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1 **Evaluation of trained volunteer doula services for disadvantaged women in five areas in**  
2 **England: women's experiences**

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41

42 **Evaluation of trained volunteer doula services for disadvantaged women in five areas in**  
43 **England: women's experiences**

44

45

46 **Abstract**

47

48 Disadvantaged childbearing women experience barriers to accessing health and social care  
49 services and face greater risk of adverse medical, social and emotional outcomes. Support  
50 from doulas (trained lay women) has been identified as a way to improve outcomes; however  
51 in the UK doula support is usually paid-for privately by the individual, limiting access among  
52 disadvantaged groups. As part of an independent multi-site evaluation of a volunteer doula  
53 service this study examined women's experiences of one-to-one support from a trained  
54 volunteer doula during pregnancy, labour and the postnatal period among women living in  
55 five low-income communities in England.

56

57 A mixed methods multi-site evaluation was conducted with women (total n=137) who  
58 received the service before December 2012, using a combination of questionnaires (n=136),  
59 and individual or group interviews (n=12).

60

61 Topics explored with women included the timing and nature of support, its impact, the  
62 relationship with the doula, and negative experiences. Most women valued volunteer support,  
63 describing positive impacts for emotional health and well-being, and their relationships with  
64 their partners. Such impacts did not depend upon the volunteer's presence during labour and  
65 birth. Indeed, only half (75/137; 54.7%) had a doula attend their birth. Many experienced  
66 volunteer support as a friendship, distinct from the relationships offered by healthcare

67 professionals and family. This led to potential feelings of loss in these often isolated women  
68 when the relationship ended.

69

70 Volunteer doula support that supplements routine maternity services is potentially beneficial  
71 for disadvantaged women in the UK even when it does not involve birth support. However,  
72 the distress experienced by some women at the conclusion of their relationship with their  
73 volunteer doula may compromise the service's impact. Greater consideration is needed for  
74 managing the ending of a one-to-one relationship with a volunteer, particularly given the  
75 likelihood of it coinciding with a period of heightened emotional vulnerability.

76

77

## 78 **Bullet points**

### 79 *What is known about this topic*

- 80 • Disadvantaged childbearing women are at greater risk of adverse outcomes, partly  
81 reflecting barriers to accessing services
- 82 • Support from doulas (trained lay women) has been associated with improved  
83 outcomes; however doula support is usually paid-for in the UK, limiting access  
84 among disadvantaged groups
- 85 • Few studies have explored doula support in settings where midwives are the lead  
86 health professionals.

### 87 *What this paper adds*

- 88 • Women from low-income communities using a volunteer doula service alongside  
89 routine maternity services reported predominantly positive impacts that did not  
90 depend upon volunteers attending labour
- 91 • Women described feelings of loss when the relationship ended

- 92       • Managing the ending of a one-to-one relationship with a volunteer requires greater  
93           consideration given its potential to compromise impact.

94

95

## 96 **Introduction**

97 In the UK, most women access maternity care through the National Health Service; this is  
98 free at the point of access. Midwives work across hospital and community settings,  
99 coordinate the care provided during pregnancy, birth and the early postnatal period and are  
100 the lead healthcare professionals for women whose pregnancies are considered low risk.

101 Women may also receive other statutory services e.g. from General Practitioners, health  
102 visitors, and social services. Disadvantaged women (including those with complex social  
103 needs such as social deprivation, lone parenting, substance misuse, mental illness, domestic  
104 abuse, asylum seekers and refugees) are less likely to access routine services and face  
105 increased risk of poorer maternal and child health outcomes (Downe et al., 2009, Hodnett et  
106 al., 2010, O'hara and McCabe, 2013, Confidential Enquiry into Maternal and Child Health,  
107 2009, Centre for Maternal and Child Enquiries, 2011).

108

109 The National Institute for Health and Care Excellence (NICE) in England and Wales  
110 recommended that service provision for pregnant women with complex social needs be better  
111 integrated both within the NHS and between the NHS and those services provided in the  
112 community by not-for-profit organisations (described in the UK as the voluntary or third  
113 sector) (National Institute for Health and Care Excellence, 2010). This fits with a move in  
114 high-income countries towards using lay health workers (i.e. those with some training, but no  
115 formal professional training or qualification) to engage minority communities and support  
116 those with complex needs (Glenton et al., 2013). Recognising the limited evidence base,

117 NICE identified two research questions that related to this: *What effect does involving third*  
118 *[voluntary] sector agencies in providing support and coordination of care for vulnerable*  
119 *women have on outcomes? Is intervention and/or family support provided by statutory and*  
120 *third [voluntary] sector agencies effective in improving outcomes for women and their*  
121 *babies? (National Institute for Health and Care Excellence, 2010).*

122

123 The research reported here examined a voluntary sector service where disadvantaged  
124 childbearing women are allocated a volunteer 'doula' (the term adopted by the service) with  
125 the aim of enhancing support and wellbeing, and improving the uptake of health and social  
126 services. The doulas are volunteers from the local community who receive accredited  
127 training, funded by the service; as such they are considered lay rather than professional.

128 Training covers preparation for and support during labour and birth, breastfeeding, child  
129 protection, domestic abuse awareness, cultural diversity and communication skills. Salaried  
130 service staff match a volunteer (and, sometimes, a back-up volunteer) to each woman  
131 according to needs and practicalities; facilitate an initial meeting between the woman and  
132 volunteer and mentor the volunteer throughout the support period, typically from the sixth  
133 month of pregnancy until six weeks postpartum. Service policy stipulates that doulas and  
134 women do not have continued contact beyond the ending of the support period.

