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1 Can atmospheric composition influence plant fossil  
2 preservation potential via changes in leaf mass per area? A new  
3 hypothesis based on simulated palaeoatmosphere  
4 experiments.

5

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12

13 **ABSTRACT**

14 Atmospheric composition, particularly levels of CO<sub>2</sub> and O<sub>2</sub>, impacts all aspects of life but its  
15 role in relation to plant preservation in the fossil record is largely unconsidered. Plants,  
16 angiosperms in particular, have been widely shown to increase leaf mass per area (LMA) under  
17 high CO<sub>2</sub> conditions and decrease LMA in low CO<sub>2</sub> conditions. Leaf thickness has long been  
18 known to be a contributory factor in preservation potential in the plant fossil record, with  
19 thicker leaves considered to have a greater recalcitrance than thinner ones. Therefore, any  
20 change in leaf density/thickness, through changes to LMA, could lead to an increased or  
21 decreased preservation potential of fossil leaves at times of elevated or decreased CO<sub>2</sub>,  
22 respectively. . Additionally, the impact of changes to atmospheric O<sub>2</sub> and to the atmospheric  
23 CO<sub>2</sub>:O<sub>2</sub> ratio on LMA has not been previously considered in detail. This investigation examines  
24 the effect of simulated Mesozoic atmospheres, times of high CO<sub>2</sub> and low O<sub>2</sub>, on LMA in a suite  
25 of gymnosperms that act as nearest living equivalents for common elements of Mesozoic floras.  
26 Exposure to high CO<sub>2</sub> (~1,500 ppm) led to a statistically significant ( $p < 0.001$ ) increase in LMA in

27 four out of 6 species, and exposure to combined high CO<sub>2</sub> and low O<sub>2</sub> (~13%) induced a  
28 statistically significant ( $p < 0.001$ ) increase in LMA in all six species. The investigation also  
29 examined the effects of atmospheric composition on %N, a key plant trait known to co-vary  
30 with LMA under modern atmospheric compositions that provides information on plant function  
31 and relates to photosynthetic efficiency. Most species showed decreased %N in treatments  
32 with increased LMA in agreement with modern ecological studies and supporting the co-varying  
33 nature of LMA and %N regardless of CO<sub>2</sub>:O<sub>2</sub> ratio. These findings suggest that atmospheric  
34 composition has a pronounced impact on LMA. Based on these results, we propose the  
35 hypothesis that atmospheric composition is an important taphonomic filter of the fossil leaf  
36 record. Further research is now required to test the significance of atmospheric composition  
37 versus other well-known taphonomic filters.  
38

39 Key words: plant preservation; leaf taphonomy; atmospheric composition; LMA; fossil plants

#### 40 **Highlights**

41 1 Mesozoic analogue taxa exhibit higher LMA with increasing CO<sub>2</sub>:O<sub>2</sub> ratio

42 2 %N decreases with increasing LMA in different palaeo-atmospheric compositions

43 3 High CO<sub>2</sub> episodes in the geological past possibly increased fossil leaf preservation potential

44

#### 45 **1. INTRODUCTION**

46 There are numerous factors, biological, physical and chemical, that influence whether or not a  
47 once living plant or animal enters the fossil record (Benton & Harper, 2009; Briggs, 2003; Butler  
48 et al., 2015; Kidwell, 2001; McNamara et al., 2012; Redelstorff & Orr, 2015). For plants, the  
49 majority of macrofossil assemblages are leaf litter (Greenwood, 1991), making leaves among  
50 the most common plant organs preserved in the fossil record. Therefore, a detailed  
51 understanding of how leaves are preserved and the taphonomic filters that act on leaf  
52 preservation in the fossil record is extremely important and has been the subject of much work  
53 over the last several decades. After climate and source vegetation, which both control the leaf  
54 litter available for preservation (Burham et al., 1992; Burham et al., 2005; Gastaldo and Staub,

55 1999; Greenwood, 1991; Spicer, 1989), depositional environment is most likely the primary  
56 control that determines preservation potential of leaf macrofossils (Ferguson, 2005; Gastaldo et  
57 al., 1987; Gastaldo & Demko, 2011; Gastaldo, 1989; Gastaldo et al., 1996; Gee et al., 2005;  
58 Greenwood, 1991; Spicer, 1989). Other factors that are known to impact on preservation  
59 potential include the chemical composition of plant organs (Briggs, 1999; Collinson et al., 1998;  
60 Retallack, 2011; Witkowski et al., 2012); premineralisation (Briggs, 1999; Channing & Edwards,  
61 2003; Labe et al., 2012; Scott & Collinson, 2003) and the thickness of leaves (Gastaldo, 2001;  
62 Spicer, 1989). There are numerous other factors that can impact on the preservation potential  
63 of leaves in the plant fossil record and a summary of some of the key taphonomic filters is  
64 provided in Table 1. One factor that has not, to the best of our knowledge, been investigated  
65 for its effect on preservation potential of plant material in the fossil record is atmospheric  
66 composition at the time of leaf growth and deposition. Atmospheric composition has shifted  
67 dynamically throughout Earth history, and plants in turn have responded via morphological  
68 (Bacon et al., 2013; Beerling, 2005; Beerling et al., 2001; McElwain et al., 1999; Niklas, 1986;  
69 Haworth et al., 2011), ecophysiological (Boyce et al., 2009; Franks & Beerling, 2009; Haworth et  
70 al., 2014; Haworth et al., 2015; Steinthorsdottir et al., 2012) and anatomical (Field et al., 2011;  
71 de Boer et al., 2012; Thomasson et al., 1986) adaptation. Here we ask, could functional  
72 adaptation to atmospheric composition in the past have influenced fossil leaf preservation  
73 potential?

