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1 Kinetic study of  $\kappa$ -carrageenan degradation and its impact on mechanical  
2 and structural properties of **chitosan/ $\kappa$ -carrageenan** film

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7

8 ABSTRACT

9 The purpose of the current research was to study  $\kappa$ -carrageenan degradation behavior through  
10 thermal treatment, and its influence on **chitosan/ $\kappa$ -carrageenan** film properties. A pseudo first-  
11 order reaction equation was applied by using reciprocal plots of  $\kappa$ -carrageenan molecular mass  
12 versus heating time, which showed strongly heating time dependence. Incorporation of thermally  
13 treated  $\kappa$ -carrageenan to the chitosan had a deterioration effect on water resistance and water  
14 vapor permeability of the blend, in contrast to those for intact. A dramatic decrease of  
15 equilibrium moisture content and tensile strength were noticed, in which a longer time was found  
16 to be more effective. Furthermore, the contact angle of the films was found to be a function of  
17 the heating time. SEM revealed apparent agglomeration of  $\kappa$ -carrageenan through the thermal  
18 process. AFM demonstrated that the intact blend had the most flat surface, whilst the blend  
19 containing treated  $\kappa$ -carrageenan became rugged and uneven with high roughness.

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20 Keywords: Thermal depolymerisation, pseudo first-order reaction, Blend film, Equilibrium  
21 moisture content, Tensile strength, Surface roughness.

22

## 23 1. Introduction

24 The application of petroleum based packaging materials has a serious environmental pollution  
25 due to the fact that they are non-degradable (Hoagland & Parris, 1996). As an alternative,  
26 biodegradable materials from the natural sources like carbohydrates can be employed (Sanchez-  
27 Garcia, Hilliou, & Lagaron, 2010). However, a packaging based on carbohydrates suffers from  
28 poor physico-mechanical and weak barrier properties(Parris, Coffin, Joubran, & Pessen, 1995).

29 One way to resolve these problems is to blend the biopolymers to improve the film properties.  
30 These improvements could be ascribed to the strengthening of the mechanical parameters (Zhu,  
31 Sheng, & Tong, 2014; Zivanovic, Li, Davidson, & Kit, 2007), increasing the surface  
32 hydrophobicity (Zhu, Sheng, & Tong, 2014), decreasing the water vapour permeability (Zhu,  
33 Sheng, & Tong, 2014; Zivanovic, Li, Davidson, & Kit, 2007) and relative water resistance (Xiao,  
34 Gao, Wang, & Zhang, 2000).

35 Chitosan is distinguished as a natural polymer with wide applications in the food and  
36 pharmaceutical industries. Chitosan is one of the most abundant carbohydrates in the nature. It is  
37 obtained through the process of alkaline N-deacetylation of chitin, which is mainly extracted  
38 from the shellfish (Hoagland & Parris, 1996).This biopolymer has a semi crystalline structure  
39 and high hydrophilic characteristics, which can form hydrogen bonds with water molecules. A  
40 special feature of chitosan is the formation of uniform films with the potential applications in  
41 food industry (Xu, Kim, Hanna, & Nag, 2005). Chitosan becomes soluble and cationic when it is

42 dissolved in acidified solutions through organic acid, whereas most other hydrocolloids display  
43 anionic property in the solvents. So these soluble hydrocolloids become anionic in water,  
44 chitosan cation show well affinity for other hydrocolloids (Park, Lee, Jung, & Park, 2001).

45 Carrageenan is the sulfated form of D-galactan obtained from the red algae (Lobban, 1994).  
46 Carrageenan consists of repeating galactose units and 3, 6-anhydrogalactose joined by alternating  
47  $\alpha$ -(1, 3) and  $\beta$ -(1, 4) glycosidic links. All three kinds of carrageenan (iota, kappa and lambda)  
48 produce high viscosity when incorporated in the aqueous systems (Imeson, Phillips, & Williams,  
49 2000). The kappa carrageenan (KC) is widely used for the synthesis of the blend films, where the  
50 physical and mechanical properties of the films are amended in the presence of KC.

51 Park, Lee, Jung, & Park (2001) prepared the blend film based on chitosan and KC in presence  
52 of several organic acids and found that the physical and mechanical properties of the blend film  
53 demonstrated strong dependency on the organic acid solvent. They results showed that the tensile  
54 strength and water barrier property of a blend of chitosan and KC involved acetic acid and  
55 ascorbic acid was significantly improved than other organic acid solutions.

56 There are several methods for preparing the biodegradable films based on the thermal  
57 processes, including thermo-compression, blow molding and solvent casting method (Fakhouri et  
58 al., 2013; Teixeira et al., 2012). The solvent casting, in particular, is widely used for the  
59 synthesis of the biodegradable films (Fakhouri et al., 2013). The temperature history of the  
60 biofilm has a great effect on the features of the film; however this effect has almost been  
61 completely ignored. More specifically, the depolymerisation kinetic by the thermal degradation  
62 during the preparation of the biodegradable films has rarely been evaluated.

63 In the aforementioned methods, whenever high temperatures were employed, the hydrolysis  
64 reaction occurred. Under these circumstances, degradation and scission of the chain caused a  
65 decrease in the molecular weight of polysaccharide chain (Bradley & Mitchell, 1988). In  
66 addition, the heat treatment highly affected the other dispersion parameters. It was proven that  
67 the degradation rates of the polysaccharides were related to their molecular conformation, in  
68 which the concentrated regions, in comparison with dilute regions, had a higher resistance to  
69 heat, and generally were more capable of hindering the chain degradation (Hjerde, Smidsrød, &  
70 Christensen, 1996).

71 As regards the systematic studies on the variables effect of the polysaccharide heat degradation  
72 and its influence on the film, these are scanty. The alteration of molecular mass and the  
73 mechanism of degradation via thermal casting method need to be explored in more details to  
74 fully understand their effects on the film properties.

75 Accordingly, the aim of this study is to investigate the changes in the molecular size of KC  
76 (using intrinsic viscosity as an indicator) occurring during being heated in aqueous media, and  
77 the incorporation of this biopolymer into the chitosan solution to evaluate the final resulting  
78 blend properties. For degradation rate of KC, our initial hypothesis is based on the fact that the  
79 chain scission of sulfate esters on the KC follows a pseudo first-order kinetics.

## 80 **2. Materials and methods**

### 81 2.1. Materials

82 The commercial potassium KC salt and chitosan were provided from Sigma-Aldrich chemical  
83 co. (St. Louis, MO, USA).

84 2.2. KC thermal treatment

85 Thermal treatment of biopolymers at 80°C is commonly used in the laboratory and industry for  
86 the preparation of their films. To investigate the degradation kinetic in this condition, KC (0.275  
87 g, in accordance with critical overlap concentration) was completely dissolved in deionized  
88 water (0.275 g/dL) and heated at 80°C in a thermostatically controlled chamber under continuous  
89 stirring. This was followed by the thermal treatment at 60, 120, 180, and 240 min.

90 2.3. Rheological study of KC

91 After heat treatment, an Ostwald viscometer (Ubbelohde-type, Germany) equipped with a  
92 temperature control system was used to determine the coil overlap concentration ( $c^*$ ) of the KC  
93 chains and their intrinsic viscosity ( $40\pm 0.1^\circ\text{C}$ ). The intrinsic viscosity ( $[\eta]$ ) of KC was  
94 determined under the coil overlap concentration (in the dilute region). The sample viscosity ( $\eta$ )  
95 was converted to relative viscosity ( $\eta_{\text{rel}}$ ), reduced viscosity ( $\eta_{\text{red}}$ ) and inherent viscosity ( $\eta_{\text{inh}}$ )  
96 defined by Eqs. (1), (2) and (3), respectively:

97 
$$\eta_{\text{rel}} = \frac{\eta}{\eta_0} = \frac{t}{t_0} \cdot \frac{\rho}{\rho_0} \quad (1)$$

98 
$$\eta_{\text{red}} = \frac{(\eta_{\text{rel}} - 1)}{C} \quad (2)$$

99 
$$\eta_{\text{inh}} = \frac{\ln(\eta_{\text{rel}})}{C} \quad (3)$$

100 where,  $t$  and  $t_0$  are the efflux times of the solution and the solvent,  $\rho/\rho_0$  is the ratio of the  
101 density of the solution to the used solvent,  $\eta_{\text{rel}} - 1$  is specific viscosity ( $\eta_{\text{sp}}$ ), and  $C$  is the  
102 concentration of KC.