135

136 In common with models of doula support in previously published research (Hodnett et al.,  
137 2007, Sosa et al., 1980, Steel et al., 2014) the volunteers offer emotional support,  
138 information and physical support, but do not provide clinical care. The volunteer doulas  
139 differ from traditional schemes in two main ways. Firstly, support extends over a long period  
140 rather than being focused on birth and the immediate postpartum; the birth may or may not be  
141 attended by the doula. Secondly, the support offered is more diverse and seeks to optimise

142 women's use of both health and social care services; thus the role includes working closely  
143 with existing services, facilitating communications between the woman, her partner and  
144 health and social care providers, and signposting to other services, including voluntary and  
145 community organisations. In these respects, the closest similar model is the community-based  
146 doula, an extended doula model which has largely focused on supporting young mothers or  
147 those from ethnic minorities (e.g. (Akhavan and Edge, 2012, Breedlove, 2005, Gentry et al.,  
148 2010, Wen et al., 2010)). Support in the scheme evaluated here can include: home visits;  
149 telephone contact; giving information about services and accompanying to appointments;  
150 going for walks and trips to cafes (to reduce social isolation); giving information about  
151 pregnancy, labour, birth and looking after the baby; providing physical and emotional support  
152 during labour and birth; giving practical help with baby equipment; breastfeeding support.

153

154 Previous research has shown doula support to be associated with more positive feelings about  
155 labour, increased feelings of control and confidence as a mother and less postnatal depression  
156 and anxiety (Gordon et al., 1999, Hofmeyr et al., 1991, Langer et al., 1998, Wolman et al.,  
157 1993, Scott et al., 1999). However, research gaps remain. Several studies focused on  
158 intrapartum in-hospital support. A recent critical review (Steel et al., 2014) identified the  
159 relative absence of research examining the outcomes for women receiving doula support in  
160 home or community settings. The review, which focused on 'fee-for-service' doulas, also  
161 noted that, despite the focus of doula care being on social and emotional support, research has  
162 focused on medical outcomes (i.e. pregnancy and birth outcomes). Alongside the relative  
163 dearth of qualitative evidence around recipients' experiences of support is a lack of research  
164 into *how* change is achieved; a notable exception being a grounded theory study identifying  
165 the use of several problem-solving strategies used by community-based doulas working with



166 adolescent mothers (Gentry et al., 2010). In addition, there is a paucity of UK evidence,  
167 where doula support is offered alongside midwifery care.

168

169 We conducted an independent multi-site evaluation, informed by Realistic Evaluation  
170 (Pawson and Tilley, 1997), which was funded by the National Institute of Health Research.  
171 The full report is available (XXXXXXXX, 2015) [blinded for purpose of peer review]. One of  
172 the aims of the evaluation was to examine the health and psychosocial impacts for women  
173 who used the volunteer doula service. Analysis of the service databases suggested some  
174 clinical outcomes of doula supported women were improved relative to the local population;  
175 the caveats around those findings are discussed elsewhere (XXXXXXXX, 2015) [blinded for  
176 purpose of peer review]. This paper focuses on the experiences of the women who used the  
177 service; specifically, the areas of impact and the nature of the relationship that may offer  
178 insights into how such outcomes occur.

179

180

## 181 **Methods**

182

### 183 *Settings*

184 The doula service was originally set up in site A in 2006 and subsequently in 2011 rolled-out  
185 to four other sites (W, X, Y and Z); all of which are low-income communities. The services  
186 are predominately run by voluntary sector organisations. Volunteer doula support is provided  
187 free of charge to women and is additional to routine statutory and voluntary services. Women  
188 may self-refer but are typically referred by another statutory or voluntary agency, usually due  
189 to: being unsupported and potentially birthing alone; experiencing health or social problems;  
190 or having particular concerns about labour and birth. At two sites services are restricted to

191 women from ethnic minority groups and a third serves an area with a very large ethnic  
192 minority population.

193

#### 194 *Ethics and governance*

195 Approval for the study was obtained from the West Midlands Research Ethics Committee  
196 (reference 12/WM/0342) and governance permissions were obtained at each research site.

197

#### 198 *Eligibility*

199 All women who had used the service and whose support had ceased prior to the period of  
200 data collection (December 2012-April 2013) were potentially eligible. Exceptions were those  
201 whose personal circumstances (for example, stillbirth or certain welfare concerns) meant that  
202 contact might increase stress or vulnerability.

203

#### 204 *Procedure*

205 Women were invited to complete a questionnaire and/or be interviewed. Questionnaires were  
206 completed with the assistance of a researcher or interpreter (by telephone) or self-completed  
207 (by post). Interpreter services were favoured over written translation due to the large number  
208 of languages used and because service staff indicated that literacy barriers were not limited to  
209 English language.

210

211 Service staff approached women using the recruitment procedure shown in Figure 1 and  
212 completed anonymised monitoring logs detailing the dates of contact, reasons for non-  
213 approach and reasons for not sending out research packs. Reminder postcards were sent out  
214 three weeks after the initial packs. Women were able to ask questions about the research  
215 before deciding whether to participate. All women indicating interest in being interviewed

216 were provided with further information and written informed consent was secured prior to  
217 interview. Interviews were audio-recorded and transcribed.

