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1 **The physico-mechanical and structural characteristics of blend film**
2 **of poly (vinyl alcohol) with biodegradable polymers as affected by**
3 **disorder-to-order conformational transition**

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9 Abstract

10 The binary blend films of poly (vinyl alcohol) (PVA) were prepared with selected biodegradable
11 polymers (Na-alginate, gelatin, chitosan, κ -carrageenan and carboxymethyl cellulose) at the point
12 of their chain entanglement. The water resistance, water vapor permeability and mechanical assays
13 were considered as a benchmark to select the superior blend film. The highest water resistant and
14 water barrier property belonged to the blend that contains κ -carrageenan. Introducing the
15 biodegradable polymers into PVA led to an increase in tensile strength, among which κ -
16 carrageenan being further effective than the others. Accordingly, the influence of conformational
17 change of κ -carrageenan chain from disorder-to-order mode on PVA matrix was monitored by
18 hydrophobicity assay, FT-IR, FE-SEM and XRD. The conformational change of κ -carrageenan
19 increased PVA hydrophobicity. The emergence of new characteristic bands regarding κ -
20 carrageenan found in the fingerprint region of PVA at above κ -carrageenan coil-overlap
21 concentration. FE-SEM exhibited that PVA surface became uniform along with the disappearance
22 of cracks after κ -carrageenan inclusion. The pronounced κ -carrageenan peak appeared in
23 diffraction pattern of PVA after the conformational transition of κ -carrageenan chain.

24 **Keywords:** Blend film, Coil-overlap concentration, Tensile strength, Surface hydrophobicity, X-
25 ray diffraction, FE-SEM.

26

27 **1. Introduction**

28 There is a growing trend within the food industry toward the development of the innovative
29 packaging based on the application of biodegradable polymers, rather than the use of the more
30 traditional non-degradable ones (Mikkonen et al., 2007). A main reason for this tendency is
31 reducing the environmental impacts of the non-degradable polymers (Hoagland & Parris, 1996).

32 Poly (vinyl alcohol) (PVA) is a well-known biodegradable thermoplastic polymer with a wide
33 range of applications in the food and pharmaceutical industries (Lei, Jie, Jun, & Ruiyun, 1994).

34 PVA has a semi-crystalline structure with high hydrophilic character due to the ability of forming
35 hydrogen bonding with water molecule (Skeist & Miron, 1990). PVA, such as other biodegradable
36 polymers, is essentially unsuitable for most packaging applications and therefore, needs to be
37 modified to increase its usefulness and value through using many different physical and chemical
38 methods. Blending method is a desirable physical approach for synthesizing a polymeric film when
39 polymers, in terms of the thermodynamic, are compatible. The performance of PVA film through
40 blending can be modified in relation to resistance against water (Guohua et al., 2006), increasing
41 the mechanical strength and improvement of water barrier property (Limpan, Prodpran, Benjakul,
42 & Prasarpran, 2010).

43 The biodegradable polymers from the plant and animal sources, including Na-alginate, gelatin,
44 chitosan, κ -carrageenan and carboxymethyl cellulose, are broadly used as the film matrix, which
45 can also be introduced into the other polymers to make blend films. Na-alginate, chitosan and
46 gelatin are commonly used for modification of the films based on konjac glucomannan (Xiao, Gao,

47 & Zhang, 2000), cellulose (Naidu, Sairam, Raju, & Aminabhavi, 2005) and soy protein isolate
48 (Denavi et al., 2009). Carboxymethyl cellulose and κ -carrageenan are also incorporated into the
49 various polymers with the aim of improving the physico-mechanical properties of the resulting
50 blend films (Zhang, Zhang, Lu, & Liang, 2013; Paula et al., 2015).

51 The functional properties of a polymeric film, such as the physical, thermo-mechanical and
52 structural properties are influenced by the conformation mode of the polymer chains, whether by
53 if they have a disordered structure, then their chain interactions relate closely to the degree of
54 space-occupancy by the chain coils. On the other hands, if they exist as ordered molecules, then
55 they are capable of stable association into compact networks (Chronakis & Kasapis, 1995;
56 Lazaridou, Biliaderis, & Kontogiorgos, 2003). Moreover, the role of the structural conformation
57 of a polymer chain is of almost prominent in the kinetics of water solubility, their water vapor
58 permeability and their tensile strength of films formed by such polymers (Kyotani & Kanetsuna,
59 1972; Lazaridou, Biliaderis, & Kontogiorgos, 2003).

60 The coil-overlap concentration (c^*) represents the point where the individual polymer chains begin
61 to come into contact with each other and can be considered as a border concentration between the
62 dilute and the semi-dilute regime. During conformation change above c^* , overlapping of the chains
63 occurs and separate chains become entangled with one another. Due to the coil overlap and
64 entanglement of the strands in this region, there are fairly strong interactions between polymer
65 strands under the semi-dilute condition ($c > c^*$). It leads to the formation of macrostructure
66 assemblages and subsequently increasing the rigidity of the polymer. In contrast, below the coil
67 overlap ($c < c^*$), the biopolymers have a brittle structure with a weak tensile strength (Doi &
68 Edwards, 1988).

69 Although there are many articles in the literature concerning the fabrication, development and also
70 more fundamentally revealing the properties of the biodegradable films, there are rather limited
71 published studies that provide the effect of conformational transition of polymer chains on the
72 physico-mechanical and structural properties of film.