74

75 Leaf mass per area (LMA) is an important functional trait of plants that expresses leaf dry-mass  
76 invested per unit of light-intercepting leaf area (Wright et al., 2004). LMA has been shown to

77 increase when plants are grown in experimentally elevated concentrations of carbon dioxide  
78 (CO<sub>2</sub>) (Ainsworth & Long, 2005; Poorter et al., 2009) and to decrease when grown in sub-  
79 ambient CO<sub>2</sub> (Temme et al., 2013). However, the possibility of changes in LMA, mediated by  
80 changes in atmospheric composition, as having a direct, if secondary, impact on preservation  
81 potential of fossil leaves, has not been considered. This is likely of significant importance  
82 because previous studies have identified leaf thickness as important to plant preservation  
83 (Gastaldo, 2001; Spicer, 1989) and therefore, if LMA increases or decreases depending on the  
84 atmospheric composition at time of growth, this may have a direct, secondary impact on  
85 preservation potential of fossil leaves. Atmospheric composition is known to have changed  
86 dramatically over the last 400 million years (e.g. Berner, 2006; Berner et al., 2007; Bergman et  
87 al., 2004) and within the Mesozoic concentrations of both CO<sub>2</sub> and O<sub>2</sub> are thought to have  
88 altered dramatically over both longer (e.g. Berner, 2006; Berner et al., 2007; Bergman et al.,  
89 2007; Belcher & McElwain, 2008) and shorter (e.g. Prochnow et al., 2005; Schaller et al., 2011;  
90 Steinhorsdottir et al., 2011; Weissert & Erba, 2004) timescales. Given that atmospheric  
91 composition is known to effect LMA and given that leaf thickness is known to be a factor that  
92 helps to determine plant preservation potential, this suggests that these changes in  
93 atmospheric composition may have led to shifts in LMA and thereby alteration of the  
94 preservation potential of leaves at different points in time. Most studies of LMA focus on crop  
95 plants or other angiosperms, which are not representative of Mesozoic or older fossil floras.  
96 Further, there are relatively few studies that focus on the effects of very high (>1,000ppm) CO<sub>2</sub>  
97 or changes in atmospheric oxygen (O<sub>2</sub>) that characterize the Mesozoic Eon. This suggests that  
98 existing studies on LMA and atmospheric composition are likely not applicable to the Mesozoic

99 as this would require considerable extrapolation, and highlights the need for experimental  
100 studies on plant responses to these atmospheric compositions. In particular, the effects of low  
101 O<sub>2</sub> on LMA have not been well-studied, and, as the Mesozoic is characterized by both high CO<sub>2</sub>  
102 and low O<sub>2</sub>, the effects of low O<sub>2</sub> on LMA are essential to understanding how plants may have  
103 responded to these Mesozoic shifts in atmospheric composition.

104

105 In addition to responding to atmospheric composition, LMA is one of six co-varying functional  
106 traits that together make up the “worldwide leaf economic spectrum” (WLES) (Wright et al.,  
107 2004). The WLES traits provide a simple means of investigating ecosystem function; however,  
108 only LMA can be inferred in the fossil record (Royer et al., 2007; 2010; Haworth & Raschi, 2014;  
109 Blonder et al., 2014). Measuring LMA in the fossil record allows the investigation of how plants  
110 may have responded to major environmental upheavals (Blonder et al., 2014; Haworth &  
111 Raschi, 2014) and of plant evolution (Royer et al., 2010). However, whether or not the co-  
112 varying nature of the WLES traits is sustained in atmospheric compositions different to modern  
113 levels, has not been investigated. Among the key WLES traits are LMA and %N (percentage  
114 nitrogen) (and C:N (carbon:nitrogen) ratio). %N and C:N ratio provide information on how  
115 plants utilise available nutrients and link to overall plant function, as nitrogen is an essential  
116 component of many enzymes, including the photosynthetically essential enzyme Rubisco  
117 (Wright et al., 2004). If changes to atmospheric composition can significantly alter LMA and C:N  
118 ratios in a range of plants in a manner consistent with the predictions of the leaf economic  
119 spectrum (Wright et al., 2004), this would provide support for using LMA-based plant function  
120 reconstructions to investigate the ecology of palaeofloras.

121

122 The aims of this study were to: 1) investigate LMA and C:N responses of plants representative  
123 of an Early Mesozoic flora to different concentrations of atmospheric CO<sub>2</sub> and O<sub>2</sub>; 2) determine  
124 whether altered atmospheric composition influences the paired responses between LMA and  
125 C:N, as predicted by WLES; and 3) consider how this may impact the preservation potential of  
126 leaves in the plant fossil record and interpretations of fossil floras.

127

## 128 **2. MATERIALS AND METHODS**

### 129 ***2.1 Simulated palaeoatmosphere treatments***

130 Six nearest living equivalent (NLE) taxa were selected as analogues for abundant Early Mesozoic  
131 fossil taxa – *Agathis australis* and *Nageia nagi* were selected as NLEs for broad-leaved conifers,  
132 *Ginkgo biloba* was selected for ginkgophytes, *Lepidozamia hopei* and *L. peroffskyana* for  
133 Bennettitales and Cycadales, and *Dicksonia antarctica* for Mesozoic ferns. Examples of the  
134 leaves of each species are provided in Figure 1. Three plants of each species were grown in  
135 four Conviron BDW 40 walk-in controlled atmosphere and climate chambers under four  
136 different atmospheric treatments as follows: A control treatment was maintained at ambient  
137 concentrations of CO<sub>2</sub> (380ppm) and O<sub>2</sub> (20.9%) (CO<sub>2</sub>:O<sub>2</sub> ratio of 0.0018); a low O<sub>2</sub> treatment  
138 with ambient CO<sub>2</sub> and sub-ambient O<sub>2</sub> at 13% (CO<sub>2</sub>:O<sub>2</sub> ratio of 0.0029); a high CO<sub>2</sub> treatment  
139 was maintained at ambient O<sub>2</sub> and elevated CO<sub>2</sub> of 1,500ppm (CO<sub>2</sub>:O<sub>2</sub> ratio of 0.0072); and a  
140 high CO<sub>2</sub>/low O<sub>2</sub> treatment with CO<sub>2</sub> at 1,500 ppm and O<sub>2</sub> at 13% (CO<sub>2</sub>:O<sub>2</sub> ratio of 0.0115).  
141 Collectively the four treatments provided a good range of hypothesized palaeoatmospheric  
142 conditions for the Early to Middle Mesozoic. The Early to Middle Mesozoic is characterized by

143 high, but variable atmospheric CO<sub>2</sub> (Bernier, 2006; Bergman et al., 2004; Royer, 2001; Royer et  
144 al., 2004; McElwain & Chaloner, 1995) and periods of potentially low O<sub>2</sub> (Belcher & McElwain,  
145 2008; Belcher et al., 2010; Bernier, 2006; Bernier et al., 2007; Glasspool & Scott, 2010).  
146 Atmospheric treatment conditions within the chambers were monitored and controlled as  
147 described in Haworth et al., (2010).