103 The  $[\eta]$  is usually obtained from the mean intercept of  $\frac{\ln(\eta_{rel})}{C}$  and  $\frac{\eta_{sp}}{C}$  to the infinite dilution  
104 limit according to the Huggins (Eq. 4) and Kraemer (Eq. 5) empirical expressions:

$$105 \quad \frac{\eta_{sp}}{C} = [\eta] + K_1[\eta]^2C \quad (4)$$

$$106 \quad \frac{\ln\eta_{rel}}{C} = [\eta] + K_2[\eta]^2C \quad (5)$$

107 where,  $k_1$  is the Huggins constant, which is 0.35 for KC (Harding, Day, Dhimi, & Lowe, 1997;  
108 Huggins, 1942).  $K_2$  is the Kraemer constant (Kraemer, 1938). Theoretically  $k_1 + k_2 = 0.5$ , so the  
109 Kraemer constant is 0.15 for KC (Morris & Ross-Murphy, 1981). **All the tests were carried out**  
110 **in triplicate.**

#### 111 2.4. Theoretical Basis: Degradation Rate

112 Masson (1955) and Bradley & Mitchell (1988) illustrated that the chain scission of the  
113 glycosidic linkage of KC, for short-term heat treatment, is a first-order reaction. Based on this  
114 concept, the rate constant ( $r$ ) of scission of KC can be determined upon heating process.

115 The degradation rate can be ascertained by using plots of reciprocal molecular mass against  
116 heating time. The rate constant of the degradation reaction can be determined by Eq. 6 (Masson  
117 & Caines, 1954; Bradley & Mitchell, 1988):

$$118 \quad \left(\frac{1}{M_t}\right) - \left(\frac{1}{M_0}\right) = \left(\frac{r}{m}\right) t \quad (6)$$

119 where,  $M_t$  and  $M_0$  (kDa) are the molecular weights at times  $t$  and  $t_0$ ;  $r$  ( $\text{min}^{-1}$ ) is the pseudo  
120 first-order rate constant;  $t$  is the heating time (min); and  $m$  (kDa) is the average molecular weight  
121 of monosaccharide units. On the hypothesis of Hjerde, Smidsrød, & Christensen (1996) and

122 Tanford (1961),  $\alpha$  (1 → 3) and  $\beta$  (1 → 4) glycoside bonds on KC have a similar susceptibility  
123 to scission.

124 It is known that the intrinsic viscosity is correlated to molecular weight according to Marck-  
125 Houwink equation (Rao, 1999):

$$126 \quad [\eta] = KM_w^\alpha \quad (7)$$

127 Combining Eqs. 6 and 7 provides a relationship between the time and intrinsic viscosity:

$$128 \quad \left(1/[\eta]_t^\alpha\right) - \left(1/[\eta]_0^\alpha\right) = \left((r/m) \times K^\alpha\right) t \quad (8)$$

129 where,  $[\eta]_t$  and  $[\eta]_0$  are intrinsic viscosity at  $t$  and  $t_0$ ;  $K$  and  $\alpha$  are Marck-Houwink parameters,  
130 and  $\left((r/m) \times k^\alpha\right) t$  is the slope of the curve.

131 As mentioned above, the degradation is a pseudo first-order reaction at the short-term heat  
132 treatment. The relationship of the inverse  $[\eta]$  versus time is then expressed by Eq. 9:

$$133 \quad \left(1/[\eta]_{n+1}^\alpha\right) - \left(1/[\eta]_n^\alpha\right) = \left((r_n/m) \times k^\alpha\right) t \quad (9)$$

134 Here, the subscripts  $n+1$  and  $n$  are  $(n+1)^{\text{th}}$  and  $(n)^{\text{th}}$  data during prolonged time, respectively,  
135 and now  $(t)$  is the time duration between these two data steps.

136 The reported values for  $K$  and  $\alpha$  in the literature were  $2.09 \times 10^{-4}$  and 0.78, respectively  
137 (Vreeman, Snoeren, & Payens, 1980). The molecular weight ( $m$ ) for the KC monosaccharide  
138 was also considered to be 0.192 kDa. **All the tests were carried out in triplicate.**



## 139 2.5. Preparation of chitosan/KC film

140 The synthesis of the chitosan/KC film was based on a solution casting method and evaporation  
141 process. The neat chitosan film solution was made by dispersing 1 g chitosan in 2% v/v of the  
142 acetic/ascorbic acids aqueous solution to obtain a concentration of 2 g/dL. The solution was  
143 stirred at 60°C for 20 min.

144 The base intact blend film was prepared by the addition of 0.275 g of KC in the 1 g/dL of the  
145 chitosan solution and vigorous stirring at 60°C for 20 min.

146 The KC solutions were, subsequently, treated at the various thermal times as described in  
147 section 2.2, and were then mixed with chitosan solution to obtain treated blend film. The samples  
148 were coded as T<sub>60</sub>, T<sub>120</sub>, T<sub>180</sub> and T<sub>240</sub>, in which superscript implies the dissolution time of the  
149 KC at 60, 120, 180 and 240 min, respectively. The constant temperature of 80°C was considered  
150 for all treatments.

151 Finally, 20 ml of the each solution was poured into the plates and placed in the oven for 18 h.  
152 The films were peeled off the glass plates and were conditioned for 36 h at ambient temperature  
153 and 45% RH for further experiments.

## 154 2.6. Physical properties

### 155 2.6.1. Equilibrium moisture content (EMC)

156 The films were stored at ambient temperature during three days in desiccators with saturated  
157 salt solutions (including LiCl, KC<sub>2</sub>H<sub>3</sub>O<sub>3</sub>, MgCl<sub>2</sub>, K<sub>2</sub>CO<sub>3</sub>, NaBr, NaCl, KCl and KNO<sub>3</sub>) to  
158 generate relatively specified humidities (about 11- 94% RH). After conditioning, the dried films

159 were weighed at regular intervals before being transferred to a cup container and dried at 105°C  
160 for 24 h. The EMC was determined by measuring the weight loss percentage of films as  
161 specified by Eq. 10.

$$162 \quad \text{EMC} = \frac{M_w - M_d}{M_d} \quad (10)$$

163 In the above equation,  $M_w$  and  $M_d$  are wet and dry base weight, respectively.

164 GAB (Guggenheim–Anderson–de Boer) model was applied to fit film sorption isotherm data,  
165 and monolayer values for moisture were calculated from the equations. GAB isotherm model can  
166 be expressed as follows:

$$167 \quad m = \frac{m_0 C k a_w}{(1 - k a_w)(1 - k a_w + C k a_w)} \quad (11)$$

168 where,  $M$  is the equilibrium moisture content at a water activity  $a_w$ ,  $m_0$  is the monolayer value,  
169 and  $C$  and  $k$  are the constants.

#### 170 2.6.2. Water solubility (WS)

171 The total soluble matter was exhibited as the percentage of the dry matter of the film  
172 solubilized in distilled water after 24 h immersion. The films (5×5 cm) were dried at 105°C for  
173 24 h. Then, they were transferred into a flask and shaken on a rotary shaker for 24 h. Following  
174 this, the specimens that remained insoluble were removed from the water and dried at 105°C for  
175 24 h. WS values were determined according to Eq. 11:

$$176 \quad \text{WS} = \frac{S - S_0}{S_0} \quad (12)$$

177 where,  $S_0$  is the initial and  $S$  the insoluble dry matter weight. The results of all the experiments  
178 were analyzed in triplicate and the mean and standard deviation of the data were reported.