218

219

220 *[Figure 1 around here]*

221

### 222 *Development of data collection materials*

223 Following a Realistic Evaluation perspective, literature review and early discussions with key  
224 informants were used to develop topics of interest and *a priori* hypotheses concerning 'what  
225 works for whom, in what circumstances' (Pawson and Tilley, 1997); key informants included  
226 service staff and reference panels comprised of volunteer doulas and women who had used  
227 the service. The topics and hypotheses were subsequently explored by questionnaire and  
228 interviews with participants. No validated questionnaires exist that would enable evaluation  
229 of all aspects identified for investigation. A questionnaire was developed and piloted with the  
230 women's reference panel. The questionnaire included both open and closed question formats.  
231 Due to length, women using assisted telephone completion were asked a reduced set of  
232 questions. A semi-structured interview topic guide was developed, to explore in greater detail  
233 women's experiences of some of the issues raised by key informants, including how the  
234 volunteer role was similar to and contrasted with support from family, partner and  
235 professionals.

236

### 237 *Analysis*

238 A mixed methods evaluation was used whereby the method was considered secondary to the  
239 research question, reflecting a pragmatic perspective (Johnson et al., 2007, Morgan, 2007).

240 Quantitative questionnaire data were analysed using descriptive statistics and chi-squared

241 with Yates' continuity correction using SPSS version 20 (Spss Ibm Corp, 2011). Qualitative  
242 data (including open-ended questionnaire comments and transcription data) were analysed  
243 using content analysis (Grbich, 1999). Anonymous participant identifiers were assigned in  
244 the format: data source (Q for questionnaire and I for interview), identification number, study  
245 site. The open text questionnaire responses were tabulated to show horizontally all of an  
246 individual's responses to the questions and vertically all of the responses received to any  
247 question. This facilitated coding of themes on a question-by-question basis, identification of  
248 disconfirming responses and the exploration of linked patterns between questions. The  
249 transcripts from the interviews were read and reread to gain a detailed familiarity with the  
250 overall accounts, and then systematically coded manually both deductively to identify themes  
251 related to survey questions and *a priori* hypotheses and inductively to identify emerging  
252 themes (Elo and Kyngäs, 2008). These themes were grouped and collapsed into higher-order  
253 conceptual themes with subthemes. The findings of the qualitative and quantitative analyses  
254 were integrated to provide a comprehensive narrative of women's experiences.

255

256 Impacts presented here include: emotional health and well-being; supporting partners and  
257 women's relationships with their partners; endings and loss. Insights into the nature of the  
258 relationship that may inform how these impacts occur are also presented.

259

260

## 261 **Findings**

262

### 263 *Questionnaire response rate*

264 In total, 627 women had used the service. Of these, 578 (92.2%) were sent a postal  
265 questionnaire for self-completion or were contacted by an interpreter or researcher for

266 assisted telephone completion (see Table 1). Reasons for not making contact or sending the  
267 questionnaire were women's circumstances (e.g. stillbirth) and failure to make telephone  
268 contact with women who required an interpreter or did not have address details held by the  
269 services.

270

271 Questionnaires were completed by 136 women; this represented 21.7% of women who had  
272 used the service. One in eight questionnaires were completed by telephone; the majority  
273 using an interpreter (see Table 1). Most women who were interviewed (11/12) also completed  
274 a questionnaire.

275

276 *[Table 1 around here]*

277

278

### 279 *Sample characteristics*

280 Sample characteristics were gathered by questionnaire and are reported in Table 2. This was  
281 an ethnically diverse sample with 33 countries of birth and 29 main languages listed; 41.0%  
282 did not have English as a main language. Reflecting the service's emphasis on women in  
283 situations of disadvantage, including a lack of support, 52.9% reported not having a  
284 supportive partner at the time of the pregnancy and 16.8% reported having no supportive  
285 friends or family at all. Less than half of the women (40.7%) were primiparous. Site A's  
286 service database indicated that multiparous women and older women were overrepresented  
287 amongst questionnaire respondents. The majority of women had been introduced to the  
288 service between 2010 and 2012; earlier introductions (n=23) were limited to the original site,  
289 reflecting the service's histories.

290

291

292

*[Table 2 around here]*

293

294

295 *Description of the volunteer support intervention*

296 The stages, intensity and nature of volunteer doula support are shown in Table 3. Support in  
297 all three stages of the childbearing episode (i.e. antenatal, intrapartum and postnatal support)  
298 was most common (47.8%), followed by support during pregnancy and the postnatal period,  
299 without intrapartum support (26.5%). Of the 122 women whose support commenced during  
300 pregnancy, only 75 (61.5%) had their birth attended by a volunteer. This largely reflected  
301 women's preferences with just nine women reporting that they had wanted the doula there but  
302 that it had not been possible: because the birth happened sooner than anticipated (n=5);  
303 because only one birth partner was allowed (n=3); or because the doula was unavailable  
304 (n=1).

305

306

307

*[Table 3 around here]*

308

309

310 *Impacts of volunteer support*

311

312 *Impact: Emotional health and well-being*

313 The qualitative data illustrated the significance of volunteer support for emotional health and  
314 well-being and this was not dependent on the doula being present for labour and birth.