148

## 149 **2.2 Leaf Mass per area analysis**

150 Leaf samples were taken from mature new growth material to ensure that the sampled leaves  
151 had grown and developed under the simulated palaeoatmospheric treatments. For *G. biloba*, *N.*  
152 *nagi*, and *A. australis*, approximately 20 leaves were randomly selected per plant from three  
153 plants per treatment and for the cycads and *D. antarctica*, one frond was selected per plant and  
154 20 randomly selected pinnae from each frond were analysed for each of three plants per  
155 treatment. Each leaf or pinnae was photographed using a 10.1 megapixel Canon 1000D digital  
156 single-lens reflective camera that produced high-quality images with 3888 x 2592 pixel  
157 resolution. The leaves were then dried at 40°C in an oven until dry weight was achieved. The  
158 leaf and pinnae photographs were analysed using ImageJ (1.39u – documentation and  
159 downloads at website <http://rsbweb.nih.gov/ij/>, National Institutes of Health, Bethesda,  
160 Maryland, USA) to determine leaf area, and this was then used with the dry weight  
161 measurements to calculate LMA for each leaf and pinnae. Statistical analyses were performed  
162 in PAST (<http://nhm2.uio.no/norlex/past/download.html>).

163

## 164 **2.3 Carbon:Nitrogen (C:N) analysis**

165 A sub-set of three leaves or pinnae from each plant in each treatment underwent C:N analysis  
166 to determine the effect of atmospheric composition on nutrient uptake and carbon storage.  
167 Each sample was ground to a fine dust in a ball mill, with all implements and the ball mill  
168 canisters cleaned thoroughly using acetone and a sonic water bath between samples. For *D.*  
169 *antarctica*, the individual pinnae were too light to be analysed separately, so the entire selected  
170 frond was used. Powdered samples were analysed for % carbon, % nitrogen and C:N ratio in an  
171 Elementar Vario Micro Cube. Statistical analyses were performed in PAST  
172 (<http://nhm2.uio.no/norlex/past/download.html>).

173

#### 174 ***2.4 Meta-analysis of angiosperm LMA values under different atmospheric composition***

175 In order to determine if the patterns of LMA response to atmospheric composition were  
176 specific to the gymnosperms in this study or more commonly identified in angiosperms, we  
177 extracted LMA values for C3 angiosperms from the recent meta-analysis of Temme et al.,  
178 (2013). This study was chosen as it compiled a large number of previous studies and recorded  
179 both LMA and CO<sub>2</sub> data in easily extracted formats. Only studies reported within Temme et al.,  
180 (2013) that clearly reported LMA or SLA (which was then converted to LMA) for both a control  
181 and at least one treatment for at least two C3 species were included in this analysis. An average  
182 LMA was calculated for all species across studies that had been grown in the same atmospheric  
183 composition and the percentage deviations from the control and CO<sub>2</sub>:O<sub>2</sub> ratios were calculated  
184 for each study (list of studies in Supplementary material 1). None of the studies reported  
185 varying atmospheric O<sub>2</sub>, so an ambient level of 20.9% was assumed for all studies. This is a  
186 small-scale analysis not meant to be exhaustive that aimed to determine if a small group of

187 angiosperms showed a similar response to that observed for the gymnosperms in the current  
188 study and was kept purposely coarse with no distinction made between species.

189

### 190 **3. RESULTS**

#### 191 **3.1 LMA responses to atmospheric composition**

##### 192 *3.1.1 Control treatment*

193 All plants produced new growth and grew well in the control treatment with no signs of stress  
194 (see Table S1 in Supplementary material 2 for chlorophyll fluorescence (Fv/Fm) data). Figure 1  
195 and Table 2 show the range of LMA for each species (raw data for all LMA values in all  
196 treatments presented in Supplementary material 1). The six species can be placed into four  
197 functional groups as described by Poorter et al., (2009) – evergreen gymnosperms (*A. australis*  
198 and *N. nagi*), deciduous trees (*G. biloba*), evergreen shrubs (*L. hopei* and *L. peroffskyana*) and  
199 ferns (*D. antarctica*). In Figure 2, panel (i) in each box shows the LMA range for the relevant  
200 functional group (redrawn from Poorter et al., 2009) for each species as a comparison to the  
201 values obtained in each treatment in panel (ii). As shown in Figure 2 and Table 2, the mean  
202 LMA values of each species in the control treatment (161 g m<sup>-2</sup> for *N. nagi*; 224 g m<sup>-2</sup> for *A.*  
203 *australis*; 84 g m<sup>-2</sup> for *G. biloba*; 128 g m<sup>-2</sup> for *L. hopei*; 138 g m<sup>-2</sup> for *L. peroffskyana*; 82 g m<sup>-2</sup> for  
204 *D. antarctica*) were observed to be within the 25–75 percentiles recorded by Poorter et al.,  
205 (2009) for each functional group.

206

207 Although chamber experiments are known to underestimate LMA in many species, compared  
208 to the same species growing in a natural environment (Garnier & Freijssen, 1994), each of the

209 species in this study had LMA values similar to those expected based on their functional group  
210 growing in the wild.

211

### 212 3.1.2 Simulated palaeoatmospheric treatments

213 Leaf mass per area responses of the five gymnosperm and one fern species to different  
214 palaeoatmospheric treatments are shown in Figure 2 and Table 2 (Supplementary material 2  
215 shows detailed Kruskal Wallis analysis for all species in each treatment; the Kruskal Wallis  
216 analysis was used here because some data were non-normally distributed.). The most  
217 statistically significant and consistent LMA response was observed in the high CO<sub>2</sub>/low O<sub>2</sub>  
218 treatment (highest CO<sub>2</sub>:O<sub>2</sub> ratio), where all species showed a large increase in LMA values  
219 significant at  $p < 0.001$ . The LMA response in the high CO<sub>2</sub> treatment also showed a strong  
220 tendency to increase across the species examined with the exception of the evergreen  
221 gymnosperms (Fig 2), which have the highest LMA values in the control treatment. All species  
222 that showed an increased LMA under high CO<sub>2</sub> did so significantly ( $p < 0.001$ ). Species in the low  
223 O<sub>2</sub> treatment showed the least consistent LMA response: three species increased LMA – *A.*  
224 *australis* at  $p < 0.05$  and *L. peroffskyana* and *D. Antarctica* at  $p < 0.001$ ; *L. hopei* decreased LMA  
225 at  $p < 0.05$ , and *N. nagi* and *G. biloba* showed no significant response to LMA in this treatment.  
226 The lack of consistency within functional groups and between the two most closely related  
227 species (the two cycads) suggests that plant LMA responses to low O<sub>2</sub> are not highly conserved  
228 between these taxa.