### 179 2.6.3. Water vapour permeability (WVP)

180 The barrier property of the films was determined by gravimetric method (ASTM, 1995b). Each  
181 specimen was attached to a cup (containing silica gel) in a chamber. The RH of the chamber was  
182 fixed at 76.1% with a saturated salt solution of NaCl at 40°C. The weight of each cup was  
183 regularly recorded for 18 h. The slope of the weight gain versus time represents water vapor  
184 transmission rate (WVTR). The WVP (g/m.s.P) was obtained according to Eq. 12:

$$185 \quad WVP = \frac{WVTR \times L}{\Delta P} \quad (13)$$

186 where,  $L$  is film thickness (mm) and  $\Delta P$  (Pa) water partial pressure difference between two  
187 sides of the film. All the tests were carried out in triplicate and the mean and standard deviation  
188 of the data were reported..

### 189 2.7. Mechanical Properties

190 The mechanical parameters of the film were obtained by using a texture analyzer (Texture  
191 Analyzer, TA-XT<sub>2</sub>, UK) based on the ASTM standard method D882-88 (ASTM, 1989a). The  
192 distance grip separation was set at 60 cm and the grip speed was set at 10 cm/s. The films (8×1  
193 cm) were preconditioned at 25°C and RH 50% for 24 h. The tensile strength (TS) was  
194 determined from the maximum force on a cross section of rectangular specimens. Elongation at  
195 break (EB) was obtained from the initial change in the length (6 cm). All the data were analyzed  
196 by Exponent Lite software (version 6.1.4). All the tests were performed in triplicate.

## 197 2.8. Morphological behavior analysis by SEM

198 The surface morphology of the film was evaluated by using Hitachi SEM (model S-2830N).  
199 The incorporation of KC to the neat chitosan film and the effect of thermal treatment on the  
200 morphological and integrity of the films were assessed from SEM micrographs. The specimens  
201 were coated with a thin layer of gold and a 20X magnification was used. The energy levels were  
202 applied in the range of 15-20 KV in order to avoid damaging to the samples.

## 203 2.9. Surface topography

204 The topography of the films was analyzed by a Digital Instrument atomic force microscope (DI  
205 Nanoscope TV, NY) equipped with an E-scanner. Tapping mode with nominal spring constant of  
206 20–100 N m<sup>-1</sup> and nominal resonance frequencies of 10–200 kHz were used. The device was  
207 operated in tapping mode with topography scan size in the range 1 μm×1 μm. The software  
208 NanoScope v 5.31r1 (Veeco Software) was used to calculate the roughness value of the films.

## 209 2.10. Surface hydrophobicity

210 The contact angle (CA) was measured with a contact anglemeter (OCA 20, Dataphysics). The  
211 film was transferred into the flat surface and 5 μm drops of deionized water with a Hamilton  
212 syringe (100 μm, Hamilton, Switzerland) placed on the film. The CA was recorded using natural  
213 light after 30 s. The images were analyzed by Dino Lite Pro software.

## 214 2.11. Statistical analysis

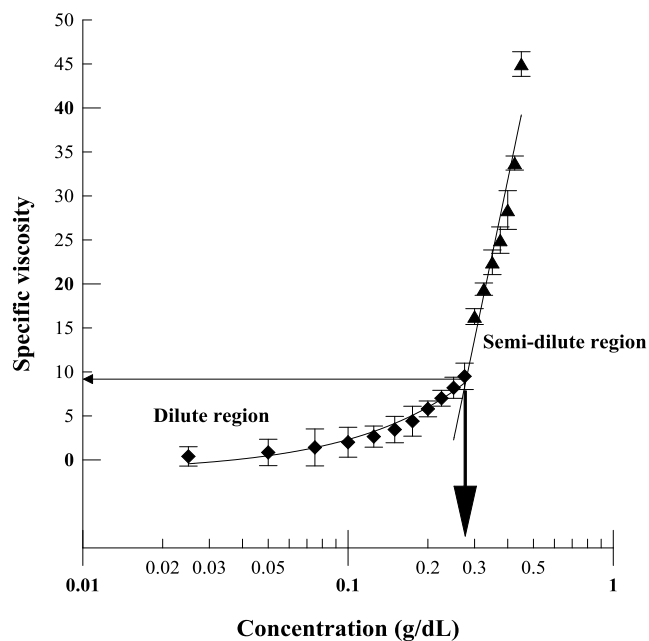
215 The completely randomized design (CRD) was used for all experiments. Each measurement  
216 was analyzed by ANOVA. The mean and standard deviation of the experimental results were  
217 calculated using SPSS software with  $p < 0.05$  (Version 19, SPSS Inc., Chicago, IL).

### 218 **3. Results and discussion**

#### 219 3.1. Coil overlap point and intrinsic viscosity of KC

220 The  $c^*$  of KC was determined by plotting specific viscosity  $[\eta_{sp}]$  versus concentration as  
221 shown in Fig. 1. A sharp slope change in the  $[\eta_{sp}]$  of KC at 0.275 g/dL, represents the coil  
222 overlap point. In a dilute region, the curve had an approximately linear trend, which could be  
223 described by the spatially separate individual coils of the biopolymer. As concentration of the  
224 biopolymer was increased, the  $[\eta_{sp}]$  value increased logarithmically, where the separate chains  
225 become entangled with each other. The macromolecule conformation upon coil overlapping was  
226 altered as the chains became increasingly entangled (Morris, 1992).

227



228

229

**Fig. 1 .** The change of specific viscosity of KC versus concentration at various heating times.

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A convenient index of the hydrodynamic volume of the biopolymer coils is the limiting viscosity number or intrinsic viscosity (Morris, Cutler, Ross-Murphy, Rees, & Price, 1981). The intrinsic viscosity ( $[\eta]$ ) is obtained in the dilute region below the  $c^*$  of the biopolymer. The extent of  $[\eta]$  for KC was derived from the average intercepts for reducing viscosity and inherent viscosity (that are predicted by Eqs. 2, 3, 4), according to the method of Huggins and Kraemer, respectively. The extent of intrinsic viscosity for KC was calculated to be around 14.19 dL/g, which was much higher than values of 10.4 and 6.3 dL/g that previously reported by Vreeman, Snoeren, & Payens (1980) and Harding, Day, Dhami, & Lowe (1997), respectively.

238

### 3.2. KC coil overlap parameter

239

240

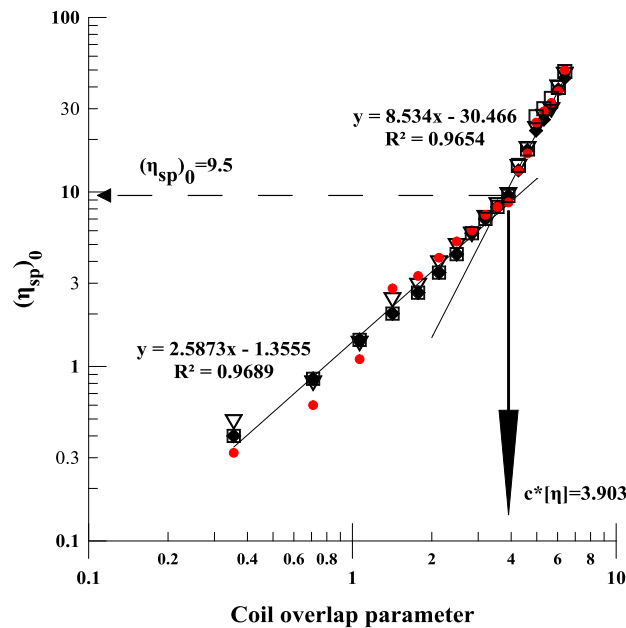
241

The occupancy degree of the hydrodynamic volume of all coils in the biopolymer solution can be characterized by the coil overlap parameter regardless of its type and molecular weight (Morris, Cutler, Ross-Murphy, Rees, & Price, 1981). The coil overlap parameter or  $c[\eta]$  basically

242 consists of the intrinsic viscosity and biopolymer concentration, which can be taken as a measure  
 243 of the effective volume function of the polymer chains at specific concentration of C.