73 The first aim of the current work is to designate the superior binary blend from PVA with several
74 biodegradable polymers at the point of their coil-overlap concentration by using conventional
75 physical and mechanical assays. The second is to test the behavior of the superior PVA blend film
76 under the effect of conformational changes of biopolymer chains from dilute to semi-dilute
77 regimes by some of the most structural experimental. Our initial hypothesis is based on the fact
78 that the disorder-to-order transition of the biodegradable polymer chains can change the physico-
79 mechanical and structural properties of the PVA-based film in a profound manner.

80

81 **2. Materials and methods**

82 2.1. Materials

83 The commercial Na-alginate (50–60 kDa), gelatin (43 kDa with bloom 93) and κ -carrageenan (25-
84 30 kDa) were purchased from Sigma-Aldrich (St. Louis, MO, USA). The carboxymethyl cellulose
85 (90 kDa with the degree of substitution ~ 0.51) and low molecular weight chitosan (45 kDa and
86 $>75\%$ deacetylated) obtained from Merck Co (Merck, Germany). PVA with average molecular
87 weight of 72000 g/mol (~ 1600 polymerization) and moisture content of 11.2% was kindly
88 provided from Sigma-Aldrich (St. Louis, MO, USA).

89

90 2.2. Coil-overlap point, intrinsic viscosity and coil-overlap parameter

91 The point of coil-overlap concentration was considered for incorporation of the selected
 92 biodegradable polymers into the PVA matrix. Coil-overlap concentration (c^*), intrinsic viscosity
 93 (η) and coil overlap parameters $c[\eta]$ were measured by an Ostwald viscometer with nominal
 94 constant 0.011 mm.s^{-2} (Witeg Co., Germany) that's equipped with a thermostatic water bath under
 95 precise temperature control. Various amounts of the biodegradable polymers (0.05-0.45 g/dL),
 96 excluding chitosan, were dissolved in deionized water to cover the span of concentrations from
 97 dilute to the semi-dilute regions. Chitosan although insoluble in water at pH=7, is nonetheless
 98 soluble in acetic acid solution. The chitosan was dissolved in 1% (v/v) of acetic aqueous solution
 99 and stirred at 60°C for 30 min to obtain a homogeneous solution. Starting with, exactly 2 ml of
 100 each solution, the system was manually diluted after generating at least three efflux time readings
 101 at each concentration. Then, the relative viscosity (η_{rel}), reduced viscosity (η_{red}) and the inherent
 102 viscosity (η_{inh}) were calculated by using Eqs. (1), (2) and (3), respectively as follows:

$$103 \quad \eta_{\text{rel}} = \frac{\eta}{\eta_0} = \frac{t}{t_0} \cdot \frac{\rho}{\rho_0} \quad (1)$$

$$104 \quad \eta_{\text{red}} = \frac{(\eta_{\text{rel}} - 1)}{C} \quad (2)$$

$$105 \quad \eta_{\text{inh}} = \frac{\ln(\eta_{\text{rel}})}{C} \quad (3)$$

106 where, t/t_0 and ρ/ρ_0 are the ratio of efflux time of solution to solvent and the ratio of the density of
 107 the solution to the solvent, respectively. $(\eta_{\text{rel}} - 1)$ is specific viscosity (η_{sp}) and concentration of the
 108 biopolymers is represented as C.

109 The intrinsic viscosity is obtained from the extrapolation of $\ln(\eta_{\text{rel}})/c$ and η_{sp}/c to infinite dilution
 110 according to the Huggins's equation (4) and Kraemer's equation (5) as follows ([Huggins, 1942;](#)
 111 [Kraemer, 1938](#)):

$$112 \quad \frac{\eta_{\text{sp}}}{C} = [\eta] + K_1[\eta]^2C \quad (4)$$

113 $\frac{\ln(\eta_{rel})}{c} = [\eta] + K_2[\eta]^2C$ (5)

114 Here, K_1 and K_2 are the Huggins's and the Kraemer's constants, respectively (Morris, Cutler, Ross-
115 Murphy, Rees, & Price, 1981).

116

117 2.3. Preparation of blend films

118 PVA as a film matrix was prepared by the casting method. The PVA powder was dissolved in
119 deionized water (4 g/dL) at 75°C for 2 h. Separately, the specific concentration of each
120 biodegradable polymers, in the accordance with its c^* , dissolved in deionized water (in the case of
121 chitosan, 1% (v/v) of acetic acid) and heated at 75°C under constant stirring. The each
122 biodegradable polymer solutions were incorporated into the PVA solution to obtain binary blend
123 solutions and keep stirring for 2 h at 75°C.

124 After the assessment, 20 ml of each solution was poured into the glass plate and transferred into
125 the oven set at 48°C and a RH of 40%. The films were peeled off the glass plates after 18 h, and
126 conditioned for 36 h at 45% RH in the ambient temperature for further experiments.

127

128 2.4. The blends comparison

129 The tensile strength and elongation at break from the mechanical assay, water vapor permeability
130 and water resistance from the physical ones were performed for selecting the superior blend of
131 PVA with biodegradable polymers. Then, the behavior of the eminent blend film was designated
132 for further physical and structural experiments.

133

134 2.4.1. Water solubility (WS)

135 The WS value of the film or total soluble matter was expressed as the weight percentage of the
136 film solubilized after 24 h immersion in distilled water. The films were cut in 5×5 cm and dried at
137 105°C for 24 h. Then, they were placed in a Meyer flask (containing 250 ml distilled water) and
138 vigorously shaken for 24 h. The film specimens were dried again at 105°C for 24 h. WS values
139 were determined according to Eq. (6):

$$140 \quad WS = \frac{S-S_0}{S_0} \quad (6)$$

141 where, S is the insoluble dry matter and S₀ is the initial dry matter (initial sample weight × dry
142 matter).