229

### 230 3.2 C:N responses

231           3.2.1 Control treatment

232 In the control treatment, C:N ratio analysis revealed a wide range of C:N values between the  
233 different species, as expected based on functional type (raw data for all C:N, %N, and %C values  
234 in all treatments presented in Supplementary material 1 and Supplementary material 2 shows  
235 detailed Kruskal Wallis analysis for all species in each treatment). *Agathis australis* and *N. nagi*,  
236 the two evergreen gymnosperms with high LMA values, had C:N mean values of 74.45 and 80.6,  
237 respectively, while the two evergreen shrubs, *L. hopei* and *L. peroffskyana*, both with lower  
238 mean C:N values of 35.91 and 29.71, respectively, potentially due to the presence of nitrogen  
239 fixing bacteria in their roots (Halliday & Pate, 1978). *G. biloba*, the deciduous tree, had a mean  
240 C:N value of 73.35 and *D. antarctica*, the fern, had a mean C:N value of 37.92. This range of C:N  
241 values in the control treatment was as expected.

242

243           3.2.2 Simulated palaeoatmospheric treatments

244 C:N ratios increased significantly ( $p < 0.05$ ) in all species when grown in the high CO<sub>2</sub>/low O<sub>2</sub>  
245 treatment (Figure 3, Table 3; Supplementary material 1 & 2) with the exception of *D. antarctica*.  
246 The lack of significant increase in *D. antarctica* was likely due to a smaller number of samples  
247 available for this species (3 samples per plant versus 9 per plant for the other species; see  
248 methods). The pattern of responses in the high CO<sub>2</sub> treatment was less consistent, with four of  
249 six species showing an increase in C:N ratio, three (*A. australis*, *G. biloba*, *L. peroffskyana*)  
250 significantly at  $p < 0.05$  (Figure 3, Table 2) and *D. antarctica* non-significantly. The foliar C:N  
251 ratio of *N. nagi* declined, which was contrary to what was expected, but was likely due to the  
252 surprising rise in %N in this treatment for this species (Table 4, Appendix 2). *Lepidozamia hopei*

253 showed no significant change in C:N ratio compared to the control in the high CO<sub>2</sub> treatment.  
254 Apart from *A. australis*, which showed an increase in C:N at  $p < 0.05$ , there were no significant  
255 responses compared to the control in the low O<sub>2</sub> treatment.

256

### 257 **3.3 Comparison of LMA and C:N responses**

#### 258 *3.3.1 Control treatment*

259 According to the WLES paradigm (Wright et al., 2004), leaves with higher LMA are expected to  
260 have lower nitrogen content, which equates to higher C:N ratios. Figures 2 and 3 and Tables 2-5  
261 show that in the control treatment, this prediction was generally met. The species with high  
262 LMA (above 150 g m<sup>-2</sup>), such as *A. australis* and *N. nagi*, had lower mean %N and higher C:N  
263 ratios than the species with low (below 100 g m<sup>-2</sup>), such as *L. hopei* and *L. peroffskyana*, or very  
264 low, such as *D. antarctica*, LMA values. The exception to this is *G. biloba*, which had a low mean  
265 LMA of ~84 g m<sup>-2</sup> but also a low %N (0.68%) and a high C:N ratio (mean value ~73) compared to  
266 the other species in the study.

267

#### 268 *3.3.2 Simulated palaeoatmospheric treatments*

269 Within the simulated palaeoatmospheric treatments, where significant LMA changes were  
270 observed, significant %N and C:N ratio changes were also usually observed (Figure 2 & 3, Tables  
271 2–5), although this is not always the case. *Dicksonia antarctica* showed changes to %N and C:N  
272 ratio that were consistent with WLES predictions, but these variations were not statistically  
273 significant, likely due to the small sample size for C:N analysis. In some cases, a statistically  
274 significant change in LMA was not matched by a significant change in %N or C:N ratio. For

275 example, the significant ( $p < 0.05$ ) decrease in LMA for *L. hopei* in the low O<sub>2</sub> treatment was not  
276 matched by a significant rise in %N and the significant increase ( $p < 0.001$ ) in LMA for the same  
277 species in high CO<sub>2</sub> was not matched by a decrease in %N. The high CO<sub>2</sub>/low O<sub>2</sub> treatment  
278 showed the most consistent and statistically significant suite of responses in terms of WLES,  
279 with all species (except for *D. antarctica*) increasing LMA, decreasing %N and increasing C:N  
280 ratios at  $p < 0.05$  or less.

281

### 282 **3.4 Generalized response of leaf economic traits to increasing CO<sub>2</sub>:O<sub>2</sub> ratio**

#### 283 *3.4.1 Generalized LMA responses to atmospheric composition*

284 The effect of atmospheric composition on each individual species is shown in Figure 2 and 3,  
285 but in order to determine how atmospheric composition effected all species within one  
286 treatment, each treatment was considered as a “mini-ecosystem” and an average value for  
287 LMA, C:N and %N for all species within each treatment was calculated. Figure 4 shows the  
288 mean values for each trait with a standard least squares regression for both raw data (Figure  
289 4A) and % deviation from the control (based on mean values) (Figure 4B) against atmospheric  
290 composition, expressed as a CO<sub>2</sub>:O<sub>2</sub> ratio. Figure 4A shows that there was a general trend of  
291 increasing LMA and C:N ratio ( $p < 0.05$ ;  $R^2 = 0.96$ ) and a decreasing, but not significant ( $p =$   
292  $0.061$ ;  $R^2 = 0.88$ ), trend in %N with increasing CO<sub>2</sub>:O<sub>2</sub> ratio. Figure 4B shows a similar pattern,  
293 with an increasing deviation from the control treatment as CO<sub>2</sub>:O<sub>2</sub> ratio was increased between  
294 treatments, suggesting that the effect of increasing LMA and C:N ratio and decreasing %N  
295 becomes more apparent with a greater CO<sub>2</sub>:O<sub>2</sub> ratio. The high  $R^2$  values of each regression are  
296 likely a result of small sample size, rather than a generalized strong response to increasing

297 CO<sub>2</sub>:O<sub>2</sub> ratio, and the lack of significance for %N is also likely a function of sample size.  
298 Additional treatments would be needed to make this analysis more robust. However, the  
299 findings show that, for this group of plants, as CO<sub>2</sub>:O<sub>2</sub> ratio increases, LMA and C:N ratio can be  
300 expected to increase, while %N decreases.