244 The typical double logarithmic plot of the  $(\eta_{sp})_0$  against  $c[\eta]$  is presented in the Fig. 2. The  
 245 critical coil overlap parameter ( $c^*[\eta]$ ) can be obtained from this plot, where onset of the chain  
 246 entanglement is clearly observed. The extent of  $c^*[\eta]$  was determined to be approximately 3.903,  
 247 which shows  $(\eta_{sp})_0=9.5$  at the intersection point. Morris, Cutler, Ross-Murphy, Rees, & Price  
 248 (1981) showed a similar behavior for the several different random coil polysaccharides; also,  
 249 they found out that more generally  $c^*[\eta]\approx 4$ . The slope of zero-shear specific viscosity plotted  
 250 against  $c[\eta]$  at the dilute region i.e.  $c[\eta]<c^*[\eta]$ , is around 2.58, while it is 8.53 in the semi-dilute,  
 251  $c[\eta]>c^*[\eta]$ , regime.

252



253

254 **Fig. 2.** Variation in the 'zero shear' viscosity of KC with the degree of occupancy of space by the chain coils. The

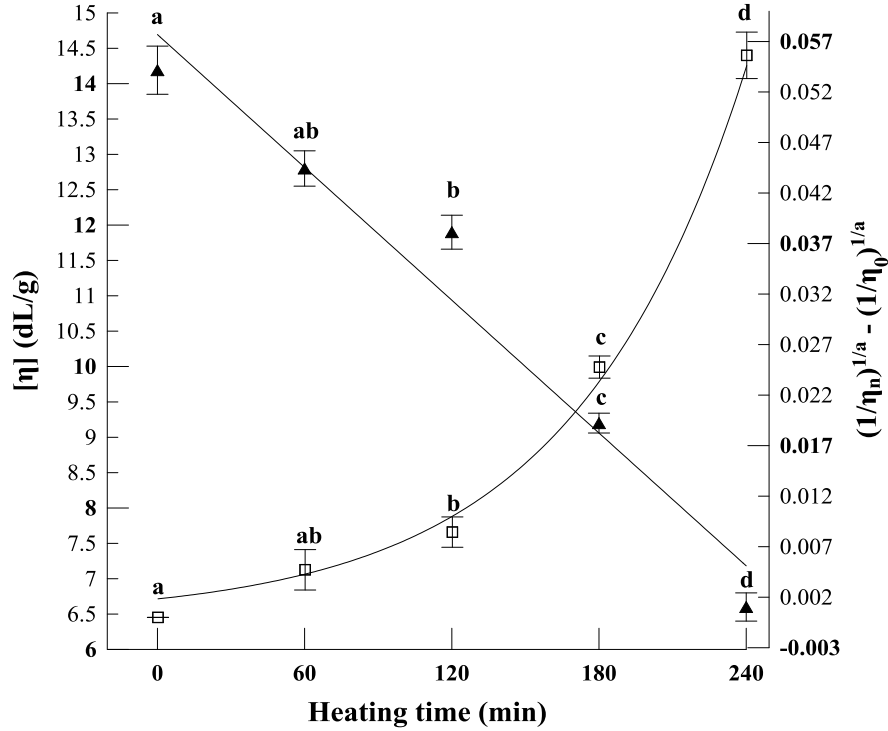
255 results are shown for KC treated at: intact (●), 60 min (Δ), 120 min (▽) 180 min (□), and 240 min (◆).

256 Another factor that is important for the later experiments is the susceptibility of the  $c^*[\eta]$  with  
257 regard to the thermal treatments. Fig. 2 also shows that the  $c[\eta]$  is essentially independent of the  
258 heating time. The lack of changes in  $c^*[\eta]$  upon heating time reflects the independence of the  
259 coil overlap parameter to the time of thermal treatment. Bradley & Mitchell (1988) found out  
260 that sodium alginate at 25°C and 102°C had approximately the same intrinsic viscosity and  
261 explained that the point of the coil overlap was independent of the temperature. De Vasconcelos,  
262 De Azevedo, Pereira, & Fonseca (2000) were also revealed that the coil overlap of potato starch  
263 appeared in  $c \approx 1.5$  dL/g in the temperature range of 0-45°C.

### 264 3.3. Degradation rate of KC

265 The extent of KC degradation was conducted by measuring the changes of intrinsic viscosity  
266 (as an indicator of the molecular mass degradation) versus heating time. Fig. 3 displays  
267 exponential decrease of the  $[\eta]$  by extending the heating time. As can be seen, the  $[\eta]$  values for  
268 0, 60 and 120 min were 14.19, 12.80 and 11.90 dL/g, respectively. Further heating time from 180  
269 to 240 min was associated with the decreasing of intrinsic viscosity from 9.2 to 6.6 dL/g. This  
270 phenomenon could be attributed to the decrease in the average molecular weight, which  
271 increases the molecule's compactness and radius of gyration.





272

273

**Fig. 3.** Changes in intrinsic viscosity and  $\left(1/[\eta]_t^{\frac{1}{\alpha}}\right) - \left(1/[\eta]_0^{\frac{1}{\alpha}}\right)$  of KC upon various heating times.

274

Fig. 3 is also plotted based on the conception that the degradation rate of KC is the pseudo

275

first-order kinetics. The degradation rate obtained by plotting  $\left(1/[\eta]_t^{\frac{1}{\alpha}}\right) - \left(1/[\eta]_0^{\frac{1}{\alpha}}\right)$  versus

276

heating time, which its slope,  $\left((r/m) \times [k]^{\frac{1}{\alpha}}\right)$ , having a positive trend. The time dependency of

277

the experiment can be expressed by the degradation rate upon heating time. The increase of the

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inverse intrinsic viscosity caused by increasing the heating time from 60 to 240 min was

279

associated with increase of  $\left(1/[\eta]_t^{\frac{1}{\alpha}}\right) - \left(1/[\eta]_0^{\frac{1}{\alpha}}\right)$  from 0.023 to 0.16 dL/g. This is fully in

280

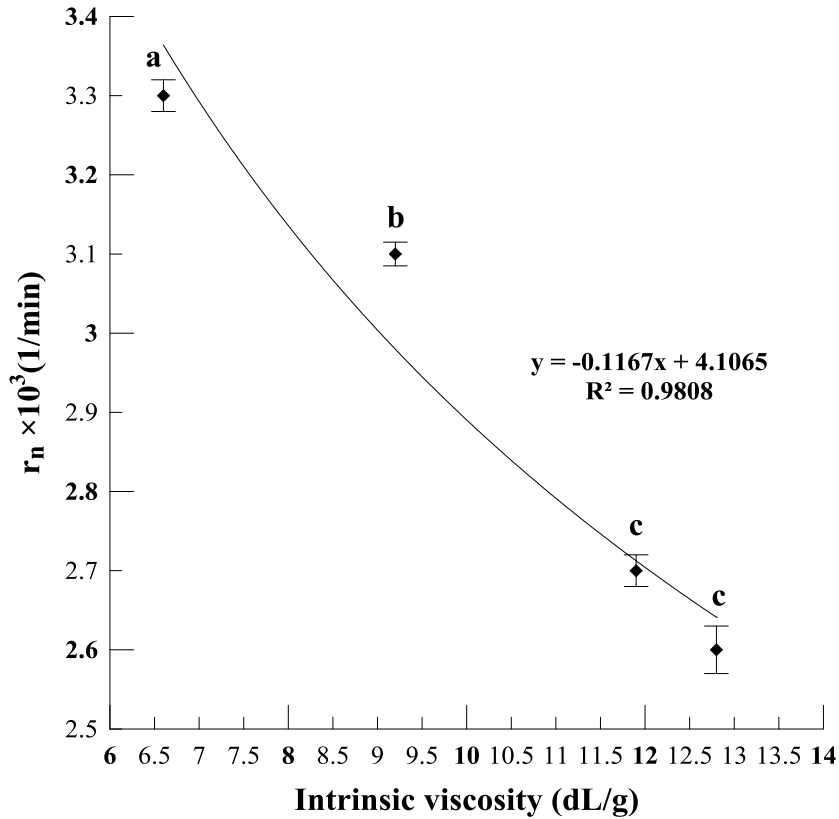
accord with the linearity of thermal degradation, implying the validity of the Eq. (8) for assessing

281

the degradation rate upon the thermal treatment.