315 Benefits were particularly evident for women with little other support, but were also found

316 for women who had involved partners or mothers, particularly those women with previous  
317 negative experiences of childbearing. Many described the ways in which change occurred,  
318 offering insights into mechanisms. The volunteer was someone to talk to and to listen to their  
319 concerns in a non-judgemental way, which was important for building confidence and  
320 overcoming feelings of isolation, depression, pregnancy worries and birth fears:

321

322 *... the service should be there for all mothers so won't feel scared or lonely, or ...*  
323 *that's the end of life...I really needed them and they came straight to see me. That's*  
324 *when I saw hope. (Q369Z)*

325

326 Many women commented on how volunteer support helped them to feel more in control of  
327 their maternity care through becoming more aware of their choices; influenced their beliefs in  
328 their own physical abilities around birth and confidence for parenting by supporting their  
329 choices; and facilitated communication with health professionals, helping to navigate  
330 services. Such mechanisms were found both for first-time mothers and mothers who had  
331 previously experienced a difficult birth:

332

333 *Gained confidence and belief in myself to deliver naturally and once my baby was*  
334 *born to get out the house with two babies. (Q334A)*

335

336 *She was my second voice ... she would say, well we could do this, well we could do*  
337 *that... She gave me the confidence to say, no, I don't want to do that, or, yes, I want to*  
338 *do this, or, this is how I'm feeling right now. (I337A)*

339

340 *Impact: Helping women through supporting women's relationships with their partners*

341 Women's comments illustrated several ways in which doulas had a positive influence on the  
342 partner or on the woman-partner relationship through the sharing of roles, alleviating worries  
343 and promoting communication. During pregnancy, confiding in a volunteer could mean the  
344 woman felt she did not burden her partner with her concerns. Attending the birth could free  
345 the woman's partner to care for older children enabling the woman to focus on the birth or  
346 the doula could support a partner who also attended (which happened in 36 cases) by  
347 explaining things, motivating or reassuring him. Postnatally, the volunteer could help the  
348 couple's communication and emotional processing of the birth:

349

350 *You don't have to worry about looking after him, because you're both just sort of*  
351 *looked after. (I315A)*

352

353 *Helped him to understand what I had been through. (Q339X)*

354

355 *Impact: Endings and loss*

356 The ending of doula support was perceived as a loss for some women. One-third of women  
357 (n=42; 33.1%) felt that support had ended too soon and often at a difficult time where there  
358 were continuing practical or emotional needs:

359

360 *I had a caesarean section, so somewhat depressed at times. Wish the official time ...*  
361 *should be longer than a mother who had a natural birth. (Q409Y)*

362

363 *It happened too soon, I felt I bonded well with my doula and you get used to seeing*  
364 *them and receiving support and then it all stops. (Q332A)*

365



366 Many spoke of their sadness about the ending of a close relationship. Some felt ‘a little  
367 discarded’ (Q380Y) by this ‘temporary friendship’ (I337A):

368

369 *I found it really hard actually, I kept asking if I could keep in touch with her ... but we*  
370 *couldn't... once a friend they become a friend don't they and that's it. (I319A)*

371

372 *There was a day she told me that I'm not allowed to get in contact with her, that is not*  
373 *how they do their services, I cried ... oh, I really miss her. (I366Y)*

374

375 *And is not fair according to [service] policy, that when you finish the last day that's it*  
376 *... She was more than a doula - like family. (Q336X)*

377

378 By contrast, other women found that the support had ended at the right time:

379

380 *The ending was in the right time, after I felt confident with my baby. (Q408W)*

381

382

383 This was particularly likely for women who primarily wanted information from their doula,  
384 rather than emotional support, and women at the one site with an extended postnatal support  
385 period of three months.

386

387 We hypothesised that endings would be facilitated by having greater preparation. Key  
388 informants identified various ways in which doulas prepared women for the ending of the  
389 service such as providing an account of their time together or photos. Women for whom a  
390 memento had been provided were not less likely to feel that support had ended too soon

391 (31.0% vs. 37.5%;  $\chi^2=0.24$ ,  $df=110$ ,  $p=0.63$ ). The relationship between having something  
392 provided and wanting to stay in touch with their volunteer reached borderline significance  
393 (72.5% vs. 52.4%;  $\chi^2=3.78$ ,  $df=110$ ,  $p=0.05$ ). The finding that mementos did not appear to  
394 facilitate endings or reduce feelings of loss may suggest that these acts reflected the quality of  
395 the relationship rather than *preparation* per se.

396

397 Women proposed two ways to improve endings: timing the ending to woman's needs (for  
398 example following operative birth), or permitting some contact beyond the ending of support;  
399 for example, a one-to-one informal meeting, or a reunion attended by several women and  
400 their volunteers. Some women framed this in terms of wanting to be able to thank the  
401 volunteer by showing her the long-term impact of her support:

402

403 *So I could show her my perfect family because of her and her help. (Q427A)*

404

405

406 *Just to let her know how I was coping with baby through all her advice. (Q367A)*

407

408

409 *Understanding the relationship*

410

411 *Understanding the relationship: How the volunteer is viewed*

412 Women were asked to choose all that applied from a list describing how they viewed their  
413 volunteer. Most saw her 'as a friend' (88/118; 74.6%); other answers were 'like a professional'  
414 (32.2%), 'like a family member' (31.4%; 'like a sister' 21.2%; 'like a mother' 17.8%), 'like an  
415 advocate' (17.8%), 'someone like me' (16.9%), 'like a role model' (14.4%).

