Energy Reviews* **159**, 112160 (2022).

26. Dhimish, M. & Tyrrell, A. M. Power loss and hotspot analysis for photovoltaic modules affected by potential induced degradation. *npj Materials Degradation 2022 6:1* **6**, 1–8 (2022).

27. Dhimish, M. & Lazaridis, P. I. An empirical investigation on the correlation between solar cell cracks and hotspots. *Scientific Reports 2021 11:1* **11**, 1–11 (2021).

28. Garbin, C., Zhu, X. & Marques, O. Dropout vs. batch normalization: an empirical study of their impact to deep learning. *Multimed Tools Appl* **79**, 12777–12815 (2020).

29. Dhimish, M., D'Alessandro, V. & Daliento, S. Investigating the Impact of Cracks on Solar Cells Performance: Analysis Based on Nonuniform and Uniform Crack Distributions. *IEEE Trans Industr Inform* **18**, 1684–1693 (2022).

30. Dhimish, M., Holmes, V., Mehrdadi, B. & Dales, M. The impact of cracks on photovoltaic power performance. *Journal of Science: Advanced Materials and Devices* **2**, 199–209 (2017).

31. Dhimish, M., Holmes, V., Dales, M. & Mehrdadi, B. Effect of micro cracks on photovoltaic output power: case study based on real time long term data measurements. *Micro Nano Lett* **12**, 803–807 (2017).

32. Dhimish, M. Micro cracks distribution and power degradation of polycrystalline solar cells wafer: Observations constructed from the analysis of 4000 samples. *Renew Energy* **145**, 466–477 (2020).

33. Ying, Z., Li, M., Tong, W. & Haiyong, C. Automatic Detection of Photovoltaic Module Cells using Multi-Channel Convolutional Neural Network. *Proceedings 2018 Chinese Automation Congress, CAC 2018* 3571–3576 (2019) doi:10.1109/CAC.2018.8623258.

34. Hussain, M., Chen, T., Titrenko, S., Su, P. & Mahmud, M. A Gradient Guided Architecture Coupled With Filter Fused Representations for Micro-Crack Detection in Photovoltaic Cell Surfaces. *IEEE Access* **10**, 58950–58964 (2022).

35. Zyout, I. & Oatawneh, A. Detection of PV Solar Panel Surface Defects using Transfer Learning of the Deep Convolutional Neural Networks. 1–4 (2020) doi:10.1109/ASET48392.2020.9118384.