282 The increasing of the heating time is coincident with a faster reduction of the  $\left( (r/m) \times \right.$   
283  $\left. [\eta]_{MH}^{\frac{1}{\alpha}} \right)$ , especially at longer times, where it causes a decrease in  $[\eta]$ . This is due to a scission of  
284 the chains at 3,6-anhydrogalactose linkage (Masson, 1955; Hjerde, Smidsrød, & Christensen,  
285 1996), and if the long-period time is employed, degradation will occur at a broader level.  
286 Hydrolysis reaction (Bradley & Mitchell, 1988; Singh & Jacobsson 1994; Karlsson & Singh,  
287 1999) and thermal degradation (Masson, 1955; Bradley & Mitchell, 1988) are two different  
288 hypotheses generally proposed to explain this phenomenon, as a result of the molecular chain  
289 degradation and reduction of MW.

290 The  $r_n$  was derived from the slope of  $\left( 1/[\eta]_{n+1}^{\frac{1}{\alpha}} \right) - \left( 1/[\eta]_n^{\frac{1}{\alpha}} \right)$  versus the heating time. There  
291 is a linear relationship between  $r_n$  and  $[\eta]_n$  for the short times during the thermal treatment (Fig.  
292 4). This is determined by Eq. 8 and confirms that the degradation rate depends especially on the  
293 intrinsic viscosity. The thermal degradation rate was recognized to follow a pseudo first-order  
294 kinetic and mainly, depended on the molecular weight.



295

296

297

**Fig. 4** .Changes of degradation rate constant ( $r_n$ ) against  $[\eta]_n$  at which  $r=0$  ( $[\eta]_{r=0}$ )

298

### 3.4. Physical properties of the films

299

#### 3.4.1. Characterization of the films

300

The appearance of the neat chitosan film (with no plasticizer) was opaque, non-flexible and

301

brittle. This phenomenon was proven by the lack of plasticizer, where it was capable of forming

302

a substantially more flexible and soft film. After blending chitosan with untreated KC, the film

303

was seen to be more flexible with a smooth and uniform surface.

304

According to thickness results, there was no important difference between thickness value of

305

the neat chitosan and T<sub>60</sub>-T<sub>180</sub> films, which all films have a value in range of 56.6-58.0  $\mu\text{m}$ .

306 There is a notably difference in the thickness value of T<sub>240</sub> film. In the recent case, the thickness  
307 value was determined approximately 45.4 μm, which was considerably less than the neat  
308 chitosan film.

#### 309 3.4.2. Water solubility (WS)

310 The water solubility depends on the film constitution, molecule conformation, and thermal  
311 condition (Gennadios, Ghorpade, Weller, & Hanna, 1996; Pérez-Gago, Nadaud, & Krochta,  
312 1999). From the results of the ANOVA test, the WS of the blend film was significantly  
313 influenced by the presence of KC (Table 1). The chitosan film has low water solubility, 27.3%,  
314 due to its highly crystallized and rigid structure. The WS value of the chitosan film more  
315 decreased to 23.3% after incorporating with intact KC. The linkage of the hydrophilic chains  
316 between chitosan and KC led to a relatively stiffer structure, which decreased the accessibility of  
317 the water to the hydrophilic groups. **The increase of the water resistance results from KC**  
318 **blending was reported in the several previous studies. Rhim (2012) was found that the WS of the**  
319 **agar film was notably decreased through increasing the KC content.**

320 The effect of heating time on the solubility of the films is also shown in Table 1. The WS of  
321 blend films decreased as the period of the thermal treatment increased up to 120 min and, after  
322 that, increased. The comparison of WS of the neat chitosan film and T<sub>120</sub> exhibited a reduction  
323 by more than 32.3%. About T<sub>180</sub> and T<sub>240</sub>, the solubility increased linearly to 23.6 and 26.7%,  
324 respectively. It emanated from the partial degradation of KC, which resulted in decreasing in the  
325 hydrophilic **site** of KC for interaction with chitosan.

326 **Table 1.** Summary of the physico- mechanical and structural properties of the films.

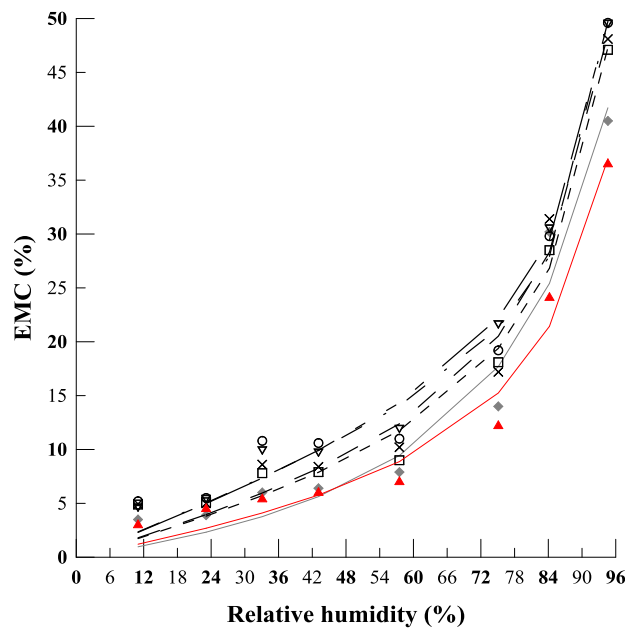
| Film type        | WS (%)                | WVP<br>(g/m.s.Pa)×10 <sup>-10</sup> | TS<br>(MPa)             | EB<br>(%)                | Contact angle<br>(°)  | Roughness parameters |                     |
|------------------|-----------------------|-------------------------------------|-------------------------|--------------------------|-----------------------|----------------------|---------------------|
|                  |                       |                                     |                         |                          |                       | R <sub>q</sub> (nm)  | R <sub>a</sub> (nm) |
| Chitosan         | 27.3±0.6 <sup>a</sup> | 0.62±0.002 <sup>a</sup>             | 8.1 ± 0.4 <sup>a</sup>  | 24.41 ±0.1 <sup>a</sup>  | 39.0±1.7 <sup>a</sup> | 19.11                | 10.83               |
| Intact blend     | 23.3±1.1 <sup>b</sup> | 0.51±0.003 <sup>b</sup>             | 13.2±0.7 <sup>b</sup>   | 17.83± 0.2 <sup>b</sup>  | 67.8±2.2 <sup>b</sup> | 8.24                 | 6.15                |
| T <sub>60</sub>  | 22.8±1.0 <sup>b</sup> | 0.43±0.001 <sup>c</sup>             | 16.8 ± 0.3 <sup>c</sup> | 14.48 ± 0.1 <sup>c</sup> | 68.2±1.4 <sup>b</sup> | 7.10                 | 4.90                |
| T <sub>120</sub> | 18.5±0.5 <sup>c</sup> | 0.42±0.002 <sup>c</sup>             | 17.6 ± 0.3 <sup>c</sup> | 14.25± 0.2 <sup>c</sup>  | 50.2±0.8 <sup>c</sup> | 8.94                 | 6.40                |
| T <sub>180</sub> | 23.6±0.9 <sup>b</sup> | 0.49±0.002 <sup>b</sup>             | 17.1 ± 0.2 <sup>c</sup> | 14.2 ±0.2 <sup>c</sup>   | 51.4±1.1 <sup>c</sup> | 16.57                | 11.89               |
| T <sub>240</sub> | 26.7±0.7 <sup>a</sup> | 0.58±0.001 <sup>d</sup>             | 13.6 ± 0.3 <sup>b</sup> | 17.1± 0.3 <sup>b</sup>   | 41.5±1.6 <sup>b</sup> | 37.95                | 23.00               |

327 <sup>a-d</sup> Means (three replicates) within each column with different letters are significantly different ( $p < 0.05$ ), Duncan's test.