416

417 Most of those viewing the volunteer as 'like a family member' had wanted to stay in touch  
418 (mother: 90.5%; sister: 91.7%; friend 69.0%; professional 59.5%). Viewing the doula as like  
419 a mother appeared strongly linked to whether that role was missing in the woman's own  
420 network. None of the 21 women likening the volunteer to a mother had a supportive mother  
421 available during their pregnancy and no-one with a supportive mother described the role in  
422 this way. Women with supportive family or friends nonetheless valued their volunteer's  
423 support; volunteers were better informed about pregnancy and birth, talked through options  
424 and supported the woman's choice in a non-directive way, whereas family and friends may  
425 have their own needs and agenda.

426

427 During interview discussions women contrasted volunteer support with health professionals'.  
428 They valued the greater accessibility and continuity offered by volunteers, considered 'the  
429 one constant person' (I315A). Volunteers were largely viewed as focused completely on the  
430 woman ('just there for you', I341Y) with no competing agenda, promoting trust. Many  
431 women felt that they could ask their volunteer about anything, including beyond the 'medical  
432 things' (I486W), whereas they sometimes felt embarrassed or lacked confidence to ask health  
433 professionals who were perceived to be busy or dismissive.

434

#### 435 *Understanding the relationship: Timing of support*

436 We hypothesised that the volunteer support may not 'work' where a match happened late in  
437 the antenatal period and there was not time to establish a relationship. One-third (38/115;  
438 33.0%) felt the relationship would have been different if they had met sooner and 22.6%  
439 (26/115) felt that the relationship would have been different if they had met later. Some  
440 women felt that meeting later would not have influenced the relationship because they met

441 relatively late anyway, just shortly before the birth. Overwhelmingly, women felt that the  
442 relationship would have been better for meeting sooner; either to gain the benefits of support  
443 earlier in pregnancy or establish the relationship sooner, ensuring the opportunity to develop  
444 'trust' (Q332A), get to know each other (Q448A) and 'bond' (Q423A). Consistent with this,  
445 some women reported feeling less comfortable with the back-up doula because of lacking the  
446 opportunity to develop a relationship.

447

#### 448 *Negative experiences*

449 A small proportion of women reported negative experiences. Fifteen out of 129 women  
450 (11.6%) reported that the service had not helped them in the way they had hoped. Rating their  
451 experience of support from zero (very poor) to five (very good), 11.4% (15/132) rated at  
452 three and 2.3% (3/132) rated less than three. Most commonly it was the volunteer's  
453 unreliability or inability to provide continuity that was criticised. Some women had been  
454 disappointed at the limitations of the service (for example, not assisting with household  
455 chores or providing care for older children) and some felt inhibited about asking for more  
456 support, knowing that volunteers were unpaid. Indeed, several women, including those  
457 reporting positive experiences overall, expressed feelings of guilt about accepting support  
458 from a volunteer without the ability to reciprocate.

459

#### 460 **Discussion**

461 Most women reported positive impacts on their emotional well-being; including combating  
462 feelings of depression, having fears allayed, and building confidence and self-esteem. Whilst  
463 similar benefits have been reported elsewhere (Gordon et al., 1999, Hofmeyr et al., 1991,  
464 Langer et al., 1998, Scott et al., 1999, Wolman et al., 1993), a key finding of this study is that  
465 such benefits did not depend upon doulas being involved in the labour and birth. Benefits

466 appeared to be achieved through listening by someone who was non-judgemental and non-  
467 directive, relief of isolation, information provision, supporting women's choices and help  
468 navigating statutory and other services. These findings resonate with Gentry and colleagues  
469 (2010) who through interviewing adolescent mothers supported by community-based doulas  
470 identified the use of problem-solving strategies including active listening, assuring, affirming,  
471 advising and advocating,

472

473 Women also described the mechanisms by which woman-partner relationships were  
474 strengthened; including through the sharing of roles, alleviating concerns and promoting  
475 communication. The need to involve fathers in pregnancy, childbirth and the transition to  
476 parenthood is increasingly recognised by national UK and international policy (Steen et al.,  
477 2012). The current research suggests that volunteer doula services may offer a route to  
478 supporting involvement, consistent with reports of the Ounce Home Visiting and Doula  
479 Program in the US (The Ounce, 2014). Research is needed on perceptions of doula support  
480 from the perspectives of partners and other family members (Steel et al., 2014) and how these  
481 family relationships may interact with the impacts of the support (Wen et al., 2010)

482

483 Few women reported negative experiences or dissatisfaction although we recognise that this  
484 may partly reflect self-selection sampling bias and that women are often reluctant to be  
485 critical of their care (Green, 2012). Whilst there were instances of disappointment with the  
486 lack of assistance with household chores, as has been reported with lay workers in the context  
487 of health visiting (Mackenzie, 2006), dissatisfaction was mainly related to perceiving the  
488 volunteer as unreliable or not having as much contact with the volunteers as they wished;  
489 something that women felt was harder to negotiate when support was delivered by a  
490 volunteer.