301

### 302 *3.4.2 Generalized angiosperms LMA responses to atmospheric composition*

303 In order to determine if the observed increase in LMA with increasing CO<sub>2</sub>:O<sub>2</sub> ratio shown in  
304 Figure 4A and 4B could be identified in other taxa, we extracted LMA values for C3 angiosperms  
305 and calculated “mini-ecosystem” mean responses from the meta-analysis of Temme et al.,  
306 (2013) to extend the data set. Figure 4C shows the results for this increased range of species  
307 and experimental treatments to variation in CO<sub>2</sub>:O<sub>2</sub> ratio. Once again a general increase was  
308 observed in the % change of LMA reported relative to a control with increasing CO<sub>2</sub>:O<sub>2</sub> ratio  
309 (dark grey line;  $p < 0.001$ ;  $R^2 = 0.56$ ). When the species from the current study were included in  
310 the regression (pale grey line Figure 4C; data from both this study and Temme et al., 2013), the  
311 relationship was slightly improved (pale grey line;  $p < 0.001$ ;  $R^2 = 0.63$ ). Although this is not a  
312 very strong a relationship, the statistical significance of the regression when such a diverse  
313 array of species and experiments are considered together suggests that the response of  
314 increasing LMA with increasing CO<sub>2</sub>:O<sub>2</sub> observed in the current study is likely to be observed in  
315 a wide range of other species. However, the angiosperm data are all from much lower CO<sub>2</sub>  
316 concentrations and none varied O<sub>2</sub>, so although this analysis extends the range of species and  
317 treatments slightly, more high CO<sub>2</sub> and low O<sub>2</sub> studies on a diverse range of species are needed  
318 to fully test this hypothesis. Additionally, although this increase in LMA with increasing CO<sub>2</sub>:O<sub>2</sub>

319 ratio is a physical response and unlikely to be much affected by phylogenetic affinity, there  
320 remains the possibility of a phylogenetic effect on these data. A full phylogenetic analysis of  
321 these data was far beyond the scope of this study, but future work will need to address this  
322 before the relationship can be fully accepted as legitimate. Regardless, Figure 4C highlights that  
323 once a CO<sub>2</sub>:O<sub>2</sub> ratio of above 0.003 is reached, most species, irrespective of phylogenetic  
324 affinity, increase LMA by at least 15–20%.

325

## 326 **4. DISCUSSION**

### 327 **4.1 LMA responses to changing atmospheric composition**

328 Leaf mass per area was found to increase significantly with increasing CO<sub>2</sub>:O<sub>2</sub> ratio for a range  
329 of species that act as nearest living equivalents for abundant Mesozoic taxa. This has significant  
330 implications for understanding both how atmospheric composition may have interacted with  
331 ancient floras and how significant and large increases in LMA may impact on leaf fossil  
332 preservation potential (see 4.3).

333

334 The highly significant increase in LMA in the high CO<sub>2</sub>/low O<sub>2</sub> palaeoatmospheric treatment  
335 highlighted that atmospheric composition has a conserved effect across an evolutionary diverse  
336 group of plants. LMA values in experimental laboratory conditions are generally considered to  
337 be slightly lower than values obtained for the same species grown in natural conditions  
338 (Poorter et al., 2009), likely due to decreased daily photon irradiance and more variable and  
339 lower temperatures (Garnier & Freijesen, 1994). The simulated palaeoatmospheric treatments in  
340 controlled environments aimed to create a closer match to natural conditions, particularly light

341 and temperature variations, than standard chamber or glasshouse experiments, and so should  
342 at least have reduced this effect.

343  
344 Comparison of the LMA values obtained in this study to LMA values for plants grown under  
345 natural conditions was difficult because most species investigated do not appear to have been  
346 previously reported in the literature. No reported LMA values could be found for *A. australis*, *N.*  
347 *nagi*, *L. peroffskyana*, *L. hopei* or *D. antarctica*. This study therefore, provides the first estimate  
348 of LMA under control conditions for these species (Figure 2, Table 2), as well as the first  
349 reported LMA values for these species under different atmospheric compositions. For *G. biloba*  
350 reported LMA values under modern atmospheric conditions range from  $\sim 51.64 \text{ g m}^{-2}$  (He et al.,  
351 2010), to  $\sim 84\text{--}94 \text{ g m}^{-2}$  (Leigh et al., 2010), to 53.7 to  $155.9 \text{ g m}^{-2}$  (Haworth and Raschi, 2014), to  
352  $\sim 91.5\text{--}136 \text{ g m}^{-2}$  (Sack et al., 2006). The values from the control treatment (mean  $\sim 84 \text{ g m}^{-2}$ ) are  
353 similar to these previously reported LMA values, although they are, as expected for chamber  
354 experiments, towards the lower end of the reported range of values. The similarity between  
355 LMA values for *G. biloba* from this study to previously published LMA values, support  
356 indications that the simulated atmospheres in the controlled environments produced realistic  
357 LMA values and suggests that the values for the other species and other treatments are at least  
358 broadly in line with, if slightly below, the values that would be obtained under natural  
359 conditions. This is particularly interesting in the context of results from the high  $\text{CO}_2$ /low  $\text{O}_2$   
360 treatment where LMA values increase greatly ( $\sim 30\%$  or more) for all species in the study. This  
361 suggests that during periods of elevated  $\text{CO}_2$  ( $> 1,000 \text{ ppm}$ ), plants likely had considerably

362 higher LMA values than at present and possibly greater values than those reported here under  
363 CO<sub>2</sub> conditions of 1,500 ppm.