### 328 3.4.3. The equilibrium moisture content (EMC)

329 The films should be capable of withstanding the atmospheric humidity effect. Hence, the  
330 determination of EMC is essential in the packaging applications. The EMC data of the films  
331 were fitted to GAB models and isotherms are presented in Fig. 5. In general, the moisture  
332 sorption isotherms for the all films displayed a sigmoidal curvature and shown that the EMC  
333 increased gently with an increase in relative humidity up to 57.5%, after which there is a sharp  
334 increase in the EMC in the film samples. The sigmoidal curvature trend of the EMC is well  
335 recognized in the literature for most food materials, including carbohydrates (Srinivasa, Ramesh,  
336 Kumar, and Tharanathan, 2003). At RH of 90%, the neat chitosan film had an initial EMC of  
337 about 40.5%, which rose up to 47.1%, when incorporated with intact KC. The KC had a high  
338 hydration capacity at the critical overlap point (0.275 g/dL). This led the intact blend to have an  
339 increased moisture affinity at a higher value of RH. The increase in the water uptake of the blend  
340 film by increasing the KC previously reported. In a study conducted through Rhim (2012) an  
341 increase in the moisture content of the agar film was observed after KC blending.

342 As shown in Fig. 5, the EMC kinetic curves of the T<sub>120</sub> and T<sub>180</sub> samples followed the same  
 343 trend as untreated sample. The kinetic curves of T<sub>120</sub> and T<sub>180</sub> were slightly higher when  
 344 compared with the untreated blend and T<sub>60</sub> films. The EMC of the T<sub>240</sub> was dramatically reduced  
 345 down to 36.6% in RH of 90%. This indicates that at higher RH, the T<sub>240</sub> sample had much lower  
 346 tendency to water uptake, in comparison with the other films, as a result of KC chain degradation  
 347 during 240 min thermal treatment.



348  
 349 **Fig. 5.** The kinetic curves of EMC against RH% of the chitosan film during heat treatment: pure chitosan (♦), intact  
 350 blend (□), T<sub>60</sub> (×), T<sub>120</sub> (∇), T<sub>180</sub> (○), and T<sub>240</sub> (▲). The lines were derived from the GAB equation

351 Calculated GAB model constants, coefficient of determination ( $r^2$ ) and Fit standard error are  
 352 summarized in Table 2. High  $r^2$  and low standard error values endorsed that the GAB model was  
 353 a suitable model for the experimental data. Mathematical fitting of EMC data to GAB model  
 354 exhibited that the monolayer values ( $M_0$ ) were influenced by both incorporation of KC and  
 355 thermal treatments. The highest monolayer values were belonged to T<sub>120</sub> and T<sub>180</sub> samples about

356 1.22 and 1.73 gH<sub>2</sub>O/g solids, respectively. The monolayer value shows the amount of water,  
 357 adsorbing in a single layer to binding sites in the sample. The incorporation of KC makes more  
 358 active sites, where water molecules could be adsorbed through exposing the hydrophilic groups  
 359 to the film surface. The monolayer values decreased drastically as thermal time treatment  
 360 increased, where the T<sub>240</sub> sample showed the lowest monolayer value (0.27 g H<sub>2</sub>O/g solids)  
 361 among all treated films. The C parameter in the thermally treated film decreased with increased  
 362 the thermal time, except T<sub>240</sub> film.

363 **Table 2.** GAB model constants and coefficient of determination ( $r^2$ ) and fit standard error for various films.

| Film type        | Thickness (μm)        | M <sub>0</sub> * | C**  | K**  | r <sup>2</sup> | Fit standard error |
|------------------|-----------------------|------------------|------|------|----------------|--------------------|
| Chitosan         | 57.6±0.7 <sup>a</sup> | 0.082            | 1.13 | 0.85 | 0.983          | 0.030              |
| Intact blend     | 57.2±0.6 <sup>a</sup> | 0.56             | 0.29 | 0.94 | 0.993          | 0.022              |
| T <sub>60</sub>  | 58.0±0.7 <sup>a</sup> | 0.53             | 0.32 | 0.92 | 0.989          | 0.029              |
| T <sub>120</sub> | 57.4±1.1 <sup>a</sup> | 1.22             | 0.18 | 0.95 | 0.995          | 0.018              |
| T <sub>180</sub> | 56.6±0.4 <sup>a</sup> | 1.73             | 0.12 | 0.98 | 0.991          | 0.025              |
| T <sub>240</sub> | 45.4±14 <sup>b</sup>  | 0.27             | 0.43 | 0.91 | 0.988          | 0.022              |

364 \* Monolayer moisture content in g H<sub>2</sub>O/g solids.

365 \*\* GAB constant.

366

#### 367 3.4.4. Water vapour permeability (WVP)

368 The assessment of the possibility of water vapour penetration rate between the products in the  
 369 packing and outer perimeter can be determined by WVP. In the case of food products, the  
 370 evaluation of WVP is crucial (Robertson, 2012). The WVP values of the films are represented in  
 371 Table 1. It is clearly obvious that the change of WVP does not follow a special trend. The WVP

372 of the intact blend, T<sub>60</sub> and T<sub>120</sub> declined, while WVP increased in the case of T<sub>180</sub> and T<sub>240</sub>,  
373 compared with the chitosan film.

374 The WVP of chitosan films decreased from 0.62 to 0.51 ( $\times 10^{-10}$  g/m.s.Pa), after blending with  
375 untreated KC. This improvement can be ascribed by the formation of hydrogen bond between  
376 chitosan and KC, which results in the formation of a relatively tough structure. This occurrence  
377 leads to a barrier against moisture movement (Xu, Kim, Hanna, & Nag, 2005). Park, Lee, Jung,  
378 & Park (2001) were synthesized a blend film constituted by chitosan and KC and measured  
379 WVP of this blend. Their works showed that the water barrier properties notable increased by  
380 about 31%. In a favorable study, a linear relationship for WVP of the chitosan/starch film was  
381 found, in which an increase of chitosan content led to a decrease in the WVP (Xu, Kim, Hanna,  
382 & Nag, 2005).

383 The WVP for T<sub>60</sub> and T<sub>120</sub> was lower in comparison to both the chitosan and the intact blend  
384 film. No significant difference was observed between the T<sub>60</sub> and T<sub>120</sub> samples ( $p < 0.05$ ). Table 1  
385 shows that the WVP values of T<sub>180</sub> and T<sub>240</sub> films increased linearly with KC incorporation. The  
386 highest WVP was found for the T<sub>240</sub>, which represented a slight resistance to the vapour  
387 transition through the film. The massive decomposition and degradation of KC chains during 240  
388 min heat treatment could affect the hydrophilic sites, which weakened the interaction between  
389 the chitosan and KC. So, the film formed no rigid structure to block the passage of moisture  
390 transport.

### 391 3.5. Mechanical properties

392 Tensile strength (TS) is an important property and defines the resistance of the film to rupture  
393 when material is submitted to the tensile force.



394 The TS and elongation at break (EB) were convenient parameters for the evaluation of the  
395 mechanical behavior of the film. The changes of TS as a function of thermal treatment are shown  
396 in Table 1. A remarkable increase in the TS was observed by the incorporation of intact KC into  
397 the chitosan film. This increase is due to mainly the formation of hydrogen bonding in the intact  
398 blend, which provided a firm structure in comparison to the neat chitosan film. Park, Lee, Jung,  
399 & Park (2001) reported that TS of the chitosan film after introducing KC strongly increased from  
400 initial value 3.8 to 25.5 MPa in the presence acid acetic and acid ascorbic 1%. In contrast, in the  
401 other work performed by Rhim (2012), the addition of KC could not improve the TS of the agar  
402 based film.

403 A notable increase in TS was also observed with samples of T<sub>60</sub>-T<sub>180</sub>. The statistical difference  
404 in TS value between these films was not significant ( $p < 0.05$ ). Conversely, the thermal treatment  
405 had an obvious effect on the TS in the case of T<sub>240</sub> film. The extent of film TS after 240 min was  
406 associated with a decrease to the 20.6 MPa. The reduction of TS can be attributed to the  
407 diminishing of the reaction sites of KC for intramolecular interactions.