491

492 Understanding how women viewed their volunteers offered insights into how support  
493 ‘worked’, from a theoretical perspective (Pawson and Tilley, 1997). Women frequently  
494 likened the volunteer to a family member or friend, consistent with the literature on  
495 volunteers and lay workers in the context of childbearing (Hazard et al., 2009, Meier et al.,  
496 2007, Perkins and Macfarlane, 2001, Taggart et al., 2000, Gentry et al., 2010). Friendship  
497 was a central theme here and we note the overlaps between the current volunteer role and  
498 other community-based support programmes, such as those that use volunteer befrienders for  
499 women who may find it difficult to access or engage with services (Coe and Barlow, 2013).  
500 For some women however the concept of friendship was challenged by the unidirectional and  
501 unbalanced nature of this relationship; an observation lacking in the doula literature.

502

503 Few studies have explored doula support in settings where the midwife is the lead health  
504 professional. Here, support from volunteers was contrasted with health professionals’ with  
505 distinctive features of doula support being continuity, not feeling time pressured, feeling able  
506 to ‘ask anything’, feeling their choices were supported and seeing the doulas as more  
507 reliable and trustworthy. These findings resonate with studies of lay support for  
508 disadvantaged childbearing women in high-income countries; including, community-based  
509 doulas in the USA (Gentry et al., 2010), home visits in Australia (Taggart et al., 2000) and  
510 the USA (Sheppard et al., 2004) and infant feeding support in the UK (Beake et al., 2005).  
511 The greater continuity afforded by doulas compared with midwives has been reported  
512 elsewhere in a Swedish study (Lundgren, 2010).

513

514 While participants were largely favourable towards the volunteer doula support and valued  
515 the continuity provided, it was striking that women commonly reported feelings of loss

516 around the ending of support, which could constitute a negative impact. Volunteer support  
517 was valued regardless of whether women had support from their friends or family. The aspect  
518 of support often valued most highly was the one-to-one relationship. Its ending could be  
519 particularly difficult for some women, particularly those who viewed the volunteer as like a  
520 mother or where there were continuing practical needs, for instance, following an operative  
521 birth. Even women who felt well-prepared to move on independently and did not have  
522 continuing support needs could still feel saddened by the absence of opportunity for any  
523 contact with the volunteer in the future.

524

525 These findings highlight the challenges noted elsewhere in the volunteer and lay worker  
526 literature around ways of working that hinge on a close relationship between worker and  
527 recipient and the need to consider further the management of emotional relationships and  
528 boundaries (Glenton et al., 2013, Heslop, 2006, Mitchell and Pistrang, 2011, Gillard et al.,  
529 2014, Perkins and Macfarlane, 2001, Simpson et al., 2014). These challenges are not limited  
530 to relationships with volunteer and lay workers. Similar experiences have been reported with  
531 caseload midwifery with women reporting ‘midwife grief’ and feeling lost or abandoned at  
532 the end of the period of support (Walsh, 1999).

533

534 It is feasible that such endings may compromise the impact of the period of support. In social  
535 work, concerns have been expressed that endings may reinforce previous negative separation  
536 experiences (Huntley, 2002). In psychotherapy it is recognised that abrupt endings and forced  
537 endings have the potential to be harmful (Gelso and Woodhouse, 2002). A recent systematic  
538 review of befriending in mental health (Thompson et al., 2015) argued that experiencing  
539 some of the qualities of friendship accompanied by an enforced ending could lead to the

540 intervention failing, calling for clearer expectations for support recipients about the nature of  
541 what is being offered.

542

543 Continuing doula support beyond six weeks postpartum should be considered, especially  
544 since this coincides with a time of peak incidence of postnatal depression (Cox et al., 1993).  
545 There was some indication that endings may have been easier at the one site where postnatal  
546 contact extended until 12 weeks after birth although sample sizes precluded definitive  
547 comparisons. Regardless of the length of postnatal support, the ending itself still requires  
548 planning and appropriate management, with support from service staff, as required. Several  
549 women suggested changing the service to offer an informal meeting to provide an update,  
550 group-based, if necessary. Other evaluations of peer support have recommended using more  
551 teamwork, using goals and being problem-focused to minimise dependency in a one-to-one  
552 relationship (Perkins and Macfarlane, 2001, Repper and Watson, 2012); such ways of  
553 working may help to enable a transition from the one-to-one relationship but it is unknown  
554 how this would influence the impact of support.

555

### 556 *Strengths and Limitations*

557 This is the largest independent evaluation of trained volunteer doula support in the UK and  
558 our findings reflect those of another independent evaluation of one doula service (Granville  
559 and Sugarman, 2012). Questionnaire data were complemented by interviews, which offered  
560 opportunities for more detailed exploration, including the ways in which the volunteer role  
561 was similar to and contrasted with support from family, partner and professionals. A strength  
562 of our evaluation was the representation of women of non-English speaking background;  
563 however the questionnaire was only completed by 21.7% of women who had used the  
564 service, posing some concerns around sampling bias and transferability of findings. A low



565 response was anticipated because support recipients were in situations of disadvantage with  
566 high mobility and in groups traditionally hard to engage in research. In addition, some  
567 recipients had accessed the service several years previously and could no longer be contacted.  
568 It was not possible to determine from the information provided by the services the extent to  
569 which participants were representative in terms of time since using the service and we  
570 acknowledge that there is potential impact for memory bias that was not explored here. A  
571 higher response rate would be necessary to explore fully the influence of the ending of the  
572 relationship on the overall impact of a volunteer doula service.