143

144 2.4.2. Water barrier properties

145 The water vapor transmission rate (WVTR) of the films was determined by using the E398-03
146 method with slight modifications (ASTM, 2003b). The dried specimens (5.5 cm) were attached to
147 a poly (methyl methacrylate) permeation cell with an inner diameter of 50 mm containing dry
148 silica gel and the permeation cell were placed in a special chamber. Relative humidity was
149 controlled to be 32% using MgCl₂ at 40°C on one side of the film. The weight of each cup was
150 regularly recorded every 2 h during on 18 h. The weight gain versus time was plotted and WVTR
151 obtained from its slope by linear regression. The WVP (g/m.s.Pa) was calculated by the following
152 equation:

$$153 \quad WVP = \frac{WVTR \times L}{\Delta P} \quad (7)$$

154 where, L is the film thickness (mm) and ΔP (Pa) is the partial pressure difference between two
155 sides of the film.

156

157 2.4.3. Mechanical properties

158 Tensile strength (TS) and elongation at break (EB) were obtained by using a texture analyzer (TA,
159 TA-XT plus, UK) according to the ASTM D882-02 (ASTM, 2002). The rectangular strips of the
160 films (8×1 cm) were preconditioned at 25°C with a RH of 50% for 24 h. Then, they were subjected
161 to the tensile shearing in the grip of the TA. The Initial distance of grip separation was set at 6 cm
162 and the speed was set at 20 mm/min. TS value was determined from the maximum force recorded
163 prior to breakage divided by the cross section of the rectangular specimens. Elongation at break
164 value was obtained from change in the initial length of 6 cm. All the data were analyzed by
165 Exponent Lite Software (version 6.1.4).

166

167 **2.5. Structural properties**

168 2.5.1. Infrared spectroscopy

169 Infrared spectroscopy (Thermo Nicolet Avatar, 370 FT-IR instrument) was used to study the
170 surface structure of the film. The samples placed in the KBr pellets for scanning spectral region at
171 wave number ranges of 400 and 4000 cm⁻¹, and 50 scans were recorded with 1 cm⁻¹ resolution of
172 the sample.

173

174 2.5.2. Surface hydrophobicity

175 Contact angle (CA) at a point of the three phase contact line between a solid phase (S), a liquid
176 (L) and its vapor (V) is related to the three interfacial energies between these phases are described
177 by Young-Dupre equation:

$$178 \gamma_{LV} \times \cos\theta = \gamma_{SV} - \gamma_{SL} \quad (8)$$

179 where γ_{LV} , γ_{SV} and γ_{SL} are the interfacial tensions of the liquid–vapor, solid–vapor and solid–
180 liquid, respectively (Young, 1805; Dupre, 1869).

181 The film was transferred into a flat surface and 5 μl drops of the tested liquids (Milli-Q water,
182 glycerol, methlethylene iodide and toluene) and film forming solution with a Hamilton syringe
183 (100 μl , Hamilton, Switzerland) placed on the film surface. The contact angle was measured with
184 a contact anglemeter (OCA 20, Dataphysics, Germany) at natural light after 30s. The images were
185 analyzed by Dino Lite Pro software.

186

187 2.5.3. Surface tension and critical surface tension determination

188 The surface tension of the blend film solutions (γ_L) was obtained through the sessile drop method
189 and Laplace–Young estimation (Song & Springer, 1996). The estimation of the critical surface
190 tension (γ_C) of the PVA film and PVA blends was determined through extrapolation from the
191 Zisman plot (Zisman, 1964). Zisman plots were obtained by plotting the cosine of the contact
192 angles ($\cos \theta$) of a series of the four different liquids (two of which are polar) include Milli-Q
193 water, glycerol, methlethylene iodide and toluene, as well as the film forming solution on the film
194 surface against the surface tension of the same liquids. The extents of γ_C of the films are the mean
195 of the extrapolation of $\cos \theta$ at the intercept for the liquids that forms a straight line. Extrapolation
196 of this line to the point where $\cos (\theta) = 1$, yields the γ_C value, which is almost equal to the liquid
197 surface tension (γ_L) at this point.

198

199 2.5.4. Surface free energy and wettability

200 The Owens–Wendt method (Owens & Wendt, 1969) was employed for obtaining the surface free
201 energy and wettability based on the linear relationship between the contact angle and the surface
202 tension of the film whose polar (γ_S^P) and dispersive (γ_S^D) interfacial energy components according
203 to Eqs. (9) and (10):

204 $\gamma_S = \gamma_S^D + \gamma_S^P$ (9)

205 $\gamma_L(1 + \cos \theta) = 2 \times [(\gamma_S^D \gamma_L^D)^{0.5} + [(\gamma_S^P \gamma_L^P)^{0.5}]$ (10)

206 where, γ_L^D and γ_L^P are dispersive and polar surface tension of the specific liquid components.