408 From the results of the mechanical test, the EB of the neat chitosan film considerably  
409 decreased after introducing intact KC (Table 1). It was observed that the extent of EB of the  
410 chitosan film dropped from 24.41% to 17.83% in regard to the untreated blend. According to the  
411 inverse relation between TS and EB, it was evident that with the increase of the TS, as seen  
412 above, the extent of EB would reduce. This finding was similar to Park, Lee, Jung, & Park  
413 (2001) who reported a noteworthy reduction in the resilience of the chitosan film after  
414 incorporating of KC. In the case of films that contained treated KC, the EB decreased with the  
415 increasing of thermal treatment time up to a certain value, and then began to increase. For T<sub>60</sub>-  
416 T<sub>180</sub> films, the EB decreased significantly compared to the chitosan and intact blend films

417 ( $p < 0.05$ ). In contrast, EB of the T<sub>240</sub> sample reached 17.1% and, thus, was similar to that of the  
418 intact blend.

### 419 3.6. Structural properties

#### 420 3.6.1. Surface morphology

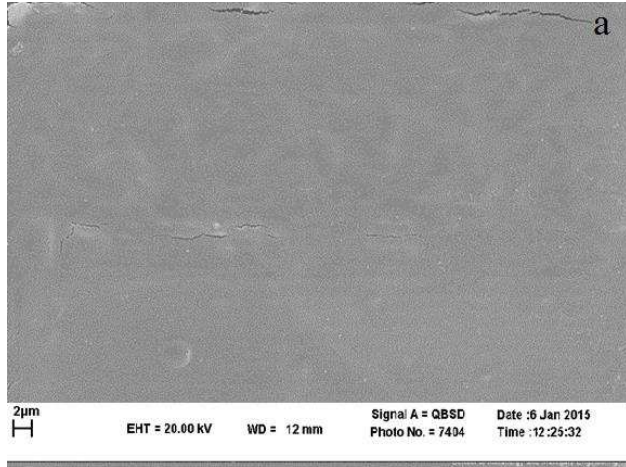
421 The SEM image of the films is shown in Fig. 6. As can be seen, the neat chitosan film  
422 involved an irregular morphology with some cracks on the surface (Fig. 6 a). This might have  
423 been due to the lack of the plasticizer in the preparation of the neat chitosan film. The plasticizers  
424 are generally polyols that are incorporated into the biopolymer chains. They can rupture the  
425 hydrophilic bonds, leading to the separation of the chains, which increase the flexibility and the  
426 resilience of the film.

427 The most prominent effect of introducing KC into the chitosan film was the disappearance of  
428 the cracks. The SEM micrographs revealed a smooth morphology without any fracture for the  
429 intact **chitosan/KC** blend film (Fig. 6 b).

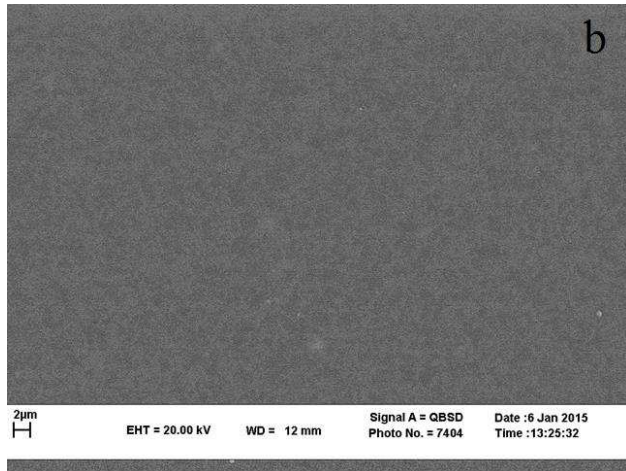
430 The heat treatment severely affected the integrity and morphological structure of the films,  
431 except for T<sub>60</sub> sample (Fig. 6 c). The flat morphology of the film was affected more and more by  
432 increasing the heating time. The surface morphology of T<sub>120</sub>, T<sub>180</sub> and T<sub>240</sub> films became rugged  
433 and uneven with agglomerated pieces apparent in the SEM micrographs, among which, the T<sub>240</sub>  
434 surface seemed to be more affected by the thermal treatment (Fig. 6 d-f).

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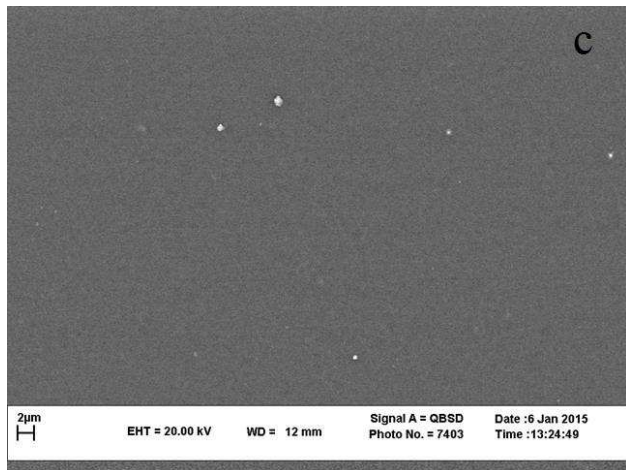
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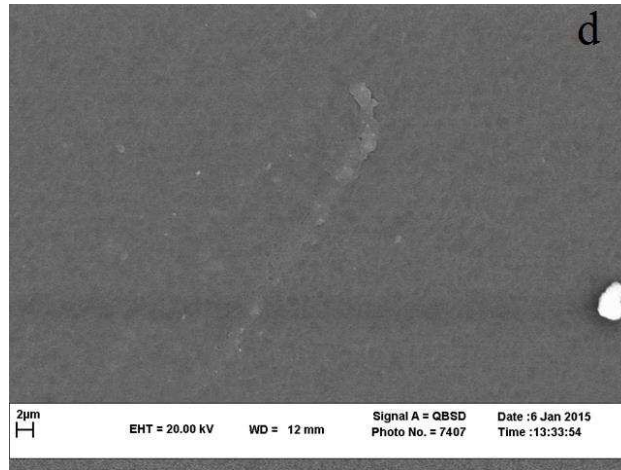
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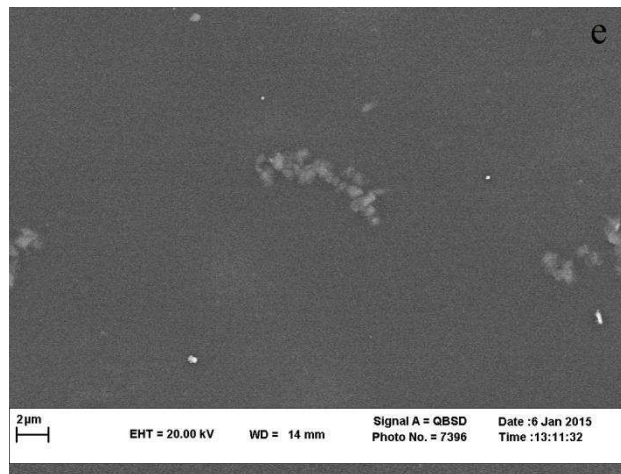
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450 **Fig. 6.** SEM micrographs of the films: (a) pure chitosan; (b) intact blend; (c) T<sub>60</sub>; (d) T<sub>120</sub>; (e) T<sub>180</sub> and (f) T<sub>240</sub>, at  
451 magnification of  $\times 10000$ .

### 452 3.6.2. Surface roughness

453 The AFM analysis was used to provide a better understanding of the surface topography of the  
454 films. AFM obtained reliable qualitative and quantitative information as three-dimensional  
455 topographical parameters in the nanometer scale.

456 Fig. 7 represents three-dimensional characteristics of various films and shows the remarkable  
457 differences between the film types. As expected, the surface topography of the neat chitosan

458 film, obtained from SEM images, was somewhat rough, heterogeneous and with surface  
459 irregularities. The film surface became smooth as KC was incorporated. The topography of both  
460 untreated blend and T<sub>60</sub> films was uniform and homogenous.