573

574 Efforts to maximise responding included approach via a known service (also essential due to  
575 confidentiality) and assisted questionnaire completion. However any positive impacts from  
576 these efforts was possibly limited by language needs being under-recognised by the services,  
577 who documented the need for an interpreter, rather than the main language(s) spoken and it  
578 appeared that some women may have been sent written information that did not meet their  
579 language needs. Unfortunately, fewer data were available for those women using assisted  
580 completion because of the need to ensure that the questionnaire length remained acceptable.

581

## 582 *Conclusion*

583 The UK NICE guidance for the care of Pregnant women with Complex Social Factors  
584 (National Institute for Health and Care Excellence, 2010) calls for models that overcome  
585 barriers and facilitate access to improve women's outcomes. It would appear that volunteer  
586 doula services have the potential to make a contribution to this. Of note, the benefits reported  
587 by women did not always involve direct support during the labour and birth. An approach  
588 akin to friendship and based on building trust, listening and enabling appears to be  
589 fundamental; in some circumstances this can be strengthened by actively supporting

590 involvement of family, including partners. Critically, the ending of the close one-to-one  
591 relationship carries the potential for feelings of loss and distress which could undermine the  
592 benefits experienced. The timing and management of endings warrant further exploration,  
593 particularly given the potential for coinciding with a period of heightened vulnerability for  
594 mental health problems, Further longitudinal research is needed to gather women's views and  
595 experiences through the period of support, and the ending, to further elucidate the  
596 mechanisms by which positive impacts of doula support are achieved and may be threatened.

597

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722 XXXXXXXX 2015. details not provided for purpose of double blind review process.

723

724

725 **Figure 1 Procedure**

726

727 **Table 1 Questionnaires distributed and received for women who used the volunteer**

728 **doula service**

729

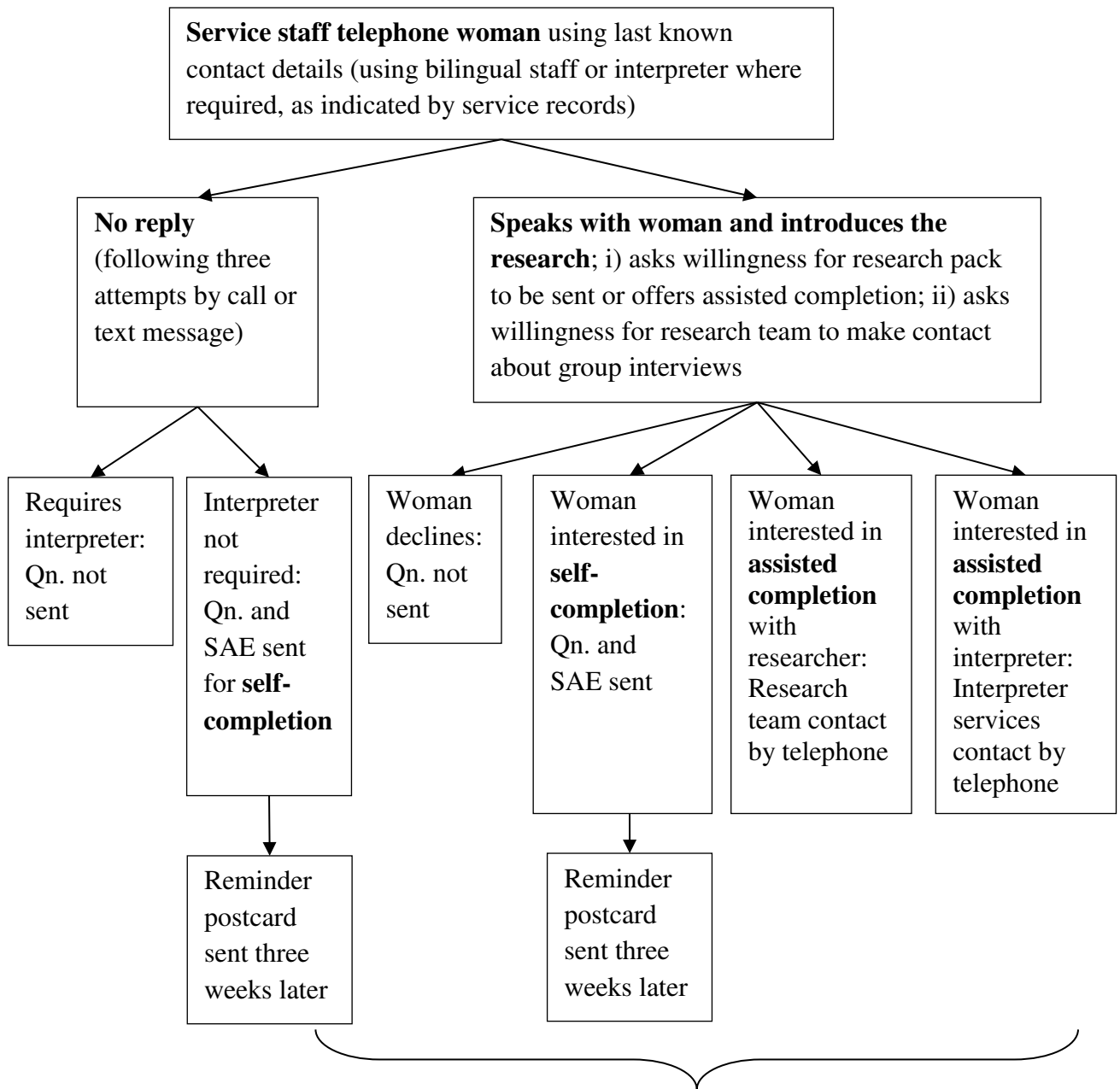
730 **Table 2 Sample characteristics**

731

732 **Table 3 Description of volunteer support intervention**

733





Where permission was obtained (via service staff or expression of interest when completing questionnaire), research team contacted women directly to provide further details about group interviews.