207 There is a two unknowns in this equation i.e. γ_S^D and γ_S^P , so determination of the surface free

208 energy is inadequate to obtain these two components. It is however possible to attain the polar and

209 dispersive component of the surface tension from the intercept and slope of the curve. Rearranging

210 Eq. (10) yields:

211 $\frac{(1+\cos\theta)}{2} \times \frac{\gamma_L}{\sqrt{\gamma_L^D}} = \sqrt{\gamma_S^P} \times \sqrt{\frac{\gamma_L^P}{\gamma_L^D}} + \sqrt{\gamma_S^D}$ (11)

212 It has been proposed that the interfacial tension of liquid–vapor is the sum of contributions from

213 different intermolecular forces, according to existing attractive forces at a specific interface

214 (Dupre, 1869). In the case of a pure liquid, when polar and dispersive interactions are known, and

215 when the contact angle between the pure liquid and a solid is determined, the interaction can then

216 be defined using the adhesion coefficient (W_A), as given using Dupre equation (Dupre, 1869):

217 $W_A = W_A^D + \gamma_A^P \Rightarrow 2 \times [(\gamma_S^D \gamma_L^D)^{0.5} + [(\gamma_S^P \gamma_L^P)^{0.5}] = \gamma_L(1 + \cos \theta)$ (12)

218 and the cohesion coefficient (W_C), given by:

219 $W_C = 2\gamma_{LV}$ (13)

220 Then, the extent of spreading coefficient (W_S) for a liquid upon a solid is the sum of adhesion and

221 cohesion coefficients obtained by:

222 $W_S = W_A - W_C = \gamma_{SV} - \gamma_{LV} - \gamma_{LS}$ (14)

223 This is the work required to separate the liquid and solid from being in contact at a liquid-solid

224 interface. It is noteworthy that the equilibrium spreading coefficient can only be positive or zero

225 for a fully wetting case, or can be negative or equal to zero for a partially wetting liquid.

226

227 2.5.5. Morphological behavior by FE-SEM

228 The surface and cross section morphologies of the blend films were evaluated using a field-
229 emission scanning electron microscope (S-4700, Hitachi, Japan). In the case of surface
230 morphology, the specimens were coated with a thin layer of gold as in preparing any non-
231 conducting material and 20.00 kX magnification objectives used. In the case of cross sectional
232 assay, the film samples were first immersed in liquid nitrogen to freeze and then rapidly broken.
233 Next, the specimens were attached on aluminum stubs by a double-sided tape, and coated with a
234 thin layer of gold at 20 mA for 2 min (JEOL JFC-1600, Auto Fine Coater, Tokyo, Japan) and 3.00
235 kX magnification objectives were used. All tests were performed with an accelerating voltage of
236 5 kV.

237

238 2.5.6. Crystallinity of the blend film

239 Crystalline property of the films was investigated by XRD (PHILIPS X-PERT PRO, Netherland)
240 with 40 kV energy, 30 mA current and Co K α irradiation ($\lambda= 1.54056 \text{ \AA}$). The samples were
241 irradiated in the angle range of 2-90 $^\circ$ and scanned at a speed of 0.018 $^\circ$ /min at room temperature.

242

243 2.6. Statistical analysis

244 After conducting the measurements, an analysis of data on CRD was carried out using the analysis
245 of variance (ANOVA), followed by Duncan's test procedure in SPSS software (Version 19, SPSS
246 Inc., Chicago, IL). A value of $p < 0.05$ was considered to be statistically significant. The results of
247 all the experiments were analyzed in triplicate and the mean and standard deviation of the data
248 were reported.

249

250 3. Results and discussion

251 3.1. Rheological characteristics

252 In order to reveal the appropriate concentration of each polymer aimed at incorporation into the
253 PVA matrix, first we need to determine the chain entanglement of these polymers. The coil-overlap
254 concentration was determined by plotting the zero shear specific viscosity (η_{sp})₀ as a function of
255 biodegradable polymer concentration (Fig.1a). There is a master curve, which encompasses all the
256 polymers used in this work, although the data fit is inferior for the lower concentrations. It can be
257 found from Fig. 1a that there are two regions in the curve, which can be characterized by the two
258 different slopes in each curve. The first slope behavior has a linear trend, where the individual
259 chains are extant as the separated coils, related to the line with a slope of ~ 1.4. Another slope
260 curve has been introduced as the overall hydrodynamic volume of the individual separate chains
261 more than the volume of the solution. The slope of the curves changed sharply at a particular
262 concentration, where a much more rapid increase found in the specific viscosity. This point was
263 considered as the polymer coil-overlap concentration, and for Na-alginate, gelatin, κ -carrageenan
264 (KC), chitosan and carboxymethyl cellulose (CMC) determined around 0.125, 0.175, 0.275, 0.325
265 and 0.375 g/dL, respectively.

266 It is indicated that the change of macromolecules conformation upon the coil overlap cause to the
267 formation of the entanglements (Morris et al., 1981). Therefore, an aggregation in the chain causes
268 by an entanglement involves reptation motion leads to a change in the chain conformational
269 interaction (Doi & Edwards, 1988). At $c < c^*$, individual coils are separated and have little mutual
270 interference, which flow behavior is Newtonian. Above c^* ($c > c^*$), the chains become highly
271 entangled that can move by reptating through the network and the macrostructure entanglement of
272 those polymer formed due to the chain-chain interaction. When polymer concentration is the equal

273 or higher than its coil-overlap concentration, the chains are forced to interpenetrate and form an
274 entangled system, which expected rigidity and tightly increased. This is more prominent in the
275 some features of a polymeric film such as mechanical properties, where rigidity can increase the
276 tensile strength of the film.