364

365 This study adds to the current understanding of how plants, particularly non-angiosperms,  
366 respond to different atmospheric compositions. In particular, responses to elevated (e.g.  
367 >1,000ppm) CO<sub>2</sub> and low (<20%) O<sub>2</sub> are rare or absent for these, and indeed most, species in  
368 the literature. The findings of increased LMA in enriched CO<sub>2</sub> environments are in line with  
369 many previous angiosperm-based studies (e.g. Aguera et al., 2006; Cao et al., 2008; Cunniff et  
370 al., 2008; Curtis et al., 2000; Donnelly et al., 2001; Gilbeaut et al., 2001; Harmens et al., 2000;  
371 Roumet et al., 2000; Sigurdsson et al., 2001; Tricker et al., 2004; Volin et al., 2002; Vuorinen et  
372 al. 2004). Figure 4 suggests that with increasing CO<sub>2</sub>:O<sub>2</sub> ratio, plants, regardless of their  
373 functional group, respond by increasing LMA. This is observed with a rise in CO<sub>2</sub> alone but is  
374 further magnified in this study when high CO<sub>2</sub> is combined with low O<sub>2</sub>. It is likely that a  
375 similarly magnified response would be observed in angiosperms, but this was beyond the scope  
376 of the current study and no study that exposed angiosperms to combined high CO<sub>2</sub> and low O<sub>2</sub>  
377 could be found in the literature.

378

379 The low O<sub>2</sub> treatment elicited a wide-range of species-specific responses. Research into plant  
380 responses to below ambient atmospheric O<sub>2</sub> is fairly limited. Migge et al., (1999) identified a  
381 decrease in overall plant size, a reduction in leaf expansion, a reduction in leaf area, and an  
382 increase in LMA for *Nicotiana tobacum* plants exposed to low O<sub>2</sub>, and Musgrave & Strain,  
383 (1988) identified an increase in dry matter of *Triticum aestivum* plants exposed to low O<sub>2</sub> and

384 an even greater increase in dry matter when low O<sub>2</sub> was combined with elevated CO<sub>2</sub>. However,  
385 both of these studies exposed plants to O<sub>2</sub> levels below 5%, which is far lower than at any time  
386 in Earth history when embryophytes have existed, making the results difficult to apply in terms  
387 of plant evolution. In the current study, the lack of consistency within and between functional  
388 groups and between the two most closely related species (*L. hopei* and *L. peroffskyana*) in the  
389 low O<sub>2</sub> treatment (but not the other treatments) suggests that plant responses to low O<sub>2</sub> are  
390 not very highly conserved. This may be due to a lack of exposure to very low O<sub>2</sub> atmospheres  
391 alone (rather than in conjunction with high CO<sub>2</sub>) in the evolutionary history of these plant  
392 groups (Berner, 2006; Haworth et al., 2011; Shinde et al., 2015) or it may be due to different  
393 plants responding to low O<sub>2</sub> through different mechanisms (Shinde et al., 2015). How plants  
394 sense and respond to decreased O<sub>2</sub> levels is a topic of current research and not well-understood  
395 outside of the angiosperms. Recently, group VII ethylene response factors (ERFVIIIs) have been  
396 identified as direct oxygen sensors in angiosperms including *Arabidopsis* (Nakano et al., 2006;  
397 Licausi et al., 2013; Gibbs et al., 2015) and *Oryza* (Nakano et al., 2006; Gibbs et al., 2015).  
398 Whether ERFVIIIs are highly conserved or have a similar role in other plant groups is uncertain,  
399 as although the same group of ERFs have been identified as oxygen sensors in both *Arabidopsis*  
400 and *Oryza*, and ethylene has been identified as having a role in oxygen sensing in the moss  
401 *Physcomitrella patens* (Yasumura et al., 2012), a different response has been identified in  
402 microalgae (Banti et al., 2013). The mechanism involved in oxygen sensing for gymnosperms  
403 has yet to be identified. This could suggest that variation in the ability to sense lower levels of  
404 O<sub>2</sub> may have a role in the species-specific responses in terms of LMA variation in the low O<sub>2</sub>  
405 treatment observed in this study.

406

407 Overall, the diverse group of plants in this study revealed remarkably consistent responses to  
408 increasing CO<sub>2</sub>:O<sub>2</sub> ratio in terms of LMA, suggesting that most plants will have a similar  
409 response, particularly to very high CO<sub>2</sub> and high CO<sub>2</sub> with low O<sub>2</sub>, atmospheric combinations  
410 that were not uncommon during the last 400 years of Earth history. The magnitude of LMA  
411 increase between the control treatment and the high CO<sub>2</sub>/low O<sub>2</sub> treatment is particularly  
412 significant. Figure 5 compares the shift in LMA values for one high LMA species (*A. australis*)  
413 and one lower LMA species (*G. biloba*) between the control treatment and the high CO<sub>2</sub>/low O<sub>2</sub>  
414 simulated palaeoatmospheric treatment to the range of LMA values observed in a variety of  
415 functional groups in ambient conditions (redrawn from Poorter et al., 2009). This demonstrated  
416 that the difference in LMA observed between the control and high CO<sub>2</sub>/low O<sub>2</sub> treatments is  
417 equivalent to taxa shifting at least one functional group higher in terms of LMA values, and in  
418 the case of *A. australis* two groups higher. Such a large-scale shift in LMA could have major  
419 implications for interpretation of palaeoecology and palaeoecosystem function, with an  
420 increase in LMA leading to decrease nutrient availability (Norby et al., 1999; Wright et al.,  
421 2004), a reduction in the palatability of leaves for herbivores (Cotrufo et al., 1998; Currano et  
422 al., 2008; 2009; Royer et al., 2007), and a slowing of biogeochemical cycling through a slowing  
423 of leaf decomposition (Cotrufo et al., 1998; Cornelissen et al., 1999; Cornwell et al., 2008) as  
424 leaves would take longer to return nutrients and carbon to soil.

425

426

#### **4.2 WLES responses to atmospheric composition change**

427 There are few previous data sets available in the literature to determine a base-line for C:N or  
428 even %N under controlled or field conditions for the species examined here. For *A. australis*  
429 growing in New Zealand forests, Enright (2001) recorded a value of ~1% nitrogen and Sylvester  
430 (2000) recorded values of 0.43% N and a C:N ratio of 62. In the current study, mean values of  
431 0.69% N and a C:N ratio of 74 were recorded for *A. australis*. No other reports of C:N ratio or  
432 %N values for the species in this study could be identified in the literature either for ambient  
433 conditions or under enriched CO<sub>2</sub> conditions, making the values reported here the first time  
434 these responses have been considered in the literature.