Interviews were held in community venues identified by service staff and were audio-recorded.

Shopping voucher sent to woman on receipt of completed questionnaire and/or provided at attendance of group interview, to thank for participation.

*Qn.* = questionnaire  
*SAE* = stamped addressed envelope, returned directly to research team

735 **Table 1 Questionnaires distributed and received for women who used the volunteer doula service**

736

Study Site	Women supported by the service	Sent or approached by interpreter/researcher	Self-completion	Assisted completion with interpreter	Assisted completion with researcher	Total completed (any method)	Percentage of those supported by the service (%)	Response rate of those approached (%)
A	446	417	83	7	0	90	20.2	21.6
W	51	50	13	1	0	14	27.5	28.0
X	29	26	8	1	0	9	31.0	34.6
Y	75	68	14	0	0	14	18.7	20.6
Z	26	17	1	6	2	9	34.6	52.9
Total	627	578	119	15	2	136	21.7	23.5

737

738

739

740

741 **Table 2 Sample characteristics**

742

Variable	N for which data available	N (%)
Current age (years)	132	Mean 30.9, SD 6.1, range 16-45
Age at introduction to volunteer service (years)	128	Mean 28.4, SD 6.1, range 15-44
Parity <sup>1</sup>		
<i>Primiparous</i>	113	46 (40.7)
Ethnicity	134	
<i>White</i>		73 (54.5)
<i>Mixed</i>		0 (0.0)
<i>Asian / Asian British</i>		26 (19.4)
<i>Black/ Black British</i>		22 (16.4)
<i>Other</i>		13 (9.7)
Time in UK at introduction to doula service	130	
<i>Since birth</i>		66 (50.8)
<i>&gt;5 years</i>		20 (15.4)
<i>1-5 years</i>		30 (23.1)
<i>&lt;1 year</i>		14 (10.8)
Main language	134	
<i>English</i>		73 (54.5)
<i>English and another</i>		6 (4.5)
<i>non-English</i>		55 (41.0)
Age left school or college (years)	119	

	$\leq 15$	12 (10.1)
	16	37 (31.1)
	17-19	35 (29.4)
	$\geq 20$	35 (29.4)
<hr/>		
Household <sup>1</sup>	119	
	<i>lives with partner</i>	63 (52.9)
	<i>lives with other(s)</i>	33 (27.7)
	<i>lives alone</i>	23 (19.3)
<hr/>		
Support available <sup>1</sup>	119	
	<i>partner/husband</i>	56 (47.1)
	<i>other</i>	43 (36.1)
	<i>none</i>	20 (16.8)
<hr/>		
Social complexity <sup>2</sup>	136	46 (33.8)

743 Notes: <sup>1</sup>Variables that were omitted from the assisted completion questionnaires, due to  
744 length. <sup>2</sup>Social complexity was derived from coding services in contact with women at time  
745 of introduction to service, based on descriptions given in the guidance on women with  
746 complex social factors (National Institute for Health and Care Excellence, 2010).

747

748 **Table 3 Description of volunteer support intervention**

749

Variable	N for which data available	N (%)
<i>Stages of support</i>	136	
Antenatal only		16 (11.8)
Antenatal and intrapartum		5 (3.7)
Antenatal and postnatal		36 (26.5)
Intrapartum only		3 (2.2)
Intrapartum and postnatal		2 (1.5)
Postnatal only		9 (6.6)
All three stages		65 (47.8)
<i>Intensity of support (hours per week)</i>	98	Median 2.0, IQR 1.5, range 0-10
<i>Antenatal support behaviours</i>	121	
Home visits		106 (87.6)
Telephone support		79 (65.3)
Information giving		87 (71.9)
Birth preparation		85 (70.2)
Practical help with baby equipment		51 (42.1)
Came to health/other appointments		51 (42.1)
Help find out about other services		66 (54.5)
Go for walks, trips to café etc		41 (33.9)
<i>Postnatal support behaviours</i>	112	
Home visits		104 (92.9)

Telephone support		62 (55.4)
Information giving		45 (40.2)
Breastfeeding support		56 (50.0)
Practical help with baby equipment		31 (27.7)
Came to health/other appointments		21 (18.8)
Help find out about other services		39 (34.8)
Go for walks, trips to café etc		20 (17.9)

---

*Contact with a back-up volunteer*

Allocated a back-up	119	52 (43.7)
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*Type of visits with a back-up volunteer*

	51	
Back-up attended one joint visit		12 (23.5)
Back-up attended more than one joint visit		20 (39.2)
Back-up made separate visits		2 (3.9)

---

*Preparation for ending*

	115	
Prepared something (any)		71 (61.7)
Prepared account of time together		31 (27.0)
Prepared photographs		30 (26.1)
Prepared birth story		23 (20.0)

---

750 Notes: IQR = inter-quartile range

751

752

753