277 The intrinsic viscosity is a relationship between volume associated with a given mass of the
278 polymer and coil overlap. According to the Huggins and Kraemer's equations, the intrinsic
279 viscosity is obtained from the mean value of the extrapolation of $(\eta_{rel}-1)/C$ and/or $\ln(\eta_{rel})/C$ to
280 zero concentration. As can be seen, the higher value of the intrinsic viscosity belongs to Na-
281 alginate with a value of 30.59 dL/g, and the lower one pertains to the gelatin about 2.57 dL/g
282 (Table 1). The intrinsic viscosity values for KC and gelatin were to some extent higher than that
283 of other findings by some authors (Vreeman, Snoeren, & Payens, 1980; Brown, Foster, Norton, &
284 Underdown, 1995).

285 The zero shear specific viscosity $(\eta_{sp})_0$ versus the concentration of a polymer varies depends on
286 the molecular weight and its conformation due to the corresponding changes in the coil overlap
287 (Doi & Edwards, 1988). The logarithmic curve of the coil-overlap parameter, as shown by $c[\eta]$,
288 against $(\eta_{sp})_0$ exhibits two distinct districts (Fig. 1b). It indicates an extent of the overall volume
289 occupied by all coils within a biopolymer (Kyotani & Kanetsuna, 1972). It can be found from Fig.
290 1b, the point of critical coil-overlap parameter ($c^*[\eta]$) of Na-alginate, KC and chitosan is obtained
291 around ~ 4 (see Table 1), which is similar to the onset of coil-overlap parameter for the random
292 coil polysaccharides that reported in previous studied (Morris et al., 1981). Moreover, the attained
293 zero specific viscosity for the chitosan and KC at $c^*[\eta]$ was somewhat lower than the report of
294 Morris and co-workers (1981). These authors reported that $(\eta_{sp})_0$ of the random-coil
295 polysaccharides have a value of ~ 10 at the intersection point. In the some cases, the rigid

296 biopolymers can be more efficiently packed ([Smidsrød & Haug, 1971](#)). Hence, the lower shear
297 specific viscosity value regarding chitosan and KC at point of $c^*[\eta]$ may be attributable to the
298 more rigid conformation of the biopolymers structure.

299

300 3.2. Physical properties of the blend films

301 3.2.1. Water solubility (WS)

302 The resistance against water or water solubility can be determined by measuring the total soluble
303 fraction of the film ([Rhim, 2012](#)). As PVA is highly soluble in water, any improvement in the
304 withstanding against water is extremely essential. As it can be seen in Table 2, the water solubility
305 of PVA decreased as a function of the incorporation treatment with a considerable decrease
306 regarding PVA/KC blend. Overall, the WS value of the PVA from 48.9% decreased to 44.0% after
307 introducing of gelatin, and when Na-alginate and CMC are incorporated further decrease observed
308 to around 40.5%. Comparison between the neat PVA and PVA/chitosan films exhibited that WS
309 much more reduced to 29.4%. The development of hydrogen bonds by chitosan introducing may
310 be inaccessible the hydrophilic groups of PVA from water. Although, some authors believe that
311 increasing the number of the amino groups on the blend film leads to a decrease in WS ([Wu,
312 Zhong, Li, Shoemaker, & Xia, 2013](#)). There is a greater decrease in WS of the PVA/KC compared
313 the other blends, which allows the WS value decreased to 25.1%. The entangled KC chains can be
314 formed more hydrogen interactions with PVA strands, in which the polar groups of PVA less
315 exposure to water molecules ([Shahbazi, Rajabzadeh, Ettelaie, & Rafe, 2016](#)).

316

317 3.2.2. Water barrier properties

318 Water vapor permeability (WVP) test is a common method to evaluate the potential of vapor
319 penetration into the packaging, which is considered as an important parameter to design the

320 packaging film (Binsi, Ravishankar, & Srinivasa Gopal, 2013). Table 2 shows the changes in WVP
321 of the PVA film with respect to incorporation of the various biodegradable polymers. In our
322 permeability study, a considerable increase in the barrier property was observed in the films that
323 containing Na-alginate, KC and chitosan. Conversely, the incorporation of gelatin had a
324 detrimental effect on the barrier property of PVA film, in contrast to that of the intact film. It is
325 may be due to increasing the free-volume of the blend matrix results from the bulkier anionic side
326 groups of the gelatin (Tong, Xiao, & Lim, 2008). It is worth noting that WVP value regarding
327 PVA/CMC blend was almost similar to the neat PVA film. An improvement in the barrier property
328 noticed after chitosan incorporation, where WVP from an initial value of 7.42×10^{-7} g/m.s.Pa
329 reduced to 1.03×10^{-7} g/m.s.Pa. In the case of PVA/Na-alginate blend, the WVP value fell
330 dramatically down to 0.11×10^{-7} g/m.s.Pa. The Na-alginate chains at the entanglement point can
331 have more strong interaction with PVA strands. This provides a denser structure in the film matrix,
332 where H₂O molecules hardly pass through the film matrix. Paula et al., (2015) reported that
333 incorporation of Na-alginate into KC matrix leads to a notable decrease in WVP by 90%. The
334 WVP results also represent a prominent resistance to the vapor transition in the PVA/KC film. The
335 development of relatively tough structure is a result of linkage between hydrophilic groups of KC
336 and PVA, which block the paths of water transport due to a reduction in the free volume of the
337 film matrix (Xu, Kim, Hanna, & Nag, 2005).

338

339 3.2.3. Mechanical properties

340 The intermolecular and intramolecular interactions between biopolymer chains are the main
341 factors affecting the film mechanical properties. These interactions relate to the arrangement and

342 orientation of the chains in the biopolymer matrix, which often can be improved by the synthesis
343 of blend films (Cuq, 2002).