435

436 The responses both within species (Figures 2 and 3) and between species (Figure 4) in this study  
437 generally conformed with the overall predictions of WLES, that higher LMA should lead to  
438 increasing C:N and decreasing %N and lower LMA should lead to decreasing C:N and increasing  
439 %N. The most significant responses were identified in high CO<sub>2</sub> and in high CO<sub>2</sub>/low O<sub>2</sub> where  
440 increasing LMA usually resulted in increasing C:N and decreasing %N. This is in line with  
441 previous studies in a range of species (e.g. Aguera et al., 2006; Harmens et al., 2000; Cao et al.,  
442 2008).

443

444 The few exceptions, for example increased %N and increased LMA compared to the control  
445 treatment for *L. peroffskyana* growing in the low O<sub>2</sub> treatment and decreased %N and no  
446 change in LMA compared to the control for *A. australis* in the high CO<sub>2</sub> treatment appear to be  
447 highly species specific outliers. Donovan et al., (2011) noted that selective pressures were likely  
448 to have played a larger role in the evolution of WLES than genetic constraints. The observed

449 responses of LMA and %N generally conform to the WLES predictions but, as in the two  
450 examples above, this is not always the case. Donovan et al., (2011) note that while genetics do  
451 not preclude trait pairings contrary to the pattern observed within WLES, environmental  
452 pressures likely do. This is relevant when considering the increase in both LMA and %N for *L.*  
453 *peroffskyana* in the low O<sub>2</sub> treatment, compared to the control. It is unlikely that this result  
454 would be observed in a natural environment, as high %N in thick leaves is expensive in terms of  
455 carbon usage. It would also likely increase herbivory of these carbon-expensive leaves.  
456 However, controlled environment experiments protect plants from herbivory and also reduce  
457 competition, potentially permitting the development of unlikely trait pairings (Donovan et al.,  
458 2011). However, for most trait pairs in this experiment, the responses of plants were generally  
459 in line with WLES predictions.

460  
461 Some species also showed a large increase in the range of values for LMA in some treatments.  
462 This is particularly noticeable in the LMA values for *L. peroffskyana*. Ward & Kelly (2004)  
463 previously suggested that CO<sub>2</sub> can act as a selective agent for plant populations and Ward et al.,  
464 (2002) identified a link between specific genes and the alteration of flowering time in  
465 *Arabidopsis thaliana* in elevated CO<sub>2</sub>. As selection acts on variation, the increase in trait  
466 variation observed as CO<sub>2</sub>:O<sub>2</sub> ratio increased in some species suggests that changes in  
467 atmospheric composition over geological time may have acted as a selective pressure for WLES  
468 traits.

469

#### 470 **4.3 LMA, atmospheric composition and interpreting the fossil record**

471 Previous studies have identified a clear relationship between increasing LMA under elevated  
472 atmospheric CO<sub>2</sub> (e.g. see reviews in Ainsworth & Long, 2005; Poorter et al., 2009) and  
473 decreasing LMA under sub-ambient atmospheric CO<sub>2</sub> (e.g. Temme et al., 2013). However, the  
474 possible “knock-on” implications of this in relation to how increasing or decreasing LMA may  
475 impact preservation potential of leaves, through changes in average leaf density and/or  
476 thickness, in the fossil record have not been considered. Increased leaf thickness is known to  
477 increase preservation potential (Gastaldo, 2001; Spicer, 1989) and, as this study suggests that  
478 times of higher CO<sub>2</sub>:O<sub>2</sub> ratio select for high LMA leaves, this could result in a greater proportion  
479 of high-quality plant cuticle and compressed mesophyll tissue preserved either as near  
480 complete compression fossils or as good-quality cuticle fragments at times of higher CO<sub>2</sub>:O<sub>2</sub>  
481 ratio in Earth history (Figure 6).

482

483 This of course, would be a secondary control on taphonomy, with depositional environment  
484 and rapidity of burial remaining the most defining factors for preservation (Ferguson, 2005;  
485 Gastaldo et al., 1987; Gastaldo & Demko, 2011; Gastaldo et al., 1989; Gastaldo et al., 1996; Gee  
486 et al., 2005; Greenwood, 1991; Spicer, 1989) (Table 1). However, if atmospheric composition  
487 does have a role in plant preservation potential, then, within suitable depositional  
488 environments, such as crevasse splay deposits (Gastaldo et al., 1996), certain time periods may  
489 be more or less likely to have better or worse, or more or fewer, plant leaves preserved in  
490 depositional environments than others.

491

492 The findings of this study suggest that, in particular, CO<sub>2</sub>:O<sub>2</sub> ratios of approximately 0.003 or  
493 greater may increase LMA by between 10 and 50% (Figure 3). Figure 6 highlights times of likely  
494 “high LMA world” and “low LMA world” over the last 450 million years calculated against  
495 Berner (2001) GEOCARB III values for CO<sub>2</sub> and O<sub>2</sub>. A “high LMA world” is defined as having a  
496 CO<sub>2</sub>:O<sub>2</sub> ratio of 0.003 or greater and a “low LMA world” is defined as having a CO<sub>2</sub>:O<sub>2</sub> ratio  
497 below this.

498

499 Although this may be useful for investigating large-scale variation in preservation, the greatest  
500 utility may be in investigating finer time scales. Retallack (2011) has previously suggested that  
501 exceptional preservation is correlated to super elevated atmospheric CO<sub>2</sub>. Therefore at times of  
502 predicted low O<sub>2</sub> (below 20%) and during episodes of sudden increases in CO<sub>2</sub>, the increase in  
503 LMA would be most noticeable in terms of preservation, particularly across ecologically  
504 disrupted boundaries, such as major extinctions or other periods of significant ecological  
505 change. The corollary is also true, and at times of high and falling or low CO<sub>2</sub>, leaf fossil  
506 preservation may be expected to be poorer even in depositional environments that favour  
507 plant fossil preservation by comparison to the same environment under higher CO<sub>2</sub> conditions.  
508 In particular, times with sudden changes in atmospheric composition recorded over  
509 isotaphonomic beds would be expected to show a change to the quality of preservation as  
510 atmospheric composition changes. This could either help to increase or decrease confidence of  
511 palaeoecological interpretations. For example, a palaeoecological analysis of leaf macrofossils  
512 during a period of rising CO<sub>2</sub> across isotaphonomic beds that shows a decrease in  
513 morphospecies but a rise in preservation quality would help to support claims of declining

514 biodiversity, whereas a rise in diversity could be in part due to an increase in preservation  
515 potential of species growing in a high and rising LMA world. Regardless, consideration of  
516 changes to atmospheric composition alongside other taphonomic filters may help to increase  
517 confidence in biodiversity analysis across key boundaries and improve understanding of  
518 ecosystem responses to environmental change in Earth history.