461 The roughness parameters, such as average roughness (R<sub>a</sub>) and root mean square roughness  
462 (R<sub>q</sub>), were obtained. The first of these quantities expressed that the average of absolute value of  
463 height deviations from the mean surface, and the second was a measure of the root mean square  
464 average of height deviations from the mean plane. As can be seen in Table 1, the intact blend and  
465 T<sub>60</sub> films showed low values of R<sub>a</sub> and R<sub>q</sub>, with no notable differences in AFM parameters. The  
466 T<sub>120</sub> film exhibited a fair degree of irregularity on the surface, which reflected the effect of the  
467 thermal treatment on the film. The T<sub>120</sub> film had high values of R<sub>a</sub> (8.94 nm) and R<sub>q</sub> (6.40 nm) in  
468 comparison to the untreated blend and T<sub>60</sub> films. As mentioned in section 3.3, thermal treatment  
469 seemed to alter the KC matrix and caused a high extent of chain degradation. This, in turn,  
470 brought about particle aggregation or flocculation.

471 The topography of the film surfaces was greatly accentuated, when the thermal time was  
472 applied at higher levels. Further AFM imaging at T<sub>180</sub> and T<sub>240</sub> film clearly showed the presence  
473 of grooves and cracks on the surface of the films. All the parameters showed a prominent  
474 increase in the degree of roughness for the T<sub>180</sub> and T<sub>240</sub> samples. The roughness parameters of  
475 T<sub>240</sub> were even more than the neat chitosan film. The roughness observed on the surface of the  
476 T<sub>240</sub> film, was indicative of a degradation of the KC chains, in accordance with the earlier  
477 hypothesis that implied chains hydrolysis at the higher temperatures.

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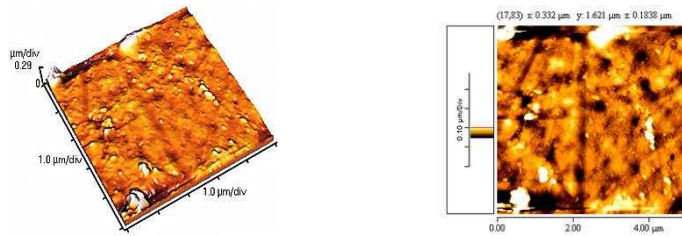
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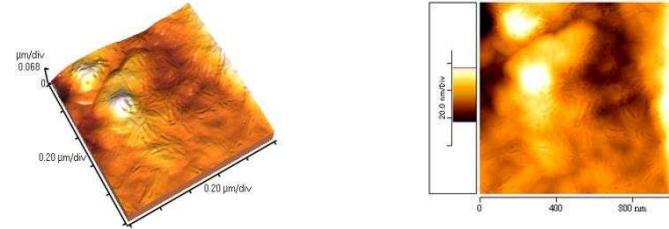


Neat chitosan film

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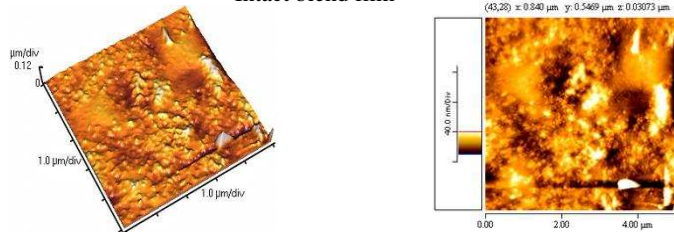


Intact blend film

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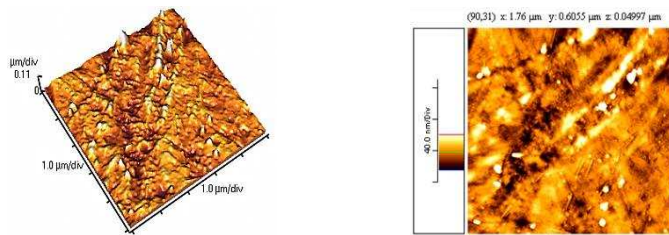


T<sub>60</sub> sample

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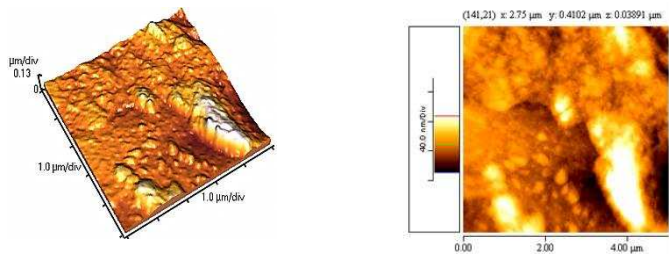
T<sub>120</sub> sample

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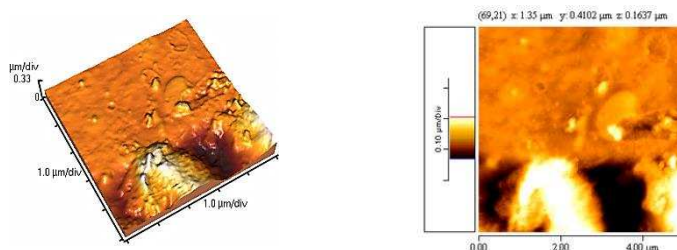
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T<sub>180</sub> sample

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T<sub>240</sub> film



499

500

501

**Fig. 7.** Typical AFM topography images of the films.

502

503 3.6.3. Contact angle (CA)

504 The changes in the surface hydrophobicity of the film were evaluated by the measurement of  
505 the water droplet contact angle and data are summarized in Table 1. The CA of the neat chitosan  
506 film exhibited a moderately low contact angle of  $\theta=39^\circ$ , which the droplet completely absorbed  
507 into the neat chitosan after 1 min. The rough surface and hydrophilic backbone of the chitosan  
508 chains caused its surface to be highly hydrophilic and wettable (Table 1). The CA data for the  
509 intact blend film revealed that KC improved the surface hydrophobicity of the pure chitosan  
510 film. A considerable increase by  $29^\circ$  was observed in the intact **chitosan/KC** film. This  
511 improvement essentially resulted from the disappearance of the surface cracks. On the other  
512 hand, the incorporation of surface hydroxyl groups by forming hydrogen bond in the untreated  
513 blend led to further rigidity of the film and lesser hydrophilic sites on the film surfaces. This was  
514 in agreement with the results obtained from both physical and mechanical properties, as was  
515 described in sections 3.4 and 3.5.

516 The influence of various heating times on the CA of the films can also be seen in Table 1. The  
517 surface hydrophobicity of the T<sub>60</sub> film was similar to the intact blend film ( $\theta=68.2^\circ$ ). It was  
518 observed that the magnitude of CA decreased gradually for T<sub>120</sub>, T<sub>180</sub> and T<sub>240</sub> films. Among the  
519 films that have undergone thermal treatment, T<sub>240</sub> was accompanied by the highest decrease in

520 the value of CA. From the topographic images, (Fig. 7), it was revealed that the surface of the  
521 heat treated film was very uneven and the surface roughness is dominant factor that altered the  
522 CA.

#### 523 **4. Conclusion**

524 This study demonstrates that the film preparation using the thermal casting method enhances  
525 the potential of the polysaccharide degradation, which impacts on the film properties. The  
526 existence of a linear relationship between  $k_n$  and  $[\eta]_n$  indicates that the thermal degradation of  
527 KC follows a pseudo first-order kinetics, and it depends on its molecular weight. The order of  
528 susceptibility to the thermal degradation by the various thermal time treatments resembles the  
529 results on the other kind of hydrolysis reactions. It was found out that thermal treatment had  
530 influenced the film characteristics, especially in its water solubility, mechanical and structural  
531 properties. Incorporation of KC (at the level of coil overlap) to the chitosan solution also led to a  
532 significant improvement in the physico-mechanical properties. More precaution should be taken  
533 to limit the thermal degradation of polysaccharides during thermal casting method.

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