344 The influence of the various biodegradable polymers on the tensile strength (TS) of PVA film is
345 summarized in Table 2. As can be seen, the TS value of the PVA film was almost unchanged after
346 incorporation of CMC (about 33 MPa). On the other hands, the extent of TS decreased when
347 gelatin incorporated into the PVA matrix. The decline in the TS of a blend system can be ascribed
348 to increased stress in the continuous phase (PVA) owing to the lack of the stress transfer across
349 the blend matrix interface. Table 2 showed that the TS value of PVA film increased after
350 introducing the Na-alginate, KC and chitosan, among them the lowest value belonged to
351 PVA/chitosan film. Increase in the TS value is more pronounced, particularly concerning the
352 PVA/KC film. The TS value of the neat PVA film was obtained 33.4 MPa, but increased to 71.2
353 MPa regarding PVA/KC film. The more improvement in stiffness of the blend film may be
354 attributed to the formation of hydrogen bonds between biopolymer molecules. This makes the
355 structure of the blend film to be much denser than the neat one (Cheftel, Cuq, & Lorient, 1985).

356 The biopolymers dependency of elongation at break (EB) for the blend film is also presented in
357 Table 2. There is no significant difference in the EB after incorporation of CMC ($p < 0.05$). The
358 lowest EB belonged to the films containing Na-alginate and KC. It is observed that the EB value
359 of the neat film from 28.4% decreased to 21.2 and 17.4% after blending with Na-alginate and KC,
360 respectively. To explain this phenomenon the authors believe that the polar groups of biopolymers
361 can form hydrogen bonds, which results in some degree of resistance against stretching (Xiao,
362 Lim, & Tong, 2012).

363

364 3.3. Structural evaluation of PVA/KC blend

365 The obtained results already verified that the PVA/KC was as a superior blend in term of physico-
366 mechanical properties. The following six sections are dedicated to describe in-depth some of the
367 most structural properties of PVA film after incorporation with KC at the lower and upper the coil-
368 overlap point of KC chains, in particular FT-IR spectroscopy, contact angle and wettability assays,
369 surface free energy, microstructural evaluation by FE-SEM and X-ray diffraction.

370

371 3.3.1. FT-IR spectroscopy

372 FT-IR spectra of neat PVA, pure KC and their blends are given in Fig. 2. In the IR spectrum of
373 KC, several noticeable characteristic peaks, ranging from 4000 to 400 cm^{-1} , are observed. The
374 characteristic peak of KC shows a maximum at 1225 cm^{-1} . This can be attributed to the S–O
375 asymmetric vibrational mode. Similarly, a band detected in 1040–1070 cm^{-1} region is due to C–O
376 and C–OH vibrations (Matsuhiro & Rivas, 1993). Furthermore, a well-defined band at 845–850
377 cm^{-1} corresponds to α (1–3)-D-galactose C–O–S vibration.

378 The incorporation of KC up to 0.2 g/dL into PVA did not initiate any shifts of the peaks for the
379 blends or caused the appearance of any new bands. In principle, then, this shows that there are no
380 significant interactions between PVA and KC chains, at this level of KC. The intensity of the
381 typical peak of PVA films containing 0.3 and 0.4 g/dL KC did decay in the first region (3700-2850
382 cm^{-1}). This is also somewhat true in the second area (1800-1500 cm^{-1}). For example, the intensity
383 of C=O band at around 1740 cm^{-1} observed to decrease after KC incorporation due to consumption
384 of further free carbonyl groups. In the fingerprint region, the IR spectrum of PVA drastically
385 changed with KC introducing at the level of the coil-overlap concentration. The appearance of
386 several new characteristic bands regarding KC on the PVA spectrum observed at 1225 cm^{-1}
387 (assigns to S–O vibrational mode), 1070 cm^{-1} and 850 cm^{-1} (regards to C–O–S vibrational mode),

388 which is a result of KC incorporating at the levels of 0.3 and 0.4 g/dL. This proves the presence of
389 the higher degree of substitution of α (1–3)-D-galactose bond of KC in the blend structure.

390

391 3.3.2. Contact angle

392 The wetting property is determinative of the ability of a liquid to maintain contact with a surface
393 of a solid, which corresponds to the intermolecular interactions between biopolymers in a film.
394 Commonly, contact angle (CA) is used to evaluate the change in the surface hydrophobicity of
395 blend film.

396 Table 3 shows the CA values of the four solvents (water, glycerol, methylene iodide and
397 toluene), as well as the film forming solution drops on the PVA film surface, which the highest
398 value belongs to water ($\theta=56.8^\circ$). Water has a much stronger interaction with PVA film than with
399 the others, confirming that the PVA-based film has mostly a hydrophilic nature. The opposite is
400 observed with toluene, which is a very apolar solvent, thus has the lowest value of CA ($\theta=18.2^\circ$).

401 The influence of various KC contents on the water contact angle of the PVA film can be seen in
402 Table 4. The CA value of the PVA films consist 0.1 and 0.2 g/dL of KC is similar to the neat PVA
403 film ($\theta \approx 56-58^\circ$). An increase in the water contact angle of the PVA film by a value of 11.3°
404 observed, when KC at the point of its entanglement incorporated into the film matrix. Among the
405 PVA films that have undergone the incorporation treatment, the film contains 0.4 g/dL KC was
406 accompanied by the highest CA value ($\theta=76.5^\circ$). This improvement essentially results from the
407 formation of hydrogen bonds in the blend, leading to further rigidity of the film matrix.