519

520 Overall, the findings of this study highlight some interesting hypotheses for further  
521 investigation – if simulated Mesozoic atmospheric compositions can so significantly alter LMA  
522 and C:N ratios of a diverse group of NLE taxa, can this change lead to an impact on preservation  
523 potential of plant leaves in the fossil record? In addition, should atmospheric composition be  
524 considered as a second order taphonomic filter for fossil leaves and should atmospheric  
525 composition variation, in terms of the CO<sub>2</sub>:O<sub>2</sub> ratio, be considered alongside other taphonomic  
526 filters, such as depositional environment, chemical alteration and chemical composition in  
527 order to generate a more complete understanding of the plant fossil record? These are all  
528 testable research questions that can, hopefully, be addressed through further studies of fossil  
529 collections by either comparing preservation quality to targeted reconstruction of LMA (Royer  
530 et al., 2007; 2010; Blonder et al., 2014; Haworth & Raschi, 2014) or comparison of preservation  
531 quality in similar depositional environments at times of different CO<sub>2</sub>:O<sub>2</sub> ratios.

532

## 533 **5. CONCLUSIONS**

534 This study reports the first LMA and C:N values in simulated palaeoatmospheric controlled  
535 environment experiments for a range of plants considered as nearest living equivalents of early

536 Mesozoic floras, increasing understanding of how non-angiosperm species respond to elevated  
537 CO<sub>2</sub>.

538

539 The results of the simulated palaeoatmospheric treatments reveal a consistent response within  
540 this diverse group of NLE species to changing atmospheric composition. The consistent increase  
541 in LMA and C:N ratio and decrease in %N across all six species in this study suggests that this is  
542 likely to be a highly conserved response, common across a wide range of plant taxa. This  
543 interpretation is further supported by the responses of angiosperms to a variety of atmospheric  
544 conditions that also show an increase in LMA with increasing CO<sub>2</sub>:O<sub>2</sub> ratio. These experimental  
545 findings suggest that plants may significantly alter their LMA over time in response to changing  
546 atmospheric composition, which raises the possibility that atmospheric composition induced  
547 changes to LMA may lead to increased preservation potential of leaves at times of high CO<sub>2</sub>:O<sub>2</sub>  
548 ratio in the geological record. If future work determines this to be the case, then consideration  
549 of the impact of atmospheric composition on leaf preservation potential in the fossil record  
550 may help constrain uncertainty associated with patterns of fossil plant diversity and  
551 macroecological change over the last 400 million years.

552

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562

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863

864 **FIGURE LEGENDS**

865 Figure 1:  
866 Example leaves for each species in the experimental study. A) *Agathis australis*; B) *Nageia nagi* ;  
867 C) *Ginkgo biloba*; D) *Dicksonia antarctica*; E) *Lepidozamia peroffskyana*; F) *Lepidozamia hopei* .  
868 Scale bars are all 1 cm. All leaves are from the control treatment.

869  
870 Figure 2:  
871 Box plots showing the range of LMA values for each species in each palaeoatmospheric  
872 treatment. Panel (i) shows the range of LMA values for the functional group to which each  
873 species belongs (redrawn from Poorter et al., 2009) and panel (ii) shows the range of LMA  
874 values for each species in each palaeoatmospheric treatment. Box represents the upper 25  
875 percentile, median value and lower 25 percentile and whiskers show the range of the data.  
876 Black dots show outliers. Light grey boxes indicate a statistically significant difference from the  
877 control of  $0.05 > p > 0.001$ . Dark grey boxes indicate a statistically significant difference from  
878 the control of  $p < 0.001$ .

879  
880 Figure 3:  
881 Box plots showing the range of C:N ratio values for each species in each palaeoatmospheric  
882 treatment. Box represents the upper 25 percentile, median value and lower 25 percentile and  
883 whiskers show the range of the data. Black dots show outliers. Light grey boxes indicate a  
884 statistically significant difference from the control of  $0.05 > p > 0.001$ . Dark grey boxes indicate  
885 a statistically significant difference from the control of  $p < 0.001$ .

886  
887 Figure 4:  
888 Average responses of all species within each treatment to increasing CO<sub>2</sub>:O<sub>2</sub> ratios A) raw data;  
889 B) Mean % deviation from the control treatment; C) regression including C3 angiosperm data  
890 (from Temme et al., 2013) dark line is only C3 angiosperm data (Temme et al., 2013) and pale  
891 line includes both the angiosperm data and data from the current study. Stars indicate that  
892 regression is significant at  $p < 0.05$ .

893  
894 Figure 5:  
895 Comparison of placement of *Agathis australis* and *Ginkgo biloba* LMA values in control  
896 treatment and high CO<sub>2</sub>/low O<sub>2</sub> palaeoatmospheric treatment in relation to functional group  
897 LMA values (redrawn from Poorter et al., 2009)

898  
899 Figure 6:  
900 Possible timing of “high CO<sub>2</sub> world” (dark grey) with high preservation potential and “low CO<sub>2</sub>  
901 world” (light grey) with lower preservation potential for plant fossil leaves based on a CO<sub>2</sub>:O<sub>2</sub>  
902 cutoff ratio of 0.003 and atmospheric composition based on Berner (2006)

## 903 904 **SUPPORTING INFORMATION HEADINGS**

905 Supporting material 1: Raw data for LMA, C:N, %N, %C and for angiosperm comparison in Figure  
906 4 (data from Temme et al., 2013)

907 Supporting material 2: Detailed Kruskal-Wallis and Mann Whitney U pair-wise comparisons for  
908 each measured trait in each species across all simulated palaeoatmospheric treatments.