408 The effect of KC on the CA of the biodegradable film has rarely been addressed by researchers. In
409 one study conducted by Rhim (2012), the blend film based on agar/KC with various ratios prepared

410 and the results showed that the ratio of 75/25 agar to KC had a greater value of CA. This author
411 also found that increase in KC amount did not affect the surface hydrophobicity of the blend.

412

413 3.3.3. Surface tension and critical surface tension

414 This experiment was intended to evaluate the compatibility of PVA/KC film forming solutions
415 when KC in a range close to c^* incorporated into the PVA matrix. Most importantly, to confirm
416 the sufficient bonding, spreading and wetting, the film forming blend solution should have a liquid
417 surface tension (γ_L) higher than the critical surface tension (γ_C) of the PVA-based film forming
418 solution.

419 Fig. 3 shows a Zisman plot with $\cos \theta$ plotted against surface tension for the tested liquids, as well
420 as the film forming solutions of PVA and PVA/KC blends. The high hydrophilicity of water and
421 glycerol can lead to a strong interaction between these two liquids with PVA polymer. After
422 incorporating KC at the levels of 0.3 and 0.4 g/dL, the value of γ_C decreased notably (that indicated
423 by γ_{C2}). The formation of entangled chains in KC molecule demonstrates this difference. The
424 interaction of KC with PVA consumes more hydroxyl groups of PVA, leading to a decrease of the
425 surface tension. By comparing the critical surface tension between the blends containing KC at the
426 levels of 0.3 and 0.4 g/dL, it is found that PVA containing 0.4 g/dL KC had a lower γ_C value than
427 0.3 g/dL sample. In general, the result of Fig. 3 revealed that the all film forming solutions had a
428 γ_C value in the range of 26.1–29.19 mN/m, which is very comparable to those for the common
429 polymers (Han, Zhang, & Buffo, 2005). According to the Zisman plot, liquids with $\gamma_L \leq \gamma_C$ will
430 fully spread on the film surface, thus wetting the surface. Therefore, it can be inferred that the
431 liquids with $\gamma_L \leq 26.1$ mN/m can wet the film effectively. Since the incorporation of KC at the point
432 of c^* decreased the film surface tension, the wettability of the PVA-based film decreases. It must

433 be borne in the mind that γ_c is the critical surface energy of wetting and not equal to the actual
434 surface energy of the films, which actually is higher than γ_c , particularly when considering the
435 polar character of PVA.

436 Optimization of the wettability coefficient (W_s) requires optimization of adhesion work (W_A) and
437 cohesion work (W_C). Cohesion force causes the shrinkage and adhesion ones drive the spreading
438 of the liquid. The wettability results are presented in Table 3. The higher W_C found in the case of
439 the film forming solution droplet of the neat PVA and the lower one relates to PVA containing 0.4
440 g/dL KC. Higher cohesive value of a film forming solution results in the contraction of the droplet
441 and hence preventing its spreading. So, it is expected that the PVA film forming solution consists
442 0.4 g/dL KC, with the lowest W_C , more wet the film surface than the other film forming solutions.
443 But since this sample clearly had a lowest adhesion work, so W_A value acted as a dominant factor
444 for preventing from spreading. The W_s value of neat PVA film forming solution decreased notably
445 with the inclusion of KC at the levels of 0.3 and 0.4 g/dL from -2.5 down to -2.8 and -3.2,
446 respectively.

447

448 3.3.4. Surface free energy

449 The values of the surface free energy and dispersive and polar components of the neat and blend
450 PVA films are shown in Fig. 4 and data summarized in Table 4. For the pure PVA film, the surface
451 free energy and the polar component were found to be higher in comparison to the blend films.
452 This demonstrates the ability of PVA to take part in polar interactions with polar liquids. The
453 existence of more hydrophilic functional groups in the PVA film led to an increase of the interfacial
454 free energy with water, raising the polar phase. The KC at a level of 0.1 and 0.2 g/dL had no
455 prominent effect on the surface free energy relative to that of the neat PVA. In contrast, the films

456 containing 0.3 and 0.4 g/dL KC showed a lower interfacial free energy and reduced polar
457 component compared to the neat PVA. These results confirm that the incorporation of KC at the
458 entangled point, contribute to the increase in the film hydrophobicity. Conversely, KC chains
459 which are presented as the disordered form, below its entanglement concentration, did not
460 noticeably change the interfacial energy of the pure PVA film.

461

462 3.3.5. Microstructural evaluation

463 FE-SEM photographs of the neat PVA film and PVA/KC blends are shown in Fig. 5. The neat
464 PVA film has a non-uniform and rough structure with many apparent micro-cracks and gaps on its
465 surface (Fig. 5a). This can be further supported with a result of the lack of a suitable plasticizer in
466 the neat PVA film. The plasticizers with decrease the intramolecular force between chains leads
467 to the further mobility of the chain strands. This leads to an increase in the flexibility and elasticity
468 of the film matrix.

469 The micrographs clearly displays that the surface morphology of PVA film become uniform with
470 an even morphology after blending with KC (Figs. 5c-d). Although, the PVA film containing 0.1
471 g/dL KC retains uneven with some cracks on its surface (Fig.5b). The micrograph in Fig.5c shows
472 the blend containing 0.2 g/dL of KC. This blend has a fairly smooth and flat morphology without
473 any fracture in the film matrix. It is clear that the cracks have all but disappeared and the surface
474 has become more uniform with the addition of 0.3 g/dL KC to the PVA matrix (Fig. 5d). The most
475 prominent effect of KC introducing at the level of 0.4 g/dL into the PVA was the loss of film
476 integrity and turns it into a rough structure (Fig. 5e). This can be explained by the development of
477 some aggregates of KC chains with further addition of KC, up to 0.4 g/dL when incorporated into
478 the PVA matrix.

479 Fig. 6 illustrates the cross-sectional micrographs of the PVA film and its blends with KC. Uneven
480 fracture cross sections are apparent in the neat PVA film and PVA containing 0.1 g/dL KC (Figs.
481 6a and 6b). On the other hand, the cross-sectional microstructure of PVA containing 0.2 g/dL KC
482 showed that some degree of roughness, but have a fairly uniform homogeneous texture (Fig 6c).
483 In the cross section micrograph of PVA containing 0.3 g/dL KC, the blend showed a more
484 homogeneous texture without any prominent phase separation, suggesting a good level of
485 miscibility between PVA and KC (Fig. 6d). When KC was incorporated at the level of 0.4 g/dL, a
486 rough structure in the blend film observed (Fig. 6e).

487

488 3.3.6. XRD assay

489 The XRD pattern of the PVA film and its blends are shown in Fig. 7. Pure PVA showed a sharp
490 characteristic diffraction peak at an angle of $2\theta=19.6^\circ$, which is also observed for the other blends.
491 As can be seen in Fig. 7, the diffraction pattern of KC has a pronounced peak at $2\theta=6.2^\circ$, along
492 with another broad hump in the range of $2\theta=9.4-27.7^\circ$, which indicated an amorphous or somewhat
493 semi-crystalline form of KC structure. The crystallinity of KC mostly depends on the oriented
494 packing of the chain helices in this biopolymer (Anderson, Campbell, Harding, Rees, & Samuel,
495 1969). The PVA pronounced peak after blending with 0.1 and 0.2 g/dL KC, was found not to
496 change or emergence new peak. This is attributed to the facts that at these concentrations, KC
497 chains have a coil-like conformation and has not as yet overlapped with each other. As already
498 mentioned, in the dilute region, KC chains exist as a spatially separate individual coil and the
499 molecular conformation has an amorphous state. The phase transition from amorphous to semi-
500 crystalline state occurs at a concentration above the level of critical coil-overlap point. So, KC at

501 the levels of 0.1 and 0.2 g/dL still retains its amorphous nature and, therefore, has no effect on the
502 peaks arising from PVA in the spectra.

503 The deeper change was happened in the XRD pattern of PVA, when KC incorporated at the point
504 of c^* . With the addition of KC at the levels of 0.3 and 0.4 g/dL, a significant peak appeared at
505 $2\theta=6.2^\circ$ on PVA peak, corresponding to the characteristic peak of KC. The interaction and binding
506 between biopolymer strands, i.e. PVA and KC, gives rise to a certain degree of crystallinity in the
507 blend structure, as characterized by the distinctive peak at two theta of 6.2° .

508 In the case of d-spacing of PVA layers (d_{001}), the KC at the lower point of its coil-overlap could
509 not be changed d-spacing of the PVA layers, but at the levels of 0.3 and 0.4 g/dL, the extent of d_{001}
510 value was decreased notably. The peak that is located at $2\theta=19.6^\circ$ regarding the neat PVA film
511 and those containing 0.1 and 0.2 g/dL KC, have comparable d_{001} spacing value (5.1\AA). This result
512 indicates that the crystalline structure of the PVA blend films was not significantly affected by KC
513 at the disorder state. In contrast the d_{001} value of the sample contains 0.3 g/dL KC from an initial
514 value of 5.1\AA fell down to 4.6\AA . A further decrease in d_{001} observed in the sample contains 0.4
515 g/dL KC, which in this, d-spacing considerably decreased to 4.3\AA at the angle of $2\theta=19.6^\circ$. This
516 is an indication that the intermolecular interaction between PVA and KC happens in the crystalline
517 region of PVA.

518

519 **4. Conclusion**

520 Binary blend films of poly (vinyl alcohol) were developed using selected biodegradable polymers
521 based on Na-alginate, gelatin, chitosan, κ -carrageenan and carboxymethyl cellulose. Preliminary
522 results showed that the binary blend films exhibited relatively good water barrier property and
523 resistance against water, as well as somewhat good mechanical properties, except poly (vinyl

524 alcohol/gelatin film. Among the biodegradable polymers that were introduced into poly (vinyl
525 alcohol) matrix, κ -carrageenan was highly suitable to form a binary blend film due to low water
526 solubility and water vapor permeability values, as well as high tensile strength parameter.
527 Therefore, the effects of disorder-to-order transition chains of κ -carrageenan on poly (vinyl
528 alcohol) matrix were performed by structural studies. Hydrophobicity of poly (vinyl alcohol) film
529 increased with κ -carrageenan incorporating, especially at the point of its chain coil-overlap or
530 higher. It can be concluded that the characteristic peak regarding κ -carrageenan was appeared in
531 the fingerprint region of poly (vinyl alcohol) at the above point of chain entanglement of κ -
532 carrageenan. The coil-overlap effect of κ -carrageenan was reflected in the surface morphology of
533 poly (vinyl alcohol), where micro-cracks were eliminated. At the point of κ -carrageenan entangled
534 chains or higher, the new pronounced peak appeared on poly (vinyl alcohol) pattern as shown by
535 X-ray diffraction.

536

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